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UNITED STATES HOUSE OF REPRESENTATIVES

2013 FINANCIAL DISCLOSURE STATEMENT

Form A
For Use by Members, Officers, and Employees

Name: DAVID PHILLIP ROE **Daytime Telephone:**

FILER STATUS	[X] Member of or Candidate for U.S. House of Representatives — State: TN District: 01	[ ] Officer or Employee — Employing Office:	
REPORT TYPE	[X] 2013 Annual (Due: May 15, 2014)	[ ] Amendment	[ ] Termination Date:

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

	Yes	No
A. *Did you, your spouse, or your dependent child:* a. Own any reportable asset that was worth more than $1,000 at the end of the reporting period? or b. Make more than $200 in unearned income from any reportable asset during the reporting period?	X	
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding $1,000 during the reporting period?	X	
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of $200 or more during the reporting period?		X
D. Did you, your spouse, or your dependent child have any reportable liability (more than $10,000) at any point during the reporting period?	X	
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?		X
F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?		X
G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than $350 in value from a single source during the reporting period?		X
H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than $350 in value from a single source during the reporting period?	X	
I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?		X

ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"

IPO, EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

	Yes	No
IPO – Did you purchase any shares that were allocated as a part of an *Initial Public Offering during the reporting period? If you answered "yes"* to this question, please contact the Committee on Ethics for further guidance.		X
TRUSTS – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?		X
EXEMPTION – Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three *tests for exemption? Do not answer "yes"* unless you have first consulted with the Committee on Ethics.		X

SCHEDULE A – ASSETS & "UNEARNED INCOME"

Name: DAVID PHILLIP ROE

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income and with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or source of income that generated more than $200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use only ticker symbols).

For **all IRAs** and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.

For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over $5,000, list every financial institution where there is more than $1,000 in interest bearing accounts.

For rental and other real property held for investment, provide a complete address or description, *e.g.*, "rental property," and a city and state.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its *geographic location in Block A.*

Exclude: Your personal residence, including second homes and vacation homes (*unless* there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.

If you have a privately-traded fund that is an Excepted Investment Fund, please check the "EIF" box.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left.

For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.

BLOCK B — Value of Asset

Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting period and is included only because it generated income, the value should be "None."

*Column M is for assets held by your spouse or dependent child in which you have no interest.

BLOCK C — Type of Income

Check **all** columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the "Tax-Deferred" column. ***Dividends, interest, and capital gains,* even if reinvested, must be disclosed as income for assets held in taxable accounts.** Check "None" if the asset generated no income during the reporting period.

BLOCK D — Amount of Income

For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets indicate the category of income by checking the appropriate box below. ***Dividends, interest,* and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts.** Check "None" if no income was earned or generated.

**Column XII is for assets held by your spouse or dependent child in which you have no interest.*

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in the reporting period.

If only a portion of an asset was sold, please indicate as follows: (S (part)).

Leave this column blank if there are no transactions that exceeded $1,000.

SP, DC, JT	Asset and/or Income Source	EIF	A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	M Spouse/DC Asset over $1,000,000*	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	XII Spouse/DC Asset with Income over $1,000,000*	P, S, S(part), or E
Examples: SP	Mega Corp. Stock						x										x										x									S(part)
	Simon & Schuster				Indefinite																		Royalties													
	ABC Hedge Fund	X							x														Partnership Income													
JT	BANK OF TENNESSEE				X																															
JT	PEOPLES COMMUNITY BANK				X																															
	1.7% SOFHA REAL ESTATE PARTNERSHIP		X															X											X							S

Use additional sheets if more space is required.

SCHEDULE A – ASSETS & "UNEARNED INCOME"

Name: DAVID PHILLIP ROE

BLOCK A Asset and/or Income Source			BLOCK B Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income												BLOCK E Transaction
			A	B	C	D	E	F	G	H	I	J	K	L	M									I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	
SP, DC, JT	ASSET NAME	EIF	None	$1-$1,000	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,000	Over $50,000,000	Spouse/DC Asset over $1,000,000*	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	None	$1-$200	$201-$1,000	$1,001-$2,500	$2,501-$5,000	$5,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$1,000,000	$1,000,001-$5,000,000	Over $5,000,000	Spouse/DC Asset with Income over $1,000,000*	P, S, S(part), or E
	CONDO - FRISCO, CO								X									X											X							
	MERRILL LYNCH BEYOND BKG (FORMERLY ML BEYOND BANKING ACCOUNT)					X													X						X											
	FIDELITY INVESTMENT RETIREMENT ACCOUNT								X													X		X												SEE ATTACHED SCHEDULE #1
	MERRILL LYNCH IRA					X																X		X												
SP	ML PAM'S IRA								X													X		X												SEE ATTACHED SCHEDULE #2

Use additional sheets if more space is required.

SCHEDULE A – ASSETS & "UNEARNED INCOME"

Name: DAVID PHILLIP ROE

BLOCK A – Asset and/or Income Source; **BLOCK B** – Value of Asset

SP, DC, JT	ASSET NAME	EIF	A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	M Spouse/DC Asset over $1,000,000*
	ML TRUST								X						
	ML ALT INVESTMENTS								X						
	ML PIA										X				

BLOCK C – Type of Income

ASSET NAME	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)
ML TRUST		X		X	X			
ML ALT INVESTMENTS		X			X			
ML PIA		X			X			

BLOCK D – Amount of Income; **BLOCK E** – Transaction

ASSET NAME	I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	XII Spouse/DC Asset with Income over $1,000,000*	P, S, S(part), or E
ML TRUST									X				SEE ATTACHED SCHEDULE #3
ML ALT INVESTMENTS							X						SEE ATTACHED SCHEDULE #4
ML PIA									X				SEE ATTACHED SCHEDULE #5

Use additional sheets if more space is required.

SCHEDULE B – TRANSACTIONS

Name: DAVID PHILLIP ROE

Report any purchase, sale, or exchange transactions that exceeded $1,000 in the reporting period of any security or real property held by you, your spouse, or your *dependent child for investment* or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of $200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction: Purchase	Sale	Partial Sale	Exchange	Check Box if Capital Gain Exceeded $200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: A $1,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Over $1,000,000* (Spouse/DC Asset)
SP	*Example* Mega Corp. Stock			x		x	3/5/13		x									
	SOFHA REAL ESTATE PARTNERSHIP - 1.7% OWNERSHIP		X				09/30/13				X							
SP	ML PAM'S IRA						2013	SEE ATTACHED SCHEDULE #2										
	ML TRUST						2013	SEE ATTACHED SCHEDULE #3										
	ML ALT INVESTMENT						2013	SEE ATTACHED SCHEDULE #4										
	ML PIA						2013	SEE ATTACHED SCHEDULE #5										

Use additional sheets if more space is required.

SCHEDULE C – EARNED INCOME

Name: DAVID PHILLIP ROE

List the source, type, and amount of earned income from any source (other than the filer's current employment *by the U.S. government*) totaling $200 or more during the reporting period. For a spouse, list the source and amount of any *honoraria; list only the source* for other spouse earned income exceeding $1,000. See examples below.

EXCLUDE: *Military pay* (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS and PROHIBITED INCOME: The 2013 limit on outside earned income for Members and employees compensated *at or above the "senior staff"* rate was $26,955. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving *a fiduciary relationship*) were totally prohibited.

	Source (include date of receipt for honoraria)	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$18,000
	Civil War Roundtable (Oct. 2)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	N/A

Use additional sheets if more space is required.

SCHEDULE D – LIABILITIES

Name: DAVID PHILLIP ROE

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or your dependent child. **Mark the highest amount owed during the reporting period.** ***Members***: Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude**: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a ***revolving charge account*** (*i.e.*, credit card) only if the balance at the close of reporting period exceeded $10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability A $10,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Over $1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE				X							
	SUNTRUST MORTGAGE INC	02/08	MORTGAGE ON DC CONDO					X						
			PERSONAL RESIDENCE											
	GMAC MORTGAGE	10/96	MORTGAGE ON CO CONDO			X								
			RENTAL PROPERTY											

SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude**: Positions listed in Schedule C; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization

Use additional sheets if more space is required.

SCHEDULE F – AGREEMENTS

Name: DAVID PHILLIP ROE

Identify the *date, parties to*, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government *service*; continuation or deferral of payments by a former or current employer other than the U.S. government; or *continuing participation in an* employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement

SCHEDULE G – GIFTS

Report the source (including name, city, and state), a brief description, and the value of *all gifts totaling more than* $350 received by you, your spouse, or a dependent child from any source during the year. **Exclude**: Gifts from relatives, gifts of personal hospitality from an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to *you. Gifts* with a value of $140 or less need not be added towards the $350 disclosure threshold. **Note**: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source		Description	Value
Example:	Mr. Joseph Smith, Arlington, VA	Silver Platter (determination of personal friendship received from the Ethics Committee)	$400

Use additional sheets if more space is required.

SCHEDULE H – TRAVEL PAYMENTS and REIMBURSEMENTS

Name: DAVID PHILLIP ROE

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses *totaling more than* $350 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler *at the sponsor's expense*. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid *by you and reimbursed* by the sponsor.

EXCLUDE: Privately-sponsored travel approved by *the Ethics Committee, if* post-travel disclosure was filed with the Clerk; travel-related expenses provided by federal, state, and *local governments, or by* a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel *that is required to be* reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is *totally independent of his or her relationship* to the filer.

	Source	Date(s)	City of Departure – Destination — City of Return	Lodging? (Y/N)	Food? (Y/N)	Family Member Included? (Y/N)
Examples:	Government of China (MECEA)	Aug. 6-11	DC-Beijing, China - DC	Y	Y	N
	Habitat for Humanity (charity fundraiser)	Mar. 3-4	DC-Boston-DC	Y	Y	Y
	ALLIANCE FOR HEALTH REFORM	JAN 11 - 13	DC - FORT LAUDERDALE - DC	Y	Y	Y
	CONGRESSIONAL HEALTH POLICY CONFERENCE					
	SYMBION - MEDICAL DIRECTORS CONFERENCE	FEB 22 - 25	DC AVON, CO - DC	Y	Y	N

Use additional sheets if more space is required.

SCHEDULE I – PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name: DAVID PHILLIP ROE

List the source, activity (*i.e., speech, appearance, or article*), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of paying an honorarium to you. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics.

Source		Activity	Date	Amount
Examples:	Association of American Associations, Washington, DC	Speech	Feb. 2, 2013	$2,000
	XYZ Magazine	Article	Aug. 13, 2013	$500
INDIANA STATE MEDICAL ASSOCIATION POLITICAL ACTION COMMITTEE		Speech	Sept 21, 2013	$1,000

Use additional sheets if more space is required.

FILER NOTES
(Optional)

Name:	Page 11 of 11

NOTE NUMBER	NOTES

Use additional sheets if more space is required.

DAVID PHILLIP ROE

SCHEDULE 1

Fidelity INVESTMENTS

State Of Franklin Healthcare Associates, PLLC 401(k)

Retirement Savings Statement

DAVID P ROE
2 NORTH CROSSBOW
JOHNSON CITY, TN 37604-

Customer Service: (800) 294-4015
Fidelity Investments Institutional Operations Company, Inc.
82 Devonshire Street
Boston, MA 02109

Your Account Summary

Statement Period: 12/01/2013 to 12/31/2013

Beginning Balance	**$449,101.83**
Fees	-$134.40
Change in Market Value	$11,301.16
Ending Balance	**$460,268.59**
Additional Information	
Vested Balance	$460,268.59
Dividends & Interest	$18,509.36

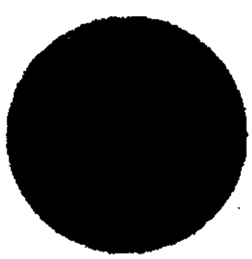
Your Personal Rate of Return

This Period	2.6%

Your Personal Rate of Return is calculated with a time-weighted formula, widely used by financial analysts to calculate investment earnings. It reflects the results of your investment selections as well as any activity in the plan account(s) shown. There are other Personal Rate of Return formulas used that may yield different results. Remember that past performance is no guarantee of future results.

Your Asset Allocation

Statement Period: 12/01/2013 to 12/31/2013



- 77.33% Stock Investments: $355,944.19
- 12.44% Bond Investments: $57,237.29
- 10.23% Short-Term Investments: $47,087.11

Your account is allocated among the asset classes specified above as of 12/31/2013. Percentages and totals may not be exact due to rounding.

Market Value of Your Account

Statement Period: 12/01/2013 to 12/31/2013

Displayed in this section is the value of your account for the statement period, in both shares and dollars.

Investment	Shares as of 11/30/2013	Shares as of 12/31/2013	Price as of 11/30/2013	Price as of 12/31/2013	Market Value as of 11/30/2013	Market Value as of 12/31/2013
Stock Investments					**$344,196.38**	**$355,944.19**
Large Cap						
Blk Cap Appr I	3,581.981	4,146.671	$31.34	$28.01	$112,269.28	$116,221.69
Mainstay MAP I	74.293	76.731	$46.35	$44.82	$3,369.19	$3,439.06
Sptn 500 Index ADV	1,819.313	1,826.599	$64.22	$65.49	$116,836.28	$119,754.96
Mid-Cap						
FA Mid Cap II I	106.085	118.990	$22.87	$21.16	$2,426.16	$2,517.63
Small Cap						
Sptn SM Cap Idx ADV	239.093	242.496	$16.45	$16.54	$3,933.08	$4,010.92
International						
FA Intl Discovery I	2,619.249	2,671.940	$40.23	$40.42	$105,372.39	$107,999.82
Bond Investments					**$57,804.60**	**$57,237.29**
Income						
FA GOV Income Inst	5,617.561	5,628.063	$10.29	$10.17	$57,804.60	$57,237.29
Short-Term Investments					**$47,100.85**	**$47,087.11**
FID Ret Govt MM	47,100.850	47,087.110	$1.00	$1.00	$47,100.85	$47,087.11
Account Totals					**$449,101.83**	**$460,268.59**

Remember that a dividend payment to fund shareholders reduces the share price of the fund, so a decrease in the share price for the statement period does not necessarily reflect lower fund performance.

Please refer to NetBenefits and other Plan information, such as your SPD, for a description of your right to direct investments under the Plan. For information on any plan restrictions on those rights, please contact your benefits office.

To help achieve long-term retirement security, you should give careful consideration to the benefits of a well-balanced and diversified investment portfolio. Spreading your assets among different types of investments can help you achieve a favorable rate of return, while minimizing your overall risk of losing money. This is because market or other economic conditions that cause one category of assets, or one particular security, to perform very well often cause another asset category, or another particular security, to perform poorly. If you invest more than 20% of your retirement savings in any one company or industry, your savings may not be properly diversified. Although diversification is not a guarantee against loss, it is an effective strategy to help you manage investment risk.

In deciding how to invest your retirement savings, you should take into account all of your assets, including any retirement savings outside of the Plan. No single approach is right for everyone because, among other factors, individuals have different financial goals, different time horizons for meeting their goals, and different tolerances for risk. It is also important to periodically review your investment portfolio, your investment objectives, and the investment options under the Plan to help ensure that your retirement savings will meet your retirement goals. Visit the Department of Labor website http://www.dol.gov/ebsa/investing.html for information on individual investing and diversification.

Employer contributions under this Plan may be integrated with Social Security contributions made on your behalf by your employer. This is often called "permitted disparity". See your Summary Plan *description for more details.*

Some of the administrative services performed for the Plan were underwritten from the total operating *expenses of the Plan's investment options.*

Your Contribution Elections as of

As of 04/25/2014

This section displays the funds in which your future contributions will be invested.

Your Current Investment Elections as of 04/25/2014

Source Group 1

Includes: EMPLOYEE DEFERRAL, EMPLOYER MATCH, EMPLOYER DISCRETIONARY, QUALIFIED DISCRETIONARY, ROLLOVER, AFTER TAX ROLLOVER, PRIOR PROFIT SHARING, SAFE HARBOR NON-ELECTIVE, EMPLOYEE DOLLAR DEFERRAL, ROTH DEFERRAL, ROTH ROLLOVER, ROTH DOLLAR DEFERRAL, ROTH IN-PLAN CONVERSION

Any change to the source group below will apply across all the sources within the source group. Plan rules require that all sources within a source group be invested in the same investment options with the same percentages.

EMPLOYEE DEFERRAL

Investment Option	Current %

Stock Investments

LARGE CAP

FA NEW INSIGHTS I	25%
SPTN 500 INDEX ADV	25%
INTERNATIONAL	
FA INTL DISCOVERY I	20%
Bond Investments	
INCOME	
FA GOV INCOME INST	10%
Short-Term Investments	
FID RET GOVT MM	20%
Total	**100%**

EMPLOYER MATCH

Investment Option	Current %
Stock Investments	
LARGE CAP	
FA NEW INSIGHTS I	25%
SPTN 500 INDEX ADV	25%
INTERNATIONAL	
FA INTL DISCOVERY I	20%
Bond Investments	
INCOME	
FA GOV INCOME INST	10%
Short-Term Investments	
FID RET GOVT MM	20%
Total	**100%**

EMPLOYER DISCRETIONARY

Investment Option	Current %
Stock Investments	
LARGE CAP	
FA NEW INSIGHTS I	25%
SPTN 500 INDEX ADV	25%
INTERNATIONAL	
FA INTL DISCOVERY I	20%
Bond Investments	
INCOME	
FA GOV INCOME INST	10%
Short-Term Investments	
FID RET GOVT MM	20%
Total	**100%**

QUALIFIED DISCRETIONARY

Investment Option	Current %
Stock Investments	
LARGE CAP	
FA NEW INSIGHTS I	25%
SPTN 500 INDEX ADV	25%
INTERNATIONAL	
FA INTL DISCOVERY I	20%
Bond Investments	
INCOME	
FA GOV INCOME INST	10%
Short-Term Investments	
FID RET GOVT MM	20%
Total	100%

ROLLOVER

Investment Option	Current %
Stock Investments	
LARGE CAP	
FA NEW INSIGHTS I	25%
SPTN 500 INDEX ADV	25%
INTERNATIONAL	
FA INTL DISCOVERY I	20%
Bond Investments	
INCOME	
FA GOV INCOME INST	10%
Short-Term Investments	
FID RET GOVT MM	20%
Total	100%

AFTER TAX ROLLOVER

Investment Option	Current %
Stock Investments	
LARGE CAP	
FA NEW INSIGHTS I	25%
SPTN 500 INDEX ADV	25%
INTERNATIONAL	
FA INTL DISCOVERY I	20%
Bond Investments	
INCOME	
FA GOV INCOME INST	10%
Short-Term Investments	
FID RET GOVT MM	20%

Total	100%

PRIOR PROFIT SHARING

Investment Option	Current %
Stock Investments	
LARGE CAP	
FA NEW INSIGHTS I	25%
SPTN 500 INDEX ADV	25%
INTERNATIONAL	
FA INTL DISCOVERY I	20%
Bond Investments	
INCOME	
FA GOV INCOME INST	10%
Short-Term Investments	
FID RET GOVT MM	20%
Total	100%

SAFE HARBOR NON-ELECTIVE

Investment Option	Current %
Stock Investments	
LARGE CAP	
FA NEW INSIGHTS I	25%
SPTN 500 INDEX ADV	25%
INTERNATIONAL	
FA INTL DISCOVERY I	20%
Bond Investments	
INCOME	
FA GOV INCOME INST	10%
Short-Term Investments	
FID RET GOVT MM	20%
Total	100%

EMPLOYEE DOLLAR DEFERRAL

Investment Option	Current %
Blended Investments	
FA FREEDOM INC I	100%
Total	100%

ROTH DEFERRAL

Investment Option	Current %
Stock Investments	
LARGE CAP	
FA NEW INSIGHTS I	25%
SPTN 500 INDEX ADV	25%

INTERNATIONAL	
FA INTL DISCOVERY I	20%
Bond Investments	
INCOME	
FA GOV INCOME INST	10%
Short-Term Investments	
FID RET GOVT MM	20%
Total	100%

ROTH ROLLOVER

Investment Option	Current %
Stock Investments	
LARGE CAP	
FA NEW INSIGHTS I	25%
SPTN 500 INDEX ADV	25%
INTERNATIONAL	
FA INTL DISCOVERY I	20%
Bond Investments	
INCOME	
FA GOV INCOME INST	10%
Short-Term Investments	
FID RET GOVT MM	20%
Total	100%

ROTH DOLLAR DEFERRAL

Investment Option	Current %
Stock Investments	
LARGE CAP	
FA NEW INSIGHTS I	25%
SPTN 500 INDEX ADV	25%
INTERNATIONAL	
FA INTL DISCOVERY I	20%
Bond Investments	
INCOME	
FA GOV INCOME INST	10%
Short-Term Investments	
FID RET GOVT MM	20%
Total	100%

ROTH IN-PLAN CONVERSION

Investment Option	Current %
Stock Investments	

LARGE CAP

FA NEW INSIGHTS I	25%
SPTN 500 INDEX ADV	25%

INTERNATIONAL

FA INTL DISCOVERY I	20%

Bond Investments

INCOME

FA GOV INCOME INST	10%

Short-Term Investments

FID RET GOVT MM	20%

Total	**100%**

Your Contribution Summary

Statement Period: 12/01/2013 to 12/31/2013

Contributions	**Employee Deferral**	**Employer Discretionary**	**Safe Harbor Non-Elective**
Period to date	$0.00	$0.00	$0.00
Vested Percent	100%	100%	100%
Total Account Balance	$193,021.15	$251,085.21	$16,162.23
Total Vested Balance	$193,021.15	$251,085.21	$16,162.23

Your Account Activity

Statement Period: 12/01/2013 to 12/31/2013

Use this section as a summary of transactions that occurred in your account during the statement period.

Detailed Transaction History

Activity	**Blkrk Cap Appr I**	**Mainstay MAP I**	**FID Ret Govt MM**	**FA Mid Cap II I**
Beginning Balance	**$112,268.28**	**$3,360.19**	**$47,100.85**	**$2,426.16**
Administrative Fees	-$33.63	-$1.01	-$14.14	-$0.73
Change in Market Value	$5,995.94	$70.90	$0.40	$92.40
Ending Balance	**$118,230.59**	**$3,430.08**	**$47,087.11**	**$2,517.83**
Dividends & Interest	$15,269.96	$107.72	$0.40	$260.67

Activity	**FA Intl Discovery I**	**Sptn 500 Index ADV**	**FA GOV Income Inst**	**Sptn SM Cap Idx ADV**
Beginning Balance	**$105,372.39**	**$116,836.28**	**$57,804.60**	**$3,933.08**
Administrative Fees	-$31.48	-$34.96	-$17.31	-$1.14
Change in Market Value	$2,658.91	$2,953.63	-$550.00	$78.98
Ending Balance	**$107,999.82**	**$119,754.95**	**$57,237.29**	**$4,010.92**
Dividends & Interest	$2,073.82	$617.96	$124.18	$54.65

Activity	**Total**
Beginning Balance	**$449,101.83**
Administrative Fees	-$134.40
Change in Market Value	$11,301.16
Ending Balance	**$460,268.59**
Dividends & Interest	$18,509.36

Questions? Call (800) 294-4015

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MLPF& S CUST FPO
PAMELA A ROE IRA
FBO PAMELA A ROE
2 N CROSSBOW LN
JOHNSON CITY TN 37604-3639

Net Portfolio Value: $273,653.91

Your Financial Advisor:
SMITH CONKIN HAUSMAN DIXON & ASSOC.
206 PRINCETON RD SUITE NO 1
JOHNSON CITY TN 37601
1-423-282-5191

Pam's IRA

This account is enrolled in the Personal Investment Advisory Program

November 30, 2013 - December 31, 2013

ASSETS	*December 31*	*November 29*
Cash/Money Accounts	17,940.54	18,466.14
Fixed Income	-	-
Equities	57,540.40	60,645.42
Mutual Funds	198,172.97	188,474.23
Options	-	-
Other	-	-
Subtotal (Long Portfolio)	*273,653.91*	*267,585.79*
TOTAL ASSETS	**$273,653.91**	$267,585.79

LIABILITIES		
Debit Balance	-	-
TOTAL LIABILITIES	-	-
NET PORTFOLIO VALUE	**$273,653.91**	$267,585.79

CASH FLOW	*This Statement*	*Year to Date*
Opening Cash/Money Accounts	**$18,466.14**	
CREDITS		
Funds Received	-	-
Electronic Transfers	-	-
Other Credits	10.25	111.57
Subtotal	*10.25*	*111.57*
DEBITS		
Electronic Transfers	-	-
Other Debits	-	(2,712.12)
Subtotal	-	*(2,712.12)*
Net Cash Flow	**$10.25**	($2,600.55)
Dividends/Interest Income	2,939.16	5,060.34
Dividend Reinvestments	(1,522.49)	(1,522.49)
Security Purchases/Debits	(14,675.38)	(134,171.78)
Security Sales/Credits	12,722.86	122,661.75
Closing Cash/Money Accounts	**$17,940.54**	
Securities You Transferred In/Out	94.72	94.72

ACCOUNT INVESTMENT OBJECTIVE

November 30, 2013 - December 31, 2013

GROWTH: Objective is to accumulate wealth over time through price appreciation rather than current income. The investor should be willing to accept the risk of price volatility and principal loss in seeking to achieve growth.

If you have changes to your investment objective, please contact your Financial Advisor(s).

