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UNITED STATES HOUSE OF REPRESENTATIVES
2016 FINANCIAL DISCLOSURE STATEMENT

Form A
For Use by Members, Officers, and Employees

A $200 penalty shall be assessed against any individual who files more than 30 days late.

Name: Bill Flores **Daytime Telephone:** 202-225-6105

FILER STATUS	☒ Member of the U.S. House of Representatives State: TX District: 17	☐ Officer or Employee Employing Office: ______	Staff Filer Type: (If Applicable) Shared ☐ Principal Assistant ☐
REPORT TYPE	☒ 2016 Annual (Due: May 15, 2017)	☒ Amendment	☐ Termination Date of Termination: ______

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than $1,000 at the end of the reporting period? or b. Receive more than $200 in unearned income from any reportable asset during the reporting period?	Yes ☒	No ☐	**F.** Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes ☐	No ☒
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding $1,000 during the reporting period?	Yes ☒	No ☐	**G.** Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than $375 in value from a single source during the reporting period?	Yes ☐	No ☒
C. Did you or your spouse have "earned" income (*e.g.*, salaries, honoraria, or pension/IRA distributions) of $200 or more during the reporting period?	Yes ☐	No ☒	**H.** Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than $375 in value from a single source during the reporting period?	Yes ☒	No ☐
D. Did you, your spouse, or your dependent child have any reportable liability (more than $10,000) at any point during the reporting period?	Yes ☒	No ☐	**I.** Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes ☐	No ☒
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes ☒	No ☐	**ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"**		

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO – Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes ☐	No ☒
TRUSTS – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION – Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes ☐	No ☒

SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: Bill Flores

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BLOCK A

Assets and/or Income Sources

Identify (a) each asset held for investment or production of income and with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or source of income that generated more than $200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use only ticker symbols).

For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.

For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over $5,000, list every financial institution where there is more than $1,000 in interest-bearing accounts.

For rental and other real property held for investment, provide a complete address or description, *e.g.*, "rental property," and a city and state.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (*unless* there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.

If you have a privately-traded fund that is an Excepted Investment Fund, please check the "EIF" box.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left.

For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.

BLOCK B

Value of Asset

Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting period and is included only because it generated income, the value should be "None."

*Column M is for assets held by your spouse or dependent child in which you have no interest.

BLOCK C

Type of Income

Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the "Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if the asset generated no income during the reporting period.

BLOCK D

Amount of Income

For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if no income was earned or generated.

*Column XII is for assets held by your spouse or dependent child in which you have no interest.

BLOCK E

Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in the reporting period.

If only a portion of an asset was sold, please indicate as follows: (S (part)).

Leave this column blank if there are no transactions that exceeded $1,000.

SP, DC, JT	BLOCK A	EIF	A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	M Spouse/DC Asset over $1,000,000*	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: *e.g.*, Partnership Income or Farm Income)	I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	XII Spouse/DC Asset with Income over $1,000,000*	P, S, S(part), or E
Examples:																																				
SP	Mega Corp. Stock						X										X										X									S(part)
	Simon & Schuster				Indefinite																		Royalties			X										
	ABC Hedge Fund	X							X														Partnership Income					X								
	AICPA Ins Trust		X																X						X											
	Federal FSA (Attachment A)							X									X		X	X		X						X								PS
Note/	Fidelity IRA (Attachment B)										X						X		X	X		X										X				PS

Use additional sheets if more space is required.

SCHEDULE A – ASSETS & "UNEARNED INCOME"

Name: Bill Flores

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		BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income												BLOCK E Transaction
	SP, DC, JT	ASSET NAME	EIF	A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	M Spouse/DC Asset over $1,000,000*	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	XII Spouse/DC Asset with Income over $1,000,000*	P, S, S(part), or E
		Fidelity Jt Acct																																			
		See Note 1 and																																			
Note1		Attachment C										X						X	X	X	X		X										X				PS
		Loans Rec from																																			
		Flores Campaign				X											X								X												
		Sanders Oppor. Fund																																			
Note2		(Attachment D)						X										X	X	X	X									X							S(part)
		TPH Partners																																			
Note3		(Attach E & F)							X										X	X	X			Cash Dist	X												PS
		TPH Partners II																																			
Note[illegible]		(Attach G & H)								X									X	X	X			Cash Dist	■						X						PS
		Farm Bureau																																			
		Life Ins. Policie					X											X		X								X									
		Fidelity CMA							X									X		X			X			X											
		Wells Fargo 1			X															X						X											
		Wells Fargo 2				X														X						X											

Use additional sheets if more space is required.

SCHEDULE A – ASSETS & "UNEARNED INCOME"

Name: Bill Flores

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BLOCK A Assets and/or Income Sources			BLOCK B Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income												BLOCK E Transaction
			A	B	C	D	E	F	G	H	I	J	K	L	M									I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	
SP, DC, JT	ASSET NAME	EIF	None	$1-$1,000	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,000	Over $50,000,000	Spouse/DC Asset over $1,000,000*	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	None	$1-$200	$201-$1,000	$1,001-$2,500	$2,501-$5,000	$5,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$1,000,000	$1,000,001-$5,000,000	Over $5,000,000	Spouse/DC Asset with Income over $1,000,000*	P, S, S(part), or E
	Trulite Inc		X																	X				X					■							Sold
	Standard Renewable																																			
	Energy Group		X													X								X												
	5380 Leithbank								X							X								X												P

Note 4

Use additional sheets if more space is required.

