UNITED STATES HOUSE OF REPRESENTATIVES

CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

FORM A — For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2013 JUL -3 PM 2:40

(Office Use Only)

MC

Louis J. Barletta
(Full Name)

(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: PA, District: 11	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "vested" status (e.g., solicitor or trust of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $300 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $300 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

IPO--	Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Yes ☐	No ☑
Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes ☐	No ☑

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Louis J. Barletta

	BLOCK A **Asset and/or Income Source**	BLOCK B **Year-End Value of Asset**	BLOCK C **Type of Income**	BLOCK D **Amount of Income**	BLOCK E **Transaction**
JT	322 Rocky Road Hazleton PA	$500,001 - $1,000,000	RENT	$50,001 - $100,000	
JT	Cash- Goldman Sachs Bank USA	$15,001 - $50,000	INTEREST	$1 - $200	
JT	Altria Group Inc	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
JT	Apple Inc	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
JT	BCE Inc	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
JT	ConocoPhillips	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

JT	Google Inc	$1,001 - $15,000	None	NONE	
JT	International Business Machines Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	S(part)
JT	Linn Energy LLC	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
JT	McDonalds Corp	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
JT	Market Vectors Mortgage REIT	None	DIVIDENDS	$1,001 - $2,500	S
JT	Market Vectors Preferred Stock ETF	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P, S(part)
JT	Proshares Ultrashort 20+ Yrs Treasury ETF	$1,001 - $15,000	None	NONE	
JT	SPDR Series Trust S&P Dividend ETF	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	S(part)
JT	Vanguard Mega Cap 300 Growth ETF	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
JT	Wisdomtree Dividend EX Financials ETF	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
JT	American Realty Capital Trust Inc	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
JT	Cash- Goldman Sachs Bank USA	$15,001 - $50,000	INTEREST	$1 - $200	
JT	American Electric Power Company Inc	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
JT	Dunkin Brands GRP Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

JT	Ishares Gold Trust	$15,001 - $50,000	None	NONE	P
JT	Leucadia National Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Linn Energy LLC	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
JT	Verizon Communications Inc	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
JT	Alps ETF Trust Alerian MLP ETF	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P, S(part)
JT	First Trust Morningstar Dividend Leaders Index	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
JT	Powershares Trust II S&P 500 Low Volatility ETF	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	S(part)
JT	Proshares Ultrashort 20+ Yrs Treasury ETF	$1,001 - $15,000	None	NONE	P
JT	SPDR Series Trust S&P Dividend ETF	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
JT	Vanguard Mega Cap 300 Growth ETF	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
JT	Wisdomtree Dividend EX Financials ETF	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
JT	Kinder Morgan Energy Partners	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
JT	Market Vectors Mortgage REIT	None	DIVIDENDS	$1,001 - $2,500	S
JT	Cash- Morgan Stanley Bank N.A.	$1,001 - $15,000	INTEREST	$1 - $200	

SP	IRA- Cash- LPL Financial	$1,001 - $15,000	INTEREST	NONE	
SP	IRA- Linn Energy LLC	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	IRA- Alps Alerian MLP ETF	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	IRA- Market Vectors Preferred Securities EX Financials ETF	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
SP	IRA- SPDR Series Trust	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	IRA- Wells Fargo Advantage Utilities & High Income Fund	$1 - $1,000	DIVIDENDS	$1 - $200	
SP	IRA- Western Asset High Yield Defined Opportunity Fund Inc	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	IRA- Cash- LPL Financial	$1,001 - $15,000	INTEREST	$1 - $200	
	IRA- Intrepid Potash Inc	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	IRA- Ishares MSCI Brazil Index ETF	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
	IRA- Market Vectors Preferred Securities EX Financials ETF	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
	IRA- SPDR Series Trust S&P Dividend ETF	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
	IRA- Vanguard Mega Cap 300 Growth ETF	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	IRA- Western Asset High Yield Defined Opportunity Fund Inc	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Louis J. Bartolla

	IRA- Wisdomtree Dividend EX Financials ETF	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	P
	IRA- Lazard Emerging Markets	$1,001 - $15,000	None	NONE	
	IRA- Lazard Mid Cap Equity	$1,001 - $15,000	None	NONE	
	IRA- MCM Nasdaq 25	$15,001 - $50,000	None	NONE	
	IRA- Eastspring Inv China-India	$1,001 - $15,000	None	NONE	
	IRA- MCM JNL 5	$15,001 - $50,000	None	NONE	
	IRA- American Global Bond	$1,001 - $15,000	None	NONE	P
	IRA- PPM America Float Rate Income	$15,001 - $50,000	None	NONE	P
	IRA- PPM American High Yield Bond	$1,001 - $15,000	None	NONE	
	IRA- MCM Small Cap Index	$1,001 - $15,000	None	NONE	
	IRA- BlackRock Comm Securities	$1,001 - $15,000	None	NONE	
	IRA- MCM Oil & Gas Sector	$1,001 - $15,000	None	NONE	
	IRA- Red Rocks Listed Prvt Eqt	$1,001 - $15,000	None	NONE	
	IRA- Jackson S&P 4	$1,001 - $15,000	None	NONE	

	IRA- Jackson S&P Dividend Income	$1,001 - $15,000	None	NONE	
	IRA- Lazard Emerging Markets	$15,001 - $50,000	None	NONE	
	IRA- Lazard Mid Cap Equity	$1,001 - $15,000	None	NONE	
	IRA- MCM Nasdaq 25	$15,001 - $50,000	None	NONE	
	IRA- Eastspring Inv China-India	$1,001 - $15,000	None	NONE	
	IRA- MCM JNL 5	$15,001 - $50,000	None	NONE	
	IRA- American Global Bond	$1,001 - $15,000	None	NONE	P
	IRA- PPM America Float Rate Income	$15,001 - $50,000	None	NONE	P
	IRA- PPM America High Yield Bond	$15,001 - $50,000	None	NONE	
	IRA- MCM Small Cap Index	$1,001 - $15,000	None	NONE	
	IRA- Blackrock Comm Securities	$1,001 - $15,000	None	NONE	
	IRA- MCM Oil & Gas Sector	$1,001 - $15,000	None	NONE	
	IRA- Red Rocks Listed Prvt Eqt	$1,001 - $15,000	None	NONE	
	IRA- Jackson S&P 4	$1,001 - $15,000	None	NONE	

