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U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES
2015 FINANCIAL DISCLOSURE STATEMENT

Form A
For Use by Members, Officers, and Employees

Name: THOMAS PRICE **Daytime Telephone:** 202-225-4501

A $200 penalty shall be assessed against any individual who files more than 30 days late.

FILER STATUS	☒ Member of or Candidate for U.S. House of Representatives — State: GA District: 06	☐ Officer or Employee — Employing Office:
REPORT TYPE	☒ 2015 Annual (Due: May 16, 2016)	☐ Amendment / ☐ Termination — Date of Termination:

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than $1,000 at the end of the reporting period? **or** b. Make more than $200 in unearned income from any reportable asset during the reporting period?	Yes ☒	No ☐	**F.** Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes ☐	No ☒
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding $1,000 during the reporting period?	Yes ☒	No ☐	**G.** Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than $375 in value from a single source during the reporting period?	Yes ☐	No ☒
C. Did you or your spouse have "earned" income (*e.g.*, salaries, honoraria, or pension/IRA distributions) of $200 or more during the reporting period?	Yes ☒	No ☐	**H.** Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than $375 in value from a single source during the reporting period?	Yes ☒	No ☐
D. Did you, your spouse, or your dependent child have any reportable liability (more than $10,000) at any point during the reporting period?	Yes ☐	No ☒	**I.** Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes ☐	No ☒
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes ☒	No ☐	**ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"**		

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO – Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes ☐	No ☒
TRUSTS – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION – Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes ☐	No ☒

SCHEDULE A – ASSETS & "UNEARNED INCOME"

Name: THOMAS PRICE | Page_____ of _____

BLOCK A – Asset and/or Income Source

Identify (a) each asset held for investment or production of income and with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or source of income that generated more than $200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use only ticker symbols).

For **all IRAs** and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.

For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over $5,000, list every financial institution where there is more than $1,000 in interest-bearing accounts.

For rental and other real property held for investment, provide a complete address or description, *e.g.*, "rental property," and a city and state.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (*unless* there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.

If you have a privately-traded fund that is an Excepted Investment Fund, please check the "EIF" box.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left.

For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.

BLOCK B – Value of Asset

Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting period and is included only because it generated income, the value should be "None."

*Column M is for assets held by your spouse or dependent child in which you have no interest.

BLOCK C – Type of Income

Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the "Tax-Deferred" column. **Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts.** Check "None" if the asset generated no income during the reporting period.

BLOCK D – Amount of Income

For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets indicate the category of income by checking the appropriate box below. **Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts.** Check "None" if no income was earned or generated.

*Column XII is for assets held by your spouse or dependent child in which you have no interest.

BLOCK E – Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in the reporting period.

If only a portion of an asset was sold, please indicate as follows: (S (part)).

Leave this column blank if there are no transactions that exceeded $1,000.

SP, DC, JT				EIF	BLOCK B: A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	M Spouse/DC Asset over $1,000,000*	BLOCK C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	BLOCK D: I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	XII Spouse/DC Asset with Income over $1,000,000*	BLOCK E: P, S, S(part), or E
	Examples:	SP	Mega Corp. Stock						X										X									X								S(part)
			Simon & Schuster				Indefinite																		Royalties			X									
			ABC Hedge Fund	X							X														Partnership Income					X							
	AETNA HSA						X														X							X								
	BANK OF N. GEORGIA									X											X							X								
	BB&T STOCK							X										X										X								
	CAROLINA PROP.								X												X							X								
	CHATTANOOGHEE ASSET.									X														X												
	KONG-FED. C.U.								X												X							X								

Use additional sheets if more space is required.

SCHEDULE A – ASSETS & "UNEARNED INCOME"

Name: THOMAS PRICE

Page____ of ____

BLOCK A: Asset and/or Income Source			BLOCK B: Value of Asset												BLOCK C: Type of Income								BLOCK D: Amount of Income											BLOCK E: Transaction
			A	B	C	D	E	F	G	H	I	J	K	L	M									I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	
			None	$1-$1,000	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,000	Over $50,000,000	Spouse/DC Asset over $1,000,000*	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	None	$1-$200	$201-$1,000	$1,001-$2,500	$2,501-$5,000	$5,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$1,000,000	$1,000,001-$5,000,000	Over $5,000,000	Spouse/DC Asset with Income over $1,000,000*	P, S, S(part), or E
SP, DC, JT	ASSET NAME	EIF																																	
	DIAG. VENT. ROSWELL							X														X								X					
	EMORY UNIV. PENS. PLAN				X			X								X							X												
	FIDELITY GV CAPITAL																																		
	ACC'T #1										X						X		X	X									X						
	ACC'T #2				X						X						X		X					X											
	ACC'T #3							X									X		X	X							X								
	FIDELITY IRA																																		
	ACC'T #4										X											X						X							
SP	ACC'T'S #5, 6, 7										X											X							X						
	MUNI. LIFE MUNI'S										X											X													
	MORGAN STANLEY SR #1								X								X		X	X							X								
	NEST - 01 & 02						X									X							X												
	PNC BANK						X												X					X											
	PUBLIC STORAGE						X										X			X						X									
	REAL ESTATE #1								X									X											X						
	REAL ESTATE #2										X					X								X											

Use additional sheets if more space is required.