YOUR RETIREMENT ACCOUNT ASSETS

CASH/MONEY ACCOUNTS Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	221.61	221.61		221.61		
+FIA CARD SERVICES NA RASP	17,718.93	17,718.93	1.0000	17,718.93	9	.05
+FDIC INSURED NOT SIPC COVERED						
TOTAL		17,940.54		**17,940.54**	9	.05

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
AMAZON COM INC COM	AMZN	05/07/12	5	224.9000	1,124.50	398.7900	1,993.95	869.45		
		06/21/13	4	273.5675	1,094.27	398.7900	1,595.16	500.89		
Subtotal			*9*		*2,218.77*		***3,589.11***	*1,370.34*		
AUTONATION INC	AN	11/05/13	66	48.7831	3,219.69	49.6900	**3,279.54**	59.85		
BIOGEN IDEC INC	BIIB	07/03/13	8	216.8100	1,734.48	279.5720	2,236.58	502.10		
		07/09/13	6	217.7583	1,306.55	279.5720	1,677.43	370.88		
Subtotal			*14*		*3,041.03*		***3,914.01***	*872.98*		
CAPITAL ONE FINL	COF	11/11/13	24	69.7000	1,672.80	76.6100	1,838.64	165.84	29	1.56
		12/09/13	21	73.1500	1,536.15	76.6100	1,608.81	72.66	26	1.56
Subtotal			*45*		*3,208.95*		***3,447.45***	*238.50*	*55*	*1.56*
CHESAPEAKE ENERGY OKLA	CHK	05/10/13	92	19.4138	1,786.07	27.1400	2,496.88	710.81	33	1.28
		05/24/13	49	21.4630	1,051.69	27.1400	1,329.86	278.17	18	1.28
Subtotal			*141*		*2,837.76*		***3,826.74***	*988.98*	*51*	*1.28*

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YOUR RETIREMENT ACCOUNT ASSETS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
DOLLAR TREE INC	DLTR	11/06/13	56	58.5198	3,277.11	56.4200	**3,159.52**	(117.59)		
EATON CORP PLC	ETN	12/04/12	54	51.9055	2,802.90	76.1200	**4,110.48**	1,307.58	**91**	2.20
ELI LILLY & CO	LLY	12/11/13	66	50.3400	3,322.44	51.0000	**3,366.00**	43.56	**130**	3.84
EXXON MOBIL CORP COM	XOM	02/02/12	25	83.7060	2,092.65	101.2000	2,530.00	437.35	63	2.49
		11/16/12	5	85.4100	427.05	101.2000	506.00	78.95	13	2.49
Subtotal			*30*		*2,519.70*		***3,036.00***	*516.30*	***76***	*2.49*
GENL DYNAMICS CORP COM	GD	09/07/11	31	61.5700	1,908.67	95.5500	2,962.05	1,053.38	70	2.34
		07/06/12	8	65.4200	523.36	95.5500	764.40	241.04	18	2.34
Subtotal			*39*		*2,432.03*		***3,726.45***	*1,294.42*	***88***	*2.34*
GILEAD SCIENCES INC COM	GILD	12/16/13	46	71.9073	3,307.74	75.1000	**3,454.60**	146.86		
HESS CORP	HES	01/08/13	50	55.1716	2,758.58	83.0000	**4,150.00**	1,391.42	**50**	1.20
HUNTNGTN BANCSHS INC MD	HBAN	08/05/13	351	8.7398	3,067.70	9.6500	**3,387.15**	319.45	**71**	2.07
O'REILLY AUTOMOTIVE INC	ORLY	11/05/12	15	88.4606	1,326.91	128.7100	1,930.65	603.74		
		11/16/12	10	90.1160	901.16	128.7100	1,287.10	385.94		
Subtotal			*25*		*2,228.07*		***3,217.75***	*989.68*		
VALERO ENERGY CORP NEW	VLO	07/09/13	76	34.3622	2,611.53	50.4000	3,830.40	1,218.87	69	1.78
		07/15/13	10	35.7900	357.90	50.4000	504.00	146.10	9	1.78
Subtotal			*86*		*2,969.43*		***4,334.40***	*1,364.97*	***78***	*1.78*
WELLS FARGO & CO NEW DEL	WFC	08/07/12	41	34.2700	1,405.07	45.4000	1,861.40	456.33	50	2.64
		09/07/12	37	34.9500	1,293.15	45.4000	1,679.80	386.65	45	2.64
Subtotal			*78*		*2,698.22*		***3,541.20***	*842.98*	***95***	*2.64*
TOTAL					45,910.12		**57,540.40**	11,630.28	**785**	1.36

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
CALAMOS EVOLVING WORLD *GROWTH FUND CL I*	248	3,278.56	14.0400	**3,481.92**	203.36	3,278	**203**	**10**	.27

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YOUR TAA TRANSACTIONS

November 30, 2013 - December 31, 2013

SECURITY TRANSACTIONS (continued)
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	Trade Date	Description	Transaction Type	Quantity	Unit Price	Income Cash	Principal Cash	Accrued Interest Earned/(Paid)
		ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT CUS NO 375558103 SEC NO 32422 PRINCIPAL 3595.37						
12/19	12/18	MFS INTERNATIONAL VALUE FUND CL I SUBSCRIPTION FRAC SHR QUANTITY .326 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS CUS NO 55273E822 SEC NO 9K223 PRINCIPAL 5915.95 ML ACTED AS YOUR AGENT	Purchase	172	34.3300		(5,915.95)	
		Subtotal (Purchases)					*(14,790.78)*	
12/04	12/03 ■	FEDERAL HOME LN MTG CORP CALLABLE NOTES 03.000% JAN 17 2019 REDEEMED PER ADVISORY AGREEMENT. CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 0.87% CALL DATE 1/17/14 CALL PRICE $100.00. 137 DAYS INTEREST SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TMPG. CUS NO 3134G3HY9 SEC NO HAKL6 PRINCIPAL 10025.20 ML ACTED AS AGENT	Sale	-10,000	100.2520		10,025.20	-
12/06	12/05	CALL VLO 00049.000 SETTLEMENT DATE TRADE FOR OPTI VALERO ENERGY CORP NEW EXP 01-18-14 OPEN TRAN VLO JAN 49.00000 CUS NO N/A SEC NO VIKY2 PRINCIPAL 82.99 TRN FEE 0.01	Sale	-1	.8300		82.99	

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YOUR TAA TRANSACTIONS

November 30, 2013 - December 31, 2013

SECURITY TRANSACTIONS *(continued)*
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	Trade Date	Description	Transaction Type	Quantity	Unit Price	Income Cash	Principal Cash	Accrued Interest Earned/(Paid)
12/16	12/11 ■	AUTOZONE INC NEVADA COM REDEEMED PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT CUS NO 053332102 SEC NO 06750 PRINCIPAL 4247.93 TRN FEE 0.07	Sale	-9	472.0000		4,247.93	
12/19	12/16 ■	GAMESTOP CORP NEW CL A REDEEMED PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT CUS NO 36467W109 SEC NO 319Y9 PRINCIPAL 3144.00 TRN FEE 0.05	Sale	-65	48.3700		3,144.00	
12/19	12/16 ■	REGENERON PHARMACTCLS REDEEMED PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT CUS NO 75886F107 SEC NO 64376 PRINCIPAL 3232.16 TRN FEE 0.06	Sale	-12	269.3520		3,232.16	
12/19	12/16 ■	VERTEX PHARMCTLS INC REDEEMED PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES,	Sale	-46	64.4465		2,964.49	

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YOUR TAA TRANSACTIONS

November 30, 2013 - December 31, 2013

SECURITY TRANSACTIONS *(continued)*
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	Trade Date	Description	Transaction Type	Quantity	Unit Price	Income Cash	Principal Cash	Accrued Interest Earned/(Paid)
		REMUNERATION AND THE CAPACITY IN WHICH ML						
		ACTED ARE AVAILABLE UPON REQUEST.						
		WE MAKE A MKT IN ISSUE ML ACTED AS AGENT						
		CUS NO 92532F100 SEC NO 795E6 PRINCIPAL 2964.49						
		TRN FEE 0.05						
		Subtotal (Sales)					23,696.77	
		TOTAL					**8,905.99**	

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses) * This Statement	Gains/(Losses) * Year to Date
Δ FEDERAL HOME LN MTG CORP	10000.0000	03/26/12	12/03/13	10,025.20	10,287.63	(262.43)	
AUTOZONE INC NEVADA COM	3.0000	03/05/12	12/11/13	1,415.97	1,152.43	263.54	
AUTOZONE INC NEVADA COM	4.0000	06/27/12	12/11/13	1,887.97	1,431.36	456.61	
Subtotal (Long-Term)						*457.72*	*6,447.46*
AUTOZONE INC NEVADA COM	2.0000	01/14/13	12/11/13	943.99	694.00	249.99	
GAMESTOP CORP NEW CL A	65.0000	11/07/13	12/16/13	3,144.00	3,646.39	(502.39)	
REGENERON PHARMACTCLS	12.0000	11/06/13	12/16/13	3,232.16	3,570.00	(337.84)	
VERTEX PHARMCTLS INC	46.0000	10/03/13	12/16/13	2,964.49	3,506.78	(542.29)	
Subtotal (Short-Term)						*(1,132.53)*	*7,780.50*
TOTAL				**23,613.78**	**24,288.59**	**(674.81)**	**14,227.96**

* - Excludes transactions for which we have insufficient data

Δ Debt Instruments purchased at a premium show amortization θ Debt Instruments purchased at a discount show accretion
The capital gains and losses shown above may not reflect all transactions which must be reported on your 2013 tax return. These reportable transactions will appear on your January statement.

YOUR TAA TRANSACTIONS

November 30, 2013 - December 31, 2013

SECURITIES YOU TRANSFERRED IN/OUT

Date	Description	Transaction Type	Quantity	Income Cash	Principal Cash	Year To Date
12/02	TCW TOTAL RETURN BOND FD CL I FULL-SHARE-ACCM FULL SHARE ACCUM SHARE VALUE $10.08	Journal Entry	1		10.08	
12/02	RS FLOATING RATE FUND CL Y FULL-SHARE-ACCM FULL SHARE ACCUM SHARE VALUE $10.32	Journal Entry	1		10.32	
12/02	OPPENHEIMER INTERNATIONAL BOND FD Y FULL-SHARE-ACCM FULL SHARE ACCUM SHARE VALUE $6.05	Journal Entry	1		6.05	
12/02	PIMCO TOTAL RETURN FUND CL P FULL-SHARE-ACCM FULL SHARE ACCUM SHARE VALUE $10.85	Journal Entry	1		10.85	
12/06	ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT FULL-SHARE-ACCM FULL SHARE ACCUM SHARE VALUE $14.27	Journal Entry	1		14.27	
12/12	PIMCO TOTAL RETURN FUND CL P FULL-SHARE-ACCM FULL SHARE ACCUM SHARE VALUE $10.73	Journal Entry	1		10.73	

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Trust Account Numbe. [illegible]

YOUR TAA TRANSACTIONS

November 30, 2013 - December 31, 2013

SECURITIES YOU TRANSFERRED IN/OUT (continued)

Date	Description	Transaction Type	Quantity	Income Cash	Principal Cash	Year To Date
12/19	FIRST EAGLE OVERSEAS CL I FULL-SHARE-ACCM FULL SHARE ACCUM SHARE VALUE $23.05	Journal Entry	1		23.05	
12/19	MFS INTERNATIONAL VALUE FUND CL I FULL-SHARE-ACCM FULL SHARE ACCUM SHARE VALUE $34.35	Journal Entry	1		34.35	
12/19	TEMPLETON GLBL BOND FD ADV CL FULL-SHARE-ACCM FULL SHARE ACCUM SHARE VALUE $13.01	Journal Entry	1		13.01	
12/31	TCW TOTAL RETURN BOND FD CL I FULL-SHARE-ACCM FULL SHARE ACCUM SHARE VALUE $10.02	Journal Entry	1		10.02	
12/31	OPPENHEIMER INTERNATIONAL BOND FD Y FULL-SHARE-ACCM FULL SHARE ACCUM SHARE VALUE $6.08	Journal Entry	1		6.08	
	NET TOTAL				**148.81**	**438.92**

YOUR TAA TRANSACTIONS

November 30, 2013 - December 31, 2013

CASH/OTHER TRANSACTIONS

Date	*Transaction Type*	*Quantity*	*Description*	*Income Cash*	*Principal Cash*
12/10	Trustee Fee		TRUSTEE FEE-PERIOD OF 10/26/2013 TO 11/29/2013 APPLIED TO PRINCIPAL BASED ON AVG MKT VAL OF- $485,450.20		(83.33)
12/10	Trustee Fee		TRUSTEE FEE-PERIOD OF 10/26/2013 TO 11/29/2013 APPLIED TO INCOME BASED ON AVG MKT VAL OF- $485,450.20	(83.33)	
12/31	Disbursement		P601DISBURSEMENT DISBURSEMENT Qrtly unitrust distr per TA		(5,674.19)
	Subtotal (Other Debits/Credits)			*(83.33)*	*(5,757.52)*
	NET TOTAL			**(83.33)**	**(5,757.52)**

YOUR TAA MONEY ACCOUNT TRANSACTIONS

Date	*Description*	*Withdrawals*	*Deposits*	*Date*	*Description*	*Withdrawals*	*Deposits*
12/02	ML BANK DEPOSIT PROGRAM		82.00	12/17	ML BANK DEPOSIT PROGRAM		624.00
12/03	ML BANK DEPOSIT PROGRAM		111.00	12/19	ML BANK DEPOSIT PROGRAM		22.00
12/05	ML BANK DEPOSIT PROGRAM		10,139.00	12/19	ML BANK DEPOSIT PROGRAM	171.00	
12/09	ML BANK DEPOSIT PROGRAM		151.00	12/20	ML BANK DEPOSIT PROGRAM		136.00
12/10	ML BANK DEPOSIT PROGRAM	46.00		12/23	ML BANK DEPOSIT PROGRAM		297.00
12/11	ML BANK DEPOSIT PROGRAM	102.00		12/24	ML BANK DEPOSIT PROGRAM		418.00
12/12	ML BANK DEPOSIT PROGRAM	1,609.00		12/30	ML BANK DEPOSIT PROGRAM		292.00
12/16	ML BANK DEPOSIT PROGRAM		119.00	12/31	ML BANK DEPOSIT PROGRAM		512.00
	NET TOTAL						**10,975.00**

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FUNDAMENTAL EQUITY OPINION KEY AND GUIDE TO YOUR BofA MERRILL LYNCH RESEARCH RATINGS

BofA MERRILL LYNCH RESEARCH

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Equity Opinions include a Volatility Risk Rating, an Investment Rating and an Income Rating.

BofAML Research Volatility Risk Ratings

Indicators of potential price fluctuation are:

A – Low
B – Medium
C – High

BofAML Research Income Ratings

Indicators of potential cash dividends are:

7 – Same/higher (dividend considered to be secure)
8 – Same/lower (dividend not considered to be secure)
9 – Pays no cash dividend

BofAML Research Investment Ratings

Reflect the analyst's assessment of a stock's absolute total return potential and the stock's attractiveness for investment relative to other stocks within a Coverage Cluster (defined below). There are three investment ratings:

1 – Buy stocks are expected to have a total return of at least 10% and are the most attractive stocks in the Coverage Cluster
2 – Neutral stocks are expected to remain flat or increase in value and are less attractive than Buy rated stocks
3 – Underperform stocks are the least attractive stocks in a Coverage Cluster

Analysts assign investment ratings considering, among other things, the 0-12 month total return expectation for a stock and the firm's guidelines for ratings dispersions (shown in the table below). The current price objective for a stock should be referenced to better understand the total return expectation at any given time. The price objective reflects the analyst's view of the potential price appreciation (depreciation).

BofAML Research Investment Rating	Total return expectation (within 12-month period of date of initial rating)	Ratings dispersion guidelines for Coverage Cluster+
Buy	> or = 10%	< or = 70%
Neutral	> or = 0%	< or = 30%
Underperform	N/A	> or = 20%

+Ratings dispersions may vary from time to time where BofAML Research believes that it better reflects the investment prospects of stocks in a Coverage Cluster.

A Coverage Cluster is comprised of stocks covered by a single analyst or two or more analysts sharing a common industry, sector, region or other classification(s). A stock's Coverage Cluster is included in the most recent BofAML Comment referencing the stock.

THIRD-PARTY RESEARCH

Third-party research on the equity securities of certain companies is available to clients for informational purposes. Clients can access this research at www.mymerrill.com or can call 1-800-MERRILL to request that a copy be sent to them. Please note that the third party research rating is not necessarily equivalent to, or derived using the same methodology as, the BofAML Research ratings or the ratings of other third party research providers.

YOUR RETIREMENT ACCOUNT ASSETS

November 30, 2013 - December 31, 2013

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
SYMBOL: CNWIX Initial Purchase: 10/05/12									
Equity 100%									
.9240 Fractional Share		12.22	14.0400	**12.97**	.75			**1**	.27
DWA TECH LEADERS PORT	389	11,150.10	36.6500	**14,256.85**	3,106.75	11,150	**3,106**	**41**	.28
POWERSHARES ETF									
SYMBOL: PDP Initial Purchase: 01/04/13									
Equity 100%									
FIRST EAGLE	770	15,996.76	23.5000	**18,095.00**	2,098.24	12,012	**6,082**		
OVERSEAS CL I									
SYMBOL: SGOIX Initial Purchase: 09/18/08									
Equity 100%									
.6430 Fractional Share		14.72	23.5000	**15.11**	.39				
ISHARES CORE S&P MID-CAP	115	10,237.82	133.8100	**15,388.15**	5,150.33	10,237	**5,150**	**199**	1.29
ETF									
SYMBOL: IJH Initial Purchase: 11/16/10									
Equity 100%									
ISHARES U.S. TECHNOLOGY	217	12,362.82	88.4400	**19,191.48**	6,828.66	12,362	**6,828**	**204**	1.06
SYMBOL: IYW Initial Purchase: 05/13/09									
Equity 100%									
ISHARES S&P 100	492	30,574.95	82.3500	**40,516.20**	9,941.25	30,574	**9,941**	**794**	1.95
SYMBOL: OEF Initial Purchase: 10/19/11									
Equity 100%									
JAMES SMALL CAP FUND	173	4,357.77	33.2000	**5,743.60**	1,385.83	4,357	**1,385**	**157**	2.72
SYMBOL: JASCX Initial Purchase: 02/24/12									
Equity 100%									

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YOUR RETIREMENT ACCOUNT ASSETS

November 30, 2013 - December 31, 2013

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
.4870 Fractional Share		12.31	33.2000	**16.17**	3.86			1	2.72
MFS INTERNATIONAL VALUE	294	10,171.53	35.1800	**10,342.92**	171.39	10,171	**171**	**195**	1.87
FUND CL I									
SYMBOL: MINIX Initial Purchase: 09/25/13									
Equity 100%									
.8490 Fractional Share		29.19	35.1800	**29.87**	.68			1	1.87
OPPENHEIMER DEVELOPING	96	3,278.40	37.5600	**3,605.76**	327.36	3,278	**327**	**16**	.43
MARKETS FD CL Y									
SYMBOL: ODVYX Initial Purchase: 10/05/12									
Equity 100%									
.3950 Fractional Share		13.49	37.5600	**14.84**	1.35			1	.43
OPPENHEIMER INTERNATL	358	10,021.76	38.1600	**13,661.28**	3,639.52	10,021	**3,639**	**127**	.92
GROWTH FD CL Y									
SYMBOL: OIGYX Initial Purchase: 01/20/12									
Equity 100%									
.8390 Fractional Share		25.12	38.1600	**32.02**	6.90			1	.92
ROYCE PENNSYLVNIA MUTUAL	603	5,797.27	14.7300	**8,882.19**	3,084.92	4,594	**4,287**	**1**	
FUND CL INVESTMENT									
SYMBOL: PENNX Initial Purchase: 07/22/09									
Equity 100%									
.2720 Fractional Share		3.85	14.7300	**4.01**	.16				
SCOUT MID CAP FUND	311	4,083.43	17.8100	**5,538.91**	1,455.48	4,083	**1,455**	**14**	.24
CL RETAIL									
SYMBOL: UMBMX Initial Purchase: 07/13/12									
Equity 100%									
.1630 Fractional Share		2.14	17.8100	**2.90**	.76			1	.24

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YOUR RETIREMENT ACCOUNT ASSETS

November 30, 2013 - December 31, 2013

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) *Description*	*Quantity*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Total Client Investment*	*Cumulative Investment Return ($)*	*Estimated Annual Income*	*Current Yield%*
SECTOR SPDR ENERGY *SYMBOL: XLE* *Initial Purchase: 03/08/13* *Equity 100%*	30	2,364.80	88.5100	**2,655.30**	290.50	2,364	**290**	**46**	1.72
SECTOR SPDR FINANCIAL *SYMBOL: XLF* *Initial Purchase: 12/31/12* *Equity 100%*	298	4,955.53	21.8600	**6,514.28**	1,558.75	4,955	**1,558**	**96**	1.46
VANGUARD DIVIDEND *APPRECIATION ETF* *SYMBOL: VIG* *Initial Purchase: 12/21/12* *Equity 100%*	401	24,540.70	75.2400	**30,171.24**	5,630.54	24,540	**5,630**	**557**	1.84
Subtotal (Equities)				*198,172.97*					
TOTAL		153,285.24		**198,172.97**	44,887.73		**50,052**	**2,463**	1.24

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

Cumulative Investment Return: Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.

Initial Purchase: Date of your initial investment in this fund.

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

LONG PORTFOLIO	*Adjusted/Total Cost Basis*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Accrued Interest*	*Estimated Annual Income*	*Current Yield%*
TOTAL	217,135.90	**273,653.91**	56,518.01		**3,256**	1.19

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Pam's IRA Account Numb

YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 30, 2013 - December 31, 2013

DIVIDENDS/INTEREST INCOME TRANSACTIONS

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
12/02	* Dividend		WELLS FARGO & CO NEW DEL HOLDING 78.0000 PAY DATE 12/01/2013		23.40	
12/06	* Dividend		ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT PAY DATE 12/05/2013		.74	
12/06	Reinvestment		ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT	(.74)		
12/06	* Lg Tm Cap Gain		ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT PAY DATE 12/05/2013		372.33	
12/06	Reinvestment		ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT	(372.33)		
12/06	* Sh Tm Cap Gain		ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT PAY DATE 12/05/2013		79.47	
12/06	Reinvestment		ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT	(79.47)		
12/06	Divd Reinv		ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT REINV AMOUNT $.74 REINV PRICE $14.16000 QUANTITY BOT .0520 AS OF 12/05			
12/06	Divd Reinv	26	ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT REINV AMOUNT $372.33 REINV PRICE $14.16000 QUANTITY BOT 26.2940 AS OF 12/05			

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YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 30, 2013 - December 31, 2013

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
12/06	Divd Reinv	5	ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT REINV AMOUNT $79.47 REINV PRICE $14.16000 QUANTITY BOT 5.6120 AS OF 12/05			
12/10	* Dividend		EXXON MOBIL CORP COM HOLDING 30.0000 PAY DATE 12/10/2013		18.90	
12/10	* Dividend		OPPENHEIMER DEVELOPING MARKETS FD CL Y PAY DATE 12/09/2013		15.76	
12/10	* Lg Tm Cap Gain		OPPENHEIMER DEVELOPING MARKETS FD CL Y PAY DATE 12/09/2013		17.28	
12/13	* Dividend		MFS INTERNATIONAL VALUE FUND CL I PAY DATE 12/12/2013		92.69	
12/13	* Lg Tm Cap Gain		MFS INTERNATIONAL VALUE FUND CL I PAY DATE 12/12/2013		14.45	
12/18	* Dividend		VALERO ENERGY CORP NEW HOLDING 86.0000 PAY DATE 12/18/2013		19.35	
12/19	* Dividend		GAMESTOP CORP NEW CL A HOLDING 58.0000 PAY DATE 12/19/2013		15.95	
12/19	* Dividend		FIRST EAGLE OVERSEAS CL I PAY DATE 12/18/2013		377.16	

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Pam's IRA Account Number

YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 30, 2013 - December 31, 2013

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
12/19	Reinvestment		FIRST EAGLE OVERSEAS CL I	(377.16)		
12/19	* Lg Tm Cap Gain		FIRST EAGLE OVERSEAS CL I PAY DATE 12/18/2013		540.77	
12/19	Reinvestment		FIRST EAGLE OVERSEAS CL I	(540.77)		
12/19	* Sh Tm Cap Gain		FIRST EAGLE OVERSEAS CL I PAY DATE 12/18/2013		152.02	
12/19	Reinvestment		FIRST EAGLE OVERSEAS CL I	(152.02)		
12/19	Divd Reinv	16	FIRST EAGLE OVERSEAS CL I REINV AMOUNT $377.16 REINV PRICE $22.90000 QUANTITY BOT 16.4700 AS OF 12/17			
12/19	Divd Reinv	23	FIRST EAGLE OVERSEAS CL I REINV AMOUNT $540.77 REINV PRICE $22.90000 QUANTITY BOT 23.6140 AS OF 12/17			
12/19	Divd Reinv	6	FIRST EAGLE OVERSEAS CL I REINV AMOUNT $152.02 REINV PRICE $22.90000 QUANTITY BOT 6.6380 AS OF 12/17			
12/20	* Dividend		OPPENHEIMER INTERNATL		126.98	

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YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 30, 2013 - December 31, 2013

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
			GROWTH FD CL Y PAY DATE 12/19/2013			
12/20	* Dividend		CALAMOS EVOLVING WORLD GROWTH FUND CL I PAY DATE 12/19/2013		9.91	
12/20	* Lg Tm Cap Gain		CALAMOS EVOLVING WORLD GROWTH FUND CL I PAY DATE 12/19/2013		28.50	
12/23	* Dividend		SCOUT MID CAP FUND CL RETAIL PAY DATE 12/20/2013		1.09	
12/23	* Lg Tm Cap Gain		SCOUT MID CAP FUND CL RETAIL PAY DATE 12/20/2013		172.14	
12/23	* Sh Tm Cap Gain		SCOUT MID CAP FUND CL RETAIL PAY DATE 12/20/2013		121.91	
12/27	* Dividend		VANGUARD DIVIDEND APPRECIATION ETF HOLDING 401.0000 PAY DATE 12/27/2013		159.60	
12/30	* Dividend		ISHARES CORE S&P MID-CAP ETF HOLDING 115.0000 PAY DATE 12/30/2013		55.47	
12/30	* Dividend		ISHARES U.S. TECHNOLOGY HOLDING 217.0000 PAY DATE 12/30/2013		58.85	
12/30	* Dividend		ISHARES S&P 100 HOLDING 492.0000 PAY DATE 12/30/2013		228.48	

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Pam's IRA

Account Number:

YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 30, 2013 - December 31, 2013

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
12/30	* Dividend		MFS INTERNATIONAL VALUE FUND CL I PAY DATE 12/27/2013		13.83	
12/31	* Dividend		DWA TECH LEADERS PORT POWERSHARES ETF HOLDING 389.0000 PAY DATE 12/31/2013		9.71	
12/31	* Dividend		HESS CORP HOLDING 50.0000 PAY DATE 12/31/2013		12.50	
12/31	* Dividend		SECTOR SPDR ENERGY HOLDING 30.0000 PAY DATE 12/31/2013		12.08	
12/31	* Dividend		SECTOR SPDR FINANCIAL HOLDING 298.0000 PAY DATE 12/31/2013		30.14	
12/31	* Dividend		JAMES SMALL CAP FUND PAY DATE 12/31/2013		156.89	
	Income Total		FIA CARD SERVICES NA RASP		.81	
	Subtotal (Tax-Exempt Dividends)				*2,939.16*	*5,060.34*
	NET TOTAL			**(1,522.49)**	**2,939.16**	**5,060.34**

SECURITY TRANSACTIONS
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	Trade Date	Description	Transaction Type	Quantity	Unit Price	Debit	Credit	Accrued Interest Earned/(Paid)
12/12	12/09	CAPITAL ONE FINL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE	Purchase	21	73.1500	1,536.15		

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Pam's IRA Account Number

YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 30, 2013 - December 31, 2013

FUNDS RECEIVED, WITHDRAWALS, AND CHARGES (continued)

Date	*Transaction Type*	*Quantity*	*Description*	*Debit*	*Credit*
12/20	Subscription	1,039	FIA CARD SVS NA RASP	1,039.00	
12/23	Fund Delivery	-165	FIA CARD SVS NA RASP		
12/23	Subscription	165	FIA CARD SVS NA RASP	165.00	
12/24	Received	2,069	FIA CARD SVS NA RASP		
12/24	Redeemed	-2,069	FIA CARD SVS NA RASP		2,069.00
12/30	Fund Delivery	-159	FIA CARD SVS NA RASP		
12/30	Subscription	159	FIA CARD SVS NA RASP	159.00	
12/31	Fund Delivery	-357	FIA CARD SVS NA RASP		
12/31	Subscription	357	FIA CARD SVS NA RASP	357.00	
	NET TOTAL				**748.00**

YOUR RETIREMENT ACCOUNT CONTRIBUTIONS AND DISTRIBUTIONS

Year-End Plan Value as of December 31, 2013: $273,653.91 **Contributions after December 31, 2012 for 2012:** $.00

For IRA, IRRA; SEP/IRA, SIMPLE/IRA ROTH IRA and ESA accounts, the Year-End Plan Value represents the valuation we must furnish to you and the Internal Revenue Service as part of the IRS Form 5498 reporting requirements.

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YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 30, 2013 - December 31, 2013

SECURITY TRANSACTIONS (continued)
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	Trade Date	Description	Transaction Type	Quantity	Unit Price	Debit	Credit	Accrued Interest Earned/(Paid)
		ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT CUS NO 36467W109 SEC NO 319Y9 PRINCIPAL 2805.46 TRN FEE 0.05						
12/19	12/16	REGENERON PHARMACTCLS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT CUS NO 75886F107 SEC NO 64376 PRINCIPAL 2962.87 TRN FEE 0.05	Sale	-11	269.3520		2,962.82	
12/19	12/16	VERTEX PHARMCTLS INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT CUS NO 92532F100 SEC NO 795E6 PRINCIPAL 2706.75 TRN FEE 0.05	Sale	-42	64.4465		2,706.70	
		Subtotal (Sales)					12,722.86	
		TOTAL				14,675.38	12,722.86	

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Pam's IRA

Account Number:

YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 30, 2013 - December 31, 2013

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses) * This Statement	Gains/(Losses) * Year to Date
AUTOZONE INC NEVADA COM	4.0000	03/05/12	12/11/13	1,887.96	1,536.57	351.39	
AUTOZONE INC NEVADA COM	3.0000	06/27/12	12/11/13	1,415.98	1,073.52	342.46	
Subtotal (Long-Term)						*693.85*	*4,641.65*
AUTOZONE INC NEVADA COM	2.0000	01/14/13	12/11/13	943.99	694.00	249.99	
GAMESTOP CORP NEW CL A	58.0000	11/07/13	12/16/13	2,805.41	3,253.70	(448.29)	
REGENERON PHARMACTCLS	11.0000	11/06/13	12/16/13	2,962.82	3,272.50	(309.68)	
VERTEX PHARMCTLS INC	42.0000	10/03/13	12/16/13	2,706.70	3,201.84	(495.14)	
Subtotal (Short-Term)						*(1,003.12)*	*6,071.77*
TOTAL				**12,722.86**	**13,032.13**	**(309.27)**	**10,713.42**

* - Excludes transactions for which we have insufficient data

The capital gains and losses shown above may not reflect all transactions which must be reported on your 2013 tax return. These reportable transactions will appear on your January statement.

