

UNITED STATES HOUSE OF REPRESENTATIVES

2013 FINANCIAL DISCLOSURE STATEMENT

Form A

For Use by Members, Officers, and Employees

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LEGISLATIVE RESOURCE CENTER

2014 AUG - 6 AM 11:58

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

Name: Jared S. Polis Daytime Telephone: 202-225-2161

FILER STATUS	☒ Member of or Candidate for U.S. House of Representatives	State: <u>Colorado</u> District: <u>2</u>	☐ Officer or Employee	Employing Office: _____
REPORT TYPE	☒ 2013 Annual (Due: May 15, 2014)	☐ Amendment	☐ Termination Date: _____	

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or b. Make more than \$200 in unearned income from any reportable asset during the reporting period?	Yes ☒ No ☐	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes ☐ No ☒
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes ☒ No ☐	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$350 in value from a single source during the reporting period?	Yes ☐ No ☒
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes ☐ No ☒	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$350 in value from a single source during the reporting period?	Yes ☐ No ☒
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes ☒ No ☐	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes ☐ No ☒
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes ☒ No ☐	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

IPO, EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes ☐ No ☒
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes ☒ No ☐
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes ☐ No ☒

BLOCK A Assets and/or Income Source	BLOCK B VALUE OF ASSET											BLOCK C TYPE OF INCOME				BLOCK D AMOUNT OF INCOME											BLOCK E TRANSACTION Purchases (P), Sale (S), S(part), or Exchange (E)	
	A	B	C	D	E	F	G	H	I	J	K	L	M	Dividends	Interest	Capital Gain	Other	II	III	IV	V	VI	VII	VIII	IX	X		XI
Robert W. Baird & Co Incorporated Accounts:																												
General Muni Money Market																												
Baird Capital Partners IV LP *																												
Investment in securities with a focus of the lower end of the U. S. middle market, Private equity fund, not self directed.																												
Milwaukee, WI																												
Merrill Lynch Accounts:																												
Cash																												
BIF Tax Exempt Fund																												
Merrill Lynch Bank Deposit Program																												
Preferred Deposit Bank of America NA																												
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	A	B	C	D	E	F	G	H	I	J	K	L	M	Dividends	Interest	Capital	Escaped Blind Trust	Other Type of Income	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII		