	IRA- Jackson S&P Dividend Income	$1,001 - $15,000	None	NONE	
SP	IRA- Lazard Emerging Markets	$1,001 - $15,000	None	NONE	
SP	IRA- Lazard Mid Cap Equity	$1,001 - $15,000	None	NONE	
SP	IRA- MCM Nasdaq 25	$1,001 - $15,000	None	NONE	
SP	IRA- Eastspring Inv China-India	$1,001 - $15,000	None	NONE	
SP	IRA- MCM JNL 5	$1,001 - $15,000	None	NONE	
SP	IRA- American Global Bond	$1,001 - $15,000	None	NONE	P
SP	IRA- PPM America Float Rate Income	$15,001 - $50,000	None	NONE	P
SP	IRA- PPM America High Yield Bond	$1,001 - $15,000	None	NONE	
SP	IRA- MCM Small Cap Index	$1,001 - $15,000	None	NONE	
SP	IRA- BlackRock Comm Securities	$1,001 - $15,000	None	NONE	
SP	IRA- MCM Financial Sector	$1,001 - $15,000	None	NONE	
SP	IRA- Red Rocks Listed Prvt Eqt	$1,001 - $15,000	None	NONE	
SP	IRA- Jackson S&P 4	$1,001 - $15,000	None	NONE	

SP	IRA- Jackson Dividend Income	$1,001 - $15,000	None	NONE	
JT	Alps Alerian MLP ETF	None	CAPITAL GAINS	$201 - $1,000	
JT	Cabot Oil & Gas Corp	None	CAPITAL GAINS	$201 - $1,000	
JT	Cabot Oil & Gas Corp	None	CAPITAL GAINS	$1 - $200	
JT	Caterpillar Inc.	None	CAPITAL GAINS	$2,501 - $5,000	
JT	Cincinnati Financial Corp	None	CAPITAL GAINS	$1,001 - $2,500	
JT	Du Pont E.I.DE Nemour & Co	None	CAPITAL GAINS	$1,001 - $2,500	
JT	Intl Business Machs Corp	None	CAPITAL GAINS	$201 - $1,000	
JT	Ishares High Dividend Equity	None	CAPITAL GAINS	$201 - $1,000	
JT	Market Vectors Mortgage REIT Income	None	CAPITAL GAINS	$1,001 - $2,500	
JT	Philip Morris Intl Inc	None	CAPITAL GAINS	$1,001 - $2,500	
JT	Polaris Industries Inc	None	CAPITAL GAINS	$2,501 - $5,000	
JT	Realty Income Corp	None	CAPITAL GAINS	$201 - $1,000	
JT	Realty Income Corp	None	CAPITAL GAINS	$1,001 - $2,500	

JT	Sandridge Energy Inc	None	CAPITAL GAINS	$1 - $200	
JT	Tractor Supply Company	None	CAPITAL GAINS	$2,501 - $5,000	
JT	Seadrill Ltd	None	CAPITAL GAINS	$1,001 - $2,500	
JT	NXP Semiconductors	None	CAPITAL GAINS	$1,001 - $2,500	
JT	NXP Semiconductors	None	CAPITAL GAINS	$1,001 - $2,500	
JT	Nucor Corp	None	CAPITAL GAINS	$201 - $1,000	
JT	Powershares Financial Preferred ETF	None	CAPITAL GAINS	$2,501 - $5,000	
JT	SPDR S&P Dividend ETF	None	CAPITAL GAINS	$201 - $1,000	
JT	AT&T Inc	None	CAPITAL GAINS	$201 - $1,000	
JT	AT&T Inc	None	CAPITAL GAINS	$1,001 - $2,500	
JT	Clean Energy Fuels Corp	None	CAPITAL GAINS	$1 - $200	
JT	Clean Energy Fuels Corp	None	CAPITAL GAINS	$201 - $1,000	
JT	Google Inc	None	CAPITAL GAINS	$201 - $1,000	
JT	Google Inc	None	CAPITAL GAINS	$1,001 - $2,500	

JT	Market Vector Retail ETF	None	CAPITAL GAINS	$1,001 - $2,500	
JT	Market Vector Retail ETF	None	CAPITAL GAINS	$1,001 - $2,500	
JT	Market Vector Mortgage REIT ETF	None	CAPITAL GAINS	$201 - $1,000	
JT	Powershares S&P 500 Low Volatility ETF	None	CAPITAL GAINS	$201 - $1,000	
JT	Schlumberger Ltd	None	CAPITAL GAINS	$1,001 - $2,500	
JT	Utilities Select Sector SPDR ETF	None	CAPITAL GAINS	$1,001 - $2,500	
JT	Titanium Metals Corp New	None	CAPITAL GAINS	$201 - $1,000	
JT	Titanium Metals Corp New	None	CAPITAL GAINS	$201 - $1,000	
JT	PPL Corp	None	CAPITAL GAINS	$1,001 - $2,500	
JT	Ishares Canada Index ETF	None	CAPITAL GAINS	$1 - $200	
	LB, LLC- Edgewood in the Pines Golf Course (Investment in Make It Better, LP)	$100,001 - $250,000	None	NONE	
JT	1110 Balsag Lane, Ft Myers FL	$15,001 - $50,000	INTEREST	$201 - $1,000	S(part)

SCHEDULE IV - TRANSACTIONS

Name Louis J. Bartella

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded $1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — If a sales transaction resulted in a capital gain in excess of $200, check the "capital gains" box and disclose this income on Schedule III.

* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of $200?	Date	Amount of Transaction
JT	LPL Financial 6259-1107 (see attached Schedule 1)	P	N/A	Various	$100,001 - $250,000
JT	LPL Financial 6259-1107 (see attached Schedule 1)	S(part)	Yes	Various	$250,001 - $500,000
JT	LPL Financial 1545-0715 (see attached Schedule 2)	P	N/A	Various	$100,001 - $250,000
JT	LPL Financial 1545-0715 (see attached Schedule 2)	S(part)	Yes	Various	$100,001 - $250,000
	IRA- T. Rowe Price S-T Bond	S	No	10-12-12	$15,001 - $50,000
	IRA- American Global Bond	P	N/A	10-12-12	$1,001 - $15,000
	IRA- PPM America Float Rate Income	P	N/A	10-12-12	$15,001 - $50,000
	IRA- T. Rowe Price S-T Bond	S	No	10-12-12	$15,001 - $50,000
	IRA- American Global Bond	P	N/A	10-12-12	$1,001 - $15,000
	IRA- PPM America Float Rate Income	P	N/A	10-12-12	$15,001 - $50,000
SP	IRA- T. Rowe Price S-T Bond	S	No	10-12-12	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name Louis J. Barletta

