UNITED STATES HOUSE OF REPRESENTATIVES
2014 FINANCIAL DISCLOSURE STATEMENT

Form A
For Use by Members, Officers, and Employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2015 JUL 15 PM 4:38
OFFICE OF U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

MC

Name: DAVID PHILLIP ROE **Daytime Telephone:**

FILER STATUS	[X] Member of or Candidate for U.S. House of Representatives — State: TN District: 01	[ ] Officer or Employee — Employing Office:
REPORT TYPE	[X] 2014 Annual (Due: May 15, 2015)	[ ] Amendment / [ ] Termination — Date of Termination:

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

	Yes	No
A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than $1,000 at the end of the reporting period? or b. Make more than $200 in unearned income from any reportable asset during the reporting period?	X	
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding $1,000 during the reporting period?	X	
C. Did you or your spouse have "earned" income (*e.g.*, salaries, honoraria, or pension/IRA distributions) of $200 or more during the reporting period?		X
D. Did you, your spouse, or your dependent child have any reportable liability (more than $10,000) at any point during the reporting period?	X	
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?		X
F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?		X
G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than $375 in value from a single source during the reporting period?		X
H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than $375 in value from a single source during the reporting period?	X	
I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?		X

ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

	Yes	No
IPO – Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.		X
TRUSTS – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or your dependent child?		X
EXEMPTION – Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.		X

SCHEDULE A – ASSETS & "UNEARNED INCOME"

Name: DAVID PHILLIP ROE

BLOCK A

Asset and/or Income Source

Identify (a) each asset held for investment or production of income and with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or source of income that generated more than $200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use only ticker symbols).

For **all IRAs** and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.

For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over $5,000, list every financial institution where there is more than $1,000 in interest-bearing accounts.

For rental and other real property held for investment, provide a complete address or description, *e.g.*, "rental property," and a city and state.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (*unless* there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.

If you have a privately-traded fund that is an Excepted Investment Fund, please check the "EIF" box.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left.

For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.

BLOCK B

Value of Asset

Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting period and is included only because it generated income, the value should be "None."

*Column M is for assets held by your spouse or dependent child in which you have no interest.

BLOCK C

Type of Income

Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the "Tax-Deferred" column. **Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts.** Check "None" if the asset generated no income during the reporting period.

BLOCK D

Amount of Income

For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets indicate the category of income by checking the appropriate box below. **Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts.** Check "None" if no income was earned or generated.

*Column XII is for assets held by your spouse or dependent child in which you have no interest.

BLOCK E

Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in the reporting period.

If only a portion of an asset was sold, please indicate as follows: (S (part)).

Leave this column blank if there are no transactions that exceeded $1,000.

Block B – Value of Asset

SP, DC, JT	Asset and/or Income Source	EIF	A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	M Spouse/DC Asset over $1,000,000*
Examples: SP	Mega Corp. Stock						x								
Examples:	Simon & Schuster				Indefinite										
Examples:	ABC Hedge Fund	x							x						
JT	BANK OF TENNESSEE					X									
JT	PEOPLES COMMUNITY BANK					X									
	CONDO - FRISCO, CO								X						

Block C – Type of Income

Asset and/or Income Source	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: *e.g.*, Partnership Income or Farm Income)
Mega Corp. Stock		x						
Simon & Schuster								Royalties
ABC Hedge Fund								Partnership Income
BANK OF TENNESSEE								
PEOPLES COMMUNITY BANK								
CONDO - FRISCO, CO			X					

Block D – Amount of Income; Block E – Transaction

Asset and/or Income Source	I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	XII Spouse/DC Asset with Income over $1,000,000*	P, S, S(part), or E
Mega Corp. Stock				x									S(part)
Simon & Schuster													
ABC Hedge Fund													
BANK OF TENNESSEE													
PEOPLES COMMUNITY BANK													
CONDO - FRISCO, CO							X						

Use additional sheets if more space is required.

SCHEDULE A – ASSETS & "UNEARNED INCOME"

Name: DAVID PHILLIP ROE

BLOCK A Asset and/or Income Source			BLOCK B Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income												BLOCK E Transaction
SP, DC, JT	ASSET NAME	EIF	A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	M Spouse/DC Asset over $1,000,000*	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	XII Spouse/DC Asset with Income over $1,000,000*	P, S, S(part), or E
	MERRIL LYNCH BEYOND					X													X											X						
	BANKING																																			
	FIDELITY INVESTMENT								X													X							X							SEE
	RETIREMENT ACCOUNT																																			ATTACHED
																																				SCHEDULE
																																				#1
SP	ML PAM'S IRA								X													X		X												SEE
																																				ATTACHED
																																				SCHEDULE
																																				#2
	ML TRUST								X								X		X	X												X				SEE
																																				ATTACHED
																																				SCHEDULE
																																				#3

Use additional sheets if more space is required.

SCHEDULE A – ASSETS & "UNEARNED INCOME"

Name: DAVID PHILLIP ROE

BLOCK A – Asset and/or Income Source; **BLOCK B** – Value of Asset

SP, DC, JT	ASSET NAME	EIF	A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	M Spouse/DC Asset over $1,000,000*
	ML ALT INVESTMENTS								X						
	ML PIA										X				
	MERRILL LYNCH IRA					X									

BLOCK C – Type of Income

ASSET NAME	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)
ML ALT INVESTMENTS		X		X	X			
ML PIA		X		X	X			
MERRILL LYNCH IRA							X	

BLOCK D – Amount of Income

ASSET NAME	I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	XII Spouse/DC Asset with Income over $1,000,000*
ML ALT INVESTMENTS									X			
ML PIA									X			
MERRILL LYNCH IRA	X											

BLOCK E – Transaction

ASSET NAME	P, S, S(part), or E
ML ALT INVESTMENTS	SEE ATTACHED SCHEDULE #4
ML PIA	SEE ATTACHED SCHEDULE #5
MERRILL LYNCH IRA	SEE ATTACHED SCHEDULE #6

Use additional sheets if more space is required.

SCHEDULE B – TRANSACTIONS

Name: DAVID PHILLIP ROE

Report any purchase, sale, or exchange transactions that exceeded $1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of $200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction: Purchase	Sale	Partial Sale	Exchange	Check Box if Capital Gain Exceeded $200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: A $1,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Over $1,000,000* (Spouse/DC Asset)
SP	*Example* Mega Corp. Stock			X		X	3/5/14		X									
SP	ML PAM'S IRA						2014	SEE ATTACHED SCHEDULE #2										
	ML TRUST						2014	SEE ATTACHED SCHEDULE #3										
	ML ALT INVESTMENT						2014	SEE ATTACHED SCHEDULE #4										
	ML PIA						2014	SEE ATTACHED SCHEDULE #5										

Use additional sheets if more space is required.

SCHEDULE C – EARNED INCOME

Name: DAVID PHILLIP ROE

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling $200 or more during the reporting period. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS and PROHIBITED INCOME: The 2014 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was $26,955. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) were totally prohibited.

	Source (include date of receipt for honoraria)	**Type**	**Amount**
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$18,000
	Civil War Roundtable (Oct. 2)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	N/A

Use additional sheets if more space is required.

SCHEDULE D – LIABILITIES

Name: DAVID PHILLIP ROE

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or your dependent child. **Mark the highest amount owed during the reporting period. Members**: Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude**: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a ***revolving charge account*** (*i.e.*, credit card) only if the balance at the close of the reporting period exceeded $10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	A $10,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Over $1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE				x							
	SUNTRSUT MORTGAGE INC	02/08	MORTGAGE ON DC CONDO					X						
	(paid in full during 2014)		PERSONAL RESIDENCE											
	GMAC MORTGAGE	10/96	MORTGAGE ON CO CONDO		X									
			RENTAL PROPERTY											

SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude**: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization

Use additional sheets if more space is required.

SCHEDULE F – AGREEMENTS

Name: DAVID PHILLIP ROE

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement

SCHEDULE G – GIFTS

Report the source (by name), a brief description, and the value of all gifts totaling more than $375 received by you, your spouse, or your dependent child from any source during the year. **Exclude**: Gifts from relatives, gifts of personal hospitality from an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $150 or less need not be added towards the $375 disclosure threshold. **Note**: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source		Description	Value
Example:	Mr. Joseph Smith, Arlington, VA	Silver Platter (determination of personal friendship received from the Ethics Committee)	$400

Use additional sheets if more space is required.

SCHEDULE H – TRAVEL PAYMENTS and REIMBURSEMENTS

Name: DAVID PHILLIP ROE

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $375 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

	Source	Date(s)	City of Departure – Destination — City of Return	Lodging? (Y/N)	Food? (Y/N)	Family Member Included? (Y/N)
Examples:	Government of China (MECEA)	Aug. 6-11	DC-Beijing, China - DC	Y	Y	N
	Habitat for Humanity (charity fundraiser)	Mar. 3-4	DC-Boston-DC	Y	Y	Y
	ALLIANCE FOR HEALTH REFORM CONGRESSIONAL HEALTH POLICY CONFERENCE	FEB 28 - MAR 3	DC - HOUSTON - DC	Y	Y	Y

Use additional sheets if more space is required.

SCHEDULE I – PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name: DAVID PHILLIP ROE

List the source, activity (*i.e.*, speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of paying an honorarium to you. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics.

Source		Activity	Date	Amount
Examples:	Association of American Associations, Washington, DC	Speech	Feb, 2, 2014	$2,000
	XYZ Magazine	Article	Aug. 13, 2014	$500

Use additional sheets if more space is required.

FILER NOTES
(Optional)

Name: DAVID PHILLIP ROE	Page 11 of 11

NOTE NUMBER	NOTES

Use additional sheets if more space is required.

DAVID PHILIP ROE

SCHEDULE 1

Fidelity Investments

State Of Franklin Healthcare Associates, PLLC 401(k)

Retirement Savings Statement

DAVID P ROE
2 NORTH CROSSBOW
JOHNSON CITY, TN 37604

Customer Service: (800) 294-4015
Fidelity Investments Institutional Operations Company, Inc.
82 Devonshire Street
Boston, MA 02109

Your Account Summary

Statement Period: 12/01/2014 to 12/31/2014

Beginning Balance	$384,652.05
Fees	-$114.82
Change in Market Value	-$2,934.00
Ending Balance	$381,603.23
Additional Information	
Vested Balance	$381,603.23
Dividends & Interest	$9,164.40

Your Personal Rate of Return

This Period -0.8%

Your Personal Rate of Return is calculated with a time-weighted formula, widely used by financial analysts to calculate investment earnings. It reflects the results of your investment selections as well as any activity in the plan account(s) shown. There are other Personal Rate of Return formulas used that may yield different results. Remember that past performance is no guarantee of future results.

Your Asset Allocation

Statement Period: 12/01/2014 to 12/31/2014

77.90% Stock Investments: $297,264.07
12.43% Bond Investments: $47,442.98
9.67% Short-Term Investments: $36,896.18

Your account is allocated among the asset classes specified above as of 12/31/2014. Percentages and totals may not be exact due to rounding.

Market Value of Your Account

Statement Period: 12/01/2014 to 12/31/2014

Displayed in this section is the value of your account for the statement period, in both shares and dollars.

Investment	Shares as of 11/30/2014	Shares as of 12/31/2014	Price as of 11/30/2014	Price as of 12/31/2014	Market Value as of 11/30/2014	Market Value as of 12/31/2014
Stock Investments					$300,325.07	$297,264.07
Large Cap						
FA NEW Insights I	3,475.450	[illegible]	$29.31	$27.15	[illegible]	$101,532.65
Mainstay MAP I	90.828	[illegible]	$49.14	$44.39	$2,970.20	$2,990.77
Sptn 500 Index ADV	1,456.450	1,460.960	$73.56	$72.85	$107,400.93	$107,088.78
Mid-Cap						
FA Mid Cap II I	95.594	109.400	$22.04	$19.27	$2,100.67	$2,109.80
Small Cap						
Sptn Sm Cap Idx ADV	198.087	198.420	$16.77	$16.79	$3,237.56	$3,329.40
International						
FA Intl Discovery I	2,103.081	2,118.663	$39.34	$37.91	$82,735.21	$80,242.08
Bond Investments					$47,420.03	$47,442.98
Income						
FA GOV Income Inst	4,499.065	4,509.769	$10.54	$10.52	$47,420.03	$47,442.98
Short-Term Investments					$36,906.97	$36,896.18
FID Ret Govt MM	36,909.060	36,896.180	$1.00	$1.00	$36,909.06	$36,896.18

Account Totals	$384,652.05	$381,603.23

Remember that a dividend payment to fund shareholders reduces the share price of the fund, so a decrease in the share price for the statement period does not necessarily reflect lower fund performance.

Please refer to NetBenefits and other Plan Information, such as your SPD, for a description of your right to direct investments under the Plan. For information on any plan restrictions on those rights, please contact your benefits office.

To help achieve long-term retirement security, you should give careful consideration to the benefits of a well-balanced and diversified investment portfolio. Spreading your assets among different types of investments can help you achieve a favorable rate of return, while minimizing your overall risk of losing money. This is because market or other economic conditions that cause one category of assets, of one particular security, to perform very well often cause another asset category, or another particular security, to perform poorly. If you invest more than 20% of your retirement savings in any one company or industry, your savings may not be properly diversified. Although diversification is not a guarantee against loss, it is an effective strategy to help you manage investment risk.

In deciding how to invest your retirement savings, you should take into account all of your assets, including any retirement savings outside of the Plan. No single approach is right for everyone because, among other factors, individuals have different financial goals, different time horizons for meeting their goals, and different tolerances for risk. It is also important to periodically review your investment portfolio, your investment objectives, and the investment options under the Plan to help ensure that your retirement savings will meet your retirement goals. Visit the Department of Labor website http://www.dol.gov/ebsa/investing.html for information on individual investing and diversification.

Employer contributions under this Plan may be integrated with Social Security contributions made on your behalf by your employer. This is often called "permitted disparity". See your Summary Plan description for more details.

Some of the administrative services performed for the Plan were underwritten from the total operating expenses of the Plan's investment options.

Your Contribution Elections as of

As of 04/29/2015

This section displays the funds in which your future contributions will be invested.

Your Current Investment Elections as of 04/29/2015

Source Group 1

Includes: EMPLOYEE DEFERRAL, EMPLOYER MATCH, EMPLOYER DISCRETIONARY, QUALIFIED DISCRETIONARY, ROLLOVER, AFTER TAX ROLLOVER, PRIOR PROFIT SHARING, SAFE HARBOR NON-ELECTIVE, EMPLOYEE DOLLAR DEFERRAL, ROTH DEFERRAL, ROTH ROLLOVER, ROTH DOLLAR DEFERRAL, ROTH IN PLAN CONVERSION

Any change to the source group below will apply across all the sources within the source group. *Plan rules require that all sources within a source group be invested in the same investment options with the same percentages.*

EMPLOYEE DEFERRAL

Investment Option	Current %
Stock Investments	
LARGE CAP	
FA NEW INSIGHTS I	25%
SPTN 500 INDEX ADV	25%
INTERNATIONAL	
FA INTL DISCOVERY I	20%
Bond Investments	
INCOME	
FA GOV INCOME INST	10%
Short-Term Investments	
FID RET GOVT MM	20%
Total	100%

EMPLOYER MATCH

Investment Option	Current %
Stock Investments	
LARGE CAP	
FA NEW INSIGHTS I	25%
SPTN 500 INDEX ADV	25%
INTERNATIONAL	
FA INTL DISCOVERY I	20%

Bond Investments

INCOME

FA GOV INCOME INST	10%

Short-Term Investments

FID RET GOVT MM	20%
Total	100%

EMPLOYER DISCRETIONARY

Investment Option	Current %
Stock Investments	
LARGE CAP	
FA NEW INSIGHTS I	25%
SPTN 500 INDEX ADV	25%
INTERNATIONAL	
FA INTL DISCOVERY I	20%
Bond Investments	
INCOME	
FA GOV INCOME INST	10%
Short-Term Investments	
FID RET GOVT MM	20%
Total	100%

QUALIFIED DISCRETIONARY

Investment Option	Current %
Stock Investments	
LARGE CAP	
FA NEW INSIGHTS I	25%
SPTN 500 INDEX ADV	25%
INTERNATIONAL	
FA INTL DISCOVERY I	20%
Bond Investments	
INCOME	
FA GOV INCOME INST	10%
Short-Term Investments	
FID RET GOVT MM	20%
Total	100%

ROLLOVER

Investment Option	Current %
Stock Investments	
LARGE CAP	
FA NEW INSIGHTS I	25%
SPTN 500 INDEX ADV	25%
INTERNATIONAL	
FA INTL DISCOVERY I	20%
Bond Investments	
INCOME	
FA GOV INCOME INST	10%

Short-Term Investments	
FID RET GOVT MM	20%
Total	100%

AFTER TAX ROLLOVER

Investment Option	Current %
Stock Investments	
LARGE CAP	
FA NEW INSIGHTS I	25%
SPTN 500 INDEX ADV	25%
INTERNATIONAL	
FA INTL DISCOVERY I	20%
Bond Investments	
INCOME	
FA GOV INCOME INST	10%
Short-Term Investments	
FID RET GOVT MM	20%
Total	100%

PRIOR PROFIT SHARING

Investment Option	Current %
Stock Investments	
LARGE CAP	
FA NEW INSIGHTS I	25%
SPTN 500 INDEX ADV	25%
INTERNATIONAL	
FA INTL DISCOVERY I	20%
Bond Investments	
INCOME	
FA GOV INCOME INST	10%
Short-Term Investments	
FID RET GOVT MM	20%
Total	100%

SAFE HARBOR NON-ELECTIVE

Investment Option	Current %
Stock Investments	
LARGE CAP	
FA NEW INSIGHTS I	25%
SPTN 500 INDEX ADV	25%
INTERNATIONAL	
FA INTL DISCOVERY I	20%
Bond Investments	
INCOME	
FA GOV INCOME INST	10%
Short-Term Investments	
FID RET GOVT MM	20%
Total	100%

EMPLOYEE DOLLAR DEFERRAL

Investment Option	Current %
Blended Investments:	
FA FREEDOM INC I	100%
Total	100%

ROTH DEFERRAL

Investment Option	Current %
Stock Investments	
LARGE CAP	
FA NEW INSIGHTS I	25%
SPTN 500 INDEX ADV	25%
INTERNATIONAL	
FA INTL DISCOVERY I	20%
Bond Investments	
INCOME	
FA GOV INCOME INST	10%
Short-Term Investments	
FID RET GOVT MM	20%
Total	100%

ROTH ROLLOVER

Investment Option	Current %
Stock Investments	
LARGE CAP	
FA NEW INSIGHTS I	25%
SPTN 500 INDEX ADV	25%
INTERNATIONAL	
FA INTL DISCOVERY I	20%
Bond Investments	
INCOME	
FA GOV INCOME INST	10%
Short-Term Investments	
FID RET GOVT MM	20%
Total	100%

ROTH DOLLAR DEFERRAL

Investment Option	Current %
Stock Investments	
LARGE CAP	
FA NEW INSIGHTS I	25%
SPTN 500 INDEX ADV	25%
INTERNATIONAL	
FA INTL DISCOVERY I	20%
Bond Investments	
INCOME	
FA GOV INCOME INST	10%
Short-Term Investments	
FID RET GOVT MM	20%

Total	**100%**

ROTH IN-PLAN CONVERSION

Investment Option	Current %
Stock Investments	
LARGE CAP	
FA NEW INSIGHTS I	25%
SPTN 500 INDEX ADV	25%
INTERNATIONAL	
FA INTL DISCOVERY I	20%
Bond Investments	
INCOME	
FA GOV INCOME INST	10%
Short-Term Investments	
FID RET GOVT MM	20%
Total	**100%**

Your Contribution Summary

Statement Period: 12/01/2014 to 12/31/2014

Contributions	Employee Deferral	Employer Discretionary	Safe Harbor Non-Elective
Period to date	$0.00	$0.00	$0.00
Vested Percent	100%	100%	100%
Total Account Balance	$100,058.60	$264,457.86	$17,086.77
Total Vested Balance	$100,058.60	$264,457.86	$17,086.77

Your Account Activity

Statement Period: 12/01/2014 to 12/31/2014

Use this section as a summary of transactions that occurred in your account during the statement period.

Detailed Transaction History

Activity	Mainstay MAP I	FID Ret Govt MM	FA NEW Insights I	FA Mid Cap II I
Beginning Balance	$2,979.26	$36,905.95	$101,865.44	$2,106.67
Administrative Fees	-$0.89	-$11.08	-$30.29	-$0.62
Change in Market Value	-$17.60	$0.31	-$302.60	$3.75
Ending Balance	$2,960.77	$36,896.18	$101,532.55	$2,109.80
Dividends & Interest	$271.99	$0.31	$7,056.52	$258.96

Activity	FA Intl Discovery I	Sptn 500 Index ADV	FA GOV Income Inst	Sptn SM Cap Idx ADV
Beginning Balance	$82,735.21	$107,400.93	$47,420.03	$3,237.58
Administrative Fees	-$24.77	-$32.00	-$14.22	-$0.95
Change in Market Value	-$2,467.75	-$280.15	$37.17	$92.87
Ending Balance	$80,242.69	$107,088.78	$47,442.98	$3,329.48
Dividends & Interest	$555.05	$803.92	$127.39	$90.26

Activity	Total
Beginning Balance	$384,652.06
Administrative Fees	-$114.82
Change in Market Value	-$2,934.00
Ending Balance	$381,603.23
Dividends & Interest	$9,164.40

Questions? Call (800) 294-4015

NetBenefits® provided by

Fidelity

Terms of Use Privacy Security

[illegible]

NOTE: The Fidelity Account did not have any activity, which is why there are no additional transaction details.

DAVID PHILIP ROE

SCHEDULE 2

Merrill Lynch
Wealth Management
Bank of America Corporation

Online at: **www.mymerrill.com** Account Number: **24-Hour Assistance: (800) MERRILL**

MLPF& S CUST FPO
PAMELA A ROE IRA
FBO PAMELA A ROE
2 N CROSSBOW LN
JOHNSON CITY TN 37604-3639

Net Portfolio Value: $286,301.94

Your Financial Advisor:
SMITH CONKIN HAUSMAN DIXON & ASSOC.
206 PRINCETON RD SUITE NO 1
JOHNSON CITY TN 37601
1-423-282-5191

Pam's PIA IRA

This account is enrolled in the Merrill Lynch Investment Advisory Program

November 29, 2014 - December 31, 2014

ASSETS	*December 31*	*November 28*
Cash/Money Accounts	**16,531.56**	14,154.66
Fixed Income	-	-
Equities	**72,817.72**	74,486.34
Mutual Funds	**196,952.66**	201,403.85
Options	-	-
Other	-	-
Subtotal (Long Portfolio)	***286,301.94***	*290,044.85*
TOTAL ASSETS	**$286,301.94**	$290,044.85

LIABILITIES		
Debit Balance	-	-
TOTAL LIABILITIES	-	-
NET PORTFOLIO VALUE	**$286,301.94**	$290,044.85

CASH FLOW	*This Statement*	*Year to Date*
Opening Cash/Money Accounts	**$14,154.66**	
CREDITS		
Funds Received	-	-
Electronic Transfers	-	-
Other Credits	**10.26**	129.18
Subtotal	***10.26***	*129.18*
DEBITS		
Electronic Transfers	-	-
Other Debits	-	(3,181.28)
Subtotal	-	*(3,181.28)*
Net Cash Flow	**$10.26**	($3,052.10)
Dividends/Interest Income	**4,407.66**	6,277.36
Dividend Reinvestments	**(1,814.25)**	(1,814.25)
Security Purchases/Debits	**(1,680.70)**	(136,709.96)
Security Sales/Credits	**1,453.93**	133,889.97
Closing Cash/Money Accounts	**$16,531.56**	
Securities You Transferred In/Out	**44.60**	134.43

ACCOUNT INVESTMENT OBJECTIVE

November 29, 2014 - December 31, 2014

GROWTH: Objective is to accumulate wealth over time through price appreciation rather than current income. The investor should be willing to accept the risk of price volatility and principal loss in seeking to achieve growth.

If you have changes to your investment objective, please contact your Financial Advisor(s).

INVESTMENT ADVISORY PROGRAM

We encourage you to contact your Financial Advisor(s) if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your account(s) or reasonably modify existing restrictions.

Upon your request, and at no charge, we will provide to you more detailed information regarding the Program Fees that you pay to Merrill Lynch. Please contact your Financial Advisor(s) if you would like to request this detailed Account fee information.

If you would like to receive a free copy of the current Form ADV Brochure(s) for the Investment Advisory Program, please send a written request with your account number(s) to: Managed Accounts Processing and Services, 4800 Deer Lake Drive West, Building 1, 3rd Fl., Jacksonville, FL 32246. You may also obtain a copy of the Investment Advisory Program Form ADV Brochure(s) by accessing the SEC's website at www.adviserinfo.sec.gov.

As part of our program, we provide you with periodic performance measurement reports to help you monitor and assess the performance of your account(s). Should you have any questions regarding these reports or would like performance measurement reports on a more frequent basis, please contact your Financial Advisor(s).

YOUR RETIREMENT ACCOUNT ASSETS

CASH/MONEY ACCOUNTS *Description*	*Quantity*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Estimated Annual Income*	*Est. Annual Yield%*
CASH	283.72	283.72		**283.72**		
+BANK OF AMERICA, NA RASP	16,247.00	16,247.00	1.0000	**16,247.00**	**8**	.05
+FDIC INSURED NOT SIPC COVERED						
(.8400 FRACTIONAL SHARE)		.84	1.0000	**.84**		.05
TOTAL		16,531.56		**16,531.56**	**8**	.05

+

Pam's PIA IRA Account Number: . . .

YOUR RETIREMENT ACCOUNT ASSETS

November 29, 2014 - December 31, 2014

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
ACTAVIS PLC SHS	ACT	07/07/14	14	220.0100	3,080.14	257.4100	**3,603.74**	523.60		
ADOBE SYS DEL PV$ 0.001	ADBE	04/03/14	22	63.8731	1,405.21	72.7000	1,599.40	194.19		
		05/05/14	23	61.5173	1,414.90	72.7000	1,672.10	257.20		
Subtotal			*45*		*2,820.11*		***3,271.50***	*451.39*		
AFFILIATED MANAGERS GRP	AMG	05/05/14	14	195.7500	2,740.50	212.2400	**2,971.36**	230.86		
AMAZON COM INC COM	AMZN	05/07/12	3	224.9000	674.70	310.3500	931.05	256.35		
		06/21/13	4	273.5675	1,094.27	310.3500	1,241.40	147.13		
Subtotal			*7*		*1,768.97*		***2,172.45***	*403.48*		
AMERICAN TOWER REIT INC	AMT	05/05/14	23	88.3869	2,032.90	98.8500	2,273.55	240.65	35	1.53
(HLDG CO) SHS		12/04/14	6	101.4000	608.40	98.8500	593.10	(15.30)	10	1.53
Subtotal			*29*		*2,641.30*		***2,866.65***	*225.35*	***45***	*1.53*
APPLE INC	AAPL	04/04/14	14	76.7550	1,074.57	110.3800	1,545.32	470.75	27	1.70
		05/05/14	7	85.6114	599.28	110.3800	772.66	173.38	14	1.70
Subtotal			*21*		*1,673.85*		***2,317.98***	*644.13*	***41***	*1.70*
AVAGO TECHNOLOGIES LTD	AVGO	04/04/14	13	63.5000	825.50	100.5900	1,307.67	482.17	19	1.39
		04/07/14	2	59.4800	118.96	100.5900	201.18	82.22	3	1.39
		05/05/14	17	64.2594	1,092.41	100.5900	1,710.03	617.62	24	1.39
Subtotal			*32*		*2,036.87*		***3,218.88***	*1,182.01*	***46***	*1.39*
BORG WARNER INC COM	BWA	05/05/14	34	60.3473	2,051.81	54.9500	**1,868.30**	(183.51)	**18**	.94
CABOT OIL & GAS CORP	COG	04/03/14	30	35.3033	1,059.10	29.6100	888.30	(170.80)	3	.27
		05/05/14	23	38.6686	889.38	29.6100	681.03	(208.35)	2	.27
Subtotal			*53*		*1,948.48*		***1,569.33***	*(379.15)*	***5***	*.27*
CATERPILLAR INC DEL	CAT	05/05/14	20	104.6945	2,093.89	91.5300	**1,830.60**	(263.29)	**56**	3.05
COSTCO WHOLESALE CRP DEL	COST	05/05/14	24	114.5829	2,749.99	141.7500	**3,402.00**	652.01	**35**	1.00
DANAHER CORP DEL COM	DHR	08/06/14	37	74.0145	2,738.54	85.7100	**3,171.27**	432.73	**15**	.46
DICKS SPORTING GOODS INC	DKS	05/05/14	40	52.0275	2,081.10	49.6500	**1,986.00**	(95.10)	**20**	1.00

+

YOUR RETIREMENT ACCOUNT ASSETS

November 29, 2014 - December 31, 2014

EQUITIES (continued) *Description*	*Symbol*	*Acquired*	*Quantity*	*Unit Cost Basis*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Annual Income*	*Current Yield%*
DISNEY (WALT) CO COM STK	DIS	06/26/14	24	84.3591	2,024.62	94.1900	**2,260.56**	235.94	**28**	1.22
EXPRESS SCRIPTS HLDG CO	ESRX	05/05/14	31	67.0267	2,077.83	84.6700	**2,624.77**	546.94		
FEDEX CORP DELAWARE COM	FDX	05/05/14	20	136.2025	2,724.05	173.6600	**3,473.20**	749.15	**16**	.46
↓ GILEAD SCIENCES INC COM	GILD	12/16/13	22	71.9072	1,581.96	94.2600	**2,073.72**	491.76		
↓ GOOGLE INC CL A	GOOGL	04/03/14	2	568.8500	1,137.70	530.6600	1,061.32	(76.38)		
		05/05/14	2	534.6650	1,069.33	530.6600	1,061.32	(8.01)		
		12/04/14	2	536.1500	1,072.30	530.6600	1,061.32	(10.98)		
Subtotal			*6*		*3,279.33*		***3,183.96***	*(95.37)*		
HONEYWELL INTL INC DEL	HON	05/05/14	22	92.7577	2,040.67	99.9200	**2,198.24**	157.57	**46**	2.07
MONDELEZ INTERNATIONAL *INC*	MDLZ	05/13/14	74	37.8782	2,802.99	36.3250	**2,688.05**	(114.94)	**45**	1.65
MONSANTO CO NEW DEL COM	MON	05/05/14	18	114.8644	2,067.56	119.4700	**2,150.46**	82.90	**36**	1.64
NOBLE ENERGY INC	NBL	04/04/14	12	71.0000	852.00	47.4300	569.16	(282.84)	9	1.51
		05/05/14	17	70.9694	1,206.48	47.4300	806.31	(400.17)	13	1.51
Subtotal			*29*		*2,058.48*		***1,375.47***	*(683.01)*	***22***	*1.51*
PRECISION CASTPARTS	PCP	05/05/14	11	259.6890	2,856.58	240.8800	**2,649.68**	(206.90)	**2**	.04
SALESFORCE COM INC	CRM	04/03/14	13	56.8269	738.75	59.3100	771.03	32.28		
		05/05/14	13	53.6123	696.96	59.3100	771.03	74.07		
		08/28/14	11	58.6400	645.04	59.3100	652.41	7.37		
Subtotal			*37*		*2,080.75*		***2,194.47***	*113.72*		
SCHLUMBERGER LTD	SLB	04/04/14	9	98.7000	888.30	85.4100	768.69	(119.61)	15	1.87
		05/05/14	11	101.3645	1,115.01	85.4100	939.51	(175.50)	18	1.87
Subtotal			*20*		*2,003.31*		***1,708.20***	*(295.11)*	***33***	*1.87*
THERMO FISHER SCIENTIFIC *INC*	TMO	05/05/14	24	114.8333	2,756.00	125.2900	**3,006.96**	250.96	**15**	.47
TWITTER INC	TWTR	08/28/14	44	49.5700	2,181.08	35.8700	**1,578.28**	(602.80)		

+

Pam's PIA IRA

Account Number:

YOUR RETIREMENT ACCOUNT ASSETS

November 29, 2014 - December 31, 2014

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
VMWARE, INC.	VMW	04/03/14	13	107.1600	1,393.08	82.5200	1,072.76	(320.32)		
		05/05/14	17	93.1723	1,583.93	82.5200	1,402.84	(181.09)		
Subtotal			*30*		*2,977.01*		***2,475.60***	*(501.41)*		
ZOETIS INC	ZTS	05/05/14	68	30.5376	2,076.56	43.0300	**2,926.04**	849.48	**23**	.77
TOTAL					68,014.33		**72,817.72**	4,803.39	**547**	.75

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
CONSUMER DISCRETIONARY *SPDR* *SYMBOL: XLY Initial Purchase: 04/02/14* *Equity 100%*	51	3,367.05	72.1500	**3,679.65**	312.60	3,367	**312**	**48**	1.30
FIRST TR EXCHANGE TRADED *FD DOW JONES IN* *SYMBOL: FDN Initial Purchase: 04/02/14* *Equity 100%*	11	664.27	61.3200	**674.52**	10.25	664	**10**		
FIRST TR ISE CLOUD COMP *IDX ETF* *SYMBOL: SKYY Initial Purchase: 04/02/14* *Equity 100%*	23	630.36	28.4500	**654.35**	23.99	630	**23**	**1**	.05
FIRST EAGLE *OVERSEAS CL I* *SYMBOL: SGOIX Initial Purchase: 09/18/08* *Equity 100%*	810	16,870.25	22.1900	**17,973.90**	1,103.65	12,012	**5,961**		
.0230 Fractional Share		*0.50*	*22.1900*	***.51***	*.01*				
HEALTH CARE SELECT SPDR	64	3,780.26	68.3800	**4,376.32**	596.06	3,780	**596**	**59**	1.34

+

YOUR RETIREMENT ACCOUNT ASSETS

November 29, 2014 - December 31, 2014

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
SYMBOL: XLV Initial Purchase: 04/02/14									
Equity 100%									
ISHARES CORE S&P MID-CAP	61	5,340.12	144.8000	**8,832.80**	3,492.68	5,340	**3,492**	**119**	1.34
ETF									
SYMBOL: IJH Initial Purchase: 06/01/11									
Equity 100%									
ISHARES NASDAQ BIOTECH	4	972.97	303.3500	**1,213.40**	240.43	972	**240**	**3**	.19
ETF									
SYMBOL: IBB Initial Purchase: 04/02/14									
Equity 100%									
ISHARES S&P 100	492	30,574.95	90.9400	**44,742.48**	14,167.53	30,574	**14,167**	**826**	1.84
SYMBOL: OEF Initial Purchase: 10/19/11									
Equity 100%									
JAMES SMALL CAP FUND	173	4,357.77	33.7100	**5,831.83**	1,474.06	4,357	**1,474**	**157**	2.68
SYMBOL: JASCX Initial Purchase: 02/24/12									
Equity 100%									
.4870 Fractional Share		*12.31*	*33.7100*	***16.42***	*4.11*			***1***	*2.68*
MFS INTERNATIONAL VALUE	389	13,491.30	34.5400	**13,436.06**	(55.24)	13,491	**(55)**	**300**	2.22
FUND CL I									
SYMBOL: MINIX Initial Purchase: 09/25/13									
Equity 100%									
.1820 Fractional Share		*6.36*	*34.5400*	***6.29***	*(0.07)*			***1***	*2.22*
OPPENHEIMER DEVELOPING	172	6,272.69	35.0600	**6,030.32**	(242.37)	6,272	**(242)**	**39**	.63
MARKETS FD CL Y									
SYMBOL: ODVYX Initial Purchase: 10/05/12									

YOUR RETIREMENT ACCOUNT ASSETS

November 29, 2014 - December 31, 2014

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
Equity 100%									
.3040 Fractional Share		11.38	35.0600	**10.66**	(0.72)			1	.63
OPPENHEIMER INTERNATL	358	10,021.76	35.0800	**12,558.64**	2,536.88	10,021	**2,536**	**147**	1.16
GROWTH FD CL Y									
SYMBOL: OIGYX Initial Purchase: 01/20/12									
Equity 100%									
.8390 Fractional Share		25.12	35.0800	**29.43**	4.31			1	1.16
ROYCE PENNSYLVNIA MUTUAL	678	6,746.02	13.0000	**8,814.00**	2,067.98	4,594	**4,219**	**32**	.35
FUND CL INVESTMENT									
SYMBOL: PENNX Initial Purchase: 07/22/09									
Equity 100%									
.7650 Fractional Share		10.08	13.0000	**9.95**	(0.13)			1	.35
SCHRODER EMERGING MARKET	484	6,713.00	12.5900	**6,093.56**	(619.44)	6,713	**(619)**	**60**	.98
EQUITY FUND INV CL									
SYMBOL: SEMNX Initial Purchase: 05/19/14									
Equity 100%									
.1500 Fractional Share		2.13	12.5900	**1.89**	(0.24)			1	.98
SCOUT MID CAP FUND	311	4,083.43	15.4400	**4,801.84**	718.41	4,083	**718**	**5**	.10
CL RETAIL									
SYMBOL: UMBMX Initial Purchase: 07/13/12									
Equity 100%									
.1630 Fractional Share		2.14	15.4400	**2.52**	.38				.10
SECTOR SPDR FINANCIAL	298	4,955.53	24.7300	**7,369.54**	2,414.01	4,955	**2,414**	**119**	1.60
SYMBOL: XLF Initial Purchase: 12/31/12									
Equity 100%									

+

YOUR RETIREMENT ACCOUNT ASSETS

November 29, 2014 - December 31, 2014

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
VANGUARD CONSUMER STAPLES ETF SYMBOL: VDC Initial Purchase: 04/02/14 Equity 100%	20	2,216.77	125.2400	**2,504.80**	288.03	2,216	**288**	**49**	1.92
VANGUARD MATERIALS ETF SYMBOL: VAW Initial Purchase: 04/02/14 Equity 100%	8	859.15	107.3900	**859.12**	(0.03)	859		**16**	1.76
VANGUARD INFORMATION TECH ETF SYMBOL: VGT Initial Purchase: 04/02/14 Equity 100%	55	5,102.72	104.4800	**5,746.40**	643.68	5,102	**643**	**65**	1.12
VANGUARD ENERGY ETF FDS SYMBOL: VDE Initial Purchase: 04/02/14 Equity 100%	26	3,358.59	111.6200	**2,902.12**	(456.47)	3,358	**(456)**	**58**	1.97
VANGUARD INDUSTRIAL ETF SYMBOL: VIS Initial Purchase: 04/02/14 Equity 100%	49	5,005.63	106.8200	**5,234.18**	228.55	5,005	**228**	**82**	1.56
VANGUARD DIVIDEND APPRECIATION ETF SYMBOL: VIG Initial Purchase: 12/21/12 Equity 100%	401	24,540.70	81.1600	**32,545.16**	8,004.46	24,540	**8,004**	**636**	1.95
Subtotal (Equities)				*196,952.66*					

+

YOUR RETIREMENT ACCOUNT ASSETS

November 29, 2014 - December 31, 2014

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
TOTAL		159,995.31		**196,952.66**	36,957.35		**43,953**	**2,827**	1.44

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.
Cumulative Investment Return: Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.
Initial Purchase: Date of your initial investment in this fund.