SCHEDULE A – ASSETS & "UNEARNED INCOME"

Name: THOMAS PRICE | Page_____ of _____

BLOCK A: Asset and/or Income Source			BLOCK B: Value of Asset												BLOCK C: Type of Income								BLOCK D: Amount of Income												BLOCK E: Transaction
			A	B	C	D	E	F	G	H	I	J	K	L	M									I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	
			None	$1-$1,000	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,000	Over $50,000,000	Spouse/DC Asset over $1,000,000*	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify; e.g., Partnership Income or Farm Income)	None	$1-$200	$201-$1,000	$1,001-$2,500	$2,501-$5,000	$5,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$1,000,000	$1,000,001-$5,000,000	Over $5,000,000	Spouse/DC Asset with Income over $1,000,000*	P, S, S(part), or E
SP, DC, JT	ASSET NAME	EIF																																
	REAL ESTATE #3							X										X										X						
	REGIONS BANK							X											X					X										
	RMC III, INC							X														X							X					
	WELLS FARGO BANK								X										X															
	WELLS FARGO SEC																		X					X										
	ACC'T #1									X							X		X									X						
	ACC'T #2							X								X	X		X				X											
	MORGAN STANLEY #2				X											X	X							X										

Use additional sheets if more space is required.

SCHEDULE B – TRANSACTIONS

Name: THOMAS PRICE	Page____ of ____

Report any purchase, sale, or exchange transactions that exceeded $1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of $200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction				Check Box if Capital Gain Exceeded $200	Date	Amount of Transaction										
		Purchase	Sale	Partial Sale	Exchange		(MO/DA/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	A $1,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Over $1,000,000* (Spouse/DC Asset)
SP	*Example* Mega Corp. Stock			X		X	3/5/15		X									
	FIDELITY GV CAPITAL																	
	ACC'T #1	X		X			→		SEE	ATTACHED								
	ACC'T #2	X		X			→		"				"					
	ACC'T #3	X		X			→		"				"					
	FIDELITY IRA																	
	ACC'T #4	X		X			→		"				"					
SP	ACC'T'S #5, 6, 7	X		X			→		"				"					
	MINNESOTA LIFE ANNUITY						→		"				"					
#1	MORGAN STANLEY SECURITIES	X		X			→		"				"					
	WELLS FARGO SECURITIES																	
	ACC'T #1						→		"				"					
	ACC'T #2	X		X			→		"				"					
	MORGAN STANLEY SEC. #2	X					→		"				"					

Use additional sheets if more space is required.

SCHEDULE C – EARNED INCOME

Name: THOMAS PRICE	Page____ of ____

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling $200 or more during the reporting period. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS and PROHIBITED INCOME: The 2015 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was $27,225. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) were totally prohibited.

	Source (include date of receipt for honoraria)	**Type**	**Amount**
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$18,000
	Civil War Roundtable (Oct. 2)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	N/A
	CHATTAHOOCHEE ASSOC.	SPOUSE FEE	N/A
	CITY OF ROSWELL	SPOUSE SALARY	N/A
	STATE OF GEORGIA	SPOUSE SALARY	N/A

Use additional sheets if more space is required.

SCHEDULE D – LIABILITIES

Name: THOMAS PRICE	Page____ of ____

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or your dependent child. **Mark the highest amount owed during the reporting period. Members**: Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude**: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a ***revolving charge account*** (*i.e.*, credit card) only if the balance at the close of the reporting period exceeded $10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A $10,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Over $1,000,000* (Spouse/DC Liability)
	Example First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE				X							

SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude**: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
MGING PTNR - LIMITED PARTNER	CHATTANOOGA ASSOCIATES
DELEGATE	AMA HOUSE OF DELEGATES
LIMITED PARTNER	CAROLINA PROPERTIES
" "	DIAGNOSTIC VENTURES OF ROSWELL
" "	RMC III, INC.
" "	

Use additional sheets if more space is required.

SCHEDULE F – AGREEMENTS

Name: THOMAS PRICE	Page____ of ____

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement

SCHEDULE G – GIFTS

Report the source (by name), a brief description, and the value of all gifts totaling more than $375 received by you, your spouse, or your dependent child from any source during the year. **Exclude**: Gifts from relatives, gifts of personal hospitality from an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $150 or less need not be added towards the $375 disclosure threshold. **Note**: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source		Description	Value
Example:	Mr. Joseph Smith, Arlington, VA	Silver Platter (determination of personal friendship received from the Ethics Committee)	$400

Use additional sheets if more space is required.

