



FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Dr. Kim Schrier
Status: Congressional Candidate
State/District: WA08

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2017
Filing Date: 11/28/2017
Period Covered: 01/01/2016– 11/17/2017

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
David's IRA ⇒ Apple Inc. (AAPL)	JT	\$100,001 - \$250,000	Tax-Deferred		
David's IRA ⇒ TD Ameritrade Money Market	JT	\$1,001 - \$15,000	None		
David's IRA ⇒ Tesla, Inc. (TSLA)	JT	\$100,001 - \$250,000	None		
Gowing Family Secondary Account ⇒ Apple Inc. (AAPL)	JT	\$1,000,001 - \$5,000,000	Capital Gains, Dividends	\$100,001 - \$1,000,000	\$50,001 - \$100,000
Gowing Family Secondary Account ⇒ Applied Materials, Inc. (AMAT)	JT	None	Capital Gains, Dividends	Not Applicable	\$2,501 - \$5,000
Gowing Family Secondary Account ⇒ Cisco Systems, Inc. (CSCO)	JT	None	Capital Gains	Not Applicable	\$1,001 - \$2,500
Gowing Family Secondary Account ⇒ Dell Technologies Inc. Class V (DVMT)	JT	None	Capital Gains	Not Applicable	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Gowing Family Secondary Account ⇒ EMC Corporation (EMC) DESCRIPTION: Sold all shares.	JT	None	Capital Gains	Not Applicable	\$1,001 - \$2,500
Gowing Family Secondary Account ⇒ Intel Corporation (INTC) DESCRIPTION: Sold all shares.	JT	None	Capital Gains	Not Applicable	\$2,501 - \$5,000
Gowing Family Secondary Account ⇒ NVIDIA Corporation (NVDA) DESCRIPTION: Sold all shares.	JT	None	Capital Gains	Not Applicable	\$5,001 - \$15,000
Gowing Family Secondary Account ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM)	JT	None	Capital Gains, Dividends	Not Applicable	\$5,001 - \$15,000
Gowing Family Secondary Account ⇒ TD Ameritrade Money Market	JT	\$100,001 - \$250,000	None		
Gowing Family Secondary Account ⇒ Tesla, Inc. (TSLA)	JT	\$50,001 - \$100,000	None		
Gowing Family Trust Primary Account ⇒ Apple Inc. (AAPL)	JT	\$1,000,001 - \$5,000,000	Dividends	\$15,001 - \$50,000	\$15,001 - \$50,000
Gowing Family Trust Primary Account ⇒ Apple options call & buy-back. DESCRIPTION: We sold options in AAPL, then bought them back at a significant loss. Lesson learned -- options are not for us. All options are now closed out. I was advised by the Ethics Committee lawyer that I can aggregate these, after explaining the circumstances to her.	JT	None	Income "premium" when we sold each option	\$100,001 - \$1,000,000	\$5,001 - \$15,000
Gowing Family Trust Primary Account ⇒ Applied Materials, Inc. (AMAT)	JT	\$1,001 - \$15,000	Dividends	\$2,501 - \$5,000	\$1 - \$200
Gowing Family Trust Primary Account ⇒ Cisco Systems, Inc. (CSCO)	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$2,501 - \$5,000	\$1 - \$200
Gowing Family Trust Primary Account ⇒ Dell Technologies Inc. Class V (DVMT)	JT	None	Capital Gains	\$201 - \$1,000	Not Applicable
Gowing Family Trust Primary Account ⇒ DFA Intl Real Estate Secs DESCRIPTION: Sold at a loss.	JT	\$50,001 - \$100,000	Capital Gains	None	Not Applicable