SCHEDULE B – TRANSACTIONS

Name: Bill Flores

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Report any purchase, sale, or exchange transactions that exceeded $1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of $200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction				Check Box if Capital Gain Exceeded $200	Date	Amount of Transaction										
		Purchase	Sale	Partial Sale	Exchange		(MO/DA/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	A $1,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Over $1,000,000* (Spouse/DC Asset)
SP	Example Mega Corp. Stock			X		X	3/9/16		X									
	Federal Thrift Savings Plan (Attachment A)	X	X	X							X							
	Fidelity IRA -- Purchases Attachment B & Note 1	X												X				
	Fidelity IRA -- Sales Attachments B & Note 1		X	X		X								X				
	Fidelity Joint Account -- Purchases Attachment C & Note 1	X												X				
	Fidelity Joint Account -- Sales Attachment C & Note 1		X	X		X								X				
	Sanders Opportunity Fund Distributions		X	X		X		X										
	TPH Partners -- Cash Calls (Attachment F) Note	X						X										
	TPH Partners -- Distributions Attachment F & Note 3		X	X														
	TPH Partners II -- Cash Calls Attachment H & Note 3	X	[redacted]	[redacted]		[redacted]					X							

Use additional sheets if more space is required.

SCHEDULE B – TRANSACTIONS

Name: Bill Flores

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Report any purchase, sale, or exchange transactions that exceeded $1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of $200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction					Date	Amount of Transaction										
		Purchase	Sale	Partial Sale	Exchange	Check Box If Capital Gain Exceeded $200	(MO/DA/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	A $1,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Over $1,000,000* (Spouse/DC Asset)
SP	*Example* Mega Corp. Stock			X		X	3/8/16		X									
	TPH Partners II -- Distributions																	
	Attachment H & Note 3		X	X		X												
	TruLite Inc		X					X										
	5380 Laithbank	X											X					

Use additional sheets if more space is required.

SCHEDULE C – EARNED INCOME

Name: Bill Flores

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling $200 or more during the reporting period. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS and PROHIBITED INCOME: The 2016 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was $27,495. The 2017 limit is $27,765. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited.

Source (include date of receipt for honoraria)		Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$18,000
	Civil War Roundtable (Oct. 2)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	N/A
None			

Use additional sheets if more space is required.

Amended Page

SCHEDULE D – LIABILITIES

Name: Bill Flores

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Report liabilities of over $10,000 owed to any one creditor *at any time* during the reporting period by you, your spouse, or your dependent child. **Mark the highest amount owed during the reporting period. Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a ***revolving charge account*** (*i.e.*, credit card) only if the balance at the close of the reporting period exceeded $10,000. *Column K is for liabilities held solely by your spouse or dependent child.

	SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability A $10,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Over $1,000,000* (Spouse/DC Liability)
		Example First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE				x							
Note 5		American Express	12/16	Credit Card			X								
Note 5		Chase MasterCard	12/16	Credit Card	X										
Note 5		Citibank Mastercard	4/16	Credit Card		X									
Note 6		Amerihome Mortgage	12/16	Mortgage on Investment Real Estate					X						
		FSA Loan	06/16	FSA Loan		X									

SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Trustee	Houston Baptist University (Non-Compensated)
Director	Private Enterprise Research Center (Non-Compensated)
Director	Congressional Hispanic Leadership Institute (Non-Compensated)

Use additional sheets if more space is required.

Amended Page

SCHEDULE D – LIABILITIES

Name: Bill Flores

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Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or your dependent child. **Mark the highest amount owed during the reporting period. Members**: Members are required to report *all liabilities* secured by *real property* including mortgages on their personal residence. **Exclude**: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a ***revolving charge account*** (*i.e.*, credit card) only if the balance at the close of the reporting period exceeded $10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
				$10,001- $15,000	$15,001- $50,000	$50,001- $100,000	$100,001- $250,000	$250,001- $500,000	$500,001- $1,000,000	$1,000,001- $5,000,000	$5,000,001- $25,000,000	$25,000,001- $50,000,000	Over $50,000,000	Over $1,000,000* (Spouse/DC Liability)
	Example First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE				X							
	BNY Mortgage	07/15	Mortgage on Residence							X				
	BNY Mortgage	07/15	Mortgage on Residence 2						X					

SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization

Use additional sheets if more space is required.

SCHEDULE F – AGREEMENTS

Name: Bill Flores

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Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
	None	

SCHEDULE G – GIFTS

Report the source (by name), a brief description, and the value of all gifts totaling more than $375 received by you, your spouse, or your dependent child from any source during the year. **Exclude:** Gifts from relatives, gifts of personal hospitality from an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $150 or less need not be added towards the $375 disclosure threshold. **Note:** The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source		Description	Value
Example:	Mr. Joseph Smith, Arlington, VA	Silver Platter (determination of personal friendship received from the Ethics Committee)	$400
	None		

Use additional sheets if more space is required.

