Filing ID #10023147

Financial Disclosure Report

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Filer Information

Name: Hon. Frank Pallone Jr.
Status: Member
State/District: NJ06

Filing Information

Filing Type: Amendment Report
Filing Year: 2016
Filing Date: 05/15/2018

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Chase Bank Account, Long Branch, NJ [BA]		$1 - $1,000	None		
Congressional Federal Credit Union [BA]	JT	$50,001 - $100,000	None		
DC2 ⇒ ABERDEEN FDS EMERGING MARKETS FUND INSTITUTIONAL CLASS (ABEMX) [MF]	DC	$1,001 - $15,000	Dividends	$1 - $200	
DC2 ⇒ American Century Mutual Funds Growth Fund Instl (TWGIX) [MF]	DC	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	
DC2 ⇒ Dodge & Cox Funds International Stock Fund (DODFX) [MF]	DC	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	
DC2 ⇒ Eaton Vance Large Cap Value Fund CL I (EILVX) [MF]	DC	$1,001 - $15,000	Dividends	$1 - $200	
DC2 ⇒ EATON VANCE SER II INCOME FUND BOSTON CL I (EIBIX) [MF]	DC	$1,001 - $15,000	Dividends	$1 - $200	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
DC2 ⇒ First Eagle Funds SOGEN Overseas Fund CL I (SGOIX) [MF]	DC	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	☐
DC2 ⇒ Goldman Sachs Trust Strategic Income Fund Instl (GSZIX) [MF]	DC	None	Dividends	$1 - $200	☐
DC2 ⇒ Harbor Fund Capital Appreciation Fund Instl (HACAX) [MF]	DC	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	☐
DC2 ⇒ Harris Assoc. Investment Trust Oakmark Equity & Income Fund CL I (OAKBX) [MF]	DC	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	☐
DC2 ⇒ HARTFORD MUTL FDS INC MIDCAP FUND CLASS I (HFMIX) [MF]	DC	$1,001 - $15,000	Capital Gains	$1 - $200	☑
DC2 ⇒ Investment Managers SerTr Oak Ridge Small Cap Growth Fund CL Y (ORIYX) [MF]	DC	$1,001 - $15,000	Capital Gains	$1 - $200	☐
DC2 ⇒ JP Morgan Core Bond Fund Select (WOBDX) [MF]	DC	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	☐
DC2 ⇒ RIDGEWORTH FUNDS CEREDEX MID CAP VALUE EQUITY FUND CLASS I (SMVTX) [MF]	DC	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	☐
DC2 ⇒ Rose Marie Hospodor IRA Trust [EQ]	DC	$100,001 - $250,000	Excepted/Blind Trust	$5,001 - $15,000	☐
DC2 ⇒ Verizon Communications Inc. (VZ) [ST]	DC	$1,001 - $15,000	Dividends	$1 - $200	☐
DC2 ⇒ Victory Portfolios Munder Ser Trust Midcap Core Growth Fund CL Y (MGOYX) [MF]	DC	None	None		☐
DC2 ⇒ Victory Sycamore Small Co. Oppty FD CL I (VSOIX) [MF]	DC	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	☐
DESCRIPTION: Formerly Victory Portfolios Small Co. Oppty Fd CLC I (VSOIX)					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
DC2 ⇒ Virtus Opportunities Tr Emerging Mkts Opptys FD Cl (HIEMX) [MF] Description: Formerly Virtus Emerging Markets Opportunities Fund CL I (HIEMX)	DC	$1 - $1,000	Dividends	$1 - $200	☐
DC2 ⇒ Wells Fargo Bank Deposit Sweep [BA]	DC	$1 - $1,000	None		☐
DC3 UTMA ⇒ Rose Marie Hospodor IRA Trust [EQ]	DC	$250,001 - $500,000	Excepted/Blind Trust	$5,001 - $15,000	☐
Residential Real Estate, M. Pallone Estate (Half Interest) [RP] Location: Long Branch, NJ, US		$250,001 - $500,000	None		☐
WELLS FARGO ADVISORS ⇒ Wells Fargo - Bank Deposit Sweep [BA]	JT	$1 - $1,000	None		☐
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ AAM HIGH 50 DIVIDEND STRATEGY 2016-4Q [OT] Description: Unit Investment Trust - Equity Trusts	SP	$100,001 - $250,000	Capital Gains, Dividends	$201 - $1,000	☑
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ AAM HIGH 50 DIVIDEND STRATEGY SERIES 15-3Q CA [EF] Description: Unit Investment Trusts - Equity Trusts - Redemption	SP	None	Capital Gains	$5,001 - $15,000	☑
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Altria Group, Inc. (MO) [ST]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☐
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Chevron Corporation (CVX) [ST]	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☐
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Citigroup, Inc. (C) [ST]	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Dominion Resources, Inc. (D) [ST]	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☐
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Mondelez International, Inc. - Class A (MDLZ) [ST]	SP	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☐
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Nuveen Municipal Trust High Yield Municipal Bond Fund CL A (NHMAX) [MF]	SP	$1,001 - $15,000	Dividends, Interest	$201 - $1,000	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Philip Morris International Inc (PM) [ST]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☐
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ The Kraft Heinz Company (KHC) [ST]	SP	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☐
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Vanguard Municipal Bond Fund Limited Term Tax-Exempt Portfolio (VMLTX) [MF]	SP	$1,001 - $15,000	Interest	$201 - $1,000	☐
