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Financial Disclosure Report

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Filer Information

Name: Hon. Peter J. Roskam
Status: Member
State/District: IL06

Filing Information

Filing Type: Annual Report
Filing Year: 2015
Filing Date: 05/16/2016

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
529 - A ⇒ COLLEGE 2015 FUNDS - 529A (CFFAX)		None	Tax-Deferred		☑
529 - A ⇒ COLLEGE ENROLLMENT FUND - 529A (CENAX)		$50,001 - $100,000	Tax-Deferred		☑
529 - F ⇒ COLLEGE ENROLLMENT FUND - 529A (CENAX)		$15,001 - $50,000	Tax-Deferred		☑
529 - G ⇒ COLLEGE ENROLLMENT FUND - 529A (CENAX)		$50,001 - $100,000	Tax-Deferred		☐
529 - S ⇒ COLLEGE ENROLLMENT FUND - 529A (CENAX)		$15,001 - $50,000	Tax-Deferred		☑
ER - TR ⇒ CHARLES SCHWAB DEPOSIT ACCOUNT	SP	$1,001 - $15,000	Interest	None	☐
ER - TR ⇒ FlexShares Global Upstream Natural Resources Index Fund ETF (GUNR)	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
ER - TR ⇒ FlexShares iBoxx 3 Year Target Duration TIPS Index Fund (TDTT)	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
ER - TR ⇒ Guggenheim Timber ETF (CUT)	SP	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	☐
ER - TR ⇒ iShares 1-3 Year Treasury Bond ETF (SHY)	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
ER - TR ⇒ iShares 3-7 Year Treasury Bond ETF (IEI)	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
ER - TR ⇒ iShares Core S&P Mid-Cap ETF (IJH)	SP	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	☐
ER - TR ⇒ iShares Core S&P Small-Cap ETF (IJR)	SP	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	☐
ER - TR ⇒ iShares iBoxx $ High Yield Corporate Bond ETF (HYG)	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☐
ER - TR ⇒ iShares iBoxx $ Investment Grade Corporate Bond ETF (LQD)	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☐
ER - TR ⇒ iShares S&P 500 Growth ETF (IVW)	SP	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☑
ER - TR ⇒ iShares S&P 500 Value ETF (IVE)	SP	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☐
ER - TR ⇒ PowerShares Exchange-Traded Fund Trust ETF (BKLN)	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
ER - TR ⇒ SPDR Barclays Short Term Corporate Bond ETF (SCPB)	SP	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	☐
ER - TR ⇒ SPDR DJ Wilshire Intl Real Estate (RWX)	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
ER - TR ⇒ SPDR DJ Wilshire REIT ETF (RWR)	SP	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	☐
ER - TR ⇒	SP	$1,001 - $15,000	Dividends	$201 -	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Vanguard FTSE All World Ex US ETF (VEU)				$1,000	
ER - TR ⇒ Vanguard FTSE All-Wld ex-US SmCp Idx ETF (VSS)	SP	$1,001 - $15,000	Dividends	$1 - $200	
ER - TR ⇒ Vanguard FTSE Emerging Markets ETF (VWO)	SP	$1,001 - $15,000	Dividends	$1 - $200	
ER - TR ⇒ Vanguard Total International Bond ETF (BNDX)	SP	$1,001 - $15,000	Dividends	$1 - $200	
ER - TR ⇒ WisdomTree Emerging Markets Local Debt Fund (ELD)	SP	$1,001 - $15,000	Dividends	$1 - $200	✓
IRA ⇒ CHARLES SCHWAB DEPOSIT ACCOUNT		$1,001 - $15,000	Tax-Deferred	None	
IRA ⇒ FlexShares Global Upstream Natural Resources Index Fund ETF (GUNR)		$1,001 - $15,000	Tax-Deferred		✓
IRA ⇒ FlexShares iBoxx 3 Year Target Duration TIPS Index Fund (TDTT)		$15,001 - $50,000	Tax-Deferred		✓
IRA ⇒ Guggenheim Timber ETF (CUT)		$1,001 - $15,000	Tax-Deferred	None	
IRA ⇒ iShares 1-3 Year Treasury Bond ETF (SHY)		$1,001 - $15,000	Tax-Deferred		✓
IRA ⇒ iShares 3-7 Year Treasury Bond ETF (IEI)		$1,001 - $15,000	Tax-Deferred		✓
IRA ⇒ iShares Core S&P Mid-Cap ETF (IJH)		$15,001 - $50,000	Tax-Deferred		✓
IRA ⇒ iShares Core S&P Small-Cap ETF (IJR)		$15,001 - $50,000	Tax-Deferred		✓
IRA ⇒ iShares iBoxx $ High Yield Corporate Bond ETF (HYG)		$1,001 - $15,000	Tax-Deferred	None	
IRA ⇒ iShares iBoxx $ Investment Grade Corporate Bond ETF		$15,001 - $50,000	Tax-Deferred	None	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
(LQD)					
IRA ⇒ iShares S&P 500 Growth ETF (IVW)		$15,001 - $50,000	Tax-Deferred		☑
IRA ⇒ iShares S&P 500 Value ETF (IVE)		$15,001 - $50,000	Tax-Deferred		☐
IRA ⇒ PowerShares Exchange-Traded Fund Trust ETF (BKLN)		$1,001 - $15,000	Tax-Deferred	None	☐
IRA ⇒ SPDR Barclays Short Term Corporate Bond ETF (SCPB)		$1,001 - $15,000	Tax-Deferred		☐
IRA ⇒ SPDR DJ Wilshire Intl Real Estate (RWX)		$1,001 - $15,000	Tax-Deferred	None	☐
IRA ⇒ SPDR DJ Wilshire REIT ETF (RWR)		$1,001 - $15,000	Tax-Deferred	None	☐
IRA ⇒ Vanguard FTSE All World Ex US ETF (VEU)		$15,001 - $50,000	Tax-Deferred		☑
IRA ⇒ Vanguard FTSE All-Wld ex-US SmCp Idx ETF (VSS)		$1,001 - $15,000	Tax-Deferred	None	☐
IRA ⇒ Vanguard FTSE Emerging Markets ETF (VWO)		$1,001 - $15,000	Tax-Deferred		☑
IRA ⇒ WisdomTree Emerging Markets Local Debt Fund (ELD)		$1,001 - $15,000	Tax-Deferred		☑
Other Accounts ⇒ Community Bank - Wheaton/Glen Ellyn		$50,001 - $100,000	Interest	$1 - $200	☐
Other Accounts ⇒ ILLINOIS GENERAL ASSEMBLY RETIREMENT SYSTEM		Undetermined	None		☐
Other Accounts ⇒ MONY Flexible Premium Adjustable Life Policy COMMENTS: This policy was converted to a term policy in 2015.		None	None		☐