Amended Page

SCHEDULE H – TRAVEL PAYMENTS and REIMBURSEMENTS

Name: Bill Flores

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $375 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

	Source	Date(s)	City of Departure-Destination-City of Return	Lodging? (Y/N)	Food? (Y/N)	Family Member Included? (Y/N)
Examples:	Government of China (MECEA)	Aug. 6-11	DC-Beijing, China-DC	Y	Y	N
	Habitat for Humanity (charity fundraiser)	Mar. 3-4	DC-Boston-DC	Y	Y	Y
	Conservative Members	Jan 27-29	DC-Middleburg, VA-	Y	Y	Y
	Retreat		DC			
	Sponsored by Heritage Foundation					
	USA-UK Parlimentary	Nov 9-14	Houston-London-	Y	Y	Y
	Meetings & Conferences		Houston			
	Sponsored by The Ripon Society and The Franklin Center for Global Policy					
	Exchange					

Use additional sheets if more space is required.

SCHEDULE I – PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name: Bill Flores

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List the source, activity (*i.e.*, speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of paying an honorarium to you. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics.

Source		Activity	Date	Amount
Examples:	Association of American Associations, Washington, DC	Speech	Feb, 2, 2016	$2,000
	XYZ Magazine	Article	Aug. 13, 2016	$500
None				

Use additional sheets if more space is required.

FILER NOTES
(Optional)

Name: Bill Flores

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NOTE NUMBER	NOTES
1	These accounts are managed by Salient Investment Advisors
	pursuant to an Asset Allocation Model that is updated
	periodically. All investment decisions are executed by
	Salient to achieve the goals pursuant to the Asset Allocation.
2	Sanders Opportunity Fund is a private equity fund which
	has matured and is being liquidated.
3	TPH Partners and TPH Partners II are private equity
	funds in which we are passive limited partners.
4	The investment in Standard Renewable Energy
	Group has been determined to have No value
	as of December 31, 2016
5	All credit cards are paid in full each month
6	Mortgage on 5380 Lathbank investment property

Use additional sheets if more space is required.

Ex 4

Attachment A

13

Thrift Savings Plan

WILLIAM H. FLORES
[redacted]

Your 2016 Annual Statement

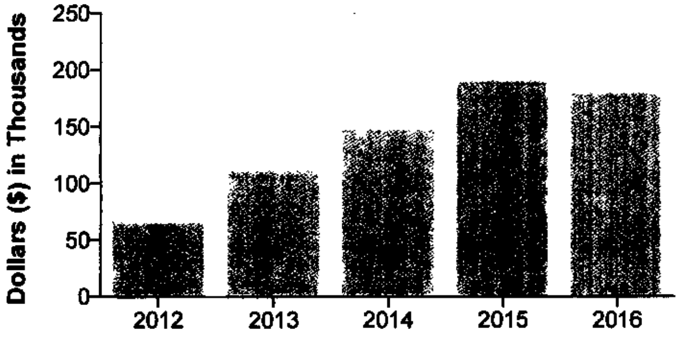
[redacted]

Service Required for Vesting: 2 years
from 01/03/2011

How your account value changed in 2016

Value on January 1, 2016	**$190,998.59**
Change in value this year	– 9,978.70
Value on December 31, 2016	**$181,019.89**

Your 5-Year Account Balance History



A MESSAGE FOR YOU

If you're thinking about moving the money in your TSP account to another provider, think twice. You're almost certain to pay higher fees if you move your money out of the TSP. Visit tsp.gov/keepingscore for a list of questions you should consider asking the provider. Then, compare the answers to the TSP.

Will you be ready for retirement?

Your 12/31/2016 TSP account balance would provide you a lifetime monthly amount of

$818*

*This estimate:

- assumes that you are age 62 (or your current age if you are older);
- uses an annuity interest rate index of 1.625%; and
- assumes that you took a single life annuity with level payments and no additional features.

Note: The above annuity estimate is not a guarantee. You can use the Retirement Income Calculator on the TSP's website to learn more about your available annuity options.

Questions? Visit tsp.gov

ThriftLine: 1-877-968-3778
Outside the U.S. and Canada: 404-233-4400
TDD: 1-877-847-4385
YouTube: youtube.com/tsp4gov
Twitter: @tsp4gov
Facebook: fb.com/tsp4gov

To correct any of your personal information, contact your agency.

Keep your account secure — review your account profile.

[redacted]

Quarterly Statements: Electronic
Annual Statements: Mailed

Attachment A 2X4 14

A Summary of Your Account Activity in 2016

Beginning Balance as of January 1, 2016	**$190,998.59**
Contributions	32,700.00
Transfers/Rollovers	0.00
Loan Disbursements	– 50,000.00
Loan Payments	4,373.25
In-Service Withdrawals	0.00
Investment Gain/Loss	2,948.05
Post-Separation Withdrawals	0.00
Ending Balance as of December 31, 2016	**$181,019.89**

Contributions to Your Account in 2016 (Without Adjustments)

Employee Contributions[1]		**Agency Contributions**		**Transfers/Rollovers Into the TSP**		
Traditional	**Roth**	**Automatic 1%**	**Matching**	**Traditional**	**Roth**	**Total**
$24,000.00	$0.00	$1,740.00	$6,960.00	$0.00	$0.00	$32,700.00

[1] Includes regular, catch-up, makeup, and late contributions. The traditional contribution amount includes $6,000.00 in traditional catch-up contributions. *The Roth contribution amount includes $0.00 in Roth catch-up contributions.*

Your Lifetime TSP Contributions

Traditional	Roth	Catch-Up[2]	Total
$104,484.00	$0.00	$33,988.00	$138,472.00

This section reflects your contributions from all periods of service.

