Filing ID #10015849

Financial Disclosure Report

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Filer Information

Name: Hon. Hakeem S. Jeffries
Status: Member
State/District: NY08

Filing Information

Filing Type: Annual Report
Filing Year: 2016
Filing Date: 05/15/2017

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Bank of America Accounts	JT	$100,001 - $250,000	Interest	$1 - $200	
Bay Ridge Federal Credit Union Account	SP	$15,001 - $50,000	Interest	$1 - $200	
HSBC Accounts		$100,001 - $250,000	Interest	$1,001 - $2,500	
HSBC Brokerage Account ⇒ Lord Abbett Floating Rate Fund Class C		$100,001 - $250,000	Dividends	$1,001 - $2,500	
Lutheran Medical Center 401(k) ⇒ JHancock3 Disciplined Value 1	SP	$1,001 - $15,000	Tax-Deferred		
Lutheran Medical Center 401(k) ⇒ PIMCO Total Ret. Instl.	SP	$1,001 - $15,000	Tax-Deferred		
Lutheran Medical Center 401(k) ⇒ VALIC Fixed Interest Option	SP	$15,001 - $50,000	Tax-Deferred		
Merrill Edge Investment Account-Non Retirement ⇒	JT	$15,001 - $50,000	Capital Gains,	$1,001 -	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
SPDR US DVDND ARISTOCRAT ETF			Dividends	$2,500	
Merrill Edge Investment Account-Non Retirement ⇒ Vanguard REIT ETF	JT	$15,001 - $50,000	Capital Gains, Dividends	$1,001 - $2,500	☐
Merrill Edge Investment Account-Retirement ⇒ BANK OF AMERICA, NA RASP		$1,001 - $15,000	Interest	$1 - $200	☐
New York State Pension		$15,001 - $50,000	None		☐
Northwestern Mutual Whole Life Insurance Policy		$15,001 - $50,000	None		☐
Northwestern Mutual Whole Life Insurance Policy	SP	$15,001 - $50,000	None		☐
NY 529 Direct Plan (Dependent Child 1) Moderate Age Based Option: Conservative Growth Portfolio LOCATION: NY		$50,001 - $100,000	Tax-Deferred		☐
NY 529 Direct Plan (Dependent Child 2) Moderate Age Based Option: Conservative Growth Portfolio LOCATION: NY		$50,001 - $100,000	Tax-Deferred		☐
Russell Lifepoint Balanced Strategy Fund Class C (Northwestern Mutual)	JT	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☐
Transamerica Custodial Traditional IRA Money Market Account	SP	$1,001 - $15,000	None		☐

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
CBS 401(k) ⇒ BlackRock Life Path 2020 Fund LOCATION: US DESCRIPTION: Sale and rollover of asset into Merrill Edge investment account-retirement		08/15/2016	S	$1,001 - $15,000	☐
CBS 401(k) ⇒ DFA US Small CAP Portfolio LOCATION: US		08/15/2016	S	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
DESCRIPTION: Sale and rollover of asset into Merrill Edge investment account-retirement					
HSBC Brokerage Account ⇒ Lord Abbett Floating Rate Fund Class C		08/16/2016	P	$50,001 - $100,000	
Merrill Edge Investment Account-Non Retirement ⇒ SPDR US DVDND ARISTOCRAT ETF		08/16/2016	P	$15,001 - $50,000	
Merrill Edge Investment Account-Non Retirement ⇒ Vanguard REIT ETF		08/16/2016	P	$15,001 - $50,000	
NY 529 Direct Plan (Dependent Child 2) Moderate Age Based Option: Conservative Growth Portfolio LOCATION: NY, US DESCRIPTION: Additional investment in existing NY 529 Plan		02/8/2016	P	$15,001 - $50,000	

* Asset class details available at the bottom of this form.

Schedule C: Earned Income

Source	Type	Amount
Worksite Medical Service PC	Spouse Salary	N/A

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
	HSBC	July 2015	Primary Residence Mortgage	$250,001 - $500,000

Schedule E: Positions

None disclosed.

Schedule F: Agreements

Date	Parties To	Terms of Agreement
January 2007	New York State Common Retirement Fund/ Hakeem S. Jeffries	Continued participation in a New York State pension plan.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

Trip Details					Inclusions		
Source	**Start Date**	**End Date**	**Itinerary**	**Days at Own Exp.**	**Lodging?**	**Food?**	**Family?**
The Government of Japan (Embassy of Japan (MECEA))	03/27/2016	03/31/2016	New York, NY - Osaka, Japan - Kyoto, Japan - Tokyo, Japan - New York, NY	0	☑	☑	☐

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- CBS 401(k)
- HSBC Brokerage Account
  Location: US
- Lutheran Medical Center 401(k) (Owner: SP)
- Merrill Edge Investment Account-Non Retirement (Owner: JT)
  Location: US
- Merrill Edge Investment Account-Retirement
  Location: US

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?
○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?
○ Yes ◉ No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Hakeem S. Jeffries , 05/15/2017