Filing ID #10015197

Financial Disclosure Report

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

Filer Information

Name: Hon. Lou Barletta
Status: Member
State/District: PA11

Filing Information

Filing Type: Annual Report
Filing Year: 2016
Filing Date: 05/5/2017

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
322 Rocky Road Location: Hazleton, PA, US	JT	$500,001 - $1,000,000	Rent	$50,001 - $100,000	
AXA Retirement Cornerstone 314052430 ⇒ AllianceBernstein Short Duration Government Bond	SP	$1,001 - $15,000	None		
AXA Retirement Cornerstone 314052430 ⇒ AXA 2000 Managed Volatility	SP	$15,001 - $50,000	None		
AXA Retirement Cornerstone 314052430 ⇒ AXA 400 Managed Volatility	SP	$1,001 - $15,000	None		
AXA Retirement Cornerstone 314052430 ⇒ AXA 500 Managed Volatility	SP	$50,001 - $100,000	None		
AXA Retirement Cornerstone 314052430 ⇒ AXA International Managed Volatility	SP	$1,001 - $15,000	None		
AXA Retirement Cornerstone 314052430 ⇒ Core Bond Index	SP	$1,001 - $15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
AXA Retirement Cornerstone 314052430 ⇒ Intermediate Government Bond	SP	$1,001 - $15,000	None		
AXA Retirement Cornerstone 314052431 ⇒ AllianceBernstein Short Duration Government Bond		$1,001 - $15,000	None		
AXA Retirement Cornerstone 314052431 ⇒ AXA 2000 Managed Volatility		$15,001 - $50,000	None		
AXA Retirement Cornerstone 314052431 ⇒ AXA 400 Managed Volatility		$1,001 - $15,000	None		
AXA Retirement Cornerstone 314052431 ⇒ AXA 500 Managed Volatility		$50,001 - $100,000	None		
AXA Retirement Cornerstone 314052431 ⇒ AXA International Managed Volatility		$15,001 - $50,000	None		
AXA Retirement Cornerstone 314052431 ⇒ Core Bond Index		$1,001 - $15,000	None		
AXA Retirement Cornerstone 314052431 ⇒ Intermediate Government Bond		$1,001 - $15,000	None		
AXA Retirement Cornerstone IRA 314052432 ⇒ AllianceBernstein Short Duration Government Bond		$1,001 - $15,000	None		
AXA Retirement Cornerstone IRA 314052432 ⇒ AXA 2000 Managed Volatility		$15,001 - $50,000	None		
AXA Retirement Cornerstone IRA 314052432 ⇒ AXA 400 Managed Volatility		$1,001 - $15,000	None		
AXA Retirement Cornerstone IRA 314052432 ⇒ AXA 500 Managed Volatility		$100,001 - $250,000	None		
AXA Retirement Cornerstone IRA 314052432 ⇒ AXA International managed Volatility		$15,001 - $50,000	None		
AXA Retirement Cornerstone IRA 314052432 ⇒ Core Bond Index		$15,001 - $50,000	None		
AXA Retirement Cornerstone IRA 314052432 ⇒ Intermediate Government Bond		$15,001 - $50,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Computershare Stock ⇒ MetLife, Inc. (MET)	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
DESCRIPTION: This is stock that was acquired during the demutualization of MetLife Insurance in May 2000. This is the first time we are preparing the Financial Disclosure and became aware of the stock. The omitted stock was an oversight by the accounting firm preparing the prior year’s reports.					
Computershare Stock ⇒ Prudential Financial, Inc. (PRU)	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
