UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT

FORM B
For New Members, Candidates, and New Employees

Name: William Mike Derrick **Daytime Telephone:** ______

FILER STATUS

- [X] New Member of or Candidate for U.S. House of Representatives — State: NY District: 21 — Candidates – Date of Election: Primary – June 21, 2015
- [ ] New Officer or Employee — Employing Office: ______

[ ] Check if Amendment

Period Covered: January 1, 2014 to June 30, 2015

(Office Use Only)

A $200 penalty shall be assessed against any individual who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than $1,000 at the end of the reporting period? or b. Make more than $200 in unearned income from any reportable asset during the reporting period?	Yes [X] No [ ]	E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes [X] No [ ]
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of $200 or more during the reporting period?	Yes [X] No [ ]	F. Did you have any reportable agreements or arrangements with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes [ ] No [X]
D. Did you, your spouse, or your dependent child have any reportable liability (more than $10,000) at any point during the reporting period?	Yes [X] No [ ]	J. Did you receive compensation of more than $5,000 from a single source in the current year and two prior years?	Yes [X] No [ ]

ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"

THIS FORM INCLUDES ONLY THE SCHEDULES THAT YOU ARE REQUIRED TO COMPLETE

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER BOTH OF THESE QUESTIONS

TRUSTS – *Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed.* Have you excluded from this report details of such a trust that benefits you, your spouse, or your dependent child?	Yes [ ] No [X]
EXEMPTION – Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes [ ] No [X]

SCHEDULE A – ASSETS & "UNEARNED INCOME"

Name: William Mike Derrick | Page 2 of 8

BLOCK A – Assets and/or Income Sources; BLOCK B – Value of Asset

SP, DC, JT	ASSET NAME	EIF	A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	M Spouse/DC Asset over $1,000,000*
JT	USAA Bank Savings					X									
JT	USAA Bank Checkings					X									
JT	USAA Cornerstone Fund					X									
	Rental Properties in:														
	Colorado Springs, CO							X							
	" "							X							
	" "							X							
	" "								X						
	" "								X						
	" "							X							
DC	NYS 529 Plan						X								

BLOCK C – Type of Income

ASSET NAME	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)
USAA Bank Savings				X				
USAA Bank Checkings				X				
USAA Cornerstone Fund		X			X			
Rental Properties in:								
Colorado Springs, CO			X					
" "			X					
" "			X					
" "			X					
" "			X					
" "			X					
NYS 529 Plan							X	

BLOCK D – Amount of Income: Current Year

ASSET NAME	I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	XII Spouse/DC Income over $1,000,000*
USAA Bank Savings			X									
USAA Bank Checkings			X									
USAA Cornerstone Fund				X								
Rental Properties in:												
Colorado Springs, CO						X						
" "						X						
" "						X						
" "							X					
" "							X					
" "						X						
NYS 529 Plan	X											

BLOCK D – Amount of Income: Preceding Year

ASSET NAME	I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	XII Spouse/DC Income over $1,000,000*
USAA Bank Savings			X									
USAA Bank Checkings			X									
USAA Cornerstone Fund				X								
Rental Properties in:												
Colorado Springs, CO						X						
" "						X						
" "						X						
" "							X					
" "							X					
" "						X						
NYS 529 Plan	X											

Use additional sheets if more space is required.

SCHEDULE A – ASSETS & "UNEARNED INCOME"

Name: William Mike Derrick

BLOCK A – Assets and/or Income Sources; **BLOCK B** – Value of Asset

SP, DC, JT	ASSET NAME	EIF	A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	M Spouse/DC Asset over $1,000,000*
SP	IRA DFA Inf. Prot. Trans					X									
SP	IRA DFA Sh. Term Gov.					X									
SP	IRA DFA Global 60/40						X								
SP	IRA Templeton Global				X										
SP	IRA Vanguard High Yld					X									
SP	IRA DFA Interm. Gov.					X									
	Roth Pimco Tot. Return				X										
	Roth DFA Sh. Tm. Gov.				X										
SP	IRA Ishares Trans. Ind.				X										
SP	IRA VG Energy Ind.				X										
SP	IRA Ishares Aero Def.				X										
SP	IRA Vanguard Cons. Staples					X									
SP	IRA Vanguard Hlth. Services				X										
SP	IRA DFA US Sm. Cap				X										
SP	IRA DFA US Lg. Cap					X									