SCHEDULE H – TRAVEL PAYMENTS and REIMBURSEMENTS

Name: THOMAS PRICE	Page_____ of _____

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $375 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

Source		Date(s)	City of Departure-Destination-City of Return	Lodging? (Y/N)	Food? (Y/N)	Family Member Included? (Y/N)
Examples:	Government of China (MECEA)	Aug. 6-11	DC-Beijing, China-DC	Y	Y	N
	Habitat for Humanity (charity fundraiser)	Mar. 3-4	DC-Boston-DC	Y	Y	Y
ALLIANCE FOR HEALTH REFORM		FEB. 20-22	ATL - HOUSTON - ATL	Y	Y	Y
AMERICAN ENTERPRISE INSTITUTE		MARCH 5-8	PERSONALLY COVERED TRAVEL	Y	Y	Y

Use additional sheets if more space is required.

FILER NOTES
(Optional)

Name: THOMAS PRICE	Page____ of ____

NOTE NUMBER	NOTES
1	SCH. A - CAROLINA PROPERTIES - APT. UNITS: WYTHE, VA; EDEN, GRANVILLE, OXFORD, N.C.; NEWBERRY, HARTSVILLE, S.C.
2	SCH. A - CHATTAHOOCHEE ASSOC - MEDICAL OFFICE BLDG, ROSWELL, GA - TYPE OF INCOME: DISTRIBUTION / LP
3	SCH A - DIAGNOSTIC VENTURES OF ROSWELL, INCOME: DISTRIBUTION / LP
4	SCH. A - NEST = NEBRASKA EDUCATIONAL SAVINGS TRUST - 529 PLANS: 01/02
5	SCH. A: REAL ESTATE #1 - CONDO FOR RENT; WASHINGTON, D.C.
6	SCH A - REAL ESTATE #2 - VACANT LOT; ST. SIMONS, GA.
7	SCH. A - REAL ESTATE #3 - CONDO FOR RENT; NASHVILLE, TN
8	SCH. A - RMC III, INC. - INCOME: DISTRIBUTION / LP

Use additional sheets if more space is required.

Morgan Stanley #1

CLIENT STATEMENT | For the Period December 1-31, 2015

Portfolio Management

Account Summary

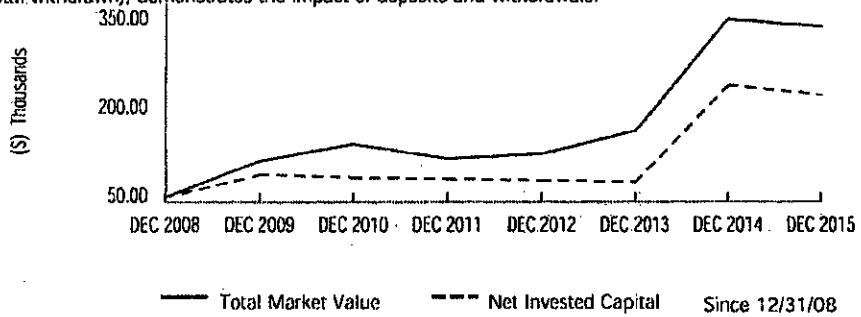
CHANGE IN VALUE OF YOUR ACCOUNTS (includes accrued interest)

	This Period (12/1/15-12/31/15)	This Year (1/1/15-12/31/15)
TOTAL BEGINNING VALUE	**$325,219.04**	**$326,436.59**
Credits	—	—
Debits	—	(15,560.13)
Security Transfers	—	—
Net Credits/Debits/Transfers	—	**$(15,560.13)**
Change in Value	**(10,404.15)**	**3,938.43**
TOTAL ENDING VALUE	**$314,814.89**	**$314,814.89**

Net Credits / Debits include investment advisory fees as applicable. See Activity section for details.

CHANGE IN VALUE OVER TIME

The display of market value (total account value) and net invested capital (total amount invested minus total withdrawn), demonstrates the impact of deposits and withdrawals.

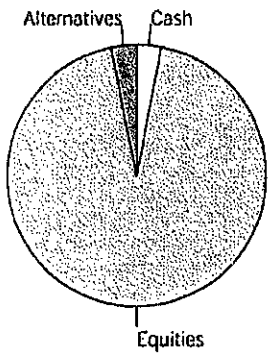


This graph does not reflect corrections to Net Invested Capital or Market Value made subsequent to the dates depicted. It may exclude transactions in Annuities or positions where we are not the custodian, which could delay the reporting of Market Value or affect the Net Invested Capital.

ASSET ALLOCATION (includes accrued interest)

	Market Value	Percentage
Cash	$9,484.50	3.01
Equities	295,059.79	93.72
Alternatives	10,270.60	3.26
TOTAL VALUE	**$314,814.89**	**100.00%**

FDIC rules apply and Bank Deposits are eligible for FDIC insurance but are not covered by SIPC. Cash and securities (including MMFs) are eligible for SIPC coverage. See Expanded Disclosures. Values may include assets externally held, which are provided to you as a courtesy, and may not be covered by SIPC. For additional information, refer to the corresponding section of this statement.