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Gowing Family Trust Primary Account ⇒ EMC Corporation (EMC) DESCRIPTION: Sold all shares.	JT	None	Capital Gains	Not Applicable	\$2,501 - \$5,000
Gowing Family Trust Primary Account ⇒ Home Depot, Inc. (HD)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Gowing Family Trust Primary Account ⇒ iShares Cohen & Steers Realty Majors Index	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$2,501 - \$5,000
Gowing Family Trust Primary Account ⇒ iShares Core US Aggregate Bond DESCRIPTION: Sold some shares at a loss.	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
Gowing Family Trust Primary Account ⇒ iShares S&P US Preferred Stock Index	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Gowing Family Trust Primary Account ⇒ PowerShares QQQ	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	\$1 - \$200
Gowing Family Trust Primary Account ⇒ Schwab US REIT	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Gowing Family Trust Primary Account ⇒ SPDR Barclays Capital Short Term High Yield Bond DESCRIPTION: Sold some shares at a loss.	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
Gowing Family Trust Primary Account ⇒ SPDR Energy Select Sector	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	\$1,001 - \$2,500
Gowing Family Trust Primary Account ⇒ TD Ameritrade Money Market	JT	\$15,001 - \$50,000	None		
Gowing Family Trust Primary Account ⇒ Vanguard FTSE Developed Markets	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$201 - \$1,000
Gowing Family Trust Primary Account ⇒ Vanguard High Dividend Yield	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	\$5,001 - \$15,000
Gowing Family Trust Primary Account ⇒ Vanguard Intermediate Term Corp Bond Index DESCRIPTION: Sold some shares at a loss in 2017.	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Gowing Family Trust Primary Account ⇒ WisdomTree International Large Cap	JT	None	Capital Gains, Dividends	Not Applicable	\$1,001 - \$2,500
Kim's 403b - Pre-2009 ⇒ Fidelity Diversified Intl		\$15,001 - \$50,000	Tax-Deferred		
Kim's 403b - Pre-2009 ⇒ Fidelity Dividend Growth		\$50,001 - \$100,000	Tax-Deferred		
Kim's 403b - Pre-2009 ⇒ Fidelity Intermediate Bond		\$15,001 - \$50,000	Tax-Deferred		
Kim's 403b - Pre-2009 ⇒ Fidelity Low Priced Stock		\$15,001 - \$50,000	Tax-Deferred		
Kim's 403b - Pre-2009 ⇒ Fidelity OTC		\$100,001 - \$250,000	Tax-Deferred		
Kim's 403b with VMMC ⇒ DFA Intl Real Estate Secs	JT	\$50,001 - \$100,000	None		
Kim's 403b with VMMC ⇒ Fidelity Government Cash Reserves	JT	\$1,001 - \$15,000	Tax-Deferred		
Kim's 403b with VMMC ⇒ Fidelity Real Estate Index Premium	JT	\$15,001 - \$50,000	Tax-Deferred		
Kim's 403b with VMMC ⇒ Fidelity US Bond Index Premium	JT	\$100,001 - \$250,000	Tax-Deferred		
Kim's Roth IRA - 2014 ⇒ Schwab US REIT		\$1,001 - \$15,000	Tax-Deferred		
Kim's Roth IRA - 2014 ⇒ SPDR Energy Select Sector		\$50,001 - \$100,000	Tax-Deferred		
Kim's Roth IRA - 2014 ⇒ TD Ameritrade Money Market		\$1,001 - \$15,000	None		
Kim's Roth IRA - 2014 ⇒ WisdomTree SmlCap Dividend		\$1,001 - \$15,000	Tax-Deferred		
Kim's Roth IRA with VMMC ⇒ iShares S&P US Preferred Stock Index	JT	\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Kim's Roth IRA with VMMC ⇒ SPDR Barclays Capital Short Term High Yield Bond	JT	\$100,001 - \$250,000	Tax-Deferred		
Kim's Roth IRA with VMMC ⇒ Vanguard Intermediate Term Corp Bond Index	JT	\$100,001 - \$250,000	Tax-Deferred		
Samuel Gowing Trust ⇒ EMC Corporation (EMC) DESCRIPTION: Sold all EMC stock in 2016.		None	Capital Gains, Dividends	Not Applicable	\$50,001 - \$100,000
Samuel Gowing Trust ⇒ iShares Core US Aggregate Bond DESCRIPTION: Sold some in 2016.		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$2,501 - \$5,000
Samuel Gowing Trust ⇒ iShares S&P 500 Index		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Samuel Gowing Trust ⇒ iShares S&P US Preferred Stock Index		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Samuel Gowing Trust ⇒ SPDR Barclays Capital Short Term High Yield Bond		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
Samuel Gowing Trust ⇒ SPDR Energy Select Sector		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Samuel Gowing Trust ⇒ TD Ameritrade Money Market		\$1,001 - \$15,000	None		
Samuel Gowing Trust ⇒ Vanguard FTSE Developed Markets		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Samuel Gowing Trust ⇒ Vanguard FTSE Emerging Markets		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Samuel Gowing Trust ⇒ Vanguard Mid Cap		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Samuel Gowing Trust ⇒ Vanguard REIT Index		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Samuel Gowing Trust => Vanguard Small Cap		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$50,001 - \$100,000
DESCRIPTION: Sold some in 2016.					
Wells Fargo personal checking account 2016	JT	\$15,001 - \$50,000	None		
DESCRIPTION: Joint checking account with my spouse.					
Wells Fargo personal checking account 2017	JT	\$15,001 - \$50,000	None		
DESCRIPTION: Joint checking account with my spouse.					

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Virginia Mason Medical Center	Annual salary	\$165,407.00	\$173,094.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Wells Fargo	August, 2012	Home mortgage	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Medical Doctor, Pediatrics	Virginia Mason Medical Center

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
October 2017	Myself and Virginia Mason Medical Center	Leave of absence in order to pursue campaign for United States Congress. Period: 1/2/18 - 11/15/18.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

- David's IRA (Owner: SP)
- Gowing Family Secondary Account (Owner: JT)
- Gowing Family Trust Primary Account (Owner: JT)
DESCRIPTION: Kimberly Schrier Gowing and David Noble Gowing Trustees
- Kim's 403b - Pre-2009
- Kim's 403b with VMMC
- Kim's Roth IRA - 2014
- Kim's Roth IRA with VMMC
- Samuel Gowing Trust
DESCRIPTION: Trust for son's education

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Dr. Kim Schrier , 11/28/2017