BLOCK C – Type of Income

ASSET NAME	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)
IRA DFA Inf. Prot. Trans							X	
IRA DFA Sh. Term Gov.							X	
IRA DFA Global 60/40							X	
IRA Templeton Global							X	
IRA Vanguard High Yld							X	
IRA DFA Interm. Gov.							X	
Roth Pimco Tot. Return							X	
Roth DFA Sh. Tm. Gov.							X	
IRA Ishares Trans. Ind.							X	
IRA VG Energy Ind.							X	
IRA Ishares Aero Def.							X	
IRA Vanguard Cons. Staples							X	
IRA Vanguard Hlth. Services							X	
IRA DFA US Sm. Cap							X	
IRA DFA US Lg. Cap							X	

BLOCK D – Amount of Income – Current Year

ASSET NAME	I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	XII Spouse/DC Income over $1,000,000*
IRA DFA Inf. Prot. Trans	X											
IRA DFA Sh. Term Gov.	X											
IRA DFA Global 60/40	X											
IRA Templeton Global	X											
IRA Vanguard High Yld	X											
IRA DFA Interm. Gov.	X											
Roth Pimco Tot. Return	X											
Roth DFA Sh. Tm. Gov.	X											
IRA Ishares Trans. Ind.	X											
IRA VG Energy Ind.	X											
IRA Ishares Aero Def.	X											
IRA Vanguard Cons. Staples	X											
IRA Vanguard Hlth. Services	X											
IRA DFA US Sm. Cap	X											
IRA DFA US Lg. Cap	X											

BLOCK D – Amount of Income – Preceding Year

ASSET NAME	I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	XII Spouse/DC Income over $1,000,000*
IRA DFA Inf. Prot. Trans	X											
IRA DFA Sh. Term Gov.	X											
IRA DFA Global 60/40	X											
IRA Templeton Global	X											
IRA Vanguard High Yld	X											
IRA DFA Interm. Gov.	X											
Roth Pimco Tot. Return	X											
Roth DFA Sh. Tm. Gov.	X											
IRA Ishares Trans. Ind.	X											
IRA VG Energy Ind.	X											
IRA Ishares Aero Def.	X											
IRA Vanguard Cons. Staples	X											
IRA Vanguard Hlth. Services	X											
IRA DFA US Sm. Cap	X											
IRA DFA US Lg. Cap	X											

Use additional sheets if more space is required.

SCHEDULE A – ASSETS & "UNEARNED INCOME"

Name: William Mike Derrick

SP, DC, JT	BLOCK A – Assets and/or Income Sources: ASSET NAME	REF	BLOCK B – Value of Asset	BLOCK C – Type of Income	BLOCK D – Amount of Income: Current Year	BLOCK D – Amount of Income: Preceding Year
SP	IRA DFA Global Eq.		D ($15,001-$50,000)	TAX-DEFERRED	I (None)	I (None)
SP	IRA "Real Est. Fund		C ($1,001-$15,000)	TAX-DEFERRED	I (None)	I (None)
	Roth DFA Global 60/40		E ($50,001-$100,000)	TAX-DEFERRED	I (None)	I (None)
	Roth Vanguard Con. Staples		C ($1,001-$15,000)	TAX-DEFERRED	I (None)	I (None)
	Roth VG Health Care Ind.		C ($1,001-$15,000)	TAX-DEFERRED	I (None)	I (None)
	Roth VG Energy Index		C ($1,001-$15,000)	TAX-DEFERRED	I (None)	I (None)
	Roth First Eagle Sogen. Over.		C ($1,001-$15,000)	TAX-DEFERRED	I (None)	I (None)
SP	IRA TD Money Market		D ($15,001-$50,000)	TAX-DEFERRED	I (None)	I (None)
	Roth TD Money MKt.		B ($1-$1,000)	TAX-DEFERRED	I (None)	I (None)

Use additional sheets if more space is required.