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Verizon Communications Inc. (VZ) [ST]	SP	$15,001 - $50,000	Dividends	$1,001 - $2,500	☐
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Wells Fargo Bank Deposit Sweep [BA]	SP	$1,001 - $15,000	None		☐
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ GOLDMAN SACHS TR FINL SQUARE TREAS INSTRS FD INSTL CL (FTIXX) [MF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Emerging Markets Fund CL S (REMSX) [MF]	SP	$50,001 - $100,000	Dividends	$201 - $1,000	☑
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Global Equity Fund CL S (RGESX) [MF]	SP	$50,001 - $100,000	Capital Gains, Dividends	$5,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell International Developed Markets Fund CL S (RINTX) [MF]	SP	$50,001 - $100,000	Dividends	$1,001 - $2,500	☑
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Investment Co Commodity Strategies Fund CL S (RCCSX) [MF]	SP	$15,001 - $50,000	None		☑
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Investment Co Global Infrastructure Fund CL S (RGISX) [MF]	SP	$15,001 - $50,000	Capital Gains, Dividends	$1,001 - $2,500	☑
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Investment Co Global Opportunistic Credit Fund CL S (RGCSX) [MF]	SP	$50,001 - $100,000	Dividends	$2,501 - $5,000	☑
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Investment Co Global Real Estate Sec Fund CL S (RRESX) [MF]	SP	$15,001 - $50,000	Capital Gains, Dividends	$1,001 - $2,500	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Investment Co Multi-Strategy Alternative Fund CL S (RMSSX) [MF]	SP	None	Dividends	$1 - $200	☑
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Investment Co US Strategic Equity Fund CL S (RSESX) [MF]	SP	$100,001 - $250,000	Capital Gains, Dividends	$5,001 - $15,000	☑
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVT CO MULTI-STRATEGY INCOME FD CL S (RMYSX) [MF]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☑
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Strategic Bond Fund CL S (RFCTX) [MF]	SP	$50,001 - $100,000	Capital Gains, Dividends	$2,501 - $5,000	☑
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell US Small Cap Equity Fund CL S (RLESX) [MF]	SP	$50,001 - $100,000	Capital Gains, Dividends	$201 - $1,000	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Energy Select Sector SPDR (XLE) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Financial Select Sector SPDR (XLF) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Industrial Select Sector SPDR (XLI) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Barclays 1-3 Year Credit Bond Fund (CSJ) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Barclays 3-7 Year Treasury Bond Fund (IEI) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Barclays 7-10 Year Treasury Bond Fund (IEF) [EF]	SP	None	Dividends	$1 - $200	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Barclays Intermediate Credit Bond Fund (CIU) [EF]	SP	None	Dividends	$1 - $200	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Barclays MBS Bond Fund (MBB) [EF]	SP	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core S&P Mid-Cap Fund (IJH) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core S&P Small-Cap 600 Index Fund (IJR) [EF]	SP	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core U.S. Aggregate Bond (AGG) [EF]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares iBoxx $ High Yield Corporate Bond ETF (HYG) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares IBOXX Investment Grade Corporate Bond Fund (LQD) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares JP Morgan Emerging Markets Bond Fund (EMB) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares MSCI Germany (EWG) [EF]	SP	None	None		☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares MSCI Mexico Capped Fund (EWW) [EF]	SP	None	Dividends	$1 - $200	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares S&P North American Technology Networking Index Fund (IGN) [EF]	SP	None	Capital Gains	$201 - $1,000	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Tip Bond (TIP) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares US Preferred Stock (PFF) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Powershares DB Commodity Index Tracking Fund (DBC) [EF] DESCRIPTION: Purchased 11/21/2014 - under $1,000	SP	$1,001 - $15,000	None		☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ PowerShares Emerging Markets Soverign Debt (PCY) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Select Sector SPDR Fund Consumer Discretionary (XLY) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Select Sector SPDR Fund Health Care Fund (XLV) [EF]	SP	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Select Sector SPDR Trust Consumer Staples Fund (XLP) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ SPDR Barclays Capital High Yield Bond Fund (JNK) [EF]	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ SPDR Euro STOXX 50 (FEZ) [EF]	