Filing ID #10011707

Financial Disclosure Report

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Filer Information

Name: Lonnie Barton Johnson
Status: Congressional Candidate
State/District: MI01

Filing Information

Filing Type: Candidate Report
Filing Year: 2016
Filing Date: 05/16/2016
Period Covered: 01/01/2015– 04/30/2016

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Blackrock Global Allocation Fund C	SP	None	Dividends	None	$201 - $1,000
Duke Energy Corporation (DUK)	SP	$1 - $1,000	Capital Gains, Dividends	$1 - $200	$1 - $200
E*Trade Brokerage ⇒ Cash Account		$1 - $1,000	Interest	$1 - $200	$1 - $200
E*Trade Brokerage ⇒ Cirrus Logic, Inc. (CRUS)		$1,001 - $15,000	Capital Gains, Dividends	None	None
E*Trade Brokerage ⇒ Citigroup, Inc. (C)		$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	$1 - $200
E*Trade Brokerage ⇒ Fiat Chrysler Automobiles N.V. Common Shares (FCAU)		$1 - $1,000	Capital Gains, Dividends	None	None
E*Trade Brokerage ⇒ First Trust NASDAQ Global Auto Index Fund		$1 - $1,000	Capital Gains, Dividends	$1 - $200	$1 - $200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
(CARZ)					
E*Trade Brokerage ⇒ Ford Motor Company (F)		$1 - $1,000	Capital Gains, Dividends	$1 - $200	$1 - $200
E*Trade Brokerage ⇒ The Herzfeld Caribbean Basin Fund, Inc. - Closed End FUnd (CUBA)		$1 - $1,000	Capital Gains, Dividends	None	$1 - $200
E*Trade IRA ⇒ Apple Inc. (AAPL)		$1,001 - $15,000	Tax-Deferred		
E*Trade IRA ⇒ Cash Account		$1 - $1,000	Tax-Deferred		
E*Trade IRA ⇒ Cirrus Logic, Inc. (CRUS)		$1,001 - $15,000	Tax-Deferred		
E*Trade IRA ⇒ Citigroup, Inc. (C)		$1 - $1,000	Tax-Deferred		
E*Trade IRA ⇒ The Herzfeld Caribbean Basin Fund, Inc. - Closed End FUnd (CUBA)		$1,001 - $15,000	Tax-Deferred		
Eaton Vance Short Duration Strategic Income Fund	SP	None	Dividends	None	$1,001 - $2,500
Greenstone FCS, ACA Description: Patronage Dividend		None	Dividends	None	$1 - $200
iShares Core MSCI Emerging Markets ETF (IEMG)	SP	$15,001 - $50,000	Dividends	None	$201 - $1,000
iShares iBOXX $ Investment Grade Corporate Bond ETF (LQD)	SP	$15,001 - $50,000	Dividends	$201 - $1,000	$1 - $200
iShares MSCI EAFE ETF (EFA)	SP	$50,001 - $100,000	Dividends	None	$201 - $1,000
iShares MSCI Eurozone ETF (EZU)	SP	$1,001 - $15,000	Dividends	None	$1 - $200
iShares National AMT-Free Muni Bond ETF (MUB)	SP	$50,001 - $100,000	Dividends	$201 - $1,000	$201 - $1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
iShares Short Term National AMT Free Muni Bond ETF (SUB)	SP	$15,001 - $50,000	Dividends	$1 - $200	$1 - $200
iShares Trust Core S&P Small-Cap ETF (IJR)	SP	$15,001 - $50,000	Dividends	$1 - $200	$1 - $200
Ivy Asset Strategy Fund Class I	SP	None	None		
JP Morgan Income Builder Fund Select	SP	None	Dividends	None	$1,001 - $2,500
Lord Abbett Short Duration Income Fund	SP	None	Dividends	None	$1 - $200
Market Vectors JP Morgan Emerging Markets Debt ETF (EMLC)	SP	$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
Morgan Stanley Brokerage Account ⇒ Clearbridge Aggressive Gwth A	JT	$1,001 - $15,000	Capital Gains, Dividends	None	$201 - $1,000
Morgan Stanley Brokerage Account ⇒ ClearBridge Dividend Strategy Fund Class A	JT	$1,001 - $15,000	Dividends	$1 - $200	None
Morgan Stanley Brokerage Account ⇒ ClearBridge Large Cap Growth A	JT	$1,001 - $15,000	Dividends	None	None
PIMCO Enhanced Short Maturity Active ETF (MINT)	SP	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	$1 - $200
Powershares Emerging Market Sovereign Debt ETF (PCY)	SP	$1,001 - $15,000	Dividends	$201 - $1,000	$1 - $200
Powershares National AMT-Free ETF Muni Fund Portfolio (PZA)	SP	$15,001 - $50,000	Dividends	$201 - $1,000	$1 - $200
Rental Property - Rockwood, MI LOCATION: Rockwood / Wayne, MI, US		$50,001 - $100,000	Rent	$2,501 - $5,000	$5,001 - $15,000
Rental Property - Royal Oak, MI LOCATION: Royal Oak / Oakland, MI, US		$100,001 - $250,000	Rent	$5,001 - $15,000	$5,001 - $15,000
Rental Property - Washington, DC	SP	$250,001 - $500,000	Rent	$5,001 - $15,000	$15,001 - $50,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Location: Washington, DC, US					
Smoot Tewes Group 401k Plan ⇒ T. Rowe Price Retirement 2030 Fund - Class R	SP	$100,001 - $250,000	Tax-Deferred		
SPDR Barclays Capital High Yield ETF (JNK)	SP	$15,001 - $50,000	Dividends	$201 - $1,000	$201 - $1,000
SPDR Barclays International Treasury Bond ETF (BWX)	SP	$1,001 - $15,000	Dividends	None	None
State Employees' Credit Union	SP	$15,001 - $50,000	Interest	$1 - $200	$201 - $1,000
TVV Associates II ⇒ Cash Account, 5% Interest Location: Nashville / Davidson, TN, US Description: Cash Account		$1,001 - $15,000	None		
TVV Associates II ⇒ Critical Solutions International, 5% Interest Location: Charleston / Berkeley, SC, US Description: Equipment Distributor		$250,001 - $500,000	None		
TVV Associates II ⇒ Indico, Inc., 5% Interest Location: New Albany / Floyd, IN, US Description: Equipment Supplier.		$250,001 - $500,000	Partnership Income	$15,001 - $50,000	None