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	244,541.20	**286,301.94**	41,760.74		**3,382**	1.18

YOUR RETIREMENT ACCOUNT TRANSACTIONS

DIVIDENDS/INTEREST INCOME TRANSACTIONS

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
12/31	Interest		BANK OF AMERICA, NA RASP 0.71000 DIV/INT REINVEST PAY DATE 12/31/2014 FROM 11-28 THRU 12-31 CUSIP NUM: 55499U915			
	Income Total		BANK OF AMERICA, NA RASP		.71	
	Subtotal (Tax-Exempt Interest)				*.71*	*9.12*
12/02	* Dividend		ZOETIS INC HOLDING 68.0000 PAY DATE 12/02/2014		4.90	

+

YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	*Transaction Type*	*Quantity*	*Description*	*Reinvestment*	*Income*	*Income Year To Date*
12/08	* Dividend		OPPENHEIMER DEVELOPING MARKETS FD CL Y PAY DATE 12/05/2014		38.52	
12/08	* Lg Tm Cap Gain		OPPENHEIMER DEVELOPING MARKETS FD CL Y PAY DATE 12/05/2014		102.98	
12/10	* Dividend		HONEYWELL INTL INC DEL HOLDING 22.0000 PAY DATE 12/10/2014		11.39	
12/11	* Dividend		SCHRODER EMERGING MARKET EQUITY FUND INV CL PAY DATE 12/10/2014		60.47	
12/15	* Dividend		BORG WARNER INC COM HOLDING 34.0000 PAY DATE 12/15/2014		4.42	
12/17	* Dividend		MFS INTERNATIONAL VALUE FUND CL I PAY DATE 12/16/2014		299.37	
12/17	* Lg Tm Cap Gain		MFS INTERNATIONAL VALUE FUND CL I PAY DATE 12/16/2014		107.62	
12/17	* Sh Tm Cap Gain		MFS INTERNATIONAL VALUE FUND CL I PAY DATE 12/16/2014		45.59	
12/18	* Dividend		FIRST EAGLE OVERSEAS CL I PAY DATE 12/17/2014		193.43	
12/18	Reinvestment		FIRST EAGLE OVERSEAS CL I	(193.43)		
12/18	* Lg Tm Cap Gain		FIRST EAGLE		573.36	

+

Pam's PIA IRA Account Number:

YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
			OVERSEAS CL I PAY DATE 12/17/2014			
12/18	Reinvestment		FIRST EAGLE OVERSEAS CL I	(573.36)		
12/18	* Sh Tm Cap Gain		FIRST EAGLE OVERSEAS CL I PAY DATE 12/17/2014		92.48	
12/18	Reinvestment		FIRST EAGLE OVERSEAS CL I	(92.48)		
12/18	Divd Reinv	8	FIRST EAGLE OVERSEAS CL I REINV AMOUNT $193.43 REINV PRICE $21.82000 QUANTITY BOT 8.8650 AS OF 12/16			
12/18	Divd Reinv	26	FIRST EAGLE OVERSEAS CL I REINV AMOUNT $573.36 REINV PRICE $21.82000 QUANTITY BOT 26.2770 AS OF 12/16			
12/18	Divd Reinv	4	FIRST EAGLE OVERSEAS CL I REINV AMOUNT $92.48 REINV PRICE $21.82000 QUANTITY BOT 4.2380 AS OF 12/16			
12/18	* Dividend		OPPENHEIMER INTERNATL GROWTH FD CL Y PAY DATE 12/17/2014		146.51	
12/18	* Dividend		ROYCE PENNSYLVNIA MUTUAL		28.23	

+

YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	*Transaction Type*	*Quantity*	*Description*	*Reinvestment*	*Income*	*Income Year To Date*
			FUND CL INVESTMENT PAY DATE 12/17/2014			
12/18	Reinvestment		ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT	(28.23)		
12/18	*Lg Tm Cap Gain		ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT PAY DATE 12/17/2014		886.93	
12/18	Reinvestment		ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT	(886.93)		
12/18	*Sh Tm Cap Gain		ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT PAY DATE 12/17/2014		39.82	
12/18	Reinvestment		ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT	(39.82)		
12/18	Divd Reinv	2	ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT REINV AMOUNT $28.23 REINV PRICE $12.65000 QUANTITY BOT 2.2320 AS OF 12/17			
12/18	Divd Reinv	70	ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT REINV AMOUNT $886.93 REINV PRICE $12.65000 QUANTITY BOT 70.1130 AS OF 12/17			
12/18	Divd Reinv	3	ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT REINV AMOUNT $39.82 REINV PRICE $12.65000 QUANTITY BOT 3.1480			

+

YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
			AS OF 12/17			
12/22	* Dividend		SCOUT MID CAP FUND CL RETAIL PAY DATE 12/19/2014		5.07	
12/22	* Lg Tm Cap Gain		SCOUT MID CAP FUND CL RETAIL PAY DATE 12/19/2014		771.50	
12/22	* Sh Tm Cap Gain		SCOUT MID CAP FUND CL RETAIL PAY DATE 12/19/2014		185.20	
12/24	* Dividend		VANGUARD CONSUMER STAPLES ETF HOLDING 20.0000 PAY DATE 12/24/2014		48.34	
12/24	* Dividend		VANGUARD MATERIALS ETF HOLDING 8.0000 PAY DATE 12/24/2014		15.14	
12/24	* Dividend		VANGUARD INFORMATION TECH ETF HOLDING 55.0000 PAY DATE 12/24/2014		64.41	
12/24	* Dividend		VANGUARD ENERGY ETF FDS HOLDING 26.0000 PAY DATE 12/24/2014		57.43	
12/24	* Dividend		VANGUARD INDUSTRIAL ETF HOLDING 49.0000 PAY DATE 12/24/2014		81.98	
12/24	* Dividend		VANGUARD DIVIDEND APPRECIATION ETF HOLDING 401.0000		183.66	

+

YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	*Transaction Type*	*Quantity*	*Description*	*Reinvestment*	*Income*	*Income Year To Date*
			PAY DATE 12/24/2014			
12/26	* Dividend		DICKS SPORTING GOODS INC HOLDING 40.0000 PAY DATE 12/26/2014		5.00	
12/29	* Dividend		PRECISION CASTPARTS HOLDING 11.0000 PAY DATE 12/29/2014		.33	
12/30	* Dividend		HEALTH CARE SELECT SPDR HOLDING 64.0000 PAY DATE 12/30/2014		16.62	
12/30	* Dividend		CONSUMER DISCRETIONARY SPDR HOLDING 51.0000 PAY DATE 12/30/2014		14.95	
12/30	* Dividend		SECTOR SPDR FINANCIAL HOLDING 298.0000 PAY DATE 12/30/2014		37.58	
12/31	* Rpt Fgn Div		AVAGO TECHNOLOGIES LTD HOLDING 32.0000 PAY DATE 12/31/2014		11.20	
12/31	* Dividend		ISHARES CORE S&P MID-CAP ETF HOLDING 61.0000 PAY DATE 12/31/2014		40.69	
12/31	* Dividend		ISHARES S&P 100 HOLDING 492.0000 PAY DATE 12/31/2014		231.12	
12/31	* Dividend		FIRST TR ISE CLOUD COMP IDX ETF HOLDING 23.0000 PAY DATE 12/31/2014		.71	

+

YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
	Subtotal (Tax-Exempt Dividends)				4,406.95	6,268.24
	NET TOTAL			**(1,814.25)**	**4,407.66**	**6,277.36**

SECURITY TRANSACTIONS
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	Trade Date	Description	Transaction Type	Quantity	Unit Price	Debit	Credit	Accrued Interest Earned/(Paid)
12/09	12/04	AMERICAN TOWER REIT INC (HLDG CO) SHS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT CUS NO 03027X100 SEC NO 02D61 PRINCIPAL 608.40	Purchase	6	101.3999	608.40		
12/09	12/04	GOOGLE INC CL A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT CUS NO 38259P508 SEC NO 31842 PRINCIPAL 1072.30	Purchase	2	536.1515	1,072.30		
		Subtotal (Purchases)				*1,680.70*		
12/09	12/04	PIONEER NATURAL RES CO PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE	Sale	-10	145.3958		1,453.93	

+

YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 29, 2014 - December 31, 2014

SECURITY TRANSACTIONS (continued)
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	*Trade Date*	*Description*	*Transaction Type*	*Quantity*	*Unit Price*	*Debit*	*Credit*	*Accrued Interest Earned/(Paid)*
		ML ACTED AS AGENT CUS NO 723787107 SEC NO 591F8						
		PRINCIPAL 1453.96 TRN FEE 0.03						
		Subtotal (Sales)					1,453.93	
		TOTAL				**1,680.70**	**1,453.93**	

REALIZED GAINS/(LOSSES)

Description	*Quantity*	*Acquired Date*	*Liquidation Date*	*Sale Amount*	*Cost Basis*	*Gains/(Losses)* ✪ *This Statement*	*Year to Date*
Subtotal (Long-Term)							*21,907.25*
PIONEER NATURAL RES CO	5.0000	04/04/14	12/04/14	726.96	955.00	(228.04)	
PIONEER NATURAL RES CO	5.0000	05/05/14	12/04/14	726.97	989.91	(262.94)	
Subtotal (Short-Term)						*(490.98)*	*2,306.57*
TOTAL				**1,453.93**	**1,944.91**	**(490.98)**	**24,213.82**

✪ - Excludes transactions for which we have insufficient data

The capital gains and losses shown above may not reflect all transactions which must be reported on your 2014 tax return. These reportable transactions will appear on your January statement.

SECURITIES YOU TRANSFERRED IN/OUT

Date	*Description*	*Transaction Type*	*Quantity*	*Value of Securities*	*Year To Date*
12/18	FIRST EAGLE OVERSEAS CL I FULL SHARE ACCUM SHARE VALUE $22.30	Journal Entry	1	22.30	

+

Pam's PIA IRA Account Number

YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 29, 2014 - December 31, 2014

SECURITIES YOU TRANSFERRED IN/OUT (continued)

Date	Description	Transaction Type	Quantity	Value of Securities	Year To Date
12/18	FIRST EAGLE OVERSEAS CL I FULL SHARE ACCUM SHARE VALUE $22.30	Journal Entry	1	22.30	
	NET TOTAL			**44.60**	**134.43**

UNSETTLED TRADES

Trade Date	Settlement Date	Description	Symbol/ Cusip	Transaction Type	Quantity	Price	Amount
12/30	01/05	CELGENE CORP COM	CELG	Purchase	20	113.0405	(2,260.81)
12/30	01/05	GILEAD SCIENCES INC COM	GILD	Sale	22	96.0789	2,113.69
NET TOTAL							**(147.12)**

CASH/OTHER TRANSACTIONS

Date	Transaction Type	Quantity	Description	Debit	Credit
12/08	Journal Entry		MUTUAL FUND ADJUSTMENT		10.26
	Subtotal (Other Debits/Credits)				*10.26*
	NET TOTAL				**10.26**

SWEEP PROGRAM TRANSACTIONS

Date	Transaction Type	Quantity	Description	Debit	Credit
12/01	Deposit	8	BANK OF AMERICA, NA RASP FRAC BUY .52 @ 1.00	8.52	
12/03	Deposit	4	BANK OF AMERICA, NA RASP FRAC BUY .90 @ 1.00	4.90	
12/09	Withdrawal	-75	BANK OF AMERICA, NA RASP FRAC SELL .01 @ 1.00		75.01
12/11	Deposit	11	BANK OF AMERICA, NA RASP	11.39	

+

YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 29, 2014 - December 31, 2014

SWEEP PROGRAM TRANSACTIONS (continued)

Date	*Transaction Type*	*Quantity*	*Description*	*Debit*	*Credit*
			FRAC BUY .39 @ 1.00		
12/12	Deposit	60	BANK OF AMERICA, NA RASP	60.47	
			FRAC BUY .47 @ 1.00		
12/16	Deposit	4	BANK OF AMERICA, NA RASP	4.42	
			FRAC BUY .42 @ 1.00		
12/18	Deposit	452	BANK OF AMERICA, NA RASP	452.58	
			FRAC BUY .58 @ 1.00		
12/19	Deposit	146	BANK OF AMERICA, NA RASP	146.51	
			FRAC BUY .51 @ 1.00		
12/23	Deposit	961	BANK OF AMERICA, NA RASP	961.77	
			FRAC BUY .77 @ 1.00		
12/26	Deposit	450	BANK OF AMERICA, NA RASP	450.96	
			FRAC BUY .96 @ 1.00		
12/29	Deposit	5	BANK OF AMERICA, NA RASP	5.00	
12/31	Deposit	69	BANK OF AMERICA, NA RASP	69.48	
			FRAC BUY .48 @ 1.00		
	NET TOTAL			**2,100.99**	

YOUR RETIREMENT ACCOUNT CONTRIBUTIONS AND DISTRIBUTIONS

Year-End Plan Value as of December 31, 2014: $286,301.94 **Contributions after December 31, 2013 for 2013:** $.00

For IRA, IRRA, SEP/IRA, SIMPLE/IRA ROTH IRA and ESA accounts, the Year-End Plan Value represents the valuation we must furnish to you and the Internal Revenue Service as part of the IRS Form 5498 reporting requirements.

Account/Nickname	Activity St	Trade Date	Settle Date	Type	Description	Symbol/CI	Quantity	Price	Amount
Pam's Advisory IRA	Settled		12/31/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1		$0.00
Pam's Advisory IRA	Settled		12/26/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1		$0.00
Pam's Advisory IRA	Settled		12/23/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1		$0.00
Pam's Advisory IRA	Settled		12/18/2014	SecurityTransactions	Divd Reinv FIRST EAGLE OVERSEAS CL I AGENT REINV AMOUNT $193.43 REINV PRICE $21.82000 QUANTITY BOT 8.8650 AS OF 12/16	SGOIX	8		$0.00
Pam's Advisory IRA	Settled		12/18/2014	SecurityTransactions	Divd Reinv FIRST EAGLE OVERSEAS CL I AGENT REINV AMOUNT $573.36 REINV PRICE $21.82000 QUANTITY BOT 26.2770 AS OF 12/16	SGOIX	26		$0.00
Pam's Advisory IRA	Settled		12/18/2014	SecurityTransactions	Divd Reinv FIRST EAGLE OVERSEAS CL I AGENT REINV AMOUNT $92.48 REINV PRICE $21.82000 QUANTITY BOT 4.2380 AS OF 12/16	SGOIX	4		$0.00
Pam's Advisory IRA	Settled		12/18/2014	SecurityTransactions	Divd Reinv ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT AGENT REINV AMOUNT $28.23 REINV PRICE $12.65000 QUANTITY BOT 2.2320 AS OF 12/17	PENNX	2		$0.00
Pam's Advisory IRA	Settled		12/18/2014	SecurityTransactions	Divd Reinv ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT AGENT REINV AMOUNT $886.93 REINV PRICE $12.65000 QUANTITY BOT 70.1130 AS OF 12/17	PENNX	70		$0.00
Pam's Advisory IRA	Settled		12/18/2014	SecurityTransactions	Divd Reinv ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT AGENT REINV AMOUNT $39.82 REINV PRICE $12.65000 QUANTITY BOT 3.1480 AS OF 12/17	PENNX	3		$0.00
Pam's Advisory IRA	Settled		12/18/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1		$0.00
Pam's Advisory IRA	Settled		12/18/2014	SecurityTransactions	Journal Entry FIRST EAGLE OVERSEAS CL I FULL SHARE ACCUM SHARE VALUE $22.30	SGOIX	1		$0.00

Pam's Advisory IRA	Settled		12/18/2014	SecurityTransactions	Journal Entry FIRST EAGLE OVERSEAS CL I FULL SHARE ACCUM SHARE VALUE $22.30	SGOIX	1		$0.00
Pam's Advisory IRA	Settled		12/12/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1		$0.00
Pam's Advisory IRA	Settled	12/4/2014	12/9/2014	SecurityTransactions	Purchase AMERICAN TOWER REIT INC (HLDG CO) SHS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AMT	6	$101.40	-$608.40
Pam's Advisory IRA	Settled	12/4/2014	12/9/2014	SecurityTransactions	Purchase GOOGLE INC CL A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	GOOGL	2	$536.15	-$1,072.30
Pam's Advisory IRA	Settled	12/4/2014	12/9/2014	SecurityTransactions	Sale PIONEER NATURAL RES CO PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	PXD	-10	$145.40	$1,453.93
Pam's Advisory IRA	Settled		12/3/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1		$0.00
Pam's Advisory IRA	Settled		11/28/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1		$0.00
Pam's Advisory IRA	Settled		11/14/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1		$0.00
Pam's Advisory IRA	Settled		11/3/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1		$0.00
Pam's Advisory IRA	Settled		10/16/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1		$0.00
Pam's Advisory IRA	Settled		10/8/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1		$0.00

Pam's Advisory IRA	Settled		10/7/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	-1		$0.00
Pam's Advisory IRA	Settled		10/1/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1		$0.00
Pam's Advisory IRA	Settled		9/30/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1		$0.00
Pam's Advisory IRA	Settled		9/17/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1		$0.00
Pam's Advisory IRA	Settled		9/9/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1		$0.00
Pam's Advisory IRA	Settled	8/28/2014	9/3/2014	SecurityTransactions	Sale COGNIZANT TECH SOLUTNS A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CTSH	-56	$45.21	$2,531.69
Pam's Advisory IRA	Settled	8/28/2014	9/3/2014	SecurityTransactions	Purchase SALESFORCE COM INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CRM	11	$58.64	-$645.04
Pam's Advisory IRA	Settled	8/28/2014	9/3/2014	SecurityTransactions	Purchase TWITTER INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	TWTR	44	$49.57	-$2,181.08
Pam's Advisory IRA	Settled		8/29/2014	SecurityTransactions	Journal Entry FIA CARD SVS NA RASP FRAC SHARE POSITION .56 CONVERT TO STOCK RECORD	IIAXX	14,645		$0.00

Pam's Advisory IRA	Settled	8/18/2014	8/21/2014	SecurityTransactions	Sale GILEAD SCIENCES INC COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	GILD	-11	$100.44	$1,104.82
Pam's Advisory IRA	Settled	8/6/2014	8/11/2014	SecurityTransactions	Sale BOEING COMPANY PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	BA	-21	$118.22	$2,482.58
Pam's Advisory IRA	Settled	8/6/2014	8/11/2014	SecurityTransactions	Purchase DANAHER CORP DEL COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	DHR	37	$74.01	-$2,738.54
Pam's Advisory IRA	Settled	7/28/2014	7/29/2014	SecurityTransactions	Purchase OPPENHEIMER DEVELOPING MARKETS FD CL Y FRAC SHR QUANTITY .101 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	ODVYX	57	$40.59	-$2,317.73
Pam's Advisory IRA	Settled	7/28/2014	7/29/2014	SecurityTransactions	Purchase SCHRODER EMERGING MARKET EQUITY FUND INV CL FRAC SHR QUANTITY .556 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	SEMNX	252	$14.20	-$3,586.30

Pam's Advisory IRA	Settled	7/28/2014	7/29/2014	SecurityTransactions	Sale CALAMOS EVOLVING WORLD GROWTH FUND CL I FRAC SHR QUANTITY .924 PER ADVISORY AGREEMENT. THIS SALE CONSTITUTES A REDEMPTION.	CNWIX	-248	$14.81	$3,686.56
Pam's Advisory IRA	Settled		7/29/2014	SecurityTransactions	Journal Entry SCHRODER EMERGING MARKET EQUITY FUND INV CL FULL SHARE ACCUM SHARE VALUE $14.21	SEMNX	1		$0.00
Pam's Advisory IRA	Settled	7/7/2014	7/10/2014	SecurityTransactions	Purchase ACTAVIS PLC SHS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ACT	14	$220.01	-$3,080.14
Pam's Advisory IRA	Settled	7/7/2014	7/10/2014	SecurityTransactions	Sale COVIDIEN PLC SHS NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	COV	-38	$90.21	$3,427.89
Pam's Advisory IRA	Settled	6/26/2014	7/1/2014	SecurityTransactions	Sale DISCOVERY COMMUNICATN INC SERIES A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	DISCA	-27	$71.93	$1,941.98
Pam's Advisory IRA	Settled	6/26/2014	7/1/2014	SecurityTransactions	Purchase DISNEY (WALT) CO COM STK PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	DIS	24	$84.36	-$2,024.62
Pam's Advisory IRA	Settled		6/12/2014	SecurityTransactions	Stock Dividend APPLE INC HOLDING 3.0000 PAY DATE 06/06/2014	AAPL	18		$0.00
Pam's Advisory IRA	Settled		6/12/2014	SecurityTransactions	DUDB APPLE INC	AAPL	-18		$0.00
Pam's Advisory IRA	Settled		6/9/2014	SecurityTransactions	DUDB APPLE INC	AAPL	18		$0.00

Pam's Advisory IRA	Settled	5/19/2014	5/20/2014	SecurityTransactions	Purchase SCHRODER EMERGING MARKET EQUITY FUND INV CL FRAC SHR QUANTITY .594 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	SEMNX	231	$13.51	-$3,128.83
Pam's Advisory IRA	Settled	5/13/2014	5/16/2014	SecurityTransactions	Purchase MONDELEZ INTERNATIONAL INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	MDLZ	74	$37.88	-$2,802.99
Pam's Advisory IRA	Settled	5/13/2014	5/16/2014	SecurityTransactions	Sale WHOLE FOODS MKT INC COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	WFM	-57	$39.15	$2,231.51
Pam's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase ADOBE SYS DEL PV$ 0.001 PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ADBE	23	$61.52	-$1,414.90
Pam's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase AFFILIATED MANAGERS GRP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AMG	14	$195.75	-$2,740.50

Pam's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Sale AETNA INC NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AET	-48	$71.16	$3,415.54
Pam's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase AVAGO TECHNOLOGIES LTD PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AVGO	17	$64.26	-$1,092.41
Pam's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase AMERICAN TOWER REIT INC (HLDG CO) SHS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AMT	23	$88.39	-$2,032.90
Pam's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase APPLE INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AAPL	1	$599.28	-$599.28
Pam's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase BORG WARNER INC COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	BWA	34	$60.35	-$2,051.81
Pam's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase BOEING COMPANY PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	BA	21	$132.22	-$2,776.60

Pam's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase CABOT OIL & GAS CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	COG	23	$38.67	-$889.38
Pam's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Sale CAPITAL ONE FINL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	COF	-45	$75.72	$3,407.49
Pam's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase COGNIZANT TECH SOLUTNS A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CTSH	31	$49.30	-$1,528.30
Pam's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase COSTCO WHOLESALE CRP DEL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	COST	24	$114.58	-$2,749.99
Pam's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase CATERPILLAR INC DEL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CAT	20	$104.69	-$2,093.89
Pam's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase COVIDIEN PLC SHS NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	COV	38	$71.68	-$2,723.65

Pam's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase DICKS SPORTING GOODS INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	DKS	40	$52.03	-$2,081.10
Pam's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase DISCOVERY COMMUNICATN INC SERIES A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	DISCA	27	$77.49	-$2,092.14
Pam's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase EXPRESS SCRIPTS HLDG CO PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ESRX	31	$67.03	-$2,077.83
Pam's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Sale EATON CORP PLC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ETN	-54	$72.87	$3,934.89
Pam's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase FEDEX CORP DELAWARE COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	FDX	20	$136.20	-$2,724.05
Pam's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Sale GENL DYNAMICS CORP COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	GD	-34	$111.40	$3,787.56

Pam's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase GOOGLE INC CL A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	GOOGL	2	$534.66	-$1,069.33
Pam's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase HONEYWELL INTL INC DEL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	HON	22	$92.76	-$2,040.67
Pam's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Sale HESS CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	HES	-50	$88.46	$4,422.89
Pam's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase MONSANTO CO NEW DEL COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	MON	18	$114.86	-$2,067.56
Pam's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase NOBLE ENERGY INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	NBL	17	$70.97	-$1,206.48
Pam's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase PIONEER NATURAL RES CO PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	PXD	5	$197.98	-$989.91

Pam's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase PRECISION CASTPARTS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	PCP	11	$259.69	-$2,856.58
Pam's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase SCHLUMBERGER LTD PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	SLB	11	$101.36	-$1,115.01
Pam's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase SALESFORCE COM INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CRM	13	$53.61	-$696.96
Pam's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase THERMO FISHER SCIENTIFIC INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	TMO	24	$114.83	-$2,756.00
Pam's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase VMWARE, INC. PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	VMW	17	$93.17	-$1,583.93
Pam's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase ZOETIS INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ZTS	68	$30.54	-$2,076.56

Pam's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase WHOLE FOODS MKT INC COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	WFM	57	$48.23	-$2,749.07
Pam's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Sale WELLS FARGO & CO NEW DEL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	WFC	-78	$49.49	$3,860.32
Pam's Advisory IRA	Settled	5/2/2014	5/7/2014	SecurityTransactions	Sale CITIGROUP INC COM NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	C	-62	$47.78	$2,962.28
Pam's Advisory IRA	Settled	5/2/2014	5/7/2014	SecurityTransactions	Sale GENERAL MOTORS CO COMMON SHARES PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	GM	-94	$35.13	$3,302.05
Pam's Advisory IRA	Settled	4/22/2014	4/25/2014	SecurityTransactions	Sale ELI LILLY & CO PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	LLY	-66	$60.14	$3,968.98
Pam's Advisory IRA	Settled	4/21/2014	4/24/2014	SecurityTransactions	Sale AUTONATION INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AN	-66	$54.45	$3,593.59

Pam's Advisory IRA	Settled	4/21/2014	4/24/2014	SecurityTransactions	Sale AMAZON COM INC COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AMZN	-2	$324.92	$649.83
Pam's Advisory IRA	Settled	4/21/2014	4/24/2014	SecurityTransactions	Sale DOLLAR TREE INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	DLTR	-56	$50.67	$2,837.56
Pam's Advisory IRA	Settled	4/21/2014	4/24/2014	SecurityTransactions	Sale FLUOR CORP NEW DEL COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	FLR	-26	$77.41	$2,012.74
Pam's Advisory IRA	Settled	4/21/2014	4/24/2014	SecurityTransactions	Sale GILEAD SCIENCES INC COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	GILD	-13	$70.37	$914.77
Pam's Advisory IRA	Settled	4/21/2014	4/24/2014	SecurityTransactions	Sale O'REILLY AUTOMOTIVE INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ORLY	-25	$146.65	$3,666.26
Pam's Advisory IRA	Settled	4/11/2014	4/16/2014	SecurityTransactions	Sale HUNTNGTN BANCSHS INC MD PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	HBAN	-351	$9.41	$3,303.86

Pam's Advisory IRA	Settled	4/7/2014	4/10/2014	SecurityTransactions	Purchase AVAGO TECHNOLOGIES LTD PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AVGO	2	$59.48	-$118.96
Pam's Advisory IRA	Settled	4/7/2014	4/10/2014	SecurityTransactions	Sale BIOGEN IDEC INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	BIIB	-12	$282.33	$3,387.84
Pam's Advisory IRA	Settled	4/7/2014	4/10/2014	SecurityTransactions	Purchase COGNIZANT TECH SOLUTNS A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CTSH	4	$48.74	-$194.97
Pam's Advisory IRA	Settled	4/7/2014	4/10/2014	SecurityTransactions	Sale REGENERON PHARMACTCLS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	REGN	-4	$285.00	$1,139.97
Pam's Advisory IRA	Settled	4/4/2014	4/9/2014	SecurityTransactions	Purchase AVAGO TECHNOLOGIES LTD PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AVGO	13	$63.50	-$825.50
Pam's Advisory IRA	Settled	4/4/2014	4/9/2014	SecurityTransactions	Purchase APPLE INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AAPL	2	$537.29	-$1,074.57

Pam's Advisory IRA	Settled	4/4/2014	4/9/2014	SecurityTransactions	Purchase COGNIZANT TECH SOLUTNS A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CTSH	21	$51.15	-$1,074.18
Pam's Advisory IRA	Settled	4/4/2014	4/9/2014	SecurityTransactions	Sale CELGENE CORP COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CELG	-21	$143.70	$3,017.70
Pam's Advisory IRA	Settled	4/4/2014	4/9/2014	SecurityTransactions	Purchase NOBLE ENERGY INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	NBL	12	$71.00	-$852.00
Pam's Advisory IRA	Settled	4/4/2014	4/9/2014	SecurityTransactions	Purchase PIONEER NATURAL RES CO PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	PXD	5	$191.00	-$955.00
Pam's Advisory IRA	Settled	4/4/2014	4/9/2014	SecurityTransactions	Purchase SCHLUMBERGER LTD PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	SLB	9	$98.70	-$888.30
Pam's Advisory IRA	Settled	4/4/2014	4/9/2014	SecurityTransactions	Sale VERTEX PHARMCTLS INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	VRTX	-16	$68.98	$1,103.61

Pam's Advisory IRA	Settled	4/3/2014	4/8/2014	SecurityTransactions	Purchase ADOBE SYS DEL PV$ 0.001 PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ADBE	22	$63.87	-$1,405.21
Pam's Advisory IRA	Settled	4/3/2014	4/8/2014	SecurityTransactions	Purchase CABOT OIL & GAS CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	COG	30	$35.30	-$1,059.10
Pam's Advisory IRA	Settled	4/3/2014	4/8/2014	SecurityTransactions	Purchase GOOGLE INC CL A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	GOOGL	2	$568.85	-$1,137.70
Pam's Advisory IRA	Settled	4/3/2014	4/8/2014	SecurityTransactions	Purchase SALESFORCE COM INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CRM	13	$56.83	-$738.75
Pam's Advisory IRA	Settled	4/3/2014	4/8/2014	SecurityTransactions	Purchase VMWARE, INC. PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	VMW	13	$107.16	-$1,393.08
Pam's Advisory IRA	Settled	4/2/2014	4/7/2014	SecurityTransactions	Purchase ISHARES NASDAQ BIOTECH ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IBB	4	$243.24	-$972.97

Pam's Advisory IRA	Settled	4/2/2014	4/7/2014	SecurityTransactions	Purchase VANGUARD CONSUMER STAPLES ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VDC	20	$110.84	-$2,216.77
Pam's Advisory IRA	Settled	4/2/2014	4/7/2014	SecurityTransactions	Purchase VANGUARD MATERIALS ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VAW	8	$107.39	-$859.15
Pam's Advisory IRA	Settled	4/2/2014	4/7/2014	SecurityTransactions	Purchase VANGUARD INFORMATION TECH ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VGT	55	$92.78	-$5,102.72
Pam's Advisory IRA	Settled	4/2/2014	4/7/2014	SecurityTransactions	Purchase VANGUARD ENERGY ETF FDS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VDE	26	$129.18	-$3,358.59
Pam's Advisory IRA	Settled	4/2/2014	4/7/2014	SecurityTransactions	Purchase VANGUARD INDUSTRIAL ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VIS	49	$102.16	-$5,005.63

Pam's Advisory IRA	Settled	4/2/2014	4/7/2014	SecurityTransactions	Purchase FIRST TR EXCHANGE TRADED FD DOW JONES IN PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	FDN	11	$60.39	-$664.27
Pam's Advisory IRA	Settled	4/2/2014	4/7/2014	SecurityTransactions	Sale DWA TECH LEADERS PORT POWERSHARES ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	PDP	-240	$37.86	$9,086.46
Pam's Advisory IRA	Settled	4/2/2014	4/7/2014	SecurityTransactions	Sale ISHARES U.S. TECHNOLOGY PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IYW	-217	$92.24	$20,016.25
Pam's Advisory IRA	Settled	4/2/2014	4/7/2014	SecurityTransactions	Purchase FIRST TR ISE CLOUD COMP IDX ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	SKYY	23	$27.41	-$630.36
Pam's Advisory IRA	Settled	4/2/2014	4/7/2014	SecurityTransactions	Purchase HEALTH CARE SELECT SPDR PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLV	64	$59.07	-$3,780.26

Pam's Advisory IRA	Settled	4/2/2014	4/7/2014	SecurityTransactions	Purchase CONSUMER DISCRETIONARY SPDR PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLY	51	$66.02	-$3,367.05
Pam's Advisory IRA	Settled	4/2/2014	4/7/2014	SecurityTransactions	Sale SECTOR SPDR ENERGY PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLE	-30	$89.62	$2,688.61
Pam's Advisory IRA	Settled	3/18/2014	3/19/2014	SecurityTransactions	Purchase OPPENHEIMER DEVELOPING MARKETS FD CL Y FRAC SHR QUANTITY .808 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	ODVYX	18	$35.86	-$674.45
Pam's Advisory IRA	Settled		3/19/2014	SecurityTransactions	Journal Entry OPPENHEIMER DEVELOPING MARKETS FD CL Y FULL SHARE ACCUM SHARE VALUE $35.53	ODVYX	1		$0.00
Pam's Advisory IRA	Settled	3/6/2014	3/11/2014	SecurityTransactions	Purchase CELGENE CORP COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CELG	8	$164.27	-$1,314.13
Pam's Advisory IRA	Settled	2/21/2014	2/26/2014	SecurityTransactions	Purchase AETNA INC NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AET	23	$70.30	-$1,616.90

Pam's Advisory IRA	Settled	2/21/2014	2/26/2014	SecurityTransactions	Purchase GENERAL MOTORS CO COMMON SHARES PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GM	46	$36.20	-$1,665.11
Pam's Advisory IRA	Settled	2/6/2014	2/11/2014	SecurityTransactions	Sale VALERO ENERGY CORP NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VLO	-71	$46.83	$3,324.61
Pam's Advisory IRA	Settled	2/5/2014	2/10/2014	SecurityTransactions	Purchase AETNA INC NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AET	25	$67.10	-$1,677.50
Pam's Advisory IRA	Settled	2/5/2014	2/10/2014	SecurityTransactions	Purchase GENERAL MOTORS CO COMMON SHARES PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GM	48	$35.21	-$1,690.08
Pam's Advisory IRA	Settled	2/3/2014	2/6/2014	SecurityTransactions	Sale GENL DYNAMICS CORP COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GD	-5	$100.80	$503.99
Pam's Advisory IRA	Settled	1/31/2014	2/5/2014	SecurityTransactions	Purchase CELGENE CORP COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CELG	5	$150.98	-$754.92

Pam's Advisory IRA	Settled	1/31/2014	2/5/2014	SecurityTransactions	Purchase FLUOR CORP NEW DEL COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	FLR	10	$75.82	-$758.20
Pam's Advisory IRA	Settled	1/29/2014	2/3/2014	SecurityTransactions	Sale EXXON MOBIL CORP COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XOM	-30	$96.00	$2,879.95
Pam's Advisory IRA	Settled	1/23/2014	1/28/2014	SecurityTransactions	Sale BIOGEN IDEC INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	BIIB	-2	$308.00	$615.99
Pam's Advisory IRA	Settled	1/23/2014	1/28/2014	SecurityTransactions	Purchase CELGENE CORP COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CELG	8	$167.28	-$1,338.24
Pam's Advisory IRA	Settled	1/23/2014	1/28/2014	SecurityTransactions	Purchase FLUOR CORP NEW DEL COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	FLR	16	$81.94	-$1,310.96
Pam's Advisory IRA	Settled	1/23/2014	1/28/2014	SecurityTransactions	Purchase REGENERON PHARMACTCLS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	REGN	4	$289.71	-$1,158.83

Pam's Advisory IRA	Settled	1/23/2014	1/28/2014	SecurityTransactions	Purchase VERTEX PHARMCTLS INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	VRTX	16	$83.60	-$1,337.56
Pam's Advisory IRA	Settled	1/17/2014	1/23/2014	SecurityTransactions	Sale VALERO ENERGY CORP NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VLO	-15	$51.50	$772.49
Pam's Advisory IRA	Settled	1/16/2014	1/22/2014	SecurityTransactions	Sale ISHARES CORE S&P MID-CAP ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IJH	-54	$134.74	$7,275.79
Pam's Advisory IRA	Settled	1/16/2014	1/22/2014	SecurityTransactions	Sale DWA TECH LEADERS PORT POWERSHARES ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	PDP	-149	$36.86	$5,492.08
Pam's Advisory IRA	Settled	1/17/2014	1/21/2014	SecurityTransactions	Purchase MFS INTERNATIONAL VALUE FUND CL I FRAC SHR QUANTITY .333 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	MINIX	94	$34.95	-$3,296.94
Pam's Advisory IRA	Settled		1/21/2014	SecurityTransactions	Journal Entry MFS INTERNATIONAL VALUE FUND CL I FULL SHARE ACCUM SHARE VALUE $35.09	MINIX	1		$0.00

Pam's Advisory IRA	Settled	1/14/2014	1/17/2014	SecurityTransactions	Purchase CITIGROUP INC COM NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	C	30	$54.00	-$1,619.89
Pam's Advisory IRA	Settled	1/9/2014	1/14/2014	SecurityTransactions	Purchase CITIGROUP INC COM NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	C	32	$54.95	-$1,758.40
Pam's Advisory IRA	Settled	1/7/2014	1/10/2014	SecurityTransactions	Sale CHESAPEAKE ENERGY OKLA PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CHK	-141	$26.12	$3,683.06

DAVID PHILIP ROE

SCHEDULE 3

Merrill Lynch
Wealth Management
Bank of America Corporation

Online at: **www.mymerrill.com** Account Number: **24-Hour Assistance: (800) MERRILL**

DR DAVID PHILIP ROE TTEE
U/A DTD 08/08/2007
BY DAVID PHILIP ROE
2 N CROSSBOW LN
JOHNSON CITY TN 37604-3639

Net Portfolio Value: $483,188.00

Your Financial Advisor:
SMITH CONKIN HAUSMAN DIXON & ASSOC.
206 PRINCETON RD SUITE NO 1
JOHNSON CITY TN 37601
1-423-282-5191

Trust

This account is enrolled in the Merrill Lynch Investment Advisory Program

November 29, 2014 - December 31, 2014

ASSETS	*December 31*	*November 28*
Cash/Money Accounts	**34,968.00**	33,593.65
Fixed Income	**21,373.70**	21,449.10
Equities	**66,582.28**	70,252.94
Mutual Funds	**361,725.75**	368,405.27
Options	-	-
Other	-	-
Subtotal (Long Portfolio)	***484,649.73***	*493,700.96*
Estimated Accrued Interest	**412.00**	325.38
TOTAL ASSETS	**$485,061.73**	$494,026.34

LIABILITIES		
Debit Balance	**(1,873.73)**	-
Short Market Value	-	-
TOTAL LIABILITIES	**(1,873.73)**	-
NET PORTFOLIO VALUE	**$483,188.00**	$494,026.34

CASH FLOW	*This Statement*	*Year to Date*
Opening Cash/Money Accounts	**$33,593.65**	
CREDITS		
Funds Received	-	-
Electronic Transfers	-	-
Other Credits	-	4,780.46
Subtotal	-	*4,780.46*
DEBITS		
Electronic Transfers	-	-
Margin Interest Charged	-	-
Other Debits	**(6,278.03)**	(36,885.78)
Visa Purchases *(debits)*	-	-
ATM/Cash Advances	-	-
Checks Written/Bill Payment	-	-
Subtotal	***(6,278.03)***	*(36,885.78)*
Net Cash Flow	**($6,278.03)**	($32,105.32)
Dividends/Interest Income	**6,636.67**	14,176.10
Dividend Reinvestments	**(2,998.84)**	(3,897.93)
Security Purchases/Debits	**(14,158.62)**	(184,107.43)
Security Sales/Credits	**16,299.44**	200,064.37
Closing Cash/Money Accounts	**$33,094.27**	-
Securities You Transferred In/Out	**124.22**	463.93

INVESTMENT ADVISORY PROGRAM

November 29, 2014 - December 31, 2014

We encourage you to contact your Financial Advisor(s) if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your account(s) or reasonably modify existing restrictions.