SECURITIES YOU TRANSFERRED IN/OUT

Date	Description	Transaction Type	Quantity	Value of Securities	Year To Date
12/06	ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT FULL SHARE ACCUM SHARE VALUE $14.27	Journal Entry	1	14.27	
12/19	FIRST EAGLE OVERSEAS CL I FULL SHARE ACCUM SHARE VALUE $23.05	Journal Entry	1	23.05	
12/19	FIRST EAGLE OVERSEAS CL I FULL SHARE ACCUM SHARE VALUE $23.05	Journal Entry	1	23.05	

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YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 30, 2013 - December 31, 2013

SECURITIES YOU TRANSFERRED IN/OUT (continued)

Date	Description	Transaction Type	Quantity	Value of Securities	Year To Date
12/19	MFS INTERNATIONAL VALUE FUND CL I FULL SHARE ACCUM SHARE VALUE $34.35	Journal Entry	1	34.35	
	NET TOTAL			**94.72**	**94.72**

CASH/OTHER TRANSACTIONS

Date	Transaction Type	Quantity	Description	Debit	Credit
12/09	Journal Entry		MUTUAL FUND ADJUSTMENT		10.25
	Subtotal (Other Debits/Credits)				*10.25*
	NET TOTAL				**10.25**

FUNDS RECEIVED, WITHDRAWALS, AND CHARGES

Date	Transaction Type	Quantity	Description	Debit	Credit
12/03	Fund Delivery	-23	FIA CARD SVS NA RASP		
12/03	Subscription	23	FIA CARD SVS NA RASP	23.00	
12/10	Fund Delivery	-10	FIA CARD SVS NA RASP		
12/10	Subscription	10	FIA CARD SVS NA RASP	10.00	
12/11	Fund Delivery	-52	FIA CARD SVS NA RASP		
12/11	Subscription	52	FIA CARD SVS NA RASP	52.00	
12/12	Received	1,536	FIA CARD SVS NA RASP		
12/12	Redeemed	-1,536	FIA CARD SVS NA RASP		1,536.00
12/16	Fund Delivery	-107	FIA CARD SVS NA RASP		
12/16	Subscription	107	FIA CARD SVS NA RASP	107.00	
12/17	Fund Delivery	-926	FIA CARD SVS NA RASP		
12/17	Subscription	926	FIA CARD SVS NA RASP	926.00	
12/19	Fund Delivery	-19	FIA CARD SVS NA RASP		
12/19	Subscription	19	FIA CARD SVS NA RASP	19.00	
12/20	Fund Delivery	-1,039	FIA CARD SVS NA RASP		

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YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 30, 2013 - December 31, 2013

SECURITY TRANSACTIONS (continued)

TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	Trade Date	Description	Transaction Type	Quantity	Unit Price	Debit	Credit	Accrued Interest Earned/(Paid)
		CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT CUS NO 14040H105 SEC NO 130K3 PRINCIPAL 1536.15						
12/16	12/11	ELI LILLY & CO PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT CUS NO 532457108 SEC NO 44534 PRINCIPAL 3322.44	Purchase	66	50.3400	3,322.44		
12/19	12/16	GILEAD SCIENCES INC COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT CUS NO 375558103 SEC NO 32422 PRINCIPAL 3307.74	Purchase	46	71.9074	3,307.74		
12/19	12/18	MFS INTERNATIONAL VALUE FUND CL I FRAC SHR QUANTITY .924 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS CUS NO 55273E822 SEC NO 9K223 PRINCIPAL 2606.47 ML ACTED AS YOUR AGENT	Purchase	75	34.3300	2,606.47		

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YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 30, 2013 - December 31, 2013

SECURITY TRANSACTIONS (continued)
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	Trade Date	Description	Transaction Type	Quantity	Unit Price	Debit	Credit	Accrued Interest Earned/(Paid)
12/20	12/17	ISHARES U.S. TECHNOLOGY PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT CUS NO 464287721 SEC NO 388E6 PRINCIPAL 1537.87	Purchase	18	85.4372	1,537.87		
12/24	12/23	MFS INTERNATIONAL VALUE FUND CL I FRAC SHR QUANTITY .108 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS CUS NO 55273E822 SEC NO 9K223 PRINCIPAL 2364.71 ML ACTED AS YOUR AGENT	Purchase	68	34.7200	2,364.71		
		Subtotal (Purchases)				*14,675.38*		
12/16	12/11	AUTOZONE INC NEVADA COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT CUS NO 053332102 SEC NO 06750 PRINCIPAL 4248.00 TRN FEE 0.07	Sale	-9	472.0000		4,247.93	
12/19	12/16	GAMESTOP CORP NEW CL A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING	Sale	-58	48.3700		2,805.41	

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Account	Trade Date	Settle Date	Type	Description	Symbol/ CUSIP	Quantity	Price	Amount
[redacted] Pam's IRA	12/23/2013	12/24/2013		Purchase *MFS INTERNATIONAL VALUE FUND CL I FRAC SHR QUANTITY .108 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS*	MINIX	68	$34.72	-$2,364.71
[redacted] Pam's IRA	12/17/2013	12/20/2013		Purchase *ISHARES U.S. TECHNOLOGY PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	IYW	18	$85.44	-$1,537.87
[redacted] Pam's IRA		12/19/2013		Divd Reinv *FIRST EAGLE OVERSEAS CL I AGENT REINV AMOUNT $377.16 REINV PRICE $22.90000 QUANTITY BOT 16.4700 AS OF 12/17*	SGOIX	16		$0.00
[redacted] Pam's IRA		12/19/2013		Divd Reinv *FIRST EAGLE OVERSEAS CL I AGENT REINV AMOUNT $540.77 REINV PRICE $22.90000 QUANTITY BOT 23.6140 AS OF 12/17*	SGOIX	23		$0.00
[redacted] Pam's IRA		12/19/2013		Divd Reinv *FIRST EAGLE OVERSEAS CL I AGENT REINV AMOUNT $152.02 REINV PRICE $22.90000 QUANTITY BOT 6.6380 AS OF 12/17*	SGOIX	6		$0.00
[redacted] Pam's IRA		12/19/2013		Journal Entry *FIRST EAGLE OVERSEAS CL I FULL SHARE ACCUM SHARE VALUE $23.05*	SGOIX	1		$0.00
[redacted] Pam's IRA		12/19/2013		Journal Entry *FIRST EAGLE OVERSEAS CL I FULL SHARE ACCUM SHARE VALUE $23.05*	SGOIX	1		$0.00
[redacted] Pam's IRA		12/19/2013		Journal Entry *MFS INTERNATIONAL VALUE FUND CL I FULL SHARE ACCUM SHARE VALUE $34.35*	MINIX	1		$0.00
[redacted]	12/16/2013	12/19/2013		Sale	REGN	-11	$269.35	$2,962.82

Pam's IRA				REGENERON PHARMACTCLS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT				
[redacted]374 Pam's IRA	12/16/2013	12/19/2013	⊡	Sale GAMESTOP CORP NEW CL A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GME	-58	$48.37	$2,805.41
[redacted]4 Pam's IRA	12/16/2013	12/19/2013	⊡	Purchase GILEAD SCIENCES INC COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	GILD	46	$71.91	-$3,307.74
[redacted] Pam's IRA	12/16/2013	12/19/2013	⊡	Sale VERTEX PHARMCTLS INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	VRTX	-42	$64.45	$2,706.70
[redacted] Pam's IRA	12/18/2013	12/19/2013	⊡	Purchase MFS INTERNATIONAL VALUE FUND CL I FRAC SHR QUANTITY .924 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION. SEE THE PROSPECTUS	MINIX	75	$34.33	-$2,606.47
[redacted] Pam's IRA	12/11/2013	12/16/2013	⊡	Sale AUTOZONE INC NEVADA COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AZO	-9	$472.00	$4,247.93
[redacted]	12/11/2013	12/16/2013	⊡	Purchase	LLY	66	$50.34	-$3,322.44

Pam's IRA			ELI LILLY & CO PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT					
[redacted] Pam's IRA	12/9/2013	12/12/2013	Purchase CAPITAL ONE FINL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	COF	21	$73.15	-$1,536.15
[redacted] Pam's IRA		12/6/2013	Divd Reinv ROYCE PA MUTUAL FD INV AGENT REINV AMOUNT $.74 REINV PRICE $14.16000 QUANTITY BOT .0520 AS OF 12/05	PENNX			$0.00
[redacted]4 Pam's IRA		12/6/2013	Divd Reinv ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT AGENT REINV AMOUNT $372.33 REINV PRICE $14.16000 QUANTITY BOT 26.2940 AS OF 12/05	PENNX	26		$0.00
[redacted]4 Pam's IRA		12/6/2013	Divd Reinv ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT AGENT REINV AMOUNT $79.47 REINV PRICE $14.16000 QUANTITY BOT 5.6120 AS OF 12/05	PENNX	5		$0.00
[redacted]4 Pam's IRA		12/6/2013	Journal Entry ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT FULL SHARE ACCUM SHARE VALUE $14.27	PENNX	1		$0.00
[redacted] Pam's IRA	11/14/2013	11/19/2013	Sale AMAZON COM INC COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AMZN	-2	$366.97	$733.94
[redacted]4 Pam's IRA	11/11/2013	11/14/2013	Purchase CAPITAL ONE FINL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	COF	24	$69.70	-$1,672.80
[redacted]4	11/7/2013	11/13/2013	Sale	WHR	-24	$148.29	$3,558.93

Pam's IRA			*WHIRLPOOL CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*				
[redacted] *Pam's IRA*	11/7/2013	11/13/2013	Purchase *GAMESTOP CORP NEW CL A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	GME	58	$56.10	-$3,253.70
[redacted]74 *Pam's IRA*	11/6/2013	11/12/2013	Purchase *REGENERON PHARMACTCLS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT*	REGN	11	$297.50	-$3,272.50
[redacted]4 *Pam's IRA*	11/6/2013	11/12/2013	Purchase *DOLLAR TREE INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT*	DLTR	56	$58.52	-$3,277.11
[redacted] *Pam's IRA*	11/5/2013	11/8/2013	Sale *ABBVIE INC SHS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	ABBV	-71	$48.36	$3,433.83
[redacted] *Pam's IRA*	11/5/2013	11/8/2013	Purchase *AUTONATION INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	AN	66	$48.78	-$3,219.69
72[redacted]	11/1/2013	11/6/2013	Sale	PETM	-43	$71.71	$3,083.43

Pam's IRA			PETSMART INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT					
[redacted] Pam's IRA	10/30/2013	11/4/2013	Sale SANDISK CORP INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	SNDK	-56	$70.00	$3,919.93
[redacted] Pam's IRA	10/28/2013	10/29/2013	Purchase MFS INTERNATIONAL VALUE FUND CL I FRAC SHR QUANTITY .226 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	MINIX	76	$35.11	-$2,676.29
[redacted] Pam's IRA	10/21/2013	10/23/2013	Sale MICRON TECHNOLOGY INC TRADE AS OF 10/18/13 A/C CALL ZQ6J2 MU PER ADVISORY AGREEMENT. WE MAKE A MKT IN ISSUE UNSOLICITED ORDER	MU	-200	$14.00	$2,799.95
[redacted] Pam's IRA		10/21/2013	Option Assigned CALL MU 00014.000 MICRON TECHNOLOGY INC EXP 10-19-13 VS 01900801 UNIT OAK BATCH # = 00567039498	MU1319J14	2		$0.00
[redacted] Pam's IRA	10/14/2013	10/17/2013	Sale FLUOR CORP NEW DEL COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	FLR	-45	$72.45	$3,260.19
[redacted] Pam's IRA	10/14/2013	10/17/2013	Purchase WHIRLPOOL CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	WHR	14	$132.31	-$1,852.27
[redacted]	10/4/2013	10/9/2013	Sale	MU	-35	$18.11	$633.84

Pam's IRA			*MICRON TECHNOLOGY INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT*				
[redacted] Pam's IRA	10/3/2013	10/8/2013	Purchase *VERTEX PHARMCTLS INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT*	VRTX	42	$76.23	-$3,201.84
[redacted] Pam's IRA	10/3/2013	10/8/2013	Sale *TERADATA CORP DEL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	TDC	-55	$54.34	$2,988.78
[redacted] Pam's IRA	9/25/2013	9/26/2013	Purchase *MFS INTERNATIONAL VALUE FUND CL I FRAC SHR QUANTITY .591 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS*	MINIX	74	$34.23	-$2,553.25
[redacted] Pam's IRA	9/20/2013	9/25/2013	Sale *CAPITAL ONE FINL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	COF	-47	$69.24	$3,254.05
[redacted]	9/13/2013	9/18/2013	Sale *O'REILLY AUTOMOTIVE INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT*	ORLY	-4	$125.16	$500.61
[redacted]	9/6/2013	9/11/2013	Purchase	WHR	10	$131.45	-$1,314.51

Pam's IRA			WHIRLPOOL CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
[redacted] Pam's IRA	9/5/2013	9/10/2013	Sale CENTURYLINK INC SHS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CTL	-67	$32.53	$2,179.28
[redacted] Pam's IRA	9/5/2013	9/10/2013	Purchase ABBVIE INC SHS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ABBV	71	$43.37	-$3,078.96
[redacted] Pam's IRA	8/7/2013	8/12/2013	Sale MARATHON OIL CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MRO	-84	$35.10	$2,948.69
[redacted] Pam's IRA	8/5/2013	8/8/2013	Sale JPMORGAN CHASE & CO PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JPM	-58	$56.30	$3,265.34
[redacted] Pam's IRA	8/5/2013	8/8/2013	Purchase HUNTNGTN BANCSHS INC MD PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	HBAN	351	$8.74	-$3,067.70
[redacted]	8/2/2013	8/7/2013	Purchase	MU	235	$13.46	-$3,162.70

Pam's IRA			MICRON TECHNOLOGY INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT				
[redacted] Pam's IRA	8/2/2013	8/5/2013	Sale CALL MU 00014.000 MICRON TECHNOLOGY INC EXP 10-19-13 OPEN TRAN MU OCT 14.00000	MU131 9314	-2	$1.12	$223.99
[redacted] Pam's IRA	7/16/2013	7/19/2013	Purchase TERADATA CORP DEL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	TDC	10	$56.70	-$567.00
[redacted] Pam's IRA	7/15/2013	7/18/2013	Purchase VALERO ENERGY CORP NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VLO	10	$35.79	-$357.90
[redacted] Pam's IRA	7/15/2013	7/18/2013	Purchase MARATHON OIL CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MRO	12	$36.63	-$439.54
[redacted] Pam's IRA	7/9/2013	7/12/2013	Sale MARATHON PETROLEUM CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MPC	-34	$69.50	$2,362.99
[redacted] Pam's IRA	7/9/2013	7/12/2013	Purchase BIOGEN IDEC INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	BIIB	6	$217.76	-$1,306.55
[redacted]	7/9/2013	7/12/2013	Purchase	VLO	76	$34.36	-$2,611.53

Pam's IRA			VALERO ENERGY CORP NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
[redacted] Pam's IRA	7/9/2013	7/12/2013	Purchase MARATHON OIL CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MRO	72	$36.14	-$2,602.32
[redacted]74 Pam's IRA	7/5/2013	7/10/2013	Sale WHIRLPOOL CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	WHR	-26	$113.23	$2,943.82
[redacted] Pam's IRA	7/5/2013	7/10/2013	Sale NEW NEWSCORP LLC SHS CL A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	NWSA	-23	$15.55	$357.73
[redacted] Pam's IRA	7/5/2013	7/10/2013	Sale TWENTY-FIRST CENTURY FOX INC CLASS A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	FOXA	-92	$30.28	$2,785.70
[redacted] Pam's IRA	7/3/2013	7/9/2013	Purchase BIOGEN IDEC INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	BIIB	8	$216.81	-$1,734.48
[redacted] Pam's IRA		7/5/2013	Stock Dividend NEW NEWSCORP LLC SHS CL A CL A HOLDING 92.0000 PAID BY NEWS CORP PAY DATE 07/04/2013	NWSA	23		$0.00
[redacted] Pam's IRA		7/5/2013	DUDB NEW NEWSCORP LLC SHS CL A	NWSA	-23		$0.00

[redacted] Pam's IRA		7/3/2013	Exchange NEWS CORP CL A PAY DATE 07/03/2013	####	-92		$0.00
[redacted] Pam's IRA		7/3/2013	Exchange TWENTY-FIRST CENTURY FOX INC CLASS A FOX INC CLASS A PAY DATE 07/03/2013	FOXA	92		$0.00
[redacted] Pam's IRA		7/1/2013	DUDB NEW NEWSCORP LLC SHS CL A	NWSA	23		$0.00
[redacted] Pam's IRA	6/21/2013	6/26/2013	Purchase AMAZON COM INC COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AMZN	4	$273.57	-$1,094.27
[redacted] Pam's IRA	6/25/2013	6/26/2013	Purchase CALL CHK 00025.000 CHESAPEAKE ENERGY OKLA EXP 08-17-13 CLOSE TRAN CHK AUG 25.00000	CHK13 17H25	1	$0.11	-$11.00
[redacted] Pam's IRA	5/24/2013	5/30/2013	Purchase CHESAPEAKE ENERGY OKLA PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CHK	49	$21.46	-$1,051.69
[redacted] Pam's IRA	5/24/2013	5/28/2013	Sale CALL CHK 00025.000 CHESAPEAKE ENERGY OKLA EXP 08-17-13 OPEN TRAN CHK AUG 25.00000	CHK13 17H25	-1	$0.33	$33.32
[redacted] Pam's IRA		5/20/2013	Option Expired PUT OEF 00065.000 ISHARES S&P 100 INDEX EXP 05-18-13 VS 01900005 UNIT OAK BATCH # = 00567002076	OEF131 8Q65	-3		$0.00
[redacted] Pam's IRA	5/10/2013	5/15/2013	Purchase CHESAPEAKE ENERGY OKLA PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CHK	92	$19.41	-$1,786.07
[redacted]	4/23/2013	4/26/2013	Sale	NWSA	-22	$31.84	$700.50

Pam's IRA			*NEWS CORP CL A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT*				
[redacted] *Pam's IRA*	4/22/2013	4/25/2013	Purchase *MARATHON PETROLEUM CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	MPC	8	$80.14	-$641.10
[redacted] *Pam's IRA*	4/19/2013	4/24/2013	Purchase *ISHARES S&P 100 INDEX FUND PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	OEF	79	$69.97	-$5,527.24
[redacted] *Pam's IRA*	4/19/2013	4/24/2013	Sale *ISHARES CORE S&P SMALL CAP ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	IJR	-75	$83.30	$6,247.58
[redacted] *Pam's IRA*	4/19/2013	4/24/2013	Purchase *MARATHON PETROLEUM CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	MPC	26	$77.46	-$2,013.98
[redacted] *Pam's IRA*	4/22/2013	4/24/2013	Sale *MICRON TECHNOLOGY INC TRADE AS OF 04/19/13 A/C CALL SOWS5 MU PER ADVISORY AGREEMENT. WE MAKE A MKT IN ISSUE UNSOLICITED ORDER*	MU	-300	$9.00	$2,699.94
[redacted] *Pam's IRA*		4/22/2013	Option Assigned *CALL MU 00009.000 MICRON TECHNOLOGY INC EXP 04-20-13 VS 01900801 UNIT OAK BATCH # = 00567024606*	MU132 0D9	3		$0.00
[redacted]	4/12/2013	4/17/2013	Purchase	OEF	41	$71.59	-$2,935.19

Pam's IRA			ISHARES S&P 100 INDEX FUND PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
[redacted] Pam's IRA	4/5/2013	4/10/2013	Sale ISHARES CORE S&P SMALL CAP ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IJR	-37	$84.65	$3,132.00
[redacted] Pam's IRA	4/5/2013	4/10/2013	Sale EMERGING GLOBAL SHARES DOW JONES PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ECON	-132	$26.04	$3,437.29
[redacted] Pam's IRA	4/5/2013	4/10/2013	Purchase VANGUARD DIVIDEND APPRECIATION ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VIG	27	$65.14	-$1,758.77
[redacted] Pam's IRA	4/5/2013	4/10/2013	Purchase VANGUARD DIVIDEND APPRECIATION ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VIG	10	$65.08	-$650.80
[redacted] Pam's IRA	4/3/2013	4/8/2013	Purchase WHIRLPOOL CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	WHR	26	$115.50	-$3,003.00
[redacted]	4/3/2013	4/8/2013	Sale	JEC	-58	$54.13	$3,139.31

Pam's IRA			JACOBS ENGN GRP INC DELA PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
[redacted] Pam's IRA	3/28/2013	4/3/2013	Sale MICRON TECHNOLOGY INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	MU	-71	$9.95	$706.63
[redacted] Pam's IRA	3/8/2013	3/13/2013	Purchase SECTOR SPDR ENERGY PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLE	30	$78.83	-$2,364.80
[redacted] Pam's IRA	3/8/2013	3/13/2013	Purchase SANDISK CORP INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	SNDK	56	$51.61	-$2,890.20
[redacted] Pam's IRA	3/7/2013	3/12/2013	Sale DISCOVERY COMMUNICATN INC SERIES A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	DISCA	-42	$75.74	$3,180.83
[redacted] Pam's IRA	2/28/2013	3/1/2013	Sale CALL MU 00009.000 MICRON TECHNOLOGY INC EXP 04-20-13 OPEN TRAN MU APR 9.00000	MU132 0D9	-3	$0.24	$72.59
[redacted] Pam's IRA	2/15/2013	2/21/2013	Sale MARATHON PETROLEUM CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MPC	-45	$82.25	$3,701.17
[redacted]	2/6/2013	2/11/2013	Purchase	PETM	18	$65.77	-$1,183.79

Pam's IRA				PETSMART INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT				
[redacted] Pam's IRA	2/6/2013	2/11/2013	Purchase	JPMORGAN CHASE & CO PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JPM	25	$48.73	-$1,218.16
[redacted] Pam's IRA	2/8/2013	2/11/2013	Purchase	PUT OEF 00065.000 ISHARES S&P 100 INDEX EXP 05-18-13 OPEN TRAN OEF MAY 65.00000	OEF131 8Q65	3	$0.95	-$284.16
[redacted] Pam's IRA	2/5/2013	2/8/2013	Purchase	JPMORGAN CHASE & CO PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JPM	33	$48.00	-$1,583.95
[redacted] Pam's IRA	2/5/2013	2/8/2013	Purchase	PETSMART INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	PETM	25	$64.18	-$1,604.41
[redacted] Pam's IRA	2/5/2013	2/8/2013	Sale	ACTAVIS INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ACT	-32	$86.34	$2,762.82
[redacted] Pam's IRA	2/1/2013	2/6/2013	Sale	CONSTELLATION BRANDS INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	STZ	-76	$32.51	$2,470.49
[redacted] Pam's IRA		1/24/2013	Exchange	ACTAVIS INC PAY DATE 01/24/2013	ACT	32		$0.00
[redacted]		1/24/2013	Exchange		9E+08	-32		$0.00

Pam's IRA			WATSON PHARMACEUTICALS PAY DATE 01/24/2013				
[redacted] Pam's IRA	1/18/2013	1/24/2013	Purchase WATSON PHARMACEUTICALS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	9E+08	4	$85.12	-$340.48
[redacted] Pam's IRA	1/14/2013	1/17/2013	Purchase AUTOZONE INC NEVADA COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AZO	2	$347.00	-$694.00
[redacted] Pam's IRA	1/14/2013	1/17/2013	Sale MICRON TECHNOLOGY INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	MU	-88	$7.50	$660.04
[redacted] Pam's IRA	1/11/2013	1/16/2013	Sale SECTOR SPDR CONSMRS STPL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLP	-156	$35.81	$5,586.04
[redacted] Pam's IRA	1/11/2013	1/16/2013	Purchase VANGUARD DIVIDEND APPRECIATION ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VIG	37	$61.31	-$2,268.46
[redacted] Pam's IRA	1/11/2013	1/16/2013	Purchase SECTOR SPDR FINANCIAL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLF	5	$17.07	-$85.35
[redacted]	1/11/2013	1/16/2013	Purchase	PDP	118	$28.67	-$3,382.54

Pam's IRA			DWA TECH LEADERS PORT POWERSHARES ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
[redacted] Pam's IRA	1/11/2013	1/16/2013	Sale KAYNE ANDERSON MLP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KYN	-85	$32.07	$2,725.54
[redacted]4 Pam's IRA	1/10/2013	1/15/2013	Purchase SECTOR SPDR FINANCIAL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLF	113	$17.05	-$1,926.65
[redacted] Pam's IRA	1/10/2013	1/15/2013	Sale SECTOR SPDR CONSMRS STPL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLP	-90	$35.68	$3,211.41
[redacted]74 Pam's IRA	1/10/2013	1/15/2013	Purchase DWA TECH LEADERS PORT POWERSHARES ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	PDP	77	$28.63	-$2,204.78
[redacted] Pam's IRA	1/10/2013	1/15/2013	Purchase SECTOR SPDR FINANCIAL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLF	15	$17.16	-$257.33
[redacted]	1/9/2013	1/14/2013	Sale	APA	-33	$80.10	$2,643.24

Pam's IRA			APACHE CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
[redacted] Pam's IRA	1/8/2013	1/11/2013	Purchase VANGUARD DIVIDEND APPRECIATION ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VIG	36	$60.90	-$2,192.40
[redacted] Pam's IRA	1/8/2013	1/11/2013	Purchase HESS CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HES	50	$55.17	-$2,758.58
[redacted] Pam's IRA	1/7/2013	1/10/2013	Purchase VANGUARD DIVIDEND APPRECIATION ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VIG	38	$60.98	-$2,317.24
[redacted] Pam's IRA	1/4/2013	1/9/2013	Sale AUTOMATIC DATA PROC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ADP	-38	$58.75	$2,232.63
[redacted] Pam's IRA	1/4/2013	1/9/2013	Sale C.H, ROBINSON WORLDWIDE, INC. NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CHRW	-35	$63.02	$2,205.80
[redacted]	1/4/2013	1/9/2013	Sale	LLTC	-66	$35.31	$2,330.21

Pam's IRA			LINEAR TECHNOLOGY CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT				
[redacted] Pam's IRA	1/4/2013	1/9/2013	Sale MCDONALDS CORP COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MCD	-25	$90.03	$2,250.71
[redacted] Pam's IRA	1/4/2013	1/9/2013	Purchase VANGUARD DIVIDEND APPRECIATION ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VIG	109	$61.00	-$6,648.98
[redacted] Pam's IRA	1/4/2013	1/9/2013	Sale 3M COMPANY PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MMM	-23	$94.94	$2,183.67
[redacted] Pam's IRA	1/4/2013	1/9/2013	Sale PAYCHEX INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	PAYX	-67	$31.83	$2,132.27
[redacted] Pam's IRA	1/4/2013	1/9/2013	Sale COCA COLA COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KO	-62	$37.70	$2,337.42
[redacted]	1/4/2013	1/9/2013	Purchase	DISCA	42	$65.74	-$2,761.18

Pam's IRA			*DISCOVERY COMMUNICATN INC SERIES A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT*				
[redacted] *Pam's IRA*	1/4/2013	1/9/2013	Purchase *DWA TECH LEADERS PORT POWERSHARES ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	PDP	194	$28.67	-$5,562.78
[redacted] *Pam's IRA*	1/4/2013	1/9/2013	Purchase *VANGUARD DIVIDEND APPRECIATION ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	VIG	35	$61.12	-$2,139.20
[redacted] *Pam's IRA*	1/3/2013	1/8/2013	Purchase *VANGUARD DIVIDEND APPRECIATION ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	VIG	36	$60.99	-$2,195.78
[redacted] *Pam's IRA*	1/3/2013	1/8/2013	Sale *CHESAPEAKE ENERGY OKLA PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	CHK	-114	$16.85	$1,920.42
[redacted] *Pam's IRA*	12/31/2012	1/4/2013	Purchase *SECTOR SPDR FINANCIAL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	XLF	165	$16.28	-$2,686.20
[redacted]	12/31/2012	1/4/2013	Purchase	IYW	32	$70.29	(2,249.25

Pam's IRA	*ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDX F PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*

Online at: **www.mymerrill.com** Account Number [redacted] **24-Hour Assistance: (800) MERRILL**

DR DAVID PHILIP ROE TTEE
U/A DTD 08/08/2007
BY DAVID PHILIP ROE
2 N CROSSBOW LN
JOHNSON CITY TN 37604-3639

Net Portfolio Value: $491,349.07

Your Financial Advisor:
SMITH CONKIN HAUSMAN DIXON & ASSOC.
206 PRINCETON RD SUITE NO 1
JOHNSON CITY TN 37601
1-423-282-5191

Trust

This account is enrolled in the Personal Investment Advisory Program

November 30, 2013 - December 31, 2013

ASSETS

ASSETS	*December 31*	*November 29*
Cash/Money Accounts	**44,016.00**	33,122.63
Fixed Income	**35,145.24**	45,409.59
Equities	**66,458.84**	69,459.81
Mutual Funds	**350,627.69**	341,099.10
Options	-	-
Other	-	-
Subtotal (Long Portfolio)	***496,247.77***	*489,091.13*
Estimated Accrued Interest	**593.00**	563.89
TOTAL ASSETS	**$496,840.77**	$489,655.02

LIABILITIES

LIABILITIES		
Debit Balance	**(5,290.70)**	-
Short Market Value	**(201.00)**	-
TOTAL LIABILITIES	**(5,491.70)**	-
NET PORTFOLIO VALUE	**$491,349.07**	$489,655.02

CASH FLOW

CASH FLOW	*This Statement*	*Year to Date*
Opening Cash/Money Accounts	**$33,122.63**	
CREDITS		
Funds Received	-	-
Electronic Transfers	-	-
Other Credits	-	48,821.45
Subtotal	-	*48,821.45*
DEBITS		
Electronic Transfers	-	-
Margin Interest Charged	-	-
Other Debits	**(5,840.85)**	(78,997.93)
Visa Purchases *(debits)*	-	-
ATM/Cash Advances	-	-
Checks Written/Bill Payment	-	-
Subtotal	***(5,840.85)***	*(78,997.93)*
Net Cash Flow	**($5,840.85)**	($30,176.48)
Dividends/Interest Income	**4,747.23**	13,443.05
Dividend Reinvestments	**(2,209.70)**	(3,325.40)
Security Purchases/Debits	**(14,790.78)**	(178,007.02)
Security Sales/Credits	**23,896.77**	221,546.64
Closing Cash/Money Accounts	**$38,725.30**	
Securities You Transferred In/Out	**148.81**	438.92

ACCOUNT INVESTMENT OBJECTIVE

November 30, 2013 - December 31, 2013

TOTAL RETURN: Objective is to strike a balance between current income and growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s).

