Filing ID #10012216

Financial Disclosure Report

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Filer Information

Name: Hon. Daniel Lipinski
Status: Member
State/District: IL03

Filing Information

Filing Type: Amendment Report
Filing Year: 2014
Filing Date: 05/16/2016

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
361 Managed Futures Strat	SP	$15,001 - $50,000	None		☑
401K AETNA INC, COMMON STOCK	SP	$15,001 - $50,000	Tax-Deferred	None	☐
401K FIDELITY CONTRAFUND		$15,001 - $50,000	Tax-Deferred	None	☐
401K FIDELITY LOW PRICE STOCK FUND	SP	None	Tax-Deferred		☐
401K FIDELITY PURITAN FUND		$50,001 - $100,000	Tax-Deferred		☐
401K HARBOR INTERNATIONAL FUND	SP	None	Tax-Deferred		☐
401K Intl Developed Mkt Index Fund	SP	$15,001 - $50,000	Tax-Deferred		☑
401K MARSH & MCLENNAN STOCK FUND	SP	$15,001 - $50,000	Tax-Deferred	None	☐
401K NEUBERGER GENESIS FUND	SP	None	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
401K PIMCO TOTAL RETURN INST'L	SP	None	Tax-Deferred		☐
401K PUTNAM S&P 500 INDEX FUND	SP	None	Tax-Deferred		☐
401K S&P 500 Index Fund Description: PURCHASE	SP	$100,001 - $250,000	Tax-Deferred		☑
401K S&P Midcap Index Fund Description: PURCHASE	SP	$250,001 - $500,000	Tax-Deferred		☑
401K Stable Value Option	SP	$15,001 - $50,000	Tax-Deferred		☑
401K SUMMIT CASH RESERVE	SP	None	Tax-Deferred		☐
401K T ROWE PRICE DIVIDEND GROWTH	SP	None	Tax-Deferred		☐
401K T ROWE PRICE RETIREMENT FUND 2030	SP	None	Tax-Deferred		☐
401K T ROWE PRICE RETIREMENT FUND 2035	SP	None	Tax-Deferred		☐
401K Target Retirement 2035 Fund	SP	$100,001 - $250,000	Tax-Deferred		☑
401K TRP BLUE CHIP GROWTH	SP	None	Tax-Deferred		☐
401K TRP MIDCAP	SP	None	Tax-Deferred		☐
401K US Bond Index fund	SP	$15,001 - $50,000	Tax-Deferred		☑
401K VANGUARD INSTITUTIONAL INDEX	SP	None	Tax-Deferred		☐
401K VANGUARD MIDCAP STOCK INDEX	SP	None	Tax-Deferred		☐
401K VANGUARD PRIME CAP	SP	None	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Aetna Inc. (AET)	SP	$15,001 - $50,000	None		☐
AQR MANAGED FUTURES - INST'L	SP	None	Capital Gains	$2,501 - $5,000	☑
Description: asset sold					
BBH CORE SELECT	SP	$15,001 - $50,000	Capital Gains, Dividends	$201 - $1,000	☐
CALDWELL & ORKIN MARKETT OPP FUND	SP	$15,001 - $50,000	None		☐
COHEN & STEERS PREF SEC INC.-1	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Delaware Healthcare Instl	SP	$1,001 - $15,000	None		☑
E-TRADE BANK DEPOSIT ACCOUNT (CASH)	SP	$1,001 - $15,000	None		☐
FIRST EAGLE GLOBAL	SP	$15,001 - $50,000	Capital Gains, Dividends	$5,001 - $15,000	☑
FIRST NATIONAL BANK OF LAGRANGE	JT	$50,001 - $100,000	None		☐
INVESCO BALANCED - RISK ALLOCATION - Y	SP	$15,001 - $50,000	Capital Gains, Dividends	$1,001 - $2,500	☐
IRA ANGEL OAK MULTI STRAT INCOME	SP	$15,001 - $50,000	Tax-Deferred		☐
IRA COHEN & STEERS PREF	SP	$1,001 - $15,000	Tax-Deferred	None	☐
IRA DOUBLELINE TOTAL RETURN - N	SP	$15,001 - $50,000	Tax-Deferred	None	☐
IRA EATON VANCE FLOATING RATE INST'L	SP	None	Tax-Deferred		☑
IRA GUGGENHEIM MACRO OPP	SP	$15,001 - $50,000	Dividends	$1,001 - $2,500	☑
IRA Guggenheim Macro Opp.	SP	$1,001 - $15,000	Tax-Deferred		☑
Description: PURCHASE					
IRA IRONCLAD MANAGED RISK	SP	$1,001 - $15,000	Tax-Deferred	None	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
IRA Loomis Sayles Gen Floating Rate Y	SP	$15,001 - $50,000	Tax-Deferred		☑
IRA PIMCO ALL ASSET ALL AUTHORITY	SP	$1,001 - $15,000	Tax-Deferred	None	☐
IRA TD BANK USA	SP	$15,001 - $50,000	Tax-Deferred		☐
IRA TEMPLETON GLOBAL BOND ADV	SP	None	Tax-Deferred		☐
IRA VANGUARD 500 INDEX FUND		$1,001 - $15,000	Tax-Deferred	None	☐
IRONCLAD MANAGED RISK	SP	$1,001 - $15,000	Capital Gains	$201 - $1,000	☐
LEUTHOLD CORE	SP	$15,001 - $50,000	Capital Gains, Dividends	$201 - $1,000	☐
MAINSTAY MARKET FIELD	SP	None	Capital Gains	$2,501 - $5,000	☑
Marsh & McLennan Companies, Inc. (MMC)	SP	$50,001 - $100,000	Dividends	$1,001 - $2,500	☐
MB FINANCIAL		$250,001 - $500,000	Interest	$201 - $1,000	☐
METLIFE TCA MONEY MARKET ACCOUNT	SP	$50,001 - $100,000	Interest	$1,001 - $2,500	☐
MetLife, Inc. (MET)	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☐
METROPOLITAN WEST TOTAL RETURN	SP	$15,001 - $50,000	Capital Gains, Dividends	$201 - $1,000	☐
OSTERWEIS STRATEGIC INCOME	SP	None	Dividends, CAPITAL LOSS	$201 - $1,000	☐
PIMCO ALL ASSET ALL AUTHORITY INSTL	SP	$15,001 - $50,000	Dividends, CAPITAL LOSS	None	☐
PIMCO SR. FLOATING	SP	None	Dividends, CAPITAL LOSS	$201 - $1,000	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
DESCRIPTION: SOLD					
RETIREMENT CREF BOND MARKET		$1,001 - $15,000	Tax-Deferred	None	☐
RETIREMENT CREF EQUITY INDEX		$1,001 - $15,000	Tax-Deferred	None	☐
RETIREMENT CREF GROWTH		$1,001 - $15,000	Tax-Deferred	None	☐
RETIREMENT CREF STOCK		$1,001 - $15,000	Tax-Deferred	None	☐
RETIREMENT TIAA TRADITIONAL		$1,001 - $15,000	Tax-Deferred	None	☐
T. Rowe Price Real Estate	SP	$15,001 - $50,000	None		☑
TD BANK USA	SP	$50,001 - $100,000	Interest	$1 - $200	☐
VANGUARD 500		$250,001 - $500,000	Dividends	$5,001 - $15,000	☐
WELLS FARGO	SP	$250,001 - $500,000	Interest	$201 - $1,000	☐

