



FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Scott Baugh
Status: Congressional Candidate
State/District: CA48

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2016
Filing Date: 05/6/2018
Period Covered: 01/01/2017– 03/31/2018

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
529 Plan ⇒ American Funds From Capital Group [5P]		\$50,001 - \$100,000	Tax-Deferred		
LOCATION: IN DESCRIPTION: This is a tax deferred educational fund under VCSP/College America located in Indianapolis, Indiana.					
529 Plan ⇒ SSgA Promise 529 [5P]		\$1,001 - \$15,000	Tax-Deferred		
LOCATION: MA DESCRIPTION: This is a 529 tax deferred account set up for education. The company is located in Boston, MA.					
Alexandre Promissory Note [DO]		\$50,001 - \$100,000	Interest	\$201 - \$1,000	\$2,501 - \$5,000
DESCRIPTION: This is a promissory note from the sale of farm equipment in Modoc County. The note is signed by Blake and Stephanie Alexandre who live in Smith River, CA.					
Alphaeon, Inc. ⇒ Alphaeon Credit [OT]		\$1 - \$1,000	Capital Gains	None	None
DESCRIPTION: Strathspey Crown Holding, LLC owns 76% of Alphaeon, Inc., which owns 100% of Alphaeon Credit, Inc. Filer's interest in Alphaeon Credit, Inc. is derivative of his ownership in Strathspey Crown Holding, LLC and directly from options owned by the filer.					
Alphaeon, Inc. [OL]		\$1 - \$1,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
LOCATION: Irvine, CA, US DESCRIPTION: Filer owns 100,000 options for Alphaeon, Inc. with a strike price of \$3.92 per share.					
Alphaeon, Inc. ⇒ Evolus, Inc. [OT]		\$100,001 - \$250,000	Capital Gains	None	None
DESCRIPTION: Strathspey Crown Holdings, LLC owns 76% of Alphaeon, Inc. and Alphaeon owns 78% of Evolus, Inc. Filer's interest in Evolus, Inc. is derivative of ownership in Strathspey Crown Holdings, LLC and directly from options owned by the filer.					
Alphaeon, Inc. ⇒ Zelegent, Inc. [OT]		\$1 - \$1,000	Capital Gains	None	None
DESCRIPTION: Strathspey Crown Holdings, LLC owns 76% of Alphaeon, Inc. and Alphaeon Inc. owns 40% of Zelegent, Inc. Filer's interest in Zelegent, Inc. is derivative of his ownership in Strathspey Crown Holding, LLC, and directly from options owned by the filer.					
Baugh Family Partnership, LLC ⇒ 1st Bank [BA]		\$15,001 - \$50,000	None		
DESCRIPTION: This is a bank account at 1st Bank in La Quinta, CA used for the filer's management of assets in the Baugh Family Partnership, LLC.					
Baugh Family Partnership, LLC ⇒ Alfalfa Ranch [RP]		\$1,000,001 - \$5,000,000	Rent	\$50,001 - \$100,000	\$100,001 - \$1,000,000
LOCATION: Modoc, CA, US DESCRIPTION: Ranch Property in Modoc County and is held by the Baugh Family Partnership, LLC					
Baugh Family Partnership, LLC, 100% Interest [RP]		\$5,000,001 - \$25,000,000	Rent	\$100,001 - \$1,000,000	\$100,001 - \$1,000,000
LOCATION: Modoc, Shasta, Orange, Riverside, CA, US DESCRIPTION: This is a multi-asset LLC that holds a 2,548 acre farm for the production of alfalfa and cattle in Modoc County, California, a residential rental property in La Quinta, California called "Legacy Villas," a residential rental property in Redding, California called "Alta Saga," and a residential rental property in Huntington Beach, California called "Bluffside." These assets are separately and individually disclosed on this same report.					
Baugh Family Partnership, LLC ⇒ Bluffside [RP]		\$250,001 - \$500,000	Rent	\$2,501 - \$5,000	\$2,501 - \$5,000
LOCATION: Huntington Beach, CA, US DESCRIPTION: Residential Rental in Huntington Beach					
Baugh Family Partnership, LLC ⇒ La Quinta Rental [RP]		\$250,001 - \$500,000	Rent	\$5,001 - \$15,000	\$15,001 - \$50,000
LOCATION: Riverside, CA, US DESCRIPTION: Rental Property in La Quinta, California					
Baugh Family Partnership, LLC ⇒ Redding Rental [RP]		\$250,001 - \$500,000	Rent	None	\$2,501 - \$5,000
LOCATION: Redding, CA, US DESCRIPTION: Residential Rental					
Concierge Key Health, LLC [OL]		\$100,001 -	Capital Gains	None	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
		\$250,000			
LOCATION: Newport Beach, CA, US DESCRIPTION: This is a Newport Beach company that offers concierge service to individuals seeking medial specialists.					
Farmers & Merchants Accounts [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: The filer has interest bearing accounts with Farmers & Merchants bank for personal and savings.					
Fidelity Investments [IH]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: This is an IRA account at Fidelity Investments and held in cash reserves.					
KB Event Management, LLC [OL]		\$50,001 - \$100,000	Dividends	None	\$5,001 - \$15,000