YOUR TAA BANK DEPOSIT INTEREST SUMMARY

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
FIA Card Services, N.A.	33,039	40,994	.05	1.79	**44,015**
Bank of America CA, N.A.	1	1	.05	0.00	**1**
TOTAL ML Bank Deposit Program	33,040			1.79	**44,016**

ITEMS FOR ATTENTION

Security	Message	Date	Security	Message	Date
CALL VLO 00049.000	Option Expiring	01/18/14			

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

CORPORATE BONDS Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
GOLDMAN SACHS GROUP LP *GLB 05.000% OCT 01 2014* *MOODY'S: BAA1 S&P: A- CUSIP: 38143UAW1*	10/11/07	10,000	9,670.00	103.1790	**10,317.90**	647.90	125.00	**500**	4.84
Δ WAL-MART STORES *04.500% JUL 01 2015* *MOODY'S: AA2 S&P: AA CUSIP: 931142BY8* *ORIGINAL UNIT/TOTAL COST: 103.4230/10,342.30*	06/12/09	10,000	10,092.53	106.1120	**10,611.20**	518.67	225.00	**450**	4.24

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YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

CORPORATE BONDS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ CISCO SYSTEMS INC GLB 04.950% FEB 15 2019 MOODY'S: A1 S&P: AA- CUSIP: 17275RAE2 ORIGINAL UNIT/TOTAL COST: 107.4870/10,748.70	03/02/11	10,000	10,508.46	112.4830	**11,248.30**	739.84	187.00	**495**	4.40
GENERAL ELEC CAP CORP SER MTN STEP% JAN 13 2027 MOODY'S: A1 S&P: AA+ CUSIP: 36966TEF5 PAR CALL DATE: 01/13/14 PAR CALL PRICE: 100.00	01/12/12	3,000	2,994.00	98.9280	**2,967.84**	(26.16)	56.00	**120**	4.04
TOTAL		33,000	33,264.99		**35,145.24**	1,880.25	593.00	**1,565**	4.45

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
AMAZON COM INC COM	AMZN	05/07/12	6	224.9000	1,349.40	398.7900	2,392.74	1,043.34		
		06/21/13	4	273.5075	1,094.27	398.7900	1,595.16	500.89		
Subtotal			*10*		*2,443.67*		***3,987.90***	*1,544.23*		
AUTONATION INC	AN	11/05/13	73	48.7831	3,561.17	49.6900	**3,627.37**	66.20		
BIOGEN IDEC INC	BIIB	07/03/13	9	216.8100	1,951.29	279.5720	2,516.15	564.86		
		07/09/13	7	217.7585	1,524.31	279.5720	1,957.00	432.69		
Subtotal			*16*		*3,475.60*		***4,473.15***	*997.55*		
CAPITAL ONE FINL	COF	11/11/13	27	69.7000	1,881.90	76.6100	2,068.47	186.57	33	1.56
		12/09/13	22	73.1500	1,609.30	76.6100	1,685.42	76.12	27	1.56
Subtotal			*49*		*3,491.20*		***3,753.89***	*262.69*	***60***	*1.56*
CHESAPEAKE ENERGY OKLA	CHK	05/10/13	108	19.4137	2,096.69	27.1400	2,931.12	834.43	38	1.28
		05/24/13	57	21.4629	1,223.39	27.1400	1,546.98	323.59	20	1.28
Subtotal			*165*		*3,320.08*		***4,478.10***	*1,158.02*	***58***	*1.28*

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YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
DOLLAR TREE INC	DLTR	11/06/13	62	58.5198	3,628.23	56.4200	**3,498.04**	(130.19)		
EATON CORP PLC	ETN	12/04/12	58	51.9055	3,010.52	76.1200	**4,414.96**	1,404.44	**98**	2.20
ELI LILLY & CO	LLY	12/11/13	72	50.3400	3,624.48	51.0000	**3,672.00**	47.52	**142**	3.84
EXXON MOBIL CORP COM	XOM	02/02/12	32	83.7059	2,678.59	101.2000	3,238.40	559.81	81	2.49
		11/16/12	6	85.4100	512.46	101.2000	607.20	94.74	16	2.49
Subtotal			*38*		*3,191.05*		***3,845.60***	*654.55*	***97***	*2.49*
GENL DYNAMICS CORP COM	GD	09/07/11	43	61.5700	2,647.51	95.5500	4,108.65	1,461.14	97	2.34
		07/06/12	8	65.4200	523.36	95.5500	764.40	241.04	18	2.34
Subtotal			*51*		*3,170.87*		***4,873.05***	*1,702.18*	***115***	*2.34*
GILEAD SCIENCES INC COM	GILD	12/16/13	50	71.9074	3,595.37	75.1000	**3,755.00**	159.63		
HESS CORP	HES	01/08/13	62	55.1716	3,420.64	83.0000	**5,146.00**	1,725.36	**62**	1.20
HUNTNGTN BANCSHS INC MD	HBAN	08/05/13	398	8.7398	3,478.48	9.6500	**3,840.70**	362.22	**80**	2.07
O'REILLY AUTOMOTIVE INC	ORLY	11/05/12	15	88.4606	1,326.91	128.7100	1,930.65	603.74		
		11/16/12	13	90.1161	1,171.51	128.7100	1,673.23	501.72		
Subtotal			*28*		*2,498.42*		***3,603.88***	*1,105.46*		
VALERO ENERGY CORP NEW	VLO	07/09/13	90	34.3623	3,092.61	50.4000	4,536.00	1,443.39	81	1.78
		07/15/13	9	35.7900	322.11	50.4000	453.60	131.49	9	1.78
		12/05/13	1	45.6800	45.68	50.4000	50.40	4.72	1	1.78
Subtotal			*100*		*3,460.40*		***5,040.00***	*1,579.60*	***91***	*1.78*
WELLS FARGO & CO NEW DEL	WFC	08/07/12	52	34.2698	1,782.03	45.4000	2,360.80	578.77	63	2.64
		09/07/12	46	34.9500	1,607.70	45.4000	2,088.40	480.70	56	2.64
Subtotal			*98*		*3,389.73*		***4,449.20***	*1,059.47*	***119***	*2.64*
TOTAL					52,759.91		**66,458.84**	13,698.93	**922**	1.39

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
CALAMOS HIGH INCOME FUND	462	4,610.53	9.7300	**4,495.26**	(115.27)	4,610	**(115)**	**255**	5.65

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Trust

Account Number: [redacted]

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
CL I SYMBOL: CIHYX Initial Purchase: 09/21/12 Fixed Income 100%									
.2210 Fractional Share		2.21	9.7300	**2.15**	(0.06)			**1**	5.65
CALAMOS EVOLVING WORLD	310	4,097.75	14.0400	**4,352.40**	254.65	4,097	**254**	**13**	.27
GROWTH FUND CL I SYMBOL: CNWIX Initial Purchase: 10/05/12 Equity 100%									
.3360 Fractional Share		4.44	14.0400	**4.72**	.28			**1**	.27
DOUBLELINE TOTAL RETURN	322	3,679.40	10.7800	**3,471.16**	(208.24)	3,679	**(208)**	**179**	5.13
BOND FUND CL I SYMBOL: DBLTX Initial Purchase: 09/21/12 Fixed Income 100%									
.9220 Fractional Share		10.54	10.7800	**9.94**	(0.60)			**1**	5.13
DWA TECH LEADERS PORT	478	13,701.11	36.6500	**17,518.70**	3,817.59	13,701	**3,817**	**50**	.28
POWERSHARES ETF SYMBOL: PDP Initial Purchase: 01/04/13 Equity 100%									
EATON VANCE TAX-ADVANTAG	180	3,171.60	14.2000	**2,556.00**	(615.60)	3,171	**(615)**	**306**	11.97
BD & OPT STRATEGIES FD SYMBOL: EXD Initial Purchase: 03/14/13 Alternative Investments 100%									
FIRST EAGLE	934	19,313.79	23.5000	**21,949.00**	2,635.21	15,695	**6,253**		
OVERSEAS CL I SYMBOL: SGOIX Initial Purchase: 09/25/08 Equity 100%									

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YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
.8560 Fractional Share		19.60	23.5000	**20.12**	.52				
GUGGENHEIM BULLETSHARES *2016 CORPORATE BOND ETF* *SYMBOL: BSCG Initial Purchase: 09/16/10* *Fixed Income 100%*	209	4,410.86	22.2900	**4,658.61**	247.75	4,410	**247**	**74**	1.57
GUGGENHEIM BULLETSHARES *2017 CORPORATE BOND ETF* *SYMBOL: BSCH Initial Purchase: 03/16/12* *Fixed Income 100%*	212	4,655.52	22.6600	**4,803.92**	148.40	4,655	**148**	**92**	1.90
GUGGENHEIM BULLETSHARES *2014 H Y CORP* *SYMBOL: BSJE Initial Purchase: 06/01/11* *Fixed Income 100%*	141	3,630.75	26.6700	**3,760.47**	129.72	3,630	**129**	**131**	3.46
GUGGENHEIM BULLETSHARES *2015 H Y CORP* *SYMBOL: BSJF Initial Purchase: 06/14/11* *Fixed Income 100%*	323	8,300.62	26.7800	**8,649.94**	349.32	8,300	**349**	**365**	4.21
ISHARES CORE S&P MID-CAP *ETF* *SYMBOL: IJH Initial Purchase: 11/16/10* *Equity 100%*	150	13,358.23	133.8100	**20,071.50**	6,713.27	13,358	**6,713**	**260**	1.29
ISHARES U.S. PREFERRED *STOCK ETF* *SYMBOL: PFF Initial Purchase: 02/22/12* *Fixed Income 100%*	91	3,564.97	36.8300	**3,351.53**	(213.44)	3,564	**(213)**	**222**	6.60

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YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
ISHARES U.S. TECHNOLOGY	250	14,521.69	88.4400	**22,110.00**	7,588.31	14,521	**7,588**	**235**	1.06
SYMBOL: IYW Initial Purchase: 06/29/10									
Equity 100%									
ISHARES S&P 100	590	36,247.68	82.3500	**48,586.50**	12,338.82	36,247	**12,338**	**952**	1.95
SYMBOL: OEF Initial Purchase: 10/19/11									
Equity 100%									
JAMES SMALL CAP FUND	222	5,590.82	33.2000	**7,370.40**	1,779.58	5,590	**1,779**	**201**	2.72
SYMBOL: JASCX Initial Purchase: 02/24/12									
Equity 100%									
.6750 Fractional Share		*16.90*	*33.2000*	***22.41***	*5.51*			***1***	*2.72*
MFS INTERNATIONAL VALUE	339	11,693.80	35.1800	**11,926.02**	232.22	11,693	**232**	**224**	1.87
FUND CL I									
SYMBOL: MINIX Initial Purchase: 09/25/13									
Equity 100%									
.0290 Fractional Share		*1.00*	*35.1800*	***1.02***	*.02*			***1***	*1.87*
OPPENHEIMER	1,417	9,222.69◆	6.0800	**8,615.36**	(607.33)	8,741	**(126)**	**351**	4.06
INTERNATIONAL BOND FD Y									
SYMBOL: OIBYX Initial Purchase: 11/23/07									
Fixed Income 100%									
.4840 Fractional Share		*2.94*	*6.0800*	***2.94***				***1***	*4.06*
OPPENHEIMER DEVELOPING	120	4,097.45	37.5600	**4,507.20**	409.75	4,097	**409**	**20**	.43
MARKETS FD CL Y									
SYMBOL: ODVYX Initial Purchase: 10/05/12									
Equity 100%									
.1610 Fractional Share		*5.50*	*37.5600*	***6.05***	*.55*			***1***	*.43*

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YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
OPPENHEIMER INTERNATL	452	12,610.87	38.1600	**17,248.32**	4,637.45	12,610	**4,637**	**160**	.92
GROWTH FD CL Y									
SYMBOL: OIGYX Initial Purchase: 01/20/12									
Equity 100%									
.4930 Fractional Share		*14.54*	*38.1600*	***18.81***	*4.27*			***1***	*.92*
PIMCO TOTAL RETURN FUND	350	3,890.96	10.6900	**3,741.50**	(149.46)	3,249	**492**	**93**	2.47
CL P									
SYMBOL: PTTPX Initial Purchase: 05/21/10									
Fixed Income 100%									
.6050 Fractional Share		*6.50*	*10.6900*	***6.47***	*(0.03)*			***1***	*2.47*
POWERSHARES BUILD AMERIC	311	7,986.08	27.2901	**8,487.21**	501.13	7,986	**501**	**440**	5.18
BOND ETF									
SYMBOL: BAB Initial Purchase: 02/12/10									
Fixed Income 100%									
PRINCIPAL PREFERRED	431	4,411.56	9.8100	**4,228.11**	(183.45)	4,411	**(183)**	**244**	5.74
SECURITIES FUND CL P									
SYMBOL: PPSPX Initial Purchase: 03/16/12									
Fixed Income 100%									
.4710 Fractional Share		*5.04*	*9.8100*	***4.62***	*(0.42)*			***1***	*5.74*
PUTNAM DIVERSIFIED	964	7,472.28	7.8600	**7,577.04**	104.76	7,472	**104**	**452**	5.95
INCOME TRUST FUND CL Y									
SYMBOL: PDVYX Initial Purchase: 06/28/13									
Fixed Income 100%									
.6350 Fractional Share		*4.93*	*7.8600*	***4.99***	*.06*			***1***	*5.95*
RIDGEWORTH SEIX FLOATING	508	4,581.49	9.0600	**4,602.48**	20.99	4,581	**20**	**193**	4.17

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Trust

Account Number: [redacted]

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
RATE HI INC FD CL I									
SYMBOL: SAMBX Initial Purchase: 12/26/12									
Fixed Income 100%									
.0860 Fractional Share		0.78	9.0600	**.78**				1	4.17
ROYCE PENNSYLVNIA MUTUAL	778	7,413.90	14.7300	**11,459.94**	4,046.04	5,977	**5,482**	1	
FUND CL INVESTMENT									
SYMBOL: PENNX Initial Purchase: 07/22/09									
Equity 100%									
.6160 Fractional Share		8.72	14.7300	**9.07**	.35				
RS FLOATING RATE FUND	436	4,522.80✦	10.3400	**4,508.24**	(14.56)	4,308	**200**	206	4.55
CL Y									
SYMBOL: RSFYX Initial Purchase: 02/18/11									
Fixed Income 100%									
.0590 Fractional Share		0.61	10.3400	**.61**				1	4.55
RS LOW DURATION BOND FD	440	4,496.36	10.1300	**4,457.20**	(39.16)	4,496	**(39)**	88	1.97
CL Y									
SYMBOL: RSDYX Initial Purchase: 11/16/11									
Fixed Income 100%									
.8650 Fractional Share		8.85	10.1300	**8.76**	(0.09)			1	1.97
SCOUT MID CAP FUND	400	5,251.27	17.8100	**7,124.00**	1,872.73	5,251	**1,872**	18	.24
CL RETAIL									
SYMBOL: UMBMX Initial Purchase: 07/13/12									
Equity 100%									
.5540 Fractional Share		7.27	17.8100	**9.87**	2.60			1	.24
SECTOR SPDR ENERGY	36	2,837.76	88.5100	**3,186.36**	348.60	2,837	**348**	55	1.72
SYMBOL: XLE Initial Purchase: 03/08/13									

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YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
Equity 100%									
SECTOR SPDR FINANCIAL	365	6,075.51	21.8600	**7,978.90**	1,903.39	6,075	**1,903**	**117**	1.46
SYMBOL: XLF Initial Purchase: 12/31/12									
Equity 100%									
TCW TOTAL RETURN	570	5,769.38	10.0200	**5,711.40**	(57.98)	5,459	**251**	**250**	4.36
BOND FD CL I									
SYMBOL: TGLMX Initial Purchase: 06/29/10									
Fixed Income 100%									
.1360 Fractional Share		1.36	10.0200	**1.36**				**1**	4.36
TEMPLETON GLBL BOND FD	634	8,077.57	13.0900	**8,299.06**	221.49	7,424	**874**	**326**	3.91
ADV CL									
	61	N/A	13.0900	**798.49**	N/A			**32**	3.91
SYMBOL: TGBAX Initial Purchase: 06/30/09									
Fixed Income 100%									
.3710 Fractional Share		4.82	13.0900	**4.86**	.04			**1**	3.91
VANGUARD DIVIDEND	479	29,267.54	75.2400	**36,039.96**	6,772.42	29,267	**6,772**	**665**	1.84
APPRECIATION ETF									
SYMBOL: VIG Initial Purchase: 12/21/12									
Equity 100%									
VICTORY FD FOR INCOME	414	4,602.98	10.4100	**4,309.74**	(293.24)	4,602	**(293)**	**261**	6.04
CL Y									
SYMBOL: VFFYX Initial Purchase: 04/16/13									
Fixed Income 100%									
.6270 Fractional Share		6.97	10.4100	**6.53**	(0.44)			**1**	6.04
WESTERN ASSET INVT GRADE	249	5,505.17	20.2000	**5,029.80**	(475.37)	5,505	**(475)**	**299**	5.94

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Trust Account Number: [redacted]

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
DEFINED OPPORTUNITY TR SYMBOL: IGI Initial Purchase: 03/14/13 Fixed Income 100%									
Subtotal (Fixed Income)				*103,610.53*					
Subtotal (Equities)				*241,521.27*					
Subtotal (Alternative Investments)				*2,556.00*					
TOTAL		292,776.26		**347,687.80**	54,113.05		**61,445**	**7,848**	2.26
TOTAL PRINCIPAL INVESTMENTS		378,801.16		**449,291.88**	69,692.23			**10,335**	2.30

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.
Cumulative Investment Return: Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.
Initial Purchase: Date of your initial investment in this fund.

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

Notes
Δ Debt Instruments purchased at a premium show amortization θ Debt Instruments purchased at a discount show accretion
Total values exclude N/A items
✦Cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and the acquisition date has been adjusted to include the holding period of the lot closed by that previous "Wash Sale".
For Credit Ratings: S&P and Moody's provide credit ratings on the credit quality of certain bonds and preferred stocks. For a credit enhanced security, Moody's and S&P publish and provide third party vendors the higher of the rating on the credit enhancer (guarantor) or the stand alone rating on the underlying security.

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YOUR TAA STATEMENT OF INCOME INVESTMENTS

November 30, 2013 - December 31, 2013

CASH/MONEY ACCOUNTS Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	383.12	383.12		**383.12**		
+ML BANK DEPOSIT PROGRAM *+FDIC INSURED NOT SIPC COVERED*	44,016.00	44,016.00	1.0000	**44,016.00**	22	.05
TOTAL		44,399.12		**44,399.12**	22	.05

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return($)	Estimated Annual Income	Current Yield%
FIRST EAGLE *OVERSEAS CL I* *SYMBOL: SGOIX Initial Purchase:12/17/10* *Equity 100%*	62	1,362.59	23.5000	**1,457.00**	94.41		**1,457**		
OPPENHEIMER *INTERNATIONAL BOND FD Y* *SYMBOL: OIBYX Initial Purchase:REINV* *Fixed Income 100%*	45	280.76◆	6.0800	**273.60**	(7.16)		**273**	**12**	4.06
PIMCO TOTAL RETURN FUND *CL P* *SYMBOL: PTTPX Initial Purchase:REINV* *Fixed Income 100%*	6	66.98	10.6900	**64.14**	(2.84)		**64**	**2**	2.47
ROYCE PENNSYLVNIA MUTUAL *FUND CL INVESTMENT* *SYMBOL: PENNX Initial Purchase:REINV* *Equity 100%*	9	100.66	14.7300	**132.57**	31.91		**132**	**1**	
RS FLOATING RATE FUND *CL Y*	22	225.28◆	10.3400	**227.48**	2.20		**227**	**11**	4.55

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Trust Account Number: [redacted]

YOUR TAA STATEMENT OF INCOME INVESTMENTS

November 30, 2013 - December 31, 2013

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
SYMBOL: RSFYX Initial Purchase:REINV Fixed Income 100%									
TCW TOTAL RETURN BOND FD CL I SYMBOL: TGLMX Initial Purchase:REINV Fixed Income 100%	47	474.77◆	10.0200	**470.94**	(3.83)		**470**	**21**	4.36
TEMPLETON GLBL BOND FD ADV CL SYMBOL: TGBAX Initial Purchase:REINV Fixed Income 100%	24	315.92	13.0900	**314.16**	(1.76)		**314**	**13**	3.91
Subtotal (Fixed Income)				*1,350.32*					
Subtotal (Equities)				*1,589.57*					
TOTAL		2,826.96		**2,939.89**	112.93		**2,937**	**60**	2.04
TOTAL INCOME INVESTMENTS		47,226.08		**47,339.01**	112.93			**82**	.17

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment. Does not apply to income investments "denoted by "N/A".

Cumulative Investment Return: Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis (*total cost of* shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.

Initial Purchase: Date of your initial investment in this fund.

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of *capturing short-term profits* resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

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YOUR TAA STATEMENT OF INCOME INVESTMENTS

November 30, 2013 - December 31, 2013

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL PRINCIPAL/INCOME INVESTMENTS	426,027.24	**496,630.89**	69,805.16	593.00	**10,417**	2.10

✦Cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and the acquisition date has been adjusted to include the holding period of the lot closed by that previous "Wash Sale".

YOUR TAA LIABILITIES

SHORTS Description	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
CALL VLO 00049.000 *VALERO ENERGY CORP NEW EXP 01-18-2014*	12/05/13	1	0.8299	82.99	2.0100	**201.00**	(118.01)		
TOTAL				82.99		**201.00**	(118.01)		

YOUR TAA TRANSACTIONS

DIVIDENDS/INTEREST INCOME TRANSACTIONS

Date	Transaction Type	Quantity	Description	Reinvestment	Income Cash	Principal Cash	Income Year To Date
12/04	■Accrued Int		FEDERAL HOME LN MTG CORP CALLABLE NOTES 03.000% JAN 17 2019 INCOME ON SALE PER ADVISORY AGREEMENT. CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 0.87% CALL DATE 1/17/14 CALL PRICE $100.00. 137 DAYS INTEREST PRICE 100.252000		114.17		

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Account Number [redacted]

YOUR TAA TRANSACTIONS

November 30, 2013 - December 31, 2013

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income Cash	Principal Cash	Income Year To Date
			SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TMPG. CUSIP NUM: 3134G3HY9				
12/31	¤ Bank Interest		BANK DEPOSIT INTEREST BANKING INTEREST		.79		
	Income Total		ML BANK DEPOSIT PROGRAM		1.00		
	Subtotal (Taxable Interest)				*115.96*		*2,377.14*
12/06	Dividend		GUGGENHEIM BULLETSHARES 2016 CORPORATE BOND ETF DIVIDEND HOLDING 209.0000 PAY DATE 12/06/2013		5.02		
	Subtotal (Tax-Exempt Dividends)				*5.02*		*271.06*
12/02	Dividend		WELLS FARGO & CO NEW DEL DIVIDEND HOLDING 98.0000 PAY DATE 12/01/2013		29.40		
12/02	Dividend		TCW TOTAL RETURN BOND FD CL I DIVIDEND PAY DATE 11/29/2013		18.40		
12/02	Reinvestment		TCW TOTAL RETURN BOND FD CL I REINVESTMENT	(18.40)	(18.40)		
12/02	Divd Reinv	1	TCW TOTAL RETURN BOND FD CL I DIVD REIN REINV AMOUNT $18.40 REINV PRICE $10.10000				

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YOUR TAA TRANSACTIONS

November 30, 2013 - December 31, 2013

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income Cash	Principal Cash	Income Year To Date
			QUANTITY BOT 1.8220 AS OF 11/29				
12/02	Dividend		RS LOW DURATION BOND FD CL Y DIVIDEND PAY DATE 11/29/2013		8.21		
12/02	Dividend		RIDGEWORTH SEIX FLOATING RATE HI INC FD CL I DIVIDEND PAY DATE 11/29/2013		15.49		
12/02	Dividend		RS FLOATING RATE FUND CL Y DIVIDEND PAY DATE 11/29/2013		17.26		
12/02	Reinvestment		RS FLOATING RATE FUND CL Y REINVESTMENT	(17.26)	(17.26)		
12/02	Divd Reinv	1	RS FLOATING RATE FUND CL Y DIVD REIN REINV AMOUNT $17.26 REINV PRICE $10.32000 QUANTITY BOT 1.6720 AS OF 11/29				
12/02	Dividend		DOUBLELINE TOTAL RETURN BOND FUND CL I DIVIDEND PAY DATE 11/29/2013		14.87		
12/02	Dividend		OPPENHEIMER INTERNATIONAL BOND FD Y DIVIDEND		28.85		

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YOUR TAA TRANSACTIONS

November 30, 2013 - December 31, 2013

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income Cash	Principal Cash	Income Year To Date
			PAY DATE 11/29/2013				
12/02	Reinvestment		OPPENHEIMER INTERNATIONAL BOND FD Y REINVESTMENT	(28.85)	(28.85)		
12/02	Divd Reinv	4	OPPENHEIMER INTERNATIONAL BOND FD Y DIVD REIN REINV AMOUNT $28.85 REINV PRICE $6.08000 QUANTITY BOT 4.7450 AS OF 11/29				
12/02	Dividend		PRINCIPAL PREFERRED SECURITIES FUND CL P DIVIDEND PAY DATE 11/29/2013		23.00		
12/02	Dividend		CALAMOS HIGH INCOME FUND CL I DIVIDEND PAY DATE 11/29/2013		20.02		
12/02	Dividend		PIMCO TOTAL RETURN FUND CL P DIVIDEND PAY DATE 11/29/2013		6.79		
12/02	Reinvestment		PIMCO TOTAL RETURN FUND CL P REINVESTMENT	(6.79)	(6.79)		
12/02	Divd Reinv		PIMCO TOTAL RETURN FUND CL P REINV AMOUNT $6.79 REINV PRICE $10.88000 QUANTITY BOT .6240				

