Filing ID #10013254

Financial Disclosure Report

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Filer Information

Name: Hon. Eleanor Holmes Norton
Status: Member
State/District: DC00

Filing Information

Filing Type: Amendment Report
Filing Year: 2015
Filing Date: 06/27/2016

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Boston Capital Private Tax Credit Fund Description: Partnership K-1		$1 - $1,000	Interest	$1 - $200	☐
Congressional Federal Credit Union		$50,001 - $100,000	Interest	$1 - $200	☐
MERRILL LYNCH #182 ⇒ Bank Deposit Program		$1,001 - $15,000	None		☐
MERRILL LYNCH #182 ⇒ JPMorgan Large Cap (EIF)		$50,001 - $100,000	Capital Gains	$2,501 - $5,000	☑
MERRILL LYNCH #182 ⇒ Powershares Exchange Traded Fd TR II S&P500 (EIF)		$50,001 - $100,000	Dividends	$1,001 - $2,500	☑
MERRILL LYNCH #182 ⇒ WGL Holdings Inc (WGL)		None	Capital Gains, Dividends	$5,001 - $15,000	☑
MERRILL LYNCH #806 ⇒ Bank Deposit Program		$1,001 - $15,000	Interest	$1 - $200	☐
MERRILL LYNCH #806 ⇒		$250,001 -	Capital Gains,	$50,001 -	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Davis NY Venture Fund (EIF)		$500,000	Dividends	$100,000	
MERRILL LYNCH #806 ⇒ DC Income Bond 5.0% Dec01 24		$15,001 - $50,000	Interest	$201 - $1,000	☐
MERRILL LYNCH #806 ⇒ DC Rev NPR MAY13 4.0% APR01 2037		$50,001 - $100,000	Interest	None	☑
MERRILL LYNCH #806 ⇒ DC Ser A DEC13 5.0% JUN01 2030		$15,001 - $50,000	Interest	$201 - $1,000	☑
MERRILL LYNCH #806 ⇒ Du Page Cnty, IL FST Bond 6.25%CI 0.0%NOV01 15 DESCRIPTION: Bond Redeemed.		None	Interest	$2,501 - $5,000	☑
MERRILL LYNCH #806 ⇒ FPA US Value Fund (EIF) (Formerly FPA Perennial Fund (EIF))		$15,001 - $50,000	Capital Gains	$15,001 - $50,000	☑
MERRILL LYNCH #806 ⇒ Hartford Capital Appreciation Fund (EIF)		$50,001 - $100,000	Capital Gains, Dividends	$5,001 - $15,000	☑
MERRILL LYNCH #806 ⇒ Leander Tex Indpt Sch Bond 3.35%CI 0.0%AUG15 18		$50,001 - $100,000	Interest	$1,001 - $2,500	☐
MERRILL LYNCH #806 ⇒ Maryland St CDA Dept Hsg-Cmnty 4.0%SEP01 29		$15,001 - $50,000	Interest	$1,001 - $2,500	☐
MERRILL LYNCH #806 ⇒ Miami Beach FL Bond 4.375%SEP01 28		$15,001 - $50,000	Interest	$1,001 - $2,500	☐
MERRILL LYNCH #806 ⇒ Palatine IL Corp Purpose Bond 7.1%CI 0.0%JAN01 15 DESCRIPTION: Bond Redeemed		None	Interest	None	☑
MERRILL LYNCH #806 ⇒ Powershares Exchange Traded Fd TR II S&P 500(EIF)		$15,001 - $50,000	Dividends	$201 - $1,000	☐
MERRILL LYNCH #806 ⇒ Seminole Cnty FL Sch Brd Bond 4.0%JUL01 16		$50,001 - $100,000	Interest	$1,001 - $2,500	☐
MERRILL LYNCH #806 ⇒ Victor Vy CA UN HSD Bond 6.4%CI 0.0%SEP01 15 DESCRIPTION: Bond Redeemed		None	Interest	$1,001 - $2,500	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
MERRILL LYNCH IRA ⇒ Bank of America, NA RASP		$1,001 - $15,000	Tax-Deferred		☐
MERRILL LYNCH IRA ⇒ ALLIANZ ⇒ Fixed Period Account (MMF)		None	Tax-Deferred		☑
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ Baron Growth Portfolio Service Class (EIF)		$15,001 - $50,000	Tax-Deferred		☐
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ DFA World Equity Portfolio (EIF)		None	Tax-Deferred		☑
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ Global Resources (EIF)		None	Tax-Deferred		☑
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ Global Value Advantage Portfolio (EIF)		$50,001 - $100,000	Tax-Deferred		☑
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ Growth and Income Portfolio (EIF)		$15,001 - $50,000	Tax-Deferred		☐
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ Intermediate Bond Portfolio (EIF)		$50,001 - $100,000	Tax-Deferred		☐
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ International Index Portfolio (EIF)		$15,001 - $50,000	Tax-Deferred		☐
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ Large Cap Growth Portfolio (A) (EIF)		$15,001 - $50,000	Tax-Deferred		☐
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ Large Cap Growth Portfolio (S) (EIF)		$50,001 - $100,000	Tax-Deferred		☐
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ Retirement Growth (EIF)		$100,001 - $250,000	Tax-Deferred		☐
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING)		$15,001 - $50,000	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
⇒ Russell Mid Cap Growth (EIF)					
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ T.Rowe Price International Stock (EIF)		$15,001 - $50,000	Tax-Deferred		☐
Met Life Insurance Co Deferrred Annuities DESCRIPTION: IRA-Flexible Purchase Pension Annuity		$1,001 - $15,000	Tax-Deferred	Not Applicable	☐
MetLife, Inc. (MET)		$1,001 - $15,000	Dividends	$1 - $200	☐
ML JOHN HANCOCK ANNUITY ⇒ Deutsche Real Estate Securities (EIF)		$15,001 - $50,000	None		☐
ML JOHN HANCOCK ANNUITY ⇒ JHAM (Formerly John Hancock Asset Management) Lifestyle Moderate (EIF)		$15,001 - $50,000	None		☐
ML JOHN HANCOCK ANNUITY ⇒ JHAM (Formerly John Hancock Asset Mgmt) Total Stock Market Index (EIF)		$1,001 - $15,000	None		☐
ML JOHN HANCOCK ANNUITY ⇒ JHAM Fundamental Large Cap Value (EIF)		$1,001 - $15,000	None		☐
ML JOHN HANCOCK ANNUITY ⇒ PIMCO Total Return (EIF) DESCRIPTION: Exchanged for Wells Capital Management Core Bond (EIF).		None	None		☑
ML JOHN HANCOCK ANNUITY ⇒ Wells Capital Management Core Bond (EIF) DESCRIPTION: Exchanged for PIMCO Total Return (EIF).		$1,001 - $15,000	None		☑
Synchrony Bank (Formerly GE Capital Retail Bk)		$15,001 - $50,000	Interest	$201 - $1,000	☐
TIAA-CREF Retirement-TIAA Traditional (EIF)		$1,001 - $15,000	Tax-Deferred		☐

