



# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Lois Frankel  
**Status:** Member  
**State/District:** FL21

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2016  
**Filing Date:** 08/9/2017

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
8400 Plaza Associates  LOCATION: Ft Lauderdale, FL, US DESCRIPTION: Partnership Income COMMENTS: 2.014400% Ownership		\$50,001 - \$100,000	Rent	\$1,001 - \$2,500	<input type="checkbox"/>
City of West Palm Beach 401(a) Defined Contribution Retirement Plan ⇒ Dodge & Cox Income Fund		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
City of West Palm Beach 401(a) Defined Contribution Retirement Plan ⇒ Templeton Global Bond Adv		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
City of West Palm Beach 457 Deferred Compensation Plan ⇒ The City of WPB Custom Stable Value Fund  DESCRIPTION: Stable Value Fund		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
International Business Machines Corporation (IBM)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Lois J. Frankel Living Trust ⇒		\$15,001 - \$50,000	Dividends	\$201 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Exxon Mobil Corporation (XOM)				\$1,000	
Lois J. Frankel Living Trust ⇒ Morgan Stanley Bank N.A.		\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
MetLife-Preference Plus Select L Class Annuity ⇒ BlackRock Bond Income Portfolio (Class B)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
MetLife-Preference Plus Select L Class Annuity ⇒ Invesco Mid Cap Value Portfolio		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
MetLife-Preference Plus Select L Class Annuity ⇒ MFS Value Portfolio (Class B)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
MetLife-Preference Plus Select L Class Annuity ⇒ MSCI EAFE Index Portfolio		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
MetLife-Preference Plus Select L Class Annuity ⇒ Neuberger Berman Genesis Portfolio		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - Xo85 ⇒ Eaton Vance Income Fund of Boston C		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - Xo85 ⇒ JP Morgan Equity Income C		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - Xo85 ⇒ MF Premier Graham A DESCRIPTION: Managed Future		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
Morgan Stanley IRA - Xo85 ⇒ Microsoft Corporation (MSFT)		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
Morgan Stanley IRA - Xo85 ⇒ Morgan Stanley Bank N.A.		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - Xo85 ⇒ Prudential Jennison Nat Res A		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - Xo85 ⇒ Royce Value Trust, Inc. (RVT) COMMENTS: In 2016 part of the shares of RVT held in Morgan Stanley IRA - Xo85 were transferred to Morgan Stanley IRA - X529		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - Xo85 ⇒ Virtus Insight Emrg Mkts C		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley IRA - X085 ⇒ Voya Financial ⇒ Voya International Index Portf		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X085 ⇒ Voya Financial ⇒ Vy Morgan Stanley Global Franc		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X085 ⇒ Voya Financial ⇒ Vy T. Rowe Price Capital Appre		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X085 ⇒ Voya Financial ⇒ Vy Templeton Foreign Equity Po		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X140 ⇒ Commonwealth Allmerica Select Resources II ⇒ AllianceBernstein Small/Mid-CA		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
COMMENTS: In 2016 Commonwealth Allmerica Select Resources II (which held the above fund) was transferred into Morgan Stanley IRA - X140					
Morgan Stanley IRA - X140 ⇒ Commonwealth Allmerica Select Resources II ⇒ Fidelity Mid-Cap Port		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
COMMENTS: In 2016 Commonwealth Allmerica Select Resources II (which held the above fund) was transferred into Morgan Stanley IRA - X140					
Morgan Stanley IRA - X140 ⇒ Commonwealth Allmerica Select Resources II ⇒ GS VIT Money Market		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
COMMENTS: In 2016 Commonwealth Allmerica Select Resources II (which held the above fund) was transferred into Morgan Stanley IRA - X140					
Morgan Stanley IRA - X140 ⇒ Commonwealth Allmerica Select Resources II ⇒ Janus Aspen Series Enterprises		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
COMMENTS: In 2016 Commonwealth Allmerica Select Resources II (which held the above fund) was transferred into Morgan Stanley IRA - X140					
Morgan Stanley IRA - X140 ⇒ Commonwealth Allmerica Select Resources II ⇒ MFS VIT Utilities Fund		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ 3M Company (MMM)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Abbott Laboratories (ABT)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Accenture plc. Class A Ordinary Shares (ACN)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley IRA - X141 ⇒ American Express Company (AXP)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Aon plc Class A Ordinary Shares (AON)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Archer-Daniels-Midland Company (ADM)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Cigna Corporation (CI)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Citigroup, Inc. (C)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Comcast Corporation - Class A (CMCSA)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Coty Inc. Class A (COTY)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ CVS Health Corporation (CVS)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ D/B/A Chubb Limited New (CB)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
COMMENTS: In 2016 ACE Ltd (ACE) acquired Chubb (CB). The combined company is operating under the Chubb (CB) name globally. Therefore the shares of ACE shown on the 2015 Annual Disclosure will now be shown under the name Chubb Ltd (CB).					