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YOUR TAA TRANSACTIONS

November 30, 2013 - December 31, 2013

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income Cash	Principal Cash	Income Year To Date
			AS OF 11/29				
12/06	Dividend		ISHARES U.S. PREFERRED STOCK ETF DIVIDEND HOLDING 91.0000 PAY DATE 12/06/2013		21.48		
12/06	Dividend		GUGGENHEIM BULLETSHARES 2017 CORPORATE BOND ETF DIVIDEND HOLDING 212.0000 PAY DATE 12/06/2013		6.57		
12/06	Dividend		GUGGENHEIM BULLETSHARES 2014 H Y CORP DIVIDEND HOLDING 141.0000 PAY DATE 12/06/2013		9.17		
12/06	Dividend		GUGGENHEIM BULLETSHARES 2015 H Y CORP DIVIDEND HOLDING 323.0000 PAY DATE 12/06/2013		25.84		
12/06	Dividend		ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT DIVIDEND PAY DATE 12/05/2013		.97		
12/06	Reinvestment		ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT REINVESTMENT	(.97)	(.97)		
12/06	* Lg Tm Cap Gain		* ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT LONG TERM CAPITAL GAIN			486.10	

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YOUR TAA TRANSACTIONS

November 30, 2013 - December 31, 2013

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income Cash	Principal Cash	Income Year To Date
			PAY DATE 12/05/2013				
12/06	Reinvestment		ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT REINVESTMENT	(486.10)		(486.10)	
12/06	Sh Tm Cap Gain		ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT SHORT TERM CAPITAL GAIN PAY DATE 12/05/2013			103.75	
12/06	Reinvestment		ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT REINVESTMENT	(103.75)		(103.75)	
12/06	Divd Reinv		ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT REINV AMOUNT $.97 REINV PRICE $14.16000 QUANTITY BOT .0690 AS OF 12/05				
12/06	Divd Reinv	34	ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT DIVD REIN REINV AMOUNT $486.10 REINV PRICE $14.16000 QUANTITY BOT 34.3290 AS OF 12/05				
12/06	Divd Reinv	7	ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT DIVD REIN REINV AMOUNT $103.75 REINV PRICE $14.16000 QUANTITY BOT 7.3270 AS OF 12/05				

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YOUR TAA TRANSACTIONS

November 30, 2013 - December 31, 2013

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income Cash	Principal Cash	Income Year To Date
12/10	Dividend		EXXON MOBIL CORP COM DIVIDEND HOLDING 38.0000 PAY DATE 12/10/2013		23.94		
12/10	Dividend		OPPENHEIMER DEVELOPING MARKETS FD CL Y DIVIDEND PAY DATE 12/09/2013		19.65		
12/10	* Lg Tm Cap Gain		* OPPENHEIMER DEVELOPING MARKETS FD CL Y LONG TERM CAPITAL GAIN PAY DATE 12/09/2013			21.54	
12/12	* Lg Tm Cap Gain		* PIMCO TOTAL RETURN FUND CL P LONG TERM CAPITAL GAIN PAY DATE 12/11/2013			21.24	
12/12	Reinvestment		PIMCO TOTAL RETURN FUND CL P REINVESTMENT	(21.24)		(21.24)	
12/12	Sh Tm Cap Gain		PIMCO TOTAL RETURN FUND CL P SHORT TERM CAPITAL GAIN PAY DATE 12/11/2013			4.06	
12/12	Reinvestment		PIMCO TOTAL RETURN FUND CL P REINVESTMENT	(4.06)		(4.06)	
12/12	Divd Reinv	1	PIMCO TOTAL RETURN FUND CL P DIVD REIN REINV AMOUNT $21.24 REINV PRICE $10.75000				

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YOUR TAA TRANSACTIONS

November 30, 2013 - December 31, 2013

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income Cash	Principal Cash	Income Year To Date
			QUANTITY BOT 1.9760 AS OF 12/11				
12/12	Divd Reinv		PIMCO TOTAL RETURN FUND CL P REINV AMOUNT $4.06 REINV PRICE $10.75000 QUANTITY BOT .3780 AS OF 12/11				
12/13	Dividend		MFS INTERNATIONAL VALUE FUND CL I DIVIDEND PAY DATE 12/12/2013		102.46		
12/13	* Lg Tm Cap Gain		* MFS INTERNATIONAL VALUE FUND CL I LONG TERM CAPITAL GAIN PAY DATE 12/12/2013			15.98	
12/18	Dividend		VALERO ENERGY CORP NEW DIVIDEND HOLDING 99.0000 PAY DATE 12/18/2013		22.28		
12/19	Dividend		GAMESTOP CORP NEW CL A DIVIDEND HOLDING 65.0000 PAY DATE 12/19/2013		17.88		
12/19	Dividend		FIRST EAGLE OVERSEAS CL I DIVIDEND PAY DATE 12/18/2013		487.87		
12/19	Reinvestment		FIRST EAGLE OVERSEAS CL I REINVESTMENT	(487.87)	(487.87)		

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YOUR TAA TRANSACTIONS

November 30, 2013 - December 31, 2013

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income Cash	Principal Cash	Income Year To Date
12/19	* Lg Tm Cap Gain		» FIRST EAGLE OVERSEAS CL I LONG TERM CAPITAL GAIN PAY DATE 12/18/2013			699.50	
12/19	Reinvestment		FIRST EAGLE OVERSEAS CL I REINVESTMENT	(699.50)		(699.50)	
12/19	Sh Tm Cap Gain		FIRST EAGLE OVERSEAS CL I SHORT TERM CAPITAL GAIN PAY DATE 12/18/2013			196.65	
12/19	Reinvestment		FIRST EAGLE OVERSEAS CL I REINVESTMENT	(196.65)		(196.65)	
12/19	Divd Reinv	21	FIRST EAGLE OVERSEAS CL I DIVD REIN REINV AMOUNT $487.87 REINV PRICE $22.90000 QUANTITY BOT 21.3040 AS OF 12/17				
12/19	Divd Reinv	30	FIRST EAGLE OVERSEAS CL I DIVD REIN REINV AMOUNT $699.50 REINV PRICE $22.90000 QUANTITY BOT 30.5460 AS OF 12/17				
12/19	Divd Reinv	8	FIRST EAGLE OVERSEAS CL I DIVD REIN				

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Trust Account Number: [redacted]

YOUR TAA TRANSACTIONS

November 30, 2013 - December 31, 2013

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income Cash	Principal Cash	Income Year To Date
			REINV AMOUNT $196.65 REINV PRICE $22.90000 QUANTITY BOT 8.5870 AS OF 12/17				
12/19	* Lg Tm Cap Gain		* PRINCIPAL PREFERRED SECURITIES FUND CL P LONG TERM CAPITAL GAIN PAY DATE 12/18/2013			118.44	
12/19	Dividend		TEMPLETON GLBL BOND FD ADV CL DIVIDEND PAY DATE 12/18/2013		64.50		
12/19	Reinvestment		TEMPLETON GLBL BOND FD ADV CL REINVESTMENT	(64.50)	(64.50)		
12/19	* Lg Tm Cap Gain		* TEMPLETON GLBL BOND FD ADV CL LONG TERM CAPITAL GAIN PAY DATE 12/18/2013			2.21	
12/19	Reinvestment		TEMPLETON GLBL BOND FD ADV CL REINVESTMENT	(2.21)		(2.21)	
12/19	Divd Reinv	4	TEMPLETON GLBL BOND FD ADV CL DIVD REIN REINV AMOUNT $64.50 REINV PRICE $13.00000 QUANTITY BOT 4.9620 AS OF 12/16				
12/19	Divd Reinv		TEMPLETON GLBL BOND FD ADV CL				

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YOUR TAA TRANSACTIONS

November 30, 2013 - December 31, 2013

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income Cash	Principal Cash	Income Year To Date
			REINV AMOUNT $2.21 REINV PRICE $13.00000 QUANTITY BOT .1700 AS OF 12/16				
12/20	Dividend		RIDGEWORTH SEIX FLOATING RATE HI INC FD CL I DIVIDEND PAY DATE 12/19/2013		.01		
12/20	Dividend		OPPENHEIMER INTERNATL GROWTH FD CL Y DIVIDEND PAY DATE 12/19/2013		160.12		
12/20	* Lg Tm Cap Gain		* CALAMOS HIGH INCOME FUND CL I LONG TERM CAPITAL GAIN PAY DATE 12/19/2013			88.36	
12/20	Dividend		CALAMOS EVOLVING WORLD GROWTH FUND CL I DIVIDEND PAY DATE 12/19/2013		12.36		
12/20	* Lg Tm Cap Gain		* CALAMOS EVOLVING WORLD GROWTH FUND CL I LONG TERM CAPITAL GAIN PAY DATE 12/19/2013			35.53	
12/23	Dividend		PUTNAM DIVERSIFIED INCOME TRUST FUND CL Y DIVIDEND PAY DATE 12/20/2013		37.62		
12/23	Dividend		SCOUT MID CAP FUND CL RETAIL DIVIDEND		1.40		

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Trust

Account Number: [redacted]

YOUR TAA TRANSACTIONS

November 30, 2013 - December 31, 2013

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income Cash	Principal Cash	Income Year To Date
			PAY DATE 12/20/2013				
12/23	* Lg Tm Cap Gain		» SCOUT MID CAP FUND CL RETAIL LONG TERM CAPITAL GAIN PAY DATE 12/20/2013			221.59	
12/23	Sh Tm Cap Gain		SCOUT MID CAP FUND CL RETAIL SHORT TERM CAPITAL GAIN PAY DATE 12/20/2013			156.94	
12/27	Dividend		VANGUARD DIVIDEND APPRECIATION ETF DIVIDEND HOLDING 479.0000 PAY DATE 12/27/2013		190.64		
12/27	Dividend		WESTERN ASSET INVT GRADE DEFINED OPPORTUNITY TR DIVIDEND HOLDING 249.0000 PAY DATE 12/27/2013		24.90		
12/27	* Lg Tm Cap Gain		» WESTERN ASSET INVT GRADE DEFINED OPPORTUNITY TR LONG TERM CAPITAL GAIN HOLDING 249.0000 PAY DATE 12/27/2013			77.09	
12/30	Dividend		ISHARES CORE S&P MID-CAP ETF DIVIDEND HOLDING 150.0000 PAY DATE 12/30/2013		72.35		
12/30	Dividend		ISHARES U.S. TECHNOLOGY DIVIDEND		67.80		

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YOUR TAA TRANSACTIONS

November 30, 2013 - December 31, 2013

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income Cash	Principal Cash	Income Year To Date
			HOLDING 250.0000				
12/30	Dividend		PAY DATE 12/30/2013 ISHARES S&P 100 DIVIDEND HOLDING 590.0000		274.00		
12/30	Dividend		PAY DATE 12/30/2013 MFS INTERNATIONAL VALUE FUND CL I DIVIDEND		15.90		
12/30	Dividend		PAY DATE 12/27/2013 PRINCIPAL PREFERRED SECURITIES FUND CL P DIVIDEND		22.65		
12/30	Dividend		PAY DATE 12/27/2013 VICTORY FD FOR INCOME CL Y DIVIDEND		59.56		
12/31	Dividend		PAY DATE 12/27/2013 DWA TECH LEADERS PORT POWERSHARES ETF DIVIDEND HOLDING 478.0000		11.93		
12/31	Dividend		PAY DATE 12/31/2013 HESS CORP DIVIDEND HOLDING 62.0000		15.50		
12/31	Dividend		PAY DATE 12/31/2013 POWERSHARES BUILD AMERIC BOND ETF DIVIDEND HOLDING 311.0000		37.01		

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YOUR TAA TRANSACTIONS

November 30, 2013 - December 31, 2013

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income Cash	Principal Cash	Income Year To Date
			PAY DATE 12/31/2013				
12/31	Dividend		SECTOR SPDR ENERGY DIVIDEND HOLDING 36.0000 PAY DATE 12/31/2013		14.50		
12/31	Dividend		SECTOR SPDR FINANCIAL DIVIDEND HOLDING 365.0000 PAY DATE 12/31/2013		36.92		
12/31	Dividend		TCW TOTAL RETURN BOND FD CL I DIVIDEND PAY DATE 12/30/2013		18.46		
12/31	Reinvestment		TCW TOTAL RETURN BOND FD CL I REINVESTMENT	(18.46)	(18.46)		
12/31	Divd Reinv	1	TCW TOTAL RETURN BOND FD CL I DIVD REIN REINV AMOUNT $18.46 REINV PRICE $10.03000 QUANTITY BOT 1.8400 AS OF 12/30				
12/31	Dividend		RS LOW DURATION BOND FD CL Y DIVIDEND PAY DATE 12/31/2013		8.04		
12/31	Dividend		RIDGEWORTH SEIX FLOATING RATE HI INC FD CL I DIVIDEND PAY DATE 12/31/2013		16.05		

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YOUR TAA TRANSACTIONS

November 30, 2013 - December 31, 2013

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income Cash	Principal Cash	Income Year To Date
12/31	Dividend		RS FLOATING RATE FUND CL Y DIVIDEND PAY DATE 12/31/2013		18.31		
12/31	Reinvestment		RS FLOATING RATE FUND CL Y REINVESTMENT	(18.31)	(18.31)		
12/31	Dividend		DOUBLELINE TOTAL RETURN BOND FUND CL I DIVIDEND PAY DATE 12/31/2013		17.44		
12/31	Dividend		JAMES SMALL CAP FUND DIVIDEND PAY DATE 12/31/2013		201.37		
12/31	Dividend		OPPENHEIMER INTERNATIONAL BOND FD Y DIVIDEND PAY DATE 12/30/2013		26.82		
12/31	Reinvestment		OPPENHEIMER INTERNATIONAL BOND FD Y REINVESTMENT	(26.82)	(26.82)		
12/31	* Lg Tm Cap Gain		» OPPENHEIMER INTERNATIONAL BOND FD Y LONG TERM CAPITAL GAIN PAY DATE 12/30/2013			3.15	
12/31	Reinvestment		OPPENHEIMER INTERNATIONAL BOND FD Y REINVESTMENT	(3.15)		(3.15)	
12/31	Divd Reinv	4	OPPENHEIMER INTERNATIONAL BOND FD Y				

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Trust Account Number: [redacted]

YOUR TAA TRANSACTIONS

November 30, 2013 - December 31, 2013

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income Cash	Principal Cash	Income Year To Date
			DIVD REIN REINV AMOUNT $26.82 REINV PRICE $6.08000 QUANTITY BOT 4.4110 AS OF 12/30				
12/31	Divd Reinv		OPPENHEIMER INTERNATIONAL BOND FD Y REINV AMOUNT $3.15 REINV PRICE $6.08000 QUANTITY BOT .5180 AS OF 12/30				
12/31	Dividend		CALAMOS HIGH INCOME FUND CL I DIVIDEND PAY DATE 12/31/2013		22.75		
12/31	Dividend		PIMCO TOTAL RETURN FUND CL P DIVIDEND PAY DATE 12/31/2013		4.81		
12/31	Reinvestment		PIMCO TOTAL RETURN FUND CL P REINVESTMENT	(4.81)	(4.81)		
	Subtotal (Taxable Dividends)				*1,681.08*	*735.47*	*10,794.85*
	NET TOTAL			**(2,209.70)**	**1,802.06**	**735.47**	**13,443.05**
	» Long Term Capital Gain Distributions				-	1,790.73	1,808.83

The long-term capital gain distribution amounts may change due to income reclassification information provided by the issuer. In particular, distributions made by Mutual Funds, REITs and UITs often need to be reclassified as a different type of distribution (including long-term capital gain distributions) after the end of the year in which the distribution was originally paid.

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YOUR TAA TRANSACTIONS

November 30, 2013 - December 31, 2013

SECURITY TRANSACTIONS
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	Trade Date	Description	Transaction Type	Quantity	Unit Price	Income Cash	Principal Cash	Accrued Interest Earned/(Paid)
12/10	12/05	VALERO ENERGY CORP NEW SUBSCRIPTION PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT CUS NO 91913Y100 SEC NO 79A05 PRINCIPAL 45.68	Purchase	1	45.6799		(45.68)	
12/12	12/09	CAPITAL ONE FINL SUBSCRIPTION PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT CUS NO 14040H105 SEC NO 130K3 PRINCIPAL 1609.30	Purchase	22	73.1500		(1,609.30)	
12/16	12/11	ELI LILLY & CO SUBSCRIPTION PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT CUS NO 532457108 SEC NO 44534 PRINCIPAL 3624.48	Purchase	72	50.3400		(3,624.48)	
12/19	12/16	GILEAD SCIENCES INC COM SUBSCRIPTION PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML	Purchase	50	71.9074		(3,595.37)	

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Account	Trade Date	Settle Date	Type	Description	Symbol CUSIP	Quantity	Price	Amount
[redacted] *Trust*		12/31/2013		Divd Reinv *TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $18.46 REINV PRICE $10.03000 QUANTITY BOT 1.8400 AS OF 12/30*	TGLMX	1		$0.00
[redacted] *Trust*		12/31/2013		Divd Reinv *OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $26.82 REINV PRICE $6.08000 QUANTITY BOT 4.4110 AS OF 12/30*	OIBYX	4		$0.00
[redacted] *Trust*		12/31/2013		Divd Reinv *OPPENHEIMER INTL BD FD Y AGENT REINV AMOUNT $3.15 REINV PRICE $6.08000 QUANTITY BOT .5180 AS OF 12/30*	OIBYX			$0.00
[redacted] *Trust*		12/31/2013		Journal Entry *TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE $10.02*	TGLMX	1		$0.00
[redacted] *Trust*		12/31/2013		Journal Entry *OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE $6.08*	OIBYX	1		$0.00
[redacted] *Trust*		12/19/2013		Divd Reinv *FIRST EAGLE OVERSEAS CL I AGENT REINV AMOUNT $487.87 REINV PRICE $22.90000 QUANTITY BOT 21.3040 AS OF 12/17*	SGOIX	21		$0.00
[redacted] *Trust*		12/19/2013		Divd Reinv *FIRST EAGLE OVERSEAS CL I AGENT REINV AMOUNT $699.50 REINV PRICE $22.90000 QUANTITY BOT 30.5460 AS OF 12/17*	SGOIX	30		$0.00
[redacted] *Trust*		12/19/2013		Divd Reinv *FIRST EAGLE OVERSEAS CL I AGENT REINV AMOUNT $196.65 REINV PRICE $22.90000 QUANTITY BOT 8.5870 AS OF 12/17*	SGOIX	8		$0.00
[redacted] *Trust*		12/19/2013		Divd Reinv *TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $64.50 REINV PRICE $13.00000 QUANTITY BOT 4.9620 AS OF 12/16*	TGBAX	4		$0.00
[redacted] *Trust*		12/19/2013		Divd Reinv *TMPLTN GLBL BD FD ADV CL AGENT REINV AMOUNT $2.21 REINV PRICE $13.00000 QUANTITY BOT .1700 AS OF 12/16*	TGBAX			$0.00

[redacted] Trust		12/19/2013	Journal Entry FIRST EAGLE OVERSEAS CL I FULL SHARE ACCUM SHARE VALUE $23.05	SGOIX	1		$0.00
[redacted] Trust		12/19/2013	Journal Entry MFS INTERNATIONAL VALUE FUND CL I FULL SHARE ACCUM SHARE VALUE $34.35	MINIX	1		$0.00
[redacted] Trust		12/19/2013	Journal Entry TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE $13.01	TGBAX	1		$0.00
[redacted] Trust	12/16/2013	12/19/2013	Sale REGENERON PHARMACTCLS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	REGN	-12	$269.35	$3,232.16
[redacted] Trust	12/16/2013	12/19/2013	Sale GAMESTOP CORP NEW CL A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GME	-65	$48.37	$3,144.00
[redacted] Trust	12/16/2013	12/19/2013	Purchase GILEAD SCIENCES INC COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	GILD	50	$71.91	-$3,595.37
[redacted] Trust	12/16/2013	12/19/2013	Sale VERTEX PHARMCTLS INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	VRTX	-46	$64.45	$2,964.49
[redacted] Trust	12/18/2013	12/19/2013	Purchase MFS INTERNATIONAL VALUE FUND CL I FRAC SHR QUANTITY .326 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION. SEE THE PROSPECTUS	MINIX	172	$34.33	-$5,915.95

[redacted] Trust	12/11/2013	12/16/2013	Sale *AUTOZONE INC NEVADA COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	AZO	-9	$472.00	$4,247.93
[redacted] Trust	12/11/2013	12/16/2013	Purchase *ELI LILLY & CO PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	LLY	72	$50.34	-$3,624.48
[redacted] Trust		12/12/2013	Divd Reinv *PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT $21.24 REINV PRICE $10.75000 QUANTITY BOT 1.9760 AS OF 12/11*	PTTPX	1		$0.00
[redacted] Trust		12/12/2013	Divd Reinv *PIMCO TOT RTN FD CL P AGENT REINV AMOUNT $4.06 REINV PRICE $10.75000 QUANTITY BOT .3780 AS OF 12/11*	PTTPX			$0.00
[redacted] Trust		12/12/2013	Journal Entry *PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE $10.73*	PTTPX	1		$0.00
[redacted] Trust	12/9/2013	12/12/2013	Purchase *CAPITAL ONE FINL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	COF	22	$73.15	-$1,609.30
[redacted] Trust	12/5/2013	12/10/2013	Purchase *VALERO ENERGY CORP NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	VLO	1	$45.68	-$45.68
[redacted] Trust		12/6/2013	Divd Reinv *ROYCE PA MUTUAL FD INV AGENT REINV AMOUNT $.97 REINV PRICE $14.16000 QUANTITY BOT .0690 AS OF 12/05*	PENNX			$0.00
[redacted]		12/6/2013	Divd Reinv	PENNX	34		$0.00

Trust			ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT AGENT REINV AMOUNT $486.10 REINV PRICE $14.16000 QUANTITY BOT 34.3290 AS OF 12/05				
[redacted] Trust		12/6/2013	Divd Reinv ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT AGENT REINV AMOUNT $103.75 REINV PRICE $14.16000 QUANTITY BOT 7.3270 AS OF 12/05	PENNX	7		$0.00
[redacted] Trust		12/6/2013	Journal Entry ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT FULL SHARE ACCUM SHARE VALUE $14.27	PENNX	1		$0.00
[redacted] Trust	12/5/2013	12/6/2013	Sale CALL VLO 00049.000 VALERO ENERGY CORP NEW EXP 01-18-14 OPEN TRAN VLO JAN 49.00000	VLO141 8A49	-1	$0.83	$82.99
[redacted] Trust	12/3/2013	12/4/2013	Sale FEDERAL HOME LN MTG CORP CALLABLE NOTES 03.000% JAN 17 2019 PER ADVISORY AGREEMENT. CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 0.87% CALL DATE 1/17/14 CALL PRICE $100.00. 137 DAYS INTEREST SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TMPG	3134G 3HY9	-10,000	$100.25	$10,139.37
[redacted] Trust		12/2/2013	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $18.40 REINV PRICE $10.10000 QUANTITY BOT 1.8220 AS OF 11/29	TGLMX	1		$0.00
[redacted] Trust		12/2/2013	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $17.26 REINV PRICE $10.32000 QUANTITY BOT 1.6720 AS OF 11/29	RSFYX	1		$0.00
[redacted] Trust		12/2/2013	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $28.85 REINV PRICE $6.08000 QUANTITY BOT 4.7450 AS OF 11/29	OIBYX	4		$0.00
[redacted] Trust		12/2/2013	Divd Reinv PIMCO TOT RTN FD CL P AGENT REINV AMOUNT $6.79 REINV PRICE $10.88000 QUANTITY BOT .6240 AS OF 11/29	PTTPX			$0.00
[redacted] Trust		12/2/2013	Journal Entry TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE $10.08	TGLMX	1		$0.00

[redacted] Trust		12/2/2013	Journal Entry *RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE $10.32*	RSFYX	1		$0.00
[redacted] Trust		12/2/2013	Journal Entry *OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE $6.05*	OIBYX	1		$0.00
[redacted] Trust		12/2/2013	Journal Entry *PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE $10.85*	PTTPX	1		$0.00
[redacted] Trust		11/20/2013	Divd Reinv *TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $30.40 REINV PRICE $13.02000 QUANTITY BOT 2.3350 AS OF 11/15*	TGBAX	2		$0.00
[redacted] Trust		11/20/2013	Journal Entry *TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE $13.07*	TGBAX	1		$0.00
[redacted] Trust	11/14/2013	11/19/2013	Sale *AMAZON COM INC COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT*	AMZN	-3	$366.97	$1,100.90
[redacted] Trust	11/11/2013	11/14/2013	Purchase *CAPITAL ONE FINL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	COF	27	$69.70	-$1,881.90
[redacted]6 Trust	11/7/2013	11/13/2013	Sale *WHIRLPOOL CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	WHR	-26	$148.29	$3,855.51
[redacted] Trust	11/7/2013	11/13/2013	Purchase *GAMESTOP CORP NEW CL A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	GME	65	$56.10	-$3,646.39

[redacted] Trust	11/6/2013	11/12/2013	Purchase *REGENERON PHARMACTCLS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT*	REGN	12	$297.50	-$3,570.00
[redacted] Trust	11/6/2013	11/12/2013	Purchase *DOLLAR TREE INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT*	DLTR	62	$58.52	-$3,628.23
[redacted] Trust	11/5/2013	11/8/2013	Sale *ABBVIE INC SHS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	ABBV	-80	$48.36	$3,869.10
[redacted] Trust	11/5/2013	11/8/2013	Purchase *AUTONATION INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	AN	73	$48.78	-$3,561.17
[redacted] Trust	11/1/2013	11/6/2013	Sale *PETSMART INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT*	PETM	-55	$71.71	$3,943.92
7[redacted]6 Trust		11/4/2013	Divd Reinv *TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $21.40 REINV PRICE $10.13000 QUANTITY BOT 2.1130 AS OF 10/31*	TGLMX	2		$0.00
[redacted] Trust	10/30/2013	11/4/2013	Sale *SANDISK CORP INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT*	SNDK	-68	$70.00	$4,759.92

[redacted] *Trust*		11/1/2013	Divd Reinv *RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $16.80 REINV PRICE $10.31000 QUANTITY BOT 1.6290 AS OF 10/31*	RSFYX	1		$0.00
[redacted] *Trust*		11/1/2013	Divd Reinv *OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $26.70 REINV PRICE $6.17000 QUANTITY BOT 4.3270 AS OF 10/31*	OIBYX	4		$0.00
[redacted] *Trust*		11/1/2013	Divd Reinv *PIMCO TOT RTN FD CL P AGENT REINV AMOUNT $7.11 REINV PRICE $10.90000 QUANTITY BOT .6520 AS OF 10/31*	PTTPX			$0.00
[redacted] *Trust*		11/1/2013	Journal Entry *RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE $10.31*	RSFYX	1		$0.00
[redacted] *Trust*		11/1/2013	Journal Entry *PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE $10.87*	PTTPX	1		$0.00
[redacted] *Trust*	10/28/2013	10/29/2013	Purchase *MFS INTERNATIONAL VALUE FUND CL I FRAC SHR QUANTITY .500 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION. SEE THE PROSPECTUS*	MINIX	82	$35.11	-$2,896.58
[redacted] *Trust*	10/21/2013	10/23/2013	Sale *MICRON TECHNOLOGY INC TRADE AS OF 10/18/13 A/C CALL ZQ6J2 MU PER ADVISORY AGREEMENT. WE MAKE A MKT IN ISSUE UNSOLICITED ORDER*	MU	-200	$14.00	$2,799.95
[redacted] *Trust*		10/21/2013	Option Assigned *CALL MU 00014.000 MICRON TECHNOLOGY INC EXP 10-19-13 VS 01900801 UNIT OAK BATCH # = 00567039378*	MU131 9J14	2		$0.00
[redacted] *Trust*		10/18/2013	Divd Reinv *TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $30.37 REINV PRICE $13.11000 QUANTITY BOT 2.3170 AS OF 10/15*	TGBAX	2		$0.00
[redacted]	10/14/2013	10/17/2013	Sale	FLR	-59	$72.45	$4,274.48

Trust			*FLUOR CORP NEW DEL COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*				
[redacted] Trust	10/14/2013	10/17/2013	Purchase *WHIRLPOOL CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	WHR	15	$132.31	-$1,984.58
[redacted] Trust	10/4/2013	10/9/2013	Sale *MICRON TECHNOLOGY INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT*	MU	-66	$18.11	$1,195.24
[redacted] Trust	10/3/2013	10/8/2013	Purchase *VERTEX PHARMCTLS INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT*	VRTX	46	$76.23	-$3,506.78
[redacted] Trust	10/3/2013	10/8/2013	Sale *TERADATA CORP DEL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	TDC	-62	$54.34	$3,369.16
[redacted] Trust		10/1/2013	Divd Reinv *TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $21.32 REINV PRICE $10.00000 QUANTITY BOT 2.1320 AS OF 09/30*	TGLMX	2		$0.00
[redacted] Trust		10/1/2013	Divd Reinv *RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $17.03 REINV PRICE $10.24000 QUANTITY BOT 1.6630 AS OF 09/30*	RSFYX	1		$0.00
[redacted]		10/1/2013	Divd Reinv	OIBYX	3		$0.00

