Filing ID #10010308

# Financial Disclosure Report

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## Filer Information

**Name:** Hon. Edward R. Royce
**Status:** Member
**State/District:** CA39

## Filing Information

**Filing Type:** Annual Report
**Filing Year:** 2015
**Filing Date:** 05/16/2016

## Schedule A: Assets and "Unearned" Income

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > $1,000? |
|---|---|---|---|---|---|
| Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - Bond Fund<br>Description: Spouse 401K | SP | $15,001 - $50,000 | None | | |
| Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - Equity Index Fund<br>Description: Spouse 401K | SP | $50,001 - $100,000 | None | | |
| Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - International Equity<br>Description: Spouse 401K | SP | $15,001 - $50,000 | None | | |
| Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - International Equity Index<br>Description: Spouse 401K | SP | $50,001 - $100,000 | None | | |
| Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - US Large Cap Growth Equity<br>Description: Spouse 401K | SP | $50,001 - $100,000 | None | | |
| Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - US Small Cap Equity Fund | SP | $50,001 - $100,000 | None | | ✓ |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > $1,000? |
|---|---|---|---|---|---|
| Description: Spouse 401K | | | | | |
| Congressional Federal Credit Union<br>Description: Checking and Savings Accounts | JT | $15,001 - $50,000 | None | | |
| Fidelity Rollover IRA ⇒<br>Fidelity Advisor Global Fund<br>Description: Spouse IRA | SP | $1,001 - $15,000 | None | | |
| Fidelity Rollover IRA ⇒<br>Fidelity Advisor High Income Advantage Fund<br>Description: Spouse IRA | SP | $1,001 - $15,000 | None | | |
| Fidelity Rollover IRA ⇒<br>Fidelity Advisor Large Cap Fund<br>Description: Spouse IRA | SP | $1,001 - $15,000 | None | | |
| Fidelity Rollover IRA ⇒<br>Fidelity Advisor Midcap Fund<br>Description: Spouse IRA | SP | $15,001 - $50,000 | None | | |
| Fidelity Rollover IRA ⇒<br>Fidelity Advisor Real Estate Income Fund<br>Description: Spouse IRA | SP | $1,001 - $15,000 | None | | |
| Fidelity Rollover IRA ⇒<br>Fidelity Advisor Small Cap Fund<br>Description: Spouse IRA | SP | $15,001 - $50,000 | None | | |
| Fidelity Rollover IRA ⇒<br>Fidelity Advisor Stock SELECTOR Fund<br>Description: Spouse IRA | SP | $15,001 - $50,000 | None | | |
| Fidelity Rollover IRA ⇒<br>Fidelity Advisor Value Strategy Fund<br>Description: Spouse IRA | SP | $15,001 - $50,000 | None | | |
| Fidelity Rollover IRA ⇒<br>Fidelity Government Money Market<br>Description: Spouse IRA | SP | $1 - $1,000 | None | | |
| Fidelity Rollover IRA ⇒<br>Fidelity Midcap Value Fund<br>Description: Spouse IRA | SP | $1,001 - $15,000 | None | | |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > $1,000? |
|---|---|---|---|---|---|
| Fidelity Rollover IRA ⇒<br>Fidliety Advisor Europe Capital Enterprises Fund<br>Description: Spouse IRA | SP | $1,001 - $15,000 | None | | ☐ |
| Morgan Stanley Mutual Fund- Unit Advisors High 50 Dividend Strategy<br>Description: Investment Trust | | $50,001 - $100,000 | Dividends | $2,501 - $5,000 | ☑ |

* Asset class details available at the bottom of this form.

## Schedule B: Transactions

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > $200? |
|---|---|---|---|---|---|
| Alcatel-Lucent Savings Plan ⇒<br>Fidelity Mutual Fund - US Small Cap Equity Fund<br>Description: Spouse 401K | SP | 03/3/2015 | P | $15,001 - $50,000 | |
| Morgan Stanley Mutual Fund - Unit Advisors High 50 Dividend Strategy<br>Location: US<br>Description: Investment Trust | | 04/13/2015 | P | $50,001 - $100,000 | |

* Asset class details available at the bottom of this form.

## Schedule C: Earned Income

| Source | Type | Amount |
|---|---|---|
| Alcatel-Lucent | Spouse Salary | N/A |
| Sperry Fiola Real Estate Services | Spouse Salary | N/A |

## Schedule D: Liabilities

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|---|---|---|---|---|
| JT | Congressional Federal Credit Union | April 2009 | Mortgage on residence in Fullerton, CA | $500,001 - $1,000,000 |
| JT | Congressional Federal Credit Union | April 2009 | Mortgage on residence in Alexandria, VA | $250,001 - $500,000 |
| | Congressional Federal Credit Union | March 2014 | HELOC on personal residence | $100,001 - $250,000 |

## Schedule E: Positions

None disclosed.

## Schedule F: Agreements

None disclosed.

## Schedule G: Gifts

None disclosed.

## Schedule H: Travel Payments and Reimbursements

None disclosed.

## Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

## Schedule A and B Asset Class Details

- Alcatel-Lucent Savings Plan (Owner: SP)
  Description: Spouse 401K
- Fidelity Rollover IRA (Owner: SP)
  Description: Spouse IRA

## Exclusions of Spouse, Dependent, or Trust Information

**IPO**: Did you purchase any shares that were allocated as a part of an Initial Public Offering?
○ Yes ◉ No

**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
○ Yes ◉ No

**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?
○ Yes ◉ No

## Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Edward R. Royce , 05/16/2016