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Capital Gains — If a sales transaction resulted in a capital gain in excess of $200, check the "capital gains" box and disclose this income on Schedule III.
* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of $200?	Date	Amount of Transaction
SP	IRA- American Global Bond	P	N/A	10-12-12	$1,001 - $15,000
SP	IRA- PPM America Float Rate Income	P	N/A	10-12-12	$15,001 - $50,000
SP	IRA- Market Vectors Preferred Securities EX Financials ETF	P	N/A	08-30-12	$1,001 - $15,000
SP	IRA- Altria Group Inc	S	No	09-29-12	$1,001 - $15,000
SP	IRA- PPL Corp	S	No	08-30-12	$1,001 - $15,000
SP	IRA- Unilever	S	No	08-01-12	$1,001 - $15,000
SP	IRA- Oppenheimer Main Str Cap Fund	S	No	01-20-12	$1,001 - $15,000
SP	IRA- Powershares ETF Fund	S	No	08-30-12	$1,001 - $15,000
	IRA- Intrepid Potash Inc	P	N/A	03-02-12	$1,001 - $15,000
	IRA- Ishares MSCI Brazil Index ETF	P	N/A	09-19-12	$15,001 - $50,000
	IRA- Market Vectors Preferred Securities EX Financials ETF	P	N/A	08-30-12	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name Louis J. Barletta

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Capital Gains — If a sales transaction resulted in a capital gain in excess of $200, check the "capital gains" box and disclose this income on Schedule III.
* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of $200?	Date	Amount of Transaction
	IRA- Vanguard Mega Cap 300 Growth ETF	P	N/A	11-29-12	$1,001 - $15,000
	IRA- Wisdomtree Dividend EX Financials ETF	P	N/A	11-29-12	$1,001 - $15,000
	IRA- CVS Caremark Corp	S	No	02-09-12	$1,001 - $15,000
	IRA- Google Inc Class A	S	No	08-07-12	$1,001 - $15,000
	IRA- International Business Machines Corp	S(part)	No	03-05-12	$1,001 - $15,000
	IRA- International Business Machines Corp	S	No	09-25-12	$1,001 - $15,000
	Merck & Company Inc	S(part)	No	03-05-12	$1,001 - $15,000
	Merck & Company Inc	S	No	09-25-12	$1,001 - $15,000
	Seadrill Limited	S	No	02-14-12	$1,001 - $15,000
	Blackrock Funds II Strategic Income Opportunities	S	No	02-10-12	$15,001 - $50,000
	Powershares ETF Fund Senior Loan Port	S	No	09-19-12	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Louis J. Barletta

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded $1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — If a sales transaction resulted in a capital gain in excess of $200, check the "capital gains" box and disclose this income on Schedule III.

* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of $200?	Date	Amount of Transaction
	Sector SPDR Trust SBI Industrial	S	No	06-27-12	$1,001 - $15,000
	SPDR Series Trust S&P Oil & Gas Exploration & Production ETF	S	No	06-01-12	$1,001 - $15,000
	LB, LLC- Edgewood in the Pines Golf Course (Investment in Make It Better, LP)	P	N/A	04-12-12	$100,001 - $250,000
	Energy Transfer Partners LP	P	N/A	01-01-12	$1,001 - $15,000
	Energy Transfer Partners LP	S	No	12-31-12	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Louis J. Barletta

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000. *This column is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
JT	First National Bank	December 2003	Mortgage on 322 Rocky Road Hazleton PA	$100,001 - $250,000
JT	Wells Fargo	December 2003	Line of credit, secured by 322 Rocky Road, Hazleton, PA	$50,001 - $100,000
JT	Wells Fargo	May 2004	Line of credit, secured by Bethany Beach, DE vacation residence	$250,001 - $500,000
JT	Wells Fargo	March 2005	Line of credit, secured by Bethany Beach, DE vacation residence	$50,001 - $100,000
JT	Wells Fargo	November 2003	Mortgage on 1529 Terrace Blvd. Hazleton, PA	$250,001 - $500,000
JT	Wells Fargo	May 2007	Mortgage on Bethany Beach, DE vacation residence	$1,000,001 - $5,000,000
JT	Bank of America	September 2011	Mortgage on 461 Carleton Avenue Hazleton, PA	$50,001 - $100,000

SCHEDULE VIII - POSITIONS

Name Louis J. Bartolta

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member	National Board of Advisers- Federation for American Immigration Reform
Member	Elderwish Foundation
Member	LB, LLC

1099

Account Number:

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

The proceeds stated on this page are reported net of option premiums. However, option premiums are built into the gain or loss amounts computed below. If you have securities sold because of the exercise of an option granted or acquired before 2013, we have included option premium information as a separate column on this page, to help you reconcile to the gain/loss amount shown on this statement. Should you elect to report net of option premiums, you must adjust your gain/loss amounts on your Form 8949.

SECURITY DESCRIPTION (BOX 6)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[1]	WASH SALE LOSS DISALLOWED[2] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
SHORT-TERM CAPITAL GAINS OR LOSSES - ASSETS HELD ONE YEAR OR LESS (BOX 1C)										
COVERED (BOX 6B): FORM 8949 (BOX A) WITH BASIS REPORTED TO THE IRS										
ALPS ALERIAN MLP ETF QTY (BOX 1E): 2000.000	00162Q566	VARIOUS	04/10/12	32,619.26	32,036.68	582.58	0.00	0.00	0.00	
CABOT OIL & GAS CORP QTY (BOX 1E): 100.000	127097103	12/21/11	05/18/12	3,417.92	3,855.48	(437.56)	0.00	0.00	0.00	
CABOT OIL & GAS CORP QTY (BOX 1E): 100.000	127097103	12/21/11	05/18/12	3,418.02	3,855.48	(437.46)	0.00	0.00	0.00	
CABOT OIL & GAS CORP QTY (BOX 1E): 200.000	127097103	01/11/12	06/26/12	7,399.83	6,989.99	409.84	0.00	0.00	0.00	
CABOT OIL & GAS CORP QTY (BOX 1E): 100.000	127097103	12/21/11	07/23/12	3,933.41	3,855.47	77.94	0.00	0.00	0.00	
CATERPILLAR INC QTY (BOX 1E): 200.000	149123101	08/15/11	03/26/12	20,741.73	18,239.84	2,501.89	0.00	0.00	0.00	
CINCINNATI FINL CORP QTY (BOX 1E): 250.000	172062101	08/10/11	02/03/12	8,304.84	6,000.00	2,304.84	0.00	0.00	0.00	
DU PONT E.I.DE NEMOUR&CO QTY (BOX 1E): 200.000	263534109	12/22/11	09/25/12	10,119.77	9,111.52	1,008.25	0.00	0.00	0.00	
INTL BUSINESS MACHS CORP QTY (BOX 1E): 50.000	459200101	10/17/11	09/25/12	10,267.77	9,302.14	965.63	0.00	0.00	0.00	
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	01/27/12	12/31/12	16,320.83	16,871.75	(550.92)	0.00	0.00	0.00	
ISHS HI DIV EQ ETF QTY (BOX 1E): 200.000	464296663	07/06/11	03/06/12	11,219.81	10,570.00	649.81	0.00	0.00	0.00	
KELLOGG COMPANY QTY (BOX 1E): 250.000	487836108	03/06/12	06/08/12	12,122.47	13,104.75	(982.28)	0.00	0.00	0.00	

[1] These securities are not covered under the 1st and 2nd phases of the Cost Basis accounting legislations passed by Congress on October 3, 2008. Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to the 2012 1099 Tax Guide online for more information on covered securities.