Trust			OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $23.10 REINV PRICE $6.09000 QUANTITY BOT 3.7930 AS OF 09/30				
[redacted] Trust		10/1/2013	Divd Reinv PIMCO TOT RTN FD CL P AGENT REINV AMOUNT $6.29 REINV PRICE $10.82000 QUANTITY BOT .5810 AS OF 09/30	PTTPX			$0.00
[redacted] Trust		10/1/2013	Journal Entry OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE $6.10	OIBYX	1		$0.00
[redacted] Trust	9/25/2013	9/26/2013	Purchase MFS INTERNATIONAL VALUE FUND CL I FRAC SHR QUANTITY .203 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	MINIX	84	$34.23	-$2,882.27
[redacted] Trust	9/20/2013	9/25/2013	Sale CAPITAL ONE FINL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	COF	-59	$69.24	$4,084.87
[redacted] Trust		9/19/2013	Divd Reinv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $30.20 REINV PRICE $12.94000 QUANTITY BOT 2.3340 AS OF 09/16	TGBAX	2		$0.00
[redacted] Trust	9/13/2013	9/18/2013	Sale O'REILLY AUTOMOTIVE INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ORLY	-8	$125.16	$1,001.22
[redacted] Trust	9/6/2013	9/11/2013	Purchase WHIRLPOOL CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	WHR	11	$131.45	-$1,445.96
[redacted]	9/5/2013	9/10/2013	Sale	CTL	-91	$32.53	$2,959.92

Trust			CENTURYLINK INC SHS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
[redacted] Trust	9/5/2013	9/10/2013	Purchase ABBVIE INC SHS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ABBV	80	$43.37	-$3,469.25
[redacted] Trust		9/3/2013	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $21.25 REINV PRICE $9.90000 QUANTITY BOT 2.1460 AS OF 08/30	TGLMX	2		$0.00
[redacted] Trust		9/3/2013	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $17.78 REINV PRICE $10.25000 QUANTITY BOT 1.7350 AS OF 08/30	RSFYX	1		$0.00
[redacted] Trust		9/3/2013	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $30.42 REINV PRICE $5.96000 QUANTITY BOT 5.1040 AS OF 08/30	OIBYX	5		$0.00
[redacted] Trust		9/3/2013	Divd Reinv PIMCO TOT RTN FD CL P AGENT REINV AMOUNT $8.12 REINV PRICE $10.65000 QUANTITY BOT .7620 AS OF 08/30	PTTPX			$0.00
[redacted] Trust		9/3/2013	Journal Entry RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE $10.25	RSFYX	1		$0.00
[redacted] Trust		9/3/2013	Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE $10.62	PTTPX	1		$0.00
[redacted] Trust	8/26/2013	8/27/2013	Purchase PUTNAM DIVERSIFIED INCOME TRUST FUND CL Y FRAC SHR QUANTITY .609 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION. SEE THE PROSPECTUS	PDVYX	365	$7.77	-$2,840.78

[redacted] Trust		8/20/2013	Divd Reinv *TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $30.17 REINV PRICE $12.82000 QUANTITY BOT 2.3530 AS OF 08/15*	TGBAX	2		$0.00
[redacted] Trust		8/20/2013	Journal Entry *TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE $12.72*	TGBAX	1		$0.00
[redacted] Trust	8/7/2013	8/12/2013	Sale *MARATHON OIL CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	MRO	-96	$35.10	$3,369.93
[redacted] Trust	8/5/2013	8/8/2013	Sale *JPMORGAN CHASE & CO PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	JPM	-73	$56.30	$4,109.83
[redacted] Trust	8/5/2013	8/8/2013	Purchase *HUNTNGTN BANCSHS INC MD PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT*	HBAN	398	$8.74	-$3,478.48
[redacted] Trust	8/2/2013	8/7/2013	Purchase *MICRON TECHNOLOGY INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT*	MU	266	$13.46	-$3,579.91
[redacted] Trust	8/2/2013	8/5/2013	Sale *CALL MU 00014.000 MICRON TECHNOLOGY INC EXP 10-19-13 OPEN TRAN MU OCT 14.00000*	MU131 9J14	-2	$1.12	$223.99
[redacted] Trust		8/1/2013	Divd Reinv *TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $21.17 REINV PRICE $9.95000 QUANTITY BOT 2.1280 AS OF 07/31*	TGLMX	2		$0.00
[redacted]		8/1/2013	Divd Reinv	RSFYX	1		$0.00

Trust			*RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $17.34 REINV PRICE $10.31000 QUANTITY BOT 1.6820 AS OF 07/31*				
[redacted] *Trust*		8/1/2013	Divd Reinv *OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $29.74 REINV PRICE $6.12000 QUANTITY BOT 4.8590 AS OF 07/31*	OIBYX	4		$0.00
[redacted] *Trust*		8/1/2013	Divd Reinv *PIMCO TOT RTN FD CL P AGENT REINV AMOUNT $7.61 REINV PRICE $10.79000 QUANTITY BOT .7050 AS OF 07/31*	PTTPX			$0.00
[redacted] *Trust*		8/1/2013	Journal Entry *TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE $9.92*	TGLMX	1		$0.00
[redacted] *Trust*		8/1/2013	Journal Entry *RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE $10.31*	RSFYX	1		$0.00
[redacted] *Trust*		8/1/2013	Journal Entry *OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE $6.11*	OIBYX	1		$0.00
[redacted] *Trust*		8/1/2013	Journal Entry *PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE $10.73*	PTTPX	1		$0.00
[redacted] *Trust*	7/16/2013	7/19/2013	Purchase *TERADATA CORP DEL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	TDC	5	$56.70	-$283.50
[redacted] *Trust*		7/18/2013	Divd Reinv *TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $30.00 REINV PRICE $13.00000 QUANTITY BOT 2.3080 AS OF 07/15*	TGBAX	2		$0.00
[redacted] *Trust*	7/15/2013	7/18/2013	Purchase *VALERO ENERGY CORP NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	VLO	9	$35.79	-$322.11
[redacted]	7/15/2013	7/18/2013	Purchase	MRO	11	$36.63	-$402.91

Trust			*MARATHON OIL CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*				
[redacted] *Trust*		7/17/2013	Journal Entry *ML BANK DEPOSIT PROGRAM MANUAL REDEMPTION PER BRANCH REQUEST VS 03107017 UNIT 363 BATCH # = 12044294041*	1E+09	-11,558		$11,558.00
[redacted] *Trust*	7/9/2013	7/12/2013	Sale *MARATHON PETROLEUM CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	MPC	-45	$69.50	$3,127.49
[redacted] *Trust*	7/9/2013	7/12/2013	Purchase *BIOGEN IDEC INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT*	BIIB	7	$217.76	-$1,524.31
[redacted] *Trust*	7/9/2013	7/12/2013	Purchase *VALERO ENERGY CORP NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	VLO	90	$34.36	-$3,092.61
[redacted] *Trust*	7/9/2013	7/12/2013	Purchase *MARATHON OIL CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	MRO	85	$36.14	-$3,072.18
[redacted] *Trust*	7/5/2013	7/10/2013	Sale *WHIRLPOOL CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	WHR	-31	$113.23	$3,509.94
[redacted]	7/5/2013	7/10/2013	Sale	NWSA	-27	$15.55	$419.94

Trust			NEW NEWSCORP LLC SHS CL A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT				
[redacted] Trust	7/5/2013	7/10/2013	Sale TWENTY-FIRST CENTURY FOX INC CLASS A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	FOXA	-109	$30.28	$3,300.45
[redacted] Trust	7/5/2013	7/10/2013	Purchase EATON VANCE TAX-ADVANTAG BD & OPT STRATEGIES FD PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	EXD	14	$15.40	-$215.58
[redacted] Trust	7/3/2013	7/9/2013	Purchase BIOGEN IDEC INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	BIIB	9	$216.81	-$1,951.29
[redacted] Trust		7/5/2013	Stock Dividend NEW NEWSCORP LLC SHS CL A CL A HOLDING 109.0000 PAID BY NEWS CORP PAY DATE 07/04/2013	NWSA	27		$0.00
[redacted] Trust		7/5/2013	DUDB NEW NEWSCORP LLC SHS CL A	NWSA	-27		$0.00
[redacted] Trust		7/3/2013	Exchange NEWS CORP CL A PAY DATE 07/03/2013	####	-109		$0.00
[redacted] Trust		7/3/2013	Exchange TWENTY-FIRST CENTURY FOX INC CLASS A FOX INC CLASS A PAY DATE 07/03/2013	FOXA	109		$0.00
[redacted] Trust		7/1/2013	DUDB NEW NEWSCORP LLC SHS CL A	NWSA	27		$0.00
[redacted] Trust		7/1/2013	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $22.60 REINV PRICE $9.99000 QUANTITY BOT 2.2620 AS OF 06/28	TGLMX	2		$0.00
[redacted]		7/1/2013	Divd Reinv	RSFYX	1		$0.00

Trust			RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $16.41 REINV PRICE $10.22000 QUANTITY BOT 1.6060 AS OF 06/28				
[redacted] Trust		7/1/2013	Divd Reinv BR INF PTD BD FD INST CL AGENT REINV AMOUNT $.89 REINV PRICE $11.17000 QUANTITY BOT .0800 AS OF 06/28	BPRIX			$0.00
[redacted] Trust		7/1/2013	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $27.31 REINV PRICE $6.08000 QUANTITY BOT 4.4920 AS OF 06/28	OIBYX	4		$0.00
[redacted] Trust		7/1/2013	Divd Reinv PIMCO TOT RTN FD CL P AGENT REINV AMOUNT $6.11 REINV PRICE $10.76000 QUANTITY BOT .5680 AS OF 06/28	PTTPX			$0.00
[redacted] Trust	6/28/2013	7/1/2013	Sale BLACKROCK INFLATION PROTECTED BD FD INST CL FRAC SHR QUANTITY .155 PER ADVISORY AGREEMENT. THIS SALE CONSTITUTES A REDEMPTION.	BPRIX	-407	$11.17	$4,547.92
[redacted] Trust	6/28/2013	7/1/2013	Purchase PUTNAM DIVERSIFIED INCOME TRUST FUND CL Y FRAC SHR QUANTITY .026 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	PDVYX	599	$7.74	-$4,636.46
[redacted] Trust	6/21/2013	6/26/2013	Purchase AMAZON COM INC COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT.	AMZN	4	$273.57	-$1,094.27
[redacted] Trust	6/25/2013	6/26/2013	Purchase CALL CHK 00025.000 CHESAPEAKE ENERGY OKLA EXP 08-17-13 CLOSE TRAN CHK AUG 25.00000	CHK13 17H25	1	$0.11	-$11.00
[redacted] Trust		6/20/2013	Divd Reinv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $29.90 REINV PRICE $13.03000 QUANTITY BOT 2.2950 AS OF 06/17	TGBAX	2		$0.00
[redacted]		6/11/2013	Journal Entry	1E+09	-3,604		$3,604.00

Trust			ML BANK DEPOSIT PROGRAM MANUAL REDEMPTION PER BRANCH REQUEST VS 03107017 UNIT 363 BATCH # = 12415482152				
[redacted] Trust	5/31/2013	6/5/2013	Purchase WESTERN ASSET INVT GRADE DEFINED OPPORTUNITY TR PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IGI	87	$21.94	-$1,909.21
[redacted] Trust	5/30/2013	6/4/2013	Purchase WESTERN ASSET INVT GRADE DEFINED OPPORTUNITY TR PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IGI	2	$21.91	-$43.81
[redacted] Trust		6/3/2013	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $22.52 REINV PRICE $10.24000 QUANTITY BOT 2.1990 AS OF 05/31	TGLMX	2		$0.00
[redacted] Trust		6/3/2013	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $16.93 REINV PRICE $10.34000 QUANTITY BOT 1.6370 AS OF 05/31	RSFYX	1		$0.00
[redacted] Trust		6/3/2013	Divd Reinv BLACKROCK INFLATION PROTECTED BD FD INST CL AGENT REINV AMOUNT $26.25 REINV PRICE $11.57000 QUANTITY BOT 2.2690 AS OF 05/31	BPRIX	2		$0.00
[redacted] Trust		6/3/2013	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $33.19 REINV PRICE $6.37000 QUANTITY BOT 5.2100 AS OF 05/31	OIBYX	5		$0.00
[redacted] Trust		6/3/2013	Divd Reinv PIMCO TOT RTN FD CL P AGENT REINV AMOUNT $8.96 REINV PRICE $11.07000 QUANTITY BOT .8090 AS OF 05/31	PTTPX			$0.00
[redacted] Trust		6/3/2013	Journal Entry RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE $10.33	RSFYX	1		$0.00
[redacted]		6/3/2013	Journal Entry	BPRIX	1		$0.00

Trust			BLACKROCK INFLATION PROTECTED BD FD INST CL FULL SHARE ACCUM SHARE VALUE $11.59				
[redacted] Trust		6/3/2013	Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE $11.07	PTTPX	1		$0.00
[redacted] Trust	5/29/2013	6/3/2013	Purchase WESTERN ASSET INVT GRADE DEFINED OPPORTUNITY TR PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IGI	115	$21.96	-$2,525.65
[redacted] Trust	5/28/2013	5/31/2013	Purchase EATON VANCE TAX-ADVANTAG BD & OPT STRATEGIES FD PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	EXD	3	$17.10	-$51.30
[redacted] Trust	5/24/2013	5/30/2013	Purchase CHESAPEAKE ENERGY OKLA PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CHK	57	$21.46	-$1,223.39
[redacted] Trust	5/24/2013	5/30/2013	Purchase WESTERN ASSET INVT GRADE DEFINED OPPORTUNITY TR PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IGI	9	$22.85	-$205.65
[redacted] Trust	5/24/2013	5/30/2013	Purchase EATON VANCE TAX-ADVANTAG BD & OPT STRATEGIES FD PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	EXD	4	$17.21	-$68.84
[redacted]	5/23/2013	5/29/2013	Purchase	IGI	16	$22.85	-$365.60

Trust			WESTERN ASSET INVT GRADE DEFINED OPPORTUNITY TR PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
[redacted] Trust	5/24/2013	5/28/2013	Sale CALL CHK 00025.000 CHESAPEAKE ENERGY OKLA EXP 08-17-13 OPEN TRAN CHK AUG 25.00000	CHK13 17H25	-1	$0.33	$33.32
[redacted] Trust	5/24/2013	5/28/2013	Sale BLACKROCK INFLATION PROTECTED BD FD INST CL FRAC SHR QUANTITY .094 PER ADVISORY AGREEMENT. THIS SALE CONSTITUTES A REDEMPTION.	BPRIX	-380	$11.76	$4,469.91
[redacted] Trust		5/22/2013	Journal Entry ML BANK DEPOSIT PROGRAM MANUAL REDEMPTION PER BRANCH REQUEST VS 03107017 UNIT 363 BATCH # = 11360820418	1E+09	-1,551		$1,551.00
[redacted] Trust		5/20/2013	Divd Reinv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $29.95 REINV PRICE $13.65000 QUANTITY BOT 2.1940 AS OF 05/15	TGBAX	2		$0.00
[redacted] Trust		5/20/2013	Option Expired PUT OEF 00065.000 ISHARES S&P 100 INDEX EXP 05-18-13 VS 01900005 UNIT OAK BATCH # = 00567001961	OEF131 8Q65	-4		$0.00
[redacted] Trust	5/10/2013	5/15/2013	Purchase CHESAPEAKE ENERGY OKLA PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CHK	108	$19.41	-$2,096.69
[redacted] Trust	5/3/2013	5/8/2013	Sale AUTOZONE INC NEVADA COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AZO	-2	$410.69	$821.36
[redacted] Trust		5/1/2013	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $22.44 REINV PRICE $10.37000 QUANTITY BOT 2.1640 AS OF 04/30	TGLMX	2		$0.00

[redacted] Trust		5/1/2013	Divd Reinv *RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $15.19 REINV PRICE $10.39000 QUANTITY BOT 1.4620 AS OF 04/30*	RSFYX	1		$0.00
[redacted] Trust		5/1/2013	Divd Reinv *BLACKROCK INFLATION PROTECTED BD FD INST CL AGENT REINV AMOUNT $18.02 REINV PRICE $12.09000 QUANTITY BOT 1.4900 AS OF 04/30*	BPRIX	1		$0.00
[redacted] Trust		5/1/2013	Divd Reinv *OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $32.29 REINV PRICE $6.61000 QUANTITY BOT 4.8850 AS OF 04/30*	OIBYX	4		$0.00
[redacted] Trust		5/1/2013	Divd Reinv *PIMCO TOT RTN FD CL P AGENT REINV AMOUNT $10.90 REINV PRICE $11.34000 QUANTITY BOT .9610 AS OF 04/30*	PTTPX			$0.00
[redacted] Trust		5/1/2013	Journal Entry *RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE $10.39*	RSFYX	1		$0.00
[redacted] Trust		5/1/2013	Journal Entry *OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE $6.61*	OIBYX	1		$0.00
[redacted] Trust		5/1/2013	Journal Entry *PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE $11.35*	PTTPX	1		$0.00
[redacted] Trust	4/23/2013	4/26/2013	Sale *NEWS CORP CL A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT*	NWSA	-36	$31.84	$1,146.28
[redacted] Trust	4/19/2013	4/24/2013	Purchase *ISHARES S&P 100 INDEX FUND PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	OEF	100	$69.97	-$6,996.50
[redacted]	4/19/2013	4/24/2013	Sale	IJR	-89	$83.30	$7,413.79

Trust			ISHARES CORE S&P SMALL CAP ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
[redacted] Trust	4/19/2013	4/24/2013	Purchase MARATHON PETROLEUM CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MPC	45	$77.46	-$3,485.74
[redacted] Trust	4/22/2013	4/24/2013	Sale MICRON TECHNOLOGY INC TRADE AS OF 04/19/13 A/C CALL SOWS5 MU PER ADVISORY AGREEMENT. WE MAKE A MKT IN ISSUE UNSOLICITED ORDER	MU	-400	$9.00	$3,599.92
[redacted] Trust		4/22/2013	Option Assigned CALL MU 00009.000 MICRON TECHNOLOGY INC EXP 04-20-13 VS 01900801 UNIT OAK BATCH # = 00567024484	MU132 0D9	4		$0.00
[redacted] Trust	4/16/2013	4/19/2013	Purchase S&P US PFD STK INDEX FD ISHARES PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	PFF	21	$40.43	-$848.97
[redacted] Trust		4/18/2013	Divd Reinv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $29.78 REINV PRICE $13.53000 QUANTITY BOT 2.2010 AS OF 04/15	TGBAX	2		$0.00
[redacted] Trust		4/18/2013	Journal Entry TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE $13.52	TGBAX	1		$0.00
[redacted] Trust		4/17/2013	Journal Entry RIDGEWORTH SEIX FLOATING RATE HI INC FD CL I FULL SHARE ACCUM SHARE VALUE $9.08	SAMBX	1		$0.00
[redacted] Trust		4/17/2013	Journal Entry PRINCIPAL PREFERRED SECURITIES FUND CL P FULL SHARE ACCUM SHARE VALUE $10.70	PPSPX	1		$0.00
[redacted]	4/12/2013	4/17/2013	Purchase	OEF	18	$71.59	-$1,288.62

Trust			ISHARES S&P 100 INDEX FUND PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
[redacted] Trust	4/16/2013	4/17/2013	Purchase PRINCIPAL PREFERRED SECURITIES FUND CL P FRAC SHR QUANTITY .744 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	PPSPX	151	$10.70	-$1,623.66
[redacted] Trust	4/16/2013	4/17/2013	Purchase RS FLOATING RATE FUND CL Y FRAC SHR QUANTITY .144 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	RSFYX	61	$10.37	-$634.06
[redacted] Trust	4/16/2013	4/17/2013	Purchase RIDGEWORTH SEIX FLOATING RATE HI INC FD CL I FRAC SHR QUANTITY .352 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	SAMBX	206	$9.08	-$1,873.68
[redacted] Trust	4/16/2013	4/17/2013	Purchase VICTORY FD FOR INCOME CL Y FRAC SHR QUANTITY .627 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	VFFYX	414	$11.12	-$4,610.65
[redacted] Trust	4/5/2013	4/10/2013	Sale ISHARES CORE S&P SMALL CAP ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IJR	-51	$84.65	$4,317.08

[redacted] *Trust*	4/5/2013	4/10/2013	Sale *EMERGING GLOBAL SHARES DOW JONES PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	ECON	-178	$26.04	$4,635.14
[redacted] *Trust*	4/5/2013	4/10/2013	Purchase *VANGUARD DIVIDEND APPRECIATION ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	VIG	22	$65.14	-$1,433.08
[redacted] *Trust*	4/5/2013	4/10/2013	Purchase *VANGUARD DIVIDEND APPRECIATION ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	VIG	11	$65.08	-$715.88
[redacted] *Trust*	4/3/2013	4/8/2013	Purchase *WHIRLPOOL CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	WHR	31	$115.50	-$3,580.50
[redacted] *Trust*	4/3/2013	4/8/2013	Sale *JACOBS ENGN GRP INC DELA PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	JEC	-77	$54.13	$4,167.71
[redacted] *Trust*	3/28/2013	4/3/2013	Sale *MICRON TECHNOLOGY INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT*	MU	-55	$9.95	$547.39
[redacted]		4/1/2013	Divd Reinv	TGLMX	2		$0.00

Trust			*TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $23.84 REINV PRICE $10.28000 QUANTITY BOT 2.3190 AS OF 03/28*				
[redacted] Trust		4/1/2013	Divd Reinv *RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $14.58 REINV PRICE $10.36000 QUANTITY BOT 1.4070 AS OF 03/28*	RSFYX	1		$0.00
[redacted] Trust		4/1/2013	Divd Reinv *OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $31.68 REINV PRICE $6.50000 QUANTITY BOT 4.8740 AS OF 03/28*	OIBYX	4		$0.00
[redacted] Trust		4/1/2013	Divd Reinv *PIMCO TOT RTN FD CL P AGENT REINV AMOUNT $9.14 REINV PRICE $11.24000 QUANTITY BOT .8130 AS OF 03/28*	PTTPX			$0.00
[redacted] Trust		4/1/2013	Journal Entry *OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE $6.50*	OIBYX	1		$0.00
[redacted] Trust		4/1/2013	Journal Entry *PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE $11.25*	PTTPX	1		$0.00
[redacted] Trust	3/21/2013	3/26/2013	Purchase *WESTERN ASSET INVT GRADE DEFINED OPPORTUNITY TR PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	IGI	1	$22.97	-$22.97
[redacted] Trust	3/20/2013	3/25/2013	Purchase *EATON VANCE TAX-ADVANTAG BD & OPT STRATEGIES FD PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	EXD	27	$17.70	-$477.88
[redacted] Trust	3/19/2013	3/22/2013	Purchase *EATON VANCE TAX-ADVANTAG BD & OPT STRATEGIES FD PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	EXD	65	$17.95	-$1,166.75
[redacted]		3/20/2013	Divd Reinv	TGBAX	2		$0.00

Trust			TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $29.76 REINV PRICE $13.44000 QUANTITY BOT 2.2140 AS OF 03/15				
[redacted] Trust	3/15/2013	3/20/2013	Purchase WESTERN ASSET INVT GRADE DEFINED OPPORTUNITY TR PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IGI	13	$22.80	-$296.40
[redacted] Trust	3/15/2013	3/20/2013	Purchase EATON VANCE TAX-ADVANTAG BD & OPT STRATEGIES FD PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	EXD	32	$17.80	-$569.59
[redacted] Trust	3/14/2013	3/19/2013	Sale SPDR DB INTL GOV INFL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	WIP	-90	$61.51	$5,535.88
[redacted] Trust	3/14/2013	3/19/2013	Purchase EATON VANCE TAX-ADVANTAG BD & OPT STRATEGIES FD PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	EXD	35	$17.76	-$621.66
[redacted] Trust	3/14/2013	3/19/2013	Purchase WESTERN ASSET INVT GRADE DEFINED OPPORTUNITY TR PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IGI	6	$22.65	-$135.88
[redacted] Trust	3/8/2013	3/13/2013	Purchase SECTOR SPDR ENERGY PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLE	36	$78.83	-$2,837.76

[redacted] *Trust*	3/8/2013	3/13/2013	Purchase *SANDISK CORP INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT*	SNDK	68	$51.61	-$3,509.53
[redacted] *Trust*	3/7/2013	3/12/2013	Sale *DISCOVERY COMMUNICATN INC SERIES A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT*	DISCA	-50	$75.74	$3,786.70
[redacted] *Trust*		3/1/2013	Divd Reinv *TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $25.23 REINV PRICE $10.29000 QUANTITY BOT 2.4520 AS OF 02/28*	TGLMX	2		$0.00
[redacted] *Trust*		3/1/2013	Divd Reinv *RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $16.70 REINV PRICE $10.31000 QUANTITY BOT 1.6200 AS OF 02/28*	RSFYX	1		$0.00
[redacted] *Trust*		3/1/2013	Divd Reinv *OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $30.82 REINV PRICE $6.54000 QUANTITY BOT 4.7130 AS OF 02/28*	OIBYX	4		$0.00
[redacted] *Trust*		3/1/2013	Divd Reinv *PIMCO TOT RTN FD CL P AGENT REINV AMOUNT $7.10 REINV PRICE $11.23000 QUANTITY BOT .6320 AS OF 02/28*	PTTPX			$0.00
[redacted] *Trust*		3/1/2013	Journal Entry *TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE $10.30*	TGLMX	1		$0.00
[redacted] *Trust*		3/1/2013	Journal Entry *RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE $10.31*	RSFYX	1		$0.00
[redacted] *Trust*		3/1/2013	Journal Entry *OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE $6.54*	OIBYX	1		$0.00
[redacted] *Trust*	2/28/2013	3/1/2013	Sale *CALL MU 00009.000 MICRON TECHNOLOGY INC EXP 04-20-13 OPEN TRAN MU APR 9.00000*	MU132 0D9	-4	$0.24	$96.79

[redacted] *Trust*		2/21/2013	Divd Reinv *TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $29.46 REINV PRICE $13.44000 QUANTITY BOT 2.1920 AS OF 02/15*	TGBAX	2		$0.00
[redacted] *Trust*	2/15/2013	2/21/2013	Sale *MARATHON PETROLEUM CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	MPC	-57	$82.25	$4,688.14
[redacted] *Trust*	2/11/2013	2/12/2013	Sale *TEMPLETON GLBL BOND FD ADV CL FRAC SHR QUANTITY .290 PER ADVISORY AGREEMENT. THIS SALE CONSTITUTES A REDEMPTION.*	TGBAX	-64	$13.43	$863.41
[redacted] *Trust*	2/11/2013	2/12/2013	Sale *OPPENHEIMER INTERNATIONAL BOND FD Y FRAC SHR QUANTITY .058 PER ADVISORY AGREEMENT. THIS SALE CONSTITUTES A REDEMPTION.*	OIBYX	-127	$6.57	$834.77
[redacted] *Trust*	2/8/2013	2/11/2013	Purchase *PUT OEF 00065.000 ISHARES S&P 100 INDEX EXP 05-18-13 OPEN TRAN OEF MAY 65.00000*	OEF131 8Q65	4	$0.95	-$378.88
[redacted] *Trust*	2/5/2013	2/8/2013	Purchase *JPMORGAN CHASE & CO PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	JPM	73	$48.00	-$3,503.88
[redacted] *Trust*	2/5/2013	2/8/2013	Purchase *PETSMART INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT*	PETM	55	$64.18	-$3,529.70
[redacted] *Trust*	2/5/2013	2/8/2013	Sale *ACTAVIS INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	ACT	-40	$86.34	$3,453.52
[redacted]	2/1/2013	2/6/2013	Sale	STZ	-93	$32.51	$3,023.11