SP	None	None		☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ SPDR S&P 500 Trust (SPY) [MF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ SPDR S&P Retail Fund (XRT) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard FTSE Developed Markets (VEA) [EF]	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard FTSE European ETF (VGK) [EF]	SP	None	Capital Gains, Dividends	$201 - $1,000	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard Information Technology Fund (VGT) [MF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard Intl Equity Index Fds Emerging Markets (VWO) [MF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard REIT Fund (VNQ) [MF]	SP	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard Total Bond Market (BND) [MF]	SP	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Wells Fargo Bank Sweep Account [BA]	SP	$1,001 - $15,000	None		☐
WELLS FARGO ADVISORS: IRA ⇒ Advisors Inner Circle Fund Cambiar Opportunity Fund Instl (CAMWX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
WELLS FARGO ADVISORS: IRA ⇒ AIM Investment Sec Funds Invesco Investment Secs Funds Global Real Estate Fund CLY (ARGYX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		
WELLS FARGO ADVISORS: IRA ⇒ American Funds Washington Mututal FD F2 (WMFFX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		
WELLS FARGO ADVISORS: IRA ⇒ AMG Funds Timesquare Mid-Cap Growth Fund Premier (TMDPX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		
WELLS FARGO ADVISORS: IRA ⇒ Blair Williams Funds International Growth Fund CL I (BIGIX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		
WELLS FARGO ADVISORS: IRA ⇒ Delaware Group Equity Funds II - Value Fund Instl (DDVIX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		
WELLS FARGO ADVISORS: IRA ⇒ Deutsche Secs TR Enhanced Commodity Strat FD INSTL Class (SKIRX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		
WELLS FARGO ADVISORS: IRA ⇒ Eagle Small Cap Growth Fund CL I (HSIIX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		
WELLS FARGO ADVISORS: IRA ⇒ Estee Lauder Companies, Inc. (EL) [ST]	SP	$15,001 - $50,000	Tax-Deferred		
WELLS FARGO ADVISORS: IRA ⇒ First Eagle Funds SOGEN Overseas Fund CL I (SGOIX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		
WELLS FARGO ADVISORS: IRA ⇒ General Electric Company (GE) [ST]	SP	$15,001 - $50,000	Tax-Deferred		
WELLS FARGO ADVISORS: IRA ⇒ Goldman Sachs Trust Financial Square Treasury Instruments Fund Instl (FTIXX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		
WELLS FARGO ADVISORS: IRA ⇒ Hancock John Capital Ser Classic Value Fund CL I (JCVIX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		
WELLS FARGO ADVISORS: IRA ⇒ Harbor Fund International Fund Instl (HAINX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
WELLS FARGO ADVISORS: IRA ⇒ Hotchkis & Wiley Funds Mid-Cap Value Fund CL I (HWMIX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		
WELLS FARGO ADVISORS: IRA ⇒ Lazard Funds Inc. Emerging Markets Portfolio Instl Shares Fund (LZEMX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		
WELLS FARGO ADVISORS: IRA ⇒ Mainstay Large Cap Growth Cap Growth Fund CL I (MLAIX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		
WELLS FARGO ADVISORS: IRA ⇒ Oppenheimer Developing Markets CL Y (ODVYX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		
WELLS FARGO ADVISORS: IRA ⇒ Texas Instruments Incorporated (TXN) [ST]	SP	$15,001 - $50,000	Tax-Deferred		
WELLS FARGO ADVISORS: IRA ⇒ Unilever PLC (UL) [ST]	SP	$1,001 - $15,000	Tax-Deferred		
WELLS FARGO ADVISORS: IRA ⇒ Victory Sycamore Small Co Opportunities Fund CL I (VSOIX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		
WELLS FARGO ADVISORS: IRA ⇒ Voya Funds Voya Large CAP Growth Fund Class I (PLCIX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		
WELLS FARGO ADVISORS: IRA ⇒ Walt Disney Company (DIS) [ST]	SP	$15,001 - $50,000	Tax-Deferred		
WELLS FARGO ADVISORS: IRA ⇒ Wells Fargo Funds Trust Emerging Growth Fund Instl (WEMIX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		
WELLS FARGO ADVISORS: ROTH IRA ⇒ Franklin Cust Dyna Tech CL A (FKDNX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		
WELLS FARGO ADVISORS: ROTH IRA ⇒ Franklin CUST DYNA TECH CL A (FKDNX) [MF]		$1,001 - $15,000	Tax-Deferred		
WELLS FARGO ADVISORS: ROTH IRA ⇒ Wells Fargo Advantage Funds Traditional Small Cap Growth CL A (EGWAX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
WELLS FARGO ADVISORS: ROTH IRA ⇒ Wells Fargo Advantage Funds Traditional Small Cap Growth Fund CL A (EGWAX) [MF]		$1,001 - $15,000	Tax-Deferred		
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Aberdeen FDS Emerging Markets Fund Institutional Class (ABEMX) [MF]	DC	$1,001 - $15,000	Dividends	$1 - $200	
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ American Century Mutual Funds Growth Fund Instl (TWGIX) [MF]	DC	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Deutsche SECS TR Enhanced Commodity STRAT FD INSTL Class (SKIRX) [MF]	DC	$1 - $1,000	Dividends	$1 - $200	