Upon your request, and at no charge, we will provide to you more detailed information regarding the Program Fees that you pay to Merrill Lynch. Please contact your Financial Advisor(s) if you would like to request this detailed Account fee information.

If you would like to receive a free copy of the current Form ADV Brochure(s) for the Investment Advisory Program, please send a written request with your account number(s) to: Managed Accounts Processing and Services, 4800 Deer Lake Drive West, Building 1, 3rd Fl., Jacksonville, FL 32246. You may also obtain a copy of the Investment Advisory Program Form ADV Brochure(s) by accessing the SEC's website at www.adviserinfo.sec.gov.

As part of our program, we provide you with periodic performance measurement reports to help you monitor and assess the performance of your account(s). Should you have any questions regarding these reports or would like performance measurement reports on a more frequent basis, please contact your Financial Advisor(s).

YOUR TAA BANK DEPOSIT INTEREST SUMMARY

Money Account Description	*Opening Balance*	*Average Deposit Balance*	*Current Yield%*	*Interest on Deposits*	*Closing Balance*
Bank of America, N.A.	33,500	33,933	.05	1.54	**34,967**
Bank of America CA, N.A.	1	1	.05	0.00	**1**
TOTAL ML Bank Deposit Program	33,501			1.54	**34,968**

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

CASH/MONEY ACCOUNTS *Description*	*Quantity*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Estimated Annual Income*	*Est. Annual Yield%*
+ML BANK DEPOSIT PROGRAM	33,314.00	33,314.00	1.0000	**33,314.00**	**17**	.05
+FDIC INSURED NOT SIPC COVERED						

+

Trust

Account Number:

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 29, 2014 - December 31, 2014

CORPORATE BONDS Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ WAL-MART STORES	06/12/09	10,000	10,031.43	101.9750	**10,197.50**	166.07	225.00	**450**	4.41
04.500% JUL 01 2015									
MOODY'S: AA2 S&P: AA CUSIP: 931142BY8									
ORIGINAL UNIT/TOTAL COST: 103.4230/10,342.30									
Δ CISCO SYSTEMS INC	03/02/11	10,000	10,416.81	111.7620	**11,176.20**	759.39	187.00	**495**	4.42
GLB 04.950% FEB 15 2019									
MOODY'S: A1 S&P: AA- CUSIP: 17275RAE2									
ORIGINAL UNIT/TOTAL COST: 107.4870/10,748.70									
TOTAL		20,000	20,448.24		**21,373.70**	925.46	412.00	**945**	4.42

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
ACTAVIS PLC SHS	ACT	07/07/14	14	220.0100	3,080.14	257.4100	**3,603.74**	523.60		
ADOBE SYS DEL PV$ 0.001	ADBE	04/03/14	23	63.8730	1,469.08	72.7000	1,672.10	203.02		
		05/05/14	24	61.5170	1,476.41	72.7000	1,744.80	268.39		
Subtotal			*47*		*2,945.49*		***3,416.90***	*471.41*		
AFFILIATED MANAGERS GRP	AMG	04/21/14	8	185.4025	1,483.22	212.2400	1,697.92	214.70		
		05/05/14	7	195.7500	1,370.25	212.2400	1,485.68	115.43		
Subtotal			*15*		*2,853.47*		***3,183.60***	*330.13*		
AMAZON COM INC COM	AMZN	05/07/12	4	224.9000	899.60	310.3500	1,241.40	341.80		
		06/21/13	4	273.5675	1,094.27	310.3500	1,241.40	147.13		
Subtotal			*8*		*1,993.87*		***2,482.80***	*488.93*		
AMERICAN TOWER REIT INC	AMT	04/21/14	14	82.8400	1,159.76	98.8500	1,383.90	224.14	22	1.53
(HLDG CO) SHS		05/05/14	11	88.3872	972.26	98.8500	1,087.35	115.09	17	1.53
		12/04/14	5	101.4000	507.00	98.8500	494.25	(12.75)	8	1.53
Subtotal			*30*		*2,639.02*		***2,965.50***	*326.48*	***47***	*1.53*

+

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 29, 2014 - December 31, 2014

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
APPLE INC	AAPL	04/04/14	21	76.7552	1,611.86	110.3800	2,317.98	706.12	40	1.70
		05/05/14	7	85.6114	599.28	110.3800	772.66	173.38	14	1.70
Subtotal			*28*		*2,211.14*		***3,090.64***	*879.50*	***54***	*1.70*
AVAGO TECHNOLOGIES LTD	AVGO	04/04/14	18	63.5000	1,143.00	100.5900	1,810.62	667.62	26	1.39
		05/05/14	16	64.2593	1,028.15	100.5900	1,609.44	581.29	23	1.39
Subtotal			*34*		*2,171.15*		***3,420.06***	*1,248.91*	***49***	*1.39*
BORG WARNER INC COM	BWA	04/21/14	18	63.1444	1,136.60	54.9500	989.10	(147.50)	10	.94
		05/05/14	18	60.3472	1,086.25	54.9500	989.10	(97.15)	10	.94
Subtotal			*36*		*2,222.85*		***1,978.20***	*(244.65)*	***20***	*.94*
CATERPILLAR INC DEL	CAT	04/21/14	11	102.1772	1,123.95	91.5300	1,006.83	(117.12)	31	3.05
		05/05/14	10	104.6950	1,046.95	91.5300	915.30	(131.65)	28	3.05
Subtotal			*21*		*2,170.90*		***1,922.13***	*(248.77)*	***59***	*3.05*
COSTCO WHOLESALE CRP DEL	COST	04/21/14	13	113.9846	1,481.80	141.7500	1,842.75	360.95	19	1.00
		05/05/14	12	114.5825	1,374.99	141.7500	1,701.00	326.01	18	1.00
Subtotal			*25*		*2,856.79*		***3,543.75***	*686.96*	***37***	*1.00*
DANAHER CORP DEL COM	DHR	08/06/14	39	74.0146	2,886.57	85.7100	**3,342.69**	456.12	**16**	.46
DICKS SPORTING GOODS INC	DKS	04/21/14	21	52.2619	1,097.50	49.6500	1,042.65	(54.85)	11	1.00
		05/05/14	21	52.0276	1,092.58	49.6500	1,042.65	(49.93)	11	1.00
Subtotal			*42*		*2,190.08*		***2,085.30***	*(104.78)*	***22***	*1.00*
DISNEY (WALT) CO COM STK	DIS	06/26/14	27	84.3592	2,277.70	94.1900	**2,543.13**	265.43	**32**	1.22
EXPRESS SCRIPTS HLDG CO	ESRX	04/21/14	16	71.2800	1,140.48	84.6700	1,354.72	214.24		
		05/05/14	17	67.0264	1,139.45	84.6700	1,439.39	299.94		
Subtotal			*33*		*2,279.93*		***2,794.11***	*514.18*		
FEDEX CORP DELAWARE COM	FDX	04/21/14	11	136.4600	1,501.06	173.6600	1,910.26	409.20	9	.46
		05/05/14	10	136.2030	1,362.03	173.6600	1,736.60	374.57	8	.46
Subtotal			*21*		*2,863.09*		***3,646.86***	*783.77*	***17***	*.46*
↓ GILEAD SCIENCES INC COM	GILD	12/16/13	36	71.9075	2,588.67	94.2600	**3,393.36**	804.69		

Trust

Account Number:

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 29, 2014 - December 31, 2014

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
↓ GOOGLE INC CL A	GOOGL	04/03/14	2	568.8500	1,137.70	530.6600	1,061.32	(76.38)		
		05/05/14	2	534.6650	1,069.33	530.6600	1,061.32	(8.01)		
		12/04/14	2	536.1500	1,072.30	530.6600	1,061.32	(10.98)		
Subtotal			*6*		*3,279.33*		***3,183.96***	*(95.37)*		
HONEYWELL INTL INC DEL	HON	04/21/14	12	93.1175	1,117.41	99.9200	1,199.04	81.63	25	2.07
		05/05/14	12	92.7575	1,113.09	99.9200	1,199.04	85.95	25	2.07
Subtotal			*24*		*2,230.50*		***2,398.08***	*167.58*	***50***	*2.07*
MONDELEZ INTERNATIONAL INC	MDLZ	05/13/14	78	37.8782	2,954.50	36.3250	**2,833.35**	(121.15)	**47**	1.65
MONSANTO CO NEW DEL COM	MON	04/21/14	10	112.8880	1,128.88	119.4700	1,194.70	65.82	20	1.64
		05/05/14	9	114.8644	1,033.78	119.4700	1,075.23	41.45	18	1.64
Subtotal			*19*		*2,162.66*		***2,269.93***	*107.27*	***38***	*1.64*
SALESFORCE COM INC	CRM	04/03/14	13	56.8269	738.75	59.3100	771.03	32.28		
		05/05/14	14	53.6121	750.57	59.3100	830.34	79.77		
		08/28/14	11	58.6400	645.04	59.3100	652.41	7.37		
Subtotal			*38*		*2,134.36*		***2,253.78***	*119.42*		
THERMO FISHER SCIENTIFIC INC	TMO	04/21/14	13	119.1623	1,549.11	125.2900	1,628.77	79.66	8	.47
		05/05/14	12	114.8333	1,378.00	125.2900	1,503.48	125.48	8	.47
Subtotal			*25*		*2,927.11*		***3,132.25***	*205.14*	***16***	*.47*
ZOETIS INC	ZTS	04/21/14	38	28.9234	1,099.09	43.0300	1,635.14	536.05	13	.77
		05/05/14	34	30.5376	1,038.28	43.0300	1,463.02	424.74	12	.77
Subtotal			*72*		*2,137.37*		***3,098.16***	*960.79*	***25***	*.77*
TOTAL					58,056.69		**66,582.28**	8,525.59	**529**	.79

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
CALAMOS HIGH INCOME FUND *CL I*	462	4,610.53	9.0100	**4,162.62**	(447.91)	4,610	**(447)**	**273**	6.54

+

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 29, 2014 - December 31, 2014

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
SYMBOL: CIHYX Initial Purchase: 09/21/12									
Fixed Income 100%									
.2210 Fractional Share		*2.21*	*9.0100*	***1.99***	*(0.22)*			***1***	*6.54*
CONSUMER DISCRETIONARY	54	3,565.11	72.1500	**3,896.10**	330.99	3,565	**330**	**51**	1.30
SPDR									
SYMBOL: XLY Initial Purchase: 04/02/14									
Equity 100%									
DOUBLELINE CORE FIXED	356	3,912.44	10.9800	**3,908.88**	(3.56)	3,912	**(3)**	**159**	4.05
INCOME FUND CL I									
SYMBOL: DBLFX Initial Purchase: 11/20/14									
Fixed Income 100%									
.7610 Fractional Share		*8.36*	*10.9800*	***8.36***				***1***	*4.05*
FIRST TR EXCHANGE TRADED	11	664.27	61.3200	**674.52**	10.25	664	**10**		
FD DOW JONES IN									
SYMBOL: FDN Initial Purchase: 04/02/14									
Equity 100%									
FIRST TR ISE CLOUD COMP	25	685.17	28.4500	**711.25**	26.08	685	**26**	**1**	.05
IDX ETF									
SYMBOL: SKYY Initial Purchase: 04/02/14									
Equity 100%									
FIRST EAGLE	974	20,187.51	22.1900	**21,613.06**	1,425.55	15,695	**5,917**		
OVERSEAS CL I									
SYMBOL: SGOIX Initial Purchase: 09/25/08									
Equity 100%									
.7950 Fractional Share		*17.35*	*22.1900*	***17.64***	*.29*				

+

Trust

Account Number:

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 29, 2014 - December 31, 2014

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
GUGGENHEIM BULLETSHARES *2016 CORPORATE BOND ETF* *SYMBOL: BSCG Initial Purchase: 09/16/10* *Fixed Income 100%*	209	4,410.86	22.1000	**4,618.90**	208.04	4,410	**208**	**56**	1.19
GUGGENHEIM BULLETSHARES *2017 CORPORATE BOND ETF* *SYMBOL: BSCH Initial Purchase: 03/16/12* *Fixed Income 100%*	212	4,655.52	22.7100	**4,814.52**	159.00	4,655	**159**	**77**	1.58
GUGGENHEIM BULLETSHARES *2015 H Y CORP* *SYMBOL: BSJF Initial Purchase: 06/14/11* *Fixed Income 100%*	323	8,300.62	25.8700	**8,356.01**	55.39	8,300	**55**	**305**	3.64
GUGGENHEIM BULLETSHARES *2016 HIGH YIELD CORP BD* *SYMBOL: BSJG Initial Purchase: 02/03/14* *Fixed Income 100%*	141	3,804.18	25.8900	**3,650.49**	(153.69)	3,804	**(153)**	**145**	3.95
HEALTH CARE SELECT SPDR *SYMBOL: XLV Initial Purchase: 04/02/14* *Equity 100%*	68	4,016.52	68.3800	**4,649.84**	633.32	4,016	**633**	**63**	1.34
ISHARES CORE S&P MID-CAP *ETF* *SYMBOL: IJH Initial Purchase: 06/15/11* *Equity 100%*	65	5,552.61	144.8000	**9,412.00**	3,859.39	5,552	**3,859**	**127**	1.34
ISHARES RUSSELL 1000 *GROWTH*	110	10,625.93	95.6100	**10,517.10**	(108.83)	10,625	**(108)**	**140**	1.32

+

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 29, 2014 - December 31, 2014

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
SYMBOL: IWF *Initial Purchase: 11/24/14* *Equity 100%*									
ISHARES NASDAQ BIOTECH *ETF* *SYMBOL: IBB* *Initial Purchase: 04/02/14* *Equity 100%*	4	972.97	303.3500	**1,213.40**	240.43	972	**240**	**3**	.19
ISHARES MSCI EMERGING *MKTS* *SYMBOL: EEM* *Initial Purchase: 12/17/14* *Equity 100%*	165	6,258.45	39.2900	**6,482.85**	224.40	6,258	**224**	**145**	2.22
ISHARES SHORT TREASURY *BOND ETF* *SYMBOL: SHV* *Initial Purchase: 08/21/14* *Fixed Income 100%*	83	9,153.24	110.2500	**9,150.75**	(2.49)	9,153	**(2)**		
ISHARES U.S. PREFERRED *STOCK ETF* *SYMBOL: PFF* *Initial Purchase: 02/22/12* *Fixed Income 100%*	91	3,564.97	39.4400	**3,589.04**	24.07	3,564	**24**	**227**	6.31
ISHARES S&P 100 *SYMBOL: OEF* *Initial Purchase: 10/19/11* *Equity 100%*	590	36,247.68	90.9400	**53,654.60**	17,406.92	36,247	**17,406**	**991**	1.84
JAMES SMALL CAP FUND *SYMBOL: JASCX* *Initial Purchase: 02/24/12* *Equity 100%*	222	5,590.82	33.7100	**7,483.62**	1,892.80	5,590	**1,892**	**201**	2.68
.6750 Fractional Share		*16.90*	*33.7100*	*22.75*	*5.85*			*1*	*2.68*

+

Trust

Account Number:

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 29, 2014 - December 31, 2014

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
MFS INTERNATIONAL VALUE FUND CL I SYMBOL: MINIX Initial Purchase: 09/25/13 Equity 100%	420	14,532.04	34.5400	**14,506.80**	(25.24)	14,532	**(25)**	**323**	2.22
.1890 Fractional Share		*6.61*	*34.5400*	***6.53***	*(0.08)*			***1***	*2.22*
OPPENHEIMER INTERNATIONAL BOND FD Y SYMBOL: OIBYX Initial Purchase: 11/23/07 Fixed Income 100%	1,422	9,253.12✦	5.9100	**8,404.02**	(849.10)	8,741	**(337)**	**285**	3.38
.7640 Fractional Share		*4.60*	*5.9100*	***4.52***	*(0.08)*			***1***	*3.38*
OPPENHEIMER DEVELOPING MARKETS FD CL Y SYMBOL: ODVYX Initial Purchase: 10/05/12 Equity 100%	182	6,585.38	35.0600	**6,380.92**	(204.46)	6,585	**(204)**	**41**	.63
.2590 Fractional Share		*9.96*	*35.0600*	***9.08***	*(0.88)*			***1***	*.63*
OPPENHEIMER INTERNATL GROWTH FD CL Y SYMBOL: OIGYX Initial Purchase: 01/20/12 Equity 100%	452	12,610.87	35.0800	**15,856.16**	3,245.29	12,610	**3,245**	**185**	1.16
.4930 Fractional Share		*14.54*	*35.0800*	***17.29***	*2.75*			***1***	*1.16*
PIMCO TOTAL RETURN FUND CL P SYMBOL: PTTPX Initial Purchase: 05/21/10 Fixed Income 100%	360	3,999.82	10.6600	**3,837.60**	(162.22)	3,249	**588**	**80**	2.06
.6340 Fractional Share		*6.89*	*10.6600*	***6.76***	*(0.13)*			***1***	*2.06*

+

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 29, 2014 - December 31, 2014

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
POWERSHARES BUILD AMERIC	311	7,986.08	30.4400	**9,466.84**	1,480.76	7,986	**1,480**	**435**	4.59
BOND ETF									
SYMBOL: BAB Initial Purchase: 02/12/10									
Fixed Income 100%									
PRINCIPAL PREFERRED	431	4,411.56	10.2100	**4,400.51**	(11.05)	4,411	**(11)**	**231**	5.24
SECURITIES FUND CL P									
SYMBOL: PPSPX Initial Purchase: 03/16/12									
Fixed Income 100%									
.4710 Fractional Share		*5.04*	*10.2100*	***4.81***	*(0.23)*			***1***	*5.24*
PUTNAM DIVERSIFIED	964	7,472.28	7.5400	**7,268.56**	(203.72)	7,472	**(203)**	**399**	5.47
INCOME TRUST FUND CL Y									
SYMBOL: PDVYX Initial Purchase: 06/28/13									
Alternative Investments 100%									
.6350 Fractional Share		*4.93*	*7.5400*	***4.79***	*(0.14)*			***1***	*5.47*
RIDGEWORTH SEIX FLOATING	508	4,581.49	8.7500	**4,445.00**	(136.49)	4,581	**(136)**	**196**	4.40
RATE HI INC FD CL I									
SYMBOL: SAMBX Initial Purchase: 12/26/12									
Fixed Income 100%									
.0860 Fractional Share		*0.78*	*8.7500*	***.75***	*(0.03)*			***1***	*4.40*
ROYCE PENNSYLVNIA MUTUAL	875	8,641.87	13.0000	**11,375.00**	2,733.13	5,977	**5,397**	**41**	.35
FUND CL INVESTMENT									
SYMBOL: PENNX Initial Purchase: 07/22/09									
Equity 100%									
.1770 Fractional Share		*2.24*	*13.0000*	***2.30***	*.06*			***1***	*.35*
RS FLOATING RATE FUND	444	4,605.04✦	9.8300	**4,364.52**	(240.52)	4,308	**56**	**197**	4.49
CL Y									

+

Trust Account Number:

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 29, 2014 - December 31, 2014

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
SYMBOL: RSFYX Initial Purchase: 02/18/11									
Fixed Income 100%									
.1640 Fractional Share		*1.66*	*9.8300*	***1.61***	*(0.05)*			***1***	*4.49*
RS LOW DURATION BOND FD	440	4,496.36	10.0300	**4,413.20**	(83.16)	4,496	**(83)**	**92**	2.06
CL Y									
SYMBOL: RSDYX Initial Purchase: 11/16/11									
Fixed Income 100%									
.8650 Fractional Share		*8.85*	*10.0300*	***8.68***	*(0.17)*			***1***	*2.06*
SCOUT MID CAP FUND	400	5,251.27	15.4400	**6,176.00**	924.73	5,251	**924**	**7**	.10
CL RETAIL									
SYMBOL: UMBMX Initial Purchase: 07/13/12									
Equity 100%									
.5540 Fractional Share		*7.27*	*15.4400*	***8.55***	*1.28*			***1***	*.10*
SECTOR SPDR ENERGY	85	6,320.87	79.1600	**6,728.60**	407.73	6,320	**407**	**159**	2.34
SYMBOL: XLE Initial Purchase: 12/16/14									
Equity 100%									
SECTOR SPDR FINANCIAL	365	6,075.51	24.7300	**9,026.45**	2,950.94	6,075	**2,950**	**145**	1.60
SYMBOL: XLF Initial Purchase: 12/31/12									
Equity 100%									
TCW TOTAL RETURN	575	5,741.89	10.3100	**5,928.25**	186.36	5,384	**544**	**143**	2.39
BOND FD CL I									
SYMBOL: TGLMX Initial Purchase: 06/29/10									
Fixed Income 100%									
.5840 Fractional Share		*6.02*	*10.3100*	***6.02***				***1***	*2.39*
TEMPLETON GLBL BOND FD	644	8,207.29	12.4100	**7,992.04**	(215.25)	7,424	**567**	**273**	3.40

+

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 29, 2014 - December 31, 2014

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
ADV CL									
	61	N/A	12.4100	**757.01**	N/A			**26**	3.40
SYMBOL: TGBAX Initial Purchase: 06/30/09									
Fixed Income 100%									
.7290 Fractional Share		8.96	12.4100	**9.05**	.09			**1**	3.40
VANGUARD CONSUMER STAPLES ETF	21	2,327.61	125.2400	**2,630.04**	302.43	2,327	**302**	**51**	1.92
SYMBOL: VDC Initial Purchase: 04/02/14									
Equity 100%									
VANGUARD MATERIALS ETF	8	859.15	107.3900	**859.12**	(0.03)	859		**16**	1.76
SYMBOL: VAW Initial Purchase: 04/02/14									
Equity 100%									
VANGUARD INFORMATION TECH ETF	59	5,473.83	104.4800	**6,164.32**	690.49	5,473	**690**	**70**	1.12
SYMBOL: VGT Initial Purchase: 04/02/14									
Equity 100%									
VANGUARD INDUSTRIAL ETF	52	5,312.10	106.8200	**5,554.64**	242.54	5,312	**242**	**87**	1.56
SYMBOL: VIS Initial Purchase: 04/02/14									
Equity 100%									
VANGUARD DIVIDEND APPRECIATION ETF	479	29,267.54	81.1600	**38,875.64**	9,608.10	29,267	**9,608**	**760**	1.95
SYMBOL: VIG Initial Purchase: 12/21/12									
Equity 100%									
VICTORY FD FOR INCOME CL Y	414	4,602.98	10.1600	**4,206.24**	(396.74)	4,602	**(396)**	**214**	5.06

+

Trust

Account Number:

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 29, 2014 - December 31, 2014

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
SYMBOL: VFFYX Initial Purchase: 04/16/13									
Fixed Income 100%									
.6270 Fractional Share		*6.97*	*10.1600*	***6.37***	*(0.60)*			***1***	*5.06*
WESTERN ASSET INVT GRADE	249	5,505.17	21.0000	**5,229.00**	(276.17)	5,505	**(276)**	**299**	5.71
DEFINED OPPORTUNITY TR									
SYMBOL: IGI Initial Purchase: 03/14/13									
Fixed Income 100%									
Subtotal (Fixed Income)				*105,754.36*					
Subtotal (Equities)				*244,526.17*					
Subtotal (Alternative Investments)				*7,273.35*					
TOTAL		311,040.66		**357,553.88**	45,756.21		**55,599**	**7,737**	2.16
TOTAL PRINCIPAL INVESTMENTS		422,859.59		**478,823.86**	55,207.26			**9,228**	1.93

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.
Cumulative Investment Return: Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.
Initial Purchase: Date of your initial investment in this fund.

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

Notes
Δ Debt Instruments purchased at a premium show amortization θ Debt Instruments purchased at a discount show accretion
Total values exclude N/A items
✦Cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and the acquisition date has been adjusted to

+

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 29, 2014 - December 31, 2014

Include the holding period of the lot closed by that previous "Wash Sale".
For Credit Ratings: S&P and Moody's provide credit ratings on the credit quality of certain bonds and preferred stocks. For a credit enhanced security, Moody's and S&P publish and provide third party vendors the higher of the rating on the credit enhancer (guarantor) or the stand alone rating on the underlying security.

YOUR TAA STATEMENT OF INCOME INVESTMENTS

CASH/MONEY ACCOUNTS *Description*	*Quantity*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Estimated Annual Income*	*Est. Annual Yield%*
CASH	535.55	535.55		535.55		
+ML BANK DEPOSIT PROGRAM *+FDIC INSURED NOT SIPC COVERED*	1,654.00	1,654.00	1.0000	**1,654.00**	1	.05
TOTAL		2,189.55		**2,189.55**	1	.05

MUTUAL FUNDS/CLOSED END FUNDS/UIT *Description*	*Quantity*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Total Client Investment*	*Cumulative Investment Return($)*	*Estimated Annual Income*	*Current Yield%*
FIRST EAGLE *OVERSEAS CL I* *SYMBOL: SGOIX* *Initial Purchase:12/17/10* *Equity 100%*	73	1,602.61	22.1900	**1,619.87**	17.26		**1,619**		
OPPENHEIMER *INTERNATIONAL BOND FD Y* *SYMBOL: OIBYX* *Initial Purchase:REINV* *Fixed Income 100%*	84	518.45✦	5.9100	**496.44**	(22.01)		**496**	**17**	3.38
PIMCO TOTAL RETURN FUND *CL P* *SYMBOL: PTTPX* *Initial Purchase:REINV* *Fixed Income 100%*	13	141.46	10.6600	**138.58**	(2.88)		**138**	**3**	2.06
ROYCE PENNSYLVNIA MUTUAL	11	125.96	13.0000	**143.00**	17.04		**143**	**1**	.35

+

Trust   Account Number: - . . . . -

YOUR TAA STATEMENT OF INCOME INVESTMENTS

November 29, 2014 - December 31, 2014

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
FUND CL INVESTMENT SYMBOL: PENNX Initial Purchase:REINV Equity 100%									
RS FLOATING RATE FUND CL Y SYMBOL: RSFYX Initial Purchase:REINV Fixed Income 100%	34	348.66✦	9.8300	**334.22**	(14.44)		**334**	**15**	4.49
TCW TOTAL RETURN BOND FD CL I SYMBOL: TGLMX Initial Purchase:REINV Fixed Income 100%	59	593.91✦	10.3100	**608.29**	14.38		**608**	**15**	2.39
TEMPLETON GLBL BOND FD ADV CL SYMBOL: TGBAX Initial Purchase:REINV Fixed Income 100%	67	855.17	12.4100	**831.47**	(23.70)		**831**	**29**	3.40
Subtotal (Fixed Income)				*2,409.00*					
Subtotal (Equities)				*1,762.87*					

+

YOUR TAA STATEMENT OF INCOME INVESTMENTS

November 29, 2014 - December 31, 2014

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
TOTAL		4,186.22		**4,171.87**	(14.35)		**4,169**	**80**	1.92
TOTAL INCOME INVESTMENTS		6,375.77		**6,361.42**	(14.35)			**80**	1.27

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment. Does not apply to income investments "denoted by "N/A".

Cumulative Investment Return: Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.

Initial Purchase: Date of your initial investment in this fund.

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL PRINCIPAL/INCOME INVESTMENTS	429,235.36	**485,185.28**	55,192.91	412.00	**9,308**	1.92

✦Cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and the acquisition date has been adjusted to include the holding period of the lot closed by that previous "Wash Sale".

YOUR TAA TRANSACTIONS

DIVIDENDS/INTEREST INCOME TRANSACTIONS

Date	Transaction Type	Quantity	Description	Reinvestment	Income Cash	Principal Cash	Income Year To Date
12/31	¤ Bank Interest		BANK DEPOSIT INTEREST		.54		
			BANKING INTEREST				
	Income Total		ML BANK DEPOSIT PROGRAM		1.00		
	Subtotal (Taxable Interest)				*1.54*		*1,584.43*
12/01	Dividend		TCW TOTAL RETURN		11.97		

+

Trust Account Number:

YOUR TAA TRANSACTIONS

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS *(continued)*

Date	Transaction Type	Quantity	Description	Reinvestment	Income Cash	Principal Cash	Income Year To Date
			BOND FD CL I DIVIDEND PAY DATE 11/28/2014				
12/01	Reinvestment		TCW TOTAL RETURN BOND FD CL I REINVESTMENT	(11.97)	(11.97)		
12/01	Divd Reinv	1	TCW TOTAL RETURN BOND FD CL I DIVD REIN REINV AMOUNT $11.97 REINV PRICE $10.36000 QUANTITY BOT 1.1550 AS OF 11/28				
12/01	Dividend		RS LOW DURATION BOND FD CL Y DIVIDEND PAY DATE 11/28/2014		6.90		
12/01	Dividend		RIDGEWORTH SEIX FLOATING RATE HI INC FD CL I DIVIDEND PAY DATE 11/28/2014		16.59		
12/01	Dividend		RS FLOATING RATE FUND CL Y DIVIDEND PAY DATE 11/28/2014		18.55		
12/01	Reinvestment		RS FLOATING RATE FUND CL Y REINVESTMENT	(18.55)	(18.55)		
12/01	Divd Reinv	1	RS FLOATING RATE FUND CL Y DIVD REIN				

YOUR TAA TRANSACTIONS

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income Cash	Principal Cash	Income Year To Date
			REINV AMOUNT $18.55 REINV PRICE $10.10000 QUANTITY BOT 1.8370 AS OF 11/28				
12/01	Dividend		DOUBLELINE CORE FIXED INCOME FUND CL I DIVIDEND PAY DATE 11/28/2014		11.78		
12/01	Dividend		OPPENHEIMER INTERNATIONAL BOND FD Y DIVIDEND PAY DATE 11/28/2014		20.62		
12/01	Reinvestment		OPPENHEIMER INTERNATIONAL BOND FD Y REINVESTMENT	(20.62)	(20.62)		
12/01	Divd Reinv	3	OPPENHEIMER INTERNATIONAL BOND FD Y DIVD REIN REINV AMOUNT $20.62 REINV PRICE $6.01000 QUANTITY BOT 3.4310 AS OF 11/28				
12/01	Dividend		CALAMOS HIGH INCOME FUND CL I DIVIDEND PAY DATE 11/28/2014		21.60		
12/01	Dividend		PIMCO TOTAL RETURN FUND CL P DIVIDEND PAY DATE 11/28/2014		6.72		
12/01	Reinvestment		PIMCO TOTAL RETURN FUND	(6.72)	(6.72)		

+

Trust

Account Number:

YOUR TAA TRANSACTIONS

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income Cash	Principal Cash	Income Year To Date
			CL P REINVESTMENT				
12/01	Divd Reinv		PIMCO TOTAL RETURN FUND CL P REINV AMOUNT $6.72 REINV PRICE $11.03000 QUANTITY BOT .6090 AS OF 11/28				
12/02	Dividend		ZOETIS INC DIVIDEND HOLDING 72.0000 PAY DATE 12/02/2014		5.18		
12/05	Dividend		ISHARES U.S. PREFERRED STOCK ETF DIVIDEND HOLDING 91.0000 PAY DATE 12/05/2014		16.43		
12/05	Dividend		GUGGENHEIM BULLETSHARES 2016 CORPORATE BOND ETF DIVIDEND HOLDING 209.0000 PAY DATE 12/05/2014		4.12		
12/05	Dividend		GUGGENHEIM BULLETSHARES 2017 CORPORATE BOND ETF DIVIDEND HOLDING 212.0000 PAY DATE 12/05/2014		5.60		
12/05	Dividend		GUGGENHEIM BULLETSHARES 2014 H Y CORP DIVIDEND HOLDING 141.0000		1.88		

+

YOUR TAA TRANSACTIONS

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	*Transaction Type*	*Quantity*	*Description*	*Reinvestment*	*Income Cash*	*Principal Cash*	*Income Year To Date*
			PAY DATE 12/05/2014				
12/05	Dividend		GUGGENHEIM BULLETSHARES 2015 H Y CORP DIVIDEND HOLDING 323.0000 PAY DATE 12/05/2014		22.48		
12/05	Dividend		GUGGENHEIM BULLETSHARES 2016 HIGH YIELD CORP BD DIVIDEND HOLDING 141.0000 PAY DATE 12/05/2014		10.98		
12/08	Dividend		OPPENHEIMER DEVELOPING MARKETS FD CL Y DIVIDEND PAY DATE 12/05/2014		40.75		
12/08	* Lg Tm Cap Gain		» OPPENHEIMER DEVELOPING MARKETS FD CL Y LONG TERM CAPITAL GAIN PAY DATE 12/05/2014			108.93	
12/10	Dividend		HONEYWELL INTL INC DEL DIVIDEND HOLDING 24.0000 PAY DATE 12/10/2014		12.42		
12/11	Dividend		SCHRODER EMERGING MARKET EQUITY FUND INV CL DIVIDEND PAY DATE 12/10/2014		65.08		
12/11	* Lg Tm Cap Gain		» PIMCO TOTAL RETURN FUND CL P LONG TERM CAPITAL GAIN PAY DATE 12/10/2014			30.59	

+

Trust Account Number:

YOUR TAA TRANSACTIONS

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income Cash	Principal Cash	Income Year To Date
12/11	Reinvestment		PIMCO TOTAL RETURN FUND CL P REINVESTMENT	(30.59)		(30.59)	
12/11	Divd Reinv	2	PIMCO TOTAL RETURN FUND CL P DIVD REIN REINV AMOUNT $30.59 REINV PRICE $10.90000 QUANTITY BOT 2.8060 AS OF 12/10				
12/15	Dividend		BORG WARNER INC COM DIVIDEND HOLDING 36.0000 PAY DATE 12/15/2014		4.68		
12/17	Dividend		MFS INTERNATIONAL VALUE FUND CL I DIVIDEND PAY DATE 12/16/2014		323.22		
12/17	* Lg Tm Cap Gain		* MFS INTERNATIONAL VALUE FUND CL I LONG TERM CAPITAL GAIN PAY DATE 12/16/2014			116.19	
12/17	Sh Tm Cap Gain		MFS INTERNATIONAL VALUE FUND CL I SHORT TERM CAPITAL GAIN PAY DATE 12/16/2014			49.23	
12/18	Dividend		FIRST EAGLE OVERSEAS CL I DIVIDEND PAY DATE 12/17/2014		250.21		
12/18	Reinvestment		FIRST EAGLE	(250.21)	(250.21)		

+

YOUR TAA TRANSACTIONS

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income Cash	Principal Cash	Income Year To Date
			OVERSEAS CL I REINVESTMENT				
12/18	* Lg Tm Cap Gain		* FIRST EAGLE OVERSEAS CL I LONG TERM CAPITAL GAIN PAY DATE 12/17/2014			741.66	
12/18	Reinvestment		FIRST EAGLE OVERSEAS CL I REINVESTMENT	(741.66)		(741.66)	
12/18	Sh Tm Cap Gain		FIRST EAGLE OVERSEAS CL I SHORT TERM CAPITAL GAIN PAY DATE 12/17/2014			119.62	
12/18	Reinvestment		FIRST EAGLE OVERSEAS CL I REINVESTMENT	(119.62)		(119.62)	
12/18	Divd Reinv	11	FIRST EAGLE OVERSEAS CL I DIVD REIN REINV AMOUNT $250.21 REINV PRICE $21.82000 QUANTITY BOT 11.4670 AS OF 12/16				
12/18	Divd Reinv	33	FIRST EAGLE OVERSEAS CL I DIVD REIN REINV AMOUNT $741.66 REINV PRICE $21.82000 QUANTITY BOT 33.9900 AS OF 12/16				
12/18	Divd Reinv	5	FIRST EAGLE				

+

Trust Account Number:

YOUR TAA TRANSACTIONS

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income Cash	Principal Cash	Income Year To Date
			OVERSEAS CL I DIVD REIN REINV AMOUNT $119.62 REINV PRICE $21.82000 QUANTITY BOT 5.4820 AS OF 12/16				
12/18	* Lg Tm Cap Gain		* PRINCIPAL PREFERRED SECURITIES FUND CL P LONG TERM CAPITAL GAIN PAY DATE 12/17/2014			77.41	
12/18	Sh Tm Cap Gain		PRINCIPAL PREFERRED SECURITIES FUND CL P SHORT TERM CAPITAL GAIN PAY DATE 12/17/2014			1.29	
12/18	Dividend		OPPENHEIMER INTERNATL GROWTH FD CL Y DIVIDEND PAY DATE 12/17/2014		184.74		
12/18	Dividend		TEMPLETON GLBL BOND FD ADV CL DIVIDEND PAY DATE 12/17/2014		367.67		
12/18	Reinvestment		TEMPLETON GLBL BOND FD ADV CL REINVESTMENT	(367.67)	(367.67)		
12/18	Sh Tm Cap Gain		TEMPLETON GLBL BOND FD ADV CL SHORT TERM CAPITAL GAIN PAY DATE 12/17/2014			20.83	
12/18	Reinvestment		TEMPLETON GLBL BOND FD ADV CL	(20.83)		(20.83)	

+

YOUR TAA TRANSACTIONS

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income Cash	Principal Cash	Income Year To Date
			REINVESTMENT				
12/18	Divd Reinv	29	TEMPLETON GLBL BOND FD ADV CL DIVD REIN REINV AMOUNT $367.67 REINV PRICE $12.29000 QUANTITY BOT 29.9160 AS OF 12/15				
12/18	Divd Reinv	1	TEMPLETON GLBL BOND FD ADV CL DIVD REIN REINV AMOUNT $20.83 REINV PRICE $12.29000 QUANTITY BOT 1.6950 AS OF 12/15				
12/18	Dividend		ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT DIVIDEND PAY DATE 12/17/2014		36.86		
12/18	Reinvestment		ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT REINVESTMENT	(36.86)	(36.86)		
12/18	* Lg Tm Cap Gain		» ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT LONG TERM CAPITAL GAIN PAY DATE 12/17/2014			1,157.95	
12/18	Reinvestment		ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT REINVESTMENT	(1,157.95)		(1,157.95)	
12/18	Sh Tm Cap Gain		ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT			51.98	

+

Trust

Account Number:

YOUR TAA TRANSACTIONS

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income Cash	Principal Cash	Income Year To Date
			SHORT TERM CAPITAL GAIN PAY DATE 12/17/2014				
12/18	Reinvestment		ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT REINVESTMENT	(51.98)		(51.98)	
12/18	Divd Reinv	2	ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT DIVD REIN REINV AMOUNT $36.86 REINV PRICE $12.65000 QUANTITY BOT 2.9140 AS OF 12/17				
12/18	Divd Reinv	91	ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT DIVD REIN REINV AMOUNT $1157.95 REINV PRICE $12.65000 QUANTITY BOT 91.5380 AS OF 12/17				
12/18	Divd Reinv	4	ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT DIVD REIN REINV AMOUNT $51.98 REINV PRICE $12.65000 QUANTITY BOT 4.1090 AS OF 12/17				
12/19	Dividend		RIDGEWORTH SEIX FLOATING RATE HI INC FD CL I DIVIDEND PAY DATE 12/18/2014		1.54		
12/19	* Lg Tm Cap Gain		* CALAMOS HIGH INCOME FUND			113.17	