[2] Includes traditional and Roth balances.

The Federal Retirement Thrift Investment Board submits an annual report to Congress each year on the operations of the Thrift Savings Plan, including participant demographics and contribution information. To read the report, visit frtib.gov.

WILLIAM H. FLORES

[redacted]

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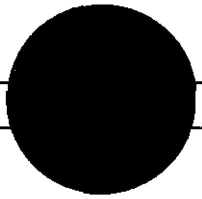
Your Account Distribution on 12/31/2016



Funds for Diversified Growth and Stability

L 2050 Fund 0%
L 2040 Fund 0%
L 2030 Fund 0%
L 2020 Fund 0%
L Income Fund 0%

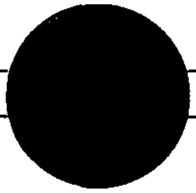
Funds for Stability

G Fund — Government Securities Investment Fund 100%
F Fund — Fixed Income Index *Investment Fund* 0%

Funds for Long-Term Growth

C Fund — Common Stock Index Investment Fund 0%
S Fund — Small Capitalization Stock *Index Investment Fund*....... 0%
I Fund — International Stock Index Investment Fund 0%

Your Investment Allocation for Future Contributions



Funds for Diversified Growth and Stability

L 2050 Fund 0%
L 2040 Fund 0%
L 2030 Fund 0%
L 2020 Fund 0%
L Income Fund 0%

Funds for Stability

G Fund — Government Securities Investment Fund 100%
F Fund — Fixed Income Index Investment Fund 0%

Funds for Long-Term Growth

C Fund — Common Stock Index Investment Fund 0%
S Fund — Small Capitalization Stock Index Investment Fund....... 0%
I Fund — International Stock Index *Investment Fund* 0%

TSP Fund Performance (As of 12/31/2016)

	Individual TSP Funds					Lifecycle (Target Date) Funds					
	G Fund	F Fund	C Fund	S Fund	I Fund	L 2050	L 2040	L 2030	L 2020	L Income	Your Rates of Return[3]
1-Year	1.82%	2.91%	12.01%	16.35%	2.10%	8.65%	7.90%	7.07%	5.47%	3.58%	1.12%
3-Year	2.06%	3.49%	8.95%	6.78%	– 1.27%	5.10%	4.91%	4.58%	3.94%	3.06%	3.19%
5-Year	1.91%	2.59%	14.73%	14.84%	6.87%	11.16%	10.21%	9.13%	7.55%	4.18%	8.99%

[3] Your personal rates of return are calculated with a time-weighted formula, widely used by financial analysts to calculate investment earnings. They reflect the results of your investment selections as well as activity in your account. There are other personal rate of return formulas used that may yield different results. Remember that past performance is no guarantee of future results.

TSP Administrative Expenses for 2016

	G Fund	F Fund	C Fund	S Fund	I Fund	L 2050	L 2040	L 2030	L 2020	L Income
Cost Per $1,000 in Your Account	38¢	38¢	38¢	38¢	39¢	38¢	38¢	38¢	38¢	38¢
Net Expense Ratio	.038%	.038%	.038%	.038%	.039%	.038%	.038%	.038%	.038%	.038%

↓

Did you know that you only paid 38 cents for every $1,000 in your TSP account in 2016?*

*** This is an average net administrative expense of each of the 5 core TSP funds. A detailed explanation of 2016 expenses as well as fees associated with securities lending will be available in the April 2017 *Highlights*.**

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Activity Summary by Fund

Fund	Beginning Balance	Contributions and Additions	Withdrawals and Deductions	Interfund Transfers	Investment Gain/Loss	Ending Balance
G Fund	$0.00	$10,361.65	– $25,030.99	$194,772.82	$916.41	$181,019.89
F Fund	0.00	10,361.60	– 24,969.01	16,598.57	– 1,991.16	0.00
C Fund	64,424.98	5,395.50	0.00	– 71,983.90	2,163.42	0.00
S Fund	64,228.05	5,559.00	0.00	– 72,036.58	2,249.53	0.00
I Fund	62,345.56	5,395.50	0.00	– 67,350.91	– 390.15	0.00
Total	**$190,998.59**	**$37,073.25**	**– $50,000.00**	**$0.00**	**$2,948.05**	**$181,019.89**

Share Summary by Fund

Fund	Beginning Balance	Investment Gain/Loss	Ending Balance	Beginning Shares		Ending Shares	
				Price	Number	Price	Number
G Fund	$0.00	$916.41	$181,019.89	$14.9154	0.0000	$15.1869	11,919.4760
F Fund	0.00	– 1,991.16	0.00	16.9549	0.0000	17.4483	0.0000
C Fund	64,424.98	2,163.42	0.00	27.5622	2,337.4396	30.8727	0.0000
S Fund	64,228.05	2,249.53	0.00	35.2356	1,822.8172	40.9960	0.0000
I Fund	62,345.56	– 390.15	0.00	24.0952	2,587.4679	24.6018	0.0000
Total	**$190,998.59**	**$2,948.05**	**$181,019.89**				

Your 2016 Loan Summary

	Loan Number 0619001G
Loan Issue Date	**06/23/2016**
Amount of New Loan	**$50,000.00**
Principal Repaid[4]	3,975.36
Ending Principal	**$46,024.64**
Interest Paid	397.89

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[4] Principal repaid includes corrections to any loan disbursements or loan payments.