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Deutsche SECS TR Global Real Estate SECS FD INSTL Class (RRGIX) [MF]	DC	$1,001 - $15,000	Dividends	$1 - $200	
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Dodge & Cox Funds International Stock Fund (DODFX) [MF]	DC	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Eaton Vance Large Cap Value Fund CL I (EILVX) [MF]	DC	$1,001 - $15,000	Dividends	$201 - $1,000	✓
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Eaton Vance SER II Income Fund Boston CL I (EIBIX) [MF]	DC	$1,001 - $15,000	Dividends	$1 - $200	
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ First Eagle Funds SOGEN Overseas Fund CL I (SGOIX) [MF]	DC	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Goldman Sachs TR FINL Square Treas INSTRS FD INSTL CL (FTIXX) [MF]	DC	$1 - $1,000	None		
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Goldman Sachs TR Strategic Income FD CL INSTL SHS (GSZIX) [MF]	DC	None	Dividends	$1 - $200	✓
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Harbor Fund Capital Appreciation Fund Instl (HACAX) [MF]	DC	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Harris Assoc. Investment Trust Oakmark Equity & Income Fund CL I (OAKBX) [MF]	DC	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ HARTFORD MUTL FDS INC MIDCAP FUND CLASS I (HFMIX) [MF]	DC	$1,001 - $15,000	Capital Gains	$1 - $200	✓
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Investment Managers Ser Tr Oak Ridge Small Cap Growth Fund CL Y (ORIYX) [MF]	DC	$1,001 - $15,000	Capital Gains	$1 - $200	
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ JP Morgan Core Bond Fund Select (WOBDX) [MF]	DC	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ MFS SER TR X EMERGING MKTS DEBT FD CLASS I (MEDIX) [MF]	DC	$1,001 - $15,000	Dividends	$1 - $200	✓
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Nuveen INVT FDS INC Real Estate SECS Fund CL I (FARCX) [MF]	DC	$1 - $1,000	Capital Gains, Dividends	$1 - $200	
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Ridgeworth FDS Mid-Cap Value Equity FD Class I (SMVTX) [MF]	DC	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Verizon Communications Inc. (VZ) [ST]	DC	$1,001 - $15,000	Dividends	$1 - $200	
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Victory Portfolios Munder Ser Trust Midcap Core Growth Fund CL Y (MGOYX) [MF]	DC	$1 - $1,000	Capital Gains	$201 - $1,000	✓
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Victory Sycamore Small CO OPPTY FD CL I (VSOIX) [MF] DESCRIPTION: Formerly Victory Portfolios Small Co. Oppty FD CL I Shs (VSOIX)	DC	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ VIRTUS OPPORTUNITIES TR EMERGING MKTS OPPTYS FD CLL I (HIEMX) [MF] DESCRIPTION: Formerly Virtus Emerging Markets Opportunities FND CL I (HIEMX)	DC	$1 - $1,000	Dividends	$1 - $200	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
DC2 ⇒ HARTFORD MUTL FDS INC MIDCAP FUND CLASS I (HFMIX) [MF]	DC	09/12/2016	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ AAM HIGH 50 DIVIDEND STRATEGY 2016-4Q [OT] LOCATION: US DESCRIPTION: Unit Investment Trust - Equity Trusts	SP	12/19/2016	P	$100,001 - $250,000	
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ AAM HIGH 50 DIVIDEND STRATEGY SERIES 15-3Q CA [OT] LOCATION: US DESCRIPTION: Unit Investment Trust - Equity Trusts - Redemption	SP	12/21/2016	S	$100,001 - $250,000	☑
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ GOLDMAN SACHS TR FINL SQUARE TREAS INSTRS (FTIXX) [MF]	SP	10/14/2016	S (partial)	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ GOLDMAN SACHS TR FINL SQUARE TREAS INSTRS FD INSTL CL (FTIXX) [MF]	SP	07/12/2016	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL EMERGING MARKETS FUND CL S (REMSX) [MF]	SP	07/12/2016	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INTERNATIONAL DEVELOPED MARKETS FUND CL S (RINTX) [MF]	SP	07/12/2016	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVESTMENT CO COMMODITY STRATEGIES FUND CL S (RCCSX) [MF]	SP	07/13/2016	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVESTMENT CO GLOBAL INFRASTRUCTURE FUND CL S (RGISX) [MF]	SP	07/12/2016	S (partial)	$1,001 - $15,000	☑
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVESTMENT CO GLOBAL OPPORTUNISTIC CREDIT FUND CL S (RGCSX) [MF]	SP	07/12/2016	P	$15,001 - $50,000	
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVESTMENT CO GLOBAL OPPORTUNISTIC CREDIT FUND CL S (RGCSX) [MF]	SP	07/13/2016	P	$15,001 - $50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVESTMENT CO GLOBAL REAL ESTATE SEC FUND CL S (RRESX) [MF]	SP	07/12/2016	S (partial)	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVESTMENT CO MULTI-STRATEGY ALTERNATIVE FUND CL S (RMSSX) [MF]	SP	07/12/2016	S	$15,001 - $50,000	☐
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVESTMENT CO US STRATEGIC EQUITY FUND CL S (RSESX) [MF]	SP	04/8/2016	S (partial)	$1,001 - $15,000	☑