This asset allocation represents holdings on a trade date basis, and projected settled Cash/ BDP and MMF balances. These classifications do not constitute a recommendation and may differ from the classification of instruments for regulatory or tax purposes.

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Account Summary

BALANCE SHEET (^ includes accrued interest)

	Last Period (as of 11/30/15)	This Period (as of 12/31/15)
Cash, BDP, MMFs	$8,789.90	$9,484.50
Stocks	216,860.95	209,371.96
ETFs & CEFs	99,568.19	95,958.43
Total Assets	**$325,219.04**	**$314,814.89**
Total Liabilities (outstanding balance)	—	—
TOTAL VALUE	**$325,219.04**	**$314,814.89**

CASH FLOW

	This Period (12/1/15-12/31/15)	This Year (1/1/15-12/31/15)
OPENING CASH, BDP, MMFs	**$8,789.90**	**$138,511.24**
Purchases	—	(214,735.02)
Sales and Redemptions	—	97,133.89
Income and Distributions	694.60	4,103.69
Partnership Distributions	—	30.83
Total Investment Related Activity	**$694.60**	**$(113,466.61)**
Electronic Transfers-Debits	—	(10,000.00)
Other Debits	—	(5,560.13)
Total Cash Related Activity	—	**$(15,560.13)**
CLOSING CASH, BDP, MMFs	**$9,484.50**	**$9,484.50**

INCOME AND DISTRIBUTION SUMMARY

	This Period (12/1/15-12/31/15)	This Year (1/1/15-12/31/15)
Qualified Dividends	$259.00	$2,334.91
Other Dividends	435.60	1,599.98
Long Term Capital Gains Distributions	—	127.52
Interest	—	34.95
Other Income and Distributions	—	3.78
Partnership Distributions	—	30.83
Total Taxable Income And Distributions	**$694.60**	**$4,131.97**
Dividends	—	1.20
Total Tax-Exempt Income	—	**$1.20**
TOTAL INCOME AND DISTRIBUTIONS	**$694.60**	**$4,133.17**

Taxable and tax exempt income classifications are based on the characteristics of the underlying securities and not the taxable status of the account.

GAIN/(LOSS) SUMMARY

	Realized This Period (12/1/15-12/31/15)	Realized This Year (1/1/15-12/31/15)	Unrealized Inception to Date (as of 12/31/15)
Short-Term Gain	—	$2,660.86	$20,290.52
Short-Term (Loss)	—	(680.01)	(14,296.12)
Total Short-Term	—	**$1,980.85**	**$5,994.40**
Long-Term Gain	—	14,767.18	29,496.74
Long-Term (Loss)	—	(1,299.07)	(2,037.72)
Total Long-Term	—	**$13,468.11**	**$27,459.02**
TOTAL GAIN/(LOSS)	—	**$15,448.96**	**$33,453.42**

The Gain/(Loss) Summary, which may change due to basis adjustments, is provided for informational purposes and should not be used for tax preparation. Refer to Gain/(Loss) in the Expanded Disclosures.

Account Summary

ADDITIONAL ACCOUNT INFORMATION

Category	This Period (12/1/15-12/31/15)	This Year (1/1/15-12/31/15)
Foreign Tax Paid	—	$0.67

Category	This Period (12/1/15-12/31/15)	This Year (1/1/15-12/31/15)
Return of Capital	—	3.78

Investment Objectives†: *Income, Capital Appreciation*

† Inform us if your investment objectives, as defined in the Expanded Disclosures, change.

HOLDINGS

This section reflects positions purchased/sold on a trade date basis. "Market Value" and "Unrealized Gain/(Loss)" may not reflect the value that could be obtained in the market. Fixed Income securities are sorted by maturity or pre-refunding date, and alphabetically within date. Estimated Annual Income a) is calculated on a pre-tax basis, b) does not include any reduction for applicable non-US withholding taxes, c) may include return of principal or capital gains which could overstate such estimates, and d) for securities that have a defined maturity date within the next 12 months, is reflected only through maturity date. Actual income or yield may be lower or higher than the estimates. Current yield reflects the income generated by an investment, and does not reflect changes in its price. Structured Investments, identified on the Position Description Details line as "Asset Class: Struct Inv," may appear in various statement product categories. When displayed, the accrued interest, annual income and current yield for those with a contingent income feature (e.g., Range Accrual Notes or Contingent Income Notes) are estimates and assume specified accrual conditions are met during the relevant period and payment in full of all contingent interest. For Floating Rate Securities, the accrued interest, annual income and current yield are estimates based on the current floating coupon rate and may not reflect historic rates within the accrual period.

CASH, BANK DEPOSIT PROGRAM AND MONEY MARKET FUNDS

Cash, Bank Deposit Program, and Money Market Funds are generally displayed on a settlement date basis. You have the right to instruct us to liquidate your bank deposit balance(s) or shares of any money market fund balance(s) at any time and have the proceeds of such liquidation remitted to you. Estimated Annual Income, Accrued Interest, and APY% will only be displayed for fully settled positions.