* Asset class details available at the bottom of this form.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
529 - A ⇒ COLLEGE 2015 FUND - 529A (CFFAX)		06/26/2015	S	$100,001 - $250,000	☐
Description: COLLEGE ENROLLMENT FUND - 529A (CENAX) MERGED WITH COLLEGE 2015 FUND - 529A (CFFAX)					
529 - A ⇒ COLLEGE ENROLLMENT FUND - 529A (CENAX)		11/27/2015	S (partial)	$15,001 - $50,000	☑
529 - A ⇒ COLLEGE ENROLLMENT FUND - 529A (CENAX)		08/11/2015	S (partial)	$15,001 - $50,000	☑
529 - A ⇒ COLLEGE ENROLLMENT FUND - 529A (CENAX)		06/26/2015	P	$100,001 - $250,000	
Description: COLLEGE ENROLLMENT FUND - 529A (CENAX) MERGED WITH COLLEGE 2015 FUND - 529A (CFFAX)					
529 - F ⇒ COLLEGE ENROLLMENT FUND - 529A (CENAX)		08/11/2015	S (partial)	$1,001 - $15,000	☑
529 - F ⇒ COLLEGE ENROLLMENT FUND - 529A (CENAX)		02/9/2015	S (partial)	$1,001 - $15,000	☑
529 - S ⇒ COLLEGE ENROLLMENT FUND - 529A (CENAX)		12/22/2015	S (partial)	$1,001 - $15,000	☑
529 - S ⇒ COLLEGE ENROLLMENT FUND - 529A (CENAX)		11/27/2015	S (partial)	$1,001 - $15,000	☑
529 - S ⇒ COLLEGE ENROLLMENT FUND - 529A (CENAX)		08/11/2015	S (partial)	$15,001 - $50,000	☑
529 - S ⇒ COLLEGE ENROLLMENT FUND - 529A (CENAX)		01/26/2015	S (partial)	$1,001 - $15,000	☑
ER - TR ⇒ FlexShares Global Upstream Natural Resources Index Fund ETF (GUNR)	SP	11/11/2015	P	$1,001 - $15,000	
ER - TR ⇒ FlexShares iBoxx 3 Year Target Duration TIPS Index Fund (TDTT)	SP	11/11/2015	S (partial)	$1,001 - $15,000	☐
ER - TR ⇒ iShares 1-3 Year Treasury Bond ETF (SHY)	SP	11/11/2015	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
ER - TR ⇒ iShares 3-7 Year Treasury Bond ETF (IEI)	SP	11/11/2015	P	$1,001 - $15,000	
ER - TR ⇒ iShares S&P 500 Growth ETF (IVW)	SP	11/11/2015	S (partial)	$1,001 - $15,000	☐
ER - TR ⇒ WisdomTree Emerging Markets Local Debt Fund (ELD)	SP	11/11/2015	P	$1,001 - $15,000	
IRA ⇒ FlexShares Global Upstream Natural Resources Index Fund ETF (GUNR)		11/11/2015	P	$1,001 - $15,000	
IRA ⇒ FlexShares iBoxx 3 Year Target Duration TIPS Index Fund (TDTT)		11/15/2015	S (partial)	$1,001 - $15,000	☐
IRA ⇒ iShares 1-3 Year Treasury Bond ETF (SHY)		11/11/2015	P	$1,001 - $15,000	
IRA ⇒ iShares 3-7 Year Treasury Bond ETF (IEI)		11/11/2015	P	$1,001 - $15,000	
IRA ⇒ iShares Core S&P Mid-Cap ETF (IJH)		11/11/2015	S (partial)	$1,001 - $15,000	☑
IRA ⇒ iShares Core S&P Small-Cap ETF (IJR)		11/11/2015	S (partial)	$1,001 - $15,000	☑
IRA ⇒ iShares S&P 500 Growth ETF (IVW)		11/11/2015	S (partial)	$1,001 - $15,000	☑
IRA ⇒ Vanguard FTSE All World Ex US ETF (VEU)		11/11/2015	P	$1,001 - $15,000	
IRA ⇒ Vanguard FTSE Emerging Markets ETF (VWO)		11/11/2015	P	$1,001 - $15,000	
IRA ⇒ WisdomTree Emerging Markets Local Debt Fund (ELD)		11/11/2015	P	$1,001 - $15,000	

