Filing ID #10005842

Financial Disclosure Report

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Filer Information

Name: Hon. Frank Pallone Jr.
Status: Member
State/District: NJ06

Filing Information

Filing Type: Annual Report
Filing Year: 2014
Filing Date: 05/15/2015

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Chase Bank Account, Long Branch, NJ		$15,001 - $50,000	Interest	$1 - $200	
DC UTMA #1 ⇒ Rose Marie Hospodor IRA Trust	DC	$100,001 - $250,000	Excepted/Blind Trust	$5,001 - $15,000	
DC UTMA #2 ⇒ American Century Mutual Funds Growth Fund Instl (TWGIX)	DC	$1,001 - $15,000	Dividends	$1 - $200	
DC UTMA #2 ⇒ Dodge & Cox Funds International Stock Fund (DODFX)	DC	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	
DC UTMA #2 ⇒ Dreyfus Emerging Markets Fund CL I (DRPEX)	DC	$1,001 - $15,000	Dividends	$1 - $200	
DC UTMA #2 ⇒ Eaton Vance Large Cap Value Fund CL I (EILVX)	DC	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	
DC UTMA #2 ⇒ First Eagle Funds SOGEN Overseas Fund CL I (SGOIX)	DC	$1,001 - $15,000	None		
DC UTMA #2 ⇒	DC	$1,001 - $15,000	Dividends	$1 - $200	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Goldman Sachs Trust Strategic Income Fund Instl (GSZIX)					
DC UTMA #2 ⇒ Harbor Fund Capital Appreciation Fund Instl (HACAX)	DC	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☐
DC UTMA #2 ⇒ Harris Assoc. Investment Trust Oakmark Equity & Income Fund CL I (OAKBX)	DC	$1,001 - $15,000	None		☐
DC UTMA #2 ⇒ Investment Managers SerTr Oak Ridge Small Cap Growth Fund CL Y (ORIYX)	DC	$1,001 - $15,000	Capital Gains	$1 - $200	☐
DC UTMA #2 ⇒ Janus Investment Fund Flexible Bond Fund CL I (JFLEX)	DC	$1,001 - $15,000	Dividends	$1 - $200	☐
DC UTMA #2 ⇒ JP Morgan Core Bond Fund Select (WOBDX)	DC	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	☐
DC UTMA #2 ⇒ PIMCO Funds Pac Investment Management Ser Commodity Real Return Strat FD Instl (PCRIX)	DC	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	☐
DC UTMA #2 ⇒ Rose Marie Hospodor IRA Trust	DC	$250,001 - $500,000	Excepted/Blind Trust	$5,001 - $15,000	☐
DC UTMA #2 ⇒ Victory Portfolios Munder Ser Trust Midcap Core Growth Fund CL Y (MGOYX)	DC	$1,001 - $15,000	None		☐
DC UTMA #2 ⇒ Victory Portfolios Small Co. Oppty FD CL I Shs (VSOIX)	DC	$1,001 - $15,000	Dividends	$1 - $200	☑
DC UTMA #2 ⇒ Virtus Emerging Markets Opportunities Fund CL I (HIEMX)	DC	$1 - $1,000	Dividends	$1 - $200	☐
DC UTMA #2 ⇒ Wells Fargo Bank Deposit Sweep	DC	$1,001 - $15,000	None		☐
DC UTMA #2 ⇒ WT Mutual Fund CRM Mid Cap Value Fund Instl (CRIMX)	DC	$1,001 - $15,000	Capital Gains	$1 - $200	☐
DC UTMA #3 ⇒	DC	$1,001 - $15,000	Capital Gains,	$201 -	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
American Century Mutual Funds Growth Fund Instl (TWGIX)			Dividends	$1,000	
DC UTMA #3 ⇒ Dodge & Cox Funds International Stock Fund (DODFX)	DC	$1,001 - $15,000	None		☐
DC UTMA #3 ⇒ Dreyfus Emerging Markets Fund CL I (DRPEX)	DC	$1,001 - $15,000	Dividends	$1 - $200	☐
DC UTMA #3 ⇒ Eaton Vance Large Cap Value Fund CL I (EILVX)	DC	$1,001 - $15,000	Capital Gains, Dividends	$1,001 - $2,500	☑
DC UTMA #3 ⇒ First Eagle Funds SOGEN Overseas Fund CL I (SGOIX)	DC	$1,001 - $15,000	None		☐
DC UTMA #3 ⇒ Harbor Fund Capital Appreciation Fund Instl (HACAX)	DC	$1,001 - $15,000	Dividends	$201 - $1,000	☐
DC UTMA #3 ⇒ Harris Assoc. Investment Trust Oakmark Equity & Income Fund CL I (OAKBX)	DC	$1,001 - $15,000	None		☐
DC UTMA #3 ⇒ Investment Managers Ser Tr Oak Ridge Small Cap Growth Fund CL Y (ORIYX)	DC	$1,001 - $15,000	None		☐