Morgan Stanley IRA - X141 ⇒ Danaher Corporation (DHR)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Delphi Automotive plc Ordinary Shares (DLPH)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ E.I. du Pont de Nemours and Company (DD)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Eaton Corporation, PLC Ordinary Shares (ETN)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ EOG Resources, Inc. (EOG)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Equifax, Inc. (EFX)					
Morgan Stanley IRA - X141 ⇒ Fidelity National Information Services, Inc. (FIS)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Fiserv, Inc. (FISV)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Goldman Sachs Group, Inc. (GS)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Honeywell International Inc. (HON)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Illinois Tool Works Inc. (ITW)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ J.M. Smucker Company (SJM)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Johnson & Johnson (JNJ)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Johnson Controls, Inc. (JCI)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ JP Morgan Chase & Co. (JPM)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ McKesson Corporation (MCK)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Medtronic plc. Ordinary Shares (MDT)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Merck & Company, Inc. Common Stock (MRK)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ MetLife, Inc. (MET)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Morgan Stanley Private Bank NA#		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
Morgan Stanley IRA - X141 ⇒ Nasdaq, Inc. (NDAQ)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Northrop Grumman Corporation (NOC)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Occidental Petroleum Corporation (OXY)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Pfizer, Inc. (PFE)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Philip Morris International Inc (PM)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ PNC Financial Services Group, Inc. (PNC)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ PPG Industries, Inc. (PPG)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Schlumberger N.V. (SLB)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Texas Instruments Incorporated (TXN)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ The Travelers Companies, Inc. (TRV)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Thermo Fisher Scientific Inc (TMO)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Time Warner Inc. New (TWX)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ U.S. Bancorp (USB)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Union Pacific Corporation (UNP)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley IRA - X141 ⇒ United Technologies Corporation (UTX)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Wells Fargo & Company (WFC)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Xcel Energy Inc. (XEL)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Amdocs Limited - Ordinary Shares (DOX)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Anadarko Petroleum Corporation (APC)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Analog Devices, Inc. (ADI)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Apache Corporation (APA)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Arconic Inc. (ARNC)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
COMMENTS: In November 2016 Alcoa Inc (AA) changed it's name to Arconic Inc (ARNC) and spun-off Alcoa Corp (AA). The shares of Alcoa Corp (AA) received in the spin-off were sold in a transaction under \$1,000.					
Morgan Stanley IRA - X142 ⇒ Avery Dennison Corporation (AVY)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Baxter International Inc. (BAX)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Boston Scientific Corporation (BSX)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Broadridge Financial Solutions, Inc. (BR)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ BWX Technologies, Inc. (BWXT)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Caseys General Stores, Inc. (CASY)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley IRA - X142 ⇒ Cinemark Holdings Inc Cinemark Holdings, Inc. (CNK)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Clorox Company (CLX)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ CoreCivic, Inc. (CXW)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
COMMENTS: In November 2016 Corrections Corporation of America (CXW) changed their name to CoreCivic Inc (CXW).					
Morgan Stanley IRA - X142 ⇒ Discovery Communications, Inc. - Series C (DISCK)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Entergy Corporation (ETR)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ EPAM Systems, Inc. (EPAM)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Esterline Technologies Corporation (ESL)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Expeditors International of Washington, Inc. (EXPD)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Fastenal Company (FAST)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ First American Corporation (FAF)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Franklin Resources, Inc. (BEN)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Genuine Parts Company (GPC)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Globus Medical, Inc. Class A (GMED)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Haemonetics Corporation (HAE)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Hartford Financial Services Group, Inc. (HIG)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>



Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley IRA - X142 ⇒ Hasbro, Inc. (HAS)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Hess Corporation (HES)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Huntington Bancshares Incorporated (HBAN)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Invesco Plc New (IVZ)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ IPG Photonics Corporation (IPGP)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Janus Capital Group, Inc. Cmn Stk (JNS)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Liberty Broadband Corporation - Class C (LBRDK)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Liberty Media Corporation - Series C Liberty SiriusXM (LSXMK)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
<p>DESCRIPTION: In April 2016 Liberty Media Corporation (LMCK) recapitalized its existing common stock into three tracking stocks: Liberty SiriusXM Group (LSXMK), Liberty Braves Group (BATRK) and Liberty Media Group (LMCK)</p> <p>COMMENTS: The shares received of Liberty Braves Group (BATRK) and Liberty Media Group (LMCK) were both fully sold in transactions under \$1,000.</p>					
Morgan Stanley IRA - X142 ⇒ M&T Bank Corporation (MTB)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Markel Corporation (MKL)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Mattel, Inc. (MAT)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ McKesson Corporation (MCK)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Morgan Stanley Private Bank NA#		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
Morgan Stanley IRA - X142 ⇒ National Fuel Gas Company (NFG)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ New York Community Bancorp, Inc. (NYCB)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ NiSource Inc (NI)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Omnicom Group Inc. (OMC)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Orbital ATK, Inc. (OA)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ PBF Energy Inc. Class A (PBF)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ PPL Corporation (PPL)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Regal Entertainment Group Class A (RGC)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Rockwell Collins, Inc. (COL)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Sonoco Products Company (SON)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Sun Communities, Inc. (SUI)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ SunTrust Banks, Inc. (STI)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Synchrony Financial (SYF)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Sysco Corporation (SYY)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ The Hain Celestial Group, Inc. (HAIN)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
Morgan Stanley IRA - X142 ⇒ The Hershey Company (HSY)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ United Natural Foods, Inc. (UNFI)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Varian Medical Systems, Inc. (VAR)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Welltower Inc. (HCN)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Western Union Company (WU)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Woodward, Inc. (WWD)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Xcel Energy Inc. (XEL)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Zimmer Biomet Holdings, Inc. (ZBH)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ Allianceber High Income Advisor		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ American Century Mid Cap Value Inv		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ AMG Yacktman Focused Svc		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ BlackRock Inflation Protected Bond Instl		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ Cohen & Steers Preferred Sec & Inc I		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ Fidelity Adv New Insights I		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ First Eagle Global I		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley IRA - X529 ⇒ Guggenheim Macro Opportunities Instl		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ Guggenheim S&P 500 Eq Weight		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ Invesco Balanced-Risk Allc Y		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ iShares S&P Small-Cap 600 Growth		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ JP Morgan Mid Cap Value S		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ Matthews Asia Dividend Inv		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ MFS International Value I		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ Mirae Asia Great Consumer I		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ Morgan Stanley Bank N.A.		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ Oakmark I		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ Oppenheimer SteelPath MLP Select 40 Y		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ PIMCO Foreign Bond (Hedged)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ PowerShares Emerging Markets Sovereign Debt Portfolio		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ PowerShares Preferred ETF		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ Principal Midcap P		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley IRA - X529 ⇒ Principal Small-MidCap Dividend Inc P		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ Prudential Jennison Health Sciences Z		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ SPDR Dow Jones Global Real Estate		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ SPDR Gold Trust		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ T Rowe Price Cap Apprec Fd		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ T Rowe Price Mid Cap Gr		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ T Rowe Price QM US Small-Cap Gr Eq		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ Vanguard Small-Cap Value		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ Virtus Foreign Opport I		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ Wells Fargo Growth I		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Prudential Financial, Inc. (PRU)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