[2] Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.

[3] The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

[4] Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2012 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2012 WHFIT Guide online for details.

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Account Number:

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[1]	WASH SALE LOSS DISALLOWED[2] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
COVERED (BOX 6B); FORM 8949 (BOX A) WITH BASIS REPORTED TO THE IRS, continued										
MKT VCTR MTG REIT ETF QTY (BOX 1E): 1000.000	57060U324	01/20/12	10/15/12	25,729.42	24,189.95	1,539.47	0.00	0.00	0.00	
PHILIP MORRIS INTL INC QTY (BOX 1E): 100.000	718172109	01/06/12	09/25/12	9,034.79	7,703.90	1,330.89	0.00	0.00	0.00	
PHILLIPS 66 QTY (BOX 1E): 100.000	718546104	01/06/12	05/30/12	3,053.94	3,332.94	(279.00)	0.00	0.00	0.00	
POLARIS INDUSTRIES INC QTY (BOX 1E): 200.000	731068102	07/29/11	04/04/12	14,409.69	11,765.56	2,644.13	0.00	0.00	0.00	
REALTY INCOME CORP QTY (BOX 1E): 100.000	756109104	10/26/11	05/23/12	3,840.35	3,319.79	520.56	0.00	0.00	0.00	
REALTY INCOME CORP QTY (BOX 1E): 200.000	756109104	10/26/11	05/30/12	7,862.58	6,832.43	1,030.15	0.00	0.00	0.00	
SANDRIDGE ENERGY INC QTY (BOX 1E): 200.000	80007P307	05/30/12	09/25/12	1,410.24	1,251.98	158.26	0.00	0.00	0.00	
SANDRIDGE ENERGY INC QTY (BOX 1E): 1800.000	80007P307	VARIOUS	09/25/12	12,691.64	14,187.82	(1,496.18)	0.00	0.00	0.00	
TRACTOR SUPPLY COMPANY QTY (BOX 1E): 100.000	892356106	07/29/11	04/04/12	9,206.80	6,531.70	2,675.10	0.00	0.00	0.00	
UNILEVER N V NY SHS NEW QTY (BOX 1E): 750.000	904784709	VARIOUS	04/10/12	24,411.95	24,614.83	(202.88)	0.00	0.00	0.00	
SEADRILL LTD QTY (BOX 1E): 200.000	G7945E105	08/16/11	02/14/12	7,833.86	6,081.00	1,752.86	0.00	0.00	0.00	
NXP SEMICONDUCTORS N V QTY (BOX 1E): 250.000	N6596X109	05/18/12	09/11/12	5,947.36	4,904.50	1,042.86	0.00	0.00	0.00	
NXP SEMICONDUCTORS N V QTY (BOX 1E): 250.000	N6596X109	05/18/12	09/25/12	6,052.39	4,904.50	1,147.89	0.00	0.00	0.00	
TOTAL SHORT-TERM COVERED				271,170.67	253,214.00	17,956.67	0.00	0.00	0.00	

[1] These securities are not covered under the 1st and 2nd phases of the Cost Basis accounting legislations passed by Congress on October 3, 2008. Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to the 2012 1099 Tax Guide online for more information on covered securities.

[2] Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.

[3] The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

[4] Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2012 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2012 WHFIT Guide online for details.

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Account Number: (

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[1]	WASH SALE LOSS DISALLOWED[2] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
NON-COVERED[1](BOX 6A): FORM 8949 (BOX B) WITH BASIS NOT REPORTED TO THE IRS										
AMERICAN REALTY CAP TR QTY (BOX 1E): 500.000	02917L101	03/07/12	09/06/12	6,094.91	5,092.48	1,002.43	0.00	0.00	0.00	
ENERGY TRANSFR PRTNRS LP QTY (BOX 1E): 250.000	29273R109	02/16/12	12/31/12	10,609.79	12,164.74	(1,554.95)	0.00	0.00	0.00	
TOTAL SHORT-TERM NON-COVERED				16,704.70	17,257.22	(552.52)	0.00	0.00	0.00	
LONG-TERM CAPITAL GAINS OR LOSSES - ASSETS HELD MORE THAN ONE YEAR (BOX 1C)										
NON-COVERED[1](BOX 6A): FORM 8949 (BOX B) WITH BASIS NOT REPORTED TO THE IRS										
MARKWEST ENRGY PRTNRS LP QTY (BOX 1E): 100.000	570759100	12/13/11	12/31/12	5,027.88	5,500.00	(472.12)	0.00	0.00	0.00	
MARKWEST ENRGY PRTNRS LP QTY (BOX 1E): 50.000	570759100	12/13/11	12/31/12	2,512.94	2,750.00	(237.06)	0.00	0.00	0.00	
MARKWEST ENRGY PRTNRS LP QTY (BOX 1E): 100.000	570759100	12/13/11	12/31/12	5,024.88	5,500.00	(475.12)	0.00	0.00	0.00	
NUCOR CORP QTY (BOX 1E): 100.000	670346105	10/06/10	04/04/12	4,207.95	3,994.99	212.96	0.00	0.00	0.00	
PWRSH FINL PFD ETF QTY (BOX 1E): 1500.000	73935X229	VARIOUS	03/06/12	26,549.67	21,578.59	4,971.08	0.00	0.00	0.00	
SPDR S&P DIVIDEND ETF QTY (BOX 1E): 100.000	78464A763	03/24/10	04/10/12	5,478.87	4,903.53	575.34	0.00	0.00	0.00	
TOTAL LONG-TERM NON-COVERED				48,802.19	44,227.11	4,575.08	0.00	0.00	0.00	
TOTAL SALE TRADES OR EXCHANGES (BOX 2A)				336,877.56	314,898.33	21,979.23	0.00	0.00	0.00	

[1] These securities are not covered under the 1st and 2nd phases of the Cost Basis accounting legislations passed by Congress on October 3, 2008. Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to the 2012 1099 Tax Guide online for more information on covered securities.

[2] Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.

[3] The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

[4] Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2012 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units h[illegible]er 1 year are disposed. Please refer to the 2012 WHFIT Guide online for details.

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Account Number:

DETAILS - PRINCIPAL PAYMENTS FROM NON-MORTGAGE WIDELY HELD FIXED INVESTMENT TRUSTS

The issuers for the payments below include Unit Investment Trusts, Holding Company Depositary Receipts Trusts, Commodity Trusts and Royalty Trusts, which are treated as grantor trusts and fall under WHFIT regulations, as defined by § 1.671-5. The information provided to you in this section was obtained from the issuer, its trustee or an agent of the issuer. Some or all of a distribution paid as late as March 2013 may be recognized as income for the 2012 tax year.