LOCATION: Newport Beach, CA, US DESCRIPTION: This entity is a management company for sporting events throughout Orange County.					
Northwestern Life Insurance ⇒ Northwestern Mutual 1 [WU]		\$100,001 - \$250,000	Tax-Deferred		
DESCRIPTION: This is cash value of a \$1,000,000 whole life insurance policy.					
Northwestern Life Insurance ⇒ Northwestern Mutual 2 [WU]		\$100,001 - \$250,000	Tax-Deferred		
DESCRIPTION: This is cash value of a \$2,000,000 whole life insurance policy.					
Northwestern Life Insurance ⇒ Northwestern Mutual 3 [WU]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: This is cash value of a \$600,000 whole life insurance policy.					
Orange County Marathon, LLC [OL]		\$50,001 - \$100,000	Dividends	None	\$5,001 - \$15,000
LOCATION: Newport Beach, CA, US DESCRIPTION: This is a Newport Beach based organization that owns running events throughout Orange County, California.					
Outlook Lane, LLC [OL]		\$500,001 - \$1,000,000	Rent	\$5,001 - \$15,000	\$15,001 - \$50,000
LOCATION: Big Bear Lake, CA, US DESCRIPTION: This is a single asset LLC that owns a rental property in Big Bear Lake, California.					
Scott Baugh & Associates, 100% Interest [OL]		\$500,001 - \$1,000,000	Salary	\$100,001 - \$1,000,000	\$100,001 - \$1,000,000
LOCATION: Newport Beach, CA, US DESCRIPTION: This is the filer's law practice in Newport Beach, California.					
Scott Baugh & Associates ⇒ Umpqua Bank [BA]		\$15,001 - \$50,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: This account is used for the operation of the legal firm of Scott Baugh & Associates.					
Scott Baugh & Associates, Inc., 100% Interest [OL]		None	Dividends, Salary	None	\$100,001 - \$1,000,000
LOCATION: Newport Beach, CA, US DESCRIPTION: Scott Baugh & Associates was incorporated from January 2016 to March of 2017 and was dissolved in March of 2017. It was the filer's law practice.					
Scott Baugh Retirement 401(k) => 1st Global [OT]		None	Tax-Deferred		
DESCRIPTION: This was a cash account at 1st Global that held cash for the Scott Baugh & Associates 401(k). The account was changed to Fidelity Investments in 2017.					
Scott Baugh Retirement 401(k) => Blackstone Alternative Symbol BXMIX [OT]	JT	\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: This asset is held in the asset called Fidelity II listed on this report.					
Scott Baugh Retirement 401(k) => Columbia ETF TR II Emerging Markets Symbol ECON [OT]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: This asset is held in the asset called Fidelity II listed on this report.					
Scott Baugh Retirement 401(k) => Fidelity Investments II [OT]		\$50,001 - \$100,000	Tax-Deferred		
DESCRIPTION: This is the filer's 401(k) account at Fidelity Investments with the individual investments over \$1,000 listed separately on this financial disclosure form.					
Scott Baugh Retirement 401(k) => Game Plan Development, LLC [OT]		None	Tax-Deferred		
DESCRIPTION: This asset was a \$200,000 promissory note owed to the filer's 401(k) from Game Plan Development, LLC located in Orange County. The note was paid in full in March of 2017 and, therefore, has no current value.					
Scott Baugh Retirement 401(k) => Golf View, LLC [DB]		\$500,001 - \$1,000,000	Tax-Deferred		
DESCRIPTION: This is a single asset LLC owned by filer's 401(k). The asset is a residential rental property in La Quinta, CA,					
Scott Baugh Retirement 401(k) => Hall Note [DB]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: This is a promissory owed to the filer's 401(k) from Richard Hall.					
Scott Baugh Retirement 401(k) => ISHARES CORE US Aggregate Bonds Symbol AGG [OT]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: This asset is held in the asset called Fidelity II listed on this report.					
Scott Baugh Retirement 401(k) =>	JT	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
ISHARES S&P Symbol IJJ [OT]					
DESCRIPTION: This asset is held in the asset called Fidelity II listed on this report.					
Scott Baugh Retirement 401(k) ⇒ ISHARES TR FLTG RATE NT ETF Symbol FLOT [OT]	JT	\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: This asset is held in the asset called Fidelity II listed on this report.					
Scott Baugh Retirement 401(k) ⇒ ISHARES TRUST Treasury Bond Symbol SHY [OT]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: This asset is held in the asset called Fidelity II listed on this report.					
Scott Baugh Retirement 401(k) ⇒ MacArthur Group, LLC [OT]		\$500,001 - \$1,000,000	Tax-Deferred		
DESCRIPTION: Filer's 401(k) has an ownership in a commercial development project in Huntington Beach, CA.					
