Filing ID #10010620

Financial Disclosure Report

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

Filer Information

Name: Hon. Hakeem S. Jeffries
Status: Member
State/District: NY08

Filing Information

Filing Type: Annual Report
Filing Year: 2015
Filing Date: 05/16/2016

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Bank of America Accounts	JT	$250,001 - $500,000	Interest	$1 - $200	
Bay Ridge Federal Credit Union Account	SP	$15,001 - $50,000	Interest	$1 - $200	
CBS 401(k) ⇒ BlackRock Life Path 2020 Fund		$1,001 - $15,000	Tax-Deferred	None	
CBS 401(k)		$1,001 - $15,000	Tax-Deferred	None	
CBS 401(k) ⇒ DFA US Small Cap Portfolio		$1,001 - $15,000	Tax-Deferred		
HSBC Accounts		$100,001 - $250,000	Interest	$1,001 - $2,500	
Lutheran Medical Center 401(k) ⇒ JHancock3 Disciplined Value 1		$1,001 - $15,000	Tax-Deferred	None	
Lutheran Medical Center 401(k)	SP	$15,001 - $50,000	Tax-Deferred	None	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Lutheran Medical Center 401(k) ⇒ PIMCO Total Ret. Instl.		$1,001 - $15,000	Tax-Deferred		☐
Lutheran Medical Center 401(k) ⇒ VALIC Fixed Interest Option		$15,001 - $50,000	Tax-Deferred		☐
New York State Pension		$15,001 - $50,000	None		☐
Northwestern Mutual Whole Life Insurance Policy		$15,001 - $50,000	None		☐
Northwestern Mutual Whole Life Insurance Policy	SP	$15,001 - $50,000	None		☐
NY 529 Direct Plan (Dependent Child 1) Moderate Age Based Option: Conservative Growth Portfolio Location: NY		$50,001 - $100,000	Tax-Deferred		☑
NY 529 Direct Plan (Dependent Child 2) Moderate Age Based Option: Conservative Growth Portfolio Location: NY		$50,001 - $100,000	Tax-Deferred		☑
Russell Lifepoint Balanced Strategy Fund Class C	JT	$1,001 - $15,000	None		☐
Transamerica Partners Custodial Traditional IRA Money Market Account	SP	$1,001 - $15,000	None		☐

* Asset class details available at the bottom of this form.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
NY 529 Direct Plan (Dependent Child 1) Moderate Age Based Option: Conservative Growth Portfolio Location: NY		09/8/2015	P	$50,001 - $100,000	
NY 529 Direct Plan (Dependent Child 2) Moderate Age Based Option: Conservative Growth Portfolio Location: NY		09/9/2015	P	$15,001 - $50,000	
NY 529 Direct Plan (Dependent Child 2) Moderate Age Based Option: Conservative Growth Portfolio		11/11/2015	P	$15,001 - $50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Location: NY					

Schedule C: Earned Income

Source	Type	Amount
Worksite Medical Service PC	Spouse salary	N/A
Godosky and Gentile, New York, NY	Contingency fee/Case settlements (4)	$1,651,124.06

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
	HSBC	July 2015	Primary Residence Mortgage	$250,001 - $500,000
	Comments: The mortgage for this property was originally incurred in January 2007 with HSBC for an amount in the same liability range set forth above, as reflected in my financial disclosure forms filed in previous years. The mortgage was refinanced with HSBC in July 2015. In connection with this refinance, the Bank of America Home Equity Line of Credit has been extinguished.			
	Bank of America	April 2007	Home Equity Line of Credit	$50,001 - $100,000

Schedule E: Positions

None disclosed.

Schedule F: Agreements

Date	Parties To	Terms of Agreement
December 2012	Godosky and Gentile/Hakeem S. Jeffries	Termination of Of Counsel Agreement with the firm and cessation of bi-weekly compensation. The departure agreement preserves a previously earned contingency fee interest in ten enumerated litigation matters to the extent any case is resolved favorably in the future, absent further legal involvement from Mr. Jeffries. All cases pursuant to the departure agreement have been resolved favorably or dismissed effective July 31, 2015.
January 2007	New York State Common Retirement Fund/ Hakeem S. Jeffries	Continued participation in a New York State pension plan.
January 2004	CBS/Hakeem S. Jeffries	Continued participation in a 401(k) plan.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

None disclosed.

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- CBS 401(k)
- Lutheran Medical Center 401(k)

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

○ Yes ● No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ● No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ● No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Hakeem S. Jeffries , 05/16/2016