With these trusts, sales are reported by when the trust sells the assets within its portfolio. In some cases, proceeds from these sales were used to pay the trust's expenses, which may have resulted in no distributions paid to you. Expenses and other adjustments associated with these assets can be found in the Non-Federally Reported Items section, which may be deductible on your tax return on Schedules A or E (if applicable) or may impact your cost basis on Schedule D. Please review this information with your tax advisor.

SECURITY DESCRIPTION (BOX 9)	CUSIP	DATE[1] (BOX 1A)	PROCEEDS AMOUNT (BOX 2)	TRANSACTION TYPE	COST BASIS ALLOCATION FACTOR[2] (IF APPLICABLE)
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	02/29/12	3.30	PRINCIPAL	0.000198
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	03/30/12	3.49	PRINCIPAL	0.000213
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	04/30/12	3.30	PRINCIPAL	0.000203
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	05/31/12	3.32	PRINCIPAL	0.000212
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	06/29/12	3.16	PRINCIPAL	0.000203
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	07/31/12	3.27	PRINCIPAL	0.000211
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	08/31/12	3.28	PRINCIPAL	0.000198
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	09/28/12	3.26	PRINCIPAL	0.000193
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	10/31/12	3.56	PRINCIPAL	0.000213
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	11/30/12	3.38	PRINCIPAL	0.000201
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	12/31/12	3.45	PRINCIPAL	0.000210
TOTAL PRINCIPAL PAYMENTS FROM NON-MORTGAGE WIDELY HELD FIXED INVESTMENT TRUSTS (BOX 2A)			38.87	40.00	

[1] Date: Proceeds from WHFITS are reportable by when they are received by the trust, regardless of when they are paid to you.

[2] Cost Basis Allocation Factor: This factor represents the ratio of assets sold divided by the total net asset value of the trust, and tells you what portion of your current basis in the trust is to be apportioned to the proceeds for purchase [illegible]. This factor allows you to calculate your new adjusted basis [illegible]

NON- EDERALLY REPORTED ITEMS ECTION

Account Number:

DETAILS - NON-FEDERALLY REPORTED ITEMS

A Form Schedule K-1 should be mailed to you directly from the General Partner, reporting your pro rata share of the partnership's taxable income. Although the partnership generally is not subject to income tax, you are liable for tax on your share of partnership income, whether or not distributed. Please use the Schedule K-1 to include your share of income on your tax return. The information on this statement only represents cash distributions you received from the partnership, but is not classified as taxable or dividend income, and is instead considered a return of capital (adjustment to your basis).

This section lists non-federally reported items, which may be useful when preparing your tax return. These items may or may not be taxable at the state or local level. Please review this information with your tax adviser.

If you have OID shortfall listed, this calculation is based on the period you held these assets with LPL Financial during tax year 2012. Please consult with your tax professional to determine if an adjustment may be required.

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	OPTION PREMIUM	COLLECTIBLES ALLOCATION FACTOR (CAF)	NFR BOX
ENERGY TRANSFR PRTNRS LP	29273R109	05/15/12	PARTNERSHIP DIST	250.000	0.00	223.44			8
ENERGY TRANSFR PRTNRS LP	29273R109	08/14/12	PARTNERSHIP DIST	250.000	0.00	223.44			8
ENERGY TRANSFR PRTNRS LP	29273R109	11/15/12	PARTNERSHIP DIST	250.000	0.00	223.44			8
SUBTOTAL						670.32			
ISHS GOLD TRUST ETF	464285105	02/29/12	INV EXP-PROCEEDS	1,000.000	0.00	3.30			7
ISHS GOLD TRUST ETF	464285105	03/30/12	INV EXP-PROCEEDS	1,000.000	0.00	3.48			7
ISHS GOLD TRUST ETF	464285105	04/30/12	INV EXP-PROCEEDS	1,000.000	0.00	3.30			7
ISHS GOLD TRUST ETF	464285105	05/31/12	INV EXP-PROCEEDS	1,000.000	0.00	3.32			7
ISHS GOLD TRUST ETF	464285105	06/29/12	INV EXP-PROCEEDS	1,000.000	0.00	3.16			7
ISHS GOLD TRUST ETF	464285105	07/31/12	INV EXP-PROCEEDS	1,000.000	0.00	3.27			7
ISHS GOLD TRUST ETF	464285105	08/31/12	INV EXP-PROCEEDS	1,000.000	0.00	3.28			7
ISHS GOLD TRUST ETF	464285105	09/28/12	INV EXP-PROCEEDS	1,000.000	0.00	3.36			7
ISHS GOLD TRUST ETF	464285105	10/31/12	INV EXP-PROCEEDS	1,000.000	0.00	3.56			7
ISHS GOLD TRUST ETF	464285105	11/30/12	INV EXP-PROCEEDS	1,000.000	0.00	3.38			7
ISHS GOLD TRUST ETF	464285105	12/31/12	INV EXP-PROCEEDS	1,000.000	0.00	3.45			7
SUBTOTAL						36.87			
LINN ENERGY LLC UNIT	536020100	02/14/12	PARTNERSHIP DIST	200.000	0.00	138.00			8
LINN ENERGY LLC UNIT	536020100	05/15/12	PARTNERSHIP DIST	200.000	0.00	145.00			8
LINN ENERGY LLC UNIT	536020100	08/14/12	PARTNERSHIP DIST	200.000	0.00	145.00			8

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Account Number:

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

The proceeds stated on this page are reported net of option premiums. However, option premiums are built into the gain or loss amounts computed below. If you have securities sold because of the exercise of an option granted or acquired before 2013, we have included option premium information as a separate column on this page, to help you reconcile to the gain/loss amount shown on this statement. Should you elect to report net of option premiums, you must adjust your gain/loss amounts on your Form 8949.