+

YOUR TAA TRANSACTIONS

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income Cash	Principal Cash	Income Year To Date
			CL I LONG TERM CAPITAL GAIN PAY DATE 12/18/2014				
12/22	Dividend		SCOUT MID CAP FUND CL RETAIL DIVIDEND PAY DATE 12/19/2014		6.53		
12/22	* Lg Tm Cap Gain		* SCOUT MID CAP FUND CL RETAIL LONG TERM CAPITAL GAIN PAY DATE 12/19/2014			993.13	
12/22	Sh Tm Cap Gain		SCOUT MID CAP FUND CL RETAIL SHORT TERM CAPITAL GAIN PAY DATE 12/19/2014			238.41	
12/23	Dividend		PUTNAM DIVERSIFIED INCOME TRUST FUND CL Y DIVIDEND PAY DATE 12/22/2014		27.97		
12/24	Dividend		VANGUARD CONSUMER STAPLES ETF DIVIDEND HOLDING 21.0000 PAY DATE 12/24/2014		50.76		
12/24	Dividend		VANGUARD MATERIALS ETF DIVIDEND HOLDING 8.0000 PAY DATE 12/24/2014		15.14		
12/24	Dividend		VANGUARD INFORMATION TECH ETF DIVIDEND		69.09		

+

Trust Account Number:

YOUR TAA TRANSACTIONS

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income Cash	Principal Cash	Income Year To Date
			HOLDING 59.0000 PAY DATE 12/24/2014				
12/24	Dividend		VANGUARD INDUSTRIAL ETF DIVIDEND HOLDING 52.0000 PAY DATE 12/24/2014		87.00		
12/24	Dividend		VANGUARD DIVIDEND APPRECIATION ETF DIVIDEND HOLDING 479.0000 PAY DATE 12/24/2014		219.38		
12/26	Dividend		DICKS SPORTING GOODS INC DIVIDEND HOLDING 42.0000 PAY DATE 12/26/2014		5.25		
12/26	Dividend		WESTERN ASSET INVT GRADE DEFINED OPPORTUNITY TR DIVIDEND HOLDING 249.0000 PAY DATE 12/26/2014		24.90		
12/30	Dividend		HEALTH CARE SELECT SPDR DIVIDEND HOLDING 68.0000 PAY DATE 12/30/2014		17.66		
12/30	Dividend		CONSUMER DISCRETIONARY SPDR DIVIDEND HOLDING 54.0000 PAY DATE 12/30/2014		15.83		
12/30	Dividend		SECTOR SPDR ENERGY DIVIDEND		41.26		

+

YOUR TAA TRANSACTIONS

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income Cash	Principal Cash	Income Year To Date
			HOLDING 85.0000 PAY DATE 12/30/2014				
12/30	Dividend		SECTOR SPDR FINANCIAL DIVIDEND HOLDING 365.0000 PAY DATE 12/30/2014		46.03		
12/30	Dividend		PRINCIPAL PREFERRED SECURITIES FUND CL P DIVIDEND PAY DATE 12/29/2014		21.49		
12/30	Dividend		PIMCO TOTAL RETURN FUND CL P DIVIDEND PAY DATE 12/29/2014		75.64		
12/30	Reinvestment		PIMCO TOTAL RETURN FUND CL P REINVESTMENT	(75.64)	(75.64)		
12/30	Divd Reinv	7	PIMCO TOTAL RETURN FUND CL P DIVD REIN REINV AMOUNT $75.64 REINV PRICE $10.64000 QUANTITY BOT 7.1090 AS OF 12/29				
12/31	Rpt Fgn Div		AVAGO TECHNOLOGIES LTD RPT FGN DIV HOLDING 34.0000 PAY DATE 12/31/2014		11.90		
12/31	Dividend		ISHARES CORE S&P MID-CAP ETF DIVIDEND		43.36		

+

Trust

Account Number:

YOUR TAA TRANSACTIONS

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income Cash	Principal Cash	Income Year To Date
			HOLDING 65.0000 PAY DATE 12/31/2014				
12/31	Dividend		ISHARES RUSSELL 1000 GROWTH DIVIDEND HOLDING 110.0000 PAY DATE 12/31/2014		40.35		
12/31	Dividend		ISHARES SHORT TREASURY BOND ETF DIVIDEND HOLDING 83.0000 PAY DATE 12/31/2014		.03		
12/31	Dividend		ISHARES U.S. PREFERRED STOCK ETF DIVIDEND HOLDING 91.0000 PAY DATE 12/31/2014		34.35		
12/31	Dividend		ISHARES S&P 100 DIVIDEND HOLDING 590.0000 PAY DATE 12/31/2014		277.15		
12/31	Dividend		POWERSHARES BUILD AMERIC BOND ETF DIVIDEND HOLDING 311.0000 PAY DATE 12/31/2014		36.18		
12/31	Dividend		FIRST TR ISE CLOUD COMP IDX ETF DIVIDEND HOLDING 25.0000 PAY DATE 12/31/2014		.77		

+

YOUR TAA TRANSACTIONS

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	*Transaction Type*	*Quantity*	*Description*	*Reinvestment*	*Income Cash*	*Principal Cash*	*Income Year To Date*
12/31	Dividend		TCW TOTAL RETURN BOND FD CL I DIVIDEND PAY DATE 12/30/2014		11.99		
12/31	Reinvestment		TCW TOTAL RETURN BOND FD CL I REINVESTMENT	(11.99)	(11.99)		
12/31	* Lg Tm Cap Gain		TCW TOTAL RETURN BOND FD CL I LONG TERM CAPITAL GAIN PAY DATE 12/30/2014			19.56	
12/31	Reinvestment		TCW TOTAL RETURN BOND FD CL I REINVESTMENT	(19.56)		(19.56)	
12/31	Sh Tm Cap Gain		TCW TOTAL RETURN BOND FD CL I SHORT TERM CAPITAL GAIN PAY DATE 12/30/2014			5.68	
12/31	Reinvestment		TCW TOTAL RETURN BOND FD CL I REINVESTMENT	(5.68)		(5.68)	
12/31	Divd Reinv	1	TCW TOTAL RETURN BOND FD CL I DIVD REIN REINV AMOUNT $11.99 REINV PRICE $10.30000 QUANTITY BOT 1.1640 AS OF 12/30				
12/31	Divd Reinv	1	TCW TOTAL RETURN BOND FD CL I DIVD REIN				

+

Trust Account Number:

YOUR TAA TRANSACTIONS

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income Cash	Principal Cash	Income Year To Date
			REINV AMOUNT $19.56 REINV PRICE $10.30000 QUANTITY BOT 1.8990 AS OF 12/30				
12/31	Divd Reinv		TCW TOTAL RETURN BOND FD CL I REINV AMOUNT $5.68 REINV PRICE $10.30000 QUANTITY BOT .5510 AS OF 12/30				
12/31	Dividend		RS LOW DURATION BOND FD CL Y DIVIDEND PAY DATE 12/31/2014		6.89		
12/31	Dividend		RIDGEWORTH SEIX FLOATING RATE HI INC FD CL I DIVIDEND PAY DATE 12/31/2014		16.71		
12/31	Dividend		RS FLOATING RATE FUND CL Y DIVIDEND PAY DATE 12/31/2014		18.55		
12/31	Reinvestment		RS FLOATING RATE FUND CL Y REINVESTMENT	(18.55)	(18.55)		
12/31	Dividend		DOUBLELINE CORE FIXED INCOME FUND CL I DIVIDEND PAY DATE 12/31/2014		12.34		
12/31	Dividend		OPPENHEIMER INTERNATIONAL BOND FD Y		23.20		

+

YOUR TAA TRANSACTIONS

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	*Transaction Type*	*Quantity*	*Description*	*Reinvestment*	*Income Cash*	*Principal Cash*	*Income Year To Date*
			DIVIDEND PAY DATE 12/31/2014				
12/31	Reinvestment		OPPENHEIMER INTERNATIONAL BOND FD Y REINVESTMENT	(23.20)	(23.20)		
12/31	Dividend		CALAMOS HIGH INCOME FUND CL I DIVIDEND PAY DATE 12/31/2014		28.29		
12/31	Dividend		VICTORY FD FOR INCOME CL Y DIVIDEND PAY DATE 12/30/2014		25.95		
12/31	Dividend		PIMCO TOTAL RETURN FUND CL P DIVIDEND PAY DATE 12/31/2014		8.99		
12/31	Reinvestment		PIMCO TOTAL RETURN FUND CL P REINVESTMENT	(8.99)	(8.99)		
	Subtotal (Taxable Dividends)				*1,938.53*	*1,697.76*	*12,419.29*
	Subtotal (Tax-Exempt Dividends)						*172.38*
	NET TOTAL			**(2,998.84)**	**1,940.07**	**1,697.76**	**14,176.10**
	* Long Term Capital Gain Distributions				-	3,358.59	3,366.78

The long-term capital gain distribution amounts may change due to income reclassification information provided by the issuer. In particular, distributions made by Mutual Funds, REITs and UITs often need to be reclassified as a different type of distribution (including *long-term capital gain distributions) after the end of the year in which the distribution was originally paid.*

Trust

Account Number:

YOUR TAA TRANSACTIONS

November 29, 2014 - December 31, 2014

SECURITY TRANSACTIONS
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	Trade Date	Description	Transaction Type	Quantity	Unit Price	Income Cash	Principal Cash	Accrued Interest Earned/(Paid)
12/09	12/04	AMERICAN TOWER REIT INC (HLDG CO) SHS SUBSCRIPTION PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT CUS NO 03027X100 SEC NO 02D61 PRINCIPAL 507.00	Purchase	5	101.3999		(507.00)	
12/09	12/04	GOOGLE INC CL A SUBSCRIPTION PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT CUS NO 38259P508 SEC NO 31842 PRINCIPAL 1072.30	Purchase	2	536.1515		(1,072.30)	
12/19	12/16	SECTOR SPDR ENERGY SUBSCRIPTION PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT CUS NO 81369Y506 SEC NO 673G2 PRINCIPAL 6320.87	Purchase	85	74.3632		(6,320.87)	
12/22	12/17	ISHARES MSCI EMERGING MKTS SUBSCRIPTION PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER	Purchase	165	37.9300		(6,258.45)	

+

YOUR TAA TRANSACTIONS

November 29, 2014 - December 31, 2014

SECURITY TRANSACTIONS (continued)
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	*Trade Date*	*Description*	*Transaction Type*	*Quantity*	*Unit Price*	*Income Cash*	*Principal Cash*	*Accrued Interest Earned/(Paid)*
		PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT CUS NO 464287234 SEC NO 31FA1 PRINCIPAL 6258.45						
		Subtotal (Purchases)					*(14,158.62)*	
12/18	12/17 ■	SCHRODER EMERGING MARKET EQUITY FUND INV CL REDEEMED FRAC SHR QUANTITY .061 PER ADVISORY AGREEMENT. THIS SALE CONSTITUTES A REDEMPTION. CUS NO 808090757 SEC NO 9L6H4 PRINCIPAL 6362.15 ML ACTED AS YOUR AGENT	Sale	-521	12.2100		6,362.15	
12/19	12/16 ■	CABOT OIL & GAS CORP REDEEMED VSP 05/05/2014 PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT CUS NO 127097103 SEC NO 12853 PRINCIPAL 742.48 TRN FEE 0.02	Sale	-25	29.7000		742.48	
12/19	12/16 ■	CABOT OIL & GAS CORP REDEEMED VSP 04/03/2014 PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON	Sale	-32	29.7000		950.38	

+

YOUR TAA TRANSACTIONS

November 29, 2014 - December 31, 2014

SECURITY TRANSACTIONS (continued)
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	Trade Date	Description	Transaction Type	Quantity	Unit Price	Income Cash	Principal Cash	Accrued Interest Earned/(Paid)
		REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT CUS NO 127097103 SEC NO 12853 PRINCIPAL 950.38 TRN FEE 0.02						
12/19	12/16 ■	VANGUARD ENERGY ETF FDS REDEEMED VSP 04/02/2014 PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT CUS NO 92204A306 SEC NO 31FX0 PRINCIPAL 2801.42 TRN FEE 0.06	Sale	-27	103.7584		2,801.42	
12/19	12/16 ■	SCHLUMBERGER LTD REDEEMED VSP 05/05/2014 PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT CUS NO 806857108 SEC NO 67241 PRINCIPAL 791.62 TRN FEE 0.02	Sale	-10	79.1642		791.62	
12/19	12/16 ■	SCHLUMBERGER LTD REDEEMED VSP 04/04/2014 PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE	Sale	-12	79.1642		949.95	

YOUR TAA TRANSACTIONS

November 29, 2014 - December 31, 2014

SECURITY TRANSACTIONS (continued)
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	*Trade Date*	*Description*	*Transaction Type*	*Quantity*	*Unit Price*	*Income Cash*	*Principal Cash*	*Accrued Interest Earned/(Paid)*
		ML ACTED AS AGENT CUS NO 806857108 SEC NO 67241						
		PRINCIPAL 949.95 TRN FEE 0.02						
		Subtotal (Sales)					*12,598.00*	
12/31	■	GUGGENHEIM BULLETSHARES 2014 H Y CORP EXCHANGE PAY DATE 12/31/2014	Exchange	-141			3,701.44	
		Subtotal (Other Security Transactions)					*3,701.44*	
		TOTAL					**2,140.82**	

REALIZED GAINS/(LOSSES)

Description	*Quantity*	*Acquired Date*	*Liquidation Date*	*Sale Amount*	*Cost Basis*	*Gains/(Losses)* ✪ *This Statement*	*Year to Date*
GUGGENHEIM BULLETSHARES	141.0000	06/01/11	12/31/14	3,701.44	3,630.75	70.69	
Subtotal (Long-Term)						*70.69*	*26,042.13*
CABOT OIL & GAS CORP	32.0000	04/03/14	12/16/14	950.38	1,129.70	(179.32)	
CABOT OIL & GAS CORP	25.0000	05/05/14	12/16/14	742.48	966.72	(224.24)	
VANGUARD ENERGY ETF	27.0000	04/02/14	12/16/14	2,801.42	3,487.77	(686.35)	
SCHLUMBERGER LTD	12.0000	04/04/14	12/16/14	949.95	1,184.40	(234.45)	
SCHLUMBERGER LTD	10.0000	05/05/14	12/16/14	791.62	1,013.65	(222.03)	
SCHRODER EM MKT EQ INV	259.0000	05/19/14	12/17/14	3,162.38	3,499.09	(336.71)	
SCHRODER EM MKT EQ INV	.0610	07/28/14	12/17/14	.74	.86	(.12)	
SCHRODER EM MKT EQ INV	262.0000	07/28/14	12/17/14	3,199.03	3,720.40	(521.37)	
Subtotal (Short-Term)						*(2,404.59)*	*(1,622.09)*
TOTAL				**16,299.44**	**18,633.34**	**(2,333.90)**	**24,420.04**

✪ - Excludes transactions for which we have insufficient data

The capital gains and losses shown above may not reflect all transactions which must be reported on your 2014 tax return. These reportable transactions will appear on your January statement.

+

Trust Account Number:

YOUR TAA TRANSACTIONS

November 29, 2014 - December 31, 2014

SECURITIES YOU TRANSFERRED IN/OUT

Date	Description	Transaction Type	Quantity	Income Cash	Principal Cash	Year To Date
12/01	RS FLOATING RATE FUND CL Y FULL-SHARE-ACCM FULL SHARE ACCUM SHARE VALUE $10.05	Journal Entry	1		10.05	
12/11	PIMCO TOTAL RETURN FUND CL P FULL-SHARE-ACCM FULL SHARE ACCUM SHARE VALUE $10.88	Journal Entry	1		10.88	
12/18	FIRST EAGLE OVERSEAS CL I FULL-SHARE-ACCM FULL SHARE ACCUM SHARE VALUE $22.30	Journal Entry	1		22.30	
12/18	FIRST EAGLE OVERSEAS CL I FULL-SHARE-ACCM FULL SHARE ACCUM SHARE VALUE $22.30	Journal Entry	1		22.30	
12/18	TEMPLETON GLBL BOND FD ADV CL FULL-SHARE-ACCM FULL SHARE ACCUM SHARE VALUE $12.39	Journal Entry	1		12.39	
12/18	ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT FULL-SHARE-ACCM FULL SHARE ACCUM SHARE VALUE $12.84	Journal Entry	1		12.84	

+

YOUR TAA TRANSACTIONS

November 29, 2014 - December 31, 2014

SECURITIES YOU TRANSFERRED IN/OUT (continued)

Date	Description	Transaction Type	Quantity	Income Cash	Principal Cash	Year To Date
12/18	ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT FULL-SHARE-ACCM FULL SHARE ACCUM SHARE VALUE $12.84	Journal Entry	1		12.84	
12/31	TCW TOTAL RETURN BOND FD CL I FULL-SHARE-ACCM FULL SHARE ACCUM SHARE VALUE $10.31	Journal Entry	1		10.31	
12/31	TCW TOTAL RETURN BOND FD CL I FULL-SHARE-ACCM FULL SHARE ACCUM SHARE VALUE $10.31	Journal Entry	1		10.31	
	NET TOTAL				**124.22**	**463.93**

CASH/OTHER TRANSACTIONS

Date	Transaction Type	Quantity	Description	Income Cash	Principal Cash
12/09	Trustee Fee		TRUSTEE FEE-PERIOD OF 11/01/2014 TO 11/28/2014 APPLIED TO PRINCIPAL BASED ON AVG MKT VAL OF- $490,696.57		(83.33)
12/09	Trustee Fee		TRUSTEE FEE-PERIOD OF 11/01/2014 TO 11/28/2014 APPLIED TO INCOME BASED ON AVG MKT VAL OF- $490,696.57	(83.33)	

+

Trust

Account Number:

YOUR TAA TRANSACTIONS

November 29, 2014 - December 31, 2014

CASH/OTHER TRANSACTIONS (continued)

Date	*Transaction Type*	*Quantity*	*Description*	*Income Cash*	*Principal Cash*
12/31	Disbursement		P601DISBURSEMENT DISBURSEMENT Qrtly unitrust distr per TA		(6,111.37)
	Subtotal (Other Debits/Credits)			*(83.33)*	*(6,194.70)*
	NET TOTAL			**(83.33)**	**(6,194.70)**

YOUR TAA MONEY ACCOUNT TRANSACTIONS

Date	*Description*	*Withdrawals*	*Deposits*	*Date*	*Description*	*Withdrawals*	*Deposits*
12/01	ML BANK DEPOSIT PROGRAM		91.00	12/18	ML BANK DEPOSIT PROGRAM		489.00
12/02	ML BANK DEPOSIT PROGRAM		57.00	12/19	ML BANK DEPOSIT PROGRAM		6,540.00
12/03	ML BANK DEPOSIT PROGRAM		5.00	12/22	ML BANK DEPOSIT PROGRAM		2.00
12/08	ML BANK DEPOSIT PROGRAM		62.00	12/22	ML BANK DEPOSIT PROGRAM	6,145.00	
12/09	ML BANK DEPOSIT PROGRAM		41.00	12/23	ML BANK DEPOSIT PROGRAM		1,237.00
12/09	ML BANK DEPOSIT PROGRAM	1,470.00		12/24	ML BANK DEPOSIT PROGRAM		28.00
12/10	ML BANK DEPOSIT PROGRAM	167.00		12/26	ML BANK DEPOSIT PROGRAM		442.00
12/11	ML BANK DEPOSIT PROGRAM		13.00	12/29	ML BANK DEPOSIT PROGRAM		30.00
12/12	ML BANK DEPOSIT PROGRAM		65.00	12/31	ML BANK DEPOSIT PROGRAM		142.00
12/16	ML BANK DEPOSIT PROGRAM		4.00				
	NET TOTAL						**1,466.00**

Account/Nickname	Activity St	Trade Date	Settle Date	Type	Description	Symbol/Cl	Quantity	Price	Amount
Trust - Advisory	Settled		12/31/2014	SecurityTransactions	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $11.99 REINV PRICE $10.30000 QUANTITY BOT 1.1640 AS OF 12/30	TGLMX	1		$0.00
Trust - Advisory	Settled		12/31/2014	SecurityTransactions	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $19.56 REINV PRICE $10.30000 QUANTITY BOT 1.8990 AS OF 12/30	TGLMX	1		$0.00
Trust - Advisory	Settled		12/31/2014	SecurityTransactions	Divd Reinv TCW TOTAL RETURN BOND I AGENT REINV AMOUNT $5.68 REINV PRICE $10.30000 QUANTITY BOT .5510 AS OF 12/30	TGLMX			$0.00
Trust - Advisory	Settled		12/31/2014	SecurityTransactions	Exchange GUGGENHEIM BULLETSHARES 2014 H Y CORP 2014 H Y CORP PAY DATE 12/31/2014	18383M43	-141		$3,701.44
Trust - Advisory	Settled		12/31/2014	SecurityTransactions	Journal Entry TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE $10.31	TGLMX	1		$0.00
Trust - Advisory	Settled		12/31/2014	SecurityTransactions	Journal Entry TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE $10.31	TGLMX	1		$0.00
Trust - Advisory	Settled		12/30/2014	SecurityTransactions	Divd Reinv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT $75.64 REINV PRICE $10.64000 QUANTITY BOT 7.1090 AS OF 12/29	PTTPX	7		$0.00
Trust - Advisory	Settled	12/17/2014	12/22/2014	SecurityTransactions	Purchase ISHARES MSCI EMERGING MKTS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	EEM	165	$37.93	-$6,258.45

Trust - Advisory	Settled	12/16/2014	12/19/2014	SecurityTransactions	Sale CABOT OIL & GAS CORP VSP 05/05/2014 PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	COG	-25	$29.70	$742.48
Trust - Advisory	Settled	12/16/2014	12/19/2014	SecurityTransactions	Sale CABOT OIL & GAS CORP VSP 04/03/2014 PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	COG	-32	$29.70	$950.38
Trust - Advisory	Settled	12/16/2014	12/19/2014	SecurityTransactions	Sale VANGUARD ENERGY ETF FDS VSP 04/02/2014 PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VDE	-27	$103.76	$2,801.42
Trust - Advisory	Settled	12/16/2014	12/19/2014	SecurityTransactions	Sale SCHLUMBERGER LTD VSP 05/05/2014 PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	SLB	-10	$79.16	$791.62
Trust - Advisory	Settled	12/16/2014	12/19/2014	SecurityTransactions	Sale SCHLUMBERGER LTD VSP 04/04/2014 PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	SLB	-12	$79.16	$949.95

Trust - Advisory	Settled	12/16/2014	12/19/2014	SecurityTransactions	Purchase SECTOR SPDR ENERGY PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLE	85	$74.36	-$6,320.87
Trust - Advisory	Settled		12/18/2014	SecurityTransactions	Divd Reinv FIRST EAGLE OVERSEAS CL I AGENT REINV AMOUNT $250.21 REINV PRICE $21.82000 QUANTITY BOT 11.4670 AS OF 12/16	SGOIX	11		$0.00
Trust - Advisory	Settled		12/18/2014	SecurityTransactions	Divd Reinv FIRST EAGLE OVERSEAS CL I AGENT REINV AMOUNT $741.66 REINV PRICE $21.82000 QUANTITY BOT 33.9900 AS OF 12/16	SGOIX	33		$0.00
Trust - Advisory	Settled		12/18/2014	SecurityTransactions	Divd Reinv FIRST EAGLE OVERSEAS CL I AGENT REINV AMOUNT $119.62 REINV PRICE $21.82000 QUANTITY BOT 5.4820 AS OF 12/16	SGOIX	5		$0.00
Trust - Advisory	Settled		12/18/2014	SecurityTransactions	Divd Reinv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $367.67 REINV PRICE $12.29000 QUANTITY BOT 29.9160 AS OF 12/15	TGBAX	29		$0.00
Trust - Advisory	Settled		12/18/2014	SecurityTransactions	Divd Reinv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $20.83 REINV PRICE $12.29000 QUANTITY BOT 1.6950 AS OF 12/15	TGBAX	1		$0.00
Trust - Advisory	Settled		12/18/2014	SecurityTransactions	Divd Reinv ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT AGENT REINV AMOUNT $36.86 REINV PRICE $12.65000 QUANTITY BOT 2.9140 AS OF 12/17	PENNX	2		$0.00
Trust - Advisory	Settled		12/18/2014	SecurityTransactions	Divd Reinv ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT AGENT REINV AMOUNT $1157.95 REINV PRICE $12.65000 QUANTITY BOT 91.5380 AS OF 12/17	PENNX	91		$0.00

Trust - Advisory	Settled		12/18/2014	SecurityTransactions	Divd Reinv ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT AGENT REINV AMOUNT $51.98 REINV PRICE $12.65000 QUANTITY BOT 4.1090 AS OF 12/17	PENNX	4		$0.00
Trust - Advisory	Settled	12/17/2014	12/18/2014	SecurityTransactions	Sale SCHRODER EMERGING MARKET EQUITY FUND INV CL FRAC SHR QUANTITY .061 PER ADVISORY AGREEMENT. THIS SALE CONSTITUTES A REDEMPTION.	SEMNX	-521	$12.21	$6,362.15
Trust - Advisory	Settled		12/18/2014	SecurityTransactions	Journal Entry FIRST EAGLE OVERSEAS CL I FULL SHARE ACCUM SHARE VALUE $22.30	SGOIX	1		$0.00
Trust - Advisory	Settled		12/18/2014	SecurityTransactions	Journal Entry FIRST EAGLE OVERSEAS CL I FULL SHARE ACCUM SHARE VALUE $22.30	SGOIX	1		$0.00
Trust - Advisory	Settled		12/18/2014	SecurityTransactions	Journal Entry TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE $12.39	TGBAX	1		$0.00
Trust - Advisory	Settled		12/18/2014	SecurityTransactions	Journal Entry ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT FULL SHARE ACCUM SHARE VALUE $12.84	PENNX	1		$0.00
Trust - Advisory	Settled		12/18/2014	SecurityTransactions	Journal Entry ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT FULL SHARE ACCUM SHARE VALUE $12.84	PENNX	1		$0.00
Trust - Advisory	Settled		12/11/2014	SecurityTransactions	Divd Reinv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT $30.59 REINV PRICE $10.90000 QUANTITY BOT 2.8060 AS OF 12/10	PTTPX	2		$0.00
Trust - Advisory	Settled		12/11/2014	SecurityTransactions	Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE $10.88	PTTPX	1		$0.00
Trust - Advisory	Settled	12/4/2014	12/9/2014	SecurityTransactions	Purchase AMERICAN TOWER REIT INC (HLDG CO) SHS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AMT	5	$101.40	-$507.00

Trust - Advisory	Settled	12/4/2014	12/9/2014	SecurityTransactions	Purchase GOOGLE INC CL A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	GOOGL	2	$536.15	-$1,072.30
Trust - Advisory	Settled		12/1/2014	SecurityTransactions	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $11.97 REINV PRICE $10.36000 QUANTITY BOT 1.1550 AS OF 11/28	TGLMX	1		$0.00
Trust - Advisory	Settled		12/1/2014	SecurityTransactions	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $18.55 REINV PRICE $10.10000 QUANTITY BOT 1.8370 AS OF 11/28	RSFYX	1		$0.00
Trust - Advisory	Settled		12/1/2014	SecurityTransactions	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $20.62 REINV PRICE $6.01000 QUANTITY BOT 3.4310 AS OF 11/28	OIBYX	3		$0.00
Trust - Advisory	Settled		12/1/2014	SecurityTransactions	Divd Reinv PIMCO TOT RTN FD CL P AGENT REINV AMOUNT $6.72 REINV PRICE $11.03000 QUANTITY BOT .6090 AS OF 11/28	PTTPX			$0.00
Trust - Advisory	Settled		12/1/2014	SecurityTransactions	Journal Entry RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE $10.05	RSFYX	1		$0.00
Trust - Advisory	Settled	11/24/2014	11/28/2014	SecurityTransactions	Purchase ISHARES RUSSELL 1000 GROWTH PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IWF	110	$96.60	-$10,625.93
Trust - Advisory	Settled	11/20/2014	11/25/2014	SecurityTransactions	Sale NOBLE ENERGY INC VSP 05/05/2014 PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	NBL	-15	$56.58	$848.68

Trust - Advisory	Settled	11/20/2014	11/25/2014	SecurityTransactions	Sale NOBLE ENERGY INC VSP 04/04/2014 PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	NBL	-16	$56.58	$905.26
Trust - Advisory	Settled	11/20/2014	11/25/2014	SecurityTransactions	Sale PIONEER NATURAL RES CO VSP 04/04/2014 PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	PXD	-6	$173.01	$1,038.06
Trust - Advisory	Settled	11/20/2014	11/25/2014	SecurityTransactions	Sale PIONEER NATURAL RES CO VSP 05/05/2014 PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	PXD	-5	$173.01	$865.05
Trust - Advisory	Settled	11/20/2014	11/25/2014	SecurityTransactions	Sale PRECISION CASTPARTS VSP 05/05/2014 PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	PCP	-5	$231.60	$1,157.98
Trust - Advisory	Settled	11/20/2014	11/25/2014	SecurityTransactions	Sale PRECISION CASTPARTS VSP 04/21/2014 PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	PCP	-6	$231.60	$1,389.58

Trust - Advisory	Settled	11/20/2014	11/25/2014	SecurityTransactions	Sale TWITTER INC VSP 08/28/2014 PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	TWTR	-45	$39.94	$1,797.26
Trust - Advisory	Settled	11/20/2014	11/25/2014	SecurityTransactions	Sale VMWARE, INC. VSP 04/03/2014 PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	VMW	-14	$83.17	$1,164.36
Trust - Advisory	Settled	11/20/2014	11/25/2014	SecurityTransactions	Sale VMWARE, INC. VSP 05/05/2014 PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	VMW	-17	$83.17	$1,413.87
Trust - Advisory	Settled	11/20/2014	11/21/2014	SecurityTransactions	Sale DOUBLELINE TOTAL RETURN BOND FUND CL I FRAC SHR QUANTITY .922 PER ADVISORY AGREEMENT. THIS SALE CONSTITUTES A REDEMPTION.	DBLTX	-322	$11.00	$3,552.14
Trust - Advisory	Settled	11/20/2014	11/21/2014	SecurityTransactions	Purchase DOUBLELINE CORE FIXED INCOME FUND CL I FRAC SHR QUANTITY .761 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	DBLFX	356	$10.99	-$3,920.80
Trust - Advisory	Settled		11/20/2014	SecurityTransactions	Divd Reinv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $24.17 REINV PRICE $13.15000 QUANTITY BOT 1.8380 AS OF 11/17	TGBAX	1		$0.00
Trust - Advisory	Settled		11/20/2014	SecurityTransactions	Journal Entry TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE $13.17	TGBAX	1		$0.00

Trust - Advisory	Settled	11/4/2014	SecurityTransactions	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $11.94 REINV PRICE $10.31000 QUANTITY BOT 1.1580 AS OF 11/03	TGLMX	1	$0.00
Trust - Advisory	Settled	11/3/2014	SecurityTransactions	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $18.09 REINV PRICE $10.14000 QUANTITY BOT 1.7840 AS OF 10/31	RSFYX	1	$0.00
Trust - Advisory	Settled	11/3/2014	SecurityTransactions	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $25.25 REINV PRICE $6.02000 QUANTITY BOT 4.1940 AS OF 10/31	OIBYX	4	$0.00
Trust - Advisory	Settled	11/3/2014	SecurityTransactions	Divd Reinv PIMCO TOT RTN FD CL P AGENT REINV AMOUNT $5.98 REINV PRICE $10.94000 QUANTITY BOT .5470 AS OF 10/31	PTTPX		$0.00
Trust - Advisory	Settled	11/3/2014	SecurityTransactions	Journal Entry RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE $10.14	RSFYX	1	$0.00
Trust - Advisory	Settled	11/3/2014	SecurityTransactions	Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE $10.93	PTTPX	1	$0.00
Trust - Advisory	Settled	10/20/2014	SecurityTransactions	Divd Reinv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $24.11 REINV PRICE $13.05000 QUANTITY BOT 1.8480 AS OF 10/15	TGBAX	1	$0.00
Trust - Advisory	Settled	10/20/2014	SecurityTransactions	Journal Entry TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE $13.09	TGBAX	1	$0.00
Trust - Advisory	Settled	10/1/2014	SecurityTransactions	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $11.92 REINV PRICE $10.26000 QUANTITY BOT 1.1620 AS OF 09/30	TGLMX	1	$0.00
Trust - Advisory	Settled	10/1/2014	SecurityTransactions	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $15.79 REINV PRICE $10.16000 QUANTITY BOT 1.5540 AS OF 09/30	RSFYX	1	$0.00
Trust - Advisory	Settled	10/1/2014	SecurityTransactions	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $22.58 REINV PRICE $6.01000 QUANTITY BOT 3.7570 AS OF 09/30	OIBYX	3	$0.00

Trust - Advisory	Settled		10/1/2014	SecurityTransactions	Divd Reinv PIMCO TOT RTN FD CL P AGENT REINV AMOUNT $5.65 REINV PRICE $10.87000 QUANTITY BOT .5200 AS OF 09/30	PTTPX			$0.00
Trust - Advisory	Settled		10/1/2014	SecurityTransactions	Redeemed GOLDMAN SACHS GROUP LP GLB 05.000% OCT 01 2014 GLB 05.000% OCT 01 2014 PAY DATE 10/01/2014	38143UAV	-10,000		$10,000.00
Trust - Advisory	Settled		10/1/2014	SecurityTransactions	Journal Entry RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE $10.16	RSFYX	1		$0.00
Trust - Advisory	Settled		10/1/2014	SecurityTransactions	Journal Entry OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE $6.01	OIBYX	1		$0.00
Trust - Advisory	Settled		9/18/2014	SecurityTransactions	Divd Reinv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $24.27 REINV PRICE $13.26000 QUANTITY BOT 1.8300 AS OF 09/15	TGBAX	1		$0.00
Trust - Advisory	Settled		9/18/2014	SecurityTransactions	Journal Entry TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE $13.29	TGBAX	1		$0.00
Trust - Advisory	Settled	8/28/2014	9/3/2014	SecurityTransactions	Sale COGNIZANT TECH SOLUTNS A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CTSH	-59	$45.21	$2,667.32
Trust - Advisory	Settled	8/28/2014	9/3/2014	SecurityTransactions	Purchase SALESFORCE COM INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CRM	11	$58.64	-$645.04
Trust - Advisory	Settled	8/28/2014	9/3/2014	SecurityTransactions	Purchase TWITTER INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	TWTR	45	$49.57	-$2,230.65

Trust - Advisory	Settled		9/2/2014	SecurityTransactions	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $11.90 REINV PRICE $10.30000 QUANTITY BOT 1.1550 AS OF 08/29	TGLMX	1		$0.00
Trust - Advisory	Settled		9/2/2014	SecurityTransactions	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $17.43 REINV PRICE $10.28000 QUANTITY BOT 1.6960 AS OF 08/29	RSFYX	1		$0.00
Trust - Advisory	Settled		9/2/2014	SecurityTransactions	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $25.46 REINV PRICE $6.15000 QUANTITY BOT 4.1400 AS OF 08/29	OIBYX	4		$0.00
Trust - Advisory	Settled		9/2/2014	SecurityTransactions	Divd Reinv PIMCO TOT RTN FD CL P AGENT REINV AMOUNT $7.38 REINV PRICE $10.99000 QUANTITY BOT .6720 AS OF 08/29	PTTPX			$0.00
Trust - Advisory	Settled		9/2/2014	SecurityTransactions	Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE $10.96	PTTPX	1		$0.00
Trust - Advisory	Settled	8/21/2014	8/26/2014	SecurityTransactions	Purchase ISHARES SHORT TREASURY BOND ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	SHV	83	$110.28	-$9,153.24
Trust - Advisory	Settled		8/20/2014	SecurityTransactions	Divd Reinv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $23.92 REINV PRICE $13.27000 QUANTITY BOT 1.8030 AS OF 08/15	TGBAX	1		$0.00
Trust - Advisory	Settled		8/20/2014	SecurityTransactions	Journal Entry TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE $13.32	TGBAX	1		$0.00
Trust - Advisory	Settled	8/6/2014	8/11/2014	SecurityTransactions	Sale BOEING COMPANY PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	BA	-22	$118.22	$2,600.79

Trust - Advisory	Settled	8/6/2014	8/11/2014	SecurityTransactions	Purchase DANAHER CORP DEL COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	DHR	39	$74.01	-$2,886.57
Trust - Advisory	Settled	8/4/2014	8/7/2014	SecurityTransactions	Sale EATON VANCE TAX-ADVANTAG BD & OPT STRATEGIES FD PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	EXD	-180	$13.45	$2,421.56
Trust - Advisory	Settled		8/1/2014	SecurityTransactions	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $11.88 REINV PRICE $10.26000 QUANTITY BOT 1.1580 AS OF 07/31	TGLMX	1		$0.00
Trust - Advisory	Settled		8/1/2014	SecurityTransactions	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $17.32 REINV PRICE $10.29000 QUANTITY BOT 1.6830 AS OF 07/31	RSFYX	1		$0.00
Trust - Advisory	Settled		8/1/2014	SecurityTransactions	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $25.21 REINV PRICE $6.14000 QUANTITY BOT 4.1060 AS OF 07/31	OIBYX	4		$0.00
Trust - Advisory	Settled		8/1/2014	SecurityTransactions	Divd Reinv PIMCO TOT RTN FD CL P AGENT REINV AMOUNT $7.82 REINV PRICE $10.89000 QUANTITY BOT .7180 AS OF 07/31	PTTPX			$0.00
Trust - Advisory	Settled		8/1/2014	SecurityTransactions	Journal Entry RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE $10.28	RSFYX	1		$0.00
Trust - Advisory	Settled		8/1/2014	SecurityTransactions	Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE $10.93	PTTPX	1		$0.00

Trust - Advisory	Settled	7/28/2014	7/29/2014	SecurityTransactions	Purchase OPPENHEIMER DEVELOPING MARKETS FD CL Y FRAC SHR QUANTITY .143 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	ODVYX	56	$40.59	-$2,278.84
Trust - Advisory	Settled	7/28/2014	7/29/2014	SecurityTransactions	Purchase SCHRODER EMERGING MARKET EQUITY FUND INV CL FRAC SHR QUANTITY .057 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	SEMNX	262	$14.20	-$3,721.21
Trust - Advisory	Settled	7/28/2014	7/29/2014	SecurityTransactions	Sale CALAMOS EVOLVING WORLD GROWTH FUND CL I FRAC SHR QUANTITY .336 PER ADVISORY AGREEMENT. THIS SALE CONSTITUTES A REDEMPTION.	CNWIX	-310	$14.81	$4,596.08
Trust - Advisory	Settled		7/18/2014	SecurityTransactions	Divd Reinv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $24.16 REINV PRICE $13.30000 QUANTITY BOT 1.8170 AS OF 07/15	TGBAX	1		$0.00
Trust - Advisory	Settled		7/18/2014	SecurityTransactions	Journal Entry TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE $13.28	TGBAX	1		$0.00
Trust - Advisory	Settled		7/14/2014	SecurityTransactions	Redeemed GENERAL ELEC CAP COR CLD SER MTN STEP% JAN 13 2027 SER MTN STEP% JAN 13 2027 PAY DATE 07/14/2014	36966TEF:	-3,000		$3,000.00
Trust - Advisory	Settled	7/7/2014	7/10/2014	SecurityTransactions	Purchase ACTAVIS PLC SHS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ACT	14	$220.01	-$3,080.14

