Filing ID #10015355

Financial Disclosure Report

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

Filer Information

Name: Hon. Diana DeGette
Status: Member
State/District: CO01

Filing Information

Filing Type: Annual Report
Filing Year: 2016
Filing Date: 08/3/2017

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Charles Schwab Bank	JT	$1,001 - $15,000	Interest	$1 - $200	
Comments: This is a money market fund.					
DeGette IRA ⇒ Charles Schwab Bank		$1,001 - $15,000	Tax-Deferred		
Comments: This is a money market fund.					
DeGette IRA ⇒ DFA Emerging Markets Core Equity		$1,001 - $15,000	Tax-Deferred		
DeGette IRA ⇒ DFA International Core Equity		$1,001 - $15,000	Tax-Deferred		
DeGette IRA ⇒ DFA International Small Co.		$1,001 - $15,000	Tax-Deferred		
DeGette IRA ⇒ DFA Two-Year Global Fixed		$1,001 - $15,000	Tax-Deferred		
DeGette IRA ⇒ DFA US Core Equity 1		$1,001 - $15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
DeGette IRA ⇒ DFA US Targeted Value		$1,001 - $15,000	Tax-Deferred		☐
DeGette IRA ⇒ iShares Core US Aggregate Bond Fund EFT		$1,001 - $15,000	Tax-Deferred		☑
DeGette IRA ⇒ Vanguard Short-Term Investment Grade		$1,001 - $15,000	Tax-Deferred		☐
Equity interest in Dentons US LLP LOCATION: Denver, CO, US DESCRIPTION: Spouse's equity interest in his law firm.	SP	$15,001 - $50,000	Partnership draw and distributions.	Not Applicable	☑
Spouse IRA ⇒ Charles Schwab Bank DESCRIPTION: This is a money market fund.	SP	$15,001 - $50,000	Tax-Deferred		☐
Spouse IRA ⇒ DFA Emerging Markets Core Equity	SP	$15,001 - $50,000	Tax-Deferred		☑
Spouse IRA ⇒ DFA Global Real Estate Securities	SP	$15,001 - $50,000	Tax-Deferred		☑
Spouse IRA ⇒ DFA International Core Equity	SP	$100,001 - $250,000	Tax-Deferred		☑
Spouse IRA ⇒ DFA International Small Co.	SP	$15,001 - $50,000	Tax-Deferred		☑
Spouse IRA ⇒ DFA Two-Year Global Fixed	SP	$15,001 - $50,000	Tax-Deferred		☑
Spouse IRA ⇒ DFA US Large Cap Value	SP	$50,001 - $100,000	Tax-Deferred		☑
Spouse IRA ⇒ DFA US Small Cap Value	SP	$15,001 - $50,000	Tax-Deferred		☑
Spouse IRA ⇒ IShares Core S&P 500 Index	SP	$100,001 - $250,000	Tax-Deferred		☑
Spouse IRA ⇒ iShares Core US Aggregate Bond EFT	SP	$100,001 - $250,000	Tax-Deferred		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Spouse IRA ⇒ Vanguard Inflation Protected Securities	SP	$15,001 - $50,000	Tax-Deferred		✓
Spouse IRA ⇒ Vanguard Short-Term Investment Grade	SP	$50,001 - $100,000	Tax-Deferred		✓
Spouse IRA ⇒ Vanguard Small Cap ETF	SP	$50,001 - $100,000	Tax-Deferred		✓
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ AM Funds EuroPacific Growth	SP	$100,001 - $250,000	Tax-Deferred		✓
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ Artisan Mid Cap Value Investor	SP	$100,001 - $250,000	Tax-Deferred		✓
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ Charles Schwab Bank	SP	$15,001 - $50,000	Tax-Deferred		
COMMENTS: This is a money market fund.					
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ DFA Emerging Markets Core Equity	SP	$15,001 - $50,000	Tax-Deferred		✓
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ DFA Global Real Estate Securities	SP	$15,001 - $50,000	Tax-Deferred		