Description	Market Value	7-Day Current Yield %	Est Ann Income	APY %
CASH	**$454.60**			
MS TAX-FREE DAILY INCOME TRUST	**9,029.90**	0.010	0.90	—

	Percentage of Holdings	Market Value	Est Ann Income
CASH, BDP, AND MMFs	**3.01%**	**$9,484.50**	**$0.90**

Money market funds seek to maintain a share price of $1.00, therefore the dollar amounts listed equal the number of shares. Additional information concerning these transactions is available upon request. For more information about the pricing of Money Market Funds, please see the Expanded Disclosures. The money market funds reflected above include the balances in your automatic sweep feature, if any, and may include other money market funds that have been purchased in your account.

STOCKS

COMMON STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
ABBOTT LABORATORIES (ABT)	1/29/15	69.000	$44.977	$44.910	$3,103.43	**$3,098.79**	$(4.64) ST	$72.00	2.32
Next Dividend Payable 02/2016; Asset Class: Equities									
AETNA INC (NEW)(CT) (AET)	1/29/15	33.000	93.100	108.120	3,072.30	**3,567.96**	495.66 ST	33.00	0.92
Next Dividend Payable 01/2016; Asset Class: Equities									
AFFILIATED MGRS GROUP INC (AMG)	4/30/13	13.000	154.300	159.760	2,005.90	*2,076.88*	*70.98 LT*		
	10/21/14	3.000	189.457	159.760	568.37	479.28	(89.09) LT		

Account Detail

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Yield %
	Total	16.000			2,574.27	**2,556.16**	(18.11) LT	—	—
Asset Class: Equities									
AGILENT TECHNOLOGIES (A)	1/29/15	81.000	38.384	41.810	3,109.14	**3,386.61**	277.47 ST	37.00	1.09
Next Dividend Payable 01/27/16; Asset Class: Equities									
ALCOA INC (AA)	1/29/15	197.000	15.837	9.870	3,119.85	**1,944.39**	(1,175.46) ST	24.00	1.23
Next Dividend Payable 02/2016; Asset Class: Equities									
AMAZON COM INC (AMZN)	1/29/15	17.000	307.614	675.890	5,229.43	**11,490.13**	6,260.70 ST	—	—
Asset Class: Equities									
AMERICAN EXPRESS CO (AXP)	1/29/15	38.000	81.817	69.550	3,109.05	**2,642.90**	(466.15) ST	44.00	1.66
Next Dividend Payable 02/2016; Asset Class: Equities									
AMERICAN TOWER REIT COM (AMT)	6/21/11	21.000	51.767	96.950	1,087.10	2,035.95	948.85 LT		
	12/17/12	7.000	76.670	96.950	536.69	678.65	141.96 LT		
	10/21/14	4.000	95.010	96.950	380.04	387.80	7.76 LT		
	Total	32.000			2,003.83	**3,102.40**	1,098.57 LT	63.00	2.03
Next Dividend Payable 01/13/16; Asset Class: Alt									
AMGEN INC (AMGN)	1/29/15	20.000	155.504	162.330	3,110.07	**3,246.60**	136.53 ST	80.00	2.46
Next Dividend Payable 03/2016; Asset Class: Equities									
APPLE INC (AAPL)	7/28/09	63.000	22.793	105.260	1,435.95	6,631.38	5,195.43 LT		
	9/30/09	35.000	26.311	105.260	920.90	3,684.10	2,763.20 LT		
	10/30/09	28.000	27.114	105.260	759.20	2,947.28	2,188.08 LT		
	1/11/10	14.000	29.895	105.260	418.53	1,473.64	1,055.11 LT		
	Total	140.000			3,534.58	**14,736.40**	11,201.82 LT	291.00	1.97
Next Dividend Payable 02/2016; Asset Class: Equities									
ARRIS GROUP INC COM NEW (ARRS)	10/21/14	139.000	25.676	30.570	3,569.02	4,249.23	680.21 LT		
	1/29/15	153.000	26.674	30.570	4,081.06	4,677.21	596.15 ST		
	Total	292.000			7,650.08	**8,926.44**	680.21 LT 596.15 ST	—	—
Asset Class: Equities									
BLACKROCK INC (BLK)	1/29/15	9.000	345.667	340.520	3,111.00	**3,064.68**	(46.32) ST	78.00	2.54
Next Dividend Payable 03/2016; Asset Class: Equities									
BLACKSTONE GROUP LP (BX)	1/29/15	84.000	36.732	29.240	3,077.50	**2,456.16**	(621.34) ST C	244.00	9.93
Next Dividend Payable 02/2016; Asset Class: Equities									
BOEING CO (BA)	1/29/15	21.000	147.120	144.590	3,089.52	**3,036.39**	(53.13) ST	92.00	3.02
Next Dividend Payable 03/2016; Asset Class: Equities									