DESCRIPTION: This is stock that was acquired during the demutualization of Prudential Insurance in December 2001. This is the first time we are preparing the Financial Disclosure and became aware of the stock. The omitted stock was an oversight by the accounting firm preparing the prior year’s reports.					
LB, LLC- Edgewood in the Pines Golf Course		None	None		☐
LOCATION: Drums, PA, US DESCRIPTION: (Investment in Make it Better, LP)					
LPL 1454-0753 ⇒ Goldman Sachs Cash Account	SP	$1,001 - $15,000	Interest	$1 - $200	☐
LPL 1454-0753 ⇒ SPDR Dow Jones Industrial Average ETF (DIA)	SP	None	Capital Gains, Dividends	$201 - $1,000	☑
LPL 1454-0753 ⇒ SPDR S&P Dividend ETF (SDY)	SP	None	Dividends	$201 - $1,000	☐
LPL 1454-0753 ⇒ Vanguard Financials ETF - DNQ (VFH)	SP	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☑
LPL 1454-0753 ⇒ Vanguard High Dividend Yield ETF - DNQ (VYM)	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
LPL 1454-0753 ⇒ Vanguard Intermediate-Term Corporate Bond ETF (VCIT)	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☑
LPL 1454-0753 ⇒ Vanguard Mega Cap Growth ETF (MGK)	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☑
LPL 1454-0753 ⇒ Vanguard Short-Term Corporate Bond ETF (VCSH)	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
LPL 1454-0753 ⇒ Wells Fargo Advantage Utilities and High Income Fund (ERH)	SP	$1 - $1,000	Dividends	$1 - $200	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
LPL 1454-0753 ⇒ Western Asset High Yield Defined Opportunity Fund Inc. (HYI)	SP	$1 - $1,000	Dividends	$1 - $200	☐
LPL 2259-5364 ⇒ Amazon.com, Inc. (AMZN)		$1,001 - $15,000	None		☑
LPL 2259-5364 ⇒ Berkshire Hathaway Inc. New (BRK.B)		None	Capital Gains	$1,001 - $2,500	☑
LPL 2259-5364 ⇒ Goldman Sachs Insured Cash Account		$1,001 - $15,000	Dividends	$1 - $200	☐
LPL 2259-5364 ⇒ Oppenheimer Large Cap Revenue ETF (RWL)		None	Capital Gains, Dividends	$1 - $200	☑
DESCRIPTION: Fund name change from RevenueShares Large Cap RWL to Oppenheimer Large Cap RWL on 12/21/2015					
LPL 2259-5364 ⇒ Oppenheimer Ultra Dividend Revenue ETF (RDIV)		None	Dividends	$201 - $1,000	☑
LPL 2259-5364 ⇒ PowerShares QQQ Trust, Series 1 (QQQ)		$1,001 - $15,000	Dividends	$1 - $200	☑
LPL 2259-5364 ⇒ SPDR Dow Jones Industrial Average ETF (DIA)		None	Dividends	$1 - $200	☑
LPL 2259-5364 ⇒ SPDR S&P Dividend ETF (SDY)		None	Dividends	$1 - $200	☑
LPL 2259-5364 ⇒ SPDR Select Sector Fund - Health Care (XLV)		$1,001 - $15,000	Dividends	$1 - $200	☐
LPL 2259-5364 ⇒ SPDR Select Sector Fund - Technology (XLK)		$1,001 - $15,000	Dividends	$1 - $200	☑
LPL 2259-5364 ⇒ Vanguard Financials ETF - DNQ (VFH)		$1,001 - $15,000	Capital Gains, Dividends	$1,001 - $2,500	☑
LPL 2259-5364 ⇒ Vanguard High Dividend Yield ETF - DNQ (VYM)		$1,001 - $15,000	Dividends	$201 - $1,000	☐
LPL 2259-5364 ⇒ Vanguard Intermediate-Term Corporate Bond ETF (VCIT)		$15,001 - $50,000	Dividends	$201 - $1,000	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
LPL 2259-5364 ⇒ Vanguard Mega Cap Growth ETF (MGK)		$15,001 - $50,000	Dividends	$1 - $200	☑
LPL 2259-5364 ⇒ Vanguard Mid-Cap ETF - DNQ (VO)		$1,001 - $15,000	Dividends	$1 - $200	☑
LPL 2259-5364 ⇒ Vanguard Short-Term Corporate Bond ETF (VCSH)		$15,001 - $50,000	Dividends	$201 - $1,000	☑
LPL 2259-5364 ⇒ Vanguard Small-Cap ETF - DNQ (VB)		$1,001 - $15,000	Dividends	$1 - $200	☑