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Position Performance

WILLIAM H FLORES-Rollover IRA[redacted]

From December 31, 2015 to December 31, 2016

Symbol	Description	12/31/2015 Value	Additions/ Purchases	Withdrawals/ Sales	Net Contribution	Realized Gain Loss	Unrealized Gain Loss	Income/ Expenses	12/31/2016 Value
Cash									
FDUXX	Fidelity Treasury DMC	$17,810	$22,751	($28,010)	($5,259)	$0	$0	($10,694)	$1,858
	[illegible] Total	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]
Equity									
DFEVX	DFA Emerging Markets Value I	$75,189	$0	($1,755)	($1,755)	$0	$13,084	$1,755	$88,274
DFIVX	DFA International Value I	$313,755	$0	($11,037)	($11,037)	$0	$14,288	$11,037	$328,044
DFLVX	DFA US Large Cap Value I	$385,260	$0	($8,323)	($8,323)	$10,281	$53,275	$8,323	$448,815
DFSTX	DFA US Small Cap I	$60,775	$0	($707)	($707)	$1,775	$11,727	$707	$74,278
DFFVX	DFA US Targeted Value I	$76,660	$0	($930)	($930)	$3,024	$16,504	$930	$96,188
BSMKX	iShares Russell Small/Mid-Cap Idx K	$0	$28,010	$0	$28,010	$0	($466)	$0	$27,544
	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]
	Total	$929,451	$50,761	($50,761)	$0	$15,079	$108,413	$12,058	$1,065,001

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Position Performance

WILLIAM H FLORES-Rollover IRA[redacted]

From December 31, 2015 to December 31, 2016

Symbol	Description	12/31/2015 Value	Additions/ Purchases	Withdrawals/ Sales	Net Contribution	Realized Gain Loss	Unrealized Gain Loss	Income/ Expenses	12/31/2016 Value
Cash									
FDUXX	Fidelity Treasury DMC	$13,862	$427,062	($424,674)	$2,388	$0	$0	($5,740)	$10,510
	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]
Equity									
969CSH980	Williams Cos Inc Cash Election Fm 969457100	$0	$18,850	($18,741)	$109	($109)	$0	$0	$0
	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]
Real Return									
ETE	Energy Transfer Equity LP	$47,018	$45,143	($4,597)	$40,546	$0	$30,256	$975	$118,795
ENLC	EnLink Midstream LLC	$33,228	$64,053	($9,765)	$54,288	$942	$19,677	$3,270	$111,404
EQGP	Eqt Gp Holdings Lp	$84,036	$0	($104,768)	($104,768)	$19,088	$0	$1,643	$0
OKE	ONEOK Inc	$26,140	$48,677	($3,193)	$45,484	$0	$43,046	$3,193	$117,863
PAGP	Plains GP Holdings LP	$30,023	$17,268	($62,700)	($45,432)	$12,833	$0	$2,577	$0
SEMG	Semgroup Corp	$16,104	$0	($18,903)	($18,903)	$2,046	$0	$753	$0
TEGP	Tallgrass Energy GP LP	$68,958	$0	($105,914)	($105,914)	$34,244	$0	$2,712	$0
TEGP	Tallgrass Energy GP LP	$0	$101,646	$0	$101,646	$0	$10,941	$0	$112,587
TRGP	Targa Resources Corp	$24,976	$54,779	($109,058)	($54,279)	$24,879	$0	$4,424	$0
WGP	Western Gas Equity Partners LP	$72,580	$17,858	($6,142)	$11,717	$383	$12,835	$3,618	$101,132
WMB	Williams Companies Inc	$23,336	$0	($19,431)	($19,431)	($4,486)	$0	$581	$0
WMB	Williams Companies Inc	$0	$0	($581)	($581)	$0	$0	$581	$0
WMB	Williams Companies Inc	$0	$93,992	($861)	$93,131	$0	$11,791	$861	$105,783
	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]
	Total	$440,262	$889,327	($889,327)	$0	$89,820	$128,545	$19,447	$678,073

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Position Performance

WILLIAM H FLORES-Rollover IRA [redacted]

From December 31, 2015 to December 31, 2016

Symbol	Description	12/31/2015 Value	Additions/ Purchases	Withdrawals/ Sales	Net Contribution	Realized Gain Loss	Unrealized Gain Loss	Income/ Expenses	12/31/2016 Value
Cash									
FDUXX	Fidelity Treasury DMC	$10,713	$20,490	($18,010)	$2,480	$0	$0	($7,971)	$5,221
	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]
Fixed Income									
DBLTX	DoubleLine Total Return Bond I	$153,140	$18,010	($5,734)	$12,276	$0	($2,384)	$5,734	$168,766
LQD	iShares iBoxx $ Investment Grade Corporate Bond ETF	$165,086	$0	($5,664)	($5,664)	$0	$4,590	$5,664	$169,677
LSIIX	Loomis Sayles Investment Grade Bond Y	$201,935	$0	($5,343)	($5,343)	$8,135	($1,094)	$5,343	$208,976
BND	Vanguard Total Bond Market ETF	$149,083	$0	($3,748)	($3,748)	$66	$55	$3,683	$149,138
	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]
	Total	$679,957	$38,500	($38,500)	$0	$8,201	$1,167	$12,452	$701,778