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[1]	WASH SALE LOSS DISALLOWED[2] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
SHORT-TERM CAPITAL GAINS OR LOSSES - ASSETS HELD ONE YEAR OR LESS (BOX 1C)										
COVERED (BOX 6B): FORM 8949 (BOX A) WITH BASIS REPORTED TO THE IRS										
ALPS ALERIAN MLP ETF QTY (BOX 1E): 1000.000	00162Q866	11/29/12	12/31/12	15,809.64	16,318.50	(508.86)	0.00	0.00	0.00	
AT&T INC QTY (BOX 1E): 50.000	00206R102	01/06/12	08/08/12	1,858.06	1,482.38	375.68	0.00	0.00	0.00	
AT&T INC QTY (BOX 1E): 200.000	00206R102	01/06/12	08/08/12	7,432.03	5,929.52	1,502.51	0.00	0.00	0.00	
BRCLY S&P 500 VIX ETN QTY (BOX 1E): 2500.000	06740C261	VARIOUS	09/14/12	21,849.76	31,162.50	(9,312.74)	0.00	0.00	0.00	
CLEAN ENERGY FUELS CORP QTY (BOX 1E): 100.000	184499101	10/26/11	09/25/12	1,315.97	1,130.96	185.01	0.00	0.00	0.00	
CLEAN ENERGY FUELS CORP QTY (BOX 1E): 150.000	184499101	10/26/11	09/25/12	1,973.97	1,696.44	277.53	0.00	0.00	0.00	
GOOGLE INC CL A QTY (BOX 1E): 10.000	38259P508	08/16/11	07/31/12	6,331.15	5,490.70	840.45	0.00	0.00	0.00	
GOOGLE INC CL A QTY (BOX 1E): 15.000	38259P508	08/16/11	08/07/12	9,613.43	8,236.05	1,377.38	0.00	0.00	0.00	
MRKT VCTR RETAIL ETF QTY (BOX 1E): 300.000	57060U225	10/25/11	06/28/12	12,345.32	11,115.96	1,229.36	0.00	0.00	0.00	
MRKT VCTR RETAIL ETF QTY (BOX 1E): 300.000	57060U225	10/25/11	06/28/12	12,293.87	11,115.96	1,177.91	0.00	0.00	0.00	
MKT VCTR MTG REIT ETF QTY (BOX 1E): 1000.000	57060U324	02/02/12	10/15/12	25,709.42	24,889.90	819.52	0.00	0.00	0.00	
PWRSH S&P500 LW VLTY ETF QTY (BOX 1E): 200.000	73937B779	11/09/11	06/01/12	5,520.07	4,850.00	670.07	0.00	0.00	0.00	

[1] These securities are not covered under the 1st and 2nd phases of the Cost Basis accounting legislations passed by Congress on October 3, 2008. Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to the 2012 1099 Tax Guide online for more information on covered securities.

[2] Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.

[3] The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

[4] Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2012 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2012 WHFIT Guide online for details.

NON-FEDERALLY REPORTED ITEMS SECTION, continued

Account Number

DETAILS - NON-FEDERALLY REPORTED ITEMS

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	OPTION PREMIUM	COLLECTIBLES ALLOCATION FACTOR (CAF)	NFR BOX
KELLOGG COMPANY	487836108	03/09/12	PURCHASE	250.000	52.42	13,104.75			11
MKT VCTR MTG REIT ETF	57060U324	01/20/12	PURCHASE	500.000	24.18	12,089.95			11
MKT VCTR MTG REIT ETF	57060U324	01/20/12	PURCHASE	500.000	24.20	12,100.00			11
SUBTOTAL						24,189.95			
MKT VCTR PFD EX FINL ETF	57061R791	09/06/12	PURCHASE	395.000	20.56	8,121.20			11
MCDONALDS CORP	580135101	06/08/12	PURCHASE	100.000	87.71	8,771.40			11
PHILIP MORRIS INTL INC	718172109	01/06/12	PURCHASE	100.000	77.04	7,703.90			11
PROSH ULTST 20+ TRES ETF	74347R297	05/30/12	PURCHASE	500.000	15.80	7,899.50			11
SANDRIDGE ENERGY INC	80007P307	01/05/12	PURCHASE	1,000.000	8.68	8,688.90			11
SANDRIDGE ENERGY INC	80007P307	02/03/12	PURCHASE	500.000	7.24	3,619.95			11
SANDRIDGE ENERGY INC	80007P307	05/30/12	PURCHASE	500.000	6.26	3,129.95			11
SUBTOTAL						15,439.80			
UNILEVER N V NY SHS NEW	904784709	01/11/12	PURCHASE	250.000	32.82	8,204.98			11
VNGRD MEGA CP 300 GR ETF	921910816	10/17/12	PURCHASE	200.000	57.22	11,444.50			11
WSDMTR DIV EX FINLS ETF	97717W406	10/17/12	PURCHASE	200.000	57.50	11,499.60			11
NXP SEMICONDUCTORS N V	N6596X109	05/18/12	PURCHASE	500.000	19.62	9,809.00			11

NON-FEDERALLY REPORTED ITEMS SECTION, continued

Account Number:

DETAILS - NON-FEDERALLY REPORTED ITEMS

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	OPTION PREMIUM	COLLECTIBLES ALLOCATION FACTOR (CAF)	NFR BOX
LINN ENERGY LLC UNIT	536020100	11/14/12	PARTNERSHIP DIST	200.000	0.00	145.00			5
SUBTOTAL						573.00			
MARKWEST ENRGY PRTNRS LP	570759100	02/14/12	PARTNERSHIP DIST	250.000	0.00	190.00			8
MARKWEST ENRGY PRTNRS LP	570759100	05/15/12	PARTNERSHIP DIST	250.000	0.00	197.50			8
MARKWEST ENRGY PRTNRS LP	570759100	08/14/12	PARTNERSHIP DIST	250.000	0.00	200.00			8
MARKWEST ENRGY PRTNRS LP	570759100	11/14/12	PARTNERSHIP DIST	250.000	0.00	202.50			8
SUBTOTAL						790.00			
ADVISORY FEE	MNGT FEES	03/05/12	MGT ACCT FEES	0.000	0.00	798.36			7
ADVISORY FEE	MNGT FEES	06/05/12	MGT ACCT FEES	0.000	0.00	435.85			7
ADVISORY FEE	MNGT FEES	09/10/12	MGT ACCT FEES	0.000	0.00	570.46			7
ADVISORY FEE	MNGT FEES	12/07/12	MGT ACCT FEES	0.000	0.00	487.00			7
SUBTOTAL						2,291.47			
PURCHASES									
ALPS ALERIAN MLP ETF	00162Q866	01/25/12	PURCHASE	1,000.000	16.88	16,879.90			11
AMERICAN REALTY CAP TR	02917L101	03/07/12	PURCHASE	1,000.000	10.50	10,500.00			11
APPLE INC	037833100	10/02/12	PURCHASE	25.000	659.26	16,481.50			11
BCE INC NEW	05534B760	03/09/12	PURCHASE	250.000	41.82	10,454.98			11
CABOT OIL & GAS CORP	127097103	01/11/12	PURCHASE	100.000	69.90	6,989.99			11
CONOCOPHILLIPS	20825C104	01/06/12	PURCHASE	200.000	72.74	14,547.96			11
ENERGY TRANSFR PRTNRS LP	29273R109	02/16/12	PURCHASE	202.000	48.66	9,829.30			11
ENERGY TRANSFR PRTNRS LP	29273R109	02/16/12	PURCHASE	48.000	48.66	2,335.44			11
SUBTOTAL						12,164.74			
ISHS GOLD TRUST ETF	464285105	01/27/12	PURCHASE	600.000	16.91	10,144.62			11
ISHS GOLD TRUST ETF	464285105	01/27/12	PURCHASE	400.000	16.91	6,764.00			11
SUBTOTAL						16,908.62			

