

# FINANCIAL DISCLOSURE REPORT

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#### FILER INFORMATION

Name: Hon. James Comer

Status: Member State/District: KY01

#### FILING INFORMATION

**Filing Type:** Amendment Report

Filing Year: 2016

**Filing Date:** 07/17/2017

# SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
American Funds Growth Fund of America		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Roth-IRA					
Bray Farm, 33% Interest	JT	\$100,001 - \$250,000	Farm Income	\$1,001 - \$2,500	
Location: Red Boiling Springs/Clay, TN, US Description: 90 Acres		, 0 /			
Comer Land & Cattle Company, 33% Interest	JT	\$1,000,001 - \$5,000,000	Dividends	None	
LOCATION: Tompkinsville/Monroe, KY, US DESCRIPTION: CLC is a family farming operation en Monroe County, KY & Macon and Clay Counties, T COMMENTS: Estimated value includes all land holdi	rn.	-	•		gs in
Frankfort House		\$250,001 - \$500,000	None		
Location: Frankfort/Franklin, KY, US Description: House & lot					
Graham Farm		\$500,001 - \$1,000,000	Rent	\$15,001 - \$50,000	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Location: Tompkinsville/Monroe, KY, US DESCRIPTION: 385 Acres					
Hilliard Lyons ⇒ Activision Blizzard, Inc (ATVI)  DESCRIPTION: IRA - Hilliard Lyons		\$1,001 - \$15,000	Tax-Deferred		П
Hilliard Lyons ⇒ Apple Inc. (AAPL)  DESCRIPTION: IRA		\$1,001 - \$15,000	Tax-Deferred		V
Hilliard Lyons ⇒ Bank of America Corporation (BAC)  DESCRIPTION: IRA		\$1,001 - \$15,000	Tax-Deferred		
Hilliard Lyons ⇒ Corindus Vascular Robotics, Inc. (CVRS)  DESCRIPTION: IRA		\$1,001 - \$15,000	Tax-Deferred		V
Hilliard Lyons ⇒ Facebook, Inc Class A (FB)  DESCRIPTION: IRA		\$1,001 - \$15,000	Tax-Deferred		V
Hilliard Lyons ⇒ First Trust Dorsey Wright Focus Fund Description: Spouse IRA		\$50,001 - \$100,000	Tax-Deferred		П
Hilliard Lyons ⇒ First Trust Series Fund  DESCRIPTION: IRA		\$15,001 - \$50,000	Tax-Deferred		П
Hilliard Lyons ⇒ Southwest Airlines Company (LUV)  DESCRIPTION: IRA		\$1,001 - \$15,000	Tax-Deferred		П
Hilliard Lyons ⇒ TherapeuticsMD, Inc. (TXMD) DESCRIPTION: IRA		\$1,001 - \$15,000	Tax-Deferred		<u> </u>
Kirkpatrick Farm  Location: Tompkinsville/Monroe, KY, US  DESCRIPTION: 301 Acres		\$500,001 - \$1,000,000	Rent	\$5,001 - \$15,000	
KY Judicial & Legislative Retirement System		\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
KY State Employees Retirement System		\$15,001 - \$50,000	Tax-Deferred		
Lee Farm Receivable		\$250,001 - \$500,000	Capital Gains	\$100,001 - \$1,000,000	
DESCRIPTION: Account receivable from the sale of the Comments: Farm was sold in April 2015. Terms of statements				to Corby Brown of Gamaliel	, KY.
Northgate Farm Development	JT	\$50,001 - \$100,000	None		<u> </u>
Location: Tompkinsville/Monroe, KY, US DESCRIPTION: 7 Acres					
Republic Bancorp, Inc Class A (RBCAA)		\$1,001 - \$15,000	Dividends	\$1 - \$200	П
Sypris Solutions, Inc. (SYPR)		\$1,001 - \$15,000	Dividends	None	П
West Farm Lot, 50% Interest  Location: Red Boiling Springs/Macon, TN, US  DESCRIPTION: 4 Acres	JT	\$15,001 - \$50,000	Rent	\$201 - \$1,000	

<sup>\*</sup> Asset class details available at the bottom of this form.

# SCHEDULE B: TRANSACTIONS

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
Hilliard Lyons ⇒ Apple Inc. (AAPL)	11/29/2016	P	\$1,001 - \$15,000	
Hilliard Lyons ⇒ Corindus Vascular Robotics, Inc. (CVRS)	12/1/2016	P	\$1,001 - \$15,000	
Hilliard Lyons ⇒ Facebook, Inc Class A (FB)	11/29/2016	P	\$1,001 - \$15,000	
Hilliard Lyons ⇒ TherapeuticsMD, Inc. (TXMD)	11/29/2016	P	\$1,001 - \$15,000	
Hilliard Lyons ⇒ TherapeuticsMD, Inc. (TXMD)	12/5/2016	P	\$1,001 - \$15,000	

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
Northgate Farm Development  LOCATION: Tompkinsville/Monroe, KY, US	12/23/2016	S	\$50,001 - \$100,000	П
Porter Bancorp, Inc. (PBIB)	12/8/2016	S	\$1,001 - \$15,000	<b>∀</b>

<sup>\*</sup> Asset class details available at the bottom of this form.

#### SCHEDULE C: EARNED INCOME

None disclosed.

### SCHEDULE D: LIABILITIES

Owner Creditor	Date Incurred	Туре	Amount of Liability
South Central Bank	March 2012	Line of Credit/Mortgage backed by Kirkpatrick Farm	\$250,001 - \$500,000
Farm Credit Services	May 1996	Mortgage on Graham Farm	\$250,001 - \$500,000

#### **S**CHEDULE **E**: **P**OSITIONS

Position	Name of Organization
Co-owner	Comer Land & Cattle Company

### SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2001	KY Judicial & Legislative Retirement System	eligible for state legislative pension at age 63
January 2012	KY Employees Retirement System	eligible for state employees pension at age 63

#### SCHEDULE G: GIFTS

None disclosed.

### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

#### SCHEDULE A AND B ASSET CLASS DETAILS

• Hilliard Lyons

### EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?YesNo

**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

C Yes No

**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

∇es No

#### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. James Comer, 07/17/2017