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Account Detail

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
CALPINE CORP NEW (CPN)	4/30/13	96.000	21.810	14.470	2,093.76	1,389.12	(704.64) LT		
	10/21/14	51.000	21.240	14.470	1,083.23	737.97	(345.26) LT		
	1/29/15	112.000	21.047	14.470	2,357.24	1,620.64	(736.60) ST		
	Total	259.000			5,534.23	**3,747.73**	(1,049.90) LT (736.60) ST	—	—
Asset Class: Equities									
CBRE GROUP INC (CBG)	4/30/13	79.000	24.110	34.580	1,904.69	2,731.82	827.13 LT		
	10/21/14	17.000	29.867	34.580	507.74	587.86	80.12 LT		
	Total	96.000			2,412.43	**3,319.68**	907.25 LT	—	—
Asset Class: Alt									
DANAHER CORPORATION (DHR)	12/17/12	38.000	55.240	92.880	2,099.12	**3,529.44**	1,430.32 LT	21.00	0.59
Next Dividend Payable 01/29/16; Asset Class: Equities									
ENERSYS (ENS)	4/30/13	44.000	45.790	55.930	2,014.76	2,460.92	446.16 LT		
	10/21/14	10.000	56.684	55.930	566.84	559.30	(7.54) LT		
	Total	54.000			2,581.60	**3,020.22**	438.62 LT	38.00	1.25
Next Dividend Payable 03/2016; Asset Class: Equities									
FACEBOOK INC CL-A (FB)	1/29/15	101.000	76.550	104.660	7,731.50	**10,570.66**	2,839.16 ST	—	—
Asset Class: Equities									
FOOT LOCKER INC (FL)	10/21/14	56.000	55.758	65.090	3,122.43	3,645.04	522.61 LT		
	1/29/15	42.000	54.032	65.090	2,269.33	2,733.78	464.45 ST		
	Total	98.000			5,391.76	**6,378.82**	522.61 LT 464.45 ST	98.00	1.53
Next Dividend Payable 01/2016; Asset Class: Equities									
GENESEE & WYOMING INC A (GWR)	1/29/15	37.000	82.782	53.690	3,062.92	**1,986.53**	(1,076.39) ST	—	—
Asset Class: Equities									
GILEAD SCIENCE (GILD)	1/29/15	30.000	103.812	101.190	3,114.35	**3,035.70**	(78.65) ST	52.00	1.71
Next Dividend Payable 03/2016; Asset Class: Equities									
HONEYWELL INTERNATIONAL INC (HON)	4/30/13	27.000	73.500	103.570	1,984.50	2,796.39	811.89 LT		
	10/21/14	5.000	92.230	103.570	461.15	517.85	56.70 LT		
	Total	32.000			2,445.65	**3,314.24**	868.59 LT	76.00	2.29
Next Dividend Payable 03/2016; Asset Class: Equities									
HUBBELL INC (HUBB)	1/29/15	29.000	107.233	101.040	3,109.77	**2,930.16**	(179.61) ST	—	—
Asset Class: Equities									
JAZZ PHARMACEUTICALS PLC (JAZZ)	8/6/13	19.000	78.340	140.560	1,488.46	**2,670.64**	1,182.18 LT	—	—
Asset Class: Equities									

