Filing ID #10018900

Financial Disclosure Report

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

Filer Information

Name: Daniel Arrigg Koh
Status: Congressional Candidate
State/District: MA03

Filing Information

Filing Type: Candidate Report
Filing Year: 2017
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Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Bank of America Savings Account	SP	$15,001 - $50,000	Interest	$1 - $200	$1 - $200
Betterment 401K ⇒ Vanguard FTSE Developed Markets ETF (VEA)	SP	$1,001 - $15,000	Tax-Deferred		
Betterment 401K ⇒ Vanguard FTSE Emerging Markets ETF (VWO)	SP	$1,001 - $15,000	Tax-Deferred		
Betterment 401K ⇒ Vanguard Mid-Cap Value ETF (VOE)	SP	$1,001 - $15,000	Tax-Deferred		
Betterment 401K ⇒ Vanguard Total Stock Market ETF (VTI)	SP	$1,001 - $15,000	Tax-Deferred		
Betterment 401K ⇒ Vanguard Value ETF (VTV)	SP	$1,001 - $15,000	Tax-Deferred		
Continental Properties, Ltd. ⇒ Springs at Gulf Coast	SP	$50,001 - $100,000	None		
Continental Properties, Ltd. ⇒ Springs at Tradition	SP	$15,001 - $50,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Schwab Investment Account 1 ⇒ Charles Schwab Bank Sweep	SP	$1,001 - $15,000	None		
Schwab Investment Account 1 ⇒ Chicago IL PK DIST 5%	SP	$1,001 - $15,000	Interest	$201 - $1,000	None
Schwab Investment Account 1 ⇒ Chicago IL TRANS 5%	SP	$1,001 - $15,000	Interest	$1 - $200	None
Schwab Investment Account 1 ⇒ Cypress Fairbanks T 5%	SP	None	Interest	$1 - $200	$201 - $1,000
Schwab Investment Account 1 ⇒ Facebook, Inc. - Class A (FB)	SP	$1,001 - $15,000	None		
Schwab Investment Account 1 ⇒ Ford Motor Company (F)	SP	$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
Schwab Investment Account 1 ⇒ Franklin OH Conv FA 4%	SP	$1,001 - $15,000	Interest	$1 - $200	$1 - $200
Schwab Investment Account 1 ⇒ Grant Park Multi Alt Strategy CL I (GPAIX)	SP	$1,001 - $15,000	Dividends	None	$1 - $200
Schwab Investment Account 1 ⇒ Johnson Controls International plc Ordinary Share (JCI)	SP	$1,001 - $15,000	Dividends	$1 - $200	None
Schwab Investment Account 1 ⇒ Las Vegas VY NV WTR 5%	SP	$15,001 - $50,000	Interest	$201 - $1,000	None
Schwab Investment Account 1 ⇒ LJM Preservation and Growth I (LJMIX)	SP	$15,001 - $50,000	Dividends	None	$201 - $1,000
Schwab Investment Account 1 ⇒ Met WA A DC ARPT 5%	SP	None	Interest	$201 - $1,000	$201 - $1,000
Schwab Investment Account 1 ⇒ New Jersey Transit 5%	SP	$1,001 - $15,000	Interest	$1 - $200	None
Schwab Investment Account 1 ⇒ Potash Corp SAS 3.25% DESCRIPTION: Corporate Bond	SP	$1,001 - $15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Schwab Investment Account 1 ⇒ Powershares S&P Small Finan Port ETF (PSCF)	SP	$1,001 - $15,000	None		
Schwab Investment Account 1 ⇒ TN HSG DEV AGCY 3.8%	SP	$1,001 - $15,000	None		
Schwab Investment Account 1 ⇒ Vanguard Small Cap Value ETF (VBR)	SP	$1,001 - $15,000	None		
Schwab Investment Account 2 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG)	SP	$1,001 - $15,000	None		