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVESTMENT CO US STRATETIC EQUITY FUND CL S (RSESX) [MF]	SP	07/12/2016	S (partial)	$15,001 - $50,000	☑
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVT CO MULTI-STRATEGY INCOME FD CL S (RMYSX) [MF]	SP	07/12/2016	P	$15,001 - $50,000	
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL STRATEGIC BOND FUND CL S (RFCTX) [MF]	SP	01/11/2016	S (partial)	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL STRATEGIC BOND FUND CL S (RFCTX) [MF]	SP	07/8/2016	S (partial)	$15,001 - $50,000	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Energy Select Sector SPDR (XLE) [EF]	SP	11/8/2016	S (partial)	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Barclay MBX Bond Fund (MBB) [EF]	SP	04/28/2016	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Barclays 7-10 Year Bond Fund (IEF) [EF]	SP	04/28/2016	S	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Barclays 7-10 Year Treasury Bond (IEF) [EF]	SP	01/7/2016	S	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Barclays Intermediate Credit Bond Fund (CIU) [EF]	SP	04/28/2016	S	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Co S&P Small-Cap 600 Index Fund (IJR) [EF]	SP	04/28/2016	S (partial)	$1,001 - $15,000	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core S&P Mid-Cap Fund (IJH) [EF]	SP	03/24/2016	S (partial)	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core S&P Small-Cap 600 Index Fund (IJR) [MF]	SP	08/16/2016	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core U.S. Aggregate Bond (AGG) [EF]	SP	04/28/2016	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core U.S. Aggregate Bond (AGG) [EF]	SP	08/16/2016	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core U.S. Aggregate Bond (AGG) [EF]	SP	09/23/2016	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares iBoxx $ High Yield Corporate Bond ETF (HYG) [EF]	SP	03/24/2016	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares iBoxx Invesmtent Grade Corporate Bond Fund (LQD) [EF]	SP	04/28/2016	S (partial)	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares iBoxx Investment Grade Corporate Bond Fund (LQD) [EF]	SP	01/7/2016	S (partial)	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares MSCI Germany (EWG) [EF]	SP	02/11/2016	S	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares S&P North American Technology Networking Index Fund (IGN) [EF]	SP	01/7/2016	S	$1,001 - $15,000	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Tip Bond (TIP) [EF]	SP	04/28/2016	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Powershares DB Commodity Index Tracking Fund (DBC) [EF]	SP	01/7/2016	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Select Sector SPDR Fund Health Care Fund (XLV) [EF]	SP	08/16/2016	S (partial)	$1,001 - $15,000	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ SPDR Barclays Capital High Yield Bond Fund (JNK) [EF]	SP	08/16/2016	S (partial)	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ SPDR Euro STOXX 50 (FEZ) [EF]	SP	02/11/2016	S	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard FTSE Developed Markets (VEA) [EF]	SP	02/11/2016	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard FTSE Developed Markets (VEA) [EF]	SP	05/24/2016	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard FTSE Developed Markets (VEA) [EF]	SP	04/28/2016	S (partial)	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard FTSE European ETF (VGK) [EF]	SP	05/24/2016	S	$1,001 - $15,000	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard Information Technology Fund (VGT) [EF]	SP	01/7/2016	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard Intl Equity Index Fds Emerging Markets (VWO) [EF]	SP	12/6/2016	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard Intl Equity Index Fds Emerging Markets (VWO) [MF]	SP	08/16/2016	S (partial)	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard REIT Fund (VNQ) [EF]	SP	01/7/2016	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard REIT Fund (VNQ) [EF]	SP	09/23/2016	S (partial)	$1,001 - $15,000	☑
WELLS FARGO ADVISORS: IRA ⇒ Advisors Inner Circle Fund Cambiar Opportunity Fund Instl (CAMWX) [MF]	SP	09/2/2016	S	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ EATON VANCE LARGE CAP VALLUE FUND CL I (EILVX) [MF]	DC	09/12/2016	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ GOLDMAN SACHS TR STRATEGIC INCOME FD CL	DC	09/12/2016	S	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
INSTL SHS (GSZIX) [MF]					
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ HARTFORD MUTL FDS INC MIDCAP FUND CLASS I (HFMIX) [MF]	DC	09/12/2016	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ MFS SER TR X EMERGING MKTS DEBT FD CLASS I (MEDIX) [MF]	DC	09/12/2016	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ VICTORY PORTFOLIOS MUNDER SER TRUST MIDCAP CORE GROWTH FUND CL Y (MGOYX) [MF]	DC	09/12/2016	S	$1,001 - $15,000	☑

