Filing ID #10024176

Financial Disclosure Report

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

Filer Information

Name: Hon. Jeb Hensarling
Status: Member
State/District: TX05

Filing Information

Filing Type: Amendment Report
Filing Year: 2016
Filing Date: 07/13/2018

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Allianzgi NFJ Divid INT & Prem [MF]	SP	$1,001 - $15,000	Dividends	$1 - $200	
Amazon.com, Inc. (AMZN) [ST]	SP	$15,001 - $50,000	Tax-Deferred		
American Global Balanced Fund Class F1 N/L [MF]	SP	$1,001 - $15,000	Tax-Deferred		
Artisan International Investor Class Fund (Retirement Account) [MF]		$50,001 - $100,000	Tax-Deferred	None	
Blackrock International Grwth & Inc TR Com Bene Inter [MF]	SP	$1,001 - $15,000	Tax-Deferred		
Boeing Company (BA) [ST]	SP	$1,001 - $15,000	Tax-Deferred		
Calamos Growth & Income Fund Class A M/F (CVTRX) [MF]	SP	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	
Capital Income Builder Fund Class A - American Funds M/F (CAIBX) [MF]	SP	$1,001 - $15,000	Dividends	$1 - $200	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Capital World Growth & Income Fund Class F1 - American N/L [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Chevron Corporation (CVX) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☐
Davis Real Estate Fund Class C M/F (DRECX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Dreyfus Opportunity Small Cap Fund (Retirement Account) [MF]		$15,001 - $50,000	Tax-Deferred		☑
E.I. du Pont de Nemours and Company (DD) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☐
Eaton Vance Tax MNGD GBL DV EQ [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Energy Transfer Equity, L.P. Energy Transfer Equity, L.P. Common Units representing Limited Partners (ETE) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☐
Federated Strategic Value Dividend Fund Class C M/F (SVACX) [MF]	SP	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☐
Fidelity 500 Index Premium (Retirement Account) [MF]		$50,001 - $100,000	Tax-Deferred		☑
Fidelity Capital and Income Fund [MF]		$1,001 - $15,000	Capital Gains, Dividends	$1,001 - $2,500	☑
Fidelity Cash Reserves (Retirement Account) [MF]		$50,001 - $100,000	Tax-Deferred		☐
Fidelity Contra Fund (Retirement Account) [MF]		$15,001 - $50,000	Tax-Deferred		☐
Fidelity Municipal Money Market Fund [MF]		$1,001 - $15,000	Interest	$1 - $200	☑
Fidelity New Markets income (Retirement Account) [MF]		None	Capital Gains	$2,501 - $5,000	☑
Fidelity Overseas Fund [MF]		None	Capital Gains	$5,001 - $15,000	☑
Fidelity Real Estate Investment (Retirement Account) [MF]		$15,001 - $50,000	Tax-Deferred	None	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Fidelity Select Gold (Retirement Account) [MF]		$1,001 - $15,000	Tax-Deferred	None	☐
Fidelity Select Semiconductors [MF]		$1,001 - $15,000	Capital Gains, Dividends	$1,001 - $2,500	☑
Fidelity Strategic Income (Retirement Account) [MF]		$15,001 - $50,000	Tax-Deferred	None	☐
Fidelity Total Bond Fund [MF]		$1,001 - $15,000	Dividends	$1,001 - $2,500	☑
Fidelity VIP Contra Fund (Retirement Account) [MF]		$50,001 - $100,000	Tax-Deferred	None	☐
Fidelity VIP Growth Opportunities Fund (Retirement Account) [MF]		$50,001 - $100,000	Tax-Deferred	None	☐
Fidelity VIP Index 500 (Retirement Account) [MF]		$100,001 - $250,000	Tax-Deferred		☐
Fidelity VIP Real Estate (Retirement Account) [MF]		$15,001 - $50,000	Tax-Deferred	None	☐
Fidelity VIP Strategic Income (Retirement Account) [MF]		$50,001 - $100,000	Tax-Deferred	None	☐
First Trust Exch Traded FD VI Multi Asset DIV INCM INDX FD [EF]	SP	$1,001 - $15,000	Tax-Deferred		☐