DC UTMA #3 ⇒ Janus Investment Fund Flexible Bond Fund CL I (JFLEX)	DC	$1,001 - $15,000	Dividends	$1 - $200	☐
DC UTMA #3 ⇒ JP Morgan Core Bond Fund Select (WOBDX)	DC	$1,001 - $15,000	Dividends	$1 - $200	☐
DC UTMA #3 ⇒ Rose Marie Hospodor IRA Trust	DC	$250,001 - $500,000	Excepted/Blind Trust	$15,001 - $50,000	☐
DC UTMA #3 ⇒ Verizon Communications Inc. (VZ)	DC	$1,001 - $15,000	Dividends	$1 - $200	☐
DC UTMA #3 ⇒ Victory Portfolios Munder Ser Trust Midcap Core Growth Fund CL Y (MGOYX)	DC	$1,001 - $15,000	None		☐
DC UTMA #3 ⇒ Victory Portfolios Small Co. Oppty FD CL I Shs (VSOIX)	DC	$1,001 - $15,000	Dividends	$1 - $200	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
DC UTMA #3 ⇒ Wells Fargo - Bank Deposit Sweep	DC	$1,001 - $15,000	Interest	$1 - $200	
DC UTMA #3 ⇒ WT Mutual Fund CRM Mid Cap Value Fund Instl (CRIMX)	DC	$1,001 - $15,000	None		
DC1 ⇒ American Century Mutual Funds Growth Fund Instl (TWGIX)	DC	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	
DC1 ⇒ Artisan Funds Inc. Small Cap Value Fund (ARTVX)	DC	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	
DC1 ⇒ Dodge & Cox Funds International Stock Fund (DODFX)	DC	$1,001 - $15,000	Capital Gains	$1 - $200	
DC1 ⇒ Dreyfus Emerging Markets Fund CL I (DRPEX)	DC	$1,001 - $15,000	Dividends	$1 - $200	
DC1 ⇒ First Eagle Funds SOGEN Overseas Fund CL I (SGOIX)	DC	$1,001 - $15,000	None		
DC1 ⇒ Goldman Sachs Trust Strategic Income Fund Instl (GSZIX)	DC	$1,001 - $15,000	Dividends	$1 - $200	
DC1 ⇒ Harbor Fund Capital Appreciation Fund Instl (HACAX)	DC	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	
DC1 ⇒ Harris Assoc. Investment Trust Oakmark Equity & Income Fund CL I (OAKBX)	DC	$1,001 - $15,000	None		
DC1 ⇒ Investment Managers Ser Tr Oak Ridge Small Cap Growth Fund CL Y (ORIYX)	DC	$1,001 - $15,000	Capital Gains	$1 - $200	
DC1 ⇒ Janus Investment Fund Flexible Bond Fund CL I (JFLEX)	DC	$1,001 - $15,000	Dividends	$1 - $200	
DC1 ⇒ JP Morgan Core Bond Fund Select (WOBDX)	DC	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	
DC1 ⇒ Verizon Communications Inc. (VZ)	DC	$1,001 - $15,000	Dividends	$1 - $200	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
DESCRIPTION: Previously in Rose Marie Hospodor IRA Trust					
DC1 ⇒ Victory Portfolios Munder Ser Trust Midcap Core Growth Fund CL Y (MGOYX)	DC	$1,001 - $15,000	None		
DC1 ⇒ Victory Portfolios Small Co. Oppty FD CL I Shs (VSOIX)	DC	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	
DC1 ⇒ Virtus Emerging Markets Opportunities Fund CL I (HIEMX)	DC	$1,001 - $15,000	Dividends	$1 - $200	
DC1 ⇒ Wells Fargo - Bank Deposit Sweep	DC	$1,001 - $15,000	None		
DC1 ⇒ WT Mutual Fund Crm Mid Cap Value Fund Instl (CRIMX)	DC	$1,001 - $15,000	Capital Gains	$1 - $200	
DC2 ⇒ Verizon Communications Inc. (VZ)	DC	$1,001 - $15,000	Dividends	$1 - $200	
Filer GST Trust ⇒ Federated Kaufmann Fund CL A (KAUAX)		$1,001 - $15,000	None		
Filer GST Trust ⇒ Income Fund of America, Inc. CL A (AMECX)		$1,001 - $15,000	Dividends	$201 - $1,000	
Filer GST Trust ⇒ Nuveen Municipal Trust High Yield Municipal Bond Fund CL A (NHMAX)		$1,001 - $15,000	Interest	$201 - $1,000	
Filer GST Trust ⇒ Vanguard Municipal Bond Fund Limited Term Tax-Exempt Portfolio (VMLTX)		$15,001 - $50,000	None		
Filer Roth IRA ⇒ Franklin Dyna Tech CL A (FKDNA)		$1,001 - $15,000	Capital Gains	$1 - $200	
Filer Roth IRA ⇒ Wells Fargo Advantage Funds Traditional Small Cap Growth Fund CL A (EGWAX)		$1,001 - $15,000	Capital Gains	$201 - $1,000	
Joint Acct ⇒ Wells Fargo - Bank Deposit Sweep		$15,001 - $50,000	Interest	$1 - $200	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Residential Real Estate, M. Pallone Estate (half interest) Location: Long Branch, NJ, US		$250,001 - $500,000	None		☐
