



# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Katherine Porter  
**Status:** Congressional Candidate  
**State/District:** CA45

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2017  
**Filing Date:** 05/15/2017

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Ameritas Life Insurance Variable Life Insurance ⇒ Calvert NASDAQ 100 Index Fund		\$1,001 - \$15,000	None		
Ameritas Life Insurance Variable Life Insurance ⇒ Calvert S&P 500 Index Fund		\$15,001 - \$50,000	None		
Ameritas Life Insurance Variable Life Insurance ⇒ Fidelity Contrafund		\$1,001 - \$15,000	None		
Ameritas Life Insurance Variable Life Insurance ⇒ Fidelity Equity Income Fund		\$1,001 - \$15,000	None		
Ameritas Life Insurance Variable Life Insurance ⇒ Fidelity Growth		\$1,001 - \$15,000	None		
Bankers Trust Checking Account		\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
College Savings 529 Dependent Child 3 ⇒ Vanguard Institutional Total Stock Market Index Fund		\$1,001 - \$15,000	Tax-Deferred		
College Savings 529 Dependent Child 3 ⇒ Vanguard Total International Stock Index Fund		\$1,001 - \$15,000	Tax-Deferred		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
College Savings Iowa 529 Dependent Child 1 ⇒ Vanguard Institutional Total Stock Market Index Fund		\$1,001 - \$15,000	Tax-Deferred		
College Savings Iowa 529 Dependent Child 1 ⇒ Vanguard Total International Stock Index Fund		\$1,001 - \$15,000	Tax-Deferred		
College Savings Iowa 529 Dependent Child 2 ⇒ Bond Index Portfolio		\$1 - \$1,000	Tax-Deferred		
College Savings Iowa 529 Dependent Child 2 ⇒ Growth Portfolio		\$1 - \$1,000	Tax-Deferred		
College Savings Iowa 529 Dependent Child 2 ⇒ Income Portfolio		\$1 - \$1,000	Tax-Deferred		
College Savings Iowa 529 Dependent Child 2 ⇒ Total Domestic Stock Index Portfolio		\$1,001 - \$15,000	Tax-Deferred		
College Savings Iowa 529 Dependent Child 2 ⇒ Total International Stock Index Portfolio		\$1 - \$1,000	Tax-Deferred		
Fidelity Nevada System of Higher Education Retirement ⇒ Fidelity Blue Chip Growth		\$1,001 - \$15,000	Tax-Deferred		
Fidelity Nevada System of Higher Education Retirement ⇒ Fidelity Contrafund		\$1,001 - \$15,000	Tax-Deferred		
Fidelity Nevada System of Higher Education Retirement ⇒ Fidelity Magellan		\$1 - \$1,000	Tax-Deferred		
Fidelity Nevada System of Higher Education Retirement ⇒ Fidelity Midcap Stock		\$1,001 - \$15,000	Tax-Deferred		
Fidelity Nevada System of Higher Education Retirement ⇒ Fidelity Worldwide		\$1,001 - \$15,000	Tax-Deferred		
Grandparent Iowa 529 College Savings Plan for Dependent Child 1 ⇒ Vanguard Institutional Total Stock Market Index Fund	DC	\$15,001 - \$50,000	Tax-Deferred		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
Grandparent Iowa 529 College Savings Plan for Dependent Child 1 ⇒ Vanguard Total International Stock Index Fund	DC	\$15,001 - \$50,000	Tax-Deferred		
Grandparent Iowa 529 College Savings Plan for Dependent Child 2 ⇒ Vanguard Institutional Total Stock Market Index Fund	DC	\$15,001 - \$50,000	Tax-Deferred		
Grandparent Iowa 529 College Savings Plan for Dependent Child 2 ⇒ Vanguard Total International Stock Index Fund	DC	\$1,001 - \$15,000	Tax-Deferred		
Grandparent Iowa 529 College Savings Plan for Dependent Child 3 ⇒ Vanguard Institutional Total Stock Market Index Fund	DC	\$15,001 - \$50,000	Tax-Deferred		
Grandparent Iowa 529 College Savings Plan for Dependent Child 3 ⇒ Vanguard Total International Stock Market Fund	DC	\$15,001 - \$50,000	Tax-Deferred		
IRA ⇒ Bank of the Ozarks (OZRK)		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$201 - \$1,000
IRA ⇒ Berkshire Hathaway Inc. New (BRK.B)		\$1,001 - \$15,000	None		
IRA ⇒ Capital One Financial Corporation (COF)		\$1,001 - \$15,000	Dividends	None	\$1 - \$200
IRA ⇒ Caterpillar, Inc. (CAT)		\$1,001 - \$15,000	Dividends	None	\$201 - \$1,000
IRA ⇒ FDIC Insured Bank Deposit DESCRIPTION: Cash held in IRA		\$1,001 - \$15,000	Interest	None	\$1 - \$200