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Position Performance

WILLIAM H FLORES - Joint WROS [redacted]

From December 31, 2015 to December 31, 2016

Symbol	Description	12/31/2015 Value	Additions/ Purchases	Withdrawals/ Sales	Net Contribution	Realized Gain Loss	Unrealized Gain Loss	Income/ Expenses	12/31/2016 Value
Cash									
FDUXX	Fidelity Treasury DMC	$65,826	$1,051,817	($1,100,457)	($48,641)	$0	$0	($5,151)	$12,034
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]
Equity									
MMM	3M Co	$30,128	$0	($24,881)	($24,881)	$2,833	$1,620	$657	$10,357
ACN	Accenture PLC A	$25,080	$6,490	($25,489)	($19,000)	$2,575	$1,452	$551	$10,659
AFL	Aflac Inc	$21,564	$1,614	($24,639)	($23,025)	$1,301	$0	$159	$0
MO	Altria Group Inc	$39,583	$4,705	($35,109)	($30,404)	$2,822	$1,825	$1,727	$15,553
AAPL	Apple Inc	$33,683	$2,013	($29,058)	($27,045)	$1,264	$948	$532	$9,381
T	AT&T Inc	$53,680	$5,132	($49,981)	($44,850)	$3,360	$6,749	$2,752	$21,690
ADP	Automatic Data Processing Inc	$33,888	$2,035	($27,446)	($25,412)	$1,946	$2,774	$782	$13,978
COF	Capital One Financial Corp	$0	$24,694	($17,520)	$7,175	$2,111	$2,119	$285	$11,690
COH	Coach Inc	$24,220	$7,185	($22,716)	($15,531)	$2,029	$1,223	$701	$12,642
CL	Colgate-Palmolive Co	$43,969	$1,628	($32,779)	($31,151)	$401	$805	$830	$14,855
LLY	Eli Lilly and Co	$76,677	$20,589	($91,765)	($71,175)	($6,891)	$0	$1,390	$0
EMR	Emerson Electric Co	$26,785	$4,567	($25,083)	($20,515)	$1,898	$1,330	$704	$10,202
XOM	Exxon Mobil Corp	$0	$35,977	($27,665)	$8,312	$3,030	$1,517	$952	$13,810
GIS	General Mills Inc	$68,039	$0	($57,828)	($57,828)	$4,178	$1,147	$1,699	$17,234
GILD	Gilead Sciences Inc	$0	$35,370	($32,701)	$2,669	($3,058)	$0	$390	$0
INTC	Intel Corp	$28,938	$5,775	($26,819)	($21,044)	$168	$1,315	$779	$10,156
IBM	International Business Machines Corp	$48,167	$5,366	($49,614)	($44,248)	($3,919)	$0	$0	$0
IBM	International Business Machines Corp	$0	$0	($511)	($511)	$0	$0	$511	$0
IBM	International Business Machines Corp	$0	$45,787	($36,318)	$9,469	$1,563	$1,878	$867	$13,777
IYH	iShares U.S. Healthcare ETF	$0	$12,147	($12,307)	($160)	$160	$0	$0	$0
JNJ	Johnson & Johnson	$41,088	$1,350	($31,608)	($30,258)	$2,787	$1,759	$984	$16,360
LMT	Lockheed Martin Corp	$56,459	$3,624	($48,878)	($45,254)	$3,852	$2,527	$1,161	$18,746
LYB	LyondellBasell Industries NV	$0	$14,235	($5,889)	$8,346	$438	$757	$153	$9,693
MA	Mastercard Inc A	$28,234	$4,755	($24,704)	($19,949)	$737	$1,091	$211	$10,325
MCD	McDonald's Corp	$48,437	$7,018	($39,617)	($32,598)	$1,580	$18	$1,309	$18,745
MSFT	Microsoft Corp	$33,843	$0	($32,020)	($32,020)	($1,823)	$0	$0	$0
MSFT	*Microsoft Corp*	*$0*	*$0*	*($220)*	*($220)*	*$0*	*$0*	*$220*	*$0*

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Position Performance

WILLIAM H FLORES - Joint WROS [redacted]