LPL 2259-5364 ⇒ WisdomTree Dividend Ex-Financials Fund (DTN)		None	Dividends	$1 - $200	☑
LPL 3847-6317 ⇒ Apple Inc. (AAPL)	JT	$1,001 - $15,000	Capital Gains, Dividends	$1,001 - $2,500	☐
LPL 3847-6317 ⇒ BCE, Inc. (BCE)	JT	None	Dividends	$201 - $1,000	☐
LPL 3847-6317 ⇒ Colgate-Palmolive Company (CL)	JT	None	Capital Gains, Dividends	$201 - $1,000	☑
LPL 3847-6317 ⇒ Goldman Sachs Bank-Insured Money Market	JT	$1,001 - $15,000	Interest	$1 - $200	☐
LPL 3847-6317 ⇒ Home Depot, Inc. (HD)	JT	None	Capital Gains	$5,001 - $15,000	☑
LPL 3847-6317 ⇒ Oppenheimer Large Cap Revenue ETF (RWL) DESCRIPTION: Fund name change from Revenue Shares Large Cap RWL to Oppenheimer Large Cap RWL on 12/21/2015	JT	None	Capital Gains, Dividends	$1 - $200	☑
LPL 3847-6317 ⇒ PowerShares QQQ Trust, Series 1 (QQQ)	JT	$15,001 - $50,000	Capital Gains, Dividends	$201 - $1,000	☐
LPL 3847-6317 ⇒ SPDR Dow Jones Industrial Average ETF (DIA)	JT	None	Capital Gains	$1,001 - $2,500	☑
LPL 3847-6317 ⇒ SPDR S&P 500 (SPY)	JT	None	Capital Gains, Dividends	$201 - $1,000	☐
LPL 3847-6317 ⇒ SPDR S&P Dividend ETF (SDY)	JT	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
LPL 3847-6317 ⇒ Vanguard Financials ETF - DNQ (VFH)	JT	None	Capital Gains, Dividends	$1,001 - $2,500	☐
LPL 3847-6317 ⇒ Vanguard High Dividend Yield ETF - DNQ (VYM)	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
LPL 3847-6317 ⇒ Vanguard Intermediate-Term Corporate Bond ETF (VCIT)	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☑
LPL 3847-6317 ⇒ Vanguard Mega Cap Growth ETF (MGK)	JT	$15,001 - $50,000	Capital Gains, Dividends	$201 - $1,000	☑
LPL 3847-6317 ⇒ Vanguard Mid-Cap ETF - DNQ (VO)	JT	$1,001 - $15,000	Dividends	$1 - $200	☑
LPL 3847-6317 ⇒ Vanguard Short-Term Corporate Bond ETF (VCSH)	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☑
LPL 3847-6317 ⇒ Vanguard Small-Cap ETF - DNQ (VB)	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☑
LPL 3847-6317 ⇒ WisdomTree Dividend Ex-Financials Fund (DTN)	JT	None	Capital Gains, Dividends	$1,001 - $2,500	☑
LPL 7934-1633 ⇒ Goldman Sachs Insured Cash Account	JT	$1 - $1,000	Interest	$1 - $200	☐
LPL 7934-1633 ⇒ PowerShares QQQ Trust, Series 1 (QQQ)	JT	$1,001 - $15,000	Dividends	$1 - $200	☐
LPL 7934-1633 ⇒ SPDR S&P Dividend ETF (SDY)	JT	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☑
LPL 7934-1633 ⇒ Vanguard High Dividend Yield ETF - DNQ (VYM)	JT	$1,001 - $15,000	Dividends	$1 - $200	☑
LPL 7934-1633 ⇒ Vanguard Intermediate-Term Corporate Bond ETF (VCIT)	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☑
LPL 7934-1633 ⇒ Vanguard Mega Cap Growth ETF (MGK)	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
LPL 7934-1633 ⇒ Vanguard Mid-Cap ETF - DNQ (VO)	JT	$1,001 - $15,000	Dividends	$1 - $200	☑
LPL 7934-1633 ⇒ Vanguard Short-Term Corporate Bond ETF (VCSH)	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☑
LPL 7934-1633 ⇒ Vanguard Small-Cap ETF - DNQ (VB)	JT	$1,001 - $15,000	Dividends	$1 - $200	☑
LPL 7934-1633 ⇒ Vanguard Total International Stock ETF (VXUS)	JT	$1,001 - $15,000	Dividends	$1 - $200	☑
Ocean View Delaware-Vacation Home LOCATION: Ocean View, DE, US	JT	$250,001 - $500,000	None		☐

