Filing ID #10015960

Financial Disclosure Report

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Filer Information

Name: Hon. Edward R. Royce
Status: Member
State/District: CA39

Filing Information

Filing Type: Annual Report
Filing Year: 2016
Filing Date: 05/15/2017

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - Bond Fund Description: Spouse 401K	SP	$15,001 - $50,000	None		
Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - Equity Index Fund Description: Spouse 401K	SP	$100,001 - $250,000	None		
Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - International Equity Description: Spouse 401K	SP	$15,001 - $50,000	None		
Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - International Equity Index Description: Spouse 401K	SP	$50,001 - $100,000	None		
Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - US Large Cap Growth Equity Description: Spouse 401K	SP	$50,001 - $100,000	None		
Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - US Small Cap Equity Fund	SP	$100,001 - $250,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Description: Spouse 401K					
Congressional Federal Credit Union Description: Checking and Savings Accounts	JT	$15,001 - $50,000	None		
Fidelity Rollover IRA ⇒ Fidelity Advisor Global Fund Description: Spouse IRA	SP	$1,001 - $15,000	None		
Fidelity Rollover IRA ⇒ Fidelity Advisor High Income Advantage Fund Description: Spouse IRA	SP	$1,001 - $15,000	None		
Fidelity Rollover IRA ⇒ Fidelity Advisor Large Cap Fund Description: Spouse IRA	SP	$15,001 - $50,000	None		
Fidelity Rollover IRA ⇒ Fidelity Advisor Midcap Fund Description: Spouse IRA	SP	$15,001 - $50,000	None		
Fidelity Rollover IRA ⇒ Fidelity Advisor Real Estate Income Fund Description: Spouse IRA	SP	$1,001 - $15,000	None		
Fidelity Rollover IRA ⇒ Fidelity Advisor Small Cap Fund Description: Spouse IRA	SP	$15,001 - $50,000	None		
Fidelity Rollover IRA ⇒ Fidelity Advisor Stock SELECTOR Fund Description: Spouse IRA	SP	$15,001 - $50,000	None		
Fidelity Rollover IRA ⇒ Fidelity Advisor Value Strategy Fund Description: Spouse IRA	SP	$15,001 - $50,000	None		
Fidelity Rollover IRA ⇒ Fidelity Government Money Market Description: Spouse IRA	SP	$1 - $1,000	None		
Fidelity Rollover IRA ⇒ Fidelity Midcap Value Fund Description: Spouse IRA	SP	$1,001 - $15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Fidelity Rollover IRA ⇒ Fidliety Advisor Europe Fund DESCRIPTION: Spouse IRA	SP	$1,001 - $15,000	None		☐
Morgan Stanley Mutual Fund - Unit Advisers High 50 Dividend Strategy COMMENTS: Losses in excess of gain resulted in net loss of $8,000		None	Capital Gains, Dividends	$2,501 - $5,000	☑

* Asset class details available at the bottom of this form.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Alcatel-Lucent: EOS-Options Trading Class	SP	01/11/2016	P	$1,001 - $15,000	
Alcatel-Lucent: EOS-Options Trading Class	SP	01/11/2016	S	$1,001 - $15,000	☐
Alcatel-Lucent: EOS-Options Trading Class	SP	01/11/2016	P	$1,001 - $15,000	
Alcatel-Lucent: EOS-Options Trading Class	SP	01/11/2016	S	$1,001 - $15,000	☐
Morgan Stanley Mutual Fund - Unit Advisers High 50 Dividend Strategy		07/8/2016	S	$1,001 - $15,000	☑
Morgan Stanley Mutual Fund - Unit Advisers High 50 Dividend Strategy		07/21/2016	S	$1,001 - $15,000	☑
Morgan Stanley Mutual Fund - Unit Advisers High 50 Dividend Strategy		10/31/2016	S	$1,001 - $15,000	☐
Morgan Stanley Mutual Fund - Unit Advisers High 50 Dividend Strategy		12/20/2016	S	$15,001 - $50,000	☑
Morgan Stanley Mutual Fund - Unit Advisers High 50 Dividend Strategy		04/18/2016	S	$15,001 - $50,000	☐

Schedule C: Earned Income

Source	Type	Amount
Alcatel-Lucent	Spouse Salary	N/A
Alcatel-Lucent	Spouse Pension	N/A

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Congressional Federal Credit Union	April 2009	Mortgage on residence in Fullerton, CA	$500,001 - $1,000,000
JT	Congressional Federal Credit Union	April 2009	Mortgage on residence in Alexandria, VA	$250,001 - $500,000
	Congressional Federal Credit Union	March 2014	HELOC on personal residence	$100,001 - $250,000

Schedule E: Positions

None disclosed.

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

None disclosed.

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- Alcatel-Lucent Savings Plan (Owner: SP)
  Description: Spouse 401K
- Fidelity Rollover IRA (Owner: SP)
  Description: Spouse IRA

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ◉ No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Edward R. Royce , 05/15/2017