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
MERRILL LYNCH #182 ⇒ JPMorgan Large Cap (EIF)		03/26/2015	P	$15,001 - $50,000	
MERRILL LYNCH #182 ⇒ JPMorgan Large Cap (EIF)		12/15/2015	P	$1,001 - $15,000	
MERRILL LYNCH #182 ⇒ Powershares Exchange Traded Fd TR II S&P500 (EIF)		03/26/2015	P	$15,001 - $50,000	
MERRILL LYNCH #182 ⇒ WGL Holdings Inc (WGL)		03/23/2015	S	$15,001 - $50,000	☑
MERRILL LYNCH #806 ⇒ Davis NY Ventrue Fund (EIF)		07/6/2015	P	$15,001 - $50,000	
MERRILL LYNCH #806 ⇒ Davis NY Venture Fund (EIF)		12/14/2015	P	$15,001 - $50,000	
MERRILL LYNCH #806 ⇒ DC Rev Issues Prior to 5/20/10 NPR DESCRIPTION: Bond Purchase		09/28/2015	P	$50,001 - $100,000	
MERRILL LYNCH #806 ⇒ District Columbia Ser A 5% 6/1/2030		01/7/2015	P	$15,001 - $50,000	
MERRILL LYNCH #806 ⇒ DuPage Cnty ILL FST Presv Dist 6.25%CI LT May00 0.0% DESCRIPTION: Bond Redemption		11/1/2015	S	$50,001 - $100,000	☐
MERRILL LYNCH #806 ⇒ FPA US Value Fund (EIF) (Formerly FPA Perennial Fund (EIF))		12/29/2015	S (partial)	$15,001 - $50,000	☐
MERRILL LYNCH #806 ⇒ FPA US Value Fund (EIF) (Formerly FPA Perennial Fund (EIF))		10/5/2015	P	$15,001 - $50,000	
MERRILL LYNCH #806 ⇒ Hartford Capital Appreciation Fund (EIF)		12/15/2015	P	$1,001 - $15,000	
MERRILL LYNCH #806 ⇒ Palatine ILL 7/1% DEC89 0.0%Jan01 15 DESCRIPTION: Bond Redeemed		01/2/2015	S	$15,001 - $50,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
MERRILL LYNCH #806 ⇒ Victor VY CA UN HSD 1994 6.4%CI 9/1/15 DESCRIPTION: Bond Redemption		09/1/2015	S	$50,001 - $100,000	☐
MERRILL LYNCH IRA ⇒ ALLIANZ ⇒ Fixed Period Account (MMF)		03/23/2015	S	$15,001 - $50,000	☐
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ DFA World Equity Portfolio (EIF)		08/14/2015	S	$15,001 - $50,000	☑
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ Global Resources (EIF)		03/6/2015	S	$15,001 - $50,000	☑
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ Global Value Advantage Portfolio (EIF)		03/6/2015	P	$15,001 - $50,000	
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ Global Value Advantage Portfolio (EIF)		08/14/2015	P	$15,001 - $50,000	
ML JOHN HANCOCK ANNUITY ⇒ PIMCO Total Return (EIF) DESCRIPTION: PIMCO Total Return (EIF) was exchanged for Wells Capital Management Core Bond (EIF).		04/24/2015	E	$1,001 - $15,000	
ML JOHN HANCOCK ANNUITY ⇒ Wells Capital Management Core Bond (EIF) DESCRIPTION: Wells Capital Management Core Bond (EIF) was received in exchange for PIMCO Total Return (EIF).		04/24/2015	E	$1,001 - $15,000	