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Ameriprise Financial 7802 ⇒ Apple Inc. (AAPL)	JT	01/29/2016	S (partial)	$1,001 - $15,000	☑
Ameriprise Financial 7802 ⇒ Colgate-Palmolive Company (CL)	JT	01/29/2016	S	$1,001 - $15,000	☑
Ameriprise Financial 7802 ⇒ Home Depot, Inc. (HD)	JT	01/29/2016	S	$15,001 - $50,000	☑
Ameriprise Financial 7802 ⇒ Oppenheimer Large Cap Revenue ETF (RWL)	JT	01/29/2016	S	$15,001 - $50,000	☐
Ameriprise Financial 7802 ⇒ RevenueShares Large Cap (RWL)	JT	01/29/2016	S	$1,001 - $15,000	☐
Ameriprise Financial 7802 ⇒ Vanguard Financials ETF - DNQ (VFH)	JT	01/29/2016	S	$1,001 - $15,000	☑
Ameriprise Financial 7802 ⇒ Vanguard Intermediate-Term Corporate Bond ETF (VCIT)	JT	01/29/2016	P	$15,001 - $50,000	
Ameriprise Financial 7802 ⇒	JT	01/29/2016	P	$15,001 - $50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Vanguard Mega Cap Growth ETF (MGK)					
Ameriprise Financial 7802 ⇒ Vanguard Mid-Cap ETF - DNQ (VO)	JT	02/10/2016	P	$1,001 - $15,000	
Ameriprise Financial 7802 ⇒ Vanguard Short-Term Corporate Bond ETF (VCSH)	JT	01/29/2016	P	$15,001 - $50,000	
Ameriprise Financial 7802 ⇒ Vanguard Small-Cap ETF - DNQ (VB)	JT	02/10/2016	P	$1,001 - $15,000	
Ameriprise Financial 7802 ⇒ WisdomTree Dividend Ex-Financials Fund (DTN)	JT	01/29/2016	S	$1,001 - $15,000	☑
Ameriprise Financial 9039 ⇒ Leucadia National Corporation (LUK)	JT	02/18/2016	S	$1,001 - $15,000	☐
Ameriprise Financial 9039 ⇒ Oppenheimer Ultra Dividend Revenue ETF (RDIV)	JT	01/15/2016	S	$1,001 - $15,000	☐
Ameriprise Financial 9039 ⇒ PowerShares QQQ Trust, Series 1 (QQQ)	JT	02/18/2016	S (partial)	$1,001 - $15,000	☐
Ameriprise Financial 9039 ⇒ RevenueShares Ultra Dividend Fund (RDIV)	JT	01/15/2016	S	$1,001 - $15,000	☐
Ameriprise Financial 9039 ⇒ SPDR S&P Dividend ETF (SDY)	JT	01/29/2016	P	$1,001 - $15,000	
Ameriprise Financial 9039 ⇒ SPDR S&P Dividend ETF (SDY)	JT	02/18/2016	S (partial)	$1,001 - $15,000	☐
Ameriprise Financial 9039 ⇒ SPDR Select Sector Fund - Health Care (XLV)	JT	01/7/2016	S	$1,001 - $15,000	☐
Ameriprise Financial 9039 ⇒ Vanguard High Dividend Yield ETF - DNQ (VYM)	JT	01/29/2016	P	$1,001 - $15,000	
Ameriprise Financial 9039 ⇒ Vanguard High Dividend Yield ETF - DNQ (VYM)	JT	02/18/2016	S (partial)	$1,001 - $15,000	☐
Ameriprise Financial 9039 ⇒ Vanguard Intermediate-Term Corporate Bond ETF (VCIT)	JT	02/18/2016	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Ameriprise Financial 9039 ⇒ Vanguard Mega Cap Growth ETF (MGK)	JT	01/29/2016	P	$1,001 - $15,000	
Ameriprise Financial 9039 ⇒ Vanguard Mega Cap Growth ETF (MGK)	JT	02/18/2016	P	$1,001 - $15,000	
Ameriprise Financial 9039 ⇒ Vanguard Mid-Cap ETF - DNQ (VO)	JT	01/29/2016	P	$1,001 - $15,000	
Ameriprise Financial 9039 ⇒ Vanguard Mid-Cap ETF - DNQ (VO)	JT	02/18/2016	S (partial)	$1,001 - $15,000	☐
Ameriprise Financial 9039 ⇒ Vanguard Short-Term Corporate Bond ETF (VCSH)	JT	02/18/2016	P	$1,001 - $15,000	
Ameriprise Financial 9039 ⇒ Vanguard Small-Cap ETF - DNQ (VB)	JT	01/29/2016	P	$1,001 - $15,000	
Ameriprise Financial 9039 ⇒ Vanguard Small-Cap ETF - DNQ (VB)	JT	02/18/2016	S (partial)	$1,001 - $15,000	☐
Ameriprise Financial 9039 ⇒ Vanguard Total International Stock ETF (VXUS)	JT	02/18/2016	P	$1,001 - $15,000	