Spouse GST Trust #1 ⇒ AAM High 50 Div Strategy Pt Ser 2014	SP	None	Dividends	$5,001 - $15,000	☑
Spouse GST Trust #1 ⇒ Advisors Asset Management High 50 Dividends Strategic Portfolio (AAMDQX) Description: Advisors Asset Management High 50 Dividends Strategic Portfolio Ser 2013-1Q Cash IAAMDQX)	SP	None	Capital Gains	$5,001 - $15,000	☑
Spouse GST Trust #1 ⇒ Altria Group, Inc. (MO)	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Spouse GST Trust #1 ⇒ Chevron Corporation (CVX)	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Spouse GST Trust #1 ⇒ Citigroup, Inc. (C)	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
Spouse GST Trust #1 ⇒ Dominion Resources, Inc. (D)	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Spouse GST Trust #1 ⇒ Kraft Foods Group, Inc. (KRFT)	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Spouse GST Trust #1 ⇒ Mondelez International, Inc. - Class A (MDLZ)	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
Spouse GST Trust #1 ⇒ Nuveen Municipal Trust High Yield Municipal Bond Fund CL A (NHMAX)	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Spouse GST Trust #1 ⇒ Philip Morris International Inc (PM)	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Spouse GST Trust #1 ⇒ Vanguard Municipal Bond Fund Limited Term Tax-Exempt Portfolio (VMLTX)	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Spouse GST Trust #1 ⇒ Verizon Communications Inc. (VZ)	SP	$15,001 - $50,000	Dividends	$1,001 - $2,500	☐
Spouse GST Trust #1 ⇒ Wells Fargo Bank Deposit Sweep	SP	$50,001 - $100,000	Interest	$1 - $200	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Spouse GST Trust #2 ⇒ Energy Select Sector SPDR (XLE)	SP	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	☐
Spouse GST Trust #2 ⇒ Financial Select Sector SPDR (XLF)	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
Spouse GST Trust #2 ⇒ Industrial Select Sector SPDR (XLI)	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
Spouse GST Trust #2 ⇒ iShares Barclays 1-3 Year Credit Bond Fund (CSJ)	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
Spouse GST Trust #2 ⇒ iShares Barclays 3-7 Year Treasury Bond Fund (IEI)	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
Spouse GST Trust #2 ⇒ iShares Barclays 7-10 Year Treasury Bond Fund (IEF)	SP	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	☐
Spouse GST Trust #2 ⇒ iShares Barclays Intermediate Credit Bond Fund (CIU)	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
Spouse GST Trust #2 ⇒ iShares Barclays MBS Bond Fund (MBB)	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
Spouse GST Trust #2 ⇒ iShares Core S&P Mid-Cap Fund (IJH)	SP	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☐
Spouse GST Trust #2 ⇒ iShares Core S&P Small-Cap 600 Index Fund (IJR)	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
Spouse GST Trust #2 ⇒ iShares IBOXX Investment Grade Corporate Bond Fund (LQD)	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☑
Spouse GST Trust #2 ⇒ iShares JP Morgan Emerging Markets Bond Fund (EMB)	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
Spouse GST Trust #2 ⇒ iShares MSCI Germany (EWG)	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
Spouse GST Trust #2 ⇒ iShares MSCI Mexico Capped Fund (EWW)	SP	$1,001 - $15,000	Dividends	$1 - $200	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Spouse GST Trust #2 ⇒ iShares S&P North American Technology Networking Index Fund (IGN)	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
