UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2013 MAY 13 AM 10:56
(Office Use Only)

MC

Alan Stuart Lowenthal
(Full Name)

(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: CA District: 47	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

		Yes	No
I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	☑	☐
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	☐	☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	☑	☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	☑	☐
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	☑	☐
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	☐	☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $350 from one source)? If yes, complete and attach Schedule VII.	☐	☑
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	☐	☑
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	☐	☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

		Yes	No
IPO--	Did you purchase any shares that were allocated as a part of an Initial Public Offering?	☐	☑
Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	☐	☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	☐	☑

SCHEDULE I - EARNED INCOME

Name Alan Stuart Lowenthal

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
State of California	Salary State Senator	$62,418
California Public Employees Retirement System	University Pension	$46,941
Sun America	Required Minimum distribution in 403B account	$8,585
The Permanente Medical Group	Spouse salary	N/A
Southern California Permanente Medical Group	Spouse salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Alan Stuart Lowenthal

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than $200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds. For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling $5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left. For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.	BLOCK B **Year-End Value of Asset** Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold during the reporting year and is included only because it generated income, the value should be "None." * This column is for assets held solely by your spouse or dependent child.	BLOCK C **Type of Income** Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	BLOCK D **Amount of Income** For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated. * This column is for income generated by assets held solely by your spouse or dependent child.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
SP	Dunkins Brands Group Stock IRA	None	None	NONE	PS
SP	ADT Corp Stock IRA	$1,001 - $15,000	None	NONE	P
SP	Advanced Micro Devices Bond IRA	None	None	NONE	PS
	Alliance 403B -AZL Black Rock Cap App.	$15,001 - $50,000	TAX-DEFERRED	NONE	
	Alliance 403B -AZL JP Morgan U.S. Equity	$15,001 - $50,000	TAX-DEFERRED	NONE	
	Alliance 403B -AZL Vk Growth and Income	$15,001 - $50,000	TAX-DEFERRED	NONE	

	Alliance 403B -AZLSmall Cap Stock Index	$15,001 - $50,000	TAX-DEFERRED	NONE	
	Alliance 403B -Mutual Global Discov. Security	$15,001 - $50,000	TAX-DEFERRED	NONE	
SP	American International Group Stock IRA	$1,001 - $15,000	None	NONE	P
SP	American Tower Corp Stock IRA	$15,001 - $50,000	None	NONE	
SP	Blackrock Inc. Stock IRA	$15,001 - $50,000	None	NONE	
SP	Boeing Aircraft Stock IRA	$15,001 - $50,000	None	NONE	P
SP	Borg Warner Auto Inc Stock IRA	$1,001 - $15,000	None	NONE	
SP	Brookfield Infrastructure Stock IRA	$15,001 - $50,000	None	NONE	
SP	Calpine Corp Stock IRA	$15,001 - $50,000	None	NONE	P
SP	Capitol One Financial Corp Stock IRA	$15,001 - $50,000	None	NONE	P
SP	Caterpillar Inc., Stock IRA	None	None	NONE	S
SP	Cit Group Inc. Bond IRA	$15,001 - $50,000	None	NONE	P
SP	Crown Castle Stock IRA	None	None	NONE	S
SP	Disney Stock IRA	$15,001 - $50,000	None	NONE	

SP	E Bay Inc. Stock RA	$15,001 - $50,000	None	NONE	
SP	El Paso Corp Stock IRA	$15,001 - $50,000	None	NONE	
SP	Enbridge Energy Management Stock IRA	$15,001 - $50,000	None	NONE	
SP	Equinix Inc. Corporate Bond IRA	$1,001 - $15,000	None	NONE	
SP	Facebook Inc. Stock IRA	None	None	NONE	PS
SP	Ford Mtr Co. Corporate Bond IRA	$1,001 - $15,000	None	NONE	
SP	General Electric Stock IRA	$15,001 - $50,000	None	NONE	P
SP	Golub Cap BDC Stock IRA	$15,001 - $50,000	None	NONE	
SP	Google Stock IRA	$1,001 - $15,000	None	NONE	P
SP	Honeywell International Stock IRA	None	None	NONE	PS&E
SP	IBM Stock IRA	$15,001 - $50,000	None	NONE	
SP	Ishares Silver Stock IRA	None	None	NONE	PS
SP	ITC Holding Co. Stock	$15,001 - $50,000	None	NONE	
SP	Kinder Morgan Energy Partners, Bond IRA	$1,001 - $15,000	None	NONE	