Trust - Advisory	Settled	7/7/2014	7/10/2014	SecurityTransactions	Sale COVIDIEN PLC SHS NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	COV	-41	$90.21	$3,698.52
Trust - Advisory	Settled		7/1/2014	SecurityTransactions	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $12.17 REINV PRICE $10.27000 QUANTITY BOT 1.1850 AS OF 06/30	TGLMX	1		$0.00
Trust - Advisory	Settled		7/1/2014	SecurityTransactions	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $16.76 REINV PRICE $10.35000 QUANTITY BOT 1.6190 AS OF 06/30	RSFYX	1		$0.00
Trust - Advisory	Settled		7/1/2014	SecurityTransactions	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $23.98 REINV PRICE $6.19000 QUANTITY BOT 3.8740 AS OF 06/30	OIBYX	3		$0.00
Trust - Advisory	Settled		7/1/2014	SecurityTransactions	Divd Reinv PIMCO TOT RTN FD CL P AGENT REINV AMOUNT $7.06 REINV PRICE $10.97000 QUANTITY BOT .6440 AS OF 06/30	PTTPX			$0.00
Trust - Advisory	Settled	6/26/2014	7/1/2014	SecurityTransactions	Sale DISCOVERY COMMUNICATN INC SERIES A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	DISCA	-28	$71.93	$2,013.91
Trust - Advisory	Settled	6/26/2014	7/1/2014	SecurityTransactions	Purchase DISNEY (WALT) CO COM STK PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	DIS	27	$84.36	-$2,277.70
Trust - Advisory	Settled		7/1/2014	SecurityTransactions	Journal Entry TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE $10.26	TGLMX	1		$0.00
Trust - Advisory	Settled		7/1/2014	SecurityTransactions	Journal Entry RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE $10.35	RSFYX	1		$0.00

Trust - Advisory	Settled	7/1/2014	SecurityTransactions	Journal Entry OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE $6.19	OIBYX	1	$0.00
Trust - Advisory	Settled	6/19/2014	SecurityTransactions	Divd Reinv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $23.95 REINV PRICE $13.28000 QUANTITY BOT 1.8030 AS OF 06/16	TGBAX	1	$0.00
Trust - Advisory	Settled	6/12/2014	SecurityTransactions	Stock Dividend APPLE INC HOLDING 4.0000 PAY DATE 06/06/2014	AAPL	24	$0.00
Trust - Advisory	Settled	6/12/2014	SecurityTransactions	DUDB APPLE INC	AAPL	-24	$0.00
Trust - Advisory	Settled	6/9/2014	SecurityTransactions	DUDB APPLE INC	AAPL	24	$0.00
Trust - Advisory	Settled	6/2/2014	SecurityTransactions	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $12.46 REINV PRICE $10.27000 QUANTITY BOT 1.2130 AS OF 05/30	TGLMX	1	$0.00
Trust - Advisory	Settled	6/2/2014	SecurityTransactions	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $17.13 REINV PRICE $10.33000 QUANTITY BOT 1.6580 AS OF 05/30	RSFYX	1	$0.00
Trust - Advisory	Settled	6/2/2014	SecurityTransactions	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $25.87 REINV PRICE $6.18000 QUANTITY BOT 4.1860 AS OF 05/30	OIBYX	4	$0.00
Trust - Advisory	Settled	6/2/2014	SecurityTransactions	Divd Reinv PIMCO TOT RTN FD CL P AGENT REINV AMOUNT $8.73 REINV PRICE $10.95000 QUANTITY BOT .7970 AS OF 05/30	PTTPX		$0.00
Trust - Advisory	Settled	6/2/2014	SecurityTransactions	Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE $10.93	PTTPX	1	$0.00
Trust - Advisory	Settled	5/20/2014	SecurityTransactions	Divd Reinv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $23.89 REINV PRICE $13.15000 QUANTITY BOT 1.8170 AS OF 05/15	TGBAX	1	$0.00

Trust - Advisory	Settled	5/19/2014	5/20/2014	SecurityTransactions	Purchase SCHRODER EMERGING MARKET EQUITY FUND INV CL FRAC SHR QUANTITY .004 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	SEMNX	259	$13.51	-$3,499.14
Trust - Advisory	Settled		5/20/2014	SecurityTransactions	Journal Entry TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE $13.17	TGBAX	1		$0.00
Trust - Advisory	Settled	5/13/2014	5/16/2014	SecurityTransactions	Purchase MONDELEZ INTERNATIONAL INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	MDLZ	78	$37.88	-$2,954.50
Trust - Advisory	Settled	5/13/2014	5/16/2014	SecurityTransactions	Sale WHOLE FOODS MKT INC COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	WFM	-60	$39.15	$2,348.96
Trust - Advisory	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase ADOBE SYS DEL PV$ 0.001 PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ADBE	24	$61.52	-$1,476.41
Trust - Advisory	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase AFFILIATED MANAGERS GRP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AMG	7	$195.75	-$1,370.25

Trust - Advisory	Settled	5/5/2014	5/8/2014	SecurityTransactions	Sale AETNA INC NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AET	-52	$71.16	$3,700.18
Trust - Advisory	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase AVAGO TECHNOLOGIES LTD PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AVGO	16	$64.26	-$1,028.15
Trust - Advisory	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase AMERICAN TOWER REIT INC (HLDG CO) SHS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AMT	11	$88.39	-$972.26
Trust - Advisory	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase APPLE INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AAPL	1	$599.28	-$599.28
Trust - Advisory	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase BORG WARNER INC COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	BWA	18	$60.35	-$1,086.25
Trust - Advisory	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase BOEING COMPANY PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	BA	10	$132.22	-$1,322.19

Trust - Advisory	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase CABOT OIL & GAS CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	COG	25	$38.67	-$966.72
Trust - Advisory	Settled	5/5/2014	5/8/2014	SecurityTransactions	Sale CAPITAL ONE FINL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	COF	-49	$75.72	$3,710.38
Trust - Advisory	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase COGNIZANT TECH SOLUTNS A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CTSH	30	$49.30	-$1,479.00
Trust - Advisory	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase COSTCO WHOLESALE CRP DEL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	COST	12	$114.58	-$1,374.99
Trust - Advisory	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase CATERPILLAR INC DEL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CAT	10	$104.69	-$1,046.95
Trust - Advisory	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase COVIDIEN PLC SHS NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	COV	20	$71.68	-$1,433.50

Trust - Advisory	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase DICKS SPORTING GOODS INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	DKS	21	$52.03	-$1,092.58
Trust - Advisory	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase DISCOVERY COMMUNICATN INC SERIES A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	DISCA	13	$77.49	-$1,007.33
Trust - Advisory	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase EXPRESS SCRIPTS HLDG CO PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ESRX	17	$67.03	-$1,139.45
Trust - Advisory	Settled	5/5/2014	5/8/2014	SecurityTransactions	Sale EATON CORP PLC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ETN	-58	$72.87	$4,226.37
Trust - Advisory	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase FEDEX CORP DELAWARE COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	FDX	10	$136.20	-$1,362.03
Trust - Advisory	Settled	5/5/2014	5/8/2014	SecurityTransactions	Sale GENL DYNAMICS CORP COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	GD	-37	$111.40	$4,121.76

Trust - Advisory	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase GOOGLE INC CL A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	GOOGL	2	$534.66	-$1,069.33
Trust - Advisory	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase HONEYWELL INTL INC DEL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	HON	12	$92.76	-$1,113.09
Trust - Advisory	Settled	5/5/2014	5/8/2014	SecurityTransactions	Sale HESS CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	HES	-62	$88.46	$5,484.39
Trust - Advisory	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase MONSANTO CO NEW DEL COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	MON	9	$114.86	-$1,033.78
Trust - Advisory	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase NOBLE ENERGY INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	NBL	15	$70.97	-$1,064.54
Trust - Advisory	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase PIONEER NATURAL RES CO PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	PXD	5	$197.98	-$989.91

Trust - Advisory	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase PRECISION CASTPARTS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	PCP	5	$259.69	-$1,298.45
Trust - Advisory	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase SCHLUMBERGER LTD PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	SLB	10	$101.36	-$1,013.65
Trust - Advisory	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase SALESFORCE COM INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CRM	14	$53.61	-$750.57
Trust - Advisory	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase THERMO FISHER SCIENTIFIC INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	TMO	12	$114.83	-$1,378.00
Trust - Advisory	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase VMWARE, INC. PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	VMW	17	$93.17	-$1,583.93
Trust - Advisory	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase ZOETIS INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ZTS	34	$30.54	-$1,038.28

Trust - Advisory	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase WHOLE FOODS MKT INC COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	WFM	29	$48.23	-$1,398.65
Trust - Advisory	Settled	5/5/2014	5/8/2014	SecurityTransactions	Sale WELLS FARGO & CO NEW DEL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	WFC	-98	$49.49	$4,850.15
Trust - Advisory	Settled	5/2/2014	5/7/2014	SecurityTransactions	Sale CITIGROUP INC COM NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	C	-67	$47.78	$3,201.18
Trust - Advisory	Settled	5/2/2014	5/7/2014	SecurityTransactions	Sale GENERAL MOTORS CO COMMON SHARES PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	GM	-101	$35.13	$3,547.94
Trust - Advisory	Settled		5/1/2014	SecurityTransactions	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $13.05 REINV PRICE $10.17000 QUANTITY BOT 1.2830 AS OF 04/30	TGLMX	1		$0.00
Trust - Advisory	Settled		5/1/2014	SecurityTransactions	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $17.24 REINV PRICE $10.32000 QUANTITY BOT 1.6710 AS OF 04/30	RSFYX	1		$0.00
Trust - Advisory	Settled		5/1/2014	SecurityTransactions	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $24.87 REINV PRICE $6.14000 QUANTITY BOT 4.0500 AS OF 04/30	OIBYX	4		$0.00

Trust - Advisory	Settled		5/1/2014	SecurityTransactions	Divd Reinv PIMCO TOT RTN FD CL P AGENT REINV AMOUNT $6.74 REINV PRICE $10.84000 QUANTITY BOT .6220 AS OF 04/30	PTTPX			$0.00
Trust - Advisory	Settled		5/1/2014	SecurityTransactions	Journal Entry RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE $10.33	RSFYX	1		$0.00
Trust - Advisory	Settled		5/1/2014	SecurityTransactions	Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE $10.86	PTTPX	1		$0.00
Trust - Advisory	Settled	4/22/2014	4/25/2014	SecurityTransactions	Sale ELI LILLY & CO PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	LLY	-72	$60.14	$4,329.79
Trust - Advisory	Settled	4/21/2014	4/24/2014	SecurityTransactions	Purchase AFFILIATED MANAGERS GRP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AMG	8	$185.40	-$1,483.22
Trust - Advisory	Settled	4/21/2014	4/24/2014	SecurityTransactions	Sale AUTONATION INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AN	-73	$54.45	$3,974.73
Trust - Advisory	Settled	4/21/2014	4/24/2014	SecurityTransactions	Sale AMAZON COM INC COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AMZN	-2	$324.92	$649.83

Trust - Advisory	Settled	4/21/2014	4/24/2014	SecurityTransactions	Purchase AMERICAN TOWER REIT INC (HLDG CO) SHS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AMT	14	$82.84	-$1,159.76
Trust - Advisory	Settled	4/21/2014	4/24/2014	SecurityTransactions	Purchase BORG WARNER INC COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	BWA	18	$63.14	-$1,136.60
Trust - Advisory	Settled	4/21/2014	4/24/2014	SecurityTransactions	Purchase BOEING COMPANY PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	BA	12	$128.02	-$1,536.24
Trust - Advisory	Settled	4/21/2014	4/24/2014	SecurityTransactions	Purchase COSTCO WHOLESALE CRP DEL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	COST	13	$113.98	-$1,481.80
Trust - Advisory	Settled	4/21/2014	4/24/2014	SecurityTransactions	Purchase CATERPILLAR INC DEL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CAT	11	$102.18	-$1,123.95
Trust - Advisory	Settled	4/21/2014	4/24/2014	SecurityTransactions	Purchase COVIDIEN PLC SHS NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	COV	21	$70.52	-$1,480.90

Trust - Advisory	Settled	4/21/2014	4/24/2014	SecurityTransactions	Purchase DICKS SPORTING GOODS INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	DKS	21	$52.26	-$1,097.50
Trust - Advisory	Settled	4/21/2014	4/24/2014	SecurityTransactions	Sale DOLLAR TREE INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	DLTR	-62	$50.67	$3,141.58
Trust - Advisory	Settled	4/21/2014	4/24/2014	SecurityTransactions	Purchase DISCOVERY COMMUNICATN INC SERIES A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	DISCA	15	$77.48	-$1,162.20
Trust - Advisory	Settled	4/21/2014	4/24/2014	SecurityTransactions	Purchase EXPRESS SCRIPTS HLDG CO PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ESRX	16	$71.28	-$1,140.48
Trust - Advisory	Settled	4/21/2014	4/24/2014	SecurityTransactions	Sale FLUOR CORP NEW DEL COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	FLR	-28	$77.41	$2,167.56
Trust - Advisory	Settled	4/21/2014	4/24/2014	SecurityTransactions	Purchase FEDEX CORP DELAWARE COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	FDX	11	$136.46	-$1,501.06

Trust - Advisory	Settled	4/21/2014	4/24/2014	SecurityTransactions	Sale GILEAD SCIENCES INC COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	GILD	-14	$70.37	$985.14
Trust - Advisory	Settled	4/21/2014	4/24/2014	SecurityTransactions	Purchase HONEYWELL INTL INC DEL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	HON	12	$93.12	-$1,117.41
Trust - Advisory	Settled	4/21/2014	4/24/2014	SecurityTransactions	Purchase MONSANTO CO NEW DEL COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	MON	10	$112.89	-$1,128.88
Trust - Advisory	Settled	4/21/2014	4/24/2014	SecurityTransactions	Sale O'REILLY AUTOMOTIVE INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ORLY	-28	$146.65	$4,106.21
Trust - Advisory	Settled	4/21/2014	4/24/2014	SecurityTransactions	Purchase PRECISION CASTPARTS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	PCP	6	$257.14	-$1,542.82
Trust - Advisory	Settled	4/21/2014	4/24/2014	SecurityTransactions	Purchase THERMO FISHER SCIENTIFIC INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	TMO	13	$119.16	-$1,549.11

Trust - Advisory	Settled	4/21/2014	4/24/2014	SecurityTransactions	Purchase ZOETIS INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ZTS	38	$28.92	-$1,099.09
Trust - Advisory	Settled	4/21/2014	4/24/2014	SecurityTransactions	Purchase WHOLE FOODS MKT INC COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	WFM	31	$48.62	-$1,507.22
Trust - Advisory	Settled		4/21/2014	SecurityTransactions	Divd Reinv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $23.76 REINV PRICE $13.02000 QUANTITY BOT 1.8250 AS OF 04/15	TGBAX	1		$0.00
Trust - Advisory	Settled		4/21/2014	SecurityTransactions	Journal Entry TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE $13.07	TGBAX	1		$0.00
Trust - Advisory	Settled	4/11/2014	4/16/2014	SecurityTransactions	Sale HUNTNGTN BANCSHS INC MD PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	HBAN	-398	$9.41	$3,746.25
Trust - Advisory	Settled	4/7/2014	4/10/2014	SecurityTransactions	Sale BIOGEN IDEC INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	BIIB	-13	$282.33	$3,670.15
Trust - Advisory	Settled	4/7/2014	4/10/2014	SecurityTransactions	Sale REGENERON PHARMACTCLS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	REGN	-5	$285.00	$1,424.97

Trust - Advisory	Settled	4/4/2014	4/9/2014	SecurityTransactions	Purchase AVAGO TECHNOLOGIES LTD PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AVGO	18	$63.50	-$1,143.00
Trust - Advisory	Settled	4/4/2014	4/9/2014	SecurityTransactions	Purchase APPLE INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AAPL	3	$537.29	-$1,611.86
Trust - Advisory	Settled	4/4/2014	4/9/2014	SecurityTransactions	Purchase COGNIZANT TECH SOLUTNS A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CTSH	29	$51.15	-$1,483.39
Trust - Advisory	Settled	4/4/2014	4/9/2014	SecurityTransactions	Sale CELGENE CORP COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CELG	-23	$143.70	$3,305.10
Trust - Advisory	Settled	4/4/2014	4/9/2014	SecurityTransactions	Purchase NOBLE ENERGY INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	NBL	16	$71.00	-$1,136.00
Trust - Advisory	Settled	4/4/2014	4/9/2014	SecurityTransactions	Purchase PIONEER NATURAL RES CO PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	PXD	6	$191.00	-$1,146.00

Trust - Advisory	Settled	4/4/2014	4/9/2014	SecurityTransactions	Purchase SCHLUMBERGER LTD PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	SLB	12	$98.70	-$1,184.40
Trust - Advisory	Settled	4/4/2014	4/9/2014	SecurityTransactions	Sale VERTEX PHARMCTLS INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	VRTX	-18	$68.98	$1,241.56
Trust - Advisory	Settled	4/3/2014	4/8/2014	SecurityTransactions	Purchase ADOBE SYS DEL PV$ 0.001 PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ADBE	23	$63.87	-$1,469.08
Trust - Advisory	Settled	4/3/2014	4/8/2014	SecurityTransactions	Purchase CABOT OIL & GAS CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	COG	32	$35.30	-$1,129.70
Trust - Advisory	Settled	4/3/2014	4/8/2014	SecurityTransactions	Purchase GOOGLE INC CL A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	GOOGL	2	$568.85	-$1,137.70
Trust - Advisory	Settled	4/3/2014	4/8/2014	SecurityTransactions	Purchase SALESFORCE COM INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CRM	13	$56.83	-$738.75

Trust - Advisory	Settled	4/3/2014	4/8/2014	SecurityTransactions	Purchase VMWARE, INC. PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	VMW	14	$107.16	-$1,500.24
Trust - Advisory	Settled	4/2/2014	4/7/2014	SecurityTransactions	Purchase ISHARES NASDAQ BIOTECH ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IBB	4	$243.24	-$972.97
Trust - Advisory	Settled	4/2/2014	4/7/2014	SecurityTransactions	Purchase VANGUARD CONSUMER STAPLES ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VDC	21	$110.84	-$2,327.61
Trust - Advisory	Settled	4/2/2014	4/7/2014	SecurityTransactions	Purchase VANGUARD MATERIALS ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VAW	8	$107.39	-$859.15
Trust - Advisory	Settled	4/2/2014	4/7/2014	SecurityTransactions	Purchase VANGUARD INFORMATION TECH ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VGT	59	$92.78	-$5,473.83

Trust - Advisory	Settled	4/2/2014	4/7/2014	SecurityTransactions	Purchase VANGUARD ENERGY ETF FDS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VDE	27	$129.18	-$3,487.77
Trust - Advisory	Settled	4/2/2014	4/7/2014	SecurityTransactions	Purchase VANGUARD INDUSTRIAL ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VIS	52	$102.16	-$5,312.10
Trust - Advisory	Settled	4/2/2014	4/7/2014	SecurityTransactions	Purchase FIRST TR EXCHANGE TRADED FD DOW JONES IN PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	FDN	11	$60.39	-$664.27
Trust - Advisory	Settled	4/2/2014	4/7/2014	SecurityTransactions	Sale DWA TECH LEADERS PORT POWERSHARES ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	PDP	-259	$37.86	$9,805.80
Trust - Advisory	Settled	4/2/2014	4/7/2014	SecurityTransactions	Sale ISHARES U.S. TECHNOLOGY PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IYW	-250	$92.24	$23,060.19

Trust - Advisory	Settled	4/2/2014	4/7/2014	SecurityTransactions	Purchase FIRST TR ISE CLOUD COMP IDX ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	SKYY	25	$27.41	-$685.17
Trust - Advisory	Settled	4/2/2014	4/7/2014	SecurityTransactions	Purchase HEALTH CARE SELECT SPDR PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLV	68	$59.07	-$4,016.52
Trust - Advisory	Settled	4/2/2014	4/7/2014	SecurityTransactions	Purchase CONSUMER DISCRETIONARY SPDR PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLY	54	$66.02	-$3,565.11
Trust - Advisory	Settled	4/2/2014	4/7/2014	SecurityTransactions	Sale SECTOR SPDR ENERGY PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLE	-36	$89.62	$3,226.33
Trust - Advisory	Settled		4/1/2014	SecurityTransactions	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $13.64 REINV PRICE $10.10000 QUANTITY BOT 1.3500 AS OF 03/31	TGLMX	1		$0.00
Trust - Advisory	Settled		4/1/2014	SecurityTransactions	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $16.74 REINV PRICE $10.35000 QUANTITY BOT 1.6170 AS OF 03/31	RSFYX	1		$0.00
Trust - Advisory	Settled		4/1/2014	SecurityTransactions	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $22.86 REINV PRICE $6.10000 QUANTITY BOT 3.7480 AS OF 03/31	OIBYX	3		$0.00

Trust - Advisory	Settled		4/1/2014	SecurityTransactions	Divd Reinv PIMCO TOT RTN FD CL P AGENT REINV AMOUNT $6.34 REINV PRICE $10.78000 QUANTITY BOT .5880 AS OF 03/31	PTTPX			$0.00
Trust - Advisory	Settled		4/1/2014	SecurityTransactions	Journal Entry OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE $6.11	OIBYX	1		$0.00
Trust - Advisory	Settled		3/20/2014	SecurityTransactions	Divd Reinv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $30.92 REINV PRICE $12.81000 QUANTITY BOT 2.4140 AS OF 03/17	TGBAX	2		$0.00
Trust - Advisory	Settled	3/18/2014	3/19/2014	SecurityTransactions	Purchase OPPENHEIMER DEVELOPING MARKETS FD CL Y FRAC SHR QUANTITY .955 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	ODVYX	5	$35.86	-$213.55
Trust - Advisory	Settled		3/19/2014	SecurityTransactions	Journal Entry OPPENHEIMER DEVELOPING MARKETS FD CL Y FULL SHARE ACCUM SHARE VALUE $35.53	ODVYX	1		$0.00
Trust - Advisory	Settled	3/6/2014	3/11/2014	SecurityTransactions	Purchase CELGENE CORP COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CELG	9	$164.27	-$1,478.39
Trust - Advisory	Settled		3/3/2014	SecurityTransactions	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $13.61 REINV PRICE $10.15000 QUANTITY BOT 1.3410 AS OF 02/28	TGLMX	1		$0.00
Trust - Advisory	Settled		3/3/2014	SecurityTransactions	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $16.11 REINV PRICE $10.36000 QUANTITY BOT 1.5550 AS OF 02/28	RSFYX	1		$0.00

Trust - Advisory	Settled		3/3/2014	SecurityTransactions	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $24.00 REINV PRICE $6.08000 QUANTITY BOT 3.9470 AS OF 02/28	OIBYX	3		$0.00
Trust - Advisory	Settled		3/3/2014	SecurityTransactions	Divd Reinv PIMCO TOT RTN FD CL P AGENT REINV AMOUNT $5.40 REINV PRICE $10.86000 QUANTITY BOT .4970 AS OF 02/28	PTTPX			$0.00
Trust - Advisory	Settled		3/3/2014	SecurityTransactions	Journal Entry TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE $10.16	TGLMX	1		$0.00
Trust - Advisory	Settled		3/3/2014	SecurityTransactions	Journal Entry RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE $10.36	RSFYX	1		$0.00
Trust - Advisory	Settled		3/3/2014	SecurityTransactions	Journal Entry OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE $6.06	OIBYX	1		$0.00
Trust - Advisory	Settled		3/3/2014	SecurityTransactions	Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE $10.88	PTTPX	1		$0.00
Trust - Advisory	Settled	2/21/2014	2/26/2014	SecurityTransactions	Purchase AETNA INC NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AET	25	$70.30	-$1,757.50
Trust - Advisory	Settled	2/21/2014	2/26/2014	SecurityTransactions	Purchase GENERAL MOTORS CO COMMON SHARES PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GM	49	$36.20	-$1,773.70
Trust - Advisory	Settled		2/21/2014	SecurityTransactions	Divd Reinv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $30.67 REINV PRICE $12.84000 QUANTITY BOT 2.3890 AS OF 02/18	TGBAX	2		$0.00
Trust - Advisory	Settled		2/21/2014	SecurityTransactions	Journal Entry TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE $12.84	TGBAX	1		$0.00

Trust - Advisory	Settled	2/6/2014	2/11/2014	SecurityTransactions	Sale VALERO ENERGY CORP NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VLO	-77	$46.83	$3,605.57
Trust - Advisory	Settled	2/5/2014	2/10/2014	SecurityTransactions	Purchase AETNA INC NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AET	27	$67.10	-$1,811.70
Trust - Advisory	Settled	2/5/2014	2/10/2014	SecurityTransactions	Purchase GENERAL MOTORS CO COMMON SHARES PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GM	52	$35.21	-$1,830.92
Trust - Advisory	Settled	2/3/2014	2/6/2014	SecurityTransactions	Sale GENL DYNAMICS CORP COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GD	-14	$100.80	$1,411.18
Trust - Advisory	Settled	2/3/2014	2/6/2014	SecurityTransactions	Purchase GUGGENHEIM BULLETSHARES 2016 HIGH YIELD CORP BD PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	BSJG	141	$26.98	-$3,804.18
Trust - Advisory	Settled	1/31/2014	2/5/2014	SecurityTransactions	Purchase CELGENE CORP COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CELG	5	$150.98	-$754.92

Trust - Advisory	Settled	1/31/2014	2/5/2014	SecurityTransactions	Purchase FLUOR CORP NEW DEL COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	FLR	10	$75.82	-$758.20
Trust - Advisory	Settled		2/3/2014	SecurityTransactions	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $16.97 REINV PRICE $10.14000 QUANTITY BOT 1.6740 AS OF 01/31	TGLMX	1		$0.00
Trust - Advisory	Settled		2/3/2014	SecurityTransactions	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $17.20 REINV PRICE $10.36000 QUANTITY BOT 1.6600 AS OF 01/31	RSFYX	1		$0.00
Trust - Advisory	Settled		2/3/2014	SecurityTransactions	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $29.08 REINV PRICE $6.00000 QUANTITY BOT 4.8470 AS OF 01/31	OIBYX	4		$0.00
Trust - Advisory	Settled		2/3/2014	SecurityTransactions	Divd Reinv PIMCO TOT RTN FD CL P AGENT REINV AMOUNT $4.87 REINV PRICE $10.82000 QUANTITY BOT .4500 AS OF 01/31	PTTPX			$0.00
Trust - Advisory	Settled	1/29/2014	2/3/2014	SecurityTransactions	Sale EXXON MOBIL CORP COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XOM	-38	$96.00	$3,647.94
Trust - Advisory	Settled		2/3/2014	SecurityTransactions	Journal Entry RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE $10.36	RSFYX	1		$0.00
Trust - Advisory	Settled		2/3/2014	SecurityTransactions	*Journal Entry* OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE $5.99	OIBYX	1		$0.00
Trust - Advisory	Settled	1/23/2014	1/28/2014	SecurityTransactions	Sale BIOGEN IDEC INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	BIIB	-3	$308.00	$923.98

Trust - Advisory	Settled	1/23/2014	1/28/2014	SecurityTransactions	Purchase CELGENE CORP COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CELG	9	$167.28	-$1,505.52
Trust - Advisory	Settled	1/23/2014	1/28/2014	SecurityTransactions	Purchase FLUOR CORP NEW DEL COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	FLR	18	$81.94	-$1,474.83
Trust - Advisory	Settled	1/23/2014	1/28/2014	SecurityTransactions	Purchase REGENERON PHARMACTCLS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	REGN	5	$289.71	-$1,448.53
Trust - Advisory	Settled	1/23/2014	1/28/2014	SecurityTransactions	Purchase VERTEX PHARMCTLS INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	VRTX	18	$83.60	-$1,504.75
Trust - Advisory	Settled	1/17/2014	1/23/2014	SecurityTransactions	Sale VALERO ENERGY CORP NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VLO	-23	$51.50	$1,184.48
Trust - Advisory	Settled	1/16/2014	1/22/2014	SecurityTransactions	Sale ISHARES CORE S&P MID-CAP ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IJH	-85	$134.74	$11,452.63

Trust - Advisory	Settled	1/16/2014	1/22/2014	SecurityTransactions	Sale DWA TECH LEADERS PORT POWERSHARES ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	PDP	-219	$36.86	$8,072.27
Trust - Advisory	Settled		1/21/2014	SecurityTransactions	Divd Reinv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $30.79 REINV PRICE $13.03000 QUANTITY BOT 2.3630 AS OF 01/15	TGBAX	2		$0.00
Trust - Advisory	Settled	1/17/2014	1/21/2014	SecurityTransactions	Purchase CALL VLO 00049.000 VALERO ENERGY CORP NEW EXP 01-18-14 CLOSE TRAN VLO JAN 49.00000	VLO1418A	1	$2.81	-$281.00
Trust - Advisory	Settled	1/14/2014	1/17/2014	SecurityTransactions	Purchase CITIGROUP INC COM NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	C	32	$54.00	-$1,727.88
Trust - Advisory	Settled	1/16/2014	1/17/2014	SecurityTransactions	Purchase MFS INTERNATIONAL VALUE FUND CL I FRAC SHR QUANTITY .160 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	MINIX	81	$35.04	-$2,843.85
Trust - Advisory	Settled	1/9/2014	1/14/2014	SecurityTransactions	Purchase CITIGROUP INC COM NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	C	35	$54.95	-$1,923.25
Trust - Advisory	Settled	1/7/2014	1/10/2014	SecurityTransactions	Sale CHESAPEAKE ENERGY OKLA PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CHK	-165	$26.12	$4,309.96

Trust - Advisory	Settled	1/2/2014	SecurityTransactions	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $18.31 REINV PRICE $10.34000 QUANTITY BOT 1.7710 AS OF 12/31	RSFYX	1	$0.00
Trust - Advisory	Settled	1/2/2014	SecurityTransactions	Divd Reinv PIMCO TOT RTN FD CL P AGENT REINV AMOUNT $4.81 REINV PRICE $10.69000 QUANTITY BOT .4500 AS OF 12/31	PTTPX		$0.00
Trust - Advisory	Settled	1/2/2014	SecurityTransactions	Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE $10.70	PTTPX	1	$0.00

DAVID PHILLIP ROE

SCHEDULE 4

Merrill Lynch
Wealth Management
Bank of America Corporation

Online at: **www.mymerrill.com** Account Number: **24-Hour Assistance: (800) MERRILL**

MLPF& S CUST FPO
DR DAVID PHILIP ROE IRRA
FBO DR DAVID PHILIP ROE
2 N CROSSBOW LN
JOHNSON CITY TN 37604-3639

Net Portfolio Value: $307,017.24

Your Financial Advisor:
SMITH CONKIN HAUSMAN DIXON & ASSOC.
206 PRINCETON RD SUITE NO 1
JOHNSON CITY TN 37601
1-423-282-5191

Alt Investments

November 29, 2014 - December 31, 2014

ASSETS	*December 31*	*November 28*
Cash/Money Accounts	**23,642.30**	21,772.32
Fixed Income	-	-
Equities	**162,750.00**	165,800.00
Mutual Funds	-	-
Options	-	-
Other	-	-
Alternative Investments	**120,624.94**	123,278.49
Subtotal (Long Portfolio)	***307,017.24***	*310,850.81*
TOTAL ASSETS	**$307,017.24**	$310,850.81

LIABILITIES		
Debit Balance	-	-
TOTAL LIABILITIES	-	-
NET PORTFOLIO VALUE	**$307,017.24**	$310,850.81

CASH FLOW	*This Statement*	*Year to Date*
Opening Cash/Money Accounts	**$21,772.32**	
CREDITS		
Funds Received	-	-
Electronic Transfers	-	-
Other Credits	-	31.00
Subtotal	-	*31.00*
DEBITS		
Electronic Transfers	-	-
Other Debits	-	-
Subtotal	-	-
Net Cash Flow	-	$31.00
Dividends/Interest Income	**0.68**	2,072.61
Dividend Reinvestments	-	(2,063.98)
Security Purchases/Debits	-	(183,599.61)
Security Sales/Credits	**1,869.30**	204,840.79
Closing Cash/Money Accounts	**$23,642.30**	
Securities You Transferred In/Out	**(106.07)**	881.98

ACCOUNT INVESTMENT OBJECTIVE

November 29, 2014 - December 31, 2014

TOTAL RETURN: Objective is to strike a balance between current income and growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s).

YOUR RETIREMENT ACCOUNT ASSETS

CASH/MONEY ACCOUNTS *Description*	*Quantity*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Estimated Annual Income*	*Est. Annual Yield%*
+BANK OF AMERICA, NA RASP	23,642.00	23,642.00	1.0000	**23,642.00**	7	.03
+FDIC INSURED NOT SIPC COVERED						
(.3000 FRACTIONAL SHARE)		.30	1.0000	**.30**		.03
TOTAL		23,642.30		**23,642.30**	7	.03

EQUITIES *Description*	*Symbol*	*Acquired*	*Quantity*	*Unit Cost Basis*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Annual Income*	*Current Yield%*
DJIA MITTS ISSUER BAC *CAP 47.69% SV 10004.88* *DUE 09/01/2015 PROT 100%*	MLGFI	11/11/11	5,000	9.8910	49,455.35	14.3800	**71,900.00**	22,444.65		
GEB CLIRN ISSUER HSBC *CAP 16.10% SV 100.00* *DUE 08/26/16 BUF 5.00%*	MLHGX	08/28/14	5,000	10.0000	50,000.00	9.2400	**46,200.00**	(3,800.00)		
MSCI EM STEPUP ISSUER CS *STEP 47.00% SV 1,051.22* *DUE 07/28/17 CALL*	MLZHR	08/08/14	5,000	10.0000	50,000.00	8.9300	**44,650.00**	(5,350.00)		
TOTAL					149,455.35		**162,750.00**	13,294.65		

ALTERNATIVE INVESTMENTS HELD AT MLPF&S *Description*	*Acquired*	*Quantity*	*Unit Cost Basis*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Annual Income*	*Current Yield%*
ELEMENTS - ROGERS TR	09/24/08	2,007	10.9859	22,048.84	6.3100	12,664.17	(9,384.67)		
SV=4126.81 - ROGRTR DUE OCTOBER 24, 2022									
ELEMENTS - ROGERS TR	09/24/08	301	10.9797	3,304.89	6.3100	1,899.31	(1,405.58)		

+

YOUR RETIREMENT ACCOUNT ASSETS

November 29, 2014 - December 31, 2014

ALTERNATIVE INVESTMENTS HELD AT MLPF&S (continued) Description	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
Subtotal		*2,308*		*25,353.73*		***14,563.48***	*(10,790.25)*		
PMF TEI FUND, LP	04/01/14	408	100.0000	40,800.02	105.8452	43,184.84	2,384.82		
(.1620 FRACTIONAL SHARE)	*04/01/14*		*100.0000*	*16.20*	*105.8452*	*17.15*	*.95*		
(.7770 FRACTIONAL SHARE)	*N/A*		*N/A*	*N/A*	*105.8452*	*82.24*	*N/A*		
(ENDOWMENT - LONG TERM WIND-DOWN)									
EST MKT PRICE AS OF 11/28/14									
Subtotal		*408.9390*		*40,816.22*		***43,284.23***	*2,385.77*		
SKYBRIDGE MULTI-ADVISER	09/01/12	41	1,207.1639	49,493.72	1,305.8730	53,540.79	4,047.07		
HEDGE FUND PORTFOLIOS LLC									
EST MKT PRICE AS OF 11/28/14									
SKYBRIDGE MULTI-ADVISER	01/25/13	5	1,138.6820	5,693.41	1,305.8730	6,529.37	835.96		
SKYBRIDGE MULTI-ADVISER	01/24/14	1	1,234.3800	1,234.38	1,305.8730	1,305.87	71.49		
SKYBRIDGE MULTI-ADVISER	01/24/14	1	1,256.2300	1,256.23	1,305.8730	1,305.87	49.64		
(.0730 FRACTIONAL SHARE)	*01/24/14*		*1,256.1643*	*91.70*	*1,305.8730*	*95.33*	*3.63*		
Subtotal		*48.0730*		*57,769.44*		***62,777.23***	*5,007.79*		
TOTAL				123,939.39		**120,624.94**	(3,396.69)		

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	297,037.04	**307,017.24**	9,897.96		7	

Notes
Total values exclude N/A items

YOUR RETIREMENT ACCOUNT TRANSACTIONS

DIVIDENDS/INTEREST INCOME TRANSACTIONS Date	Transaction Type	Quantity	Description	Income	Income Year To Date
12/31	Interest		BANK OF AMERICA, NA RASP 0.68000 DIV/INT REINVEST		

+

YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	*Transaction Type*	*Quantity*	*Description*	*Income*	*Income Year To Date*
			PAY DATE 12/31/2014		
			FROM 11-28 THRU 12-31		
			CUSIP NUM: 55499U915		
	Income Total		BANK OF AMERICA, NA RASP	.68	
	Subtotal (Tax-Exempt Interest)			.68	8.63
	Subtotal (Tax-Exempt Dividends)				2,063.98
	NET TOTAL			**.68**	**2,072.61**

SECURITY TRANSACTIONS

Settlement Date	*Description*	*Transaction Type*	*Quantity*	*Unit Price*	*Debit*	*Credit*	*Accrued Interest Earned/(Paid)*
12/11	PMF TEI FUND, LP	Sale	-17	106.0774		1,869.30	
	(ENDOWMENT - LONG TERM WIND-DOWN)						
	TRADE AS OF 10/31/14						
	CUS NO 71CR19991						
	Subtotal (Sales)					1,869.30	
	TOTAL					**1,869.30**	

REALIZED GAINS/(LOSSES)

Description	*Quantity*	*Acquired Date*	*Liquidation Date*	*Sale Amount*	*Cost Basis*	*Gains/(Losses)* ✪ *This Statement*	*Year to Date*
Subtotal (Long-Term)							17,700.00
TOTAL							**17,700.00**

✪ - Excludes transactions for which we have insufficient data

The capital gains and losses shown above may not reflect all transactions which must be reported on your 2014 tax return. These reportable transactions will appear on your January statement.

+

Alt Investments Account Number:

YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 29, 2014 - December 31, 2014

SECURITIES YOU TRANSFERRED IN/OUT

Date	Description	Transaction Type	Quantity	Value of Securities	Year To Date
12/11	PMF TEI FUND, LP (ENDOWMENT - LONG TERM WIND-DOWN) FULL SHARE ACCUM SHARE VALUE $106.08	Journal Entry	-1	(106.07)	
	NET TOTAL			**(106.07)**	**881.98**

SWEEP PROGRAM TRANSACTIONS

Date	Transaction Type	Quantity	Description	Debit	Credit
12/12	Deposit	1,869	BANK OF AMERICA, NA RASP FRAC BUY .30 @ 1.00	1,869.30	
	NET TOTAL			**1,869.30**	

YOUR RETIREMENT ACCOUNT CONTRIBUTIONS AND DISTRIBUTIONS

Year-End Plan Value as of December 31, 2014: $307,017.24 **Contributions after December 31, 2013 for 2013:** $.00

For IRA, IRRA, SEP/IRA, SIMPLE/IRA ROTH IRA and ESA accounts, the Year-End Plan Value represents the valuation we must furnish to you and the Internal Revenue Service as part of the IRS Form 5498 reporting requirements.