\* Asset class details available at the bottom of this form.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley IRA - X085 ⇒ Calamos Convertible Fund C		08/5/2016	S	\$100,001 - \$250,000	<input type="checkbox"/>
Morgan Stanley IRA - X085 ⇒		08/5/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
Eaton Vance Glbl Macr Absolute Return C				
Morgan Stanley IRA - Xo85 ⇒ Eaton Vance Income Fund of Boston C	08/5/2016	P	\$15,001 - \$50,000	
Morgan Stanley IRA - Xo85 ⇒ JPMorgan Equity Income C	08/5/2016	P	\$15,001 - \$50,000	
Morgan Stanley IRA - Xo85 ⇒ JPMorgan Strategic Income Opports C	08/5/2016	S	\$15,001 - \$50,000	■
Morgan Stanley IRA - X141 ⇒ 3M Company (MMM)	04/6/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Aon plc Class A Ordinary Shares (AON)	06/8/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Aon plc Class A Ordinary Shares (AON)	06/1/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Aon plc Class A Ordinary Shares (AON)	08/17/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Cigna Corporation (CI)	04/13/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Cigna Corporation (CI)	04/20/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Citigroup, Inc. (C)	01/13/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Citigroup, Inc. (C)	02/3/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Citigroup, Inc. (C)	08/10/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Cognizant Technology Solutions Corporation - Class A (CTSH)	08/23/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Cognizant Technology Solutions Corporation - Class A	12/14/2016	S	\$1,001 - \$15,000	■

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
(CTSH)					
Morgan Stanley IRA - X141 ⇒ Coty Inc. Class A (COTY)		10/12/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Coty Inc. Class A (COTY)		11/2/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Coty Inc. Class A (COTY)		10/19/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Cummins Inc. (CMI)		04/20/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ CVS Health Corporation (CVS)		11/16/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ D/B/A Chubb Limited New (CB)		04/6/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Danaher Corporation (DHR)		05/4/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Danaher Corporation (DHR)		08/23/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Delphi Automotive plc Ordinary Shares (DLPH)		12/7/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ E.I. du Pont de Nemours and Company (DD)		06/22/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Eaton Corporation, PLC Ordinary Shares (ETN)		03/10/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ EOG Resources, Inc. (EOG)		02/24/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Equifax, Inc. (EFX)		12/23/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Fidelity National Information Services, Inc. (FIS)		04/13/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley IRA - X141 ⇒ Fidelity National Information Services, Inc. (FIS)		05/11/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Fidelity National Information Services, Inc. (FIS)		12/14/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Fiserv, Inc. (FISV)		05/11/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Fiserv, Inc. (FISV)		08/23/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Fortive Corporation (FTV)		07/13/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
COMMENTS: Received shares of Fortive Corporation in 2016 from a Danaher spin-off.					
Morgan Stanley IRA - X141 ⇒ Franklin Resources, Inc. (BEN)		03/10/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Franklin Resources, Inc. (BEN)		05/11/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Franklin Resources, Inc. (BEN)		06/1/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ General Mills, Inc. (GIS)		04/6/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ General Mills, Inc. (GIS)		08/3/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ General Mills, Inc. (GIS)		08/31/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ General Mills, Inc. (GIS)		08/17/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ General Mills, Inc. (GIS)		10/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Illinois Tool Works Inc. (ITW)		08/10/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒		06/8/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
International Business Machines Corporation (IBM)					
Morgan Stanley IRA - X141 ⇒ J.M. Smucker Company (SJM)		05/11/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ J.M. Smucker Company (SJM)		05/4/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ J.M. Smucker Company (SJM)		05/25/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ J.M. Smucker Company (SJM)		08/31/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Johnson Controls International plc Ordinary Share (JCI)		09/28/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Johnson Controls, Inc. (JCI)		07/27/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ JP Morgan Chase & Co. (JPM)		09/21/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ JP Morgan Chase & Co. (JPM)		11/16/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ McKesson Corporation (MCK)		07/6/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ McKesson Corporation (MCK)		08/31/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ McKesson Corporation (MCK)		08/17/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Medtronic plc. Ordinary Shares (MDT)		11/23/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Merck & Company, Inc. Common Stock (MRK)		08/17/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Monsanto Company (MON)		01/6/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