Schwab Investment Account 2 ⇒ Apple Inc. (AAPL)	SP	$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
Schwab Investment Account 2 ⇒ BP p.l.c. (BP)	SP	$1,001 - $15,000	Dividends	$201 - $1,000	$201 - $1,000
Schwab Investment Account 2 ⇒ Center Coast MLP Focus Fund Inst (CCCNX)	SP	$1,001 - $15,000	Dividends	$201 - $1,000	$201 - $1,000
Schwab Investment Account 2 ⇒ Charles Schwab Sweep	SP	$1 - $1,000	None		
Schwab Investment Account 2 ⇒ China Cord Blood Corporation (CO)	SP	$1,001 - $15,000	None		
Schwab Investment Account 2 ⇒ First Eagle Global Fund CL A (SGENX)	SP	$1,001 - $15,000	None		
Schwab Investment Account 2 ⇒ Grant Park Multi Alt Strategy CL I (GPAIX)	SP	$1,001 - $15,000	Dividends	None	$1 - $200
Schwab Investment Account 2 ⇒ New Alternatives Fund Inc. (NALFX)	SP	$1,001 - $15,000	None		
Schwab Investment Account 2 ⇒ Potash Corporation of Saskatchewan Inc. (POT)	SP	$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
Schwab Investment Account 2 ⇒ Powershares FTSE RAFI US 1000 Portfolio (PRF)	SP	$1,001 - $15,000	Dividends	$1 - $200	$1 - $200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Schwab IRA ⇒ Baron Partners Fund	SP	$1,001 - $15,000	Tax-Deferred		
Schwab IRA ⇒ Hancock Horizon Burkenroad Sm Cap Inst	SP	$1,001 - $15,000	Tax-Deferred		
Schwab IRA ⇒ Hennessy Focus Fd Inv Cl	SP	$1,001 - $15,000	Tax-Deferred		
Schwab IRA ⇒ Hennessy Gas Utility Index Fd Inv Cl	SP	$1,001 - $15,000	Tax-Deferred		
Schwab IRA ⇒ International Business Machines Corporation (IBM)	SP	$1,001 - $15,000	Tax-Deferred		
Schwab IRA ⇒ PowerShares S&P SmallCap Financials Portfolio (PSCF)	SP	$1,001 - $15,000	Tax-Deferred		
Schwab IRA ⇒ Wasatch Core Growth FD Inv	SP	$1,001 - $15,000	Tax-Deferred		
Schwab Roth IRA ⇒ Vaneck Vestors Morningstar Wide Moat ETF	SP	$1,001 - $15,000	Tax-Deferred		
TD Bank Description: Checking & Savings Account		$1,001 - $15,000	Interest	$1 - $200	$1 - $200
U.S. Trust - Investment account ⇒ Alphabet Inc. - Class C Capital Stock (GOOG)		$1,001 - $15,000	Capital Gains	$201 - $1,000	None
U.S. Trust - Investment account ⇒ Amazon.com, Inc. (AMZN)		$1,001 - $15,000	Capital Gains	$1 - $200	None
U.S. Trust - Investment account ⇒ Bank of America - Money Market		$1,001 - $15,000	Interest	$1 - $200	$1 - $200
U.S. Trust - Investment account ⇒ iShares Core MSCI Emerging Markets ETF (IEMG)		$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	None
U.S. Trust - Investment account ⇒ iShares MSCI EAFE ETF (EFA)		$1,001 - $15,000	Dividends	$1 - $200	$1 - $200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
U.S. Trust - Investment account ⇒ iShares MSCI Emerging Index Fund (EEM)		$1,001 - $15,000	None		
U.S. Trust - Investment account ⇒ iShares PHLX SOX Semiconductor Sector Index Fund (SOXX)		$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	None
U.S. Trust - Investment account ⇒ iShares Select Dividend ETF (DVY)		None	Dividends	$1 - $200	$201 - $1,000