Unit 1602																															S
Unit 1603																															S
Unit 1604																															S
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Unit 1606																															S
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BLOCK A Assets and/or Income Source	BLOCK B VALUE OF ASSET													BLOCK C TYPE OF INCOME				BLOCK D AMOUNT OF INCOME												BLOCK E TRANSACTION Purchases (P), Sale (S), Spend, or Exchange (E)																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																								
	A	C	E	G	I	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z	AA	AB	AC	AD	AE	AF	AG	AH		AI	AJ	AK	AL	AM	AN	AO	AP	AQ	AR	AS	AT	AU	AV	AW	AX	AY	AZ	BA	BB	BC	BD	BE	BF	BG	BH	BI	BJ	BK	BL	BM	BN	BO	BP	BQ	BR	BS	BT	BU	BV	BW	BX	BY	BZ	CA	CB	CC	CD	CE	CF	CG	CH	CI	CJ	CK	CL	CM	CN	CO	CP	CQ	CR	CS	CT	CU	CV	CW	CX	CY	CZ	DA	DB	DC	DD	DE	DF	DG	DH	DI	DJ	DK	DL	DM	DN	DO	DP	DQ	DR	DS	DT	DU	DV	DW	DX	DY	DZ	EA	EB	EC	ED	EE	EF	EG	EH	EI	EJ	EK	EL	EM	EN	EO	EP	EQ	ER	ES	ET	EU	EV	EW	EX	EY	EZ	FA	FB	FC	FD	FE	FF	FG	FH	FI	FJ	FK	FL	FM	FN	FO	FP	FQ	FR	FS	FT	FU	FV	FW	FX	FY	FZ	GA	GB	GC	GD	GE	GF	GG	GH	GI	GJ	GK	GL	GM	GN	GO	GP	GQ	GR	GS	GT	GU	GV	GW	GX	GY	GZ	HA	HB	HC	HD	HE	HF	HG	HH	HI	HJ	HK	HL	HM	HN	HO	HP	HQ	HR	HS	HT	HU	HV	HW	HX	HY	HZ	IA	IB	IC	ID	IE	IF	IG	IH	II	IJ	IK	IL	IM	IN	IO	IP	IQ	IR	IS	IT	IU	IV	IW	IX	IY	IZ	JA	JB	JC	JD	JE	JF	JG	JH	JI	JJ	JK	JL	JM	JN	JO	JP	JQ	JR	JS	JT	JU	JV	JW	JX	JY	JZ	KA	KB	KC	KD	KE	KF	KG	KH	KI	KL	KM	KN	KO	KP	KQ	KR	KS	KT	KU	KV	KW	KX	KY	KZ	LA	LB	LC	LD	LE	LF	LG	LH	LI	LJ	LK	LL	LM	LN	LO	LP	LQ	LR	LS	LT	LU	LV	LW	LX	LY	LZ	MA	MB	MC	MD	ME	MF	MG	MH	MI	MJ	MK	ML	MN	MO	MP	MQ	MR	MS	MT	MU	MV	MW	MX	MY	MZ	NA	NB	NC	ND	NE	NF	NG	NH	NI	NJ	NK	NL	NM	NN	NO	NP	NQ	NR	NS	NT	NU	NV	NW	NX	NY	NZ	OA	OB	OC	OD	OE	OF	OG	OH	OI	OJ	OK	OL	OM	ON	OO	OP	OQ	OR	OS	OT	OU	OV	OW	OX	OY	OZ	PA	PB	PC	PD	PE	PF	PG	PH	PI	PJ	PK	PL	PM	PN	PO	PP	PQ	PR	PS	PT	PU	PV	PW	PX	PY	PZ	QA	QB	QC	QD	QE	QF	QG	QH	QI	QJ	QK	QL	QM	QN	QO	QP	QQ	QR	QS	QT	QU	QV	QW	QX	QY	QZ	RA	RB	RC	RD	RE	RF	RG	RH	RI	RJ	RK	RL	RM	RN	RO	RP	RQ	RR	RS	RT	RU	RV	RW	RX	RY	RZ	SA	SB	SC	SD	SE	SF	SG	SH	SI	SJ	SK	SL	SM	SN	SO	SP	SQ	SR	SS	ST	SU	SV	SW	SX	SY	SZ	TA	TB	TC	TD	TE	TF	TG	TH	TI	TJ	TK	TL	TM	TN	TO	TP	TQ	TR	TS	TU	TV	TW	TX	TY	TZ	UA	UB	UC	UD	UE	UF	UG	UH	UI	UJ	UK	UL	UM	UN	UO	UP	UQ	UR	US	UT	UU	UV	UW	UX	UY	UZ	VA	VB	VC	VD	VE	VF	VG	VH	VI	VJ	VK	VL	VM	VN	VO	VP	VQ	VR	VS	VT	VU	VV	VW	VX	VY	VZ	WA	WB	WC	WD	WE	WF	WG	WH	WI	WJ	WK	WL	WM	WN	WO	WP	WQ	WR	WS	WT	WU	WV	WW	WX	WY	WZ	XA	XB	XC	XD	XE	XF	XG	XH	XI	XJ	XK	XL	XM	XN	XO	XP	XQ	XR	XS	XT	XU	XV	XW	XX	XY	XZ	YA	YB	YC	YD	YE	YF	YG	YH	YI	YJ	YK	YL	YM	YN	YO	YP	YQ	YR	YS	YT	YU	YV	YW	YX	YY	YZ	ZA	ZB	ZC	ZD	ZE	ZF	ZG	ZH	ZI	ZJ	ZK	ZL	ZM	ZN	ZO	ZP	ZQ	ZR	ZS	ZT	ZU	ZV	ZW	ZX	ZY	ZZ
None	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96	97	98	99	100																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																		