Trust			CONSTELLATION BRANDS INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
[redacted] Trust		2/1/2013	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $25.12 REINV PRICE $10.30000 QUANTITY BOT 2.4390 AS OF 01/31	TGLMX	2		$0.00
[redacted] Trust		2/1/2013	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $16.54 REINV PRICE $10.33000 QUANTITY BOT 1.6010 AS OF 01/31	RSFYX	1		$0.00
[redacted] Trust		2/1/2013	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $34.12 REINV PRICE $6.60000 QUANTITY BOT 5.1700 AS OF 01/31	OIBYX	5		$0.00
[redacted] Trust		2/1/2013	Divd Reinv PIMCO TOT RTN FD CL P AGENT REINV AMOUNT $6.24 REINV PRICE $11.19000 QUANTITY BOT .5580 AS OF 01/31	PTTPX			$0.00
[redacted] Trust		2/1/2013	Redeemed GENERAL ELECTRIC COMPANY GLB 05.000% FEB 01 2013 GLB 05.000% FEB 01 2013 PAY DATE 02/01/2013	369604 AY9	-15,000		$15,000.00
[redacted] Trust		2/1/2013	Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE $11.18	PTTPX	1		$0.00
[redacted] Trust		1/30/2013	Journal Entry ML BANK DEPOSIT PROGRAM MANUAL REDEMPTION PER BRANCH REQUEST VS 03107017 UNIT 363 BATCH # = 11362690968	1E+09	-11,351		$11,351.00
[redacted] Trust		1/29/2013	Divd Reinv AMERICAN HIGH INC TR F2 AGENT REINV AMOUNT $.02 REINV PRICE $11.52000 QUANTITY BOT .0020 AS OF 01/28	AHIFX			$0.00
[redacted] Trust		1/24/2013	Exchange ACTAVIS INC PAY DATE 01/24/2013	ACT	40		$0.00
[redacted] Trust		1/24/2013	Exchange WATSON PHARMACEUTICALS PAY DATE 01/24/2013	9E+08	-40		$0.00
[redacted]	1/18/2013	1/24/2013	Purchase	9E+08	4	$85.12	-$340.48

Trust			*WATSON PHARMACEUTICALS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*				
[redacted] Trust		1/18/2013	Divd Reinv *TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $32.24 REINV PRICE $13.42000 QUANTITY BOT 2.4020 AS OF 01/15*	TGBAX	2		$0.00
[redacted] Trust	1/14/2013	1/17/2013	Purchase *AUTOZONE INC NEVADA COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	AZO	2	$347.00	-$694.00
[redacted] Trust	1/14/2013	1/17/2013	Sale *MICRON TECHNOLOGY INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT*	MU	-118	$7.50	$885.05
[redacted] Trust	1/11/2013	1/16/2013	Sale *SECTOR SPDR CONSMRS STPL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	XLP	-192	$35.81	$6,875.14
[redacted] Trust	1/11/2013	1/16/2013	Purchase *VANGUARD DIVIDEND APPRECIATION ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	VIG	43	$61.31	-$2,636.32
[redacted]	1/11/2013	1/16/2013	Purchase	XLF	5	$17.07	-$85.35

Trust			SECTOR SPDR FINANCIAL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
[redacted] Trust	1/11/2013	1/16/2013	Purchase DWA TECH LEADERS PORT POWERSHARES ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	PDP	144	$28.67	-$4,127.85
[redacted] Trust	1/11/2013	1/16/2013	Sale KAYNE ANDERSON MLP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KYN	-109	$32.07	$3,495.10
[redacted] Trust	1/10/2013	1/15/2013	Purchase SECTOR SPDR FINANCIAL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLF	43	$17.05	-$733.15
[redacted] Trust	1/10/2013	1/15/2013	Sale SECTOR SPDR CONSMRS STPL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLP	-125	$35.68	$4,460.29
[redacted] Trust	1/10/2013	1/15/2013	Purchase DWA TECH LEADERS PORT POWERSHARES ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	PDP	96	$28.63	-$2,748.82
[redacted]	1/10/2013	1/15/2013	Purchase	XLF	110	$17.16	-$1,887.05

Trust			SECTOR SPDR FINANCIAL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
[redacted] Trust	1/9/2013	1/14/2013	Sale *APACHE CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	APA	-41	$80.10	$3,284.03
[redacted] Trust	1/9/2013	1/14/2013	Purchase *DISCOVERY COMMUNICATN INC SERIES A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT*	DISCA	13	$67.63	-$879.14
[redacted] Trust		1/11/2013	Journal Entry *TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE $13.46*	TGBAX	1		$0.00
[redacted] Trust	1/8/2013	1/11/2013	Purchase *VANGUARD DIVIDEND APPRECIATION ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	VIG	46	$60.90	-$2,801.40
[redacted] Trust	1/8/2013	1/11/2013	Purchase *HESS CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	HES	62	$55.17	-$3,420.64
[redacted] Trust	1/7/2013	1/10/2013	Purchase *VANGUARD DIVIDEND APPRECIATION ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	VIG	48	$60.98	-$2,927.04
[redacted]	1/4/2013	1/9/2013	Sale	ADP	-50	$58.75	$2,937.67

Trust			AUTOMATIC DATA PROC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT				
[redacted] Trust	[redacted]	1/9/2013	Sale C.H. ROBINSON WORLDWIDE, INC. NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CHRW	-45	$63.02	$2,836.03
[redacted] Trust	1/4/2013	1/9/2013	Sale 3M COMPANY PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MMM	-29	$94.94	$2,753.33
[redacted] Trust	1/4/2013	1/9/2013	Sale LINEAR TECHNOLOGY CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	LLTC	-83	$35.31	$2,930.41
[redacted] Trust	1/4/2013	1/9/2013	Sale COCA COLA COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KO	-78	$37.70	$2,940.62
[redacted] Trust	1/4/2013	1/9/2013	Sale MCDONALDS CORP COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MCD	-31	$90.03	$2,790.88
[redacted]	1/4/2013	1/9/2013	Purchase	VIG	94	$61.00	-$5,733.98

Trust			VANGUARD DIVIDEND APPRECIATION ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
[redacted] Trust	1/4/2013	1/9/2013	Sale PAYCHEX INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	PAYX	-88	$31.83	$2,800.59
[redacted] Trust	1/4/2013	1/9/2013	Purchase DISCOVERY COMMUNICATN INC SERIES A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	DISCA	37	$65.74	-$2,432.47
[redacted] Trust	1/4/2013	1/9/2013	Purchase DWA TECH LEADERS PORT POWERSHARES ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	PDP	238	$28.67	-$6,824.44
[redacted] Trust	1/4/2013	1/9/2013	Purchase VANGUARD DIVIDEND APPRECIATION ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VIG	81	$61.12	-$4,950.72
[redacted] Trust	1/3/2013	1/8/2013	Purchase VANGUARD DIVIDEND APPRECIATION ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VIG	43	$60.99	-$2,622.74
[redacted]	1/3/2013	1/8/2013	Sale	CHK	-149	$16.85	$2,510.01

Trust			CHESAPEAKE ENERGY OKLA PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
[redacted] Trust		1/7/2013	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $30.85 REINV PRICE $6.58000 QUANTITY BOT 4.6880 AS OF 12/28	OIBYX	4		$0.00
[redacted] Trust		1/7/2013	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $60.79 REINV PRICE $6.58000 QUANTITY BOT 9.2390 AS OF 12/28	OIBYX	9		$0.00
[redacted] Trust		1/7/2013	Journal Entry OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE $6.58	OIBYX	1		$0.00
[redacted] Trust	12/31/2012	1/4/2013	Purchase SECTOR SPDR FINANCIAL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLF	207	$16.28	-$3,369.96
[redacted] Trust	12/31/2012	1/4/2013	Purchase ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDX F PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IYW	34	$70.29	-$2,389.83
[redacted] Trust	1/3/2013	1/4/2013	Sale AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 FRAC SHR QUANTITY .524 PER ADVISORY AGREEMENT. THIS SALE CONSTITUTES A REDEMPTION.	AHIFX	-2	$11.42	$28.82
[redacted] Trust		1/3/2013	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $30.85 REINV PRICE $6.58000 QUANTITY BOT 4.6880 AS OF 12/28 CXL RINV 12-31-12 BATCH # = 99980115706	OIBYX	-4		$0.00
[redacted]		1/3/2013	Divd Reinv	OIBYX	-4		$0.00

Trust		OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $30.85 REINV PRICE $6.58000 QUANTITY BOT 4.6880 AS OF 12/28 CXL RINV 12-31-12 BATCH # = 99980115706			
[redacted] Trust	1/3/2013	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $60.79 REINV PRICE $6.58000 QUANTITY BOT 9.2390 AS OF 12/28 CXL RINV 12-31-12 BATCH # = 99980115706	OIBYX	-9	$0.00
[redacted] Trust	1/3/2013	Journal Entry OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE $6.58	OIBYX	-1	$0.00
[redacted] Trust	1/3/2013	Journal Entry OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE $6.58	OIBYX	-1	$0.00
[redacted] Trust	1/2/2013	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $16.54 REINV PRICE $10.27000 QUANTITY BOT 1.6110 AS OF 12/31	RSFYX	1	$0.00
[redacted] Trust	1/2/2013	Divd Reinv BR INF PTD BD FD INST CL AGENT REINV AMOUNT $1.83 REINV PRICE $12.07000 QUANTITY BOT .1520 AS OF 12/31	BPRIX		$0.00
[redacted] Trust	1/2/2013	Divd Reinv LORD ABBETT FLT RTE FD F AGENT REINV AMOUNT $.01 REINV PRICE $9.40000 QUANTITY BOT .0010 AS OF 12/31	LFRFX		$0.00
[redacted] Trust	1/2/2013	Divd Reinv PIMCO TOT RTN FD CL P AGENT REINV AMOUNT $8.29 REINV PRICE $11.24000 QUANTITY BOT .7380 AS OF 12/31	PTTPX		$0.00
[redacted] Trust	1/2/2013	Journal Entry RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE $10.28	RSFYX	1	$0.00
[redacted] Trust	1/2/2013	Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE $11.22	PTTPX	1	$0.00

DAVID PHILLIP ROE

SCHEDULE 4

Merrill Lynch
Wealth Management
Bank of America Corporation

Online at: **www.mymerrill.com** Account Number: [redacted] **24-Hour Assistance: (800) MERRILL**

MLPF& S CUST FPO
DR DAVID PHILIP ROE IRRA
FBO DR DAVID PHILIP ROE
2 N CROSSBOW LN
JOHNSON CITY TN 37604-3639

Net Portfolio Value: $306,119.84

Your Financial Advisor:
SMITH CONKIN HAUSMAN DIXON & ASSOC.
206 PRINCETON RD SUITE NO 1
JOHNSON CITY TN 37601
1-423-282-5191

Alt Investments

November 30, 2013 - December 31, 2013

ASSETS

ASSETS	*December 31*	*November 29*
Cash/Money Accounts	2,361.49	2,361.44
Fixed Income	-	-
Equities	143,454.00	141,376.00
Mutual Funds	-	-
Options	-	-
Other	-	-
Alternative Investments	160,304.35	158,542.40
Subtotal (Long Portfolio)	*306,119.84*	*302,279.84*
TOTAL ASSETS	**$306,119.84**	$302,279.84

LIABILITIES

LIABILITIES		
Debit Balance	-	-
TOTAL LIABILITIES	-	-
NET PORTFOLIO VALUE	**$306,119.84**	$302,279.84

CASH FLOW

CASH FLOW	*This Statement*	*Year to Date*
Opening Cash/Money Accounts	**$2,361.44**	-
CREDITS		
Funds Received	-	-
Electronic Transfers	-	-
Other Credits	-	-
Subtotal	-	-
DEBITS		
Electronic Transfers	-	-
Other Debits	-	(45,000.00)
Subtotal	-	*(45,000.00)*
Net Cash Flow	-	($45,000.00)
Dividends/Interest Income	0.05	5,714.61
Dividend Reinvestments	-	(5,705.39)
Security Purchases/Debits	-	-
Security Sales/Credits	-	26,407.82
Closing Cash/Money Accounts	**$2,361.49**	
Securities You Transferred In/Out	-	(113.16)

ACCOUNT INVESTMENT OBJECTIVE

November 30, 2013 - December 31, 2013

TOTAL RETURN: Objective is to strike a balance between current income and growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s).

YOUR RETIREMENT ACCOUNT ASSETS

CASH/MONEY ACCOUNTS Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	0.60	0.60		**.60**		
+FIA CARD SERVICES NA RASP +FDIC INSURED NOT SIPC COVERED	2,360.89	2,360.89	1.0000	**2,360.89**	1	.03
TOTAL		2,361.49		**2,361.49**	1	.03

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
BASKET CLIRN ISSUER BAC *CAP 29.5% SV 100* *DUE 07/25/14 BUF 10%*	MLGSV	07/27/12	6,000	10.0000	60,000.00	12.5090	**75,054.00**	15,054.00		
DJIA MITTS ISSUER BAC *CAP 47.69% SV 10004.88* *DUE 09/01/2015 PROT 100%*	MLGFI	11/11/11	5,000	9.8910	49,455.35	13.6800	**68,400.00**	18,944.65		
TOTAL					109,455.35		**143,454.00**	33,998.65		

ALTERNATIVE INVESTMENTS HELD AT MLPF&S Description	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
ELEMENTS - ROGERS TR *SV=4126.81 - ROGRTR DUE OCTOBER 24, 2022*	09/24/08	2,007	10.9859	22,048.84	8.1700	16,397.19	(5,651.65)		
ELEMENTS - ROGERS TR	09/24/08	301	10.9797	3,304.89	8.1700	2,459.17	(845.72)		
Subtotal		*2,308*		*25,353.73*		***18,856.36***	*(6,497.37)*		
SKYBRIDGE MULTI-ADVISER *HEDGE FUND PORTFOLIOS LLC*	09/01/12	41	1,207.1639	49,493.72	1,277.2590	52,367.62	2,873.90		

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Alt Investments

Account Number: [redacted]

YOUR RETIREMENT ACCOUNT ASSETS

November 30, 2013 - December 31, 2013

ALTERNATIVE INVESTMENTS HELD AT MLPF&S (continued) Description	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
EST MKT PRICE AS OF 11/29/13									
SKYBRIDGE MULTI-ADVISER	01/25/13	5	1,138.6820	5,693.41	1,277.2590	6,386.30	692.89		
(.4300 FRACTIONAL SHARE)	*01/25/13*		*1,205.4186*	*518.33*	*1,277.2590*	*549.22*	*30.89*		
Subtotal		*46.4300*		*55,705.46*		***59,303.14***	*3,597.68*		
THE ENDOWMENT	01/01/07	436	55.1040	24,025.36	56.3690	24,576.88	551.52		
TEI FUND LP EST MKT PRICE AS OF 11/29/13									
THE ENDOWMENT	11/01/08	1,021	48.9421	49,969.93	56.3690	57,552.75	7,582.82		
(.2700 FRACTIONAL SHARE)	*01/01/07*		*55.0740*	*14.87*	*56.3690*	*15.22*	*.35*		
Subtotal		*1,457.2700*		*74,010.16*		***82,144.85***	*8,134.69*		
TOTAL				155,069.35		**160,304.35**	5,235.00		

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	266,886.19	**306,119.84**	39,233.65			

YOUR RETIREMENT ACCOUNT TRANSACTIONS

DIVIDENDS/INTEREST INCOME TRANSACTIONS

Date	Transaction Type	Quantity	Description	Income	Income Year To Date
	Income Total		FIA CARD SERVICES NA RASP	.05	
	Subtotal (Tax-Exempt Dividends)			.05	5,714.61
	NET TOTAL			**.05**	**5,714.61**

YOUR RETIREMENT ACCOUNT CONTRIBUTIONS AND DISTRIBUTIONS

November 30, 2013 - December 31, 2013

Year-End Plan Value as of December 31, 2013: $306,119.84

Contributions after December 31, 2012 for 2012: $.00

For IRA, IRRA, SEP/IRA, SIMPLE/IRA ROTH IRA and ESA accounts, the Year-End Plan Value represents the valuation we must furnish to you and the Internal Revenue Service as part of the IRS Form 5498 reporting requirements.

Account	Trade Date	Settle Date	Type	Description	Symbol/ CUSIP	Quantity	Price	Amount
[redacted] *Alt Investments*	11/14/2013	11/14/2013		Sale *THE ENDOWMENT TEI FUND LP TRADE AS OF 09/30/13 REDEMPTION FRAC SHR QUANTITY .058 UNSOLICITED ORDER*	73H789 999	-110	$55.62	$6,121.21
[redacted] *Alt Investments*	8/14/2013	8/14/2013		Sale *THE ENDOWMENT TEI FUND LP TRADE AS OF 06/30/13 REDEMPTION FRAC SHR QUANTITY .457 UNSOLICITED ORDER*	73H789 999	-126	$54.52	$6,894.37
[redacted] *Alt Investments*		5/10/2013		Journal Entry *THE ENDOWMENT TEI FUND LP FULL SHARE ACCUM SHARE VALUE $57.19*	73H789 999	-1		$0.00
[redacted] *Alt Investments*	5/10/2013	5/10/2013		Sale *THE ENDOWMENT TEI FUND LP TRADE AS OF 03/31/13 REDEMPTION FRAC SHR QUANTITY .715 UNSOLICITED ORDER*	73H789 999	-139	$57.19	$7,989.76
[redacted] *Alt Investments*		2/11/2013		Journal Entry *THE ENDOWMENT TEI FUND LP FULL SHARE ACCUM SHARE VALUE $55.98*	73H789 999	-1		$0.00
[redacted] *Alt Investments*	2/11/2013	2/11/2013		Sale *THE ENDOWMENT TEI FUND LP TRADE AS OF 12/31/12 REDEMPTION FRAC SHR QUANTITY .500 UNSOLICITED ORDER*	73H789 999	-96	$55.98	$5,402.48
[redacted] *Alt Investments*		1/28/2013		Divd Reinv *SKYBRIDGE MULTI-ADVISER HEDGE FUND PORTFOLIOS LLC AGENT REINV AMOUNT $5705.39 REINV PRICE $1138.68400 OUANTITY BOT 5.0110 AS OF 12/31*	8EJP59 995	5		$0.00

DAVID PHILLIP ROE

SCHEDULE 5

Merrill Lynch
Wealth Management
Bank of America Corporation

Online at: **www.mymerrill.com** Account Number: [redacted] **24-Hour Assistance: (800) MERRILL**

MLPF& S CUST FPO
DR DAVID PHILIP ROE IRRA
FBO DR DAVID PHILIP ROE
2 N CROSSBOW LN
JOHNSON CITY TN 37604-3639

Net Portfolio Value: $1,634,061.30

Your Financial Advisor:
SMITH CONKIN HAUSMAN DIXON & ASSOC.
206 PRINCETON RD SUITE NO 1
JOHNSON CITY TN 37601
1-423-282-5191

PIA

This account is enrolled in the Personal Investment Advisory Program

November 30, 2013 - December 31, 2013

ASSETS	*December 31*	*November 29*
Cash/Money Accounts	**165,082.21**	150,851.17
Fixed Income	**101,181.20**	122,960.85
Equities	**214,451.38**	223,098.66
Mutual Funds	**1,153,032.07**	1,121,134.94
Options	-	-
Other	-	-
Subtotal (Long Portfolio)	***1,633,746.86***	*1,618,045.62*
Estimated Accrued Interest	**917.44**	1,366.65
TOTAL ASSETS	**$1,634,664.30**	$1,619,412.27

LIABILITIES		
Debit Balance	-	-
Short Market Value	**(603.00)**	(348.00)
TOTAL LIABILITIES	**(603.00)**	(348.00)
NET PORTFOLIO VALUE	**$1,634,061.30**	$1,619,064.27

CASH FLOW	*This Statement*	*Year to Date*
Opening Cash/Money Accounts	**$150,851.17**	
CREDITS		
Funds Received	-	-
Electronic Transfers	-	-
Other Credits	**41.15**	45,446.27
Subtotal	***41.15***	*45,446.27*
DEBITS		
Electronic Transfers	-	-
Other Debits	**(10,000.00)**	(137,782.72)
Subtotal	***(10,000.00)***	*(137,782.72)*
Net Cash Flow	**($9,958.85)**	($92,336.45)
Dividends/Interest Income	**16,099.33**	43,722.35
Dividend Reinvestments	**(7,505.50)**	(11,220.97)
Security Purchases/Debits	**(50,252.74)**	(598,987.14)
Security Sales/Credits	**65,848.80**	666,514.00
Closing Cash/Money Accounts	**$165,082.21**	
Securities You Transferred In/Out	**140.94**	426.33

ACCOUNT INVESTMENT OBJECTIVE

November 30, 2013 - December 31, 2013

TOTAL RETURN: Objective is to strike a balance between current income and growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s).

ITEMS FOR ATTENTION

Security	Message	Date	Security	Message	Date
CALL VLO 00049.000	Option Expiring	01/18/14			

YOUR RETIREMENT ACCOUNT ASSETS

CASH/MONEY ACCOUNTS Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	1,255.09	1,255.09		**1,255.09**		
+FIA CARD SERVICES NA RASP *+FDIC INSURED NOT SIPC COVERED*	163,827.12	163,827.12	1.0000	**163,827.12**	82	.05
TOTAL		165,082.21		**165,082.21**	**82**	.05

CORPORATE BONDS Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
E.I. DU PONT DE NEMOURS *GLB 04.875% APR 30 2014* *MOODY'S: A2 S&P: A CUSIP: 263534BN8*	05/19/08	25,000	25,367.25	101.4530	**25,363.25**	(4.00)	203.13	**1,219**	4.80
PROCTER & GAMBLE CO *GLB 04.850% DEC 15 2015* *MOODY'S: AA3 S&P: AA- CUSIP: 742718BZ1*	05/22/08	25,000	25,830.00	108.1580	**27,039.50**	1,209.50	53.89	**1,213**	4.48
GOLDMAN SACHS GROUP INC *GLB 05.750% OCT 01 2016* *MOODY'S: BAA1 S&P: A- CUSIP: 38141GER1*	06/18/10	10,000	10,505.80	111.5990	**11,159.90**	654.10	143.75	**575**	5.15

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YOUR RETIREMENT ACCOUNT ASSETS

November 30, 2013 - December 31, 2013

CORPORATE BONDS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
JPMORGAN CHASE & CO *04.950% MAR 25 2020* *MOODY'S: A3 S&P: A CUSIP: 46625HHQ6*	05/26/11	25,000	26,082.00	110.9030	**27,725.75**	1,643.75	330.00	**1,238**	4.46
GENERAL ELEC CAP CORP *SER MTN STEP% JAN 13 2027* *MOODY'S: A1 S&P: AA+ CUSIP: 36966TEF5* *PAR CALL DATE: 01/13/14 PAR CALL PRICE: 100.00*	04/11/13	10,000	10,225.00	98.9280	**9,892.80**	(332.20)	186.67	**400**	4.04
TOTAL		95,000	98,010.05		**101,181.20**	3,171.15	917.44	**4,645**	4.59

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
AMAZON COM INC COM	AMZN	05/07/12	21	224.9000	4,722.90	398.7900	8,374.59	3,651.69		
		06/21/13	12	273.5675	3,282.81	398.7900	4,785.48	1,502.67		
Subtotal			*33*		*8,005.71*		***13,160.07***	*5,154.36*		
AUTONATION INC	AN	11/05/13	238	48.7831	11,610.40	49.6900	**11,826.22**	215.82		
BIOGEN IDEC INC	BIIB	07/03/13	31	216.8100	6,721.11	279.5720	8,666.73	1,945.62		
		07/09/13	22	217.7590	4,790.70	279.5720	6,150.58	1,359.88		
Subtotal			*53*		*11,511.81*		***14,817.31***	*3,305.50*		
CAPITAL ONE FINL	COF	11/11/13	87	69.7000	6,063.90	76.6100	6,665.07	601.17	105	1.56
		12/09/13	77	73.1500	5,632.55	76.6100	5,898.97	266.42	93	1.56
Subtotal			*164*		*11,696.45*		***12,564.04***	*867.59*	***198***	*1.56*
CHESAPEAKE ENERGY OKLA	CHK	05/10/13	356	19.4137	6,911.31	27.1400	9,661.84	2,750.53	125	1.28
		05/24/13	185	21.4630	3,970.66	27.1400	5,020.90	1,050.24	65	1.28
Subtotal			*541*		*10,881.97*		***14,682.74***	*3,800.77*	***190***	*1.28*

YOUR RETIREMENT ACCOUNT ASSETS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
DOLLAR TREE INC	DLTR	11/05/13	1	58.5000	58.50	56.4200	56.42	(2.08)		
		11/06/13	198	58.5198	11,586.94	56.4200	11,171.16	(415.78)		
Subtotal			*199*		*11,645.44*		***11,227.58***	*(417.86)*		
EATON CORP PLC	ETN	12/04/12	197	51.9055	10,225.40	76.1200	14,995.64	4,770.24	331	2.20
		03/25/13	3	63.4200	190.26	76.1200	228.36	38.10	6	2.20
Subtotal			*200*		*10,415.66*		***15,224.00***	*4,808.34*	***337***	*2.20*
ELI LILLY & CO	LLY	12/11/13	240	50.3400	12,081.60	51.0000	**12,240.00**	158.40	**471**	3.84
EXXON MOBIL CORP COM	XOM	02/02/12	101	83.7060	8,454.31	101.2000	10,221.20	1,766.89	255	2.49
		11/16/12	27	85.4100	2,306.07	101.2000	2,732.40	426.33	69	2.49
Subtotal			*128*		*10,760.38*		***12,953.60***	*2,193.22*	***324***	*2.49*
GENL DYNAMICS CORP COM	GD	09/07/11	145	61.5700	8,927.65	95.5500	13,854.75	4,927.10	325	2.34
		07/06/12	25	65.4196	1,635.49	95.5500	2,388.75	753.26	56	2.34
Subtotal			*170*		*10,563.14*		***16,243.50***	*5,680.36*	***381***	*2.34*
GILEAD SCIENCES INC COM	GILD	12/16/13	168	71.9073	12,080.44	75.1000	**12,616.80**	536.36		
HESS CORP	HES	01/08/13	5	55.1720	275.86	83.0000	415.00	139.14	5	1.20
		10/18/13	132	83.6363	11,040.00	83.0000	10,956.00	(84.00)	132	1.20
Subtotal			*137*		*11,315.86*		***11,371.00***	*55.14*	***137***	*1.20*
HUNTNGTN BANCSHS INC MD	HBAN	08/05/13	1,292	8.7398	11,291.95	9.6500	**12,467.80**	1,175.85	**259**	2.07
O'REILLY AUTOMOTIVE INC	ORLY	11/05/12	45	88.4606	3,980.73	128.7100	5,791.95	1,811.22		
		11/16/12	47	90.1159	4,235.45	128.7100	6,049.37	1,813.92		
Subtotal			*92*		*8,216.18*		***11,841.32***	*3,625.14*		
VALERO ENERGY CORP NEW	VLO	07/09/13	332	34.3622	11,408.28	50.4000	**16,732.80**	5,324.52	**299**	1.78
WELLS FARGO & CO NEW DEL	WFC	08/07/12	171	34.2698	5,860.15	45.4000	7,763.40	1,903.25	206	2.64
		09/07/12	148	34.9500	5,172.60	45.4000	6,719.20	1,546.60	178	2.64
Subtotal			*319*		*11,032.75*		***14,482.60***	*3,449.85*	***384***	*2.64*
TOTAL					174,518.02		**214,451.38**	39,933.36	**2,980**	1.39

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PIA Account Number: [redacted]

YOUR RETIREMENT ACCOUNT ASSETS

November 30, 2013 - December 31, 2013

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
CALAMOS HIGH INCOME FUND	1,503	14,999.94	9.7300	**14,624.19**	(375.75)	14,999	**(375)**	**827**	5.65
CL I									
SYMBOL: CIHYX Initial Purchase: 09/21/12									
Fixed Income 100%									
.9290 Fractional Share		*9.27*	*9.7300*	***9.04***	*(0.23)*			***1***	*5.65*
CALAMOS EVOLVING WORLD	1,015	13,418.30	14.0400	**14,250.60**	832.30	13,418	**832**	**40**	.27
GROWTH FUND CL I									
SYMBOL: CNWIX Initial Purchase: 10/05/12									
Equity 100%									
.0240 Fractional Share		*0.32*	*14.0400*	***.34***	*.02*				*.27*
DOUBLELINE TOTAL RETURN	1,050	12,001.50	10.7800	**11,319.00**	(682.50)	12,001	**(682)**	**582**	5.13
BOND FUND CL I									
SYMBOL: DBLTX Initial Purchase: 09/21/12									
Fixed Income 100%									
.6960 Fractional Share		*7.96*	*10.7800*	***7.50***	*(0.46)*			***1***	*5.13*
DWA TECH LEADERS PORT	1,584	45,402.80	36.6500	**58,053.60**	12,650.80	45,402	**12,650**	**165**	.28
POWERSHARES ETF									
SYMBOL: PDP Initial Purchase: 01/04/13									
Equity 100%									
EATON VANCE TAX-ADVANTAG	589	10,381.89	14.2000	**8,363.80**	(2,018.09)	10,381	**(2,018)**	**1,002**	11.97
BD & OPT STRATEGIES FD									
SYMBOL: EXD Initial Purchase: 03/14/13									
Alternative Investments 100%									
FIRST EAGLE	3,405	71,342.92	23.5000	**80,017.50**	8,674.58	51,792	**28,224**		
OVERSEAS CL I									