U.S. Trust - Investment account ⇒ iShares TIPS Bond ETF (TIP)		$1,001 - $15,000	Dividends	$1 - $200	None
U.S. Trust - Investment account ⇒ PAX World Global Environment Markets (PGINX)		$1,001 - $15,000	None		
U.S. Trust - Investment account ⇒ SPDR S&P 500 (SPY)		None	Capital Gains, Dividends	$201 - $1,000	None
U.S. Trust - Investment account ⇒ SPDR Select Sector Fund - Technology (XLK)		$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	$1 - $200
U.S. Trust - Investment account ⇒ SPDR Series Trust SPDR S&P Biotech ETF (XBI)		None	Capital Gains, Dividends	$201 - $1,000	None
U.S. Trust - Investment account ⇒ TIAA-CREF Social Choice Bond Fund Instl CL (TSBIX)		$1,001 - $15,000	None		
U.S. Trust - Investment account ⇒ Vanguard Large-Cap ETF (VV)		$1,001 - $15,000	Capital Gains, Dividends	$1,001 - $2,500	$201 - $1,000
U.S. Trust - Investment account ⇒ Vanguard Mid-Cap ETF (VO)		$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
U.S. Trust - Investment account ⇒ Vanguard REIT ETF (VNQ)		None	Capital Gains, Dividends	$201 - $1,000	$1 - $200
U.S. Trust - Investment account ⇒ Vanguard Small-Cap ETF (VB)		$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
U.S. Trust - Investment account ⇒ Wal-Mart Stores, Inc. (WMT)		None	Capital Gains	$201 - $1,000	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
U.S. Trust - Trust DTD 12/19/1997 ⇒ Abbey Capital Futures Strategy (ABYIX)		$15,001 - $50,000	None		
U.S. Trust - Trust DTD 12/19/1997 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG)		$15,001 - $50,000	None		
U.S. Trust - Trust DTD 12/19/1997 ⇒ Amazon.com, Inc. (AMZN)		$15,001 - $50,000	None		
U.S. Trust - Trust DTD 12/19/1997 ⇒ Apple Inc. (AAPL)		$15,001 - $50,000	Dividends	$201 - $1,000	$201 - $1,000
U.S. Trust - Trust DTD 12/19/1997 ⇒ Boston Partners Long/Short (BPIRX)		$15,001 - $50,000	None		
U.S. Trust - Trust DTD 12/19/1997 ⇒ Broadcom Limited - Ordinary Shares (AVGO)		$15,001 - $50,000	Dividends	$201 - $1,000	$201 - $1,000
U.S. Trust - Trust DTD 12/19/1997 ⇒ Check Point Software Technologies Ltd. - Ordinary Shares (CHKP)		$15,001 - $50,000	None		
U.S. Trust - Trust DTD 12/19/1997 ⇒ Columbia Global Technology (CMTFX)		$50,001 - $100,000	Capital Gains, Dividends	$2,501 - $5,000	$1 - $200
U.S. Trust - Trust DTD 12/19/1997 ⇒ Facebook, Inc. - Class A (FB)		$15,001 - $50,000	None		
U.S. Trust - Trust DTD 12/19/1997 ⇒ Federated Gov't Obligs Fund		$15,001 - $50,000	Interest	$1 - $200	$1 - $200
U.S. Trust - Trust DTD 12/19/1997 ⇒ First Trust DJ Internet Index Fund (FDN)		None	Capital Gains	$201 - $1,000	None
U.S. Trust - Trust DTD 12/19/1997 ⇒ Guggenheim Solar ETF (TAN)		None	Dividends	None	$201 - $1,000
U.S. Trust - Trust DTD 12/19/1997 ⇒ iShares 7-10 Year Treasury Bond ETF (IEF)		$1,001 - $15,000	Dividends	$201 - $1,000	$201 - $1,000
U.S. Trust - Trust DTD 12/19/1997 ⇒ iShares Core S&P Small-Cap ETF (IJR)		$15,001 - $50,000	Dividends	$201 - $1,000	$201 - $1,000
U.S. Trust - Trust DTD 12/19/1997 ⇒		$15,001 - $50,000	Dividends	$201 - $1,000	$201 -