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
361 Managed Futures Strat DESCRIPTION: Purchase	SP	12/17/2014	P	$15,001 - $50,000	
401K Intl Developed Mkt Index Fund DESCRIPTION: company converted funds	SP	09/2/2014	E	$15,001 - $50,000	
401K S&P 500 Index Fund DESCRIPTION: company converted funds	SP	09/2/2014	E	$100,001 - $250,000	
401K S&P Mid Cap Index Fund	SP	09/2/2014	E	$250,001 - $500,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Description: company converted funds					
401K Stable Value Option	SP	09/2/2014	E	$15,001 - $50,000	
Description: company converted funds					
401K Target Retirement 2035 Fund	SP	Quarterly	P	$1,001 - $15,000	
401K Target Retirement 2035 Fund	SP	09/2/2014	E	$100,001 - $250,000	
Description: company converted funds					
401K US Bind Index Fund	SP	09/2/2014	E	$15,001 - $50,000	
Description: company converted funds					
AQR Managed Futures Instl	SP	12/9/2014	S	$15,001 - $50,000	☑
Description: Capital Gains					
BBH Core Select	SP	12/9/2014	S (partial)	$1,001 - $15,000	☑
Cohen & Steers Pref Sec Inc.-1	SP	12/11/2014	P	$1,001 - $15,000	
Delaware Healthcare Instl	SP	12/11/2014	P	$1,001 - $15,000	
Eaton Vance Floating Rate Instl	SP	06/17/2014	P	$1,001 - $15,000	
First Eagle Global	SP	12/9/2014	S (partial)	$15,001 - $50,000	☑
IRA Angel Oak Multi Strat Income	SP	06/17/2014	P	$1,001 - $15,000	
IRA Eaton Floating Rate Instl	SP	12/9/2014	S	$1,001 - $15,000	☐
IRA Eaton Vance Floating Rate Instl	SP	12/9/2014	S	$15,001 - $50,000	☐
IRA Guggenheim Macro Opp.	SP	12/11/2014	P	$1,001 - $15,000	
IRA Loomis Sayles Sen Floating Rate Y	SP	12/11/2014	P	$15,001 - $50,000	
IRA Templeton Global Bon Adv	SP	12/9/2014	S	$15,001 - $50,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Mainstay Market Field	SP	12/9/2014	S	$15,001 - $50,000	☑
Osterweis Strategic Income	SP	12/9/2014	S	$15,001 - $50,000	☐
Pimco All Asset All Authority Instl	SP	12/9/2014	S (partial)	$1,001 - $15,000	☐
Pimco All Asset All Authority Instl	SP	06/17/2014	P	$1,001 - $15,000	
Pimco Sr. Floating	SP	12/9/2014	S	$15,001 - $50,000	☐
T. Rowe Price Real Estate	SP	12/11/2014	P	$15,001 - $50,000	

Schedule C: Earned Income

None disclosed.

Schedule D: Liabilities

None disclosed.

Schedule E: Positions

None disclosed.

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

None disclosed.

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

Certification and Signature

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Daniel Lipinski , 05/16/2016