Spouse GST Trust #2 ⇒ iShares US Preferred Stock (PFF)	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
Spouse GST Trust #2 ⇒ PowerShares Emerging Markets Soverign Debt (PCY)	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
Spouse GST Trust #2 ⇒ Select Sector SPDR Fund Consumer Discretionary (XLY)	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
Spouse GST Trust #2 ⇒ Select Sector SPDR Fund Health Care Fund (XLV)	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
Spouse GST Trust #2 ⇒ Select Sector SPDR Trust Consumer Staples Fund (XLP)	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
Spouse GST Trust #2 ⇒ SPDR Barclays Capital High Yield Bond Fund (JNK)	SP	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☐
Spouse GST Trust #2 ⇒ SPDR Barclays Capital International Treasury Bond Fund (BWX)	SP	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	☐
Spouse GST Trust #2 ⇒ SPDR Euro STOXX 50 (FEZ)	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
Spouse GST Trust #2 ⇒ SPDR S&P 500 Trust (SPY)	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
Spouse GST Trust #2 ⇒ SPDR S&P Bank Fund (KBE)	SP	None	Capital Gains	$201 - $1,000	☑
Spouse GST Trust #2 ⇒ SPDR S&P Retail Fund (XRT)	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
Spouse GST Trust #2 ⇒ Vanguard FTSE Developed Markets (VEA)	SP	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	☐
Spouse GST Trust #2 ⇒ Vanguard Information Technology Fund (VGT)	SP	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	☐
Spouse GST Trust #2 ⇒	SP	$1,001 - $15,000	Dividends	$1 - $200	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Vanguard Intl Equity Index Fds Emerging Markets (VWO)					
Spouse GST Trust #2 ⇒ Vanguard REIT Fund (VNQ)	SP	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	☐
Spouse GST Trust #2 ⇒ Vanguard Total Bond Market (BND)	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
Spouse GST Trust #2 ⇒ Wells Fargo Bank Sweep Account	SP	$1,001 - $15,000	None		☐
Spouse GST Trust #3 ⇒ Russell Emerging Markets Fund CL S (REMSX)	SP	$50,001 - $100,000	Capital Gains, Dividends	$1,001 - $2,500	☑
Spouse GST Trust #3 ⇒ Russell Global Equity Fund CL S (RGESX)	SP	$50,001 - $100,000	Capital Gains, Dividends	$2,501 - $5,000	☑
Spouse GST Trust #3 ⇒ Russell International Developed Markets Fund CL S (RINTX)	SP	$50,001 - $100,000	Capital Gains, Dividends	$2,501 - $5,000	☑
Spouse GST Trust #3 ⇒ Russell Investment Co Commodity Strategies Fund CL S (RCCSX)	SP	$1,001 - $15,000	None		☑
Spouse GST Trust #3 ⇒ Russell Investment Co Global Infrastructure Fund CL S (RGISX)	SP	$15,001 - $50,000	Capital Gains, Dividends	$1,001 - $2,500	☑
Spouse GST Trust #3 ⇒ Russell Investment Co Global Opportunisties Credit Fund CL S (RGCSX)	SP	$15,001 - $50,000	Capital Gains, Dividends	$1,001 - $2,500	☑
Spouse GST Trust #3 ⇒ Russell Investment Co Global Real Estate Sec Fund CL S (RRESX)	SP	$15,001 - $50,000	Capital Gains, Dividends	$201 - $1,000	☑
Spouse GST Trust #3 ⇒ Russell Investment Co Multi-Strategy Alternative Fund CL S (RMSSX)	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☑
Spouse GST Trust #3 ⇒ Russell Investment Co US Strategic Equity Fund CL S (RSESX)	SP	$100,001 - $250,000	Capital Gains, Dividends	$5,001 - $15,000	☑
Spouse GST Trust #3 ⇒	SP	$100,001 -	Dividends	$2,501 -	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Russell Strategic Bond Fund CL S (RFCTX)		$250,000		$5,000	
Spouse GST Trust #3 ⇒ Russell US Small Cap Equity Fund CL S (RLESX)	SP	$50,001 - $100,000	Capital Gains, Dividends	$201 - $1,000	☑