SP	Kinder Morgan MGT. Stock IRA	$15,001 - $50,000	None	NONE	
SP	KKR Financial Holdings IRA Convertible Bond	None	None	NONE	S
SP	Las Vegas Sands Corp Stock IRA	None	None	NONE	S
	LBSFCU Savings Acct	$50,001 - $100,000	None	NONE	
SP	Lowes Companies, Inc. Stock IRA	None	None	NONE	PS
SP	Monsanto Co.Stock IRA	None	None	NONE	S
SP	Motorola Solutions Stock IRA	$1,001 - $15,000	None	NONE	P
SP	New Mtn. Finance Corp Stock IRA	$1,001 - $15,000	None	NONE	P
SP	Nextera Energy, Inc Stock IRA	$15,001 - $50,000	None	NONE	
SP	Nielson Holdings NV Stock IRA	None	None	NONE	PS
	Oxy Long Beach	$35,001- $50,000	mineral rights/	$7,247	
SP	Pall Corp Stock	$15,001 - $50,000	None	NONE	
SP	Pentair Ltd Stock IRA	None	None	NONE	S
SP	Praxair Inc Stock IRA	$15,001 - $50,000	None	NONE	

SP	Regal Cinemas Bond IRA	$1,001 - $15,000	None	NONE	P
SP	Regency Energy Corporate Bond IRA	$1,001 - $15,000	None	NONE	
	Savings Plus 401 K - Bond Fund	$100,001 - $250,000	TAX-DEFERRED	NONE	
	Savings Plus 401 K - Large Cap Fund	$15,001 - $50,000	TAX-DEFERRED	NONE	
	Savings Plus 457 -Bond Fund	$50,001 - $100,000	TAX-DEFERRED	NONE	
	Savings Plus 457 - Large Cap Fund	$15,001 - $50,000	TAX-DEFERRED	NONE	
SP	Schlumberger Ltd Stock IRA	None	None	NONE	S
SP	Seadrill Partners Stock IRA	$1,001 - $15,000	None	NONE	P
SP	Seaspan Corp Stock IRA	$15,001 - $50,000	None	NONE	
SP	SLM Corp IRA	$1,001 - $15,000	None	NONE	P
SP	SPDR Gold Tr. Stock	$1,001 - $15,000	None	NONE	P
SP	Sprint Capital Corp Note redemption IRA	None	None	NONE	S
SP	Starwood Property Trust Stock IRA	$15,001 - $50,000	None	NONE	P
	Sun America 403B - Fixed Annuity	$50,001 - $100,000	TAX-DEFERRED	$5,001 - $15,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Alan Stuart Lowenthal

SP	Suncor Energy Stock IRA	$15,001 - $50,000	None	NONE	
SP	Targa Resources Corp Stock IRA	$1,001 - $15,000	None	NONE	
SP	Teekay Corp. Corporate Bonds IRA	$15,001 - $50,000	None	NONE	
SP	Teekay Corp. Marshall Islands Stock IRA	$1,001 - $15,000	None	NONE	
SP	Teekay Offshore Partners Stock IRA	$15,001 - $50,000	None	NONE	
SP	Trans Century Ltd Stock IRA	$1,001 - $15,000	None	NONE	
SP	Transcanada Corp Stock IRA	None	None	NONE	S
SP	Trustees of Cal. State Univ. Revenue Bond IRA	$15,001 - $50,000	None	NONE	
SP	Tyco International Stock IRA	None	None	NONE	PS
SP	Union Pacific Corp Stock IRA	None	None	NONE	PS&E
SP	United Parcel Service Stock IRA	$15,001 - $50,000	None	NONE	
SP	Verisk Analytics Inc. Corporate Bond IRA	$1,001 - $15,000	None	NONE	
SP	Visa Inc. Stock	$15,001 - $50,000	None	NONE	
SP	Vornado Realty Trust Stock IRA	None	None	NONE	S

SCHEDULE IV - TRANSACTIONS

Name Alan Stuart Lowenthal

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded $1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.
Capital Gains — if a sales transaction resulted in a capital gain in excess of $200, check the "capital gains" box and disclose this income on Schedule III.
*** This column is for assets solely held by your spouse or dependent child.**