IRA ⇒ Heartland Express, Inc. (HTLD)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Pacific Life TSA/403b ⇒ Optimal Aggressive Growth Fund		\$15,001 - \$50,000	Tax-Deferred		
Pennsylvania 529 Dependent Child 1 ⇒ Pennsylvania Guaranteed Savings Plan LOCATION: PA	DC	\$50,001 - \$100,000	Tax-Deferred		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
Pennsylvania 529 for Dependent Child 2 ⇒ Pennsylvania Guaranteed Savings Plan  LOCATION: PA	DC	\$50,001 - \$100,000	Tax-Deferred		
Pennsylvania 529 for Dependent Child 3 ⇒ Pennsylvania Guaranteed Savings Plan  LOCATION: PA	DC	\$50,001 - \$100,000	Tax-Deferred		
Roth IRA ⇒ FDIC insured Bank Deposit  DESCRIPTION: Cash in bank account held in Roth IRA		\$1 - \$1,000	Interest	None	\$1 - \$200
Roth IRA ⇒ Pepsico, Inc. (PEP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Royalty payments from Stanford University Press		Undetermined	Royalty on authored book	None	\$201 - \$1,000
Royalty payments on law textbooks		Undetermined	Royalties to book author	\$1,001 - \$2,500	\$2,501 - \$5,000
SEP (self-employed) IRA ⇒ TIAA-Cref Lifecycle 2040--Retirement		\$50,001 - \$100,000	Tax-Deferred		
TIAA-Cref retirement plans ⇒ NSHE 401a/414H DC Retirement Plan ⇒ Cref Bond Market		\$1,001 - \$15,000	Tax-Deferred		
TIAA-Cref retirement plans ⇒ NSHE 401a/414H DC Retirement Plan ⇒ Cref Equity Index		\$1,001 - \$15,000	Tax-Deferred		
TIAA-Cref retirement plans ⇒ NSHE 401a/414H DC Retirement Plan ⇒ Cref Stock Fund		\$1,001 - \$15,000	Tax-Deferred		
TIAA-Cref retirement plans ⇒ NSHE 401a/414H DC Retirement Plan ⇒ TIAA Real Estate		\$1,001 - \$15,000	Tax-Deferred		
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ Cref Bond Market		\$1,001 - \$15,000	Tax-Deferred		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ Cref Global Equities		\$15,001 - \$50,000	Tax-Deferred		
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ Cref Inflation-Linked Bond		\$1,001 - \$15,000	Tax-Deferred		
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ CREF Stock		\$15,001 - \$50,000	Tax-Deferred		
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ CREF-Equity Index		\$15,001 - \$50,000	Tax-Deferred		
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ TIAA Real Estate Fund		\$15,001 - \$50,000	Tax-Deferred		
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ TIAA-Cref International Equity Fund		\$1,001 - \$15,000	Tax-Deferred		
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ TIAA-Cref Midcap Growth Fund		\$1,001 - \$15,000	Tax-Deferred		
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ TIAA-Cref Midcap Value Fund		\$15,001 - \$50,000	Tax-Deferred		
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ TIAA-Cref Real Estate Securities Fund		\$1,001 - \$15,000	Tax-Deferred		
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ TIAA-Traditional		\$1,001 - \$15,000	Tax-Deferred		
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ Vanguard Institutional Index Fund		\$15,001 - \$50,000	Tax-Deferred		
University of California Retirement Plan		Undetermined	None		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
University of California Retirement Program ⇒ DFA Emerging Markets		\$1 - \$1,000	Tax-Deferred		
University of California Retirement Program ⇒ UC Bond		\$1,001 - \$15,000	Tax-Deferred		
University of California Retirement Program ⇒ UC Domestic Equity Index Fund		\$1,001 - \$15,000	Tax-Deferred		
University of California Retirement Program ⇒ UC Global Equity Fund		\$1,001 - \$15,000	Tax-Deferred		
University of California Retirement Program ⇒ UC International Equity Index		\$1,001 - \$15,000	Tax-Deferred		
University of California Retirement Program ⇒ UC Pathway 2035 Fund		\$1,001 - \$15,000	Tax-Deferred		
University of California Retirement Program ⇒ UC Savings Fund		\$1,001 - \$15,000	Tax-Deferred		
University of California Retirement Program ⇒ UC TIPS Fund		\$1,001 - \$15,000	Tax-Deferred		