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ DFA International Core Equity	SP	$50,001 - $100,000	Tax-Deferred		✓
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ DFA International Small Co.	SP	$15,001 - $50,000	Tax-Deferred		
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ DFA Two-Year Global Fixed	SP	$15,001 - $50,000	Tax-Deferred		
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ DFA US Core Equity 1	SP	$100,001 - $250,000	Tax-Deferred		
COMMENTS: In 2015, spouse's law firm merged into Dentons US LLP. In 2016, in connection with the merger, the firm moved its 401(k) and profit-sharing accounts from Voya to Wells Fargo. For reporting purposes, Wells Fargo treats both accounts as "profit sharing" accounts.					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Accordingly, these disclosures describe the assets previously contained in spouse's 401(k) account as assets of his profit-sharing account, and no longer reflect a separate 401(k) account. These assets were not sold and repurchased.					
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ DFA US Targeted Value	SP	$50,001 - $100,000	Tax-Deferred		☐
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ iShares Core US Aggregate Bond EFT	SP	$100,001 - $250,000	Tax-Deferred		☑
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ Metropolitan West Total Return Bond I	SP	$100,001 - $250,000	Tax-Deferred		☑
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ Vanguard 500 Index Admiral	SP	$250,001 - $500,000	Tax-Deferred		☑
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ Vanguard Inflation Protected Securities	SP	$15,001 - $50,000	Tax-Deferred		☑
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ Vanguard Short-Term Investment Grade	SP	$50,001 - $100,000	Tax-Deferred		☐
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ Wells Fargo Stable Return Fund	SP	$100,001 - $250,000	Tax-Deferred		☑
TIAA-CREF Intelligent Life VUL ⇒ DFA VA Global Bond Portfolio	SP	$1,001 - $15,000	Tax-Deferred		☐
TIAA-CREF Intelligent Life VUL ⇒ DFA VA International Small Portfolio	SP	$1,001 - $15,000	Tax-Deferred		☐
TIAA-CREF Intelligent Life VUL ⇒ DFA VA International Value Portfolio	SP	$1,001 - $15,000	Tax-Deferred		☐
TIAA-CREF Intelligent Life VUL ⇒ DFA VA Short-Term Fixed Income	SP	$1,001 - $15,000	Tax-Deferred		☐
TIAA-CREF Intelligent Life VUL ⇒ DFA VA US Large Value Portfolio	SP	$1,001 - $15,000	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
TIAA-CREF Intelligent Life VUL ⇒ DFA VA US Targeted Value	SP	$1,001 - $15,000	Tax-Deferred		☐
TIAA-CREF Intelligent Life VUL ⇒ Templeton Developing Markets Securities Fund	SP	$1,001 - $15,000	Tax-Deferred		☐
TIAA-CREF Intelligent Life VUL ⇒ TIAA-CREF Fixed Rate Account	SP	$1,001 - $15,000	Tax-Deferred		☐
TIAA-CREF Intelligent Life VUL ⇒ TIAA-CREF Life International Equity Fund	SP	$1,001 - $15,000	Tax-Deferred		☐
TIAA-CREF Intelligent Life VUL ⇒ TIAA-CREF Life Stock Index Fund	SP	$1,001 - $15,000	Tax-Deferred		☐
Wells Fargo Bank West, N.A.	JT	$15,001 - $50,000	Interest	$1 - $200	☐