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of $200?	Date	Amount of Transaction
SP	Dunkins Brands Group Stock IRA	S	No	8-17-12 P 10-24-12 S	$1,001 - $15,000
SP	ADT Corp Stock IRA	P	N/A	8-1-12	$1,001 - $15,000
SP	Advanced Micro Devices Bond IRA	S	No	2-7-12 P 8-15-12 S	$1,001 - $15,000
SP	American International Group Stock IRA	P	N/A	8-14-12	$1,001 - $15,000
SP	Boeing Aircraft Stock IRA	P	N/A	7-5-12	$15,001 - $50,000
SP	Calpine Corp Stock IRA	P	N/A	9-6-12	$15,001 - $50,000
SP	Capitol One Financial Corp Stock IRA	P	N/A	2-3-12	$15,001 - $50,000
SP	Caterpillar Inc., Stock IRA	S	No	5-11-12	$1,001 - $15,000
SP	Cit Group Inc. Bond IRA	P	N/A	3-13-12	$15,001 - $50,000
SP	Crown Castle Stock IRA	S	No	9-7-12	$15,001 - $50,000
SP	Facebook Inc. Stock IRA	S	No	5-17-12 P 5-18-12 S	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of $200?	Date	Amount of Transaction
SP	General Electric Stock IRA	P	N/A	3-16-12	$15,001 - $50,000
SP	Google Stock IRA	P	N/A	1-27-12	$1,001 - $15,000
SP	Honeywell International Stock IRA	S	No	1-3-12 P 3-16-12 S	$1,001 - $15,000
SP	Ishares Silver Stock IRA	P	N/A	9-27-12	$1,001 - $15,000
SP	KKR Financial Holdings Convertible Bond IRA	S	No	2-2-12	$1,001 - $15,000
SP	Las Vegas Sands Corp Stock IRA	S	No	3-21-12	$15,001 - $50,000
SP	Lowes Companies, Inc. Stock IRA	S	No	3-13-12 P 7-16-12 S	$15,001 - $50,000
SP	Monsanto Co.Stock IRA	S	No	5-8-12	$1,001 - $15,000
SP	Motorola Solutions Stock IRA	P	N/A	10-3-12	$1,001 - $15,000
SP	New Mtn. Finance Corp Stock IRA	P	N/A	7-17-12	$1,001 - $15,000
SP	Nielson Holdings NV Stock IRA	S	No	3-20-12 P 8-15-12 S	$1,001 - $15,000

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Capital Gains — if a sales transaction resulted in a capital gain in excess of $200, check the "capital gains" box and disclose this income on Schedule III.
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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of $200?	Date	Amount of Transaction
SP	Pentair Ltd Stock IRA	S	No	10-4-12	$1,001 - $15,000
SP	Regal Cinemas Stock IRA	P	N/A	1-11-12	$1,001 - $15,000
SP	Schlumberger Ltd Stock IRA	S	No	3-12-12	$1,001 - $15,000
SP	Seadrill Partners Stock IRA	P	N/A	10-19-12	$1,001 - $15,000
SP	SLM Corp Bond IRA	P	N/A	2-2-12	$1,001 - $15,000
SP	SPDR Gold Tr. Stock	P	N/A	9-27-12	$1,001 - $15,000
SP	Sprint Capital Corp Note redemption IRA	S	No	12-29-11	$1,001 - $15,000
SP	Starwood Property Trust Stock IRA	P	N/A	5-11-12	$15,001 - $50,000
SP	Transcanada Corp Stock IRA	S	No	1-30-12	$1,001 - $15,000
SP	Tyco International Stock IRA	S	No	8-1-12 P 10-3-12 S	$1,001 - $15,000
SP	Union Pacific Corp Stock IRA	S	No	2-1-12 P 6-6-12 S	$1,001 - $15,000

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Capital Gains — if a sales transaction resulted in a capital gain in excess of $200, check the "capital gains" box and disclose this income on Schedule III.
*** This column is for assets solely held by your spouse or dependent child.**

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of $200?	Date	Amount of Transaction
SP	Vornado Realty Trust Stock IRA	S	No	9-27-12	$15,001 - $50,000

SCHEDULE V - LIABILITIES

Name Alan Stuart Lowenthal

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000. *This column is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
JT	Chase Mortgage	June 2005	Mortgage on 2809 East First Street Long Beach CA	$250,001 - $500,000