Account/Nickname	Activity St	Trade Date	Settle Date	Type	Description	Symbol/CI	Quantity	Price	Amount
Alt Investments	Settled		12/31/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1		$0.00
Alt Investments	Settled	12/11/2014	12/11/2014	SecurityTransactions	Sale PMF TEI FUND, LP (ENDOWMENT - LONG TERM WIND-DOWN) TRADE AS OF 10/31/14	71CR1999	-17	$106.08	$1,869.30
Alt Investments	Settled		12/11/2014	SecurityTransactions	Journal Entry PMF TEI FUND, LP (ENDOWMENT - LONG TERM WIND-DOWN) FULL SHARE ACCUM SHARE VALUE $106.08	71CR1999	-1		$0.00
Alt Investments	Settled		11/28/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1		$0.00
Alt Investments	Settled		11/13/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1		$0.00
Alt Investments	Settled	11/12/2014	11/12/2014	SecurityTransactions	Sale PMF TEI FUND, LP (ENDOWMENT - LONG TERM WIND-DOWN) TRADE AS OF 09/30/14	71CR1999	-14	$105.61	$1,541.95
Alt Investments	Settled		11/12/2014	SecurityTransactions	Journal Entry PMF TEI FUND, LP (ENDOWMENT - LONG TERM WIND-DOWN) FULL SHARE ACCUM SHARE VALUE $105.61	71CR1999	-1		$0.00
Alt Investments	Settled		9/30/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1		$0.00
Alt Investments	Settled	9/10/2014	9/10/2014	SecurityTransactions	Sale PMF TEI FUND, LP (ENDOWMENT - LONG TERM WIND-DOWN) TRADE AS OF 07/31/14 REDEMPTION FRAC SHR QUANTITY .962 UNSOLICITED ORDER	71CR1999	-105	$105.81	$11,212.31
Alt Investments	Settled		9/10/2014	SecurityTransactions	Journal Entry PMF TEI FUND, LP (ENDOWMENT - LONG TERM WIND-DOWN) FULL SHARE ACCUM SHARE VALUE $105.81	71CR1999	-1		$0.00
Alt Investments	Settled	8/28/2014	9/5/2014	SecurityTransactions	Purchase GEB CLIRN ISSUER HSBC CAP 16.10% SV 100.00 DUE 08/26/16 BUF 5.00%	MLHGX	5,000	$10.00	-$50,000.00
Alt Investments	Settled		9/5/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	-1		$0.00
Alt Investments	Settled		8/29/2014	SecurityTransactions	Journal Entry FIA CARD SVS NA RASP FRAC SHARE POSITION .04 CONVERT TO STOCK RECORD	IIAXX	59,016		$0.00

Alt Investments	Settled	8/8/2014	8/15/2014	SecurityTransactions	Purchase MSCI EM STEPUP ISSUER CS STEP 47.00% SV 1,051.22 DUE 07/28/17 CALL CALLABLE-MAY AFFECT YIEL	MLZHR	5,000	$10.00	-$50,000.00
Alt Investments	Settled	8/11/2014	8/11/2014	SecurityTransactions	Sale PMF TEI FUND, LP (ENDOWMENT - LONG TERM WIND-DOWN) TRADE AS OF 06/30/14 REDEMPTION FRAC SHR QUANTITY .090 UNSOLICITED ORDER	71CR1999	-13	$102.28	$1,338.88
Alt Investments	Settled		7/25/2014	SecurityTransactions	Redeemed BASKET CLIRN ISSUER BAC CAP 29.5% SV 100 DUE 07/25/14 BUF 10% CAP 29.5% SV 100 DUE 07/25/14 BUF 10% PAY DATE 07/25/2014	MLGSV	-6,000		$77,700.00
Alt Investments	Settled	5/14/2014	5/14/2014	SecurityTransactions	Sale PMF TEI FUND, LP (ENDOWMENT - LONG TERM WIND-DOWN) TRADE AS OF 04/01/14 REDEMPTION FRAC SHR QUANTITY .556 UNSOLICITED ORDER	71CR1999	-35	$100.00	$3,555.60
Alt Investments	Settled	5/13/2014	5/13/2014	SecurityTransactions	Purchase PMF TEI FUND, LP (ENDOWMENT - LONG TERM WIND-DOWN) TRADE AS OF 04/01/14 PENDING SPONSOR APPROVAL EXCHANGE IN FRAC SHR QUANTITY .765	71CR1999	595	$100.00	-$59,576.51
Alt Investments	Settled	5/12/2014	5/12/2014	SecurityTransactions	Sale THE ENDOWMENT TEI FUND LP TRADE AS OF 03/31/14 EXCHANGE OUT FRAC SHR QUANTITY .455	73H78999!	-1,042	$57.15	$59,576.51
Alt Investments	Settled	3/14/2014	3/14/2014	SecurityTransactions	Trade Correctn THE ENDOWMENT TEI FUND LP TRADE AS OF 12/31/13 ACCOUNT SETTLES 02/04/14 REDEMPTION FRAC SHR QUANTITY .469 CXL SLE. 02-04-14 UNSOLICITED ORDER	73H78999!	421	$57.00	-$24,023.10
Alt Investments	Settled	3/14/2014	3/14/2014	SecurityTransactions	Sale THE ENDOWMENT TEI FUND LP TRADE AS OF 12/31/13 REDEMPTION FRAC SHR QUANTITY .815 UNSOLICITED ORDER	73H78999!	-414	$57.91	$24,023.14
Alt Investments	Settled	2/4/2014	2/4/2014	SecurityTransactions	Sale THE ENDOWMENT TEI FUND LP TRADE AS OF 12/31/13 REDEMPTION FRAC SHR QUANTITY .469 UNSOLICITED ORDER	73H78999!	-421	$57.00	$24,023.10
Alt Investments	Settled		2/4/2014	SecurityTransactions	Journal Entry THE ENDOWMENT TEI FUND LP FULL SHARE ACCUM SHARE VALUE $57.00	73H78999!	-1		$0.00

Alt Investments	Settled	1/27/2014	SecurityTransactions	Divd Reinv SKYBRIDGE MULTI-ADVISER HEDGE FUND PORTFOLIOS LLC AGENT REINV AMOUNT $2063.98 REINV PRICE $1256.45300 QUANTITY BOT 1.6430 AS OF 12/31	8EJP5999!	1	$0.00
Alt Investments	Settled	1/27/2014	SecurityTransactions	Journal Entry SKYBRIDGE MULTI-ADVISER HEDGE FUND PORTFOLIOS LLC FULL SHARE ACCUM SHARE VALUE $1256.45	8EJP5999!	1	$0.00

NOTE:

"For PMF TEI Fund and for Skybridge Multiadvisor Fund, both are excepted investment funds."

DAVID PHILLIP ROE

SCHEDULE 5

Merrill Lynch
Wealth Management
Bank of America Corporation

Online at: **www.mymerrill.com** Account Number: **24-Hour Assistance: (800) MERRILL**

MLPF& S CUST FPO
DR DAVID PHILIP ROE IRRA
FBO DR DAVID PHILIP ROE
2 N CROSSBOW LN
JOHNSON CITY TN 37604-3639

Net Portfolio Value: $1,572,295.57

Your Financial Advisor:
SMITH CONKIN HAUSMAN DIXON & ASSOC.
206 PRINCETON RD SUITE NO 1
JOHNSON CITY TN 37601
1-423-282-5191

Phil's PIA IRA

This account is enrolled in the Merrill Lynch Investment Advisory Program

November 29, 2014 - December 31, 2014

ASSETS

ASSETS	*December 31*	*November 28*
Cash/Money Accounts	105,217.16	88,217.00
Fixed Income	64,359.40	64,873.90
Equities	256,723.35	265,496.22
Mutual Funds	1,145,468.02	1,179,253.10
Options	-	-
Other	-	-
Subtotal (Long Portfolio)	*1,571,767.93*	*1,597,840.22*
Estimated Accrued Interest	527.64	856.59
TOTAL ASSETS	**$1,572,295.57**	$1,598,696.81

LIABILITIES

LIABILITIES		
Debit Balance	-	-
TOTAL LIABILITIES	-	-
NET PORTFOLIO VALUE	**$1,572,295.57**	$1,598,696.81

CASH FLOW

CASH FLOW	*This Statement*	*Year to Date*
Opening Cash/Money Accounts	**$88,217.00**	
CREDITS		
Funds Received	-	-
Electronic Transfers	-	-
Other Credits	45.72	538.25
Subtotal	*45.72*	*538.25*
DEBITS		
Electronic Transfers	-	-
Other Debits	(10,000.00)	(138,558.71)
Subtotal	*(10,000.00)*	*(138,558.71)*
Net Cash Flow	**($9,954.28)**	($138,020.46)
Dividends/Interest Income	22,706.32	45,560.06
Dividend Reinvestments	(10,137.98)	(13,119.05)
Security Purchases/Debits	(3,462.81)	(528,843.43)
Security Sales/Credits	17,848.91	573,762.06
Closing Cash/Money Accounts	**$105,217.16**	
Securities You Transferred In/Out	121.08	505.13

ACCOUNT INVESTMENT OBJECTIVE

November 29, 2014 - December 31, 2014

TOTAL RETURN: Objective is to strike a balance between current income and growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s).

INVESTMENT ADVISORY PROGRAM

We encourage you to contact your Financial Advisor(s) if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your account(s) or reasonably modify existing restrictions.

Upon your request, and at no charge, we will provide to you more detailed information regarding the Program Fees that you pay to Merrill Lynch. Please contact your Financial Advisor(s) if you would like to request this detailed Account fee information.

If you would like to receive a free copy of the current Form ADV Brochure(s) for the Investment Advisory Program, please send a written request with your account number(s) to: Managed Accounts Processing and Services, 4800 Deer Lake Drive West, Building 1, 3rd Fl., Jacksonville, FL 32246. You may also obtain a copy of the Investment Advisory Program Form ADV Brochure(s) by accessing the SEC's website at www.adviserinfo.sec.gov.

As part of our program, we provide you with periodic performance measurement reports to help you monitor and assess the performance of your account(s). Should you have any questions regarding these reports or would like performance measurement reports on a more frequent basis, please contact your Financial Advisor(s).

YOUR RETIREMENT ACCOUNT ASSETS

CASH/MONEY ACCOUNTS *Description*	*Quantity*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Estimated Annual Income*	*Est. Annual Yield%*
CASH	14,088.34	14,088.34		**14,088.34**		
+BANK OF AMERICA, NA RASP	91,128.00	91,128.00	1.0000	**91,128.00**	**46**	.05
+FDIC INSURED NOT SIPC COVERED						
(.8200 FRACTIONAL SHARE)		.82	1.0000	**.82**		.05
TOTAL		105,217.16		**105,217.16**	**46**	.05

+

Phil's PIA IRA   Account Number:

YOUR RETIREMENT ACCOUNT ASSETS

November 29, 2014 - December 31, 2014

CORPORATE BONDS Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
PROCTER & GAMBLE CO *GLB 04.850% DEC 15 2015* *MOODY'S: AA3 S&P: AA- CUSIP: 742718BZ1*	05/22/08	25,000	25,830.00	104.0450	**26,011.25**	181.25	53.89	**1,213**	4.66
GOLDMAN SACHS GROUP INC *GLB 05.750% OCT 01 2016* *MOODY'S: BAA1 S&P: A- CUSIP: 38141GER1*	06/18/10	10,000	10,505.80	107.3040	**10,730.40**	224.60	143.75	**575**	5.35
JPMORGAN CHASE & CO *04.950% MAR 25 2020* *MOODY'S: A3 S&P: A CUSIP: 46625HHQ6*	05/26/11	25,000	26,082.00	110.4710	**27,617.75**	1,535.75	330.00	**1,238**	4.48
TOTAL		60,000	62,417.80		**64,359.40**	1,941.60	527.64	**3,026**	4.70

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
ACTAVIS PLC SHS	ACT	07/07/14	45	220.0100	9,900.45	257.4100	**11,583.45**	1,683.00		
ADOBE SYS DEL PV$ 0.001	ADBE	04/03/14	77	63.8729	4,918.22	72.7000	5,597.90	679.68		
		05/05/14	80	61.5172	4,921.38	72.7000	5,816.00	894.62		
Subtotal			*157*		*9,839.60*		***11,413.90***	*1,574.30*		
AFFILIATED MANAGERS GRP	AMG	04/21/14	27	185.4022	5,005.86	212.2400	5,730.48	724.62		
		05/05/14	22	195.7500	4,306.50	212.2400	4,669.28	362.78		
Subtotal			*49*		*9,312.36*		***10,399.76***	*1,087.40*		
AMAZON COM INC COM	AMZN	05/07/12	13	224.9000	2,923.70	310.3500	4,034.55	1,110.85		
		06/21/13	12	273.5675	3,282.81	310.3500	3,724.20	441.39		
Subtotal			*25*		*6,206.51*		***7,758.75***	*1,552.24*		

+

YOUR RETIREMENT ACCOUNT ASSETS

November 29, 2014 - December 31, 2014

EQUITIES (continued) *Description*	*Symbol*	*Acquired*	*Quantity*	*Unit Cost Basis*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Annual Income*	*Current Yield%*
AMERICAN TOWER REIT INC	AMT	04/21/14	44	82.8400	3,644.96	98.8500	4,349.40	704.44	67	1.53
(HLDG CO) SHS		05/05/14	38	88.3871	3,358.71	98.8500	3,756.30	397.59	58	1.53
		12/04/14	13	101.4000	1,318.20	98.8500	1,285.05	(33.15)	20	1.53
Subtotal			*95*		*8,321.87*		***9,390.75***	*1,068.88*	***145***	*1.53*
APPLE INC	AAPL	04/04/14	49	76.7553	3,761.01	110.3800	5,408.62	1,647.61	93	1.70
		05/05/14	35	85.6120	2,996.42	110.3800	3,863.30	866.88	66	1.70
Subtotal			*84*		*6,757.43*		***9,271.92***	*2,514.49*	***159***	*1.70*
AVAGO TECHNOLOGIES LTD	AVGO	04/04/14	58	63.5000	3,683.00	100.5900	5,834.22	2,151.22	82	1.39
		05/05/14	55	64.2592	3,534.26	100.5900	5,532.45	1,998.19	77	1.39
Subtotal			*113*		*7,217.26*		***11,366.67***	*4,149.41*	***159***	*1.39*
BORG WARNER INC COM	BWA	04/21/14	58	63.1444	3,662.38	54.9500	3,187.10	(475.28)	31	.94
		05/05/14	62	60.3472	3,741.53	54.9500	3,406.90	(334.63)	33	.94
Subtotal			*120*		*7,403.91*		***6,594.00***	*(809.91)*	***64***	*.94*
CABOT OIL & GAS CORP	COG	04/03/14	104	35.3031	3,671.53	29.6100	3,079.44	(592.09)	9	.27
		05/05/14	84	38.6689	3,248.19	29.6100	2,487.24	(760.95)	7	.27
Subtotal			*188*		*6,919.72*		***5,566.68***	*(1,353.04)*	***16***	*.27*
CATERPILLAR INC DEL	CAT	04/21/14	36	102.1772	3,678.38	91.5300	3,295.08	(383.30)	101	3.05
		05/05/14	33	104.6945	3,454.92	91.5300	3,020.49	(434.43)	93	3.05
Subtotal			*69*		*7,133.30*		***6,315.57***	*(817.73)*	***194***	*3.05*
COSTCO WHOLESALE CRP DEL	COST	04/21/14	43	113.9848	4,901.35	141.7500	6,095.25	1,193.90	62	1.00
		05/05/14	42	114.5828	4,812.48	141.7500	5,953.50	1,141.02	60	1.00
Subtotal			*85*		*9,713.83*		***12,048.75***	*2,334.92*	***122***	*1.00*
DANAHER CORP DEL COM	DHR	08/06/14	129	74.0147	9,547.90	85.7100	**11,056.59**	1,508.69	**52**	.46
DICKS SPORTING GOODS INC	DKS	04/21/14	70	52.2618	3,658.33	49.6500	3,475.50	(182.83)	35	1.00
		05/05/14	70	52.0274	3,641.92	49.6500	3,475.50	(166.42)	35	1.00
Subtotal			*140*		*7,300.25*		***6,951.00***	*(349.25)*	***70***	*1.00*
DISNEY (WALT) CO COM STK	DIS	06/26/14	87	84.3593	7,339.26	94.1900	**8,194.53**	855.27	**101**	1.22

+

Phil's PIA IRA   Account Number:

YOUR RETIREMENT ACCOUNT ASSETS

November 29, 2014 - December 31, 2014

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
EXPRESS SCRIPTS HLDG CO	ESRX	04/21/14	51	71.2798	3,635.27	84.6700	4,318.17	682.90		
		05/05/14	57	67.0266	3,820.52	84.6700	4,826.19	1,005.67		
Subtotal			*108*		*7,455.79*		***9,144.36***	*1,688.57*		
FEDEX CORP DELAWARE COM	FDX	04/21/14	36	136.4600	4,912.56	173.6600	6,251.76	1,339.20	29	.46
		05/05/14	35	136.2025	4,767.09	173.6600	6,078.10	1,311.01	28	.46
Subtotal			*71*		*9,679.65*		***12,329.86***	*2,650.21*	***57***	*.46*
↓ GILEAD SCIENCES INC COM	GILD	12/16/13	118	71.9073	8,485.07	94.2600	**11,122.68**	2,637.61		
↓ GOOGLE INC CL A	GOOGL	04/03/14	7	568.8485	3,981.94	530.6600	3,714.62	(267.32)		
		05/05/14	7	534.6628	3,742.64	530.6600	3,714.62	(28.02)		
		12/04/14	4	536.1525	2,144.61	530.6600	2,122.64	(21.97)		
Subtotal			*18*		*9,869.19*		***9,551.88***	*(317.31)*		
HONEYWELL INTL INC DEL	HON	04/21/14	40	93.1172	3,724.69	99.9200	3,996.80	272.11	83	2.07
		05/05/14	38	92.7576	3,524.79	99.9200	3,796.96	272.17	79	2.07
Subtotal			*78*		*7,249.48*		***7,793.76***	*544.28*	***162***	*2.07*
MONDELEZ INTERNATIONAL INC	MDLZ	05/13/14	258	37.8782	9,772.58	36.3250	**9,371.85**	(400.73)	**155**	1.65
MONSANTO CO NEW DEL COM	MON	04/21/14	33	112.8881	3,725.31	119.4700	3,942.51	217.20	65	1.64
		05/05/14	30	114.8646	3,445.94	119.4700	3,584.10	138.16	59	1.64
Subtotal			*63*		*7,171.25*		***7,526.61***	*355.36*	***124***	*1.64*
NOBLE ENERGY INC	NBL	04/04/14	52	71.0000	3,692.00	47.4300	2,466.36	(1,225.64)	38	1.51
		05/05/14	50	70.9694	3,548.47	47.4300	2,371.50	(1,176.97)	36	1.51
Subtotal			*102*		*7,240.47*		***4,837.86***	*(2,402.61)*	***74***	*1.51*
PRECISION CASTPARTS	PCP	04/21/14	19	257.1363	4,885.59	240.8800	4,576.72	(308.87)	3	.04
		05/05/14	18	259.6888	4,674.40	240.8800	4,335.84	(338.56)	3	.04
Subtotal			*37*		*9,559.99*		***8,912.56***	*(647.43)*	***6***	*.04*

+

YOUR RETIREMENT ACCOUNT ASSETS

November 29, 2014 - December 31, 2014

EQUITIES (continued) *Description*	*Symbol*	*Acquired*	*Quantity*	*Unit Cost Basis*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Annual Income*	*Current Yield%*
SALESFORCE COM INC	CRM	04/03/14	43	56.8272	2,443.57	59.3100	2,550.33	106.76		
		05/05/14	47	53.6121	2,519.77	59.3100	2,787.57	267.80		
		08/28/14	35	58.6400	2,052.40	59.3100	2,075.85	23.45		
Subtotal			*125*		*7,015.74*		***7,413.75***	*398.01*		
SCHLUMBERGER LTD	SLB	04/04/14	38	98.7000	3,750.60	85.4100	3,245.58	(505.02)	61	1.87
		05/05/14	34	101.3647	3,446.40	85.4100	2,903.94	(542.46)	55	1.87
Subtotal			*72*		*7,197.00*		***6,149.52***	*(1,047.48)*	***116***	*1.87*
THERMO FISHER SCIENTIFIC *INC*	TMO	04/21/14	41	119.1624	4,885.66	125.2900	5,136.89	251.23	25	.47
		05/05/14	43	114.8334	4,937.84	125.2900	5,387.47	449.63	26	.47
Subtotal			*84*		*9,823.50*		***10,524.36***	*700.86*	***51***	*.47*
TWITTER INC	TWTR	08/28/14	148	49.5700	7,336.36	35.8700	**5,308.76**	(2,027.60)		
VMWARE, INC.	VMW	04/03/14	46	107.1600	4,929.36	82.5200	3,795.92	(1,133.44)		
		05/05/14	58	93.1725	5,404.01	82.5200	4,786.16	(617.85)		
Subtotal			*104*		*10,333.37*		***8,582.08***	*(1,751.29)*		
ZOETIS INC	ZTS	04/21/14	126	28.9234	3,644.36	43.0300	5,421.78	1,777.42	42	.77
		05/05/14	112	30.5376	3,420.22	43.0300	4,819.36	1,399.14	38	.77
Subtotal			*238*		*7,064.58*		***10,241.14***	*3,176.56*	***80***	*.77*
TOTAL					238,167.67		**256,723.35**	18,555.68	**1,907**	.74

MUTUAL FUNDS/CLOSED END FUNDS/UIT *Description*	*Quantity*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Total Client Investment*	*Cumulative Investment Return ($)*	*Estimated Annual Income*	*Current Yield%*
CALAMOS HIGH INCOME FUND *CL I*	1,503	14,999.94	9.0100	**13,542.03**	(1,457.91)	14,999	**(1,457)**	**887**	6.54
SYMBOL: CIHYX Initial Purchase: 09/21/12									
Fixed Income 100%									
.9290 Fractional Share		*9.27*	*9.0100*	***8.37***	*(0.90)*			***1***	*6.54*
CONSUMER DISCRETIONARY *SPDR*	178	11,751.65	72.1500	**12,842.70**	1,091.05	11,751	**1,091**	**168**	1.30

+

Phil's PIA IRA

Account Number:

YOUR RETIREMENT ACCOUNT ASSETS

November 29, 2014 - December 31, 2014

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
SYMBOL: XLY Initial Purchase: 04/02/14									
Equity 100%									
DOUBLELINE CORE FIXED	771	8,473.29	10.9800	**8,465.58**	(7.71)	8,473	**(7)**	**344**	4.05
INCOME FUND CL I									
SYMBOL: DBLFX Initial Purchase: 11/20/14									
Fixed Income 100%									
.4340 Fractional Share		4.77	10.9800	**4.77**				**1**	4.05
FIRST TR EXCHANGE TRADED	37	2,234.36	61.3200	**2,268.84**	34.48	2,234	**34**		
FD DOW JONES IN									
SYMBOL: FDN Initial Purchase: 04/02/14									
Equity 100%									
FIRST TR ISE CLOUD COMP	82	2,247.36	28.4500	**2,332.90**	85.54	2,247	**85**	**2**	.05
IDX ETF									
SYMBOL: SKYY Initial Purchase: 04/02/14									
Equity 100%									
FIRST EAGLE	3,579	75,139.90	22.1900	**79,418.01**	4,278.11	51,792	**27,625**		
OVERSEAS CL I									
SYMBOL: SGOIX Initial Purchase: 07/28/08									
Equity 100%									
.2740 Fractional Share		5.98	22.1900	**6.08**	.10				
GUGGENHEIM BULLETSHARES	706	14,881.99	22.1000	**15,602.60**	720.61	14,881	**720**	**188**	1.19
2016 CORPORATE BOND ETF									
SYMBOL: BSCG Initial Purchase: 09/16/10									
Fixed Income 100%									
GUGGENHEIM BULLETSHARES	713	15,657.48	22.7100	**16,192.23**	534.75	15,657	**534**	**256**	1.58

+

YOUR RETIREMENT ACCOUNT ASSETS

November 29, 2014 - December 31, 2014

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) *Description*	*Quantity*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Total Client Investment*	*Cumulative Investment Return ($)*	*Estimated Annual Income*	*Current Yield%*
2017 CORPORATE BOND ETF *SYMBOL: BSCH Initial Purchase: 03/16/12* *Fixed Income 100%*									
GUGGENHEIM BULLETSHARES *2015 H Y CORP* *SYMBOL: BSJF Initial Purchase: 06/14/11* *Fixed Income 100%*	1,088	27,960.06	25.8700	**28,146.56**	186.50	27,960	**186**	**1,025**	3.64
GUGGENHEIM BULLETSHARES *2016 HIGH YIELD CORP BD* *SYMBOL: BSJG Initial Purchase: 02/03/14* *Fixed Income 100%*	468	12,626.64	25.8900	**12,116.52**	(510.12)	12,626	**(510)**	**480**	3.95
HEALTH CARE SELECT SPDR *SYMBOL: XLV Initial Purchase: 04/02/14* *Equity 100%*	226	13,349.03	68.3800	**15,453.88**	2,104.85	13,349	**2,104**	**209**	1.34
ISHARES CORE S&P MID-CAP *ETF* *SYMBOL: IJH Initial Purchase: 06/15/11* *Equity 100%*	218	18,612.75	144.8000	**31,566.40**	12,953.65	18,612	**12,953**	**424**	1.34
ISHARES NASDAQ BIOTECH *ETF* *SYMBOL: IBB Initial Purchase: 04/02/14* *Equity 100%*	14	3,405.38	303.3500	**4,246.90**	841.52	3,405	**841**	**9**	.19
ISHARES SHORT TREASURY *BOND ETF* *SYMBOL: SHV Initial Purchase: 08/21/14*	294	32,422.32	110.2500	**32,413.50**	(8.82)	32,422	**(8)**		

+

Phil's PIA IRA

Account Number:

YOUR RETIREMENT ACCOUNT ASSETS

November 29, 2014 - December 31, 2014

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
Fixed Income 100%									
ISHARES U.S. PREFERRED *STOCK ETF* *SYMBOL: PFF Initial Purchase: 02/22/12* *Fixed Income 100%*	300	11,739.25	39.4400	**11,832.00**	92.75	11,739	**92**	**748**	6.31
ISHARES S&P 100 *SYMBOL: OEF Initial Purchase: 10/19/11* *Equity 100%*	1,944	119,185.99	90.9400	**176,787.36**	57,601.37	119,185	**57,601**	**3,263**	1.84
JAMES SMALL CAP FUND *SYMBOL: JASCX Initial Purchase: 02/24/12* *Equity 100%*	748	18,843.80	33.7100	**25,215.08**	6,371.28	18,843	**6,371**	**677**	2.68
.5420 Fractional Share		*13.55*	*33.7100*	***18.27***	*4.72*			***1***	*2.68*
MFS INTERNATIONAL VALUE *FUND CL I* *SYMBOL: MINIX Initial Purchase: 09/25/13* *Equity 100%*	1,398	48,368.03	34.5400	**48,286.92**	(81.11)	48,368	**(81)**	**1,075**	2.22
.1850 Fractional Share		*6.48*	*34.5400*	***6.39***	*(0.09)*			***1***	*2.22*
OPPENHEIMER *INTERNATIONAL BOND FD Y* *SYMBOL: OIBYX Initial Purchase: 07/29/08* *Fixed Income 100%*	4,967	31,851.03✦	5.9100	**29,354.97**	(2,496.06)	23,385	**5,969**	**994**	3.38
.0770 Fractional Share		*0.46*	*5.9100*	***.46***				***1***	*3.38*
OPPENHEIMER DEVELOPING *MARKETS FD CL Y* *SYMBOL: ODVYX Initial Purchase: 10/05/12*	596	21,557.50	35.0600	**20,895.76**	(661.74)	21,557	**(661)**	**133**	.63

+

YOUR RETIREMENT ACCOUNT ASSETS

November 29, 2014 - December 31, 2014

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
Equity 100%									
.6150 Fractional Share		*24.96*	*35.0600*	***21.56***	*(3.40)*			***1***	*.63*
OPPENHEIMER INTERNATL	1,470	41,132.87	35.0800	**51,567.60**	10,434.73	41,132	**10,434**	**600**	1.16
GROWTH FD CL Y									
SYMBOL: OIGYX Initial Purchase: 01/20/12									
Equity 100%									
.7800 Fractional Share		*22.99*	*35.0800*	***27.36***	*4.37*			***1***	*1.16*
PIMCO TOTAL RETURN FUND	1,267	14,069.26	10.6600	**13,506.22**	(563.04)	11,029	**2,476**	**279**	2.06
CL P									
SYMBOL: PTTPX Initial Purchase: 05/21/10									
Fixed Income 100%									
.2040 Fractional Share		*2.19*	*10.6600*	***2.17***	*(0.02)*			***1***	*2.06*
POWERSHARES BUILD AMERIC	1,051	26,978.38	30.4400	**31,992.44**	5,014.06	26,978	**5,014**	**1,470**	4.59
BOND ETF									
SYMBOL: BAB Initial Purchase: 02/12/10									
Fixed Income 100%									
PRINCIPAL PREFERRED	1,422	14,548.00	10.2100	**14,518.62**	(29.38)	14,548	**(29)**	**763**	5.24
SECURITIES FUND CL P									
SYMBOL: PPSPX Initial Purchase: 03/16/12									
Fixed Income 100%									
.5210 Fractional Share		*5.33*	*10.2100*	***5.32***	*(0.01)*			***1***	*5.24*
PUTNAM DIVERSIFIED	1,938	15,000.12	7.5400	**14,612.52**	(387.60)	15,000	**(387)**	**801**	5.47
INCOME TRUST FUND CL Y									
SYMBOL: PDVYX Initial Purchase: 06/28/13									
Alternative Investments 100%									
.6370 Fractional Share		*4.93*	*7.5400*	***4.80***	*(0.13)*			***1***	*5.47*

+

Phil's PIA IRA Account Number:

YOUR RETIREMENT ACCOUNT ASSETS

November 29, 2014 - December 31, 2014

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
RIDGEWORTH SEIX FLOATING RATE HI INC FD CL I	1,675	15,110.12	8.7500	**14,656.25**	(453.87)	15,110	**(453)**	**645**	4.40
SYMBOL: SAMBX Initial Purchase: 12/26/12									
Fixed Income 100%									
.1040 Fractional Share		*0.94*	*8.7500*	***.91***	*(0.03)*			***1***	*4.40*
ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT	2,995	29,391.03	13.0000	**38,935.00**	9,543.97	19,867	**19,067**	**138**	.35
SYMBOL: PENNX Initial Purchase: 07/22/09									
Equity 100%									
.0500 Fractional Share		*0.63*	*13.0000*	***.65***	*.02*				*.35*
RS FLOATING RATE FUND CL Y	1,576	16,318.61✦	9.8300	**15,492.08**	(826.53)	13,654	**1,837**	**697**	4.49
SYMBOL: RSFYX Initial Purchase: 02/18/11									
Fixed Income 100%									
.5100 Fractional Share		*5.17*	*9.8300*	***5.01***	*(0.16)*			***1***	*4.49*
RS LOW DURATION BOND FD CL Y	1,489	15,218.93	10.0300	**14,934.67**	(284.26)	15,218	**(284)**	**309**	2.06
SYMBOL: RSDYX Initial Purchase: 11/16/11									
Fixed Income 100%									
.8890 Fractional Share		*9.09*	*10.0300*	***8.92***	*(0.17)*			***1***	*2.06*
SCHRODER EMERGING MARKET EQUITY FUND INV CL	1,705	23,618.98	12.5900	**21,465.95**	(2,153.03)	23,618	**(2,153)**	**212**	.98
SYMBOL: SEMNX Initial Purchase: 05/19/14									
Equity 100%									
.6660 Fractional Share		*9.10*	*12.5900*	***8.38***	*(0.72)*			***1***	*.98*

+

YOUR RETIREMENT ACCOUNT ASSETS

November 29, 2014 - December 31, 2014

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) *Description*	*Quantity*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Total Client Investment*	*Cumulative Investment Return ($)*	*Estimated Annual Income*	*Current Yield%*
SCOUT MID CAP FUND	1,345	17,659.85	15.4400	**20,766.80**	3,106.95	17,659	**3,106**	**22**	.10
CL RETAIL									
SYMBOL: UMBMX Initial Purchase: 07/13/12									
Equity 100%									
.5520 Fractional Share		*7.25*	*15.4400*	***8.52***	*1.27*			***1***	*.10*
SECTOR SPDR FINANCIAL	1,199	19,942.80	24.7300	**29,651.27**	9,708.47	19,942	**9,708**	**475**	1.60
SYMBOL: XLF Initial Purchase: 12/31/12									
Equity 100%									
TCW TOTAL RETURN	2,144	21,379.73✦	10.3100	**22,104.64**	724.91	16,514	**5,589**	**530**	2.39
BOND FD CL I									
SYMBOL: TGLMX Initial Purchase: 06/29/10									
Fixed Income 100%									
.8280 Fractional Share		*8.53*	*10.3100*	***8.54***	*.01*			***1***	*2.39*
TEMPLETON GLBL BOND FD	2,547	32,493.37	12.4100	**31,608.27**	(885.10)	24,330	**7,278**	**1,078**	3.40
ADV CL									
SYMBOL: TGBAX Initial Purchase: 06/30/09									
Fixed Income 100%									
.2150 Fractional Share		*2.64*	*12.4100*	***2.67***	*.03*			***1***	*3.40*
VANGUARD CONSUMER	71	7,869.53	125.2400	**8,892.04**	1,022.51	7,869	**1,022**	**172**	1.92
STAPLES ETF									
SYMBOL: VDC Initial Purchase: 04/02/14									
Equity 100%									
VANGUARD MATERIALS ETF	27	2,899.65	107.3900	**2,899.53**	(0.12)	2,899		**52**	1.76
SYMBOL: VAW Initial Purchase: 04/02/14									
Equity 100%									

+

Phil's PIA IRA Account Number: ‾

YOUR RETIREMENT ACCOUNT ASSETS

November 29, 2014 - December 31, 2014

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
VANGUARD INFORMATION *TECH ETF* *SYMBOL: VGT Initial Purchase: 04/02/14* *Equity 100%*	194	17,998.68	104.4800	**20,269.12**	2,270.44	17,998	**2,270**	**228**	1.12
VANGUARD ENERGY ETF *FDS* *SYMBOL: VDE Initial Purchase: 04/02/14* *Equity 100%*	91	11,755.06	111.6200	**10,157.42**	(1,597.64)	11,755	**(1,597)**	**202**	1.97
VANGUARD INDUSTRIAL ETF *SYMBOL: VIS Initial Purchase: 04/02/14* *Equity 100%*	173	17,672.94	106.8200	**18,479.86**	806.92	17,672	**806**	**290**	1.56
VANGUARD DIVIDEND *APPRECIATION ETF* *SYMBOL: VIG Initial Purchase: 12/21/12* *Equity 100%*	1,577	96,290.16	81.1600	**127,989.32**	31,699.16	96,290	**31,699**	**2,500**	1.95
VICTORY FD FOR INCOME *CL Y* *SYMBOL: VFFYX Initial Purchase: 04/16/13* *Fixed Income 100%*	1,366	15,189.92	10.1600	**13,878.56**	(1,311.36)	15,189	**(1,311)**	**704**	5.06
.9790 Fractional Share		*10.89*	*10.1600*	***9.95***	*(0.94)*			***1***	*5.06*
WESTERN ASSET INVT GRADE *DEFINED OPPORTUNITY TR* *SYMBOL: IGI Initial Purchase: 03/14/13* *Fixed Income 100%*	950	20,632.44	21.0000	**19,950.00**	(682.44)	20,632	**(682)**	**1,140**	5.71
Subtotal (Fixed Income)				*360,364.83*					

+

YOUR RETIREMENT ACCOUNT ASSETS

November 29, 2014 - December 31, 2014

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
Subtotal (Equities)				*770,485.87*					
Subtotal (Alternative Investments)				*14,617.32*					
TOTAL		998,633.33		**1,145,468.02**	146,834.69		**206,892**	**24,207**	2.11

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.
Cumulative Investment Return: Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.
Initial Purchase: Date of your initial investment in this fund.

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	1,404,435.96	**1,571,767.93**	167,331.97	527.64	**29,185**	1.86

Notes
✦Cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and the acquisition date has been adjusted to include the holding period of the lot closed by that previous "Wash Sale".
For Credit Ratings: S&P and Moody's provide credit ratings on the credit quality of certain bonds and preferred stocks. For a credit enhanced security, Moody's and S&P publish and provide third party vendors the higher of the rating on the credit enhancer (guarantor) or the stand alone rating on the underlying security.