Ameriprise Financial IRA 3851 ⇒ First Trust Exchange-Traded Fund VI First Trust NASDAQ Technology Dividend Index Fund (TDIV)		01/29/2016	S	$15,001 - $50,000	☐
Ameriprise Financial IRA 3851 ⇒ Oppenheimer Large Cap Revenue ETF (RWL)		01/29/2016	S	$15,001 - $50,000	☐
Ameriprise Financial IRA 3851 ⇒ Oppenheimer Ultra Dividend Revenue ETF (RDIV)		01/15/2016	S	$15,001 - $50,000	☐
Ameriprise Financial IRA 3851 ⇒ PowerShares Buyback Achievers (PKW)		01/29/2016	S	$1,001 - $15,000	☐
Ameriprise Financial IRA 3851 ⇒ PowerShares QQQ Trust, Series 1 (QQQ)		01/29/2016	P	$1,001 - $15,000	
Ameriprise Financial IRA 3851 ⇒ RevenueShares Large Cap (RWL)		01/29/2016	S	$15,001 - $50,000	☐
Ameriprise Financial IRA 3851 ⇒		01/29/2016	S	$15,001 - $50,000	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
SPDR Dow Jones Industrial Average ETF (DIA)					
Ameriprise Financial IRA 3851 ⇒ Vanguard Extended Market ETF - DNQ (VXF)		01/21/2016	S	$1,001 - $15,000	☑
Ameriprise Financial IRA 3851 ⇒ Vanguard Intermediate-Term Corporate Bond ETF (VCIT)		01/29/2016	P	$15,001 - $50,000	
Ameriprise Financial IRA 3851 ⇒ Vanguard Mega Cap Growth ETF (MGK)		01/29/2016	P	$15,001 - $50,000	
Ameriprise Financial IRA 3851 ⇒ Vanguard Mid-Cap ETF - DNQ (VO)		01/29/2016	P	$1,001 - $15,000	
Ameriprise Financial IRA 3851 ⇒ Vanguard Short-Term Corporate Bond ETF (VCSH)		01/29/2016	P	$15,001 - $50,000	
Ameriprise Financial IRA 3851 ⇒ Vanguard Small-Cap ETF - DNQ (VB)		01/29/2016	P	$1,001 - $15,000	
Ameriprise Financial IRA 3851 ⇒ WisdomTree Dividend Ex-Financials Fund (DTN)		01/29/2016	S	$15,001 - $50,000	☐
Ameriprise Financial IRA 5494 ⇒ First Trust Exchange-Traded Fund VI First Trust NASDAQ Technology Dividend Index Fund (TDIV)	SP	01/29/2016	S	$1,001 - $15,000	☐
Ameriprise Financial IRA 5494 ⇒ SPDR Dow Jones Industrial Average ETF (DIA)	SP	01/29/2016	S	$1,001 - $15,000	☐
Ameriprise Financial IRA 5494 ⇒ SPDR S&P Dividend ETF (SDY) DESCRIPTION: Ameripris account 8004-5494 is now LPL account 1454-0753	SP	11/3/2016	S	$1,001 - $15,000	☐
Ameriprise Financial IRA 5494 ⇒ Vanguard Extended Market ETF - DNQ (VXF)	SP	01/29/2016	S	$1,001 - $15,000	☐
Ameriprise Financial IRA 5494 ⇒ Vanguard Financials ETF - DNQ (VFH)	SP	01/29/2016	S	$1,001 - $15,000	☑
Ameriprise Financial IRA 5494 ⇒ Vanguard Intermediate-Term Corporate Bond ETF (VCIT)	SP	01/29/2016	P	$1,001 - $15,000	
Ameriprise Financial IRA 5494 ⇒	SP	01/29/2016	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Vanguard Mega Cap Growth ETF (MGK)					
Ameriprise Financial IRA 5494 ⇒ Vanguard Short-Term Corporate Bond ETF (VCSH)	SP	01/29/2016	P	$1,001 - $15,000	
Ameriprise Financial IRA 5494 ⇒ Western Asset High Yield Defined Opportunity Fund Inc. (HYI)	SP	01/29/2016	S (partial)	$1,001 - $15,000	☐
AXA Retirement Cornerstone 314052430 ⇒ AllianceBernstein Short Duration Government Bond Description: Annuity Auto Rebalance	SP	03/7/2016	E	$1,001 - $15,000	
AXA Retirement Cornerstone 314052430 ⇒ AXA 2000 Managed Volatility Description: Annuity Auto Rebalance	SP	03/7/2016	E	$1,001 - $15,000	
AXA Retirement Cornerstone 314052430 ⇒ AXA 2000 Managed Volatility Portfolio Description: Annuity Rebalance	SP	01/13/2016	S (partial)	$1,001 - $15,000	☐