From December 31, 2015 to December 31, 2016

Symbol	Description	12/31/2015 Value	Additions/ Purchases	Withdrawals/ Sales	Net Contribution	Realized Gain Loss	Unrealized Gain Loss	Income/ Expenses	12/31/2016 Value
Equity									
PEP	PepsiCo Inc	$53,957	$1,521	($40,800)	($39,278)	$1,646	$816	$1,483	$18,624
PM	Philip Morris International Inc	$26,373	$2,109	($21,224)	($19,115)	$1,678	$223	$997	$10,155
STX	Seagate Technology PLC	$12,098	$8,824	($15,879)	($7,055)	($5,343)	$0	$301	$0
STX	Seagate Technology PLC	$0	$10,475	($5,427)	$5,047	$789	$756	$126	$6,718
XLK	Technology Select Sector SPDR® ETF	$0	$50,272	($53,620)	($3,348)	$3,348	$0	$0	$0
XLK	Technology Select Sector SPDR® ETF	$0	$0	($272)	($272)	$0	$0	$272	$0
TJX	TJX Companies Inc	$43,964	$858	($35,304)	($34,446)	$2,634	$744	$477	$13,373
UPS	United Parcel Service Inc Class B	$33,681	$3,164	($29,625)	($26,462)	$3,362	$2,088	$859	$13,528
	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]
Real Return									
WES	Western Gas Partners, LP	$23,290	$0	($16,111)	($16,111)	($7,179)	$0	$0	$0
WES	Western Gas Partners, LP	$0	$0	($392)	($392)	$0	$0	$392	$0
	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]
	Total	$1,021,650	$1,381,096	($2,152,274)	($771,178)	$26,277	$37,476	$20,058	$334,285

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Position Performance

WILLIAM H FLORES - Joint WROS [redacted]

From December 31, 2015 to December 31, 2016

Symbol	Description	12/31/2015 Value	Additions/ Purchases	Withdrawals/ Sales	Net Contribution	Realized Gain Loss	Unrealized Gain Loss	Income/ Expenses	12/31/2016 Value
Cash									
FDUXX	Fidelity Treasury DMC	$11,953	$288,296	($291,831)	($3,534)	$0	$0	($726)	$7,693
	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]
Fixed Income									
29273RBA6	Energy Transfer Prtnrs L P 11/01/2066 4.187%	$63,000	$0	($80,254)	($80,254)	$6,550	$6,500	$4,204	$0
	Accrued Income	$709							
29379VAN3	Enterprise Prods Oper Llc 06/01/2067 3.979% Call 06/01/2017 100.00	$20,500	$0	($22,897)	($22,897)	($75)	$625	$1,847	$0
	Accrued Income	$83							
89352HAC3	Transcanada Pipelines Ltd 05/15/2067 3.392% Call 05/15/2017 100.00	$56,625	$0	($66,439)	($66,439)	$4,575	$0	$5,239	$0
	Accrued Income	$325							
	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]
	Total	$153,195	$288,296	($461,420)	($173,124)	$11,050	$7,125	$10,564	$7,693

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Position Performance

WILLIAM H FLORES - Joint WROS [redacted]

From December 31, 2015 to December 31, 2016

Symbol	Description	12/31/2015 Value	Additions/ Purchases	Withdrawals/ Sales	Net Contribution	Realized Gain Loss	Unrealized Gain Loss	Income/ Expenses	12/31/2016 Value
Cash									
FDUXX	Fidelity Treasury DMC	$1,010	$504,707	($501,954)	$2,753	$0	$0	($3,762)	$1
	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]
Real Return									
MLP000000	Salient MLP Fund, L.P.	$1,432,965	$0	$0	$0	$0	$321,540	$0	$1,754,504
	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]
Other									
AIMASSFUN	American Infrastructure MLP Associates Fund, LP	$190,556	$0	($3,301)	($3,301)	$0	$4,272	$0	$191,527
	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]
	Total	$1,624,531	$504,707	($505,255)	($548)	$0	$325,812	($3,762)	$1,946,032

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SANDERS OPPORTUNITY FUND (INSTITUTIONAL), L.P.

Schedule of Investments
December 31, 2016

Description	Shares	Cost	Estimated Fair Value	% of Total Net Assets
Restricted Common and Preferred Stock:				
Marketing				
Big Tent Entertainment, LLC (1)	134,400	[redacted]	[redacted]	
		[redacted]	[redacted]	0.00%
Total Restricted Common and Preferred Stock		[redacted]	[redacted]	**0.00%**
Limited Partnerships				
Investment				
Corporate Opportunities Fund (Institutional), L.P. (2)	5,956,408	[redacted]	[redacted]	
Life Sciences Opportunities Fund II, L.P. (2)	3,246,975	[redacted]	[redacted]	
Life Sciences Opportunity Fund, L.P. (2)	574,600	[redacted]	[redacted]	
SMH Private Equity Group II, L.P. (2)	1,090	[redacted]	[redacted]	
		[redacted]	[redacted]	36.85%
Pharmaceuticals				
SMH Spepharm II, LLC (2)	205	[redacted]	[redacted]	
SMH Spepharm, LLC (2)	757	[redacted]	[redacted]	
SMH Swiss Pharma II, LLC (2)	375	[redacted]	[redacted]	
SMH Swiss Pharma, LLC (2)	384	[redacted]	[redacted]	
		[redacted]	[redacted]	24.03%
Healthcare				
SMH NuPhysicia, LLC (2)	15	[redacted]	[redacted]	
SMH NuPhysicia, LLC Series C (2)	52,377	[redacted]	[redacted]	
		[redacted]	[redacted]	0.96%
Software				
SMH Quodd, LLC (2)	395	[redacted]	[redacted]	
SMH Quodd II, LLC (2)	120	[redacted]	[redacted]	
SMH Zilliant LLC Preferred Series B (2)	379	[redacted]	[redacted]	
SMH Zilliant LLC Preferred Series C (2)	155	[redacted]	[redacted]	
		[redacted]	[redacted]	26.02%
Total Investment in Limited Partnerships		[redacted]	[redacted]	**87.86%**

The accompanying notes are an integral part of this statement.