YOUR RETIREMENT ACCOUNT TRANSACTIONS

DIVIDENDS/INTEREST INCOME TRANSACTIONS

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
12/15	¤ Bond Interest		PROCTER & GAMBLE CO GLB		606.25	

+

YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
			04.850% DEC 15 2015 PAY DATE 12/15/2014 CUSIP NUM: 742718BZ1			
12/31	Interest	4	BANK OF AMERICA, NA RASP 0.33000 DIV/INT REINVEST PAY DATE 12/31/2014 FROM 11-28 THRU 12-31 CUSIP NUM: 55499U915			
	Income Total		BANK OF AMERICA, NA RASP		4.33	
	Subtotal (Tax-Exempt Interest)				*610.58*	*4,106.75*
12/01	* Dividend		TCW TOTAL RETURN BOND FD CL I PAY DATE 11/28/2014		40.45	
12/01	Reinvestment		TCW TOTAL RETURN BOND FD CL I	(40.45)		
12/01	Divd Reinv	3	TCW TOTAL RETURN BOND FD CL I REINV AMOUNT $40.45 REINV PRICE $10.36000 QUANTITY BOT 3.9040 AS OF 11/28			
12/01	* Dividend		RS LOW DURATION BOND FD CL Y PAY DATE 11/28/2014		23.32	
12/01	* Dividend		RIDGEWORTH SEIX FLOATING RATE HI INC FD CL I PAY DATE 11/28/2014		54.71	
12/01	* Dividend		RS FLOATING RATE FUND CL Y PAY DATE 11/28/2014		61.15	
12/01	Reinvestment		RS FLOATING RATE FUND	(61.15)		

+

YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
			CL Y			
12/01	Divd Reinv	6	RS FLOATING RATE FUND CL Y REINV AMOUNT $61.15 REINV PRICE $10.10000 QUANTITY BOT 6.0540 AS OF 11/28			
12/01	* Dividend		DOUBLELINE CORE FIXED INCOME FUND CL I PAY DATE 11/28/2014		25.46	
12/01	* Dividend		OPPENHEIMER INTERNATIONAL BOND FD Y PAY DATE 11/28/2014		67.98	
12/01	Reinvestment		OPPENHEIMER INTERNATIONAL BOND FD Y	(67.98)		
12/01	Divd Reinv	11	OPPENHEIMER INTERNATIONAL BOND FD Y REINV AMOUNT $67.98 REINV PRICE $6.01000 QUANTITY BOT 11.3110 AS OF 11/28			
12/01	* Dividend		CALAMOS HIGH INCOME FUND CL I PAY DATE 11/28/2014		70.29	
12/01	* Dividend		PIMCO TOTAL RETURN FUND CL P PAY DATE 11/28/2014		22.78	
12/01	Reinvestment		PIMCO TOTAL RETURN FUND CL P	(22.78)		
12/01	Divd Reinv	2	PIMCO TOTAL RETURN FUND CL P			

+

YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS *(continued)*

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
			REINV AMOUNT $22.78 REINV PRICE $11.03000 QUANTITY BOT 2.0650 AS OF 11/28			
12/02	* Dividend		ZOETIS INC HOLDING 238.0000 PAY DATE 12/02/2014		17.14	
12/05	* Dividend		ISHARES U.S. PREFERRED STOCK ETF HOLDING 300.0000 PAY DATE 12/05/2014		54.17	
12/05	* Dividend		GUGGENHEIM BULLETSHARES 2016 CORPORATE BOND ETF HOLDING 706.0000 PAY DATE 12/05/2014		13.91	
12/05	* Dividend		GUGGENHEIM BULLETSHARES 2017 CORPORATE BOND ETF HOLDING 713.0000 PAY DATE 12/05/2014		18.82	
12/05	* Dividend		GUGGENHEIM BULLETSHARES 2014 H Y CORP HOLDING 475.0000 PAY DATE 12/05/2014		6.32	
12/05	* Dividend		GUGGENHEIM BULLETSHARES 2015 H Y CORP HOLDING 1088.0000 PAY DATE 12/05/2014		75.72	
12/05	* Dividend		GUGGENHEIM BULLETSHARES 2016 HIGH YIELD CORP BD HOLDING 468.0000 PAY DATE 12/05/2014		36.46	

+

YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
12/08	* Dividend		OPPENHEIMER DEVELOPING MARKETS FD CL Y PAY DATE 12/05/2014		133.39	
12/08	* Lg Tm Cap Gain		OPPENHEIMER DEVELOPING MARKETS FD CL Y PAY DATE 12/05/2014		356.57	
12/10	* Dividend		HONEYWELL INTL INC DEL HOLDING 78.0000 PAY DATE 12/10/2014		40.37	
12/11	* Dividend		SCHRODER EMERGING MARKET EQUITY FUND INV CL PAY DATE 12/10/2014		213.04	
12/11	* Lg Tm Cap Gain		PIMCO TOTAL RETURN FUND CL P PAY DATE 12/10/2014		103.76	
12/11	Reinvestment		PIMCO TOTAL RETURN FUND CL P	(103.76)		
12/11	Divd Reinv	9	PIMCO TOTAL RETURN FUND CL P REINV AMOUNT $103.76 REINV PRICE $10.90000 QUANTITY BOT 9.5190 AS OF 12/10			
12/15	* Dividend		BORG WARNER INC COM HOLDING 120.0000 PAY DATE 12/15/2014		15.60	
12/17	* Dividend		MFS INTERNATIONAL VALUE FUND CL I PAY DATE 12/16/2014		1,075.53	
12/17	* Lg Tm Cap Gain		MFS INTERNATIONAL VALUE		386.63	

+

Phil's PIA IRA Account Number:

YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
			FUND CL I PAY DATE 12/16/2014			
12/17	* Sh Tm Cap Gain		MFS INTERNATIONAL VALUE FUND CL I PAY DATE 12/16/2014		163.80	
12/18	* Dividend		FIRST EAGLE OVERSEAS CL I PAY DATE 12/17/2014		854.72	
12/18	Reinvestment		FIRST EAGLE OVERSEAS CL I	(854.72)		
12/18	* Lg Tm Cap Gain		FIRST EAGLE OVERSEAS CL I PAY DATE 12/17/2014		2,533.52	
12/18	Reinvestment		FIRST EAGLE OVERSEAS CL I	(2,533.52)		
12/18	* Sh Tm Cap Gain		FIRST EAGLE OVERSEAS CL I PAY DATE 12/17/2014		408.63	
12/18	Reinvestment		FIRST EAGLE OVERSEAS CL I	(408.63)		
12/18	Divd Reinv	39	FIRST EAGLE OVERSEAS CL I REINV AMOUNT $854.72 REINV PRICE $21.82000 QUANTITY BOT 39.1710 AS OF 12/16			
12/18	Divd Reinv	116	FIRST EAGLE OVERSEAS CL I REINV AMOUNT $2533.52 REINV PRICE $21.82000 QUANTITY BOT 116.1100			

+

YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
			AS OF 12/16			
12/18	Divd Reinv	18	FIRST EAGLE OVERSEAS CL I REINV AMOUNT $408.63 REINV PRICE $21.82000 QUANTITY BOT 18.7270 AS OF 12/16			
12/18	* Lg Tm Cap Gain		PRINCIPAL PREFERRED SECURITIES FUND CL P PAY DATE 12/17/2014		255.20	
12/18	* Sh Tm Cap Gain		PRINCIPAL PREFERRED SECURITIES FUND CL P PAY DATE 12/17/2014		4.27	
12/18	* Dividend		OPPENHEIMER INTERNATL GROWTH FD CL Y PAY DATE 12/17/2014		600.49	
12/18	* Dividend		TEMPLETON GLBL BOND FD ADV CL PAY DATE 12/17/2014		1,211.98	
12/18	Reinvestment		TEMPLETON GLBL BOND FD ADV CL	(1,211.98)		
12/18	* Sh Tm Cap Gain		TEMPLETON GLBL BOND FD ADV CL PAY DATE 12/17/2014		68.65	
12/18	Reinvestment		TEMPLETON GLBL BOND FD ADV CL	(68.65)		
12/18	Divd Reinv	98	TEMPLETON GLBL BOND FD ADV CL REINV AMOUNT $1211.98 REINV PRICE $12.29000 QUANTITY BOT 98.6150			

+

Phil's PIA IRA Account Number:

YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
			AS OF 12/15			
12/18	Divd Reinv	5	TEMPLETON GLBL BOND FD ADV CL REINV AMOUNT $68.65 REINV PRICE $12.29000 QUANTITY BOT 5.5860 AS OF 12/15			
12/18	* Dividend		ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT PAY DATE 12/17/2014		124.58	
12/18	Reinvestment		ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT	(124.58)		
12/18	* Lg Tm Cap Gain		ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT PAY DATE 12/17/2014		3,913.58	
12/18	Reinvestment		ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT	(3,913.58)		
12/18	* Sh Tm Cap Gain		ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT PAY DATE 12/17/2014		175.69	
12/18	Reinvestment		ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT	(175.69)		
12/18	Divd Reinv	9	ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT REINV AMOUNT $124.58 REINV PRICE $12.65000 QUANTITY BOT 9.8480 AS OF 12/17			
12/18	Divd Reinv	309	ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT REINV AMOUNT $3913.58			

+

YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
			REINV PRICE $12.65000 QUANTITY BOT 309.3740 AS OF 12/17			
12/18	Divd Reinv	13	ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT REINV AMOUNT $175.69 REINV PRICE $12.65000 QUANTITY BOT 13.8890 AS OF 12/17			
12/19	* Dividend		RIDGEWORTH SEIX FLOATING RATE HI INC FD CL I PAY DATE 12/18/2014		5.06	
12/19	* Lg Tm Cap Gain		CALAMOS HIGH INCOME FUND CL I PAY DATE 12/18/2014		368.24	
12/22	* Dividend		SCOUT MID CAP FUND CL RETAIL PAY DATE 12/19/2014		21.93	
12/22	* Lg Tm Cap Gain		SCOUT MID CAP FUND CL RETAIL PAY DATE 12/19/2014		3,336.16	
12/22	* Sh Tm Cap Gain		SCOUT MID CAP FUND CL RETAIL PAY DATE 12/19/2014		800.87	
12/23	* Dividend		PUTNAM DIVERSIFIED INCOME TRUST FUND CL Y PAY DATE 12/22/2014		56.22	
12/24	* Dividend		VANGUARD CONSUMER STAPLES ETF *HOLDING 71.0000* PAY DATE 12/24/2014		171.61	

+

Phil's PIA IRA   Account Number:

YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
12/24	* Dividend		VANGUARD MATERIALS ETF HOLDING 27.0000 PAY DATE 12/24/2014		51.08	
12/24	* Dividend		VANGUARD INFORMATION TECH ETF HOLDING 194.0000 PAY DATE 12/24/2014		227.17	
12/24	* Dividend		VANGUARD ENERGY ETF FDS HOLDING 91.0000 PAY DATE 12/24/2014		201.02	
12/24	* Dividend		VANGUARD INDUSTRIAL ETF HOLDING 173.0000 PAY DATE 12/24/2014		289.43	
12/24	* Dividend		VANGUARD DIVIDEND APPRECIATION ETF HOLDING 1577.0000 PAY DATE 12/24/2014		722.27	
12/26	* Dividend		DICKS SPORTING GOODS INC HOLDING 140.0000 PAY DATE 12/26/2014		17.50	
12/26	* Dividend		WESTERN ASSET INVT GRADE DEFINED OPPORTUNITY TR HOLDING 950.0000 PAY DATE 12/26/2014		95.00	
12/29	* Dividend		PRECISION CASTPARTS HOLDING 37.0000 PAY DATE 12/29/2014		1.11	
12/30	* Dividend		HEALTH CARE SELECT SPDR HOLDING 226.0000 PAY DATE 12/30/2014		58.70	

+

YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
12/30	* Dividend		CONSUMER DISCRETIONARY SPDR HOLDING 178.0000 PAY DATE 12/30/2014		52.18	
12/30	* Dividend		SECTOR SPDR FINANCIAL HOLDING 1199.0000 PAY DATE 12/30/2014		151.21	
12/30	* Dividend		PRINCIPAL PREFERRED SECURITIES FUND CL P PAY DATE 12/29/2014		70.84	
12/30	* Dividend		PIMCO TOTAL RETURN FUND CL P PAY DATE 12/29/2014		256.55	
12/30	Reinvestment		PIMCO TOTAL RETURN FUND CL P	(256.55)		
12/30	Divd Reinv	24	PIMCO TOTAL RETURN FUND CL P REINV AMOUNT $256.55 REINV PRICE $10.64000 QUANTITY BOT 24.1120 AS OF 12/29			
12/31	* Rpt Fgn Div		AVAGO TECHNOLOGIES LTD HOLDING 113.0000 PAY DATE 12/31/2014		39.55	
12/31	* Dividend		ISHARES CORE S&P MID-CAP ETF HOLDING 218.0000 PAY DATE 12/31/2014		145.41	
12/31	* Dividend		ISHARES SHORT TREASURY BOND ETF HOLDING 294.0000		.10	

+

YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
			PAY DATE 12/31/2014			
12/31	* Dividend		ISHARES U.S. PREFERRED STOCK ETF HOLDING 300.0000 PAY DATE 12/31/2014		113.25	
12/31	* Dividend		ISHARES S&P 100 HOLDING 1944.0000 PAY DATE 12/31/2014		913.20	
12/31	* Dividend		POWERSHARES BUILD AMERIC BOND ETF HOLDING 1051.0000 PAY DATE 12/31/2014		122.27	
12/31	* Dividend		FIRST TR ISE CLOUD COMP IDX ETF HOLDING 82.0000 PAY DATE 12/31/2014		2.53	
12/31	* Dividend		TCW TOTAL RETURN BOND FD CL I PAY DATE 12/30/2014		40.52	
12/31	Reinvestment		TCW TOTAL RETURN BOND FD CL I	(40.52)		
12/31	* Lg Tm Cap Gain		TCW TOTAL RETURN BOND FD CL I PAY DATE 12/30/2014		66.11	
12/31	Reinvestment		TCW TOTAL RETURN BOND FD CL I	(66.11)		
12/31	* Sh Tm Cap Gain		TCW TOTAL RETURN BOND FD CL I PAY DATE 12/30/2014		19.19	
12/31	Reinvestment		TCW TOTAL RETURN	(19.19)		

+

YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	*Transaction Type*	*Quantity*	*Description*	*Reinvestment*	*Income*	*Income Year To Date*
			BOND FD CL I			
12/31	Divd Reinv	3	TCW TOTAL RETURN BOND FD CL I REINV AMOUNT $40.52 REINV PRICE $10.30000 QUANTITY BOT 3.9340 AS OF 12/30			
12/31	Divd Reinv	6	TCW TOTAL RETURN BOND FD CL I REINV AMOUNT $66.11 REINV PRICE $10.30000 QUANTITY BOT 6.4180 AS OF 12/30			
12/31	Divd Reinv	1	TCW TOTAL RETURN BOND FD CL I REINV AMOUNT $19.19 REINV PRICE $10.30000 QUANTITY BOT 1.8630 AS OF 12/30			
12/31	* Dividend		RS LOW DURATION BOND FD CL Y PAY DATE 12/31/2014		23.27	
12/31	* Dividend		RIDGEWORTH SEIX FLOATING RATE HI INC FD CL I PAY DATE 12/31/2014		55.09	
12/31	* Dividend		RS FLOATING RATE FUND CL Y PAY DATE 12/31/2014		61.17	
12/31	Reinvestment		RS FLOATING RATE FUND CL Y	(61.17)		
12/31	* Dividend		DOUBLELINE CORE FIXED		26.69	

+

Phil's PIA IRA Account Number:

YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
			INCOME FUND CL I PAY DATE 12/31/2014			
12/31	* Dividend		OPPENHEIMER INTERNATIONAL BOND FD Y PAY DATE 12/31/2014		76.47	
12/31	Reinvestment		OPPENHEIMER INTERNATIONAL BOND FD Y	(76.47)		
12/31	* Dividend		CALAMOS HIGH INCOME FUND CL I PAY DATE 12/31/2014		92.04	
12/31	* Dividend		VICTORY FD FOR INCOME CL Y PAY DATE 12/30/2014		85.55	
12/31	* Dividend		PIMCO TOTAL RETURN FUND CL P PAY DATE 12/31/2014		30.50	
12/31	Reinvestment		PIMCO TOTAL RETURN FUND CL P	(30.50)		
	Subtotal (Tax-Exempt Dividends)				*22,095.74*	*41,453.31*
	NET TOTAL			**(10,137.98)**	**22,706.32**	**45,560.06**

SECURITY TRANSACTIONS
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	Trade Date	Description	Transaction Type	Quantity	Unit Price	Debit	Credit	Accrued Interest Earned/(Paid)
12/09	12/04	AMERICAN TOWER REIT INC (HLDG CO) SHS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML	Purchase	13	101.3999	1,318.20		

+

YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 29, 2014 - December 31, 2014

SECURITY TRANSACTIONS (continued)
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	Trade Date	Description	Transaction Type	Quantity	Unit Price	Debit	Credit	Accrued Interest Earned/(Paid)
		ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT CUS NO 03027X100 SEC NO 02D61 PRINCIPAL 1318.20						
12/09	12/04	GOOGLE INC CL A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT CUS NO 38259P508 SEC NO 31842 PRINCIPAL 2144.61	Purchase	4	536.1515	2,144.61		
		Subtotal (Purchases)				*3,462.81*		
12/09	12/04	PIONEER NATURAL RES CO PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT CUS NO 723787107 SEC NO 591F8 PRINCIPAL 5379.64 TRN FEE 0.12	Sale	-37	145.3958		5,379.52	
		Subtotal (Sales)					*5,379.52*	
12/31		■ GUGGENHEIM BULLETSHARES 2014 H Y CORP PAY DATE 12/31/2014	Exchange	-475			12,469.39	
		Subtotal (Other Security Transactions)					*12,469.39*	
		TOTAL				**3,462.81**	**17,848.91**	

+

Phil's PIA IRA | Account Number:

YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 29, 2014 - December 31, 2014

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses) ✪ This Statement	Gains/(Losses) ✪ Year to Date
GUGGENHEIM BULLETSHARES	475.0000	06/01/11	12/31/14	12,469.39	12,231.25	238.14	
Subtotal (Long-Term)						*238.14*	*79,835.16*
PIONEER NATURAL RES CO	20.0000	04/04/14	12/04/14	2,907.84	3,820.00	(912.16)	
PIONEER NATURAL RES CO	17.0000	05/05/14	12/04/14	2,471.68	3,365.69	(894.01)	
Subtotal (Short-Term)						*(1,806.17)*	*7,961.35*
TOTAL				**17,848.91**	**19,416.94**	**(1,568.03)**	**87,796.51**

✪ - Excludes transactions for which we have insufficient data

The capital gains and losses shown above may not reflect all transactions which must be reported on your 2014 tax return. These reportable transactions will appear on your January statement.

SECURITIES YOU TRANSFERRED IN/OUT

Date	Description	Transaction Type	Quantity	Value of Securities	Year To Date
12/01	TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE $10.36	Journal Entry	1	10.36	
12/01	OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE $6.01	Journal Entry	1	6.01	
12/11	PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE $10.88	Journal Entry	1	10.88	
12/18	FIRST EAGLE OVERSEAS CL I FULL SHARE ACCUM SHARE VALUE $22.30	Journal Entry	1	22.30	

+

YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 29, 2014 - December 31, 2014

SECURITIES YOU TRANSFERRED IN/OUT (continued)

Date	*Description*	*Transaction Type*	*Quantity*	*Value of Securities*	*Year To Date*
12/18	TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE $12.39	Journal Entry	1	12.39	
12/18	ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT FULL SHARE ACCUM SHARE VALUE $12.84	Journal Entry	2	25.68	
12/18	ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT FULL SHARE ACCUM SHARE VALUE $12.84	Journal Entry	1	12.84	
12/31	TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE $10.31	Journal Entry	2	20.62	
	NET TOTAL			**121.08**	**505.13**

UNSETTLED TRADES

Trade Date	*Settlement Date*	*Description*	*Symbol/ Cusip*	*Transaction Type*	*Quantity*	*Price*	*Amount*
12/30	01/05	CELGENE CORP COM	CELG	Purchase	47	113.0405	(5,312.90)
12/30	01/05	CELGENE CORP COM	CELG	Purchase	15	113.7813	(1,706.72)
12/30	01/05	GILEAD SCIENCES INC COM	GILD	Sale	118	96.0789	11,337.06
NET TOTAL							**4,317.44**

CASH/OTHER TRANSACTIONS

Date	*Transaction Type*	*Quantity*	*Description*	*Debit*	*Credit*
12/08	Journal Entry		MUTUAL FUND ADJUSTMENT		45.72

+

Phil's PIA IRA   Account Number:

YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 29, 2014 - December 31, 2014

CASH/OTHER TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Debit	Credit
12/24	Withdrawal		NORMAL WITHDRAWAL TRF TO 72918849	6,500.00	
12/24	Withdrawal		FED TAX-NORMAL	3,500.00	
	Subtotal (Other Debits/Credits)			*10,000.00*	*45.72*
	NET TOTAL			**9,954.28**	

SWEEP PROGRAM TRANSACTIONS

Date	Transaction Type	Quantity	Description	Debit	Credit
12/01	Deposit	317	BANK OF AMERICA, NA RASP FRAC BUY .16 @ 1.00	317.16	
12/02	Deposit	173	BANK OF AMERICA, NA RASP FRAC BUY .78 @ 1.00	173.78	
12/03	Deposit	17	BANK OF AMERICA, NA RASP FRAC BUY .14 @ 1.00	17.14	
12/08	Deposit	205	BANK OF AMERICA, NA RASP FRAC BUY .40 @ 1.00	205.40	
12/09	Deposit	535	BANK OF AMERICA, NA RASP FRAC BUY .68 @ 1.00	535.68	
12/10	Deposit	1,916	BANK OF AMERICA, NA RASP FRAC BUY .71 @ 1.00	1,916.71	
12/11	Deposit	40	BANK OF AMERICA, NA RASP FRAC BUY .37 @ 1.00	40.37	
12/12	Deposit	213	BANK OF AMERICA, NA RASP FRAC BUY .04 @ 1.00	213.04	
12/16	Deposit	621	BANK OF AMERICA, NA RASP FRAC BUY .85 @ 1.00	621.85	
12/18	Deposit	1,625	BANK OF AMERICA, NA RASP FRAC BUY .96 @ 1.00	1,625.96	
12/19	Deposit	859	BANK OF AMERICA, NA RASP FRAC BUY .96 @ 1.00	859.96	

+

YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 29, 2014 - December 31, 2014

SWEEP PROGRAM TRANSACTIONS (continued)

Date	*Transaction Type*	*Quantity*	*Description*	*Debit*	*Credit*
12/22	Deposit	373	BANK OF AMERICA, NA RASP FRAC BUY .30 @ 1.00	373.30	
12/23	Deposit	4,158	BANK OF AMERICA, NA RASP FRAC BUY .96 @ 1.00	4,158.96	
12/24	Withdrawal	-9,943	BANK OF AMERICA, NA RASP FRAC SELL .78 @ 1.00		9,943.78
12/26	Deposit	1,662	BANK OF AMERICA, NA RASP FRAC BUY .58 @ 1.00	1,662.58	
12/29	Deposit	112	BANK OF AMERICA, NA RASP FRAC BUY .50 @ 1.00	112.50	
12/30	Deposit	1	BANK OF AMERICA, NA RASP FRAC BUY .11 @ 1.00	1.11	
12/31	Deposit	332	BANK OF AMERICA, NA RASP FRAC BUY .93 @ 1.00	332.93	
	NET TOTAL			**3,224.65**	

YOUR RETIREMENT ACCOUNT CONTRIBUTIONS AND DISTRIBUTIONS

Year-End Plan Value as of December 31, 2014: $1,571,767.93 **Contributions after December 31, 2013 for 2013:** $.00

Distributions	*Tax Year 2014*	*Tax Year 2013*
Normal	$120,000.00	$120,000.00
Federal Taxes Withheld	$42,000.00	$42,000.00

For IRA, IRRA, SEP/IRA, SIMPLE/IRA ROTH IRA and ESA accounts, the Year-End Plan Value represents the valuation we must furnish to you and the Internal Revenue Service as part of the IRS Form 5498 reporting requirements.

Account/Nickname	Activity St	Trade Date	Settle Date	Type	Description	Symbol/Cl	Quantity	Price	Amount
Phil's Advisory IRA	Settled		12/31/2014	SecurityTransactions	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $40.52 REINV PRICE $10.30000 QUANTITY BOT 3.9340 AS OF 12/30	TGLMX	3		$0.00
Phil's Advisory IRA	Settled		12/31/2014	SecurityTransactions	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $66.11 REINV PRICE $10.30000 QUANTITY BOT 6.4180 AS OF 12/30	TGLMX	6		$0.00
Phil's Advisory IRA	Settled		12/31/2014	SecurityTransactions	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $19.19 REINV PRICE $10.30000 QUANTITY BOT 1.8630 AS OF 12/30	TGLMX	1		$0.00
Phil's Advisory IRA	Settled		12/31/2014	SecurityTransactions	Exchange GUGGENHEIM BULLETSHARES 2014 H Y CORP 2014 H Y CORP PAY DATE 12/31/2014	18383M43	-475		$12,469.39
Phil's Advisory IRA	Settled		12/31/2014	SecurityTransactions	Journal Entry TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE $10.31	TGLMX	2		$0.00
Phil's Advisory IRA	Settled		12/31/2014	SecurityTransactions	Stock Dividend BANK OF AMERICA, NA RASP 0.33000 DIV/INT REINVEST PAY DATE 12/31/2014 FROM 11-28 THRU 12-31	IIAXX	4		$0.00
Phil's Advisory IRA	Settled		12/31/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1		$0.00
Phil's Advisory IRA	Settled		12/30/2014	SecurityTransactions	Divd Reinv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT $256.55 REINV PRICE $10.64000 QUANTITY BOT 24.1120 AS OF 12/29	PTTPX	24		$0.00
Phil's Advisory IRA	Settled		12/29/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1		$0.00
Phil's Advisory IRA	Settled		12/24/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	-1		$0.00
Phil's Advisory IRA	Settled		12/23/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1		$0.00
Phil's Advisory IRA	Settled		12/22/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1		$0.00

Phil's Advisory IRA	Settled	12/19/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1	$0.00
Phil's Advisory IRA	Settled	12/18/2014	SecurityTransactions	Divd Reinv FIRST EAGLE OVERSEAS CL I AGENT REINV AMOUNT $854.72 REINV PRICE $21.82000 QUANTITY BOT 39.1710 AS OF 12/16	SGOIX	39	$0.00
Phil's Advisory IRA	Settled	12/18/2014	SecurityTransactions	Divd Reinv FIRST EAGLE OVERSEAS CL I AGENT REINV AMOUNT $2533.52 REINV PRICE $21.82000 QUANTITY BOT 116.1100 AS OF 12/16	SGOIX	116	$0.00
Phil's Advisory IRA	Settled	12/18/2014	SecurityTransactions	Divd Reinv FIRST EAGLE OVERSEAS CL I AGENT REINV AMOUNT $408.63 REINV PRICE $21.82000 QUANTITY BOT 18.7270 AS OF 12/16	SGOIX	18	$0.00
Phil's Advisory IRA	Settled	12/18/2014	SecurityTransactions	Divd Reinv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $1211.98 REINV PRICE $12.29000 QUANTITY BOT 98.6150 AS OF 12/15	TGBAX	98	$0.00
Phil's Advisory IRA	Settled	12/18/2014	SecurityTransactions	Divd Reinv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $68.65 REINV PRICE $12.29000 QUANTITY BOT 5.5860 AS OF 12/15	TGBAX	5	$0.00
Phil's Advisory IRA	Settled	12/18/2014	SecurityTransactions	Divd Reinv ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT AGENT REINV AMOUNT $124.58 REINV PRICE $12.65000 QUANTITY BOT 9.8480 AS OF 12/17	PENNX	9	$0.00
Phil's Advisory IRA	Settled	12/18/2014	SecurityTransactions	Divd Reinv ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT AGENT REINV AMOUNT $3913.58 REINV PRICE $12.65000 QUANTITY BOT 309.3740 AS OF 12/17	PENNX	309	$0.00
Phil's Advisory IRA	Settled	12/18/2014	SecurityTransactions	Divd Reinv ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT AGENT REINV AMOUNT $175.69 REINV PRICE $12.65000 QUANTITY BOT 13.8890 AS OF 12/17	PENNX	13	$0.00
Phil's Advisory IRA	Settled	12/18/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1	$0.00

Phil's Advisory IRA	Settled		12/18/2014	SecurityTransactions	Journal Entry FIRST EAGLE OVERSEAS CL I FULL SHARE ACCUM SHARE VALUE $22.30	SGOIX	1		$0.00
Phil's Advisory IRA	Settled		12/18/2014	SecurityTransactions	Journal Entry TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE $12.39	TGBAX	1		$0.00
Phil's Advisory IRA	Settled		12/18/2014	SecurityTransactions	Journal Entry ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT FULL SHARE ACCUM SHARE VALUE $12.84	PENNX	2		$0.00
Phil's Advisory IRA	Settled		12/18/2014	SecurityTransactions	Journal Entry ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT FULL SHARE ACCUM SHARE VALUE $12.84	PENNX	1		$0.00
Phil's Advisory IRA	Settled		12/11/2014	SecurityTransactions	Divd Reinv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT $103.76 REINV PRICE $10.90000 QUANTITY BOT 9.5190 AS OF 12/10	PTTPX	9		$0.00
Phil's Advisory IRA	Settled		12/11/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1		$0.00
Phil's Advisory IRA	Settled		12/11/2014	SecurityTransactions	Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE $10.88	PTTPX	1		$0.00
Phil's Advisory IRA	Settled	12/4/2014	12/9/2014	SecurityTransactions	Purchase AMERICAN TOWER REIT INC (HLDG CO) SHS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AMT	13	$101.40	-$1,318.20
Phil's Advisory IRA	Settled	12/4/2014	12/9/2014	SecurityTransactions	Purchase GOOGLE INC CL A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	GOOGL	4	$536.15	-$2,144.61

Phil's Advisory IRA	Settled	12/4/2014	12/9/2014	SecurityTransactions	Sale PIONEER NATURAL RES CO PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	PXD	-37	$145.40	$5,379.52
Phil's Advisory IRA	Settled		12/9/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1		$0.00
Phil's Advisory IRA	Settled		12/8/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1		$0.00
Phil's Advisory IRA	Settled		12/1/2014	SecurityTransactions	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $40.45 REINV PRICE $10.36000 QUANTITY BOT 3.9040 AS OF 11/28	TGLMX	3		$0.00
Phil's Advisory IRA	Settled		12/1/2014	SecurityTransactions	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $61.15 REINV PRICE $10.10000 QUANTITY BOT 6.0540 AS OF 11/28	RSFYX	6		$0.00
Phil's Advisory IRA	Settled		12/1/2014	SecurityTransactions	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $67.98 REINV PRICE $6.01000 QUANTITY BOT 11.3110 AS OF 11/28	OIBYX	11		$0.00
Phil's Advisory IRA	Settled		12/1/2014	SecurityTransactions	Divd Reinv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT $22.78 REINV PRICE $11.03000 QUANTITY BOT 2.0650 AS OF 11/28	PTTPX	2		$0.00
Phil's Advisory IRA	Settled		12/1/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1		$0.00
Phil's Advisory IRA	Settled		12/1/2014	SecurityTransactions	Journal Entry TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE $10.36	TGLMX	1		$0.00
Phil's Advisory IRA	Settled		12/1/2014	SecurityTransactions	Journal Entry OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE $6.01	OIBYX	1		$0.00
Phil's Advisory IRA	Settled		11/28/2014	SecurityTransactions	Stock Dividend BANK OF AMERICA, NA RASP 0.50000 DIV/INT REINVEST PAY DATE 11/26/2014 FROM 10-31 THRU 11-26	IIAXX	3		$0.00

Phil's Advisory IRA	Settled		11/28/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1		$0.00
Phil's Advisory IRA	Settled		11/24/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1		$0.00
Phil's Advisory IRA	Settled	11/20/2014	11/21/2014	SecurityTransactions	Sale DOUBLELINE TOTAL RETURN BOND FUND CL I FRAC SHR QUANTITY .696 PER ADVISORY AGREEMENT. THIS SALE CONSTITUTES A REDEMPTION.	DBLTX	-1,050	$11.00	$11,557.66
Phil's Advisory IRA	Settled	11/20/2014	11/21/2014	SecurityTransactions	Purchase DOUBLELINE CORE FIXED INCOME FUND CL I FRAC SHR QUANTITY .434 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	DBLFX	771	$10.99	-$8,478.06
Phil's Advisory IRA	Settled		11/20/2014	SecurityTransactions	Divd Reinv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $79.69 REINV PRICE $13.15000 QUANTITY BOT 6.0600 AS OF 11/17	TGBAX	6		$0.00
Phil's Advisory IRA	Settled		11/20/2014	SecurityTransactions	Journal Entry TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE $13.17	TGBAX	1		$0.00
Phil's Advisory IRA	Settled		11/19/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1		$0.00
Phil's Advisory IRA	Settled		11/14/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1		$0.00
Phil's Advisory IRA	Settled		11/10/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1		$0.00
Phil's Advisory IRA	Settled		11/4/2014	SecurityTransactions	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $40.37 REINV PRICE $10.31000 QUANTITY BOT 3.9160 AS OF 11/03	TGLMX	3		$0.00
Phil's Advisory IRA	Settled		11/4/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1		$0.00

Phil's Advisory IRA	Settled	11/4/2014	SecurityTransactions	Journal Entry TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE $10.31	TGLMX	1	$0.00
Phil's Advisory IRA	Settled	11/3/2014	SecurityTransactions	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $59.66 REINV PRICE $10.14000 QUANTITY BOT 5.8840 AS OF 10/31	RSFYX	5	$0.00
Phil's Advisory IRA	Settled	11/3/2014	SecurityTransactions	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $83.23 REINV PRICE $6.02000 QUANTITY BOT 13.8260 AS OF 10/31	OIBYX	13	$0.00
Phil's Advisory IRA	Settled	11/3/2014	SecurityTransactions	Divd Reinv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT $20.29 REINV PRICE $10.94000 QUANTITY BOT 1.8550 AS OF 10/31	PTTPX	1	$0.00
Phil's Advisory IRA	Settled	11/3/2014	SecurityTransactions	Journal Entry RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE $10.14	RSFYX	1	$0.00
Phil's Advisory IRA	Settled	11/3/2014	SecurityTransactions	Journal Entry OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE $6.01	OIBYX	1	$0.00
Phil's Advisory IRA	Settled	11/3/2014	SecurityTransactions	Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE $10.93	PTTPX	1	$0.00
Phil's Advisory IRA	Settled	10/31/2014	SecurityTransactions	Stock Dividend BANK OF AMERICA, NA RASP 0.37000 DIV/INT REINVEST PAY DATE 10/30/2014 FROM 09-30 THRU 10-30	IIAXX	4	$0.00
Phil's Advisory IRA	Settled	10/31/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1	$0.00
Phil's Advisory IRA	Settled	10/20/2014	SecurityTransactions	Divd Reinv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $79.49 REINV PRICE $13.05000 QUANTITY BOT 6.0910 AS OF 10/15	TGBAX	6	$0.00
Phil's Advisory IRA	Settled	10/16/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1	$0.00
Phil's Advisory IRA	Settled	10/14/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1	$0.00

Phil's Advisory IRA	Settled	10/1/2014	SecurityTransactions	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $40.30 REINV PRICE $10.26000 QUANTITY BOT 3.9280 AS OF 09/30	TGLMX	3	$0.00
Phil's Advisory IRA	Settled	10/1/2014	SecurityTransactions	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $52.07 REINV PRICE $10.16000 QUANTITY BOT 5.1250 AS OF 09/30	RSFYX	5	$0.00
Phil's Advisory IRA	Settled	10/1/2014	SecurityTransactions	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $74.43 REINV PRICE $6.01000 QUANTITY BOT 12.3840 AS OF 09/30	OIBYX	12	$0.00
Phil's Advisory IRA	Settled	10/1/2014	SecurityTransactions	Divd Reinv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT $19.16 REINV PRICE $10.87000 QUANTITY BOT 1.7630 AS OF 09/30	PTTPX	1	$0.00
Phil's Advisory IRA	Settled	10/1/2014	SecurityTransactions	Journal Entry TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE $10.30	TGLMX	1	$0.00
Phil's Advisory IRA	Settled	10/1/2014	SecurityTransactions	Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE $10.91	PTTPX	1	$0.00
Phil's Advisory IRA	Settled	9/30/2014	SecurityTransactions	Stock Dividend BANK OF AMERICA, NA RASP 0.85000 DIV/INT REINVEST PAY DATE 09/29/2014 FROM 08-29 THRU 09-29	IIAXX	4	$0.00
Phil's Advisory IRA	Settled	9/30/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	2	$0.00
Phil's Advisory IRA	Settled	9/26/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1	$0.00
Phil's Advisory IRA	Settled	9/24/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1	$0.00
Phil's Advisory IRA	Settled	9/18/2014	SecurityTransactions	Divd Reinv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $80.02 REINV PRICE $13.26000 QUANTITY BOT 6.0350 AS OF 09/15	TGBAX	6	$0.00
Phil's Advisory IRA	Settled	9/11/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1	$0.00

Phil's Advisory IRA	Settled	8/28/2014	9/3/2014	SecurityTransactions	Sale COGNIZANT TECH SOLUTNS A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CTSH	-196	$45.21	$8,860.94
Phil's Advisory IRA	Settled	8/28/2014	9/3/2014	SecurityTransactions	Purchase SALESFORCE COM INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CRM	35	$58.64	-$2,052.40
Phil's Advisory IRA	Settled	8/28/2014	9/3/2014	SecurityTransactions	Purchase TWITTER INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	TWTR	148	$49.57	-$7,336.36
Phil's Advisory IRA	Settled		9/3/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	-1		$0.00
Phil's Advisory IRA	Settled		9/2/2014	SecurityTransactions	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $40.22 REINV PRICE $10.30000 QUANTITY BOT 3.9050 AS OF 08/29	TGLMX	3		$0.00
Phil's Advisory IRA	Settled		9/2/2014	SecurityTransactions	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $57.48 REINV PRICE $10.28000 QUANTITY BOT 5.5910 AS OF 08/29	RSFYX	5		$0.00
Phil's Advisory IRA	Settled		9/2/2014	SecurityTransactions	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $83.94 REINV PRICE $6.15000 QUANTITY BOT 13.6490 AS OF 08/29	OIBYX	13		$0.00
Phil's Advisory IRA	Settled		9/2/2014	SecurityTransactions	Divd Reinv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT $25.02 REINV PRICE $10.99000 QUANTITY BOT 2.2770 AS OF 08/29	PTTPX	2		$0.00
Phil's Advisory IRA	Settled		9/2/2014	SecurityTransactions	Journal Entry BANK OF AMERICA, NA RASP FULL SHARE ACCUMULATION	IIAXX	1		$0.00

Phil's Advisory IRA	Settled		9/2/2014	SecurityTransactions	Journal Entry TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE $10.29	TGLMX	1		$0.00
Phil's Advisory IRA	Settled		9/2/2014	SecurityTransactions	Journal Entry RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE $10.29	RSFYX	1		$0.00
Phil's Advisory IRA	Settled		9/2/2014	SecurityTransactions	Journal Entry OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE $6.13	OIBYX	1		$0.00
Phil's Advisory IRA	Settled		8/29/2014	SecurityTransactions	Journal Entry FIA CARD SVS NA RASP FRAC SHARE POSITION .44 CONVERT TO STOCK RECORD	IIAXX	114,165		$0.00
Phil's Advisory IRA	Settled	8/21/2014	8/26/2014	SecurityTransactions	Purchase ISHARES SHORT TREASURY BOND ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	SHV	294	$110.28	-$32,422.32
Phil's Advisory IRA	Settled		8/20/2014	SecurityTransactions	Divd Reinv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $78.86 REINV PRICE $13.27000 QUANTITY BOT 5.9430 AS OF 08/15	TGBAX	5		$0.00
Phil's Advisory IRA	Settled		8/20/2014	SecurityTransactions	Journal Entry TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE $13.32	TGBAX	1		$0.00
Phil's Advisory IRA	Settled	8/6/2014	8/11/2014	SecurityTransactions	Sale BOEING COMPANY PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	BA	-73	$118.22	$8,629.91
Phil's Advisory IRA	Settled	8/6/2014	8/11/2014	SecurityTransactions	Purchase DANAHER CORP DEL COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	DHR	129	$74.01	-$9,547.90

Phil's Advisory IRA	Settled	8/4/2014	8/7/2014	SecurityTransactions	Sale EATON VANCE TAX-ADVANTAG BD & OPT STRATEGIES FD PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	EXD	-589	$13.45	$7,923.85
Phil's Advisory IRA	Settled		8/1/2014	SecurityTransactions	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $40.15 REINV PRICE $10.26000 QUANTITY BOT 3.9130 AS OF 07/31	TGLMX	3		$0.00
Phil's Advisory IRA	Settled		8/1/2014	SecurityTransactions	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $57.11 REINV PRICE $10.29000 QUANTITY BOT 5.5500 AS OF 07/31	RSFYX	5		$0.00
Phil's Advisory IRA	Settled		8/1/2014	SecurityTransactions	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $83.10 REINV PRICE $6.14000 QUANTITY BOT 13.5340 AS OF 07/31	OIBYX	13		$0.00
Phil's Advisory IRA	Settled		8/1/2014	SecurityTransactions	Divd Reinv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT $26.51 REINV PRICE $10.89000 QUANTITY BOT 2.4340 AS OF 07/31	PTTPX	2		$0.00
Phil's Advisory IRA	Settled	7/28/2014	7/29/2014	SecurityTransactions	Purchase OPPENHEIMER DEVELOPING MARKETS FD CL Y FRAC SHR QUANTITY .795 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	ODVYX	181	$40.59	-$7,379.06
Phil's Advisory IRA	Settled	7/28/2014	7/29/2014	SecurityTransactions	Purchase SCHRODER EMERGING MARKET EQUITY FUND INV CL FRAC SHR QUANTITY .152 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	SEMNX	847	$14.20	-$12,029.56

