Filing ID #10017793

Financial Disclosure Report

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Filer Information

Name: Hon. Martha Roby
Status: Member
State/District: AL02

Filing Information

Filing Type: Annual Report
Filing Year: 2016
Filing Date: 07/14/2017

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Balch & Bingham LLP 401(k) Profit Sharing Plan ⇒ AmerFunds EuroPacific Growth Fund	SP	$50,001 - $100,000	None		
Balch & Bingham LLP 401(k) Profit Sharing Plan ⇒ American Beacon Large Cap Value Fund	SP	$100,001 - $250,000	None		
Balch & Bingham LLP 401(k) Profit Sharing Plan ⇒ American Beacon Small Cap Value Fund	SP	$15,001 - $50,000	None		
Balch & Bingham LLP 401(k) Profit Sharing Plan ⇒ Columbia Large Cap Index Fund	SP	$100,001 - $250,000	None		
Balch & Bingham LLP 401(k) Profit Sharing Plan ⇒ Franklin Small Cap Growth Fund	SP	$15,001 - $50,000	None		
Balch & Bingham LLP 401(k) Profit Sharing Plan ⇒ Harbor International Fund	SP	$50,001 - $100,000	None		
Balch & Bingham LLP 401(k) Profit Sharing Plan ⇒ Mass Mutual Managed Conservative Portfolio	SP	$15,001 - $50,000	None		
Description: This asset is held by my spouse's Balch & Bingham LLP 401(k) Profit Sharing Plan account.					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Balch & Bingham LLP 401(k) Profit Sharing Plan ⇒ Mass Mutual Managed Growth Portolio Fund DESCRIPTION: This asset is held by my spouse's Balch & Bingham LLP 401(k) Profit Sharing Plan account.	SP	$50,001 - $100,000	None		
Balch & Bingham LLP 401(k) Profit Sharing Plan ⇒ MFS Mid Cap Value Fund	SP	$15,001 - $50,000	None		
Balch & Bingham LLP 401(k) Profit Sharing Plan ⇒ Select T. Rowe Price/Loomis Sayles Blue Chip Growth Fund DESCRIPTION: Effective March 30, 2017, the Mainstay Large Cap Growth Fund was discontinued as a fund option in the Balch & Bingham 401(k) Plan in which my spouse participates and the Select T. Rowe Price Loomis/Sayles Blue Chip Growth Fund was added as a new fund option. The Mainstay Large Cap Growth Fund investment was moved to the Select T. Rowe Price Loomis/Sayles Blue Chip Growth Fund on March 30, 2017.	SP	$50,001 - $100,000	None		
Balch & Bingham LLP 401(k) Profit Sharing Plan ⇒ T. Rowe Price Mid-Cap Growth Fund	SP	$50,001 - $100,000	None		
Balch & Bingham LLP 401(k) Profit Sharing Plan ⇒ Vanguard Energy Fund DESCRIPTION: Effective April 11, 2016, the Invesco Energy Fund was discontinued as a fund option in the Balch & Bingham LLP 401(k) Plan and the Vanguard Energy Fund was added as a new fund option. The Invesco Energy Fund holding was moved to the Vanguard Energy Fund.	SP	$1,001 - $15,000	None		
Balch & Bingham LLP 401(k) Profit Sharing Plan ⇒ Wells Galliard Blended Stable Value Fund DESCRIPTION: This asset is held by my spouse's Balch & Bingham LLP 401(k) Profit Sharing Plan account.	SP	$15,001 - $50,000	None		
General Electric Company (GE)	JT	$1,001 - $15,000	Dividends	$1 - $200	
George Adams Roby Trust dated 12-23-09 ⇒ Alibaba Group Holding Limited American Depositary Shares each representing one Ordinary share (BABA)		$1,001 - $15,000	None		
George Adams Roby Trust dated 12-23-09 ⇒ Amgen Inc. (AMGN)		$1,001 - $15,000	None		
George Adams Roby Trust dated 12-23-09 ⇒ Bristol-Myers Squibb Company (BMY)		$1,001 - $15,000	None		
George Adams Roby Trust dated 12-23-09 ⇒ Ford Motor Company (F)		$1,001 - $15,000	None		
George Adams Roby Trust dated 12-23-09 ⇒ Intel Corporation (INTC)		$1,001 - $15,000	None		