TVV Associates II ⇒ TVV Associates II, 5% Interest Location: Nashville / Davidson, TN, US Description: Partnership Income		$500,001 - $1,000,000	Partnership Income	None	$201 - $1,000
U.S. Senate Federal Credit Union	SP	$1,001 - $15,000	Interest	$1 - $200	$1 - $200
UBS Bank USA Deposit Account	SP	$15,001 - $50,000	Interest	None	$1 - $200
UBS Financial Services Roth IRA ⇒ iShares MSCI EAFE ETF (EFA)	SP	$1,001 - $15,000	Tax-Deferred		
UBS Financial Services Roth IRA ⇒ iShares MSCI Eurozone ETF (EZU)	SP	$1 - $1,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS Financial Services Roth IRA ⇒ Powershares Senior Bank Loan ETF (BKLN)	SP	$1 - $1,000	Tax-Deferred		
UBS Financial Services Roth IRA ⇒ SPDR Barclays Intermediate Term Corporate Bond ETF (ITR)	SP	$1 - $1,000	Tax-Deferred		
UBS Financial Services Roth IRA ⇒ UBS Bank USA Depsoit Account	SP	$1 - $1,000	Tax-Deferred		
UBS Financial Services Roth IRA ⇒ Vanguard Growth ETF (VUG)	SP	$1,001 - $15,000	Tax-Deferred		
UBS Financial Services Roth IRA ⇒ Vanguard Total Bond Market ETF (BND)	SP	$1 - $1,000	Tax-Deferred		
UBS Financial Services Roth IRA ⇒ Vanguard Total Stock Market ETF (VTI)	SP	$1,001 - $15,000	Tax-Deferred		
UBS Financial Services Roth IRA ⇒ Vanguard Value ETF (VTV)	SP	$1,001 - $15,000	Tax-Deferred		
UBS Financial Services SEP-IRA ⇒ JP Morgan Smart-Retirement 2035 Fund C		$1,001 - $15,000	Tax-Deferred		
UBS Financial Services SEP-IRA ⇒ JP Morgan Smart-Retirement 2035 Fund C	SP	$1,001 - $15,000	Tax-Deferred		
UBS Financial Services Traditional IRA ⇒ AQR Managed Futures Strategy Fund Class I		$1,001 - $15,000	Tax-Deferred		
UBS Financial Services Traditional IRA ⇒ Baird Mid-Cap Fund Institutional Class		$1,001 - $15,000	Tax-Deferred		
UBS Financial Services Traditional IRA ⇒ Blackrock Global Long/Short Credit Fund I		$1,001 - $15,000	Tax-Deferred		
UBS Financial Services Traditional IRA ⇒ Boston Partners Global Long Short Fund		$1,001 - $15,000	Tax-Deferred		
UBS Financial Services Traditional IRA ⇒ CBRE Clarion Long Short Fund		$1,001 - $15,000	Tax-Deferred		
UBS Financial Services Traditional IRA ⇒ Chartwell Small Cap Value I Share		$1,001 - $15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS Financial Services Traditional IRA ⇒ FMI Large Cap Fund		$15,001 - $50,000	Tax-Deferred		
UBS Financial Services Traditional IRA ⇒ Gabelli Small Cap Growth Fund Class I		$1,001 - $15,000	Tax-Deferred		
UBS Financial Services Traditional IRA ⇒ iShares 7-10 Year Treasury Bond ETF (IEF)		$1,001 - $15,000	Tax-Deferred		
UBS Financial Services Traditional IRA ⇒ iShares MSCI ACWI Ex-US ETF (ACWX)		$1,001 - $15,000	Tax-Deferred		
UBS Financial Services Traditional IRA ⇒ iShares MSCI EAFE ETF (EFA)		$1,001 - $15,000	Tax-Deferred		
UBS Financial Services Traditional IRA ⇒ iShares MSCI Eurozone ETF (EZU)		$1,001 - $15,000	Tax-Deferred		
UBS Financial Services Traditional IRA ⇒ iShares MSCI Japan ETF (EWJ)		$1,001 - $15,000	Tax-Deferred		
UBS Financial Services Traditional IRA ⇒ iShares Russell Mid Cap ETF (IWR)		$1,001 - $15,000	Tax-Deferred		
UBS Financial Services Traditional IRA ⇒ John Hancock Disciplined Value Mid Cap Fund Class I		$1,001 - $15,000	Tax-Deferred		
UBS Financial Services Traditional IRA ⇒ John Hancock Global Absolute Return Strategies Fund		$1,001 - $15,000	Tax-Deferred		
UBS Financial Services Traditional IRA ⇒ Mainstay ICAP International Fund Class I		$1,001 - $15,000	Tax-Deferred		
UBS Financial Services Traditional IRA ⇒ Mainstay Marketfield Fund Class I		$1,001 - $15,000	Tax-Deferred		
UBS Financial Services Traditional IRA ⇒ Manning & Napier World Opportunities Fund		$1,001 - $15,000	Tax-Deferred		
UBS Financial Services Traditional IRA ⇒ Oppenheimer Senior Floating Rate Fund Class Y		$1,001 - $15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS Financial Services Traditional IRA ⇒ Riverpark Long/Short Opportunity Fund		$1,001 - $15,000	Tax-Deferred		
UBS Financial Services Traditional IRA ⇒ Riverpark/Wedgewood Institutional Funds		$15,001 - $50,000	Tax-Deferred		
UBS Financial Services Traditional IRA ⇒ SPDR S&P 500 ETF (SPY)		$15,001 - $50,000	Tax-Deferred		
UBS Financial Services Traditional IRA ⇒ UBS Bank USA Deposit Account		$1,001 - $15,000	Tax-Deferred		
UBS Financial Services Traditional IRA ⇒ Vanguard Total Bond Market Index ETF (BND)		$1,001 - $15,000	Tax-Deferred		
UBS Financial Services Traditional IRA ⇒ WisdomTree Trust Europe Hedged Equity Fund ETF (HEDJ)		$1,001 - $15,000	Tax-Deferred		
Vanguard Growth ETF (VUG)	SP	$15,001 - $50,000	Dividends	$1 - $200	$1 - $200
Vanguard Mid Cap ETF (VO)	SP	$15,001 - $50,000	Dividends	$1 - $200	$1 - $200
Vanguard Short-Term Corporate Bond ETF (VCSH)	SP	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	$1 - $200
Vanguard Value ETF (VTV)	SP	$15,001 - $50,000	Dividends	$201 - $1,000	$201 - $1,000
William Blair Allocation Fund Class I	SP	None	None		