Spouse IRA ⇒ Advisors Inner Circle Fund Cambiar Opportunity Fund Instl (CAMWX)	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
Spouse IRA ⇒ AIM Investment Sec Funds Invesco Investment Secs Funds Global Real Estate Fund CLY (ARGYX)	SP	$1,001 - $15,000	None		☐
Spouse IRA ⇒ AMG Funds Timesquare Mid-Cap Growth Fund Premier (TMDPX)	SP	$1,001 - $15,000	None		☐
Spouse IRA ⇒ Blair Williams Funds International Growth Fund CL I (BIGIX)	SP	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	☐
Spouse IRA ⇒ Delaware Group Equity Funds II - Value Fund Instl (DDVIX)	SP	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☐
Spouse IRA ⇒ Dreyfus Appreciation Investor Fund, Inc. (DGAGX)	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Spouse IRA ⇒ Eagle Small Cap Growth Fund CL I (HSIIX)	SP	$1,001 - $15,000	None		☐
Spouse IRA ⇒ Estee Lauder Companies, Inc. (EL)	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Spouse IRA ⇒ First Eagle Funds SOGEN Overseas Fund CL I (SGOIX)	SP	$1,001 - $15,000	Capital Gains	$201 - $1,000	☐
Spouse IRA ⇒ General Electric Company (GE)	SP	$15,001 - $50,000	Dividends	$1,001 - $2,500	☐
Spouse IRA ⇒ Goldman Sachs Trust Financial Square Treasury Instruments Fund Instl (FTIXX)	SP	$1,001 - $15,000	None		☑
Spouse IRA ⇒ Guggenheim Fds Tr Mid-Cap Value Ser CL A (SEVAX)	SP	$1,001 - $15,000	Capital Gains	$201 - $1,000	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Spouse IRA ⇒ Hancock John Capital Ser Classic Value Fund CL I (JCVIX)	SP	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☐
Spouse IRA ⇒ Harbor Fund International Fund Instl (HAINX)	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Spouse IRA ⇒ Hotchkis & Wiley Funds Mid-Cap Value Fund CL I (HWMIX)	SP	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☐
Spouse IRA ⇒ Keeley Funds Inc. Small Cap Value Fund CL I (KSCIX)	SP	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☐
Spouse IRA ⇒ Lazard Funds Inc. Emerging Markets Portfolio Instl Shares Fund (LZEMX)	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
Spouse IRA ⇒ Mainstay Large Cap Growth Cap Growth Fund CL I (MLAIX)	SP	$1,001 - $15,000	Capital Gains	$1,001 - $2,500	☐
Spouse IRA ⇒ Morgan Stanley Instl Fund Mid-Cap Growth Portfolio Fund CL I (MPEGX)	SP	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	☐
Spouse IRA ⇒ Oppenheimer Developing Markets CL Y (ODVYX)	SP	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☑
Spouse IRA ⇒ PIMCO Funds Pac Investment Management Ser Commodity Real Return Strat FD Instl (PCRIX)	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
Spouse IRA ⇒ Texas Instruments Incorporated (TXN)	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Spouse IRA ⇒ Touchstone Strategic Large Cap Growth Fund CL Y (TIQIX)	SP	None	Capital Gains	$201 - $1,000	☑
Spouse IRA ⇒ Unilever PLC (UL)	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Spouse IRA ⇒ Victory Portfolios Small Co Opportunities Fund CL I (VSOIX)	SP	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Spouse IRA ⇒ Voya Ser Fd Inc Small Co Oppty Fd (ILCIX)	SP	$1,001 - $15,000	Dividends	$1 - $200	✓
Spouse IRA ⇒ Walt Disney Company (DIS)	SP	$15,001 - $50,000	Dividends	$201 - $1,000	
Spouse IRA ⇒ Wells Fargo Funds Trust Emerging Growth Fund Instl (WEMIX)	SP	$1,001 - $15,000	Capital Gains	$201 - $1,000	
Spouse Roth IRA ⇒ Franklin Dyna Tech CL A (FKDNX)	SP	$1,001 - $15,000	Capital Gains	$1 - $200	
Spouse Roth IRA ⇒ Wells Fargo Advantage Funds Traditional Small Cap Growth CL A (EGWAX)	SP	$1,001 - $15,000	None		