<b>Asset</b>	<b>Owner Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Morgan Stanley IRA - X141 ⇒ Monsanto Company (MON)	05/25/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Monsanto Company (MON)	06/22/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY)	11/16/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Northrop Grumman Corporation (NOC)	09/28/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Northrop Grumman Corporation (NOC)	11/23/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ PNC Financial Services Group, Inc. (PNC)	06/8/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ PNC Financial Services Group, Inc. (PNC)	07/20/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Prudential Financial, Inc. (PRU)	07/27/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ State Street Corporation (STT)	03/30/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Target Corporation (TGT)	10/12/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Target Corporation (TGT)	11/16/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Texas Instruments Incorporated (TXN)	01/6/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Texas Instruments Incorporated (TXN)	06/1/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ The Travelers Companies, Inc. (TRV)	01/13/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒	04/6/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
The Travelers Companies, Inc. (TRV)			(partial)		
Morgan Stanley IRA - X141 ⇒ Time Warner Inc. New (TWX)		01/13/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ U.S. Bancorp (USB)		03/30/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ U.S. Bancorp (USB)		03/23/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ U.S. Bancorp (USB)		04/27/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Union Pacific Corporation (UNP)		08/3/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ United Technologies Corporation (UTX)		07/6/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ United Technologies Corporation (UTX)		09/28/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Verizon Communications Inc. (VZ)		09/7/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Verizon Communications Inc. (VZ)		12/7/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Verizon Communications Inc. (VZ)		12/21/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Wells Fargo & Company (WFC)		03/23/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Wells Fargo & Company (WFC)		04/27/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Wells Fargo & Company (WFC)		06/8/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Wells Fargo & Company (WFC)		09/21/2016	P	\$1,001 - \$15,000	

<b>Asset</b>	<b>Owner Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Morgan Stanley IRA - X141 ⇒ Xcel Energy Inc. (XEL)	01/6/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Xcel Energy Inc. (XEL)	09/7/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Alcoa Inc. (AA)	08/11/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Anadarko Petroleum Corporation (APC)	03/11/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Anadarko Petroleum Corporation (APC)	04/13/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Anadarko Petroleum Corporation (APC)	05/16/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Analog Devices, Inc. (ADI)	01/20/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Analog Devices, Inc. (ADI)	11/14/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Apache Corporation (APA)	01/22/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Applied Materials, Inc. (AMAT)	01/27/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Baxter International Inc. (BAX)	01/15/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Baxter International Inc. (BAX)	01/15/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Cameco Corporation (CCJ)	07/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Caseys General Stores, Inc. (CASY)	11/17/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Caseys General Stores, Inc. (CASY)	11/16/2016	P	\$1,001 - \$15,000	

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley IRA - X142 ⇒ CoreCivic, Inc. (CXW)	11/17/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Corrections Corporation of America (CXW)	05/5/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Devon Energy Corporation (DVN)	01/22/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Devon Energy Corporation (DVN)	02/17/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Endurance Specialty Holdings Ltd (ENH)	10/19/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ EPAM Systems, Inc. (EPAM)	11/22/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ EPAM Systems, Inc. (EPAM)	11/21/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Fastenal Company (FAST)	01/22/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Franklin Resources, Inc. (BEN)	07/6/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Franklin Resources, Inc. (BEN)	10/12/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Franklin Resources, Inc. (BEN)	11/9/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Globus Medical, Inc. Class A (GMED)	10/6/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ HCP, Inc. (HCP)	02/16/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ HCP, Inc. (HCP)	05/4/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>

