



# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Harley E. Rouda Jr.  
**Status:** Congressional Candidate  
**State/District:** CA48

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2017  
**Filing Date:** 08/13/2017  
**Period Covered:** 01/01/2016– 07/31/2017

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Apex Sports Group LOCATION: Golden, CO, US DESCRIPTION: Ski boot systems.		\$15,001 - \$50,000	None		
Armchair Investments LLC ⇒ Commercial Buildings (5) LOCATION: Columbus, OH, US DESCRIPTION: Rent received from HER Realtors, LLC		\$1,000,001 - \$5,000,000	Rent	\$100,001 - \$1,000,000	\$100,001 - \$1,000,000
Armchair Investments LLC ⇒ Fidelity/Corient Capital Partners LLC Defined Benefit Plan		\$100,001 - \$250,000	Capital Gains, Dividends	\$1 - \$200	\$5,001 - \$15,000
Armchair Investments LLC ⇒ HER Realtors, LLC LOCATION: Columbus, OH, US DESCRIPTION: Real estate.		\$1,000,001 - \$5,000,000	Interest, Rent	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000
Armchair Investments LLC ⇒ SEAM II Fund		\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
LOCATION: Los Angeles, CA, US					
DESCRIPTION: Pooled investment account.					
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ Angel Oak Multi Strategy Inc. Insti		\$15,001 - \$50,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ Citigroup Global Markets Holdings		\$50,001 - \$100,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ DFA International Core Equity		\$15,001 - \$50,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ DFA U.S. Small Cap Growth Port Insti		\$15,001 - \$50,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ DFA US Small Cap Value Prtf Insti		\$15,001 - \$50,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ Eaton Vance Floating Rate Advantage CI I		\$15,001 - \$50,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ Fidelity Government Cash Reserves		\$1,001 - \$15,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ JPMorgan Strategic Income Opps CI I		\$15,001 - \$50,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ Pioneer IIs Interval Fund Common		\$15,001 - \$50,000	Tax-Deferred		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ SPDR S&P Midcap 400 ETF Tr Utser1 S&Pdcrp		\$15,001 - \$50,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ Vanguard Admiral FDS Inc S&P 500 Value Index Fund Et		\$50,001 - \$100,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ Vanguard S&P 500 Growth ETF		\$50,001 - \$100,000	Tax-Deferred		
Corient Capital Partners LLC ⇒ Fidelity Government Cash Reserves		\$1,001 - \$15,000	Dividends	None	\$1 - \$200
Corient Capital Partners LLC ⇒ Fidelity Government Cash Reserves		\$1,001 - \$15,000	Dividends	None	\$201 - \$1,000
Corient Capital Partners LLC ⇒ Fidelity Government Cash Reserves		\$1 - \$1,000	Dividends	None	\$1 - \$200
Corient Capital Partners LLC ⇒ Fidelity Government Cash Reserves		\$1 - \$1,000	Dividends	None	None
Corient Capital Partners LLC ⇒ iShares MSCI EAFE ETF		\$1,001 - \$15,000	Capital Gains, Dividends	None	\$1 - \$200
Corient Capital Partners LLC ⇒ iShares MSCI EAFE ETF		\$1,001 - \$15,000	Capital Gains, Dividends, Interest	None	\$201 - \$1,000
Corient Capital Partners LLC ⇒ iShares Russell 2000 Growth ETF		\$1,001 - \$15,000	Capital Gains, Dividends	None	\$201 - \$1,000
Corient Capital Partners LLC ⇒ iShares Russell 1000 Value ETF		\$1,001 - \$15,000	Capital Gains, Dividends	None	\$201 - \$1,000
Corient Capital Partners LLC ⇒ iShares Russell 1000 Value ETF		\$1,001 - \$15,000	Capital Gains, Dividends, Interest	None	\$201 - \$1,000