Scott Baugh Retirement 401(k) ⇒ Miller Howard Income Equity Fund I Symbol MHIEX [OT]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: This asset is held in the asset called Fidelity II listed on this report.					
Scott Baugh Retirement 401(k) ⇒ Oak Grove, LLC [DB]		\$50,001 - \$100,000	Tax-Deferred		
DESCRIPTION: Filer's 401(k) has a minority ownership interest in Oak Grove, LLC, which is residential development property in Orange County, CA.					
Scott Baugh Retirement 401(k) ⇒ Outlook Lane II, LLC [OT]		None	Tax-Deferred		
DESCRIPTION: This was a single asset LLC that owned a rental property in Big Bear Lake, CA. This asset was sold in July of 2017 and the LLC was dissolved.					
Scott Baugh Retirement 401(k) ⇒ Pacifica Christian High School Promissory Note [DB]		\$1,000,001 - \$5,000,000	Tax-Deferred		
DESCRIPTION: This is a \$1,000,000 promissory note with interest held by filer's 401(k). The note was originated by Pacifica Christian High School in Newport Beach, CA.					
Scott Baugh Retirement 401(k) ⇒ ReProp Investments, Inc. [DB]		\$50,001 - \$100,000	Tax-Deferred		
DESCRIPTION: Filer's 401(k) has a 19.659% interest in a promissory from Esther Toliao secured by property in Modesto, California.					
Scott Baugh Retirement 401(k) ⇒ Strathspey Crown Holdings, LLC [OT]		\$100,001 - \$250,000	Tax-Deferred		
DESCRIPTION: Filer's 401(k) owns units in Strathspey Crown Holdings, LLC.					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Scott Baugh Retirement 401(k) ⇒ USA National Title Company, Inc. [DB]		None	Tax-Deferred		
DESCRIPTION: This asset was a \$500,000 promissory note owed to the filer's 401(k) from USA National Title Company in Huntington Beach, CA. The note was paid in full in January of 2017 and, therefore, has no current value.					
Scott Baugh Retirement 401(k) ⇒ Wintrode Note [DB]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: This is a promissory owed to the filers 401(k) from Victoria Wintrode.					
Strathspey Crown Holdings, LLC ⇒ Alphaeon, Inc. [OT]		\$100,001 - \$250,000	Capital Gains	None	None
DESCRIPTION: Strathspey Crown Holdings, LLC has an 76% interest in this company. Filer has indirect interest through his ownership in Strathspey Crown Holdings, LLC and directly from options owned by the filer.					
Strathspey Crown Holdings, LLC ⇒ Bionatus, Irvine, CA [OT]		\$1 - \$1,000	Capital Gains	None	None
DESCRIPTION: Strathspey Crown Holdings, LLC has a 28% interest in this company. Filer has indirect interest through his ownership in Strathspey Crown Holdings, LLC. Bionatus, LLC also has ownership interests in Blue Energy (15-30%); REVx (8%); Luminas (67%); GFO (91%); ThrivalTech (100); Entangled (38%); and R&D LAB (10%).					
Strathspey Crown Holdings, LLC ⇒ Ceiraeon, Newport Beach, CA [OT]		\$1 - \$1,000	Capital Gains	None	None
DESCRIPTION: Strathspey Crown Holdings, LLC has a 50% interest in this company. Filer has indirect interest through his ownership in Strathspey Crown Holdings, LLC.					
Strathspey Crown Holdings, LLC ⇒ JIYO [OT]		\$1 - \$1,000	Capital Gains	None	None
DESCRIPTION: Strathspey Crown Holdings, LLC has a 6.6% interest in this company. Filer has indirect interest through his ownership in Strathspey Crown Holdings, LLC.					
Strathspey Crown Holdings, LLC ⇒ Miraculous, San Francisco, CA [OT]		\$1 - \$1,000	Capital Gains	None	None
DESCRIPTION: Strathspey Crown Holdings, LLC has an 2.5% interest in this company. Filer has indirect interest through his ownership in Strathspey Crown Holdings, LLC.					
Strathspey Crown Holdings, LLC ⇒ Nanobiosym, Cambridge, Mass [OT]		\$1 - \$1,000	Capital Gains	None	None
DESCRIPTION: Strathspey Crown Holdings, LLC has an interest in this company. Filer has indirect interest through his ownership in Strathspey Crown Holdings, LLC.					
Strathspey Crown Holdings, LLC ⇒ ShoutMD, Newport Beach, CA [OT]		\$1 - \$1,000	Capital Gains	None	None
DESCRIPTION: Strathspey Crown Holdings, LLC has a 100% interest in this company. Filer has indirect interest through his ownership in Strathspey Crown Holdings, LLC.					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Strathspey Crown Holdings, LLC [OL]		\$250,001 - \$500,000	Capital Gains	None	None
LOCATION: Newport Beach, CA, US DESCRIPTION: Filer owns units in Strathspey Crown Holdings, LLC, which is a holding company for companies related to medical aesthetics and physician networks.					
Wing & Barell Hunt Club [DO]		\$50,001 - \$100,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: This is a promissory note from the Wing & Barell Hunt Club in Sonoma, California.					

