Filing ID #10016448

# Financial Disclosure Report

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## Filer Information

**Name:** Hon. Andy Harris
**Status:** Member
**State/District:** MD01

## Filing Information

**Filing Type:** Annual Report
**Filing Year:** 2016
**Filing Date:** 05/11/2017

## Schedule A: Assets and "Unearned" Income

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > $1,000? |
|---|---|---|---|---|---|
| 403b American Century Emerging Markets | | $50,001 - $100,000 | Tax-Deferred | None | |
| 403b American Century Global Gold | | $15,001 - $50,000 | Tax-Deferred | None | |
| 403b American Century Intl Discovery | | $100,001 - $250,000 | Tax-Deferred | None | |
| 403b American Century Money Market | | $250,001 - $500,000 | Tax-Deferred | None | |
| 403b American Century Strategic Allocation | | $100,001 - $250,000 | Tax-Deferred | | |
| 403b CREF Inflation Linked Bond | | $100,001 - $250,000 | Tax-Deferred | None | |
| 403b TIAA Traditional | | $100,001 - $250,000 | Tax-Deferred | None | |
| 403b Vanguard 500 Index | | $15,001 - $50,000 | Tax-Deferred | None | |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > $1,000? |
|---|---|---|---|---|---|
| 403b Vanguard Capital Opportunity | | $50,001 - $100,000 | Tax-Deferred | | ☐ |
| 403b Vanguard Emerging Market | | $50,001 - $100,000 | Tax-Deferred | None | ☐ |
| 403b Vanguard Energy | | $15,001 - $50,000 | Tax-Deferred | None | ☐ |
| 403b Vanguard Life Strategy Growth | | $15,001 - $50,000 | Tax-Deferred | None | ☐ |
| 403b Vanguard MidCap Index | | $15,001 - $50,000 | Tax-Deferred | None | ☐ |
| 403b Vanguard Pacific Index | | $15,001 - $50,000 | Tax-Deferred | None | ☐ |
| 403b Vanguard REIT Index | | $50,001 - $100,000 | Tax-Deferred | None | ☐ |
| 403b Vanguard Selected Value | | $50,001 - $100,000 | Tax-Deferred | | ☐ |
| 403b Vanguard Short Term Investment Grade Bond Fund | | $250,001 - $500,000 | Tax-Deferred | | ☐ |
| 457 MD State Investment Contract Pool | | $100,001 - $250,000 | Tax-Deferred | None | ☐ |
| 529 - MD prepaid tuition<br>Location: MD<br>Description: DC4 | DC | $1,001 - $15,000 | None | | ☑ |
| 529 College Savings Plan MD, Portfolio 2018<br>Description: 529 | DC | $15,001 - $50,000 | Tax-Deferred | | ☑ |
| 529 College Savings Plan, Portfolio for College<br>Description: 529 | DC | $15,001 - $50,000 | None | | ☑ |
| CREF 403b Equity Index | | $100,001 - $250,000 | Tax-Deferred | None | ☐ |
| Fidelity Charitable Gift Fund | JT | $15,001 - $50,000 | None | | ☐ |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > $1,000? |
|---|---|---|---|---|---|
| DESCRIPTION: Fidelity Charitable Gift Fund | | | | | |
| First Mariner Bank CD<br>DESCRIPTION: closed | SP | None | Interest | None | ☑ |
| IRA Ameritrade Money Market | SP | $1,001 - $15,000 | Tax-Deferred | None | ☐ |
| IRA Ameritrade Money Market | | $1,001 - $15,000 | Tax-Deferred | None | ☐ |
| IRA T Rowe Price Intl Discovery<br>DESCRIPTION: Rollover from Keogh | | $50,001 - $100,000 | Tax-Deferred | | ☐ |
| IRA T Rowe Price Money Market<br>DESCRIPTION: Rollover from Keogh | | $15,001 - $50,000 | Tax-Deferred | | ☐ |
| M & T Checking | JT | $1,001 - $15,000 | Interest | $1 - $200 | ☐ |
| McCormick & Company, Incorporated (MKC)<br>DESCRIPTION: IRA | | $1,001 - $15,000 | Tax-Deferred | None | ☐ |
| MD 529 Prepaid Tuition<br>LOCATION: MD<br>DESCRIPTION: DC5 | DC | $15,001 - $50,000 | Tax-Deferred | | ☐ |
| MD State 457 Large Cap Value | | $100,001 - $250,000 | Tax-Deferred | None | ☐ |
| Merck & Company, Inc. Common Stock (MRK)<br>DESCRIPTION: IRA | | $1,001 - $15,000 | Tax-Deferred | None | ☐ |
| Merrill IRA Money Market<br>DESCRIPTION: Purchases from dividend payments from stocks held in that IRA - none exceeding the $1,000 purchase reporting threshhold | | $15,001 - $50,000 | Tax-Deferred | | ☐ |
| Rental Condo<br>LOCATION: Cambridge, MD, US<br>DESCRIPTION: Rental Income | JT | $100,001 - $250,000 | Rent | $2,501 - $5,000 | ☐ |
| State of Maryland Pension | | Undetermined | Pension | $5,001 - $15,000 | ☐ |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > $1,000? |
|---|---|---|---|---|---|
| T Rowe Price Charitable Gift Fund<br>Description: T Rowe Price Charitable Gift Fund | JT | $15,001 - $50,000 | None | | ☐ |
| Vanguard 500 Index<br>Description: DC5 | DC | $15,001 - $50,000 | Dividends | $201 - $1,000 | ☐ |
| Vanguard MidCap Index | DC | $1,001 - $15,000 | Dividends, Tax-Deferred | $1 - $200 | ☐ |
| Vanguard Money Market<br>Description: DC5 | DC | $1 - $1,000 | Dividends | $1 - $200 | ☐ |
| Vanguard Money Market<br>Description: JMH | DC | $1,001 - $15,000 | Dividends | $1 - $200 | ☐ |
| Vanguard Small Cap Value<br>Description: DC5 | DC | $1,001 - $15,000 | Capital Gains, Dividends | $1 - $200 | ☐ |
| Vanguard Wellington<br>Description: DC3 Irene | DC | None | Capital Gains, Dividends | $1 - $200 | ☑ |
| Vanguard Wellington<br>Description: DC4 | DC | $1,001 - $15,000 | Capital Gains, Dividends | $201 - $1,000 | ☐ |