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
Corient Capital Partners LLC ⇒ iShares Russell 2000 Value ETF		\$1,001 - \$15,000	Capital Gains, Dividends, Interest	None	\$201 - \$1,000
Corient Capital Partners LLC ⇒ ProShares Ultra Bloomberg Crude Oil (UCO)		\$1,001 - \$15,000	Capital Gains	None	\$1,001 - \$2,500
Corient Capital Partners LLC ⇒ ProShares Ultra Bloomberg Crude Oil (UCO)		\$1,001 - \$15,000	Capital Gains	None	None
Corient Capital Partners LLC ⇒ Rocky Brands, Inc. (RCKY)		\$1,001 - \$15,000	Capital Gains, Dividends	None	\$1 - \$200
Corient Capital Partners LLC ⇒ Rocky Brands, Inc. (RCKY)		\$1,001 - \$15,000	Dividends	None	\$201 - \$1,000
Corient Capital Partners LLC ⇒ Rocky Brands, Inc. (RCKY)		\$100,001 - \$250,000	Capital Gains, Dividends, Interest	\$201 - \$1,000	\$5,001 - \$15,000
Corient Capital Partners LLC ⇒ Vanguard Admiral FD S Inc S&P 600 Value		\$1,001 - \$15,000	Capital Gains, Dividends	None	\$201 - \$1,000
Corient Capital Partners LLC ⇒ Vanguard Admiral FDS Inc S&P 500		\$1,001 - \$15,000	Capital Gains, Dividends, Interest	None	\$201 - \$1,000
Corient Capital Partners LLC ⇒ Vanguard Admiral FDS Inc S&P 500 Value		\$1,001 - \$15,000	Capital Gains, Dividends, Interest	None	\$201 - \$1,000
Corient Capital Partners LLC ⇒ Vanguard S&P 500 Growth ETF		\$1,001 - \$15,000	Capital Gains, Dividends, Interest	None	\$201 - \$1,000
Corient Capital Partners LLC ⇒ Vanguard S&P 500 Growth ETF		\$1,001 - \$15,000	Capital Gains, Dividends	None	\$201 - \$1,000
Trident Holdings, Inc. ⇒ Cova, LLC		\$50,001 - \$100,000	None		
LOCATION: San Clemente, CA, US DESCRIPTION: Water sports apparel.					
Trident Holdings, Inc. ⇒ Grayl, Inc.		\$100,001 - \$250,000	None		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
LOCATION: Seattle, WA, US DESCRIPTION: Water purification products.					
Trident Holdings, Inc. ⇒ <b>HER Realty HomeGard</b>		\$15,001 - \$50,000	None		
LOCATION: Columbus, OH, US DESCRIPTION: Home warranty services.					
Trident Holdings, Inc. ⇒ <b>HER, Inc.</b>		\$1,000,001 - \$5,000,000	None		
LOCATION: Columbus, OH, US DESCRIPTION: Real estate.					
Trident Holdings, Inc. ⇒ <b>Poler Inc.</b>		\$15,001 - \$50,000	None		
LOCATION: Portland, OR, US DESCRIPTION: Apparel & outdoor gear.					
Trident Holdings, Inc. ⇒ <b>Raen Optics, Inc.</b>		\$50,001 - \$100,000	None		
LOCATION: Encinitas, CA, US DESCRIPTION: Eyewear.					
Trident Holdings, Inc. ⇒ <b>Relevium Labs, Inc.</b>		\$15,001 - \$50,000	None		
LOCATION: Oxford, OH, US DESCRIPTION: Medical devices.					
Trident Holdings, Inc. ⇒ <b>Stuffstr</b>		\$15,001 - \$50,000	None		
LOCATION: Seattle, WA, US DESCRIPTION: Item reuse/repair/resale/recycling services public benefit corporation.					
<b>Trident Holdings, Inc.</b>		\$5,000,001 - \$25,000,000	Loan Repayment	\$100,001 - \$1,000,000	\$100,001 - \$1,000,000
LOCATION: Columbus, OH, US DESCRIPTION: Real estate services.					
<b>Trident Holdings, Inc.</b>		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$201 - \$1,000
<b>Trident Holdings, Inc.</b>		\$5,000,001 - \$25,000,000	Distributions	\$100,001 - \$1,000,000	\$100,001 - \$1,000,000
LOCATION: Columbus, OH, US DESCRIPTION: Real estate and other investments.					