\* Asset class details available at the bottom of this form.

### **SCHEDULE C: EARNED INCOME**

<b>Source</b>	<b>Type</b>	<b>Amount Current Year to Filing</b>	<b>Amount Preceding Year</b>
University of California Irvine	salary	\$69,666.00	\$203,059.00
Estate of Morgan Drexen	self-employed income as professional expert	N/A	\$3,976.00
National Conference of Commissioners on Uniform State Laws	professional consultant as academic	N/A	\$3,500.00
Hagens Berman Sobol Shapiro LLP	self-employed income as professional expert	\$30,225.00	\$30,225.00

### **SCHEDULE D: LIABILITIES**

None disclosed.

## SCHEDULE E: POSITIONS

Position	Name of Organization
Secretary & Executive Committee Member	National Bankruptcy Conference
Advisory Committee Member	American Bankruptcy Institute Law Review
Advisory Board Member	Institute for Money, Technology & Financial Inclusion at UC Irvine
Committee Member	Mortgage Servicing Collaborative, Urban Institute
Advisory Board Member	California Self-Help Credit Union and Center for Responsible Lending
Committee Member	California State Bar, Consumer Financial Services Committee
Reporter	Uniform Law Commission, Study Committee on Transfer and Recording of Debt
Committee Member	National Conference of Bankruptcy Judges
Committee Member	Committee on Privilege and Tenure, University of California Irvine
Advisor	Hope Now
Professional Expert	In re Morgan Drexen Bankruptcy
Professional Expert	Consumer Financial Protection Bureau, a federal agency

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Source (Name and Address)	Brief Description of Duties
Estate of Morgan Drexen Inc. (Costa Mesa, CA, US)	professional expert to aid consumers affected by bankruptcy

## SCHEDULE A ASSET CLASS DETAILS

- o Ameritas Life Insurance Variable Life Insurance
- o College Savings 529 Dependent Child 3 (Owner: DC)  
LOCATION: IA
- o College Savings Iowa 529 Dependent Child 1 (Owner: DC)  
LOCATION: IA
- o College Savings Iowa 529 Dependent Child 2 (Owner: DC)  
LOCATION: IA
- o Fidelity Nevada System of Higher Education Retirement
- o Grandparent Iowa 529 College Savings Plan for Dependent Child 1 (Owner: DC)  
LOCATION: IA

- Grandparent Iowa 529 College Savings Plan for Dependent Child 2 (Owner: DC)  
LOCATION: IA
- Grandparent Iowa 529 College Savings Plan for Dependent Child 3 (Owner: DC)  
LOCATION: IA
- IRA
- Pacific Life TSA/403b
- Pennsylvania 529 Dependent Child 1 (Owner: DC)  
LOCATION: PA
- Pennsylvania 529 for Dependent Child 2 (Owner: DC)  
LOCATION: PA
- Pennsylvania 529 for Dependent Child 3 (Owner: DC)  
LOCATION: PA
- Roth IRA
- SEP (self-employed) IRA
- TIAA-Cref retirement plans
- TIAA-Cref retirement plans ⇒ NSHE 401a/414H DC Retirement Plan
- TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan
- University of California Retirement Program

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Katherine Porter , 05/15/2017