* Asset class details available at the bottom of this form.

Schedule C: Earned Income

Source	Type	Amount
Georgetown University Law Center	Approved Teaching Fee	$10,200.00
Pitney Bowes	Retirement Fee	$12,000.00
Merrill Lynch IRA	Required Minimum Distribution	$34,500.00
Metropolitan Life Insurance Co	Required Minimum Distribution	$725.00

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Mortgage Service Center	12/30/2002	Mortgage on Personal Residence	$15,001 - $50,000

Schedule E: Positions

Position	Name of Organization
Professor of Law	Georgetown University
Member	Council on Foreign Relations
Member	Citizens Commission for Civil Rights
Board of Trustees	Lawyers Committee for Civil Rights Under Law
Member of the Board	Washington Symphony
Chair	Women's Legal Advocates for Women
Advisory Board Member	Sewall-Belmont House
Advisory Board Member	Women & Politics Institute, American University
Advisory Board Member	The National Council of the Shakespeare Theatre Company

Schedule F: Agreements

Date	Parties To	Terms of Agreement
March 2015	Georgetown Law and Congresswoman Norton	Agreement to teach "Lawmaking and Statutory Interpretation Seminar" during the 2015-2016 academic year - pending. Compensation reported on Schedule C.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Progressive Congress	02/5/2015	02/7/2015	Washington, DC - Philadelphia, PA - Washington, DC	0	☑	☑	☐

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- MERRILL LYNCH #182
  Location: US
- MERRILL LYNCH #806
  Location: US
- MERRILL LYNCH IRA
- MERRILL LYNCH IRA ⇒ ALLIANZ
- MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING)
- ML JOHN HANCOCK ANNUITY
  Location: US

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

○ Yes ● No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ● No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ● No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Eleanor Holmes Norton , 06/27/2016