* Asset class details available at the bottom of this form.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
DC UTMA #2 ⇒ Victory Portfolios Small Co. Oppty FD CL I Shs (VSOIX)	DC	08/28/2014	P	$1,001 - $15,000	
DC UTMA #3 ⇒ Eaton Vance Large Cap Value Fund Class I (EILVX)	DC	06/25/2014	S (partial)	$1,001 - $15,000	✓
DC UTMA #3 ⇒ Russell Invt Co Global Infrastructure FD CL S (RGISX)	DC	04/11/2014	S (partial)	$1,001 - $15,000	✓
Filer GST Trust ⇒ Lord Abbett Investment TR Short Duration Incm (LDLFX)		08/4/2014	S	$1,001 - $15,000	
Spouse GST Trust #1 ⇒ AAM High 50 Div Strategy Pt Ser 2014	SP	06/12/2014	P	$100,001 - $250,000	
Spouse GST Trust #1 ⇒ Advisors Asset Mgmt High 50 Dividends Strategic Portfolio (AAMDQX)	SP	06/11/2014	S	$100,001 - $250,000	✓
Spouse GST Trust #2 ⇒	SP	03/18/2014	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
iShares Barclays 3-7 Year Treasury Bond Fund (IEI)					
Spouse GST Trust #2 ⇒ iShares IBOXX Investment Grade Corp BD Fund (LQD)	SP	06/9/2014	S (partial)	$1,001 - $15,000	☐
Spouse GST Trust #2 ⇒ iShares MSCI Japan Fund	SP	02/13/2014	P	$1,001 - $15,000	
Spouse GST Trust #2 ⇒ iShares MSCI Japan Fund	SP	04/30/2014	S	$1,001 - $15,000	☐
Spouse GST Trust #2 ⇒ iShares US Preferred Stock (PFF)	SP	03/18/2014	P	$1,001 - $15,000	
Spouse GST Trust #2 ⇒ PowerShares Senior Loan Portfolio (BKLN)	SP	03/18/2014	S	$1,001 - $15,000	☐
Spouse GST Trust #2 ⇒ SPDR S&P 500 Trust (XRT)	SP	11/21/2014	P	$1,001 - $15,000	
Spouse GST Trust #2 ⇒ SPDR S&P Bank (KBE)	SP	12/10/2014	S (partial)	$1,001 - $15,000	☑
Spouse GST Trust #3 ⇒ Russell Emerging Markets CL S (REMSX)	SP	01/3/2014	P	$15,001 - $50,000	
Spouse GST Trust #3 ⇒ Russell Emerging Markets CL S (REMSX)	SP	07/11/2014	S (partial)	$1,001 - $15,000	☐
Spouse GST Trust #3 ⇒ Russell Glbl Eqty Class S (RGESX)	SP	01/31/2004	S (partial)	$1,001 - $15,000	☑
Spouse GST Trust #3 ⇒ Russell Invt Co U. S. Strategic Equity Fd (RSESX)	SP	01/3/2014	S (partial)	$1,001 - $15,000	☑
Spouse GST Trust #3 ⇒ Russell Invt Co U. S. Strategic Equity Fd (RSESX)	SP	01/10/2014	S (partial)	$1,001 - $15,000	☑
Spouse GST Trust #3 ⇒ Russell Invt Co Commodity Strategies (RCCSX)	SP	01/3/2014	S (partial)	$1,001 - $15,000	☐
Spouse GST Trust #3 ⇒ Russell Invt Co Global Infrastructure FD CL S (RGISX)	SP	01/3/2014	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Spouse GST Trust #3 ⇒ Russell Invt Co Global Opportunities CR Fund CL S (RGCSX)	SP	01/3/2014	P	$15,001 - $50,000	
Spouse GST Trust #3 ⇒ Russell Invt Co Global Real Estate Secs Fund CL S (RRESX)	SP	01/3/2014	P	$1,001 - $15,000	
Spouse GST Trust #3 ⇒ Russell Invt Co Multi-Strategy Alternative FD CL S (RMSSX)	SP	01/3/2014	P	$1,001 - $15,000	
Spouse GST Trust #3 ⇒ Russell Itnl Develpd Mkts Fd Class S (RINTX)	SP	01/31/2004	S (partial)	$15,001 - $50,000	☑
Spouse GST Trust #3 ⇒ Russell Strategic Bond Class S (RFCTX)	SP	01/3/2014	S (partial)	$1,001 - $15,000	☐
Spouse GST Trust #3 ⇒ Russell Strategic Bond Class S (RFCTX)	SP	01/3/2014	S (partial)	$1,001 - $15,000	☐
Spouse GST Trust #3 ⇒ Russell Strategic Bond Class S (RFCTX)	SP	10/10/2014	S (partial)	$1,001 - $15,000	☐
Spouse GST Trust #3 ⇒ Russell US Small Cap Equity Fund CL S (RLESX)	SP	01/3/2014	P	$15,001 - $50,000	
Spouse IRA ⇒ Goldman Sachs TR Finl Square Treas Instrs Fd Instl Cl (FTIXX)	SP	09/23/2014	P	$1,001 - $15,000	
Spouse IRA ⇒ Lazard Funds Inc Emerging Markets Port Instl Shs (LZEMX)	SP	09/23/2014	P	$1,001 - $15,000	
Spouse IRA ⇒ Oppenheimer Dev Mkts CL Y (ODVYX)	SP	09/23/2014	P	$1,001 - $15,000	
Spouse IRA ⇒ Touchstone Strategic Large Cap Growth Fund CL Y (TIQIX)	SP	09/22/2014	S	$1,001 - $15,000	☑
Spouse IRA ⇒ Voya Ser Fd Inc Small Co Oppty Fd (ILCIX)	SP	09/22/2014	P	$1,001 - $15,000	