First Trust Health Care Alphadex FD ETF [EF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Gabelli DIVD & Income TR [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Guggenheim Macro Opportunities FD CL C M/F (GIOCX) [MF]	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Income Fund of America Class A - American Funds M/F (AMECX) [MF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
Income Fund of America Class F1 - American Funds N/L [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Invesco Floating Rate Fund Class A M/F [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Invesco Global Real Estate Income Fund Class A M/F (ASRAX) [MF]	SP	$1,001 - $15,000	Dividends	$1 - $200	
Invesco SR Income TR (VVR) [MF]	SP	$1,001 - $15,000	Dividends	$1 - $200	
Ivy Science & Technology Fund Class C M/F [MF]	SP	$1,001 - $15,000	Tax-Deferred		
Janus Henderson Enterprise Fund Class C M/F (JGRCX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		
MFS High Income Fund Class A M/F [MF]	SP	$1,001 - $15,000	Tax-Deferred		
Morgan Stanley - Consulting Group - Emerging Markets Equity Investment (Retirement Account) [MF]	SP	$1,001 - $15,000	Tax-Deferred	None	
Morgan Stanley - Consulting Group - Government Money Market Investment (Retirement Account) [MF]	SP	$1 - $1,000	Tax-Deferred	None	
Morgan Stanley - Consulting Group - International Equity Investment (Retirement Account) [MF]	SP	$1,001 - $15,000	Tax-Deferred	None	
Morgan Stanley - Consulting Group - Large Capital Capital Growth Investment (Retirement Account) [MF]	SP	$1,001 - $15,000	Tax-Deferred	None	
Morgan Stanley - Consulting Group - Large Capital Value Equity Investment (Retirement Account) [MF]	SP	$1,001 - $15,000	Tax-Deferred	None	
Morgan Stanley - Consulting Group - Small Capital Growth Investment (Retirement Account) [MF]	SP	$1,001 - $15,000	Tax-Deferred	None	
Morgan Stanley - Consulting Group - Small Capital Value Equity Investment (Retirement Account) [MF]	SP	$1,001 - $15,000	Tax-Deferred	None	
Nuveen Dow 30 DYN Overwrite SHS (SIAX) [MF]	SP	$1,001 - $15,000	Dividends	$1 - $200	
Nuveen Small Cap Value Fund Class C M/F (FSCVX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		
Oppenheimer Senior Floating Rate Fund Class C M/F (OOSCX) [MF]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Pimco Fundamental Index Plus Trust (Retirement Account) [MF]		$15,001 - $50,000	Tax-Deferred	None	☐
Pimco Total Return Class D (Retirement Account) [MF]		$50,001 - $100,000	Tax-Deferred	None	☐
Pimco VIT Total Return (Retirement Account) [MF]		$50,001 - $100,000	Tax-Deferred	None	☐
Prospect Capital Corporation (SPEC) [MF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
Raymond James IRA Retirement Account Distribution [OT] Description: Mandatory Distribution	SP	$1,001 - $15,000	Distribution	$2,501 - $5,000	☐
SandRidge Permian Trust Common Units of Benficial Interest (PER) [ST]	SP	$1 - $1,000	Dividends	$1 - $200	☐
StoneMor Partners L.P. Common Unit Rep Limited Partnership Interests (STON) [ST]	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
Tekla Healthcare Opportunit FD SHS [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Templeton Global Bond Sec. (Retirement Account) [MF]		$15,001 - $50,000	Tax-Deferred		☐
Thornburg Investment Income Builder Fund Class A M/F [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Thornburg Investment Income Builder Fund Class C M/F (TIBCX [MF]	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Vanguard S&P 500 Fund [MF]		$1,001 - $15,000	Dividends	$1,001 - $2,500	☑
Western Asset Short Duration High Income Fund Class A M/F (SHIAX) [MF]	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☐

