Filing ID #10016109

Financial Disclosure Report

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

Filer Information

Name: Hon. Niki Tsongas
Status: Member
State/District: MA03

Filing Information

Filing Type: Annual Report
Filing Year: 2016
Filing Date: 05/12/2017

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
455 SHORE ROAD Location: CHATHAM, MA, US		$1,000,001 - $5,000,000	Rent	$15,001 - $50,000	☐
AQR MANAGED FUTURES STRATEGY FUND		$15,001 - $50,000	Dividends	$1 - $200	☑
ASTON MONTAG & CALDWELL GROWTH FUND		$1,001 - $15,000	Dividends	$1 - $200	☑
Bank of America		$15,001 - $50,000	Interest	$1 - $200	☐
CBRE CLARION LONG/SHORT FUND		$1,001 - $15,000	Dividends	None	☐
COLUMBIA ACORN INTERNATIONAL FUND		$15,001 - $50,000	Dividends	$1 - $200	☐
COLUMBIA AMT-FREE MASSACHUSETTS INTERMEDIATE MUNI BOND FUND Description: Previously COLUMBIA MA INTER MUNI BOND FUND		$100,001 - $250,000	Interest	$2,501 - $5,000	☐
COLUMBIA DIVIDEND INCOME FUND		$50,001 - $100,000	Dividends	$1,001 - $2,500	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
COLUMBIA HIGH YIELD MUNICIPAL FD		$15,001 - $50,000	Interest	$1,001 - $2,500	[ ]
COLUMBIA LARGE CAP GROWTH FUND		$50,001 - $100,000	Dividends	$201 - $1,000	[x]
COLUMBIA MID CAP GROWTH FUND		$1,001 - $15,000	Capital Gains	$1 - $200	[ ]
COLUMBIA PACIFIC/ASIA FUND		$15,001 - $50,000	Dividends	$1 - $200	[ ]
COLUMBIA SELECT LARGE CAP EQUITY FUND DESCRIPTION: FORMERLY COLUMBIA LARGE CAP EQUITY FUND		$1,001 - $15,000	Dividends	$1 - $200	[ ]
COMMONWEALTH OF MASSACHUSETTS		Undetermined	Pension	$1,001 - $2,500	[ ]
DB X-TRACKERS MSCI EAFE HEDGED EQUITY FUND		$15,001 - $50,000	Dividends	$201 - $1,000	[ ]
DOUBLELINE TOTAL RETURN BD FUND		$50,001 - $100,000	Dividends	$1,001 - $2,500	[x]
Eversource Energy (ES)		$15,001 - $50,000	Dividends	$1,001 - $2,500	[ ]
FALMOUTH MASS GO MUN PURP LN BDS		$50,001 - $100,000	Interest	$1,001 - $2,500	[ ]
FIDELITY GOVERNMENT PORFOLIO MONEY MARKET DESCRIPTION: Replaced BOFA account		$1,001 - $15,000	Interest	$1 - $200	[x]
GOLDMAN SACHS STRATEGIC INCOME FUND		$15,001 - $50,000	Dividends	$201 - $1,000	[ ]
HARTFORD MUT FDS II INC SCHRODERS EMERGING MKTS		$1,001 - $15,000	Dividends	$1 - $200	[x]
ISHARES CORE S&P 500 ETF		$50,001 - $100,000	Dividends	$1,001 - $2,500	[ ]
ISHARES EMERGING MKTS INFRA ETF		$1,001 - $15,000	Dividends	$201 -	[ ]