* Asset class details available at the bottom of this form.

Schedule C: Earned Income

Source	Type	Amount
Amplify Public Affairs	Spouse Salary	N/A

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Congressional Federal Credit Union	September 2007	Personal Line of Credit	$15,001 - $50,000
JT	Citi Mortgage Inc., San Antonio, TX	February 2011	Mortgage on Real Estate, Washington, DC	$100,001 - $250,000
JT	CitiBank, St. Louis, MO	February 2008	Home Equity Loan on Real Estate, Washington, DC	$250,001 - $500,000
	Wells Fargo Bank, Long Branch, NJ	December 2006	M. Pallone Estate. Mortgage on Real Estate, Long Branch, NJ, held by life estate tenant	$15,001 - $50,000

Schedule E: Positions

None disclosed.

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

None disclosed.

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- DC UTMA #1 (Owner: DC)
- DC UTMA #2 (Owner: DC)
- DC UTMA #3 (Owner: DC)

- DC1 (Owner: DC)
  Location: US
- DC2 (Owner: DC)
  Location: US
- Filer GST Trust
- Filer Roth IRA
- Joint Acct
  Location: US
- Spouse GST Trust #1 (Owner: SP)
- Spouse GST Trust #2 (Owner: SP)
- Spouse GST Trust #3 (Owner: SP)
- Spouse IRA (Owner: SP)
- Spouse Roth IRA (Owner: SP)

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?
( ) Yes (•) No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
(•) Yes ( ) No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?
( ) Yes (•) No

Certification and Signature

[x] I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Frank Pallone Jr., 05/15/2015