Filing ID #10018491

Financial Disclosure Report

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Filer Information

Name: Sam Searcy
Status: Congressional Candidate
State/District: NC02

Filing Information

Filing Type: Candidate Report
Filing Year: 2017
Filing Date: 09/15/2017
Period Covered: 01/01/2016– 08/31/2017

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Clintrax Global, Inc. Location: Cary, NC, US Description: Global Site Contracting & Payments Service		None	Partnership Income	None	Over $5,000,000
CollegeAmerica 529 Savings Plan #1 ⇒ American Balanced Fund 529A	DC	$15,001 - $50,000	Tax-Deferred		
CollegeAmerica 529 Savings Plan #1 ⇒ EuroPacific Growth Fund 529A	DC	$1,001 - $15,000	Tax-Deferred		
CollegeAmerica 529 Savings Plan #1 ⇒ Fundamental Investors 529A	DC	$15,001 - $50,000	Tax-Deferred		
CollegeAmerica 529 Savings Plan #1 ⇒ SMALLCAP World Fund 529A	DC	$1,001 - $15,000	Tax-Deferred		
CollegeAmerica 529 Savings Plan #1 ⇒ The Growth Fund of America 529A	DC	$15,001 - $50,000	Tax-Deferred		
CollegeAmerica 529 Savings Plan #2 ⇒	DC	$15,001 - $50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
American Balanced Fund 529A					
CollegeAmerica 529 Savings Plan #2 ⇒ EuroPacific Growth Fund 529A	DC	$1,001 - $15,000	Tax-Deferred		
CollegeAmerica 529 Savings Plan #2 ⇒ Fundamental Investors 529A	DC	$15,001 - $50,000	Tax-Deferred		
CollegeAmerica 529 Savings Plan #2 ⇒ SMALLCAP World Fund 529A	DC	$1,001 - $15,000	Tax-Deferred		
CollegeAmerica 529 Savings Plan #2 ⇒ The Growth Fund of America 529A	DC	$15,001 - $50,000	Tax-Deferred		
CollegeAmerica 529 Savings Plan #3 ⇒ American Balanced Fund 529A	DC	$15,001 - $50,000	Tax-Deferred		
CollegeAmerica 529 Savings Plan #3 ⇒ EuroPacific Growth Fund 529A	DC	$1,001 - $15,000	Tax-Deferred		
CollegeAmerica 529 Savings Plan #3 ⇒ Fundamental Investors 529A	DC	$15,001 - $50,000	Tax-Deferred		
CollegeAmerica 529 Savings Plan #3 ⇒ SMALLCAP World Fund 529A	DC	$1,001 - $15,000	Tax-Deferred		
CollegeAmerica 529 Savings Plan #3 ⇒ The Growth Fund of America 529A	DC	$15,001 - $50,000	Tax-Deferred		
ESI Holdings, LLC LOCATION: Greenville, NC, US DESCRIPTION: Multifamily Housing Investment		$100,001 - $250,000	None		
Graybeard Distillery, Inc. LOCATION: Durham, NC, US DESCRIPTION: Distillery		$5,000,001 - $25,000,000	None		
Northwestern Mutual ⇒ Universal Life Insurance		$1,001 - $15,000	None		
Northwestern Mutual Child #1 ⇒ Universal Life Insurance	DC	$1,001 - $15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Northwestern Mutual Child #2 ⇒ Universal Life Insurance	DC	$1,001 - $15,000	None		
Northwestern Mutual Child #3 ⇒ Universal Life Insurance	DC	$1,001 - $15,000	None		
Northwestern Mutual IRA ⇒ General Money Market Fund Class B (GMMB)		$1,001 - $15,000	Tax-Deferred		
Northwestern Mutual Roth IRA ⇒ American Growth Portfolio Fund Class A (GWPAX)		$1,001 - $15,000	Tax-Deferred		
RBC Wealth Management #1 ⇒ AB High Income Municipal Portfolio CL Advisor (ABTYX)	JT	None	Dividends	$201 - $1,000	None
RBC Wealth Management #1 ⇒ Durham NC Cap Fing Corp LTD General Purpose Rev 2012 Description: Coupon 4.0%; Due 06/01/2020	JT	$15,001 - $50,000	Interest	$201 - $1,000	None
RBC Wealth Management #1 ⇒ Limited Term Tax-Exempt Bond Fund (LTEFX)	JT	None	Dividends	$15,001 - $50,000	None
RBC Wealth Management #1 ⇒ Ohio St Higher Edl Fac Rev Otterbein College Rev 2008A Description: Coupon 5.5%; Due 12/01/2028	JT	$15,001 - $50,000	Interest	$1,001 - $2,500	None
RBC Wealth Management #1 ⇒ RBC Cash Plus	JT	$1,001 - $15,000	Interest	$201 - $1,000	None
RBC Wealth Management #1 ⇒ Rocky Mount NC SPL Oblig SPL General Purpose Rev 2016 Description: Coupon 4.0%; Due 05/01/2020	JT	$100,001 - $250,000	None		
RBC Wealth Management #1 ⇒ Sanford NC GO Pub Impt BDS General Purpose Description: Coupon 3.0%; Due 02/01/2032	JT	$15,001 - $50,000	Interest	$201 - $1,000	None
RBC Wealth Management #1 ⇒ University NC Greensboro Rev Public Higher Education Rev 2009A	JT	$50,001 - $100,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: Coupon 5.0%; Due 04/01/2022					