AXA Retirement Cornerstone 314052430 ⇒ AXA 2000 Managed Volatility Portfolio Description: Annuity Rebalance	SP	02/16/2016	S (partial)	$1,001 - $15,000	☐
AXA Retirement Cornerstone 314052430 ⇒ AXA 400 Managed Volatility Description: Annuity Auto Rebalance	SP	03/7/2016	E	$1,001 - $15,000	
AXA Retirement Cornerstone 314052430 ⇒ AXA 400 Managed Volatility Portfolio Description: Annuity Rebalance	SP	01/13/2016	S (partial)	$1,001 - $15,000	☐
AXA Retirement Cornerstone 314052430 ⇒ AXA 400 Managed Volatility Portfolio Description: Annuity Rebalance	SP	02/16/2016	S (partial)	$1,001 - $15,000	☐
AXA Retirement Cornerstone 314052430 ⇒ AXA 500 Managed Volatility Description: Annuity Auto Rebalance	SP	03/7/2016	E	$15,001 - $50,000	
AXA Retirement Cornerstone 314052430 ⇒ AXA 500 Managed Volatility Portfolio Description: Annuity Rebalance	SP	01/13/2016	S (partial)	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
AXA Retirement Cornerstone 314052430 ⇒ AXA 500 Managed Volatility Portfolio DESCRIPTION: Annuity Rebalance	SP	02/16/2016	S (partial)	$1,001 - $15,000	☐
AXA Retirement Cornerstone 314052430 ⇒ AXA International Managed Volatility DESCRIPTION: Annuity Auto Rebalance	SP	03/7/2016	E	$1,001 - $15,000	
AXA Retirement Cornerstone 314052430 ⇒ AXA International Managed Volatility Portfolio DESCRIPTION: Annuity Rebalance	SP	01/13/2016	S (partial)	$1,001 - $15,000	☐
AXA Retirement Cornerstone 314052430 ⇒ AXA International Managed Volatility Portfolio DESCRIPTION: Annuity Rebalance	SP	02/16/2016	S	$1,001 - $15,000	☐
AXA Retirement Cornerstone 314052430 ⇒ Core Bond Index DESCRIPTION: Annuity Auto Rebalance	SP	03/7/2016	E	$1,001 - $15,000	
AXA Retirement Cornerstone 314052430 ⇒ Intermediate Government Bond DESCRIPTION: Annuity Auto Rebalance	SP	03/7/2016	E	$50,001 - $100,000	
AXA Retirement Cornerstone 314052430 ⇒ Intermediate Government Bond Portfolio DESCRIPTION: Annuity Rebalance	SP	01/13/2016	P	$15,001 - $50,000	
AXA Retirement Cornerstone 314052430 ⇒ Intermediate Government Bond Portfolio DESCRIPTION: Annuity Rebalance	SP	02/16/2016	P	$1,001 - $15,000	
AXA Retirement Cornerstone 314052431 ⇒ AllianceBernstein Short Duration Government Bond DESCRIPTION: Annuity Auto Rebalance		03/7/2016	E	$1,001 - $15,000	
AXA Retirement Cornerstone 314052431 ⇒ AXA 2000 Managed Volatility DESCRIPTION: Annuity Auto Rebalance		03/7/2016	E	$1,001 - $15,000	
AXA Retirement Cornerstone 314052431 ⇒ AXA 2000 Managed Volatility Portfolio DESCRIPTION: Annuity Rebalancing		02/8/2016	S (partial)	$15,001 - $50,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
AXA Retirement Cornerstone 314052431 ⇒ AXA 400 Managed Volatility DESCRIPTION: Annuity Auto Rebalance		03/7/2016	E	$1,001 - $15,000	
AXA Retirement Cornerstone 314052431 ⇒ AXA 400 Managed Volatility Portfolio DESCRIPTION: Annuity Rebalancing		02/8/2016	S (partial)	$1,001 - $15,000	☐
AXA Retirement Cornerstone 314052431 ⇒ AXA 500 Managed Volatility DESCRIPTION: Annuity Auto Rebalance		03/7/2016	E	$15,001 - $50,000	
AXA Retirement Cornerstone 314052431 ⇒ AXA 500 Managed Volatility Portfolio DESCRIPTION: Annuity Rebalancing		02/8/2016	S (partial)	$15,001 - $50,000	☐
AXA Retirement Cornerstone 314052431 ⇒ AXA International Managed Volatility DESCRIPTION: Annuity Auto Rebalance		03/7/2016	E	$1,001 - $15,000	