Account Detail

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
KAPSTONE PAPER AND PACKAGING (KS)	4/30/13	104.000	14.790	22.590	1,538.16	**2,349.36**	811.20 LT	42.00	1.78
Next Dividend Payable 01/12/16; Asset Class: Equities									
KINDER MORGAN INCORP (KMI)	1/29/15	77.000	40.547	14.920	3,122.13	**1,148.84**	(1,973.29) ST	157.00	13.66
Next Dividend Payable 02/2016; Asset Class: Alt									
LEAR CORP (LEA)	1/29/15	53.000	98.397	122.830	5,215.05	**6,509.99**	1,294.94 ST	53.00	0.81
Next Dividend Payable 03/2016; Asset Class: Equities									
MASTERCARD INC CL A (MA)	1/29/15	96.000	80.927	97.360	7,769.02	**9,346.56**	1,577.54 ST	73.00	0.78
Next Dividend Payable 02/2016; Asset Class: Equities									
MICHAEL KORS HOLDINGS LTD (KORS)	1/29/15	73.000	72.357	40.060	5,282.04	**2,924.38**	(2,357.66) ST	—	—
Asset Class: Equities									
MONSANTO CO/NEW (MON)	12/17/12	22.000	90.500	98.520	1,991.00	2,167.44	176.44 LT		
	10/21/14	5.000	113.174	98.520	565.87	492.60	(73.27) LT		
	Total	27.000			2,556.87	**2,660.04**	103.17 LT	58.00	2.18
Next Dividend Payable 01/2016; Asset Class: Equities									
ONEOK INC NEW (OKE)	12/17/12	45.000	38.054	24.660	1,712.43	1,109.70	(602.73) LT		
	10/21/14	6.000	60.525	24.660	363.15	147.96	(215.19) LT		
	1/29/15	22.000	42.580	24.660	936.76	542.52	(394.24) ST		
	Total	73.000			3,012.34	**1,800.18**	(817.92) LT (394.24) ST	180.00	9.99
Next Dividend Payable 02/2016; Asset Class: Alt									
PHILLIPS 66 COM (PSX)	1/29/15	44.000	69.923	81.800	3,076.59	**3,599.20**	522.61 ST	99.00	2.75
Next Dividend Payable 03/2016; Asset Class: Equities									
PJT PARTNERS INC COM CL A (PJT)	1/29/15	2.000	3.809	28.290	7.62	**56.58**	48.96 ST	—	—
Asset Class: Equities									
POLARIS INDUSTRIES INC (PII)	4/30/13	22.000	85.950	85.950	1,890.90	1,890.90	0.00 LT		
	1/29/15	13.000	148.300	85.950	1,927.90	1,117.35	(810.55) ST		
	Total	35.000			3,818.80	**3,008.25**	(810.55) ST	74.00	2.45
Next Dividend Payable 03/2016; Asset Class: Equities									
QIHOO 360 TECH LTD ADS (QIHU)	10/21/14	53.000	67.343	72.810	3,569.19	3,858.93	289.74 LT		
	1/29/15	133.000	58.364	72.810	7,762.36	9,683.73	1,921.37 ST		
	Total	186.000			11,331.55	**13,542.66**	289.74 LT 1,921.37 ST	—	—
Asset Class: Equities									
QUINTILES TRANSNATIONAL HLDGS (Q)	1/29/15	50.000	61.412	68.660	3,070.58	**3,433.00**	362.42 ST	—	—
Asset Class: Equities									

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Account Detail

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
RYDER SYSTEMS INC (R)	1/29/15	36.000	85.988	56.830	3,095.55	**2,045.88**	(1,049.67) ST	59.00	2.88
Next Dividend Payable 03/2016; Asset Class: Equities									
SIGNET JEWELERS LIMITED (SIG)	4/30/13	29.000	68.440	123.690	1,984.76	3,587.01	1,602.25 LT		
	1/29/15	14.000	123.460	123.690	1,728.44	1,731.66	3.22 ST		
	Total	43.000			3,713.20	**5,318.67**	1,602.25 LT 3.22 ST	38.00	0.71
Next Dividend Payable 02/2016; Asset Class: Equities									
STARBUCKS CORP WASHINGTON (SBUX)	9/28/12	44.000	25.050	60.030	1,102.20	2,641.32	1,539.12 LT		
	12/17/12	32.000	27.205	60.030	870.56	1,920.96	1,050.40 LT		
	10/21/14	8.000	37.229	60.030	297.83	480.24	182.41 LT		
	1/29/15	34.000	44.362	60.030	1,508.30	2,041.02	532.72 ST		
	Total	118.000			3,778.89	**7,083.54**	2,771.93 LT 532.72 ST	94.00	1.32
Next Dividend Payable 02/2016; Asset Class: Equities									
THERMO FISHER SCIENTIFIC (TMO)	1/29/15	24.000	128.391	141.850	3,081.38	**3,404.40**	323.02 ST	14.00	0.41
Next Dividend Payable 01/15/16; Asset Class: Equities									
TWENTY-FIRST CENTURY FOX CL A (FOXA)	1/29/15	156.000	33.887	27.160	5,286.34	**4,236.96**	(1,049.38) ST	47.00	1.10
Next Dividend Payable 04/2016; Asset Class: Equities									
UNION PACIFIC CORP (UNP)	4/30/12	27.000	56.138	78.200	1,515.73	**2,111.40**	595.67 LT	59.00	2.79
Next Dividend Payable 03/2016; Asset Class: Equities									
UNITED RENTALS INC (URI)	12/17/12	30.000	42.270	72.540	1,268.10	2,176.20	908.10 LT		
	1/29/15	6.000	85.680	72.540	514.08	435.24	(78.84) ST		
	Total	36.000			1,782.18	**2,611.44**	908.10 LT (78.84) ST	—	—
Asset Class: Equities									
VISA INC CL A (V)	12/17/12	56.000	37.005	77.550	2,072.28	4,342.80	2,270.52 LT		
	1/29/15	68.000	61.618	77.550	4,190.05	5,273.40	1,083.35 ST		
	Total	124.000			6,262.33	**9,616.20**	2,270.52 LT 1,083.35 ST	69.00	0.71
Next Dividend Payable 03/2016; Asset Class: Equities									
VISTEON CORP (VC)	10/21/14	34.000	91.627	114.500	3,115.31	3,893.00	777.69 LT		
	1/29/15	20.000	97.705	114.500	1,954.09	2,290.00	335.91 ST		
	Total	54.000			5,069.40	**6,183.00**	777.69 LT 335.91 ST	—	—
Asset Class: Equities									

