Filing ID #10009815

Financial Disclosure Report

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Filer Information

Name: Mr. David Mr Gurfein
Status: Congressional Candidate
State/District: NY04

Filing Information

Filing Type: Amendment Report
Filing Year: 2015
Filing Date: 04/8/2016
Period Covered: 01/01/2015– 02/29/2016

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Bank of America Checking	SP	$1,001 - $15,000	None		
Bank of America Savings	SP	$15,001 - $50,000	Interest	$1 - $200	$1 - $200
E*Trade Brokerage ⇒ Insurance Sweep Deposit Account Description: Cash held in brokerage account	SP	$1,001 - $15,000	None		
E*Trade Brokerage ⇒ Robert Half International Inc. (RHI)	SP	$15,001 - $50,000	Dividends	$201 - $1,000	$201 - $1,000
Fidelity 401k ⇒ Fidelity FID Diversifd Intl	SP	$15,001 - $50,000	Tax-Deferred		
Fidelity 401k ⇒ Fidelity FID Growth Co K	SP	$100,001 - $250,000	Tax-Deferred		
Fidelity 401k ⇒ Fidelity NB Genesis - TR CL	SP	$50,001 - $100,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Fidelity 401k ⇒ Fidelity Sptn 500 Index Inst	SP	$50,001 - $100,000	Tax-Deferred		
Fidelity Brokerage Self ⇒ Fidelity Growth & Income		$1,001 - $15,000	Dividends	$1 - $200	$201 - $1,000
Fidelity IRA ⇒ Fidelity Government Cash Reserves		$1,001 - $15,000	Tax-Deferred		
Fidelity Rollover IRA ⇒ Fidelity Contrafund	SP	$15,001 - $50,000	Tax-Deferred		
Fidelity Rollover IRA ⇒ Fidelity Fifty	SP	None	Tax-Deferred		
Fidelity Rollover IRA ⇒ Fidelity Focused Stock Fund	SP	$1,001 - $15,000	Tax-Deferred		
Fidelity Rollover IRA ⇒ Fidelity Freedom 2030	SP	$15,001 - $50,000	Tax-Deferred		
Fidelity Rollover IRA ⇒ Fidelity OTC Port	SP	$15,001 - $50,000	Tax-Deferred		
Fidelity Rollover IRA ⇒ Fidelity Spartan 500 Index FD Advantage Class	SP	$1,001 - $15,000	Tax-Deferred		
Fidelity Rollover IRA ⇒ Fidelity Value	SP	$15,001 - $50,000	Tax-Deferred		
Fidelity Rollover IRA ⇒ Templeton Instl Frgn Equity Primary	SP	$1,001 - $15,000	Tax-Deferred		
Fidelity Roth IRA ⇒ Fidelity Growth Company	SP	$15,001 - $50,000	Tax-Deferred		
Health and Wholeness LLC LOCATION: Arlington, VA, US DESCRIPTION: Ownership of equity in LLC; trade name "True Health and Wholeness"		$500,001 - $1,000,000	None		
Merrill Lynch IRA ⇒ Dreyfus Appreciation FD		$1 - $1,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Merrill Lynch IRA ⇒ Eaton Vance Floating		$1 - $1,000	Tax-Deferred		
Merrill Lynch IRA ⇒ Mainstay Large Cap		$1 - $1,000	Tax-Deferred		
Merrill Lynch IRA ⇒ MFS Value FD CL 1		$1 - $1,000	Tax-Deferred		
Merrill Lynch IRA ⇒ Oppenheimer Internatl		$1 - $1,000	Tax-Deferred		
Merrill Lynch IRA ⇒ Pioneer Strategic		$1 - $1,000	Tax-Deferred		
Merrill Lynch IRA ⇒ PNC Small Cap FD I		$1 - $1,000	Tax-Deferred		
Merrill Lynch IRA ⇒ Prudential Short Term		$1 - $1,000	Tax-Deferred		
Merrill Lynch IRA ⇒ TCW Total Return		$1 - $1,000	Tax-Deferred		
Merrill Lynch IRA ⇒ The Oakmark Select Fund		$1 - $1,000	Tax-Deferred		
Merrill Lynch IRA ⇒ Western Asset Core Plus		$1,001 - $15,000	Tax-Deferred		
TD Ameritrade Brokerage ⇒ Adobe Systems Incorporated (ADBE)		$1 - $1,000	Capital Gains	None	$1,001 - $2,500
TD Ameritrade Brokerage ⇒ Baidu, Inc. - American Depositary Shares, each representing one tenth Class A ordinary share (BIDU)		$1,001 - $15,000	None		
TD Ameritrade Brokerage ⇒ Exxon Mobil Corporation (XOM)		$1 - $1,000	Capital Gains, Dividends	$1 - $200	$5,001 - $15,000
TD Ameritrade Brokerage ⇒ FDIC Insured Deposit Account		$1 - $1,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
TD Ameritrade Brokerage ⇒ Goldman Sachs Group, Inc. (GS)		$1 - $1,000	Capital Gains, Dividends	$1 - $200	$1,001 - $2,500
TD Ameritrade Brokerage ⇒ Las Vegas Sands Corp. (LVS)		$1 - $1,000	Dividends	$1 - $200	$1 - $200
USAA Checking		$1,001 - $15,000	None		
USAA IRA ⇒ AOL Inc. AOL Inc. (AOL)		None	Tax-Deferred		
USAA IRA ⇒ General Electric Company (GE)		$1,001 - $15,000	Tax-Deferred		
USAA IRA ⇒ International Business Machines Corporation (IBM)		$1 - $1,000	Tax-Deferred		
USAA IRA ⇒ Microsoft Corporation (MSFT)		$1 - $1,000	Tax-Deferred		
USAA IRA ⇒ USAA Tax Exempt Money Market		$1,001 - $15,000	Tax-Deferred		
USAA Money Market		$15,001 - $50,000	Interest	$1 - $200	$1 - $200
USAA Savings		$15,001 - $50,000	Interest	$1 - $200	$1 - $200
USAA Savings 2		$1,001 - $15,000	Interest	$1 - $200	$1 - $200