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Assets	Type of Transaction			Check Box if Capital Gain Exceeded \$200	Date	Amount of Transaction																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																							
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Assets	Type of Transaction			Check Box if Capital Gain Exceeded \$200	Date	Amount of Transaction
	Sale	Exchange	Other			
Unit 1204	X			X	3/25/2013	
Unit 1205	X			X	3/14/2013	
Unit 1206	X			X	5/17/2013	
Unit 1207	X			X	3/21/2013	
Unit 1208	X			X	4/1/2013	
Unit 1401	X			X	3/19/2013	
Unit 1403	X			X	3/18/2013	
Unit 1404	X			X	3/20/2013	
Unit 1405	X			X	3/22/2013	
Unit 1406	X			X	3/29/2013	
Unit 1407	X			X	4/15/2013	
Unit 1408	X			X	5/5/2013	
Unit 1501	X			X	4/10/2013	
Unit 1502	X			X	3/15/2013	
Unit 1503	X			X	3/18/2013	
Unit 1504	X			X	4/5/2013	
Unit 1505	X			X	3/14/2013	
Unit 1506	X			X	3/15/2013	
Unit 1507	X			X	4/26/2013	
Unit 1508	X			X	4/5/2013	
Unit 1601	X			X	3/21/2013	
Unit 1602	X			X	4/30/2013	
Unit 1603	X			X	5/1/2013	
Unit 1604	X			X	3/29/2013	
Unit 1605	X			X	4/5/2013	
Unit 1606	X			X	4/15/2013	
Unit 1607	X			X	3/14/2013	
Unit 1608	X			X	3/20/2013	
L1	X			X	4/12/2013	
L2	X			X		
Waterways Fund Holdings, Townhome & Vacant lots						
Naples, FL						
Lots	X			X	9/16/2013	
Townhomes	X			X	2/15/2013	
Mirasol Holdings LLC, Real Property Mirasol at Coconut Point,						
Bonita Springs, Florida						
Condominiums						

SCHEDULE B - TRANSACTIONS

Name: **Jared S. Polis**

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SCHEDULE D - LIABILITIES

Name: Jared S. Polis

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SP DC, JT	Creditor	Date Liability Incurred Mo/Year	Type of Liability	Amount of Liability										
				10,000 - 15,000 A	15,001 - 50,000 B	50,001 - 100,000 C	100,000 - 250,000 D	250,000 - 500,000 E	500,000 - 1,000,000 F	1 mil - 5 mil G	5 mil - 25 mil H	25 mil - 50 mil I	50 mil - OVER J	OVER 1 mil Spouse K
	MillagePlus United Visa, Palatine IL	Dec-13	Personal Credit Card Paid Monthly		X									
	Bank of America, Dallas, TX	Dec-13	Personal Credit Card Paid Monthly	X										
	Merrill Lynch, Los Angeles, CA	Sep-10	Secured Line of Credit									X		
	Merrill Lynch Mortgage Service Center, Mt Laurel, NJ	Mar-03	Mortgage vacation home, Berthoud, CO (not rented)						X					
	Dovennuehle Mortgage Inc., Lake Zurich, IL	Jul-09	Mortgage personal residence, Boulder, CO(not rented)							X				

Name: JARED S. POLIS

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SCHEDULE E - POSITIONS

Position	Name of Organization
Managing Member	Aquacopia Ventures LLC
Managing Member	Internet Political Concepts LLC - company dissolved in 2013
Limited Partner	Jove Equity Fund I LP
Limited Partner	Jove Venture Fund I LP
Partner	Jove Equity Partners LLC
Partner	Jove Equity Associates LLC
Managing Member	Jovian Capital Holdings LLC
Managing Member	Jovian Management Company Holdings LLC
Managing Member	Jovian Holdings LLC
Director	Jovian Holdings Inc (Unpaid)
Managing Member	Jovian P4 LLC
Limited Partner	LI Emerging Technologies Fund I, LP
Managing Member	Mountain Property Improvement LLC
Managing Member	Mountain Property II LLC
Managing Member	Mountain Property III LLC
Domestic Beneficiary	Pine 3-J Trust
Board Member	Polis -Schutz Family Foundation (Unpaid)
Managing Member	Technology Stars of Colorado Holdings LLC
Donor-Advised Fund Donor	The Community Foundation: Jared Polis Foundation Fund: Venture Gift Fund (Unpaid)
Limited Partner	Deutsche Banc Special Opportunities Fund
Limited Partner	Centre Capital Investors III LP
Partner	Scalpel LLC
Limited Partner	GunparkProperty Limited PS LLLP
Limited Partner	I-Hatch Ventures LP - sold in 2013
Limited Partner	William Blair New World Ventures LP
Limited Partner	Applan Ventures I LP
Limited Partner	Bow River Capital Fund II LP
Limited Partner	Bow River Capital Fund IV LP
Limited Partner	Greenmont Capital Partners I LP
Limited Partner	GS Mezzanine Partners 2006 LP
Limited Partner	Kettle Partners Limited Partnership II
Domestic Beneficiary	K-New York Trust
Limited Partner	Baird Capital Partners IV Limited Partnership
Member	PSI PHI Communications LLC
Partner	K-Colorado LLC