AXA Retirement Cornerstone 314052431 ⇒ AXA International Managed Volatility Portfolio DESCRIPTION: Annuity Rebalancing		02/8/2016	S (partial)	$1,001 - $15,000	☐
AXA Retirement Cornerstone 314052431 ⇒ Core Bond Index DESCRIPTION: Annuity Auto Rebalance		03/7/2016	E	$1,001 - $15,000	
AXA Retirement Cornerstone 314052431 ⇒ Intermediate Government Bond Portfolio DESCRIPTION: Annuity Rebalancing		02/8/2016	P	$15,001 - $50,000	
AXA Retirement Cornerstone IRA 314052432 ⇒ AllianceBernstein Short Duration Government Bond DESCRIPTION: Annuity Auto Rebalance		03/7/2016	E	$1,001 - $15,000	
AXA Retirement Cornerstone IRA 314052432 ⇒ AXA 2000 Managed Volatility DESCRIPTION: Annuity Auto Rebalalance		03/7/2016	E	$1,001 - $15,000	
AXA Retirement Cornerstone IRA 314052432 ⇒ AXA 2000 Managed Volatility Portfolio DESCRIPTION: Annuity Rebalance		02/8/2016	S (partial)	$1,001 - $15,000	☐
AXA Retirement Cornerstone IRA 314052432 ⇒		03/7/2016	E	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
AXA 400 Managed Volatility DESCRIPTION: Annuity Auto Rebalance					
AXA Retirement Cornerstone IRA 314052432 ⇒ AXA 400 Managed Volatility Portfolio DESCRIPTION: Annuity Rebalance		02/8/2016	S (partial)	$1,001 - $15,000	☐
AXA Retirement Cornerstone IRA 314052432 ⇒ AXA 500 Managed Volatility DESCRIPTION: Annuity Auto Rebalance		03/7/2016	E	$15,001 - $50,000	
AXA Retirement Cornerstone IRA 314052432 ⇒ AXA 500 Managed Volatility Portfolio DESCRIPTION: Annuity rebalance		02/8/2016	S (partial)	$15,001 - $50,000	☐
AXA Retirement Cornerstone IRA 314052432 ⇒ AXA International Managed Volatility DESCRIPTION: Annuity Auto Rebalance		03/7/2016	E	$1,001 - $15,000	
AXA Retirement Cornerstone IRA 314052432 ⇒ AXA International Managed Volatility Portfolio DESCRIPTION: Annuity Rebalance		02/8/2016	S (partial)	$1,001 - $15,000	☐
AXA Retirement Cornerstone IRA 314052432 ⇒ Core Bond Index DESCRIPTION: Annuity Auto Rebalance		03/7/2016	E	$1,001 - $15,000	
AXA Retirement Cornerstone IRA 314052432 ⇒ Intermediate Government Bond DESCRIPTION: Annuity Auto Rebalance		03/7/2016	E	$15,001 - $50,000	
AXA Retirement Cornerstone IRA 314052432 ⇒ Intermediate Government Bond DESCRIPTION: Annuity Auto Rebalance		03/7/2016	E	$50,001 - $100,000	
AXA Retirement Cornerstone IRA 314052432 ⇒ Intermediate Government Bond Portfolio DESCRIPTION: Annuity Rebalancing		02/8/2016	P	$50,001 - $100,000	
LB, LLC- Edgewood in the Pines Golf Course DESCRIPTION: Sold share of ownership		07/1/2016	S	$1,001 - $15,000	☐
LPL 1454-0753 ⇒ Vanguard Financials ETF - DNQ (VFH)	SP	11/15/2016	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
LPL 2259-5364 ⇒ Amazon.com, Inc. (AMZN)		10/28/2016	P	$15,001 - $50,000	
LPL 2259-5364 ⇒ Berkshire Hathaway Inc. New (BRK.B)		10/28/2016	S	$1,001 - $15,000	☐
LPL 2259-5364 ⇒ SPDR S&P Dividend ETF (SDY)		11/3/2016	S	$15,001 - $50,000	☐
LPL 2259-5364 ⇒ SPDR Select Sector Fund - Health Care (XLV)		11/15/2016	P	$1,001 - $15,000	
LPL 2259-5364 ⇒ SPDR Select Sector Fund - Technology (XLK)		11/18/2016	P	$1,001 - $15,000	
LPL 2259-5364 ⇒ Vanguard Financials ETF - DNQ (VFH)		11/15/2016	P	$1,001 - $15,000	
LPL 3847-6317 ⇒ SPDR Dow Jones Industrial Average ETF (DIA)	JT	08/30/2016	S	$15,001 - $50,000	☑