Attachment A 2x2 25

SANDERS OPPORTUNITY FUND (INSTITUTIONAL), L.P.

Schedule of Investments (Continued)

December 31, 2016

Description	Shares	Cost	Estimated Fair Value	% of Total Net Assets
Warrants				
Investment				
Life Sciences Opportunities Fund, L.P. (2)	29,617	[redacted]	[redacted]	
Life Sciences Opportunities Fund, Inst'l L.P. (2)	6,664	[redacted]	[redacted]	
SMH Private Equity Group II, L.P. (2)	27,659	[redacted]	[redacted]	
		[redacted]	[redacted]	0.00%
Total Warrants		[redacted]	[redacted]	0.00%
Total Investments in Portfolio Companies		[redacted]	[redacted]	87.86%
Net unrealized loss on investments			[redacted]	

NOTE: Investments are in entities with primary operations in the United States unless otherwise noted.

(1) *Securities are restricted against transfer unless the transfer is affected in compliance with the Securities Act of 1933, as amended and applicable state securities laws.*

(2) *This company is an affiliate of the Partnership.*

The accompanying notes are an integral part of this statement.

Attachment E 26

TPH Partners, L.P.

Schedule of Investments

December 31, 2016

Investments	# of Shares / % of Interest	Cost	Fair Value	Percentage of Total Partners' Capital
Energy				
Common Stock				
Ingrain, Inc.	2,771,640	[redacted]	[redacted]	23.52%
Total Common Stock		[redacted]	[redacted]	23.52%
Member Interests				
BlueRock Energy Capital, LLC	98.20%	[redacted]	[redacted]	63.06%
Clearfork Resources, LLC	91.80%	[redacted]	[redacted]	12.35%
Channel Energy, LLC	14.60%	[redacted]	[redacted]	1.29%
Total Member Interests		[redacted]	[redacted]	76.70%
Total Energy		[redacted]	[redacted]	100.22%
Total Investments		[redacted]	[redacted]	100.22%

See accompanying notes.

Attachment F 27

TPH
PARTNERS

TPH PARTNERS, L.P.
COMMITMENT SUMMARY & CAPITAL ACCOUNT STATEMENT
(EXPRESSED IN US DOLLARS AND UNAUDITED)

FOR THE PERIOD:
Inception to December 31, 2016

Total Commitments:	$96,608,824

Account: William H. Flores

Commitment Amount:	$500,000	Percent Ownership:	0.52%
Funded Commitment: [1]	$436,620	% of Capital Called:	87.32%
Commitment Remaining: [1]	$63,380	% of Commitment Remaining:	12.68%

	Current Quarter	Year-to-Date	Inception-to-Date
Beginning Capital	**$198,140**	**$190,212**	**$**
Transfer In/Out	0	0	0
Capital Contributions	2,534	14,304	489,709
Investment Gain (Loss)	(74,422)	(74,422)	(12,526)
Less Expenses/Fees	(1,451)	(5,292)	(71,246)
Net Gain (Loss)	(75,873)	(79,715)	(83,771)
Distributions	0	0	(281,136)
Ending Capital	**$124,801**	**$124,801**	**$124,801**

[1] Reinvestable Distributions in the amount of $53,089 decrease Funded Commitment and increase Commitment Remaining.

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Attachment G

TPH Partners II, L.P.

Schedule of Investments

December 31, 2016

Investments *	Percentage Interest	Cost	Fair Value	Percentage of Total Partners' Capital
Energy				
Member Units				
Enwater Solutions, LLC **	88.02%	[redacted]	[redacted]	22.50%
Channel Energy, LLC	82.50%	[redacted]	[redacted]	1.57%
Principle Petroleum Partners LLC	98.80%	[redacted]	[redacted]	12.54%
Elk Meadows Resources LLC	98.40%	[redacted]	[redacted]	33.84%
TPHP Laurel Mountain, LP	29.20%	[redacted]	[redacted]	15.14%
TPHP Antioch	77.14%	[redacted]	[redacted]	14.46%
Total Energy		[redacted]	[redacted]	100.05%

** All investments have significant operations in the United States of America.*

*** formerly known as Big Horn Energy Services II, LLC*

See accompanying notes.

Attachment H 29

TPH
PARTNERS

TPH PARTNERS II, L.P.
COMMITMENT SUMMARY & CAPITAL ACCOUNT STATEMENT
(EXPRESSED IN US DOLLARS AND UNAUDITED)

FOR THE PERIOD:
Inception to December 31, 2016

Total Commitments:	$195,125,000

Account: William H. Flores

Commitment Amount:	$500,000	Percent Ownership:	0.2562%
Funded Commitment:	$296,978	% of Capital Called:	59.3956%
Commitment Remaining:	$203,022	% of Commitment Remaining:	40.6044%

	Current Quarter	Year-to-Date	Inception-to-Date
Beginning Capital	**$158,157**	**$103,658**	**$ 0**
Transfer In/Out	0	0	0
Capital Contributions	62,208	126,153	296,978
Investment Gain (Loss)	46,001	46,001	17,330
Less Expenses/Fees	(926)	(10,372)	(48,867)
Net Gain (Loss)	45,075	35,630	(31,537)
Distributions	0	0	0
Ending Capital	**$265,441**	**$265,441**	**$265,441**