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Scott Baugh & Associates	Legal Fees	\$101,268.00	\$415,000.00
Scott Baugh & Associates, Inc.	Legal	N/A	\$173,654.00
George T. Pflieger Foundation	Board Member	\$5,000.00	\$19,000.00
KB Event Management, LLC	Managing sporting events	\$4,500.00	\$19,500.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Everhome Mortgage	April 2014 for \$695, refinanced 10/17 \$668k	Mortgage on Rental Property in Big Bear Lake, CA. \$662,000 balance. Held by Outlook Lane, LLC.	\$662,000.00
	First Bank	October 2011. Current balance - zero.	Mortgage on Rental Property. The account was paid in full in October of 2017.	\$100,001 - \$250,000
	Rabo Bank	May 2009 for \$1,400,000. Currently \$1,153,567.28	Mortgage on Ranch Property with a current balance of \$1,153,567.28	\$1,153,567.28
	Chase	May of 2013 for \$232,000. Currently \$205,000.	Mortgage on Rental Property in Redding, CA	\$100,001 - \$250,000

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Farm Service Administration	January 2017	Loan secured by Ranch Property	\$541,176.00
	Farm Service Administration	January 2017	Loan secured by Ranch Property	\$158,573.00
	American Express	February 2018	Revolving Credit Card	\$10,000 - \$15,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member	George T. Pfleger Foundation
Board Member	OC Marathon Foundation
Board Member	California Action
Board Member	Gang Reduction And Intervention Program
Board Member	Pacifica Christian High School
Board Member	Institute For Fair Elections

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Source (Name and Address)	Brief Description of Duties
Strathspey Crown, LLC (Newport Beach, CA, US)	Legal
Coffman Specialties, Inc. (San Diego, CA, US)	Legal
Skanska, Inc. (Riverside, CA, US)	Legal
Primoris, Inc. (Dallas, TX, US)	Legal
PT Gaming (Los Angeles, CA, US)	Legal
Innovage (Denver, CO, US)	Legal
Suncal Development (Irvine, CA, US)	Legal
Industrial Manufacturing Company, LLC (Brockville, OH, US)	Legal
Fleischman Consulting (Newport Beach, CA, US)	Legal
Harbor Station, LLC (Irvine, CA, US)	Legal

Source (Name and Address)	Brief Description of Duties
6th & Alameda, LLC (Irvine, CA, US)	Legal
George T. Pflieger Foundation (Newport Beach, CA, US)	Board Duties
Concierge Key Health, LLC (Newport Beach, CA, US)	Legal
Palm Silage, Inc. (Riverside, CA, US)	Legal
Minneapolis Legal (Minneapolis, MN, US)	Legal

SCHEDULE A ASSET CLASS DETAILS

<ul style="list-style-type: none"> o 529 Plan LOCATION: CA o Alphaeon, Inc. LOCATION: US o Baugh Family Partnership, LLC LOCATION: US o Northwestern Life Insurance LOCATION: US o Scott Baugh & Associates LOCATION: US o Scott Baugh Retirement 401(k) o Strathspey Crown Holdings, LLC LOCATION: Newport Beach, CA, US
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EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Scott Baugh , 05/6/2018