George Adams Roby Trust dated 12-23-09 ⇒ Microsoft Corporation (MSFT)		$1,001 - $15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
George Adams Roby Trust dated 12-23-09 ⇒ Stifel Bank & Trust DESCRIPTION: This asset is cash.		$1,001 - $15,000	None		
George Adams Roby Trust dated 12-23-09 ⇒ Torchmark Corporation (TMK)		$50,001 - $100,000	None		
Hancey Mill Road Farm LLC, 25% Interest DESCRIPTION: This asset is a 25% membership interest in an Alabama limited liability company that owns non-income producing real property located in Montgomery County, Alabama.		$250,001 - $500,000	None		
Home Depot, Inc. (HD)	JT	$1,001 - $15,000	Dividends	$1 - $200	
Mainstay Cornerstone Growth Class A	JT	$1,001 - $15,000	None		
Margaret Crayton Roby Trust dated 12-25-05 ⇒ Alerian MLP ETF (AMLP)		$1,001 - $15,000	None		
Margaret Crayton Roby Trust dated 12-25-05 ⇒ Alibaba Group Holding Limited American Depositary Shares each representing one Ordinary share (BABA)		$1,001 - $15,000	None		
Margaret Crayton Roby Trust dated 12-25-05 ⇒ Amgen Inc. (AMGN)		$1,001 - $15,000	None		
Margaret Crayton Roby Trust dated 12-25-05 ⇒ Bristol-Myers Squibb Company (BMY)		$1,001 - $15,000	None		
Margaret Crayton Roby Trust dated 12-25-05 ⇒ Ford Motor Company (F)		$1,001 - $15,000	None		
Margaret Crayton Roby Trust dated 12-25-05 ⇒ Intel Corporation (INTC)		$1,001 - $15,000	None		
Margaret Crayton Roby Trust dated 12-25-05 ⇒ Microsoft Corporation (MSFT)		$15,001 - $50,000	None		
Margaret Crayton Roby Trust dated 12-25-05 ⇒ Stifel Bank & Trust DESCRIPTION: This asset is cash.		$1,001 - $15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Margaret Crayton Roby Trust dated 12-25-05 ⇒ Torchmark Corporation (TMK)		$50,001 - $100,000	None		
Margaret Crayton Roby Trust dated 12-25-05 ⇒ Waddell & Reed Financial, Inc. (WDR)		$1,001 - $15,000	None		
Martha Dubina Roby R/O IRA ⇒ Columbia Balanced Class A		$1,001 - $15,000	None		
Martha Dubina Roby R/O IRA ⇒ Stifel Bank & Trust DESCRIPTION: This asset is cash.		$1 - $1,000	None		
Sterling Bank	JT	$15,001 - $50,000	None		
Sterling Bank Saving Account	DC	$1 - $1,000	None		
Sterling Bank Savings Account	DC	$1 - $1,000	None		
Stifel Bank & Trust DESCRIPTION: This asset is cash.	JT	$1,001 - $15,000	None		
Torchmark Corporation (TMK)	JT	$1,001 - $15,000	Dividends	$1 - $200	
VALE S.A. (VALE.P)	JT	$1 - $1,000	Dividends	$1 - $200	
Waddell & Reed Financial, Inc. (WDR)	JT	$1,001 - $15,000	Dividends	$201 - $1,000	
Wells Fargo Bank, N.A. DESCRIPTION: This asset is cash.	JT	$15,001 - $50,000	None		

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

None disclosed.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Balch & Bingham LLP	Spouse Salary	N/A
Sterling Bank	Spouse Advisory Board of Director Fee	N/A

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
SP	Regions Bank	May 2008	Personal Loan	$10,000 - $15,000
JT	Wells Fargo Bank, N.A.	May 2013	Home Mortgage Loan	$500,001 - $1,000,000

Schedule E: Positions

None disclosed.

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

None disclosed.

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- Balch & Bingham LLP 401(k) Profit Sharing Plan (Owner: SP)
- George Adams Roby Trust dated 12-23-09
- Margaret Crayton Roby Trust dated 12-25-05
- Martha Dubina Roby R/O IRA

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?
○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

Certification and Signature

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Martha Roby , 07/14/2017