<b>Asset</b>	<b>Owner Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Morgan Stanley IRA - X142 ⇒ Hess Corporation (HES)	01/29/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Huntington Bancshares Incorporated (HBAN)	03/9/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Huntington Bancshares Incorporated (HBAN)	06/24/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ IPG Photonics Corporation (IPGP)	03/18/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ ITC Holdings Corp. (ITC)	02/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Kohl's Corporation (KSS)	08/17/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Leidos Holdings, Inc. (LDOS)	01/28/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Markel Corporation (MKL)	11/17/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Old Republic International Corporation (ORI)	02/18/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Orbital ATK, Inc. (OA)	03/18/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Orbital ATK, Inc. (OA)	06/17/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Orbital ATK, Inc. (OA)	08/10/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ PBF Energy Inc. Class A (PBF)	06/29/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ PPL Corporation (PPL)	09/29/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Quest Diagnostics Incorporated (DGX)	06/20/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley IRA - X142 ⇒ Rockwell Collins, Inc. (COL)	02/3/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ St. Jude Medical, Inc. (STJ)	05/5/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Synchrony Financial (SYF)	03/24/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Synchrony Financial (SYF)	05/4/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Synchrony Financial (SYF)	11/16/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ The Hain Celestial Group, Inc. (HAIN)	11/28/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ United Natural Foods, Inc. (UNFI)	03/18/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Verifone Systems, Inc. (PAY)	06/17/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ W.R. Grace & Co. (GRA)	01/26/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Woodward, Inc. (WWD)	05/13/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Worthington Industries, Inc. (WOR)	03/29/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Worthington Industries, Inc. (WOR)	05/25/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ Allianceber High Income Advisor	08/5/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X529 ⇒ American Century Mid Cap Value Inv	08/5/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X529 ⇒	12/28/2016	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
AMG Yacktman Focused Svc					
DESCRIPTION: Long Term Capital Gain Reinvestment					
Morgan Stanley IRA - X529 ⇒ AMG Yacktman Focused Svc		08/5/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X529 ⇒ Artisan International Value Advisor		08/5/2016	S	\$50,001 - \$100,000	<input type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ Artisan Mid Cap Value Advisor		08/5/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ BlackRock Inflation Protected Bond Instl		08/5/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X529 ⇒ Cohen & Steers Preferred Sec & Inc I		08/5/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X529 ⇒ FPA Crescent		08/5/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ Guggenheim Macro Opportunities Instl		08/5/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X529 ⇒ Guggenheim S&P 500 Eq Weight		09/9/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X529 ⇒ Guggenheim S&P 500 Eq Weight		08/24/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X529 ⇒ Invesco Balanced-Risk Allc Y		08/5/2016	P	\$15,001 - \$50,000	
Morgan Stanley IRA - X529 ⇒ iShares S&P Small-Cap 600 Growth		08/10/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X529 ⇒ Matthews Asia Dividend Inv		09/2/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X529 ⇒ MFS International Value I		12/16/2016	P	\$1,001 - \$15,000	
DESCRIPTION: Dividend Reinvestment					
Morgan Stanley IRA - X529 ⇒		08/5/2016	P	\$50,001 -	



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
MFS International Value I				\$100,000	
Morgan Stanley IRA - X529 ⇒ Mirae Asset Asia Great Consumer I		09/2/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X529 ⇒ MSIF Small Company Growth Inst		08/5/2016	S	\$1,001 - \$15,000	■
Morgan Stanley IRA - X529 ⇒ Oppenheimer SteelPath MLP Select 40 Y		08/5/2016	P	\$15,001 - \$50,000	
Morgan Stanley IRA - X529 ⇒ PIMCO Foreign Bond (Hedged)		09/2/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X529 ⇒ PIMCO Foreign Bond (Unhedged)		09/2/2016	S	\$1,001 - \$15,000	■
Morgan Stanley IRA - X529 ⇒ PIMCO Foreign Bond (Unhedged)		08/5/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X529 ⇒ PowerShares Emerging Markets Sovereign Debt Portfolio (PCY)		09/16/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X529 ⇒ PowerShares Preferred ETF		08/16/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X529 ⇒ Principal Small-MidCap Dividend Inc P		09/2/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X529 ⇒ Prudential Jennison Health Sciences Z		08/5/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X529 ⇒ Royce Global Value Trust, Inc. (RGT)		08/9/2016	S	\$1,001 - \$15,000	■
COMMENTS: In 2016 some of the shares of RVT held in Morgan Stanley IRA - Xo85 were transferred to Morgan Stanley IRA - X529					
Morgan Stanley IRA - X529 ⇒ Royce Global Value Trust, Inc. (RGT)		08/8/2016	S (partial)	\$1,001 - \$15,000	■
COMMENTS: In 2016 all of the shares of RGT held in Morgan Stanley IRA - Xo85 were transferred to Morgan Stanley IRA - X529					
Morgan Stanley IRA - X529 ⇒ Royce Value Trust, Inc. (RVT)		08/8/2016	S	\$15,001 - \$50,000	■
COMMENTS: In 2016 part of the shares of RVT held in Morgan Stanley IRA - Xo85 were transferred to Morgan Stanley IRA - X529					