* Asset class details available at the bottom of this form.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
DeGette IRA ⇒ DFA Selectively Hedged Global Fixed		06/27/2016	S	$1,001 - $15,000	☐
DeGette IRA ⇒ iShares Core US Aggregate Bond ETF		06/28/2016	P	$1,001 - $15,000	
Equity interest in Dentons US LLP Location: Denver, CO, US Description: Adjustment of law firm capital account.	SP	04/28/2016	S (partial)	$50,001 - $100,000	☐
Equity interest in Dentons US LLP Location: Denver, CO, US Description: Adjustment of law firm capital account.	SP	05/2/2016	S (partial)	$100,001 - $250,000	☐
Spouse IRA ⇒ DFA Emerging Markets Core Equity	SP	02/18/2016	P	$15,001 - $50,000	
Spouse IRA ⇒ DFA Global Real Estate Securities	SP	02/18/2016	P	$15,001 - $50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Spouse IRA ⇒ DFA International Core Equity	SP	02/18/2016	P	$50,001 - $100,000	
Spouse IRA ⇒ DFA International Small Co.	SP	02/18/2016	P	$15,001 - $50,000	
Spouse IRA ⇒ DFA Selectively Hedged Global Fixed	SP	02/17/2016	S	$15,001 - $50,000	☐
Spouse IRA ⇒ DFA Two Year Global Fund	SP	02/18/2016	P	$15,001 - $50,000	
Spouse IRA ⇒ DFA US Core Equity 1	SP	02/17/2016	S	$50,001 - $100,000	☐
Spouse IRA ⇒ DFA US Large Cap Value	SP	02/18/2016	P	$15,001 - $50,000	
Spouse IRA ⇒ DFA US Micro Cap	SP	02/17/2016	S	$1,001 - $15,000	☐
Spouse IRA ⇒ DFA US Small Cap Value	SP	02/18/2016	P	$15,001 - $50,000	
Spouse IRA ⇒ DFA US Targeted Value	SP	02/17/2016	S	$15,001 - $50,000	☐
Spouse IRA ⇒ iShares 1-3 Year Treasury Bond ETF	SP	02/17/2016	S	$1,001 - $15,000	☐
Spouse IRA ⇒ iShares Core S&P 500 Index	SP	02/18/2016	P	$100,001 - $250,000	
Spouse IRA ⇒ iShares Core US Aggregate Bond ETF	SP	02/18/2016	P	$100,001 - $250,000	
Spouse IRA ⇒ Vanguard Inflation Protected Securities	SP	02/18/2016	P	$15,001 - $50,000	
Spouse IRA ⇒ Vanguard Short Term Investment Grade	SP	02/18/2016	P	$15,001 - $50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Spouse IRA ⇒ Vanguard Small Cap ETF	SP	02/18/2016	P	$50,001 - $100,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ AM Funds EuroPacific Growth	SP	09/1/2016	S	$50,001 - $100,000	☐
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ Black Rock Treasury Trust Fund	SP	09/1/2016	S	$50,001 - $100,000	☐
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ DFA International Core Equity	SP	06/28/2016	P	$1,001 - $15,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ DFA Selectively Hedged Global Fixed	SP	06/27/2016	S	$15,001 - $50,000	☐
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ DFA US Micro Cap	SP	06/27/2016	S	$1,001 - $15,000	☐
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ GS Small Cap Value Fund	SP	09/1/2016	S	$15,001 - $50,000	☐
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ iShares 1-3 Year Treasury Bond ETF	SP	06/27/2016	S	$1,001 - $15,000	☐
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ iShares Core US Aggregate Bond ETF	SP	06/28/2016	P	$15,001 - $50,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ PIMCO Total Return	SP	09/1/2016	S	$50,001 - $100,000	☐
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ SSgA S&P 500 Index Fund IV	SP	09/1/2016	S	$50,001 - $100,000	☐
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ Vanguard Inflation Protected Securities	SP	06/28/2016	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ Vanguard Short Term Investment Grade	SP	06/27/2016	S	$1,001 - $15,000	☐
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Cash Balance Account ⇒ McKenna Long & Aldridge LLP Cash Balance Account LOCATION: US DESCRIPTION: The proceeds of this retirement account were rolled over into spouse's IRA. COMMENTS: As a consequence of McKenna Long & Aldridge's merger into Dentons in 2015, the McKenna Long & Aldridge cash balance accounts were closed in 2016. Spouse rolled over the balance of his cash balance account into his IRA.	SP	01/31/2016	S	$250,001 - $500,000	☐
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ AM Funds EuroPacific Growth	SP	09/1/2016	S	$50,001 - $100,000	☐
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ AM Funds EuroPacific Growth	SP	09/2/2016	P	$100,001 - $250,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ Artisan Mid Cap Value Investor	SP	09/2/2016	P	$50,001 - $100,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ Black Rock Treasury Trust Fund	SP	09/1/2016	S	$50,001 - $100,000	☐
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ DFA Emerging Markets Core Equity	SP	06/28/2016	P	$1,001 - $15,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ DFA International Core Equity	SP	06/28/2016	P	$1,001 - $15,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ DFA Selectively Hedged Global Fixed	SP	06/27/2016	S	$15,001 - $50,000	☐
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ DFA US Micro Cap	SP	06/27/2016	S	$1,001 - $15,000	☐
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒	SP	09/1/2016	S	$15,001 - $50,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
GS Small Cap Value Fund					
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ iShares 1-3 Year Treasury Bond ETF	SP	06/27/2016	S	$1,001 - $15,000	☐
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ iShares Core US Aggregate Bond ETF	SP	06/28/2016	P	$50,001 - $100,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ Metropolitan West Total Return Bond I	SP	09/2/2016	P	$100,001 - $250,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ PIMCO Total Return	SP	09/1/2016	S	$50,001 - $100,000	☐
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ SSgA S&P 500 Index Fund	SP	09/1/2016	S	$50,001 - $100,000	☐
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ Vanguard 500 Index Admiral	SP	09/2/2016	P	$100,001 - $250,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ Vanguard Inflation Protected Securities	SP	06/28/2016	P	$1,001 - $15,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ Vanguard Short Term Investment Grade	SP	06/27/2016	S (partial)	$15,001 - $50,000	☐
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ Wells Fargo Stable Return Fund	SP	09/2/2016	P	$100,001 - $250,000	