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Account Number: 1

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 5)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
COVERED (BOX 6B): FORM 8949 (BOX A) WITH BASIS REPORTED TO THE IRS, continued										
SCHLUMBERGER LTD QTY (BOX 1E): 100.000	806857108	09/30/11	07/31/12	7,162.93	6,035.70	1,127.23	0.00	0.00	0.00	
UTILS SEL SECT SPDR ETF QTY (BOX 1E): 500.000	81369Y886	09/06/11	06/20/12	18,460.93	16,449.00	2,011.93	0.00	0.00	0.00	
TITANIUM METALS CORP NEW QTY (BOX 1E): 100.000	888339207	06/27/12	09/25/12	1,294.19	1,074.70	219.49	0.00	0.00	0.00	
TITANIUM METALS CORP NEW QTY (BOX 1E): 400.000	888339207	06/27/12	09/25/12	5,176.26	4,298.80	877.46	0.00	0.00	0.00	
TOTAL SHORT-TERM COVERED				154,247.02	151,377.07	2,869.95	0.00	0.00	0.00	
NON-COVERED[1] (BOX 6A): FORM 8949 (BOX B) WITH BASIS NOT REPORTED TO THE IRS										
CORANO ENERGY LLC QTY (BOX 1E): 250.000	217202100	08/16/11	05/10/12	8,179.84	8,286.83	(106.99)	0.00	0.00	0.00	
TOTAL SHORT-TERM NON-COVERED				8,179.84	8,286.83	(106.99)	0.00	0.00	0.00	
LONG-TERM CAPITAL GAINS OR LOSSES - ASSETS HELD MORE THAN ONE YEAR (BOX 1C)										
COVERED (BOX 6B): FORM 8949 (BOX A) WITH BASIS REPORTED TO THE IRS										
PPL CORP QTY (BOX 1E): 500.000	69351T106	08/16/11	08/30/12	14,681.02	13,354.00	1,327.02	0.00	0.00	0.00	
TOTAL LONG-TERM COVERED				14,681.02	13,354.00	1,327.02	0.00	0.00	0.00	
NON-COVERED[1] (BOX 6A): FORM 8949 (BOX B) WITH BASIS NOT REPORTED TO THE IRS										
ISHS CANADA INDX ETF QTY (BOX 1E): 300.000	464286509	VARIOUS	01/06/12	8,052.68	8,011.88	40.80	0.00	0.00	0.00	
TOTAL LONG-TERM NON-COVERED				8,052.68	8,011.88	40.80	0.00	0.00	0.00	

[1] These securities are not covered under the 1st and 2nd phases of the Cost Basis accounting legislations passed by Congress on October 3, 2008. Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to the 2012 1099 Tax Guide online for more information on covered securities.

[2] Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.

[3] The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

[4] Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2012 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units [illegible] over 1 year are disposed. Please refer to the 2012 WHFIT Guide online for details.

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Account Number:

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
NON-COVERED[1](BOX 8A): FORM 8949 (BOX B) WITH BASIS NOT REPORTED TO THE IRS, continued										
TOTAL SALE TRADES OR EXCHANGES (BOX 2A)				165,160.54	161,029.76	4,130.78	0.00	0.00	0.00	

[1] These securities are not covered under the 1st and 2nd phases of the Cost Basis accounting legislations passed by Congress on October 3, 2008. Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to the 2012 1099 Tax Guide online for more information on covered securities.

[2] Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.

[3] The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

[4] Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2012 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2012 WHFIT Guide online for details.

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Account Number:

DETAILS - PRINCIPAL PAYMENTS FROM NON-MORTGAGE WIDELY HELD FIXED INVESTMENT TRUSTS

The issuers for the payments below include Unit Investment Trusts, Holding Company Depositary Receipts Trusts, Commodity Trusts and Royalty Trusts, which are treated as grantor trusts and fall under WHFIT regulations, as defined by § 1.671-5. The information provided to you in this section was obtained from the issuer, its trustee or an agent of the issuer. Some or all of a distribution paid as late as March 2013 may be recognized as income for the 2012 tax year.

With these trusts, sales are reported by when the trust sells the assets within its portfolio. In some cases, proceeds from these sales were used to pay the trust's expenses, which may have resulted in no distributions paid to you. Expenses and other adjustments associated with these assets can be found in the Non-Federally Reported Items section, which may be deductible on your tax return on Schedules A or E (if applicable) or may impact your cost basis on Schedule D. Please review this information with your tax advisor.

SECURITY DESCRIPTION (BOX 9)	CUSIP	DATE[1] (BOX 1A)	PROCEEDS AMOUNT (BOX 2)	TRANSACTION TYPE	COST BASIS ALLOCATION FACTOR[2] (IF APPLICABLE)
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	01/31/12	3.33	PRINCIPAL	0.000196
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	02/29/12	3.30	PRINCIPAL	0.000196
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	03/30/12	3.49	PRINCIPAL	0.000213
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	04/30/12	3.30	PRINCIPAL	0.000203
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	05/31/12	3.32	PRINCIPAL	0.000212
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	06/29/12	3.16	PRINCIPAL	0.000203
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	07/31/12	3.27	PRINCIPAL	0.000211
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	08/31/12	3.28	PRINCIPAL	0.000196
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	09/28/12	3.36	PRINCIPAL	0.000193
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	10/31/12	3.56	PRINCIPAL	0.000213
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	11/30/12	3.38	PRINCIPAL	0.000201
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	12/31/12	3.45	PRINCIPAL	0.000210
TOTAL PRINCIPAL PAYMENTS FROM NON-MORTGAGE WIDELY HELD FIXED INVESTMENT TRUSTS (BOX 2A)			40.28		0.00

[1] Date: Proceeds from WHFITS are reportable by when they are received by the trust, regardless of when they are paid to you.

[2] Cost Basis Allocation Factor: This factor represents the ratio of assets sold divided by the total net asset value of the trust, and tells you what portion of your current basis in the trust is to be applied to the proceeds for gain reporting. This factor allows you to calculate your new adjusted basis. Under § 1.671-5, LPL Financial is required to provide you this ratio for your adjusted basis calculation.

NON EDERALLY REPORTED ITEMS ECTION

Account Number:

DETAILS - NON-FEDERALLY REPORTED ITEMS

A Form Schedule K-1 should be mailed to you directly from the General Partner, reporting your pro rata share of the partnership's taxable income. Although the partnership generally is not subject to income tax, you are liable for tax on your share of partnership income, whether or not distributed. Please use the Schedule K-1 to include your share of income on your tax return. The information on this statement only represents cash distributions you received from the partnership, but is not classified as taxable or dividend income, and is instead considered a return of capital (adjustment to your basis).