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley IRA - X529 ⇒ Royce Value Trust, Inc. (RVT)	08/5/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
COMMENTS: In 2016 part of the shares of RVT held in Morgan Stanley IRA - X085 were transferred to Morgan Stanley IRA - X529				
Morgan Stanley IRA - X529 ⇒ SPDR Dow Jones Global Real Estate	09/9/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X529 ⇒ SPDR Dow Jones Global Real Estate	08/9/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X529 ⇒ SPDR Gold Trust (GLD)	10/4/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X529 ⇒ SPDR Gold Trust	09/13/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X529 ⇒ SPDR Gold Trust	08/25/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X529 ⇒ T Rowe Price Cap Apprec Fd	12/15/2016	P	\$1,001 - \$15,000	
DESCRIPTION: Long Term Capital Gain Reinvestment				
Morgan Stanley IRA - X529 ⇒ T Rowe Price Cap Apprec Fd	12/15/2016	P	\$1,001 - \$15,000	
DESCRIPTION: Dividend Reinvestment				
Morgan Stanley IRA - X529 ⇒ T Rowe Price Cap Apprec Fd	08/5/2016	P	\$100,001 - \$250,000	
Morgan Stanley IRA - X529 ⇒ T Rowe Price Mid Cap Gr Adv	10/7/2016	E	\$1,001 - \$15,000	
DESCRIPTION: Class Exchange to T Rowe Price Mid Cap Gr				
Morgan Stanley IRA - X529 ⇒ T Rowe Price Mid Cap Gr Adv	08/5/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X529 ⇒ T. Rowe Price QM US Small-Cap Gr Eq	09/2/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X529 ⇒ Templeton Global Total Return Adv	08/5/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley IRA - X529 ⇒ Vanguard Small-Cap Value		09/9/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X529 ⇒ Vanguard Small-Cap Value		08/10/2016	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X529 ⇒ Wells Fargo Growth I		12/12/2016	P	\$1,001 - \$15,000	
DESCRIPTION: Long Term Capital Gain Reinvestment					

\* Asset class details available at the bottom of this form.

### SCHEDULE C: EARNED INCOME

Source	Type	Amount
State of Florida - Division of Retirement	Pension distribution	\$13,629.24

### SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Morgan Stanley Home Loans	September 2014	Mortgage on 403 S Sapodilla Ave unit 213, West Palm Beach, FL 33401	\$250,001 - \$500,000
	BB&T	June 2014	Mortgage on 1000 New Jersey Ave SE Apt 224, Washington DC 20003	\$100,001 - \$250,000
	Capitol Hill Tower Housing Cooperation	December 2015	Share of co-op mortgage on 1000 New Jersey Ave SE Apt 224, Washington DC 20003	\$100,001 - \$250,000

### SCHEDULE E: POSITIONS

Position	Name of Organization
Partner	8400 Plaza Associates

### SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
2002	State of Florida and filer	Pension annuity for service in state legislature
COMMENTS: Vested in 2002		

Date	Parties To	Terms of Agreement
March 2011	City of West Palm Beach and filer	Retiree Life Insurance, Retiree VEBA, Retiree Health Insurance-not exercised.

### SCHEDULE G: GIFTS

None disclosed.

### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Source	Trip Details				Inclusions		
	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
MECEA -- British Embassy	02/15/2016	02/19/2016	Miami, FL - Gibraltar - London - Miami, FL	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Aspen Institute	08/10/2016	08/16/2016	Miami, FL - London - Miami, FL	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

### SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

### SCHEDULE A AND B ASSET CLASS DETAILS

- o City of West Palm Beach 401(a) Defined Contribution Retirement Plan
- o City of West Palm Beach 457 Deferred Compensation Plan
- o Lois J. Frankel Living Trust
- o MetLife-Preference Plus Select L Class Annuity
- o Morgan Stanley IRA - X085
- o Morgan Stanley IRA - X085 ⇒ Voya Financial  
COMMENTS: ING Opportunities changed it's name to Voya Financial in 2014
- o Morgan Stanley IRA - X140
- o Morgan Stanley IRA - X140 ⇒ Commonwealth Allmerica Select Resources II
- o Morgan Stanley IRA - X141  
DESCRIPTION: Managed by MFS Investment MGMT
- o Morgan Stanley IRA - X142  
DESCRIPTION: Managed by Anchor Capital Advisors LLC
- o Morgan Stanley IRA - X529

### EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## COMMENTS

Two securities were sold in 2016 in transactions under \$1,000: Pentair plc Ordinary Share (PNR) and Tyco International plc (TYC). Two securities obtained through the recapitalization of Libery Media Corporation were sold in transactions under \$1,000: Liberty Media Group (LMCK) and Liberty Braves Group (BATRK). Real Estate Associates Limited III was dissolved in 2015. The filer did not receive anything in the dissolution.

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Lois Frankel , 08/9/2017