* Asset class details available at the bottom of this form.

Schedule C: Earned Income

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Michigan Democratic State Central Committee	Salary	$.00	$76,400.00
The Smoot-Tewes Group, Inc.	Spouse Salary	$1,000.00	$1,000.00

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
SP	Bank of America	05/2010	Mortgage on Rental Property - Washington, DC	$100,001 - $250,000
	Wells Fargo Bank, N.A.	08/2004	Mortgage on Rental Property - Royal Oak, MI	$100,001 - $250,000
	Green Tree Servicing, LLC	12/2002	Mortgage on Rental Property - Rockwood, MI	$50,001 - $100,000
	Lending Club	04/2015	Debt Consolidation	$10,000 - $15,000

Schedule E: Positions

None disclosed.

Schedule F: Agreements

None disclosed.

Schedule J: Compensation in Excess of $5,000 Paid by One Source

None disclosed.

Schedule A Asset Class Details

- E*Trade Brokerage
  Location: US
- E*Trade IRA
- Morgan Stanley Brokerage Account (Owner: JT)
  Location: US
- Smoot Tewes Group 401k Plan (Owner: SP)
- TVV Associates II (5% Interest)
  Location: Nashville / Davidson, TN, US
- UBS Financial Services Roth IRA (Owner: SP)
- UBS Financial Services SEP-IRA (Owner: SP)
- UBS Financial Services SEP-IRA
- UBS Financial Services Traditional IRA

Exclusions of Spouse, Dependent, or Trust Information

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

Certification and Signature

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Lonnie Barton Johnson , 05/16/2016