RBC Wealth Management #1 ⇒ Vaneck Vectors ETF TR High Yield Mun (HYD)	JT	$50,001 - $100,000	Dividends	$201 - $1,000	None
RBC Wealth Management #1 ⇒ Wake County NC LTD Oblig LTD General Purpose DESCRIPTION: Coupon 5.0%; Due 01/01/2026	JT	$15,001 - $50,000	Interest	$201 - $1,000	None
RBC Wealth Management #2 ⇒ AB High Income Municipal Portfolio CL Advisor (ABTYX)	JT	None	Dividends	$1,001 - $2,500	None
RBC Wealth Management #2 ⇒ Alps ETF TR Alerian MLP (AMLP)	JT	$50,001 - $100,000	Capital Gains, Dividends	$5,001 - $15,000	None
RBC Wealth Management #2 ⇒ Bank of America Corporation DESCRIPTION: Coupon 3.42%; Due 03/04/2031	JT	None	Interest	$201 - $1,000	None
RBC Wealth Management #2 ⇒ Barclays Bank PLC DESCRIPTION: Coupon 6.0%; Due 04/25/2019	JT	None	Capital Gains	$1,001 - $2,500	None
RBC Wealth Management #2 ⇒ Credit Suisse London SR NT DESCRIPTION: Coupon 5.5%; Due 03/11/2019	JT	None	Interest	$1,001 - $2,500	None
RBC Wealth Management #2 ⇒ Diamond Hill FDS Large Cap Fund CL I (DHLRX)	JT	$50,001 - $100,000	None		
RBC Wealth Management #2 ⇒ Goldman Sachs Group Inc Lnkd to CMS Spread DESCRIPTION: Coupon 8.625%; Due 09/20/2028	JT	None	Interest	$201 - $1,000	None
RBC Wealth Management #2 ⇒ Henderson Global EQ Income Fund (HFQIX)	JT	$50,001 - $100,000	Dividends	$1,001 - $2,500	None
RBC Wealth Management #2 ⇒ iShares Core MSCI Emerging Markets ETF (IEMG)	JT	$100,001 - $250,000	Dividends	$201 - $1,000	None
RBC Wealth Management #2 ⇒ iShares Core S&P 500 ETF (IVV)	JT	$250,001 - $500,000	Dividends	$1,001 - $2,500	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
RBC Wealth Management #2 ⇒ iShares Core S&P Mid Cap ETF (IJH)	JT	$100,001 - $250,000	Capital Gains, Dividends	$1,001 - $2,500	None
RBC Wealth Management #2 ⇒ iShares Core S&P Small Cap ETF (IJR)	JT	$100,001 - $250,000	Capital Gains, Dividends	$2,501 - $5,000	None
RBC Wealth Management #2 ⇒ iShares Iboxx $ High Yield Corporate Bond ETF (HYG)	JT	$100,001 - $250,000	Capital Gains, Dividends	$15,001 - $50,000	None
RBC Wealth Management #2 ⇒ iShares Inc MSCI Eurozone ETF (EZU)	JT	$100,001 - $250,000	Dividends	$1,001 - $2,500	None
RBC Wealth Management #2 ⇒ iShares Russell 1000 Value ETF (IWD)	JT	$100,001 - $250,000	Dividends	$201 - $1,000	None
RBC Wealth Management #2 ⇒ iShares S&P 500 Growth ETF (IVW)	JT	$100,001 - $250,000	Capital Gains, Dividends	$1,001 - $2,500	None
RBC Wealth Management #2 ⇒ Limited Term Tax-Exempt Bond Fund (LTEFX)	JT	$1,000,001 - $5,000,000	Dividends	$2,501 - $5,000	None
RBC Wealth Management #2 ⇒ Morgan Stanley SR NT FXD/FLT Description: Coupon 10.0%; Due 07/31/2030	JT	None	Interest	$201 - $1,000	None
RBC Wealth Management #2 ⇒ Powershares Exchange Traded Fund Trust II (SPHD)	JT	$50,001 - $100,000	Dividends	$201 - $1,000	None
RBC Wealth Management #2 ⇒ Powershares Global Exchange Traded Fund (PBP)	JT	$50,001 - $100,000	Dividends	$1,001 - $2,500	None
RBC Wealth Management #2 ⇒ Powershares Variable Rate Preferred Portfolio (VRP)	JT	$100,001 - $250,000	None		
RBC Wealth Management #2 ⇒ RBC Cash Plus	JT	$1,000,001 - $5,000,000	Interest	$1,001 - $2,500	None
RBC Wealth Management #2 ⇒ United State Treasury Bill	JT	None	Capital Gains	$201 - $1,000	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
RBC Wealth Management #2 ⇒ Vaneck Vectors Short High Yield Mun Index (SHYD)	JT	$250,001 - $500,000	Dividends	$5,001 - $15,000	None
RBC Wealth Management #2 ⇒ Vanguard FTSE Developed Markets ETF (VEA)	JT	$250,001 - $500,000	Dividends	$2,501 - $5,000	None
RBC Wealth Management #2 ⇒ Vanguard REIT ETF (VNQ)	JT	$50,001 - $100,000	Dividends	$201 - $1,000	None
RBC Wealth Management #2 ⇒ Vanguard Specialized Funds (VIG)	JT	$50,001 - $100,000	Dividends	$201 - $1,000	None
Residential Property LOCATION: Chapel Hill, NC, US	JT	$250,001 - $500,000	Rent	$5,001 - $15,000	$5,001 - $15,000
State Employees Credit Union DESCRIPTION: Checking & Money Market	JT	$50,001 - $100,000	Interest	$1 - $200	$5,001 - $15,000