SCHEDULE C – EARNED INCOME

Name: William Mike Herrick

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling $200 or more during the reporting period. For both the filer and filer's spouse, list the source and amount of any honoraria. List only the source for other spouse earned income exceeding $1,000. See examples below.

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS and PROHIBITED INCOME: Be advised that the income limit and prohibited income may apply to you after you are on House payroll. The 2014 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was $26,955. The 2015 limit is $27,225. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited for Members and senior staff.

	Source (include date of receipt for honoraria)	Type	Amount: Current Year to Filing	Amount: Preceding Year
Examples:	ABC Trade Association, Baltimore, MD (July 15)	Honorarium	$0	$500
	State of Maryland	Salary	$20,000	$76,000
	Civil War Roundtable (Oct. 2)	Spouse Speech	$0	$1,000
	Ontario County Board of Education	Spouse Salary	N/A	N/A
	U.S. Government - Dept. of State	Salary	$12,918.88	$30,086.00

Use additional sheets if more space is required.

SCHEDULE D – LIABILITIES

Name: William Mike Derrick

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or your dependent child. **Mark the highest amount owed during the reporting period. New Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a ***revolving charge account*** (*i.e.*, credit card) only if the balance at the close of the reporting period exceeded $10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability A $10,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Over $1,000,000* (Spouse/DC Liability)
	Example First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE				X							
A	USAA Fed. Svgs. Bank	5/2015	Personal Car Loan		X									
JT	Bank of America	2/2005	Mortgage on Rental Prop, Col. Spgs, Co				X							
JT	Nationstar Mortgages	8/2006	Mort. on Rent. Prop. Co. Spgs, CO			X								
JT	Wells Fargo Bank	8/2005	"				X							
JT	Chase Bank	12/2006	"					X						

SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. **New Members and second-year candidates** report positions held in the reporting period and the current calendar year. **First-year candidates and new employees** report positions held in the current calendar year and two previous years.

Position	Name of Organization

Use additional sheets if more space is required.

SCHEDULE D – LIABILITIES

Name: William Mike Derrick | Page 7 of 8

Report liabilities of over $10,000 owed to any one creditor *at any time* during the reporting period by you, your spouse, or your dependent child. **Mark the highest amount owed during the reporting period. New Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a ***revolving charge account*** (*i.e.*, credit card) only if the balance at the close of the reporting period exceeded $10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability A $10,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Over $1,000,000* (Spouse/DC Liability)
	Example First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE				X							
JT	Seterus Mortgage	12/2006	Rental Prop in Co. Spgs, Colorado					X						
JT	Chase Bank	10/2006	"			X								

SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. **New Members and second-year candidates report positions held in the reporting period and the current calendar year.** ***First-year candidates and new employees report positions held in the current calendar year and two previous years.***

Position	Name of Organization

Use additional sheets if more space is required.

SCHEDULE F – AGREEMENTS

Name: William Mike Derrick

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
	- none -	

SCHEDULE J – COMPENSATION IN EXCESS OF $5,000 PAID BY ONE SOURCE

Report sources of compensation received by you or your business affiliation for services provided directly by you during the current year and <u>two</u> prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise if you directly provided the services generating a fee or payment of more than $5,000. **Exclude**: Payments by the U.S. government and any information considered confidential as a result of a privileged relationship recognized by law. **Do not repeat information listed on Schedule C.**

Source (Name and City/State)		Brief Description of Duties
Example:	Doe Jones & Smith, Hometown, Homestate	Accounting Services
	- none -	

Use additional sheets if more space is required.