



FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Max Harold Della Pia
Status: Congressional Candidate
State/District: NY23

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2017
Filing Date: 10/12/2017
Period Covered: 01/01/2015– 12/31/2016

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Alex A. Della Pia NML		\$1,001 - \$15,000	None		
John R Della Pia NML		\$1,001 - \$15,000	None		
Max H. & Nancy K. Della Pia JTWROS ⇒ Pfizer, Inc. (PFE)	JT	\$15,001 - \$50,000	None		
Max H. & Nancy K. Della Pia JTWROS ⇒ UBS AG FI Enhanced Europe 50 ETN due February 12, 2026 (FIEE)	JT	\$1,001 - \$15,000	None		
Max H. & Nancy K. Della Pia JTWROS ⇒ Wells Fargo & Company (WFC)	JT	\$15,001 - \$50,000	None		
Max H. Della Pia IRA ⇒ Alphabet Inc. - Class A (GOOGL)		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Amazon.com, Inc. (AMZN)		\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Max H. Della Pia IRA ⇒ American Express Company (AXP)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Anheuser-Busch Inbev SA Sponsored ADR (BUD)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Apple Inc. (AAPL)		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Bank of America Corporation (BAC)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ BASF SE American Depositary Shares (BASFY)		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Bayer Aktiengesellschaft American Depositary Shares (BAYRY)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Berkshire Hathaway Inc. New (BRK.B)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Chevron Corporation (CVX)		\$1 - \$1,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Cisco Systems, Inc. (CSCO)		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Citigroup, Inc. (C)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Coca-Cola Company (KO)		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Comcast Corporation - Class A (CMCSA)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Credit Suisse FI Large Cap Growth Enhanced ETN (FLGE)		\$50,001 - \$100,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Credit Suisse FI Large Cap Growth Enhanced ETN (FLGE)		\$50,001 - \$100,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Max H. Della Pia IRA ⇒ Daimler AG Sponsored ADR (DDAIY)		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Exxon Mobil Corporation (XOM)		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ General Electric Company (GE)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ GlaxoSmithKline PLC (GSK)		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Home Depot, Inc. (HD)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ HSBC Holdings, plc. (HSBC)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Intel Corporation (INTC)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Johnson & Johnson (JNJ)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ JP Morgan Chase & Co. (JPM)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Lloyds Banking Group Plc American Depository Shares (LYG)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ L'Oreal Co. American Depository Shares (LRLCY)		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ LVMH Moet Hennessy Louis Vuitton Un-sponsored American Depository Receipt (LVMUY)		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Merck & Company, Inc. Common Stock (MRK)		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Microsoft Corporation (MSFT)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒		\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Novartis AG (NVS)					
Max H. Della Pia IRA ⇒ Oracle Corporation (ORCL)		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Pepsico, Inc. (PEP)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Procter & Gamble Company (PG)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ QUALCOMM Incorporated (QCOM)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Sanofi American Depositary Shares (SNY)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ SAP SE ADS (SAP)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Siemens AG American Depositary Shares (SIEGY)		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ UBS AG UBS AG FI Enhanced Global High Yield ETN due March 3, 2026 (FIHD)		\$50,001 - \$100,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ UBS Group AG Registered Ordinary Shares (UBS)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ United Technologies Corporation (UTX)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Visa Inc. (V)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Wal-Mart Stores, Inc. (WMT)		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Walt Disney Company (DIS)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia NML		\$50,001 - \$100,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Nancy K. Della Pia IRA ⇒ Alphabet Inc. - Class A (GOOGL)	SP	\$15,001 - \$50,000	Tax-Deferred		
Nancy K. Della Pia IRA ⇒ Alphabet Inc. - Class C Capital Stock (GOOG)	SP	\$15,001 - \$50,000	Tax-Deferred		
Nancy K. Della Pia IRA ⇒ Apple Inc. (AAPL)	SP	\$15,001 - \$50,000	Tax-Deferred		
Nancy K. Della Pia IRA ⇒ Chevron Corporation (CVX)	SP	\$1,001 - \$15,000	Tax-Deferred		
Nancy K. Della Pia IRA ⇒ Exxon Mobil Corporation (XOM)	SP	\$1,001 - \$15,000	Tax-Deferred		
Nancy K. Della Pia IRA ⇒ FI Enhanced Europe 50 ETN (FIEU)	SP	\$15,001 - \$50,000	Tax-Deferred		
Nancy K. Della Pia IRA ⇒ FI Enhanced Europe 50 ETN (FIEU)	SP	\$15,001 - \$50,000	Tax-Deferred		
Nancy K. Della Pia IRA ⇒ Novo Nordisk A/S (NVO)	SP	\$1,001 - \$15,000	Tax-Deferred		
Nancy K. Della Pia IRA ⇒ Pfizer, Inc. (PFE)	SP	\$1,001 - \$15,000	Tax-Deferred		
Nancy K. Della Pia IRA ⇒ Roche Holdings AG Basel American Depositary Shares (RHHBY)	SP	\$1,001 - \$15,000	Tax-Deferred		
Nancy K. Della Pia IRA ⇒ Schlumberger N.V. (SLB)	SP	\$1,001 - \$15,000	Tax-Deferred		
Nancy K. Della Pia IRA ⇒ United Technologies Corporation (UTX)	SP	\$1,001 - \$15,000	Tax-Deferred		
Nancy K. Della Pia NML (SP)		\$15,001 - \$50,000	None		
Nancy K. Della Pia Thrivent (SP)		\$15,001 - \$50,000	None		

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
The Goat Boy	spouse's salary	N/A	\$10,972.92

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

- o Max H. & Nancy K. Della Pia JTWR0S (Owner: JT)
LOCATION: US
- o Max H. Della Pia IRA
- o Nancy K. Della Pia IRA (Owner: SP)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Max Harold Della Pia , 10/12/2017