* Asset class details available at the bottom of this form.

Schedule C: Earned Income

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Protiviti	Spouse salary	N/A	N/A
Booz Allen Hamilton	Training fees	$14,000	N/A
InTitan	Salary	$40,000	N/A
GEP Cenex, LLC	Fees	$273	N/A

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Self-Employed	Consulting Fees	N/A	$6,000

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Mastercard (American Airlines)	2015-16	Credit card debt	$10,000 - $15,000
	Comments: Mostly reimbursable personal campaign expenses			
	Visa (American Airlines)	2015-16	Credit card debt	$15,001 - $50,000
	Comments: Mostly reimbursable personal campaign expenses			
SP	Wells Fargo	2005-06	Home equity loan, prior residence	$50,001 - $100,000
SP	Wells Fargo	2005-06	Home equity loan 2, prior residence	$100,001 - $250,000
	Black & LoBello	2010-12	Legal fees	$15,001 - $50,000

Schedule E: Positions

Position	Name of Organization
Director	SeaMax America, LLC
Officer/Proprietor	Health and Wholeness LLC

Schedule F: Agreements

None disclosed.

Schedule J: Compensation in Excess of $5,000 Paid by One Source

Source (Name and Address)	Brief Description of Duties
Greg Wangler (Alexandria, VA, US)	Consulting Services

Schedule A Asset Class Details

- E*Trade Brokerage (Owner: SP)
  Location: US
- Fidelity 401k (Owner: SP)
- Fidelity Brokerage Self

LOCATION: US

- Fidelity IRA
- Fidelity Rollover IRA (Owner: SP)
- Fidelity Roth IRA (Owner: SP)
- Merrill Lynch IRA
- TD Ameritrade Brokerage
  LOCATION: US
- USAA IRA

Exclusions of Spouse, Dependent, or Trust Information

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ◉ No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Mr. David Mr Gurfein , 04/8/2016