This section lists non-federally reported items, which may be useful when preparing your tax return. These items may or may not be taxable at the state or local level. Please review this information with your tax advisor.

If you have OID shortfall listed, this calculation is based on the period you held these assets with LPL Financial during tax year 2012. Please consult with your tax professional to determine if an adjustment may be required.

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	OPTION PREMIUM	COLLECTIBLES ALLOCATION FACTOR (CAF)	NFR BOX
COPANO ENERGY LLC	217202100	02/09/12	PARTNERSHIP DIST	250.000	0.00	143.75			8
COPANO ENERGY LLC	217202100	05/10/12	PARTNERSHIP DIST	250.000	0.00	143.75			8
SUBTOTAL						287.50			
ISHS GOLD TRUST ETF	464285105	01/31/12	INV EXP-PROCEEDS	1,000.000	0.00	3.33			7
ISHS GOLD TRUST ETF	464285105	02/29/12	INV EXP-PROCEEDS	1,000.000	0.00	3.30			7
ISHS GOLD TRUST ETF	464285105	03/30/12	INV EXP-PROCEEDS	1,000.000	0.00	3.49			7
ISHS GOLD TRUST ETF	464285105	04/30/12	INV EXP-PROCEEDS	1,000.000	0.00	3.30			7
ISHS GOLD TRUST ETF	464285105	05/31/12	INV EXP-PROCEEDS	1,000.000	0.00	3.32			7
ISHS GOLD TRUST ETF	464285105	06/29/12	INV EXP-PROCEEDS	1,000.000	0.00	3.16			7
ISHS GOLD TRUST ETF	464285105	07/31/12	INV EXP-PROCEEDS	1,000.000	0.00	3.27			7
ISHS GOLD TRUST ETF	464285105	08/31/12	INV EXP-PROCEEDS	1,000.000	0.00	3.28			7
ISHS GOLD TRUST ETF	464285105	09/28/12	INV EXP-PROCEEDS	1,000.000	0.00	3.36			7
ISHS GOLD TRUST ETF	464285105	10/31/12	INV EXP-PROCEEDS	1,000.000	0.00	3.56			7
ISHS GOLD TRUST ETF	464285105	11/30/12	INV EXP-PROCEEDS	1,000.000	0.00	3.38			7
ISHS GOLD TRUST ETF	464285105	12/31/12	INV EXP-PROCEEDS	1,000.000	0.00	3.45			7
SUBTOTAL						40.20			
KINDER MORGAN ENERGY	494550106	02/14/12	PARTNERSHIP DIST	200.000	0.00	232.00			8
KINDER MORGAN ENERGY	494550106	05/15/12	PARTNERSHIP DIST	200.000	0.00	240.00			8
KINDER MORGAN ENERGY	494550106	08/14/12	PARTNERSHIP DIST	200.000	0.00	248.00			8

NON-FEDERALLY REPORTED ITEMS SECTION, continued

Account Number:

DETAILS - NON-FEDERALLY REPORTED ITEMS

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	OPTION PREMIUM	COLLECTIBLES ALLOCATION FACTOR (CAF)	NFR BOX
KINDER MORGAN ENERGY	494550106	11/14/12	PARTNERSHIP DIST	200.000	0.00	252.00			8
SUBTOTAL						570.00			
LINN ENERGY LLC UNIT	536020100	02/14/12	PARTNERSHIP DIST	200.000	0.00	138.00			8
LINN ENERGY LLC UNIT	536020100	05/15/12	PARTNERSHIP DIST	200.000	0.00	145.00			8
LINN ENERGY LLC UNIT	536020100	08/14/12	PARTNERSHIP DIST	200.000	0.00	145.00			8
LINN ENERGY LLC UNIT	536020100	11/14/12	PARTNERSHIP DIST	200.000	0.00	145.00			8
SUBTOTAL						573.00			
ADVISORY FEE	MNGT FEES	03/08/12	MGT ACCT FEES	0.000	0.00	546.50			7
ADVISORY FEE	MNGT FEES	06/08/12	MGT ACCT FEES	0.000	0.00	522.71			7
ADVISORY FEE	MNGT FEES	09/10/12	MGT ACCT FEES	0.000	0.00	544.13			7
ADVISORY FEE	MNGT FEES	12/07/12	MGT ACCT FEES	0.000	0.00	456.15			7
SUBTOTAL						2,069.49			
PURCHASES									
ALPS ALERIAN MLP ETF	00162Q866	11/29/12	PURCHASE	1,000.000	16.32	16,318.50			11
AT&T INC	00206R102	01/06/12	PURCHASE	250.000	29.65	7,411.90			11
BRCLY S&P 500 VIX ETN	06740C261	07/31/12	PURCHASE	1,000.000	13.40	13,389.00			11
BRCLY S&P 500 VIX ETN	06740C261	08/07/12	PURCHASE	500.000	12.07	6,034.50			11
BRCLY S&P 500 VIX ETN	06740C261	08/15/12	PURCHASE	1,000.000	11.73	11,729.00			11
SUBTOTAL						31,152.50			
DUNKIN BRANDS GRP INC	265504100	09/27/12	PURCHASE	100.000	34.57	3,456.72			11
DUNKIN BRANDS GRP INC	265504100	09/27/12	PURCHASE	150.000	34.57	5,185.20			11
SUBTOTAL						8,641.92			
FT MSTAR CIV LDRS ETF	336917109	01/06/12	PURCHASE	1,000.000	17.37	17,369.90			11
ISHS GOLD TRUST ETF	464285105	01/25/12	PURCHASE	1,000.000	16.53	16,499.90			11
MKT VCTR MTG REIT ETF	57060U324	02/02/12	PURCHASE	1,000.000	24.89	24,889.90			11

NON EDERALLY REPORTED ITEMS ECTION, continued

Account Number:

DETAILS - NON-FEDERALLY REPORTED ITEMS

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	OPTION PREMIUM	COLLECTIBLES ALLOCATION FACTOR (CAF)	NFR BOX
PROSH ULTST 20+ TRES ETF	74347R297	05/30/12	PURCHASE	500.000	15.80	7,899.50			11
TITANIUM METALS CORP NEW	888339207	06/27/12	PURCHASE	500.000	10.75	5,373.50			11
VNGRD MEGA CP 300 GR ETF	921910616	05/10/12	PURCHASE	300.000	53.49	16,045.89			11
VERIZON COMMS INC	92343V104	01/06/12	PURCHASE	250.000	38.29	9,572.48			11
WSDMTR DIV EX FINLS ETF	97717W406	07/17/12	PURCHASE	500.000	54.30	27,149.95			11