* For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Dreyfus Opportunity Small Cap Fund (Retirement Account) [MF]		08/9/2016	S (partial)	$15,001 - $50,000	☐
Fidelity 500 Index Premium (Retirement Account) [MF]		08/9/2016	P	$15,001 - $50,000	
Fidelity 500 Index Premium (Retirement Account) [MF]		12/9/2016	S (partial)	$15,001 - $50,000	☑
Fidelity Capital and income Fund [MF]		10/4/2016	S (partial)	$1,001 - $15,000	☑
Fidelity Capital and Income Fund [MF]		07/25/2016	S (partial)	$15,001 - $50,000	☑
Fidelity Inflation Protected Bond (Retirement Account) [MF]		08/8/2016	S	$50,001 - $100,000	☐
Fidelity Municipal Money Market Fund [MF]		09/1/2016	S (partial)	$15,001 - $50,000	☐
Fidelity Municipal Money Market Fund [MF]		09/2/2016	S (partial)	$1,001 - $15,000	☐
Fidelity Municipal Money Market Fund [MF]		09/6/2016	S (partial)	$1,001 - $15,000	☐
Fidelity Municipal Money Market Fund [MF]		11/2/2016	S (partial)	$1,001 - $15,000	☐
Fidelity Municipal Money Market Fund [MF]		11/23/2016	S (partial)	$15,001 - $50,000	☐
Fidelity Municipal Money Market Fund [MF]		11/30/2016	S (partial)	$1,001 - $15,000	☐
Fidelity New Markets Income (Retirement Account) [MF]		08/8/2016	S	$15,001 - $50,000	☑
Fidelity Overseas Fund [MF]		07/21/2016	S (partial)	$15,001 - $50,000	☑
Fidelity Overseas Fund [MF]		08/9/2016	S	$15,001 - $50,000	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Fidelity Select Semiconductors [MF]		07/13/2016	S (partial)	$15,001 - $50,000	☑
Fidelity Select Semiconductors [MF]		07/21/2016	S (partial)	$1,001 - $15,000	☑
Fidelity Select Semiconductors [MF]		09/20/2016	S (partial)	$15,001 - $50,000	☑
Fidelity Select Semiconductors [MF]		11/22/2016	S (partial)	$1,001 - $15,000	☑
Fidelity Total Bond Fund [MF]		03/21/2016	S (partial)	$1,001 - $15,000	☐
Fidelity Total Bond Fund [MF]		05/26/2016	S (partial)	$15,001 - $50,000	☑
Fidelity Total Bond Fund [MF]		07/18/2016	S (partial)	$15,001 - $50,000	☑
Fidelity Total Bond Fund [MF]		08/8/2016	P	$50,001 - $100,000	
Fidelity Total Bond Fund [MF]		09/20/2016	S (partial)	$15,001 - $50,000	☑
Fidelity Total Bond Fund [MF]		10/4/2016	S (partial)	$1,001 - $15,000	☑
Vanguard S&P 500 Fund [MF]		02/24/2016	S (partial)	$15,001 - $50,000	☑
Vanguard S&P 500 Fund [MF]		04/8/2016	S (partial)	$15,001 - $50,000	☑
Vanguard S&P 500 Fund [MF]		07/12/2016	S (partial)	$15,001 - $50,000	☑
Vanguard S&P 500 Fund [MF]		07/13/2016	S (partial)	$15,001 - $50,000	☑
Vanguard S&P 500 Fund [MF]		09/29/2016	S (partial)	$15,001 - $50,000	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Vanguard S&P 500 Fund [MF]		10/4/2016	S (partial)	$1,001 - $15,000	☑

* For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

None disclosed.

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
	First State Bank	2016	Mortgage on personal property - Dallas, Texas	$500,001 - $1,000,000
	Wells Fargo	July 2004	Mortgage on personal property - Alexandria, VA	$100,001 - $250,000

Schedule E: Positions

None disclosed.

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
The Heritage Foundation	01/27/2016	01/29/2016	Washington, D.C. - Middleburg, V.A. - Washington, D.C.	0	☑	☑	☐
The American Enterprise Institute	03/3/2016	03/6/2016	Washington, D.C. - Sea Island, GA - Dallas, TX	0	☑	☑	☑

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

Certification and Signature

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Jeb Hensarling , 07/13/2018