Phil's Advisory IRA	Settled	7/28/2014	7/29/2014	SecurityTransactions	Sale CALAMOS EVOLVING WORLD GROWTH FUND CL I FRAC SHR QUANTITY .024 PER ADVISORY AGREEMENT. THIS SALE CONSTITUTES A REDEMPTION.	CNWIX	-1,015	$14.81	$15,032.51
Phil's Advisory IRA	Settled		7/29/2014	SecurityTransactions	Journal Entry OPPENHEIMER DEVELOPING MARKETS FD CL Y FULL SHARE ACCUM SHARE VALUE $40.35	ODVYX	1		$0.00
Phil's Advisory IRA	Settled		7/18/2014	SecurityTransactions	Divd Reinv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $79.63 REINV PRICE $13.30000 QUANTITY BOT 5.9870 AS OF 07/15	TGBAX	5		$0.00
Phil's Advisory IRA	Settled		7/18/2014	SecurityTransactions	Journal Entry TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE $13.28	TGBAX	1		$0.00
Phil's Advisory IRA	Settled		7/14/2014	SecurityTransactions	Redeemed GENERAL ELEC CAP COR CLD SER MTN STEP% JAN 13 2027 SER MTN STEP% JAN 13 2027 PAY DATE 07/14/2014	36966TEF!	-10,000		$10,000.00
Phil's Advisory IRA	Settled	7/7/2014	7/10/2014	SecurityTransactions	Purchase ACTAVIS PLC SHS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ACT	45	$220.01	-$9,900.45
Phil's Advisory IRA	Settled	7/7/2014	7/10/2014	SecurityTransactions	Sale COVIDIEN PLC SHS NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	COV	-135	$90.21	$12,178.05
Phil's Advisory IRA	Settled		7/1/2014	SecurityTransactions	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $41.13 REINV PRICE $10.27000 QUANTITY BOT 4.0050 AS OF 06/30	TGLMX	4		$0.00
Phil's Advisory IRA	Settled		7/1/2014	SecurityTransactions	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $55.25 REINV PRICE $10.35000 QUANTITY BOT 5.3380 AS OF 06/30	RSFYX	5		$0.00

Phil's Advisory IRA	Settled		7/1/2014	SecurityTransactions	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $79.06 REINV PRICE $6.19000 QUANTITY BOT 12.7720 AS OF 06/30	OIBYX	12		$0.00
Phil's Advisory IRA	Settled		7/1/2014	SecurityTransactions	Divd Reinv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT $23.96 REINV PRICE $10.97000 QUANTITY BOT 2.1840 AS OF 06/30	PTTPX	2		$0.00
Phil's Advisory IRA	Settled	6/26/2014	7/1/2014	SecurityTransactions	Sale DISCOVERY COMMUNICATN INC SERIES A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	DISCA	-94	$71.93	$6,760.96
Phil's Advisory IRA	Settled	6/26/2014	7/1/2014	SecurityTransactions	Purchase DISNEY (WALT) CO COM STK PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	DIS	87	$84.36	-$7,339.26
Phil's Advisory IRA	Settled		7/1/2014	SecurityTransactions	Journal Entry RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE $10.35	RSFYX	1		$0.00
Phil's Advisory IRA	Settled		7/1/2014	SecurityTransactions	Journal Entry OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE $6.19	OIBYX	1		$0.00
Phil's Advisory IRA	Settled		7/1/2014	SecurityTransactions	Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE $10.95	PTTPX	1		$0.00
Phil's Advisory IRA	Settled		6/19/2014	SecurityTransactions	Divd Reinv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $78.95 REINV PRICE $13.28000 QUANTITY BOT 5.9450 AS OF 06/16	TGBAX	5		$0.00
Phil's Advisory IRA	Settled		6/19/2014	SecurityTransactions	Journal Entry TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE $13.29	TGBAX	1		$0.00
Phil's Advisory IRA	Settled		6/12/2014	SecurityTransactions	Stock Dividend APPLE INC HOLDING 12.0000 PAY DATE 06/06/2014	AAPL	72		$0.00

Phil's Advisory IRA	Settled		6/12/2014	SecurityTransactions	DUDB APPLE INC	AAPL	-72		$0.00
Phil's Advisory IRA	Settled		6/9/2014	SecurityTransactions	DUDB APPLE INC	AAPL	72		$0.00
Phil's Advisory IRA	Settled		6/2/2014	SecurityTransactions	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $42.10 REINV PRICE $10.27000 QUANTITY BOT 4.0990 AS OF 05/30	TGLMX	4		$0.00
Phil's Advisory IRA	Settled		6/2/2014	SecurityTransactions	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $56.46 REINV PRICE $10.33000 QUANTITY BOT 5.4660 AS OF 05/30	RSFYX	5		$0.00
Phil's Advisory IRA	Settled		6/2/2014	SecurityTransactions	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $85.28 REINV PRICE $6.18000 QUANTITY BOT 13.7990 AS OF 05/30	OIBYX	13		$0.00
Phil's Advisory IRA	Settled		6/2/2014	SecurityTransactions	Divd Reinv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT $29.60 REINV PRICE $10.95000 QUANTITY BOT 2.7030 AS OF 05/30	PTTPX	2		$0.00
Phil's Advisory IRA	Settled		6/2/2014	SecurityTransactions	Journal Entry TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE $10.25	TGLMX	1		$0.00
Phil's Advisory IRA	Settled		6/2/2014	SecurityTransactions	Journal Entry OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE $6.17	OIBYX	1		$0.00
Phil's Advisory IRA	Settled		5/20/2014	SecurityTransactions	Divd Reinv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $78.75 REINV PRICE $13.15000 QUANTITY BOT 5.9890 AS OF 05/15	TGBAX	5		$0.00
Phil's Advisory IRA	Settled	5/19/2014	5/20/2014	SecurityTransactions	Purchase SCHRODER EMERGING MARKET EQUITY FUND INV CL FRAC SHR QUANTITY .514 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	SEMNX	858	$13.51	-$11,598.52

Phil's Advisory IRA	Settled		5/20/2014	SecurityTransactions	Journal Entry TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE $13.17	TGBAX	1		$0.00
Phil's Advisory IRA	Settled	5/13/2014	5/16/2014	SecurityTransactions	Purchase MONDELEZ INTERNATIONAL INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	MDLZ	258	$37.88	-$9,772.58
Phil's Advisory IRA	Settled	5/13/2014	5/16/2014	SecurityTransactions	Sale WHOLE FOODS MKT INC COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	WFM	-200	$39.15	$7,829.87
Phil's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase ADOBE SYS DEL PV$ 0.001 PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ADBE	80	$61.52	-$4,921.38
Phil's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase AFFILIATED MANAGERS GRP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AMG	22	$195.75	-$4,306.50
Phil's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Sale AETNA INC NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AET	-173	$71.16	$12,310.20

Phil's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase AVAGO TECHNOLOGIES LTD PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AVGO	55	$64.26	-$3,534.26
Phil's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase AMERICAN TOWER REIT INC (HLDG CO) SHS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AMT	38	$88.39	-$3,358.71
Phil's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase APPLE INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AAPL	5	$599.28	-$2,996.42
Phil's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase BORG WARNER INC COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	BWA	62	$60.35	-$3,741.53
Phil's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase BOEING COMPANY PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	BA	35	$132.22	-$4,627.67
Phil's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase CABOT OIL & GAS CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	COG	84	$38.67	-$3,248.19

Phil's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Sale CAPITAL ONE FINL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	COF	-164	$75.72	$12,418.42
Phil's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase COGNIZANT TECH SOLUTNS A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CTSH	100	$49.30	-$4,929.99
Phil's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase COSTCO WHOLESALE CRP DEL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	COST	42	$114.58	-$4,812.48
Phil's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase CATERPILLAR INC DEL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CAT	33	$104.69	-$3,454.92
Phil's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase COVIDIEN PLC SHS NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	COV	66	$71.68	-$4,730.56
Phil's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase DICKS SPORTING GOODS INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	DKS	70	$52.03	-$3,641.92

Phil's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase DISCOVERY COMMUNICATN INC SERIES A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	DISCA	47	$77.49	-$3,641.87
Phil's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase EXPRESS SCRIPTS HLDG CO PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ESRX	57	$67.03	-$3,820.52
Phil's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Sale EATON CORP PLC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ETN	-200	$72.87	$14,573.68
Phil's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase FEDEX CORP DELAWARE COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	FDX	35	$136.20	-$4,767.09
Phil's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Sale GENL DYNAMICS CORP COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	GD	-123	$111.40	$13,702.06
Phil's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase GOOGLE INC CL A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	GOOGL	7	$534.66	-$3,742.64

Phil's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase HONEYWELL INTL INC DEL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	HON	38	$92.76	-$3,524.79
Phil's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Sale HESS CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	HES	-137	$88.46	$12,118.72
Phil's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase MONSANTO CO NEW DEL COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	MON	30	$114.86	-$3,445.94
Phil's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase NOBLE ENERGY INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	NBL	50	$70.97	-$3,548.47
Phil's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase PIONEER NATURAL RES CO PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	PXD	17	$197.98	-$3,365.69
Phil's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase PRECISION CASTPARTS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	PCP	18	$259.69	-$4,674.40

Phil's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase SCHLUMBERGER LTD PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	SLB	34	$101.36	-$3,446.40
Phil's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase SALESFORCE COM INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CRM	47	$53.61	-$2,519.77
Phil's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase THERMO FISHER SCIENTIFIC INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	TMO	43	$114.83	-$4,937.84
Phil's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase VMWARE, INC. PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	VMW	58	$93.17	-$5,404.01
Phil's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase ZOETIS INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ZTS	112	$30.54	-$3,420.22
Phil's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Purchase WHOLE FOODS MKT INC COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	WFM	100	$48.23	-$4,822.93

Phil's Advisory IRA	Settled	5/5/2014	5/8/2014	SecurityTransactions	Sale WELLS FARGO & CO NEW DEL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	WFC	-319	$49.49	$15,787.73
Phil's Advisory IRA	Settled	5/2/2014	5/7/2014	SecurityTransactions	Sale CITIGROUP INC COM NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	C	-225	$47.78	$10,750.22
Phil's Advisory IRA	Settled	5/2/2014	5/7/2014	SecurityTransactions	Sale GENERAL MOTORS CO COMMON SHARES PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	GM	-335	$35.13	$11,767.92
Phil's Advisory IRA	Settled		5/1/2014	SecurityTransactions	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $44.11 REINV PRICE $10.17000 QUANTITY BOT 4.3370 AS OF 04/30	TGLMX	4		$0.00
Phil's Advisory IRA	Settled		5/1/2014	SecurityTransactions	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $56.85 REINV PRICE $10.32000 QUANTITY BOT 5.5090 AS OF 04/30	RSFYX	5		$0.00
Phil's Advisory IRA	Settled		5/1/2014	SecurityTransactions	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $81.99 REINV PRICE $6.14000 QUANTITY BOT 13.3530 AS OF 04/30	OIBYX	13		$0.00
Phil's Advisory IRA	Settled		5/1/2014	SecurityTransactions	Divd Reinv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT $22.84 REINV PRICE $10.84000 QUANTITY BOT 2.1070 AS OF 04/30	PTTPX	2		$0.00
Phil's Advisory IRA	Settled		5/1/2014	SecurityTransactions	Journal Entry RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE $10.33	RSFYX	1		$0.00

Phil's Advisory IRA	Settled		4/30/2014	SecurityTransactions	Redeemed E.I. DU PONT DE NEMOURS GLB 04.875% APR 30 2014 GLB 04.875% APR 30 2014 PAY DATE 04/30/2014	263534BN	-25,000		$25,000.00
Phil's Advisory IRA	Settled	4/22/2014	4/25/2014	SecurityTransactions	Sale ELI LILLY & CO PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	LLY	-240	$60.14	$14,432.66
Phil's Advisory IRA	Settled	4/21/2014	4/24/2014	SecurityTransactions	Purchase AFFILIATED MANAGERS GRP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AMG	27	$185.40	-$5,005.86
Phil's Advisory IRA	Settled	4/21/2014	4/24/2014	SecurityTransactions	Sale AUTONATION INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AN	-238	$54.45	$12,958.71
Phil's Advisory IRA	Settled	4/21/2014	4/24/2014	SecurityTransactions	Sale AMAZON COM INC COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AMZN	-8	$324.92	$2,599.29
Phil's Advisory IRA	Settled	4/21/2014	4/24/2014	SecurityTransactions	*Purchase* AMERICAN TOWER REIT INC (HLDG CO) SHS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AMT	44	$82.84	-$3,644.96

Phil's Advisory IRA	Settled	4/21/2014	4/24/2014	SecurityTransactions	Purchase BORG WARNER INC COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	BWA	58	$63.14	-$3,662.38
Phil's Advisory IRA	Settled	4/21/2014	4/24/2014	SecurityTransactions	Purchase BOEING COMPANY PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	BA	38	$128.02	-$4,864.76
Phil's Advisory IRA	Settled	4/21/2014	4/24/2014	SecurityTransactions	Purchase COSTCO WHOLESALE CRP DEL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	COST	43	$113.98	-$4,901.35
Phil's Advisory IRA	Settled	4/21/2014	4/24/2014	SecurityTransactions	Purchase CATERPILLAR INC DEL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CAT	36	$102.18	-$3,678.38
Phil's Advisory IRA	Settled	4/21/2014	4/24/2014	SecurityTransactions	Purchase COVIDIEN PLC SHS NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	COV	69	$70.52	-$4,865.81
Phil's Advisory IRA	Settled	4/21/2014	4/24/2014	SecurityTransactions	Purchase DICKS SPORTING GOODS INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	DKS	70	$52.26	-$3,658.33

Phil's Advisory IRA	Settled	4/21/2014	4/24/2014	SecurityTransactions	Sale DOLLAR TREE INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	DLTR	-199	$50.67	$10,083.47
Phil's Advisory IRA	Settled	4/21/2014	4/24/2014	SecurityTransactions	Purchase DISCOVERY COMMUNICATN INC SERIES A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	DISCA	47	$77.48	-$3,641.56
Phil's Advisory IRA	Settled	4/21/2014	4/24/2014	SecurityTransactions	Purchase EXPRESS SCRIPTS HLDG CO PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ESRX	51	$71.28	-$3,635.27
Phil's Advisory IRA	Settled	4/21/2014	4/24/2014	SecurityTransactions	Sale FLUOR CORP NEW DEL COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	FLR	-92	$77.41	$7,121.98
Phil's Advisory IRA	Settled	4/21/2014	4/24/2014	SecurityTransactions	Purchase FEDEX CORP DELAWARE COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	FDX	36	$136.46	-$4,912.56
Phil's Advisory IRA	Settled	4/21/2014	4/24/2014	SecurityTransactions	Sale GILEAD SCIENCES INC COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	GILD	-50	$70.37	$3,518.36

Phil's Advisory IRA	Settled	4/21/2014	4/24/2014	SecurityTransactions	Purchase HONEYWELL INTL INC DEL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	HON	40	$93.12	-$3,724.69
Phil's Advisory IRA	Settled	4/21/2014	4/24/2014	SecurityTransactions	Purchase MONSANTO CO NEW DEL COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	MON	33	$112.89	-$3,725.31
Phil's Advisory IRA	Settled	4/21/2014	4/24/2014	SecurityTransactions	Sale O'REILLY AUTOMOTIVE INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ORLY	-92	$146.65	$13,491.82
Phil's Advisory IRA	Settled	4/21/2014	4/24/2014	SecurityTransactions	Purchase PRECISION CASTPARTS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	PCP	19	$257.14	-$4,885.59
Phil's Advisory IRA	Settled	4/21/2014	4/24/2014	SecurityTransactions	Purchase THERMO FISHER SCIENTIFIC INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	TMO	41	$119.16	-$4,885.66
Phil's Advisory IRA	Settled	4/21/2014	4/24/2014	SecurityTransactions	Purchase ZOETIS INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ZTS	126	$28.92	-$3,644.36

Phil's Advisory IRA	Settled	4/21/2014	4/24/2014	SecurityTransactions	Purchase WHOLE FOODS MKT INC COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	WFM	100	$48.62	-$4,861.99
Phil's Advisory IRA	Settled		4/21/2014	SecurityTransactions	Divd Reinv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $78.31 REINV PRICE $13.02000 QUANTITY BOT 6.0150 AS OF 04/15	TGBAX	6		$0.00
Phil's Advisory IRA	Settled	4/11/2014	4/16/2014	SecurityTransactions	Sale HUNTNGTN BANCSHS INC MD PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	HBAN	-1,292	$9.41	$12,161.20
Phil's Advisory IRA	Settled	4/7/2014	4/10/2014	SecurityTransactions	Sale BIOGEN IDEC INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	BIIB	-42	$282.33	$11,857.42
Phil's Advisory IRA	Settled	4/7/2014	4/10/2014	SecurityTransactions	Sale REGENERON PHARMACTCLS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	REGN	-17	$285.00	$4,844.89
Phil's Advisory IRA	Settled	4/4/2014	4/9/2014	SecurityTransactions	Purchase AVAGO TECHNOLOGIES LTD PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AVGO	58	$63.50	-$3,683.00

Phil's Advisory IRA	Settled	4/4/2014	4/9/2014	SecurityTransactions	Purchase APPLE INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AAPL	7	$537.29	-$3,761.01
Phil's Advisory IRA	Settled	4/4/2014	4/9/2014	SecurityTransactions	Purchase COGNIZANT TECH SOLUTNS A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CTSH	96	$51.15	-$4,910.53
Phil's Advisory IRA	Settled	4/4/2014	4/9/2014	SecurityTransactions	Sale CELGENE CORP COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CELG	-74	$143.70	$10,633.79
Phil's Advisory IRA	Settled	4/4/2014	4/9/2014	SecurityTransactions	Purchase NOBLE ENERGY INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	NBL	52	$71.00	-$3,692.00
Phil's Advisory IRA	Settled	4/4/2014	4/9/2014	SecurityTransactions	Purchase PIONEER NATURAL RES CO PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	PXD	20	$191.00	-$3,820.00
Phil's Advisory IRA	Settled	4/4/2014	4/9/2014	SecurityTransactions	Purchase SCHLUMBERGER LTD PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	SLB	38	$98.70	-$3,750.60

Phil's Advisory IRA	Settled	4/4/2014	4/9/2014	SecurityTransactions	Sale VERTEX PHARMCTLS INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	VRTX	-59	$68.98	$4,069.56
Phil's Advisory IRA	Settled	4/3/2014	4/8/2014	SecurityTransactions	Purchase ADOBE SYS DEL PV$ 0.001 PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ADBE	77	$63.87	-$4,918.22
Phil's Advisory IRA	Settled	4/3/2014	4/8/2014	SecurityTransactions	Purchase CABOT OIL & GAS CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	COG	104	$35.30	-$3,671.53
Phil's Advisory IRA	Settled	4/3/2014	4/8/2014	SecurityTransactions	Purchase GOOGLE INC CL A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	GOOGL	7	$568.85	-$3,981.94
Phil's Advisory IRA	Settled	4/3/2014	4/8/2014	SecurityTransactions	Purchase SALESFORCE COM INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CRM	43	$56.83	-$2,443.57
Phil's Advisory IRA	Settled	4/3/2014	4/8/2014	SecurityTransactions	Purchase VMWARE, INC. PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	VMW	46	$107.16	-$4,929.36

Phil's Advisory IRA	Settled	4/2/2014	4/7/2014	SecurityTransactions	Purchase ISHARES NASDAQ BIOTECH ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IBB	14	$243.24	-$3,405.38
Phil's Advisory IRA	Settled	4/2/2014	4/7/2014	SecurityTransactions	Purchase VANGUARD CONSUMER STAPLES ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VDC	71	$110.84	-$7,869.53
Phil's Advisory IRA	Settled	4/2/2014	4/7/2014	SecurityTransactions	Purchase VANGUARD MATERIALS ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VAW	27	$107.39	-$2,899.65
Phil's Advisory IRA	Settled	4/2/2014	4/7/2014	SecurityTransactions	Purchase VANGUARD INFORMATION TECH ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VGT	194	$92.78	-$17,998.68
Phil's Advisory IRA	Settled	4/2/2014	4/7/2014	SecurityTransactions	Purchase VANGUARD ENERGY ETF FDS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VDE	91	$129.18	-$11,755.06

Phil's Advisory IRA	Settled	4/2/2014	4/7/2014	SecurityTransactions	Purchase VANGUARD INDUSTRIAL ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VIS	173	$102.16	-$17,672.94
Phil's Advisory IRA	Settled	4/2/2014	4/7/2014	SecurityTransactions	Purchase FIRST TR EXCHANGE TRADED FD DOW JONES IN PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	FDN	37	$60.39	-$2,234.36
Phil's Advisory IRA	Settled	4/2/2014	4/7/2014	SecurityTransactions	Sale DWA TECH LEADERS PORT POWERSHARES ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	PDP	-863	$37.86	$32,673.41
Phil's Advisory IRA	Settled	4/2/2014	4/7/2014	SecurityTransactions	Sale ISHARES U.S. TECHNOLOGY PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IYW	-817	$92.24	$75,360.70
Phil's Advisory IRA	Settled	4/2/2014	4/7/2014	SecurityTransactions	Purchase FIRST TR ISE CLOUD COMP IDX ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	SKYY	82	$27.41	-$2,247.36

Phil's Advisory IRA	Settled	4/2/2014	4/7/2014	SecurityTransactions	Purchase HEALTH CARE SELECT SPDR PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLV	226	$59.07	-$13,349.03
Phil's Advisory IRA	Settled	4/2/2014	4/7/2014	SecurityTransactions	Purchase CONSUMER DISCRETIONARY SPDR PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLY	178	$66.02	-$11,751.65
Phil's Advisory IRA	Settled	4/2/2014	4/7/2014	SecurityTransactions	Sale SECTOR SPDR ENERGY PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLE	-117	$89.62	$10,485.57
Phil's Advisory IRA	Settled		4/1/2014	SecurityTransactions	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $46.11 REINV PRICE $10.10000 QUANTITY BOT 4.5650 AS OF 03/31	TGLMX	4		$0.00
Phil's Advisory IRA	Settled		4/1/2014	SecurityTransactions	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $55.18 REINV PRICE $10.35000 QUANTITY BOT 5.3310 AS OF 03/31	RSFYX	5		$0.00
Phil's Advisory IRA	Settled		4/1/2014	SecurityTransactions	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $75.37 REINV PRICE $6.10000 QUANTITY BOT 12.3560 AS OF 03/31	OIBYX	12		$0.00
Phil's Advisory IRA	Settled		4/1/2014	SecurityTransactions	Divd Reinv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT $21.51 REINV PRICE $10.78000 QUANTITY BOT 1.9950 AS OF 03/31	PTTPX	1		$0.00
Phil's Advisory IRA	Settled		4/1/2014	SecurityTransactions	Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE $10.77	PTTPX	1		$0.00

Phil's Advisory IRA	Settled		3/20/2014	SecurityTransactions	Divd Reinv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $101.92 REINV PRICE $12.81000 QUANTITY BOT 7.9560 AS OF 03/17	TGBAX	7		$0.00
Phil's Advisory IRA	Settled		3/20/2014	SecurityTransactions	Journal Entry TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE $12.81	TGBAX	1		$0.00
Phil's Advisory IRA	Settled	3/18/2014	3/19/2014	SecurityTransactions	Purchase OPPENHEIMER DEVELOPING MARKETS FD CL Y FRAC SHR QUANTITY .809 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	ODVYX	21	$35.86	-$782.07
Phil's Advisory IRA	Settled	3/6/2014	3/11/2014	SecurityTransactions	Purchase CELGENE CORP COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CELG	30	$164.27	-$4,927.98
Phil's Advisory IRA	Settled		3/3/2014	SecurityTransactions	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $46.01 REINV PRICE $10.15000 QUANTITY BOT 4.5330 AS OF 02/28	TGLMX	4		$0.00
Phil's Advisory IRA	Settled		3/3/2014	SecurityTransactions	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $53.10 REINV PRICE $10.36000 QUANTITY BOT 5.1250 AS OF 02/28	RSFYX	5		$0.00
Phil's Advisory IRA	Settled		3/3/2014	SecurityTransactions	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $79.13 REINV PRICE $6.08000 QUANTITY BOT 13.0150 AS OF 02/28	OIBYX	13		$0.00
Phil's Advisory IRA	Settled		3/3/2014	SecurityTransactions	Divd Reinv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT $18.32 REINV PRICE $10.86000 QUANTITY BOT 1.6870 AS OF 02/28	PTTPX	1		$0.00

Phil's Advisory IRA	Settled		3/3/2014	SecurityTransactions	Journal Entry TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE $10.16	TGLMX	1		$0.00
Phil's Advisory IRA	Settled		3/3/2014	SecurityTransactions	Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE $10.88	PTTPX	1		$0.00
Phil's Advisory IRA	Settled	2/21/2014	2/26/2014	SecurityTransactions	Purchase AETNA INC NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AET	83	$70.30	-$5,834.90
Phil's Advisory IRA	Settled	2/21/2014	2/26/2014	SecurityTransactions	Purchase GENERAL MOTORS CO COMMON SHARES PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GM	162	$36.20	-$5,864.08
Phil's Advisory IRA	Settled		2/21/2014	SecurityTransactions	Divd Reinv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $101.11 REINV PRICE $12.84000 QUANTITY BOT 7.8750 AS OF 02/18	TGBAX	7		$0.00
Phil's Advisory IRA	Settled	2/6/2014	2/11/2014	SecurityTransactions	Sale VALERO ENERGY CORP NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VLO	-256	$46.83	$11,987.35
Phil's Advisory IRA	Settled	2/5/2014	2/10/2014	SecurityTransactions	Purchase AETNA INC NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AET	90	$67.10	-$6,039.00

Phil's Advisory IRA	Settled	2/5/2014	2/10/2014	SecurityTransactions	Purchase GENERAL MOTORS CO COMMON SHARES PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GM	173	$35.21	-$6,091.33
Phil's Advisory IRA	Settled	2/3/2014	2/6/2014	SecurityTransactions	Sale GENL DYNAMICS CORP COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GD	-47	$100.80	$4,737.52
Phil's Advisory IRA	Settled	2/3/2014	2/6/2014	SecurityTransactions	Purchase GUGGENHEIM BULLETSHARES 2016 HIGH YIELD CORP BD PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	BSJG	468	$26.98	-$12,626.64
Phil's Advisory IRA	Settled	1/31/2014	2/5/2014	SecurityTransactions	Purchase CELGENE CORP COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CELG	14	$150.98	-$2,113.78
Phil's Advisory IRA	Settled	1/31/2014	2/5/2014	SecurityTransactions	Purchase FLUOR CORP NEW DEL COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	FLR	32	$75.82	-$2,426.25
Phil's Advisory IRA	Settled		2/3/2014	SecurityTransactions	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $57.36 REINV PRICE $10.14000 QUANTITY BOT 5.6570 AS OF 01/31	TGLMX	5		$0.00
Phil's Advisory IRA	Settled		2/3/2014	SecurityTransactions	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $56.71 REINV PRICE $10.36000 QUANTITY BOT 5.4740 AS OF 01/31	RSFYX	5		$0.00

Phil's Advisory IRA	Settled		2/3/2014	SecurityTransactions	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $95.86 REINV PRICE $6.00000 QUANTITY BOT 15.9770 AS OF 01/31	OIBYX	15		$0.00
Phil's Advisory IRA	Settled		2/3/2014	SecurityTransactions	Divd Reinv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT $16.52 REINV PRICE $10.82000 QUANTITY BOT 1.5270 AS OF 01/31	PTTPX	1		$0.00
Phil's Advisory IRA	Settled	1/31/2014	2/3/2014	SecurityTransactions	Purchase CALL VLO 00057.000 VALERO ENERGY CORP NEW EXP 02-07-14 CLOSE TRAN VLO FEB 57.00000	VLO1407B	2	$0.05	-$10.00
Phil's Advisory IRA	Settled	1/29/2014	2/3/2014	SecurityTransactions	Sale EXXON MOBIL CORP COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XOM	-128	$96.00	$12,287.80
Phil's Advisory IRA	Settled		2/3/2014	SecurityTransactions	Journal Entry TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE $10.17	TGLMX	1		$0.00
Phil's Advisory IRA	Settled		2/3/2014	SecurityTransactions	Journal Entry OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE $5.99	OIBYX	1		$0.00
Phil's Advisory IRA	Settled		2/3/2014	SecurityTransactions	Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE $10.85	PTTPX	1		$0.00
Phil's Advisory IRA	Settled	1/23/2014	1/28/2014	SecurityTransactions	Sale BIOGEN IDEC INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	BIIB	-11	$308.00	$3,387.94
Phil's Advisory IRA	Settled	1/23/2014	1/28/2014	SecurityTransactions	Purchase CELGENE CORP COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CELG	30	$167.28	-$5,018.39

Phil's Advisory IRA	Settled	1/23/2014	1/28/2014	SecurityTransactions	Purchase FLUOR CORP NEW DEL COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	FLR	60	$81.94	-$4,916.11
Phil's Advisory IRA	Settled	1/23/2014	1/28/2014	SecurityTransactions	Purchase REGENERON PHARMACTCLS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	REGN	17	$289.71	-$4,925.01
Phil's Advisory IRA	Settled	1/23/2014	1/28/2014	SecurityTransactions	Purchase VERTEX PHARMCTLS INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	VRTX	59	$83.60	-$4,932.24
Phil's Advisory IRA	Settled	1/17/2014	1/23/2014	SecurityTransactions	Sale VALERO ENERGY CORP NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES,. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VLO	-76	$51.50	$3,913.93
Phil's Advisory IRA	Settled	1/21/2014	1/22/2014	SecurityTransactions	Sale CALL VLO 00057.000 VALERO ENERGY CORP NEW EXP 02-07-14 OPEN TRAN VLO FEB 57.00000	VLO1407B	-2	$0.47	$94.77
Phil's Advisory IRA	Settled	1/16/2014	1/22/2014	SecurityTransactions	Sale ISHARES CORE S&P MID-CAP ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IJH	-262	$134.74	$35,301.06
Phil's Advisory IRA	Settled	1/16/2014	1/22/2014	SecurityTransactions	Sale DWA TECH LEADERS PORT POWERSHARES ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	PDP	-721	$36.86	$26,575.82

Phil's Advisory IRA	Settled		1/21/2014	SecurityTransactions	Divd Reinv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $101.49 REINV PRICE $13.03000 QUANTITY BOT 7.7890 AS OF 01/15	TGBAX	7		$0.00
Phil's Advisory IRA	Settled	1/17/2014	1/21/2014	SecurityTransactions	Purchase CALL VLO 00049.000 VALERO ENERGY CORP NEW EXP 01-18-14 CLOSE TRAN VLO JAN 49.00000	VLO1418A	3	$2.81	-$843.00
Phil's Advisory IRA	Settled		1/21/2014	SecurityTransactions	Journal Entry TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE $12.99	TGBAX	1		$0.00
Phil's Advisory IRA	Settled	1/14/2014	1/17/2014	SecurityTransactions	Purchase CITIGROUP INC COM NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	C	110	$54.00	-$5,939.60

Phil's Advisory IRA	Settled	1/16/2014	1/17/2014	SecurityTransactions	Purchase MFS INTERNATIONAL VALUE FUND CL I FRAC SHR QUANTITY .493 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	MINIX	273	$35.04	-$9,583.19
Phil's Advisory IRA	Settled		1/17/2014	SecurityTransactions	Journal Entry MFS INTERNATIONAL VALUE FUND CL I FULL SHARE ACCUM SHARE VALUE $34.95	MINIX	1		$0.00
Phil's Advisory IRA	Settled	1/9/2014	1/14/2014	SecurityTransactions	Purchase CITIGROUP INC COM NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	C	115	$54.95	-$6,319.25
Phil's Advisory IRA	Settled	1/7/2014	1/10/2014	SecurityTransactions	Sale CHESAPEAKE ENERGY OKLA PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CHK	-541	$26.12	$14,131.43
Phil's Advisory IRA	Settled		1/2/2014	SecurityTransactions	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $60.38 REINV PRICE $10.34000 QUANTITY BOT 5.8390 AS OF 12/31	RSFYX	5		$0.00
Phil's Advisory IRA	Settled		1/2/2014	SecurityTransactions	Divd Reinv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT $16.32 REINV PRICE $10.69000 QUANTITY BOT 1.5270 AS OF 12/31	PTTPX	1		$0.00
Phil's Advisory IRA	Settled		1/2/2014	SecurityTransactions	Journal Entry RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE $10.35	RSFYX	1		$0.00

DAVID PHILIP ROE

SCHEDULE 6

Merrill Lynch Wealth Management
Bank of America Corporation

Online at: **www.mymerrill.com** Account Number: **24-Hour Assistance: (800) MERRILL**

MLPF& S CUST FPO
DAVID P ROE IRA
FBO DAVID P ROE
2 N CROSSBOW LN
JOHNSON CITY TN 37604-3639

Net Portfolio Value: $22,040.65

Your Financial Advisor:
SMITH CONKIN HAUSMAN DIXON & ASSOC.
206 PRINCETON RD SUITE NO 1
JOHNSON CITY TN 37601
1-423-282-5191

Phil's IRA

November 29, 2014 - December 31, 2014

ASSETS	*December 31*	*November 28*
Cash/Money Accounts	**14.04**	14.04
Fixed Income	-	-
Equities	-	-
Mutual Funds	**22,026.61**	22,209.23
Options	-	-
Other	-	-
Subtotal (Long Portfolio)	***22,040.65***	*22,223.27*
TOTAL ASSETS	**$22,040.65**	$22,223.27

LIABILITIES		
Debit Balance	-	-
TOTAL LIABILITIES	-	-
NET PORTFOLIO VALUE	**$22,040.65**	$22,223.27

CASH FLOW	*This Statement*	*Year to Date*
Opening Cash/Money Accounts	**$14.04**	
CREDITS		
Funds Received	-	-
Electronic Transfers	-	-
Other Credits	-	53.64
Subtotal	-	*53.64*
DEBITS		
Electronic Transfers	-	-
Other Debits	-	(53.64)
Subtotal	-	*(53.64)*
Net Cash Flow	-	-
Dividends/Interest Income	**2,600.12**	2,600.12
Dividend Reinvestments	**(2,600.12)**	(2,600.12)
Security Purchases/Debits	-	-
Security Sales/Credits	-	-
Closing Cash/Money Accounts	**$14.04**	
Securities You Transferred In/Out	**42.60**	42.60

ACCOUNT INVESTMENT OBJECTIVE

November 29, 2014 - December 31, 2014

TOTAL RETURN: Objective is to strike a balance between current income and growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s).

YOUR RETIREMENT ACCOUNT ASSETS

CASH/MONEY ACCOUNTS *Description*	*Quantity*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Estimated Annual Income*	*Est. Annual Yield%*
CASH	0.04	0.04		**.04**		
+BANK OF AMERICA, NA RASP	14.00	14.00	1.0000	**14.00**		.03
+FDIC INSURED NOT SIPC COVERED						
TOTAL		14.04		**14.04**		

MUTUAL FUNDS/CLOSED END FUNDS/UIT *Description*	*Quantity*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Total Client Investment*	*Cumulative Investment Return ($)*	*Estimated Annual Income*	*Current Yield%*
WELLS FARGO ADV	499	26,928.07	44.1300	**22,020.87**	(4,907.20)	15,808	**6,212**		
ENTERPRISE FD CL A									
SYMBOL: SENAX Initial Purchase: 02/09/00									
Equity 100%									
.1300 Fractional Share		*5.64*	*44.1300*	**5.74**	*.10*				
Subtotal (Equities)				22,026.61					

+

Phil's IRA Account Number:

YOUR RETIREMENT ACCOUNT ASSETS

November 29, 2014 - December 31, 2014

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
TOTAL		26,933.71		**22,026.61**	(4,907.10)		**6,212**		

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.
Cumulative Investment Return: Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.
Initial Purchase: Date of your initial investment in this fund.

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	26,947.75	**22,040.65**	(4,907.10)			

YOUR RETIREMENT ACCOUNT TRANSACTIONS

DIVIDENDS/INTEREST INCOME TRANSACTIONS

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
12/15	* Lg Tm Cap Gain		WELLS FARGO ADV ENTERPRISE FD CL A PAY DATE 12/12/2014		2,600.12	
12/15	Reinvestment		WELLS FARGO ADV ENTERPRISE FD CL A	(2,600.12)		
12/15	Divd Reinv	59	WELLS FARGO ADV ENTERPRISE FD CL A REINV AMOUNT $2600.12 REINV PRICE $43.37000 QUANTITY BOT 59.9520			

+

YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	*Transaction Type*	*Quantity*	*Description*	*Reinvestment*	*Income*	*Income Year To Date*
			AS OF 12/11			
	Subtotal (Tax-Exempt Dividends)				*2,600.12*	*2,600.12*
	NET TOTAL			**(2,600.12)**	**2,600.12**	**2,600.12**

SECURITIES YOU TRANSFERRED IN/OUT

Date	*Description*	*Transaction Type*	*Quantity*	*Value of Securities*	*Year To Date*
12/15	WELLS FARGO ADV ENTERPRISE FD CL A FULL SHARE ACCUM SHARE VALUE $42.60	Journal Entry	1	42.60	
	NET TOTAL			**42.60**	**42.60**

YOUR RETIREMENT ACCOUNT CONTRIBUTIONS AND DISTRIBUTIONS

Year-End Plan Value as of December 31, 2014: $22,040.65 **Contributions after December 31, 2013 for 2013:** $.00

For IRA, IRRA, SEP/IRA, SIMPLE/IRA ROTH IRA and ESA accounts, the Year-End Plan Value represents the valuation we must furnish to you and the Internal Revenue Service as part of the IRS Form 5498 reporting requirements.

Account/Nickname	Activity St	Trade Date	Settle Date	Type	Description	Symbol/Cl	Quantity	Price	Amount
Phil's IRA	Settled		12/15/2014	SecurityTransactions	Divd Reinv WELLS FARGO ADV ENTERPRISE FD CL A AGENT REINV AMOUNT $2600.12 REINV PRICE $43.37000 QUANTITY BOT 59.9520 AS OF 12/11	SENAX	59		$0.00
Phil's IRA	Settled		12/15/2014	SecurityTransactions	Journal Entry WELLS FARGO ADV ENTERPRISE FD CL A FULL SHARE ACCUM SHARE VALUE $42.60	SENAX	1		$0.00
Phil's IRA	Settled		8/29/2014	SecurityTransactions	Journal Entry FIA CARD SVS NA RASP CONVERT TO STOCK RECORD	IIAXX	14		$0.00