Filing ID #10010304

Financial Disclosure Report

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Filer Information

Name: Hon. Sean P. Duffy
Status: Member
State/District: WI07

Filing Information

Filing Type: Annual Report
Filing Year: 2015
Filing Date: 08/29/2016

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Checking Account - Wells Fargo		$1,001 - $15,000	Interest	$1 - $200	☐
First Allied IRA Account ⇒ The Oakmark Equity and Income Fund Class 1		$15,001 - $50,000	Tax-Deferred		☑
Savings Account - Wells Fargo		$15,001 - $50,000	Interest	$1 - $200	☐

* Asset class details available at the bottom of this form.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
First Allied IRA Account ⇒ The Oakmark Equity and Income Fund Class 1		10/29/2015	P	$15,001 - $50,000	
Description: Purchase The Oakmark Equity and Income Fund Class 1 with rollover proceeds.					
Wisconsin Deferred Compensation Program ⇒ Vanguard Target Retirement 2035 Inv		10/29/2015	S	$15,001 - $50,000	☐
Description: Sold equity funds inside Wisconsin Deferred Compensation Program and rolled over to new IRA with First Allied.					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?

* Asset class details available at the bottom of this form.

Schedule C: Earned Income

Source	Type	Amount
Thr Libra Initiative Trust	Spouse - Speaking and Representation Fees	$136,222.00
Pontifex Group	Spouse - Speaking Fees	$1,000.00
Young America's Foundation	Spouse - Speaking Fees	$2,000.00
Colorado Christian University	Spouse - Speaking Fees	$3,000.00
Turning Point USA	Spouse - Speaking Fees	$4,000.00

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Johnson Bank	2014	Personal loan to cover expenses	$15,001 - $50,000
	River Valley Bank	August 2013	Home Mortgage	$250,001 - $500,000
	Connexus Credit Union	2014	2nd Mortage	$50,001 - $100,000
	Navient Solutions Inc	1990	Student loan	$50,001 - $100,000

Schedule E: Positions

None disclosed.

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

None disclosed.

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- First Allied IRA Account
  Description: IRA account held at First Allied
- Wisconsin Deferred Compensation Program

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

( ) Yes (•) No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

( ) Yes (•) No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

( ) Yes (•) No

Certification and Signature

[x] I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Sean P. Duffy , 08/29/2016