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

Source	Type	Amount
Amplify Public Affairs	Spouse Salary	N/A
Oceans Cove	Sales Income (SP)	N/A

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Citi Mortgage Inc., San Antonio, TX	November 2016	Mortgage on Real Estate, Washington, DC	$100,001 - $250,000
JT	CitiBank, St. Louis, MO	February 2008	Home Equity Loan on Real Estate, Washington, DC	$250,001 - $500,000
	Wells Fargo Bank, Long Branch, NJ	December 2006	M. Pallone Estate. Mortgage on Real Estate, Long Branch, NJ, held by life estate tenant	$15,001 - $50,000
SP	Citi Master Card	December 2016	Revolving Credit	$10,000 - $15,000

Schedule E: Positions

None disclosed.

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

Trip Details					Inclusions		
Source	**Start Date**	**End Date**	**Itinerary**	**Days at Own Exp.**	**Lodging?**	**Food?**	**Family?**
Progressive Congress	02/5/2016	02/5/2016	Metropark, NJ - Baltimore, MD - Metropark, NJ	0	☑	☑	☐

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- DC2 (Owner: DC)
  Location: US
- DC3 UTMA (Owner: DC)
- WELLS FARGO ADVISORS (Owner: JT)
  Location: US
- WELLS FARGO ADVISORS: GST TRUST 1 (Owner: SP)
- WELLS FARGO ADVISORS: GST TRUST 3 (Owner: SP)
- WELLS FARGO ADVISORS: GST TRUST 2 (Owner: SP)
- WELLS FARGO ADVISORS: IRA (Owner: SP)
- WELLS FARGO ADVISORS: ROTH IRA
- WELLS FARGO ADVISORS: ROTH IRA (Owner: SP)
- WELLS FARGO ADVISORS: UMTA NJ (3) (Owner: DC)

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?
○ Yes ● No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
● Yes ○ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?
○ Yes ● No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Frank Pallone Jr., 05/15/2018