## Schedule B: Transactions

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > $200? |
|---|---|---|---|---|---|
| 529 - Portfolio 2018<br>Description: 529 | DC | 12/30/2016 | P | $1,001 - $15,000 | |
| 529 - Portfolio for College<br>Description: 529 | DC | Semi-Annually | S (partial) | $1,001 - $15,000 | ☐ |
| 529 - Portfolio for College<br>Description: 529 | DC | 12/30/2016 | P | $1,001 - $15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > $200? |
|---|---|---|---|---|---|
| MD Prepaid<br>Location: MD<br>Description: 529 | DC | 02/11/2016 | S (partial) | $1,001 - $15,000 | ☐ |
| Vanguard Wellington | DC | 06/16/2016 | S | $1,001 - $15,000 | ☐ |

## Schedule C: Earned Income

| Source | Type | Amount |
|---|---|---|
| State of Maryland | Pension | $13,849.00 |
| Tidewater Anesthesia Associates | medical care | $7,500.00 |

## Schedule D: Liabilities

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|---|---|---|---|---|
| JT | Navy Federal Credit Union | July 2013 | Mortgage on vacation home, Cambridge, Maryland (not rented) | $100,001 - $250,000 |
| JT | Pentagon Federal Credit Union | July 2013 | Mortgage on personal residence, Cockeysville, Maryland (not rented) | $500,001 - $1,000,000 |

## Schedule E: Positions

| Position | Name of Organization |
|---|---|
| Associate Professor on Leave | Johns Hopkins University |
| Director | St. Joseph Hospital University of Maryland Health System |

## Schedule F: Agreements

| Date | Parties To | Terms of Agreement |
|---|---|---|
| January 2011 | Johns Hopkins University | Leave of Absence |
| January 2014 | State of Maryland | Health coverage |
| January 2014 | State of Maryland | Pension |

## Schedule G: Gifts

None disclosed.

## Schedule H: Travel Payments and Reimbursements

| Trip Details | | | | | Inclusions | | |
|---|---|---|---|---|---|---|---|
| **Source** | **Start Date** | **End Date** | **Itinerary** | **Days at Own Exp.** | **Lodging?** | **Food?** | **Family?** |
| Heritage Foundation | 01/27/2016 | 01/29/2016 | Cockeysville, MD - Middleburg, VA - Cockeysville, MD | 0 | ☑ | ☑ | ☐ |
| World Vision | 03/5/2016 | 03/11/2016 | Baltimore, MD - Nairobi, Kenya - Baltimore, MD | 0 | ☑ | ☑ | ☑ |
| Aspen Institute | 03/28/2016 | 04/4/2016 | Washington, DC - Beijing, China - Nanjing, China - Washington, DC | 0 | ☑ | ☑ | ☑ |

## Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

## Exclusions of Spouse, Dependent, or Trust Information

**IPO**: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

○ Yes ◉ No

**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ◉ No

**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ◉ No

## Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Andy Harris , 05/11/2017