* Asset class details available at the bottom of this form.

Schedule C: Earned Income

Source	Type	Amount
Dentons US LLP	Spouse income from his law firm	N/A

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Wells Fargo Home Mortgage	December 2010	First mortgage on Denver home	$50,001 - $100,000
JT	Wells Fargo Home Mortgage	January 2015	Mortgage on D.C. townhouse	$250,001 - $500,000
JT	Wells Fargo	December 2015	Home equity line of credit	$100,001 - $250,000

Schedule E: Positions

None disclosed.

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
U.S. Association of Former Members of Congress	02/14/2016	02/22/2016	Denver - Tokyo - Okinawa - Washington, D.C.	2	☑	☑	☐
The Alliance for Health Reform & The Commonwealth Fund	03/12/2016	03/13/2016	Washington, D.C. - Middleburg, VA - Washington, D.C.	0	☑	☑	☑
Aspen Institute Congressional Program	03/28/2016	04/4/2016	Washington, D.C. - Beijing - Nanjing - Washington, D.C.	0	☑	☑	☐

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- DeGette IRA
  Description: Diana DeGette's IRA

- Spouse IRA (Owner: SP)
  DESCRIPTION: Lino Lipinsky's IRA
- Spouse's Law Firm Retirement Accounts (Owner: SP)
- Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K (Owner: SP)
  DESCRIPTION: Spouse's 401K
  COMMENTS: In 2015, spouse's law firm merged into Dentons US LLP. In 2016, in connection with the merger, the firm moved its 401(k) and profit-sharing accounts from Voya to Wells Fargo. For reporting purposes, Wells Fargo treats both accounts as "profit sharing" accounts. Accordingly, these disclosures describe the assets previously contained in spouse's 401(k) account as assets of his profit-sharing account, and no longer reflect a separate 401(k) account. These assets were not sold and repurchased.
- Spouse's Law Firm Retirement Accounts ⇒ Spouse's Cash Balance Account (Owner: SP)
- Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account (Owner: SP)
  DESCRIPTION: Spouse's Profit-Sharing Account
- TIAA-CREF Intelligent Life VUL (Owner: SP)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ◉ No

COMMENTS

CERTIFICATION AND SIGNATURE

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Diana DeGette , 08/3/2017