* Asset class details available at the bottom of this form.

Schedule C: Earned Income

Source	Type	Amount
Elizabeth Roskam - Self Employed	SPOUSE SALARY	N/A

Schedule D: Liabilities

None disclosed.

Schedule E: Positions

Position	Name of Organization
Member of Board of Directors	National Endowment for Democracy

Schedule F: Agreements

Date	Parties To	Terms of Agreement
January 1993	Me - Illinois General Assembly Retirement System	I am a participant in the General Assembly defined benefit plan (not yet receiving benefits).

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

Trip Details					Inclusions		
Source	**Start Date**	**End Date**	**Itinerary**	**Days at Own Exp.**	**Lodging?**	**Food?**	**Family?**
THE INFORMATION TECHNOLOGY & INNOVATION FOUNDATION	02/19/2015	02/22/2015	CHICAGO, IL - FT. LAUDERDALE, FL - N/A	0	☑	☑	☐
COMMENTS: RETURN TRAVEL NOT PURCHASED BY SPONSORING ORGANIZATION							
AMERICAN ENTERPRISE INSITUTE	03/6/2015	03/8/2015	WASHINGTON, DC - SEA ISLAND, GA - CHICAGO, IL	0	☑	☑	☑
THE ASPEN INSTITUTE	03/28/2105	04/6/2015	CHICAGO, IL - BERLIN, GERMANY - CHICAGO, IL	3	☑	☑	☑
JEWISH UNITED FUND OF METROPOLITAN CHICAGO	08/14/2015	08/22/2015	CHICAGO, IL - KRAKOW, POLAND - WARSAW, POLAND - JERUSALEM, ISRAEL - CHICAGO, IL	0	☑	☑	☐

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- 529 - A
  Location: US
- 529 - F
  Location: US
- 529 - G
  Location: US
- 529 - S
  Location: US
- ER - TR (Owner: SP)
- IRA
- Other Accounts
  Location: US

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ◉ No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Peter J. Roskam , 05/16/2016