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
iShares iBoxx $ Investment Grade Corporate Bond ETF (LQD)					$1,000
U.S. Trust - Trust DTD 12/19/1997 ⇒ iShares Microcap ETF (IWC)		None	Capital Gains, Dividends	None	$2,501 - $5,000
U.S. Trust - Trust DTD 12/19/1997 ⇒ iShares MSCI EAFE ETF (EFA)		$15,001 - $50,000	Dividends	$201 - $1,000	$201 - $1,000
U.S. Trust - Trust DTD 12/19/1997 ⇒ iShares MSCI Emerging Index Fund (EEM)		$15,001 - $50,000	Dividends	$1 - $200	$201 - $1,000
U.S. Trust - Trust DTD 12/19/1997 ⇒ iShares Nasdaq Biotechnology Index Fund (IBB)		None	Capital Gains, Dividends	$5,001 - $15,000	$1 - $200
U.S. Trust - Trust DTD 12/19/1997 ⇒ iShares PHLX SOX Semiconductor Sector Index Fund (SOXX)		$15,001 - $50,000	Dividends	$1 - $200	None
U.S. Trust - Trust DTD 12/19/1997 ⇒ iShares Select Dividend ETF (DVY)		$15,001 - $50,000	Dividends	$201 - $1,000	$201 - $1,000
U.S. Trust - Trust DTD 12/19/1997 ⇒ iShares TIPS Bond ETF (TIP)		$15,001 - $50,000	Dividends	$1 - $200	None
U.S. Trust - Trust DTD 12/19/1997 ⇒ JP Morgan US Large Cap Core Plus (JLPSX)		$15,001 - $50,000	Capital Gains, Dividends	$5,001 - $15,000	$1,001 - $2,500
U.S. Trust - Trust DTD 12/19/1997 ⇒ Oppenheimer International Growth Fund (OIGIX)		$15,001 - $50,000	Dividends	$201 - $1,000	$201 - $1,000
U.S. Trust - Trust DTD 12/19/1997 ⇒ Permanent Portfolio (PRPFX)		$15,001 - $50,000	Capital Gains, Dividends	$201 - $1,000	None
U.S. Trust - Trust DTD 12/19/1997 ⇒ Pimco Total Return Fund (PTTRX)		None	Dividends	$1 - $200	$201 - $1,000
U.S. Trust - Trust DTD 12/19/1997 ⇒ SPDR DJ Wilshire Intl Real Estate (RWX)		None	Dividends	None	$1,001 - $2,500
U.S. Trust - Trust DTD 12/19/1997 ⇒ SPDR MidCap Trust Series I (MDY)		$15,001 - $50,000	Capital Gains, Dividends	$201 - $1,000	$5,001 - $15,000
U.S. Trust - Trust DTD 12/19/1997 ⇒		$15,001 - $50,000	Capital Gains,	$201 - $1,000	$5,001 -