* Asset class details available at the bottom of this form.

Schedule C: Earned Income

None disclosed.

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
	FNCB	May 2015	Mortgage Ocean View Delaware	$250,001 - $500,000
	Bank of America	August 2011	Mortgage on 461 Carleton Avenue Hazleton PA	$15,001 - $50,000
	FNCB	June 2015	Mortgage Hazleton PA	$100,001 - $250,000
	FNCB	May 2015	term loan secured by Rocky Road Hazleton PA	$1,000,001 - $5,000,000
	FNCB	November 2015	2nd lien Ocean View DE and 2nd lien Hazleton PA	$50,001 - $100,000

Schedule E: Positions

Position	Name of Organization
Member	LB, LLC
Member	Elderwish Foundation
Member	National Board of Advisors- Federation for American Immigration Reform

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

None disclosed.

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- Ameriprise Financial 7802 (Owner: JT)
  Location: US
- Ameriprise Financial 9039 (Owner: JT)
  Location: US
- Ameriprise Financial IRA 3851
- Ameriprise Financial IRA 5494 (Owner: SP)
- AXA Retirement Cornerstone 314052430 (Owner: SP)
- AXA Retirement Cornerstone 314052431
- AXA Retirement Cornerstone IRA 314052432
- Computershare Stock (Owner: SP)
  Location: US
- LPL 1454-0753 (Owner: SP)
  Description: New IRA Account held at LPL Financial
- LPL 2259-5364
  Description: New IRA Account held at LPL Financial
- LPL 3847-6317 (Owner: JT)
  Location: US
  Description: New Joint Account held at LPL Financial
- LPL 7934-1633 (Owner: JT)

Location: US
Description: New Joint Account held at LPL Financial

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

( ) Yes (•) No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

( ) Yes (•) No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

( ) Yes (•) No

Certification and Signature

[x] I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Lou Barletta , 05/5/2017