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
Trident Holdings, Inc. ⇒ Trident Title Agency Ltd.		\$250,001 - \$500,000	Distributions.	\$50,001 - \$100,000	\$50,001 - \$100,000
LOCATION: Columbus, OH, US DESCRIPTION: Real estate title services.					
UTG, Inc. (UTGN)		\$1,001 - \$15,000	None		
Wells Fargo		\$50,001 - \$100,000	None		
Wells Fargo Brokerage ⇒ Cash		\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
Wells Fargo Brokerage ⇒ ProShares Ultra Bloomberg Crude Oil (UCO)		\$500,001 - \$1,000,000	Capital Gains	\$5,001 - \$15,000	\$5,001 - \$15,000
Wells Fargo Brokerage ⇒ Rocky Brands, Inc. (RCKY)		\$1,001 - \$15,000	Capital Gains	None	None
Wells Fargo IRA ⇒ Cash		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Wells Fargo IRA ⇒ n/a		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Wells Fargo IRA ⇒ ProShares Ultra Bloomberg Crude Oil (UCO)		\$250,001 - \$500,000	Capital Gains	\$201 - \$1,000	\$2,501 - \$5,000
Wells Fargo IRA ⇒ Rocky Brands, Inc. (RCKY)		\$100,001 - \$250,000	Capital Gains, Dividends	\$15,001 - \$50,000	\$15,001 - \$50,000
Wells Fargo IRA (2) ⇒ Cash		\$1 - \$1,000	Interest	\$1 - \$200	\$1 - \$200
Wells Fargo IRA (2) ⇒ ProShares Ultra Bloomberg Crude Oil (UCO)		\$1,001 - \$15,000	Capital Gains	None	None

\* Asset class details available at the bottom of this form.

## **SCHEDULE C: EARNED INCOME**

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Trident Holdings, Inc.	Salary, Board Fees	\$860,000.00	\$860,000.00
Rocky Brands, Inc.	Board fees.	\$35,000.00	\$70,000.00
Amazon	Spouse royalties.	N/A	N/A
Harlequin	Spouse royalties.	N/A	N/A
Spark Press	Spouse royalties.	N/A	N/A
Tule Publishing	Spouse royalties.	N/A	N/A
Trident Holdings, Inc.	Spouse board fees.	N/A	N/A
Trident Title Agency Ltd.	Board fees.	\$7,500.00	\$10,000.00
HER Homegard	Board fees.	\$500.00	\$1,000.00
HER Realtors LLC	Salary.	N/A	\$180,000.00

#### SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	American Express	July 2017/current.	Credit Card.	\$15,001 - \$50,000
	Capital One	July 2017/current	Credit Card.	\$10,000 - \$15,000

#### SCHEDULE E: POSITIONS

Position	Name of Organization
Director	Rocky Brands, Inc.
Chief Executive Officer, Boardmember	HomeGard
Boardmember	Partners United Financial, LLC
President, Board of Managers Member, Board of Advisors Member	Homeco LLC
Chief Executive Officer, President, Director	Trident Holdings, Inc.
President, Director	HER, Inc.
Chief Executive Officer, Director	HER Realtors, LLC
Managing Member	Armchair Investments, LLC

Position	Name of Organization
Boardmember	Grayl, Inc.
Boardmember	Cova, LLC
Boardmember	Relevium Labs, Inc.
Boardmember	Realstir

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

## SCHEDULE A ASSET CLASS DETAILS

- o Armchair Investments LLC  
LOCATION: US
- o Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan  
LOCATION: US
- o Corient Capital Partners LLC  
LOCATION: US
- o Trident Holdings, Inc.  
LOCATION: US
- o Wells Fargo Brokerage  
LOCATION: US
- o Wells Fargo IRA
- o Wells Fargo IRA (2)

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Harley E. Rouda Jr., 08/13/2017