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
				$1,000	
ISHARES GLOBAL TIMBER & FORESTRY		$1,001 - $15,000	Dividends	$1 - $200	☐
ISHARES INTERMEDIATE CR BD ETF		$50,001 - $100,000	Dividends	$1,001 - $2,500	☐
ISHARES INTL SELECT DIVIDEND EFT		$1,001 - $15,000	Dividends	$201 - $1,000	☐
JOHN HANCOCK FDS III DISCIPLINED VALUE MID CAP FUND		$15,001 - $50,000	Dividends	$201 - $1,000	☐
JP MORGAN US LARGE CAP CORE PLUS FUND		$50,001 - $100,000	Dividends	$201 - $1,000	☑
LORD ABBETT INVESTMENT TR SHORT DURATION TAX FREE FUND		$50,001 - $100,000	Dividends	$1,001 - $2,500	☐
MAINSTAY EPOCH GLOBAL EQUITY YIELD FUND		$15,001 - $50,000	Dividends	$201 - $1,000	☐
MASSACHUSETTS ST WTR POLLUNTN A WTR POLL BDS		None	Interest	$1,001 - $2,500	☑
MFS EMERGING MKTS DEBT FUND		$1,001 - $15,000	Dividends	$201 - $1,000	☐
MFS INTERNATIONAL NEW DISCOVERY FUND		$15,001 - $50,000	Dividends	$201 - $1,000	☐
PRUDENTIAL SHORT-TERM CORPORATE BD FUND		$1,001 - $15,000	Dividends	$201 - $1,000	☑
ROBECO BOSTON PARTNERS LONG/SHORT RES FUND		$15,001 - $50,000	None		☐
SPDR BARCLAYS SHORT TERM HIGH YIELD BD ETF		$15,001 - $50,000	Dividends	$1,001 - $2,500	☐
SPDR DJ WILSHIRE INTL REAL ESTATE ETF		$1,001 - $15,000	Dividends	$201 - $1,000	☐
TEMPLETON GLOBAL BD FUND		$1,001 - $15,000	Dividends	$1 - $200	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
UNDISCOVERED MANAGERS FDS BEHAVIORAL VALUE FD		$15,001 - $50,000	Capital Gains, Dividends	$1,001 - $2,500	☐
VANGUARD FTSE DEVELOPED MARKETS EFT		$1,001 - $15,000	Dividends	$1 - $200	☐
VANGUARD FTSE EMERGING MARKETS ETF		$1,001 - $15,000	Dividends	$1 - $200	☐
VANGUARD MID-CAP EFT		$15,001 - $50,000	Dividends	$201 - $1,000	☐
VANGUARD MID-CAP GROWTH INDEX FUND		$15,001 - $50,000	Dividends	$201 - $1,000	☐
VANGUARD REIT ETF		$50,001 - $100,000	Dividends	$2,501 - $5,000	☑
VANGUARD SHORT-TERM CORPORATE BOND ETF		$50,001 - $100,000	Dividends	$1,001 - $2,500	☑
VANGUARD SMALL-CAP ETF		$15,001 - $50,000	Dividends	$201 - $1,000	☐
VANGUARD TOTAL WORLD STOCK INDEX FUND		$15,001 - $50,000	Dividends	$201 - $1,000	☐
WISDOMTREE EMERGING MKTS SMALLCAP DIV FUND		$1,001 - $15,000	Dividends	$201 - $1,000	☐

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
AQR MANAGED FUTURES STRATEGY FUND		08/16/2016	P	$15,001 - $50,000	
ASTON MONTAG & CALDWELL GROWTH FUND		07/6/2016	S (partial)	$1,001 - $15,000	☐
BOFA TAX-EXEMPT RESERVES Description: Closed account		03/31/2016	S	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
COLUMBIA LARGE CAP GROWTH FUND		12/8/2016	P	$1,001 - $15,000	
DOUBLELINE TOTAL RETURN BD FUND		08/16/2016	P	$50,001 - $100,000	
FIDELITY GOVERNMENT PORFOLIO MONEY MARKET Description: Open account.		03/31/2016	P	$1,001 - $15,000	
HARTFORD MUT FDS II INC SCHRODERS EMERGING MKTS		08/16/2016	P	$1,001 - $15,000	
JP MORGAN US LARGE CAP CORE PLUS FUND		03/9/2016	S (partial)	$1,001 - $15,000	☐
MASSACHUSETTS ST WTR POLLUTN A WTR POLL BDS		08/1/2016	S	$50,001 - $100,000	☐
PRUDENTIAL SHORT-TERM CORPORATE BD FUND		08/16/2016	S (partial)	$1,001 - $15,000	☐
VANGUARD REIT ETF		07/8/2016	S (partial)	$1,001 - $15,000	☐
VANGUARD SHORT-TERM CORPORATE BOND ETF		08/18/2016	S (partial)	$15,001 - $50,000	☐

Schedule C: Earned Income

None disclosed.

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
	ENTERPRISE BANK & TRUST	AUGUST 2001	LINE OF CREDIT ON 455 SHORE RD, CHATHAM	$50,001 - $100,000
	ENTERPRISE BANK & TRUST	JUNE 2012	MORTGAGE ON PERSONAL RESIDENCE	$250,001 - $500,000

Schedule E: Positions

None disclosed.

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

Trip Details					Inclusions		
Source	**Start Date**	**End Date**	**Itinerary**	**Days at Own Exp.**	**Lodging?**	**Food?**	**Family?**
U.S. ASSOCIATION OF FMC; SASAKAWA PEACE FOUNDATION USA	02/13/2016	02/20/2016	WASHINGTON DC - TOKYO, JAPAN - OKINAWA, JAPAN - TOYKO, JAPAN	0	☑	☑	☐

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ◉ No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Niki Tsongas , 05/12/2017