Account Detail

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
WILLIAMS CO INC (WMB)	1/29/15	35.000	43.287	25.700	1,515.03	**899.50**	(615.53) ST	90.00	10.00
Next Dividend Payable 03/2016; Asset Class: Alt									
XL GROUP PLC NEW (XL)	4/30/13	67.000	31.160	39.180	2,087.72	2,625.06	537.34 LT		
	10/21/14	28.000	32.660	39.180	914.48	1,097.04	182.56 LT		
	Total	95.000			3,002.20	**3,722.10**	719.90 LT	76.00	2.04
Next Dividend Payable 03/2016; Asset Class: Equities									

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
STOCKS	**66.51%**	**$175,768.82**	**$209,371.96**	**$27,294.41 LT** **$6,308.73 ST**	**$2,625.00**	**1.25%**

EXCHANGE-TRADED & CLOSED-END FUNDS

Global Investment Manager Analysis (GIMA) status codes (FL, AL or NL), may be shown for certain exchange-traded funds and are not guarantees of performance. Refer to "GIMA Status in Investment Advisory Programs" in the June or December statement for a description of these status codes.

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
GUGGENHEIM S&P 500 EQU WEIGHT (RSP)	10/21/14	53.000	$74.780	$76.640	$3,963.34	$4,061.92	$98.58 LT		
	1/29/15	245.000	78.385	76.640	19,204.40	18,776.80	(427.60) ST		
	Total	298.000			23,167.74	**22,838.72**	98.58 LT (427.60) ST	388.00	1.69
GIMA Status: AL; Next Dividend Payable 03/2016; Asset Class: Equities									
ISHARES S&P 500 GRWTH ETF (IVW)	1/29/15	239.000	110.719	115.800	26,461.86	**27,676.20**	1,214.34 ST	419.00	1.51
GIMA Status: AL; Next Dividend Payable 03/2016; Asset Class: Equities									
ISHARES S&P MIDCAP 400 INDEX (IJH)	10/21/14	19.000	135.845	139.320	2,581.05	2,647.08	66.03 LT		
	1/29/15	142.000	144.640	139.320	20,538.91	19,783.44	(755.47) ST		
	Total	161.000			23,119.96	**22,430.52**	66.03 LT (755.47) ST	350.00	1.56
GIMA Status: AL; Next Dividend Payable 03/2016; Asset Class: Equities									
ISHARES SP SMALLCAP 600 INDEX (IJR)	1/29/15	209.000	111.764	110.110	23,358.59	**23,012.99**	(345.60) ST	342.00	1.48
GIMA Status: AL; Next Dividend Payable 03/2016; Asset Class: Equities									

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Account Detail

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
EXCHANGE-TRADED & CLOSED-END FUNDS	**30.48%**	**$96,108.15**	**$95,958.43**	**$164.61 LT** **(314.33) ST**	**$1,499.00**	**1.56%**

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
TOTAL MARKET VALUE		**$271,876.97**	**$314,814.89**	**$27,459.02 LT** **$5,994.40 ST**	**$4,124.90** **$0.00**	**1.31%**
TOTAL VALUE (includes accrued interest)	**100.00%**		**$314,814.89**			

C - This tax lot received a return of capital, which is a return of some or all of your investment in the security. A return of capital reduces your basis in the security and is not taxed until your basis in the security is fully recovered.
Unrealized Gain/(Loss) totals only reflect positions that have both cost basis and market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' are not included.

ALLOCATION OF ASSETS

	Cash	Equities	Fixed Income & Preferred Securities	Alternatives	Annuities & Insurance	Structured Investments	Other
Cash, BDP, MMFs	$9,484.50	—	—	—	—	—	—
Stocks	—	$199,101.36	—	$10,270.60	—	—	—
ETFs & CEFs	—	95,958.43	—	—	—	—	—
TOTAL ALLOCATION OF ASSETS	**$9,484.50**	**$295,059.79**	**—**	**$10,270.60**	**—**	**—**	**—**

ACTIVITY

CASH FLOW ACTIVITY BY DATE

Transaction Date	Settlement Date	Activity Type	Description	Comments	Quantity	Price	Credits/(Debits)
12/1	12/1	Qualified Dividend	PHILLIPS 66 COM				$24.64
12/1	12/1	Qualified Dividend	VISA INC CL A				17.36
12/4	12/4	Qualified Dividend	BOEING CO				19.11
12/7	12/7	Qualified Dividend	AMGEN INC				15.80
12/10	12/10	Qualified Dividend	HONEYWELL INTERNATIONAL INC				19.04
12/15	12/15	Qualified Dividend	POLARIS INDUSTRIES INC				18.55
12/15	12/15	Qualified Dividend	HUBBELL INC B				18.27
12/18	12/18	Qualified Dividend	RYDER SYSTEMS INC				14.76