* Asset class details available at the bottom of this form.

Schedule C: Earned Income

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Quintiles Inc.	Salary	N/A	$79,263.46

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
	PNC Bank	May 2009	Mortgage on Chapel Hill, NC residential property	$100,001 - $250,000

Schedule E: Positions

Position	Name of Organization
CCO	Graybeard Distillery, Inc.
Member	ESI Holdings, LLC

Schedule F: Agreements

None disclosed.

Schedule J: Compensation in Excess of $5,000 Paid by One Source

None disclosed.

Schedule A Asset Class Details

- CollegeAmerica 529 Savings Plan #1 (Owner: DC)
  Location: NC
- CollegeAmerica 529 Savings Plan #2 (Owner: DC)
  Location: NC
- CollegeAmerica 529 Savings Plan #3 (Owner: DC)
  Location: NC
- Northwestern Mutual
  Location: US
- Northwestern Mutual Child #1 (Owner: DC)
  Location: US
- Northwestern Mutual Child #2 (Owner: DC)
  Location: US
- Northwestern Mutual Child #3 (Owner: DC)
  Location: US
- Northwestern Mutual IRA
- Northwestern Mutual Roth IRA
- RBC Wealth Management #1 (Owner: JT)
  Location: US
- RBC Wealth Management #2 (Owner: JT)
  Location: US

Exclusions of Spouse, Dependent, or Trust Information

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ● No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ● No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Sam Searcy , 09/15/2017