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
SPDR S&P 500 (SPY)			Dividends		$15,000
U.S. Trust - Trust DTD 12/19/1997 ⇒ SPDR Select Sector Fund - Energy Select Sector (XLE)		None	Capital Gains, Dividends	$1,001 - $2,500	$1 - $200
U.S. Trust - Trust DTD 12/19/1997 ⇒ SPDR Select Sector Fund - Technology (XLK)		$50,001 - $100,000	Dividends	$201 - $1,000	$201 - $1,000
U.S. Trust - Trust DTD 12/19/1997 ⇒ Thornburg International Value Fund CL I (TGVAX)		None	Dividends	None	$201 - $1,000
U.S. Trust - Trust DTD 12/19/1997 ⇒ TIAA-CREF Social Choice Bond Fund Instl CL (TSBIX)		$15,001 - $50,000	None		
U.S. Trust - Trust DTD 12/19/1997 ⇒ Vanguard Large-Cap ETF (VV)		$15,001 - $50,000	Capital Gains, Dividends	$201 - $1,000	$5,001 - $15,000
U.S. Trust - Trust DTD 12/19/1997 ⇒ Vanguard Mid-Cap Growth Index Fund (VMGIX)		None	Capital Gains, Dividends	None	$5,001 - $15,000
U.S. Trust - Trust DTD 12/19/1997 ⇒ Vanguard REIT ETF (VNQ)		$15,001 - $50,000	Dividends	$201 - $1,000	$1,001 - $2,500
U.S. Trust IRA ⇒ Alphabet Inc. - Class C Capital Stock (GOOG)		$1,001 - $15,000	Tax-Deferred		
U.S. Trust IRA ⇒ Amazon.com, Inc. (AMZN)		$1,001 - $15,000	Tax-Deferred		
U.S. Trust IRA ⇒ Bank of America Money Market		$1,001 - $15,000	Tax-Deferred		
U.S. Trust IRA ⇒ Financial Select Sector SPDR Fund (XLF)		$1,001 - $15,000	Tax-Deferred		
U.S. Trust IRA ⇒ iShares Core MSCI Emerging Markets ETF (IEMG)		$1,001 - $15,000	Tax-Deferred		
U.S. Trust IRA ⇒ iShares IBoxx $ Investment Grade Corporate Bond ETF (LQD)		$1,001 - $15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
U.S. Trust IRA ⇒ iShares MSCI EAFE ETF (EFA)		$1,001 - $15,000	Tax-Deferred		
U.S. Trust IRA ⇒ iShares Select Dividend ETF (DVY)		$1,001 - $15,000	Tax-Deferred		
U.S. Trust IRA ⇒ iShares Tips Bond ETF (TIP)		$1,001 - $15,000	Tax-Deferred		
U.S. Trust IRA ⇒ PAX World Global Environmental Markets Fund (PGINX)		$1,001 - $15,000	Tax-Deferred		
U.S. Trust IRA ⇒ Permanent Portfolio (PRPFX)		$1,001 - $15,000	Tax-Deferred		
U.S. Trust IRA ⇒ PHLX Semiconductor Sector (SOXX)		$1,001 - $15,000	Tax-Deferred		
U.S. Trust IRA ⇒ SPDR S&P Biotech ETF (XBI)		$1,001 - $15,000	Tax-Deferred		
U.S. Trust IRA ⇒ SPDR S&P MidCap 400 ETF (MDY)		$1,001 - $15,000	Tax-Deferred		
U.S. Trust IRA ⇒ Technology Select Sector SPDR Fund (XLK)		$1,001 - $15,000	Tax-Deferred		
U.S. Trust IRA ⇒ TIAA-CREF Social Choice Bond Fund (TSBIX)		$1,001 - $15,000	Tax-Deferred		
U.S. Trust IRA ⇒ Vanguard Large Cap ETF (VV)		$1,001 - $15,000	Tax-Deferred		
U.S. Trust IRA ⇒ Vanguard REIT ETF (VNQ)		$1,001 - $15,000	Tax-Deferred		
U.S. Trust IRA ⇒ Vanguard Small Cap ETF (VB)		$1,001 - $15,000	Tax-Deferred		
U.S. Trust Roth IRA ⇒ Bank of America Money Market		$1,001 - $15,000	Tax-Deferred		
U.S. Trust Roth IRA ⇒		$1,001 - $15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
iShares Core S&P Small Cap ETF (IJR)					
U.S. Trust Roth IRA ⇒ iShares IBoxx & Investment Grade Corporate Bond ETF (LQD)		$1,001 - $15,000	Tax-Deferred		
U.S. Trust Roth IRA ⇒ iShares MSCI EAFE ETF (EFA)		$1,001 - $15,000	Tax-Deferred		
U.S. Trust Roth IRA ⇒ PAX World Global Environmental Markets Fund (PGINX)		$1 - $1,000	Tax-Deferred		
U.S. Trust Roth IRA ⇒ PowerShares FTSE Rafi (PRF)		$1,001 - $15,000	Tax-Deferred		
U.S. Trust Roth IRA ⇒ SPDR S&P 500 ETF (SPY)		$1,001 - $15,000	Tax-Deferred		
U.S. Trust Roth IRA ⇒ SPDR S&P MidCap 400 ETF (MDY)		$1,001 - $15,000	Tax-Deferred		
U.S. Trust Roth IRA ⇒ Technology Select Sector SPDR Fund (XLK)		$1,001 - $15,000	Tax-Deferred		
U.S. Trust Roth IRA ⇒ TIAA-CREF Social Choice Bond Fund Instl Class (TSBIX)		$1,001 - $15,000	Tax-Deferred		
U.S. Trust Roth IRA ⇒ Vanguard REIT ETF (VNQ)		$1,001 - $15,000	Tax-Deferred		

* Asset class details available at the bottom of this form.

Schedule C: Earned Income

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Catalant Technologies, Inc.	Spouse Salary	N/A	N/A
Wilmer Cutler Pickering Hale and Dorr LLP	Spouse Salary	N/A	N/A
City of Boston	Salary	$111,398.49	$157,826.20

Schedule D: Liabilities

None disclosed.

Schedule E: Positions

None disclosed.

Schedule F: Agreements

None disclosed.

Schedule J: Compensation in Excess of $5,000 Paid by One Source

None disclosed.

Schedule A Asset Class Details

- Betterment 401K (Owner: SP)
- Continental Properties, Ltd. (Owner: SP)
  Location: US
- Schwab Investment Account 1 (Owner: SP)
  Location: US
- Schwab Investment Account 2 (Owner: SP)
  Location: US
- Schwab IRA (Owner: SP)
- Schwab Roth IRA (Owner: SP)
- U.S. Trust - Investment account
  Location: US
- U.S. Trust - Trust DTD 12/19/1997
- U.S. Trust IRA
- U.S. Trust Roth IRA

Exclusions of Spouse, Dependent, or Trust Information

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ● No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ● No

Comments

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Daniel Arrigg Koh , 12/29/2017