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YOUR RETIREMENT ACCOUNT ASSETS

November 30, 2013 - December 31, 2013

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) *Description*	*Quantity*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Total Client Investment*	*Cumulative Investment Return ($)*	*Estimated Annual Income*	*Current Yield%*
SYMBOL: SGOIX Initial Purchase: 07/28/08									
Equity 100%									
.2660 Fractional Share		*6.09*	*23.5000*	*6.25*	*.16*				
GUGGENHEIM BULLETSHARES	706	14,881.99	22.2900	**15,736.74**	854.75	14,881	**854**	**248**	1.57
2016 CORPORATE BOND ETF									
SYMBOL: BSCG Initial Purchase: 09/16/10									
Fixed Income 100%									
GUGGENHEIM BULLETSHARES	713	15,657.48	22.6600	**16,156.58**	499.10	15,657	**499**	**309**	1.90
2017 CORPORATE BOND ETF									
SYMBOL: BSCH Initial Purchase: 03/16/12									
Fixed Income 100%									
GUGGENHEIM BULLETSHARES	475	12,231.25	26.6700	**12,668.25**	437.00	12,231	**437**	**440**	3.46
2014 H Y CORP									
SYMBOL: BSJE Initial Purchase: 06/01/11									
Fixed Income 100%									
GUGGENHEIM BULLETSHARES	1,088	27,960.06	26.7800	**29,136.64**	1,176.58	27,960	**1,176**	**1,228**	4.21
2015 H Y CORP									
SYMBOL: BSJF Initial Purchase: 06/14/11									
Fixed Income 100%									
ISHARES CORE S&P MID-CAP	480	42,852.03	133.8100	**64,228.80**	21,376.77	42,852	**21,376**	**829**	1.29
ETF									
SYMBOL: IJH Initial Purchase: 11/16/10									
Equity 100%									
ISHARES U.S. PREFERRED	300	11,739.25	36.8300	**11,049.00**	(690.25)	11,739	**(690)**	**730**	6.60
STOCK ETF									

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PIA Account Number [redacted]

YOUR RETIREMENT ACCOUNT ASSETS

November 30, 2013 - December 31, 2013

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
SYMBOL: PFF Initial Purchase: 02/22/12 Fixed Income 100%									
ISHARES U.S. TECHNOLOGY SYMBOL: IYW Initial Purchase: 06/04/10 Equity 100%	817	45,403.29	88.4400	**72,255.48**	26,852.19	45,403	**26,852**	**768**	1.06
ISHARES S&P 100 SYMBOL: OEF Initial Purchase: 10/19/11 Equity 100%	1,944	119,185.99	82.3500	**160,088.40**	40,902.41	119,185	**40,902**	**3,134**	1.95
JAMES SMALL CAP FUND SYMBOL: JASCX Initial Purchase: 02/24/12 Equity 100%	748	18,843.80	33.2000	**24,833.60**	5,989.80	18,843	**5,989**	**676**	2.72
.5420 Fractional Share		*13.55*	*33.2000*	***17.99***	*4.44*			**1**	*2.72*
MFS INTERNATIONAL VALUE FUND CL I SYMBOL: MINIX Initial Purchase: 09/25/13 Equity 100%	1,124	38,767.56	35.1800	**39,542.32**	774.76	38,767	**774**	**743**	1.87
.6920 Fractional Share		*23.76*	*35.1800*	***24.34***	*.58*			**1**	*1.87*
OPPENHEIMER INTERNATIONAL BOND FD Y SYMBOL: OIBYX Initial Purchase: 07/29/08 Fixed Income 100%	4,821	30,961.51✦	6.0800	**29,311.68**	(1,649.83)	23,385	**5,926**	**1,191**	4.06
.1010 Fractional Share		*0.61*	*6.0800*	***.61***				**1**	*4.06*
OPPENHEIMER DEVELOPING MARKETS FD CL Y SYMBOL: ODVYX Initial Purchase: 10/05/12	393	13,420.95	37.5600	**14,761.08**	1,340.13	13,420	**1,340**	**64**	.43

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YOUR RETIREMENT ACCOUNT ASSETS

November 30, 2013 - December 31, 2013

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
Equity 100%									
.0110 Fractional Share		0.38	37.5600	.41	.03				.43
OPPENHEIMER INTERNATL	1,470	41,132.87	38.1600	**56,095.20**	14,962.33	41,132	**14,962**	**519**	.92
GROWTH FD CL Y									
SYMBOL: OIGYX Initial Purchase: 01/20/12									
Equity 100%									
.7800 Fractional Share		22.99	38.1600	**29.76**	6.77			1	.92
PIMCO TOTAL RETURN FUND	1,209	13,443.48	10.6900	**12,924.21**	(519.27)	11,029	**1,894**	**321**	2.47
CL P									
SYMBOL: PTTPX Initial Purchase: 05/21/10									
Fixed Income 100%									
.4490 Fractional Share		4.83	10.6900	**4.80**	(0.03)			1	2.47
POWERSHARES BUILD AMERIC	1,051	26,978.38	27.2901	**28,681.89**	1,703.51	26,978	**1,703**	**1,487**	5.18
BOND ETF									
SYMBOL: BAB Initial Purchase: 02/12/10									
Fixed Income 100%									
PRINCIPAL PREFERRED	1,422	14,548.00	9.8100	**13,949.82**	(598.18)	14,548	**(598)**	**802**	5.74
SECURITIES FUND CL P									
SYMBOL: PPSPX Initial Purchase: 03/16/12									
Fixed Income 100%									
.5210 Fractional Share		5.33	9.8100	**5.11**	(0.22)			1	5.74
PUTNAM DIVERSIFIED	1,938	15,000.12	7.8600	**15,232.68**	232.56	15,000	**232**	**907**	5.95
INCOME TRUST FUND CL Y									
SYMBOL: PDVYX Initial Purchase: 06/28/13									
Fixed Income 100%									
.6370 Fractional Share		4.93	7.8600	**5.01**	.08			1	5.95

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YOUR RETIREMENT ACCOUNT ASSETS

November 30, 2013 - December 31, 2013

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
RIDGEWORTH SEIX FLOATING RATE HI INC FD CL I SYMBOL: SAMBX Initial Purchase: 12/26/12 Fixed Income 100%	1,675	15,110.12	9.0600	**15,175.50**	65.38	15,110	**65**	**634**	4.17
.1040 Fractional Share		0.94	9.0600	**.94**				**1**	4.17
ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT SYMBOL: PENNX Initial Purchase: 07/22/09 Equity 100%	2,661	25,164.51	14.7300	**39,196.53**	14,032.02	19,867	**19,328**	**3**	
.9390 Fractional Share		13.30	14.7300	**13.83**	.53				
RS FLOATING RATE FUND CL Y SYMBOL: RSFYX Initial Purchase: 02/18/11 Fixed Income 100%	1,510	15,640.07✦	10.3400	**15,613.40**	(26.67)	13,654	**1,959**	**712**	4.55
.2240 Fractional Share		2.31	10.3400	**2.32**	.01			**1**	4.55
RS LOW DURATION BOND FD CL Y SYMBOL: RSDYX Initial Purchase: 11/16/11 Fixed Income 100%	1,489	15,218.93	10.1300	**15,083.57**	(135.36)	15,218	**(135)**	**298**	1.97
.8890 Fractional Share		9.09	10.1300	**9.01**	(0.08)			**1**	1.97
SCOUT MID CAP FUND CL RETAIL SYMBOL: UMBMX Initial Purchase: 07/13/12 Equity 100%	1,345	17,659.85	17.8100	**23,954.45**	6,294.60	17,659	**6,294**	**60**	.24
.5520 Fractional Share		7.25	17.8100	**9.83**	2.58			**1**	.24

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YOUR RETIREMENT ACCOUNT ASSETS

November 30, 2013 - December 31, 2013

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
SECTOR SPDR ENERGY	117	9,222.74	88.5100	**10,355.67**	1,132.93	9,222	**1,132**	**179**	1.72
SYMBOL: XLE Initial Purchase: 03/08/13									
Equity 100%									
SECTOR SPDR FINANCIAL	1,199	19,942.80	21.8600	**26,210.14**	6,267.34	19,942	**6,267**	**384**	1.46
SYMBOL: XLF Initial Purchase: 12/31/12									
Equity 100%									
TCW TOTAL RETURN	2,085	21,057.97✦	10.0200	**20,891.70**	(166.27)	16,747	**4,144**	**912**	4.36
BOND FD CL I									
SYMBOL: TGLMX Initial Purchase: 06/29/10									
Fixed Income 100%									
.8510 Fractional Share		*8.55*	*10.0200*	***8.53***	*(0.02)*			***1***	*4.36*
TEMPLETON GLBL BOND FD	2,371	30,272.89	13.0900	**31,036.39**	763.50	24,330	**6,706**	**1,217**	3.91
ADV CL									
SYMBOL: TGBAX Initial Purchase: 06/30/09									
Fixed Income 100%									
.3290 Fractional Share		*4.27*	*13.0900*	***4.31***	*.04*			***1***	*3.91*
VANGUARD DIVIDEND	1,577	96,290.16	75.2400	**118,653.48**	22,363.32	96,290	**22,363**	**2,189**	1.84
APPRECIATION ETF									
SYMBOL: VIG Initial Purchase: 12/21/12									
Equity 100%									
VICTORY FD FOR INCOME	1,366	15,189.92	10.4100	**14,220.06**	(969.86)	15,189	**(969)**	**860**	6.04
CL Y									
SYMBOL: VFFYX Initial Purchase: 04/16/13									
Fixed Income 100%									
.9790 Fractional Share		*10.89*	*10.4100*	***10.19***	*(0.70)*			***1***	*6.04*

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YOUR RETIREMENT ACCOUNT ASSETS

November 30, 2013 - December 31, 2013

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
WESTERN ASSET INVT GRADE *DEFINED OPPORTUNITY TR* *SYMBOL: IGI* *Initial Purchase: 03/14/13* *Fixed Income 100%*	950	20,632.44	20.2000	**19,190.00**	(1,442.44)	20,632	**(1,442)**	**1,140**	5.94
Subtotal (Fixed Income)				*342,068.67*					
Subtotal (Equities)				*802,599.60*					
Subtotal (Alternative Investments)				*8,363.80*					
TOTAL		972,114.38		**1,153,032.07**	180,917.69		**227,971**	**25,616**	2.22

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.
Cumulative Investment Return: Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.
Initial Purchase: Date of your initial investment in this fund.

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	1,409,724.66	**1,633,746.86**	224,022.20	917.44	**33,322**	2.04

Notes
✦Cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and the acquisition date has been adjusted to include the holding period of the lot closed by that previous "Wash Sale".
For Credit Ratings: S&P and Moody's provide credit ratings on the credit quality of certain bonds and preferred stocks. For a credit enhanced security, Moody's and S&P publish and provide third party vendors the higher of the rating on the credit enhancer (guarantor) or the stand alone rating on the underlying security.

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YOUR RETIREMENT ACCOUNT LIABILITIES

November 30, 2013 - December 31, 2013

SHORTS Description	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
CALL VLO 00049.000 *VALERO ENERGY CORP NEW EXP 01-18-2014*	12/05/13	3	0.8299	248.99	2.0100	**603.00**	(354.01)		
TOTAL				248.99		**603.00**	(354.01)		

YOUR RETIREMENT ACCOUNT TRANSACTIONS

DIVIDENDS/INTEREST INCOME TRANSACTIONS

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
12/16	¤ Bond Interest		PROCTER & GAMBLE CO GLB 04.850% DEC 15 2015 PAY DATE 12/15/2013 CUSIP NUM: 742718BZ1		606.25	
	Subtotal (Tax-Exempt Interest)				*606.25*	*6,990.64*
12/02	* Dividend		WELLS FARGO & CO NEW DEL HOLDING 319.0000 PAY DATE 12/01/2013		95.70	
12/02	* Dividend		TCW TOTAL RETURN BOND FD CL I PAY DATE 11/29/2013		62.20	
12/02	Reinvestment		TCW TOTAL RETURN BOND FD CL I	(62.20)		
12/02	Divd Reinv	6	TCW TOTAL RETURN BOND FD CL I REINV AMOUNT $62.20 REINV PRICE $10.10000 QUANTITY BOT 6.1580 AS OF 11/29			

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YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 30, 2013 - December 31, 2013

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
12/02	* Dividend		RS LOW DURATION BOND FD CL Y PAY DATE 11/29/2013		27.75	
12/02	* Dividend		RIDGEWORTH SEIX FLOATING RATE HI INC FD CL I PAY DATE 11/29/2013		51.05	
12/02	* Dividend		RS FLOATING RATE FUND CL Y PAY DATE 11/29/2013		56.91	
12/02	Reinvestment		RS FLOATING RATE FUND CL Y	(56.91)		
12/02	Divd Reinv	5	RS FLOATING RATE FUND CL Y REINV AMOUNT $56.91 REINV PRICE $10.32000 QUANTITY BOT 5.5150 AS OF 11/29			
12/02	* Dividend		DOUBLELINE TOTAL RETURN BOND FUND CL I PAY DATE 11/29/2013		48.40	
12/02	* Dividend		OPPENHEIMER INTERNATIONAL BOND FD Y PAY DATE 11/29/2013		95.12	
12/02	Reinvestment		OPPENHEIMER INTERNATIONAL BOND FD Y	(95.12)		
12/02	Divd Reinv	15	OPPENHEIMER INTERNATIONAL BOND FD Y REINV AMOUNT $95.12 REINV PRICE $6.08000 QUANTITY BOT 15.6450 AS OF 11/29			

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YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 30, 2013 - December 31, 2013

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
12/02	* Dividend		PRINCIPAL PREFERRED SECURITIES FUND CL P PAY DATE 11/29/2013		75.82	
12/02	* Dividend		CALAMOS HIGH INCOME FUND CL I PAY DATE 11/29/2013		65.14	
12/02	* Dividend		PIMCO TOTAL RETURN FUND CL P PAY DATE 11/29/2013		23.02	
12/02	Reinvestment		PIMCO TOTAL RETURN FUND CL P	(23.02)		
12/02	Divd Reinv	2	PIMCO TOTAL RETURN FUND CL P REINV AMOUNT $23.02 REINV PRICE $10.88000 QUANTITY BOT 2.1160 AS OF 11/29			
12/06	* Dividend		ISHARES U.S. PREFERRED STOCK ETF HOLDING 300.0000 PAY DATE 12/06/2013		70.82	
12/06	Dividend		GUGGENHEIM BULLETSHARES 2016 CORPORATE BOND ETF HOLDING 706.0000 PAY DATE 12/06/2013		16.94	
12/06	* Dividend		GUGGENHEIM BULLETSHARES 2017 CORPORATE BOND ETF HOLDING 713.0000 PAY DATE 12/06/2013		22.10	
12/06	* Dividend		GUGGENHEIM BULLETSHARES 2014 H Y CORP		30.88	

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YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 30, 2013 - December 31, 2013

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
			HOLDING 475.0000			
12/06	* Dividend		PAY DATE 12/06/2013 GUGGENHEIM BULLETSHARES 2015 H Y CORP HOLDING 1088.0000		87.04	
12/06	* Dividend		PAY DATE 12/06/2013 ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT		3.28	
12/06	Reinvestment		PAY DATE 12/05/2013 ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT	(3.28)		
12/06	* Lg Tm Cap Gain		ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT		1,642.88	
12/06	Reinvestment		PAY DATE 12/05/2013 ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT	(1,642.88)		
12/06	* Sh Tm Cap Gain		ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT		350.66	
12/06	Reinvestment		PAY DATE 12/05/2013 ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT	(350.66)		
12/06	Divd Reinv		ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT REINV AMOUNT $3.28 REINV PRICE $14.16000 QUANTITY BOT .2320 AS OF 12/05			
12/06	Divd Reinv	116	ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT REINV AMOUNT $1642.88 REINV PRICE $14.16000			

YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 30, 2013 - December 31, 2013

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
			QUANTITY BOT 116.0230 AS OF 12/05			
12/06	Divd Reinv	24	ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT REINV AMOUNT $350.66 REINV PRICE $14.16000 QUANTITY BOT 24.7640 AS OF 12/05			
12/10	* Dividend		EXXON MOBIL CORP COM HOLDING 128.0000 PAY DATE 12/10/2013		80.64	
12/10	* Dividend		OPPENHEIMER DEVELOPING MARKETS FD CL Y PAY DATE 12/09/2013		64.26	
12/10	* Lg Tm Cap Gain		OPPENHEIMER DEVELOPING MARKETS FD CL Y PAY DATE 12/09/2013		70.44	
12/12	* Lg Tm Cap Gain		PIMCO TOTAL RETURN FUND CL P PAY DATE 12/11/2013		72.04	
12/12	Reinvestment		PIMCO TOTAL RETURN FUND CL P	(72.04)		
12/12	* Sh Tm Cap Gain		PIMCO TOTAL RETURN FUND CL P PAY DATE 12/11/2013		13.77	
12/12	Reinvestment		PIMCO TOTAL RETURN FUND CL P	(13.77)		
12/12	Divd Reinv	6	PIMCO TOTAL RETURN FUND CL P REINV AMOUNT $72.04 REINV PRICE $10.75000			

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PIA Account Number: [redacted]

YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 30, 2013 - December 31, 2013

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
			QUANTITY BOT 6.7010 AS OF 12/11			
12/12	Divd Reinv	1	PIMCO TOTAL RETURN FUND CL P REINV AMOUNT $13.77 REINV PRICE $10.75000 QUANTITY BOT 1.2810 AS OF 12/11			
12/13	* Dividend		MFS INTERNATIONAL VALUE FUND CL I PAY DATE 12/12/2013		331.27	
12/13	* Lg Tm Cap Gain		MFS INTERNATIONAL VALUE FUND CL I PAY DATE 12/12/2013		51.65	
12/18	* Dividend		VALERO ENERGY CORP NEW HOLDING 332.0000 PAY DATE 12/18/2013		74.70	
12/19	* Dividend		GAMESTOP CORP NEW CL A HOLDING 209.0000 PAY DATE 12/19/2013		57.48	
12/19	* Dividend		FIRST EAGLE OVERSEAS CL I PAY DATE 12/18/2013		1,666.58	
12/19	Reinvestment		FIRST EAGLE OVERSEAS CL I	(1,666.58)		
12/19	* Lg Tm Cap Gain		FIRST EAGLE OVERSEAS CL I PAY DATE 12/18/2013		2,389.51	
12/19	Reinvestment		FIRST EAGLE OVERSEAS CL I	(2,389.51)		

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YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 30, 2013 - December 31, 2013

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
12/19	* Sh Tm Cap Gain		FIRST EAGLE OVERSEAS CL I PAY DATE 12/18/2013		671.75	
12/19	Reinvestment		FIRST EAGLE OVERSEAS CL I	(671.75)		
12/19	Divd Reinv	72	FIRST EAGLE OVERSEAS CL I REINV AMOUNT $1666.58 REINV PRICE $22.90000 QUANTITY BOT 72.7760 AS OF 12/17			
12/19	Divd Reinv	104	FIRST EAGLE OVERSEAS CL I REINV AMOUNT $2389.51 REINV PRICE $22.90000 QUANTITY BOT 104.3450 AS OF 12/17			
12/19	Divd Reinv	29	FIRST EAGLE OVERSEAS CL I REINV AMOUNT $671.75 REINV PRICE $22.90000 QUANTITY BOT 29.3340 AS OF 12/17			
12/19	* Lg Tm Cap Gain		PRINCIPAL PREFERRED SECURITIES FUND CL P PAY DATE 12/18/2013		390.48	
12/19	* Dividend		TEMPLETON GLBL BOND FD ADV CL PAY DATE 12/18/2013		212.60	
12/19	Reinvestment		TEMPLETON GLBL BOND FD ADV CL	(212.60)		

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YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 30, 2013 - December 31, 2013

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
12/19	* Lg Tm Cap Gain		TEMPLETON GLBL BOND FD ADV CL PAY DATE 12/18/2013		7.30	
12/19	Reinvestment		TEMPLETON GLBL BOND FD ADV CL	(7.30)		
12/19	Divd Reinv	16	TEMPLETON GLBL BOND FD ADV CL REINV AMOUNT $212.60 REINV PRICE $13.00000 QUANTITY BOT 16.3540 AS OF 12/16			
12/19	Divd Reinv		TEMPLETON GLBL BOND FD ADV CL REINV AMOUNT $7.30 REINV PRICE $13.00000 QUANTITY BOT .5620 AS OF 12/16			
12/20	* Dividend		RIDGEWORTH SEIX FLOATING RATE HI INC FD CL I PAY DATE 12/19/2013		.05	
12/20	* Dividend		OPPENHEIMER INTERNATL GROWTH FD CL Y PAY DATE 12/19/2013		520.45	
12/20	* Lg Tm Cap Gain		CALAMOS HIGH INCOME FUND CL I PAY DATE 12/19/2013		287.51	
12/20	* Dividend		CALAMOS EVOLVING WORLD GROWTH FUND CL I PAY DATE 12/19/2013		40.42	
12/20	* Lg Tm Cap Gain		CALAMOS EVOLVING WORLD GROWTH FUND CL I		116.21	

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YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 30, 2013 - December 31, 2013

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
			PAY DATE 12/19/2013			
12/23	* Dividend		PUTNAM DIVERSIFIED INCOME TRUST FUND CL Y		75.61	
			PAY DATE 12/20/2013			
12/23	* Dividend		SCOUT MID CAP FUND CL RETAIL		4.71	
			PAY DATE 12/20/2013			
12/23	* Lg Tm Cap Gain		SCOUT MID CAP FUND CL RETAIL		744.36	
			PAY DATE 12/20/2013			
12/23	* Sh Tm Cap Gain		SCOUT MID CAP FUND CL RETAIL		527.19	
			PAY DATE 12/20/2013			
12/27	* Dividend		VANGUARD DIVIDEND APPRECIATION ETF HOLDING 1577.0000		627.65	
			PAY DATE 12/27/2013			
12/27	* Dividend		WESTERN ASSET INVT GRADE DEFINED OPPORTUNITY TR HOLDING 950.0000		95.00	
			PAY DATE 12/27/2013			
12/27	* Lg Tm Cap Gain		WESTERN ASSET INVT GRADE DEFINED OPPORTUNITY TR HOLDING 950.0000		294.12	
			PAY DATE 12/27/2013			
12/30	* Dividend		ISHARES CORE S&P MID-CAP ETF HOLDING 480.0000		231.52	
			PAY DATE 12/30/2013			
12/30	* Dividend		ISHARES U.S. TECHNOLOGY HOLDING 817.0000		221.58	

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YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 30, 2013 - December 31, 2013

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
			PAY DATE 12/30/2013			
12/30	* Dividend		ISHARES S&P 100 HOLDING 1944.0000 PAY DATE 12/30/2013		902.79	
12/30	* Dividend		MFS INTERNATIONAL VALUE FUND CL I PAY DATE 12/27/2013		52.74	
12/30	* Dividend		PRINCIPAL PREFERRED SECURITIES FUND CL P PAY DATE 12/27/2013		74.68	
12/30	* Dividend		VICTORY FD FOR INCOME CL Y PAY DATE 12/27/2013		196.37	
12/31	* Dividend		DWA TECH LEADERS PORT POWERSHARES ETF HOLDING 1584.0000 PAY DATE 12/31/2013		39.52	
12/31	* Dividend		HESS CORP HOLDING 137.0000 PAY DATE 12/31/2013		34.25	
12/31	* Dividend		POWERSHARES BUILD AMERIC BOND ETF HOLDING 1051.0000 PAY DATE 12/31/2013		125.07	
12/31	* Dividend		SECTOR SPDR ENERGY HOLDING 117.0000 PAY DATE 12/31/2013		47.11	
12/31	* Dividend		SECTOR SPDR FINANCIAL HOLDING 1199.0000 PAY DATE 12/31/2013		121.28	

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YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 30, 2013 - December 31, 2013

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
12/31	* Dividend		TCW TOTAL RETURN BOND FD CL I PAY DATE 12/30/2013		62.39	
12/31	Reinvestment		TCW TOTAL RETURN BOND FD CL I	(62.39)		
12/31	Divd Reinv	6	TCW TOTAL RETURN BOND FD CL I REINV AMOUNT $62.39 REINV PRICE $10.03000 QUANTITY BOT 6.2200 AS OF 12/30			
12/31	* Dividend		RS LOW DURATION BOND FD CL Y PAY DATE 12/31/2013		27.17	
12/31	* Dividend		RIDGEWORTH SEIX FLOATING RATE HI INC FD CL I PAY DATE 12/31/2013		52.91	
12/31	* Dividend		RS FLOATING RATE FUND CL Y PAY DATE 12/31/2013		60.38	
12/31	Reinvestment		RS FLOATING RATE FUND CL Y	(60.38)		
12/31	* Dividend		DOUBLELINE TOTAL RETURN BOND FUND CL I PAY DATE 12/31/2013		56.76	
12/31	* Dividend		JAMES SMALL CAP FUND PAY DATE 12/31/2013		676.92	
12/31	* Dividend		OPPENHEIMER INTERNATIONAL BOND FD Y PAY DATE 12/30/2013		88.41	

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Account Number: [redacted]

YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 30, 2013 - December 31, 2013

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
12/31	Reinvestment		OPPENHEIMER INTERNATIONAL BOND FD Y	(88.41)		
12/31	* Lg Tm Cap Gain		OPPENHEIMER INTERNATIONAL BOND FD Y PAY DATE 12/30/2013		10.38	
12/31	Reinvestment		OPPENHEIMER INTERNATIONAL BOND FD Y	(10.38)		
12/31	Divd Reinv	14	OPPENHEIMER INTERNATIONAL BOND FD Y REINV AMOUNT $88.41 REINV PRICE $6.08000 QUANTITY BOT 14.5410 AS OF 12/30			
12/31	Divd Reinv	1	OPPENHEIMER INTERNATIONAL BOND FD Y REINV AMOUNT $10.38 REINV PRICE $6.08000 QUANTITY BOT 1.7070 AS OF 12/30			
12/31	* Dividend		CALAMOS HIGH INCOME FUND CL I PAY DATE 12/31/2013		74.01	
12/31	* Dividend		PIMCO TOTAL RETURN FUND CL P PAY DATE 12/31/2013		16.32	
12/31	Reinvestment		PIMCO TOTAL RETURN FUND CL P	(16.32)		
	Income Total		FIA CARD SERVICES NA RASP		7.06	
	Subtotal (Tax-Exempt Dividends)				*15,493.08*	*36,731.71*
	NET TOTAL			**(7,505.50)**	**16,099.33**	**43,722.35**

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YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 30, 2013 - December 31, 2013

SECURITY TRANSACTIONS
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	*Trade Date*	*Description*	*Transaction Type*	*Quantity*	*Unit Price*	*Debit*	*Credit*	*Accrued Interest Earned/(Paid)*
12/06	12/05	CALL VLO 00046.000 VALERO ENERGY CORP NEW EXP 12-21-13 CLOSE TRAN VLO DEC 46.00000 CUS NO N/A SEC NO VIPO3 PRINCIPAL 351.00	Purchase	3	1.1700	351.00		
12/12	12/09	CAPITAL ONE FINL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT CUS NO 14040H105 SEC NO 130K3 PRINCIPAL 5632.55	Purchase	77	73.1500	5,632.55		
12/16	12/11	ELI LILLY & CO PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT CUS NO 532457108 SEC NO 44534 PRINCIPAL 12081.60	Purchase	240	50.3400	12,081.60		
12/19	12/16	GILEAD SCIENCES INC COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT CUS NO 375558103 SEC NO 32422 PRINCIPAL 12080.44	Purchase	168	71.9074	12,080.44		
12/19	12/18	MFS INTERNATIONAL VALUE FUND CL I FRAC SHR QUANTITY .702 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT.	Purchase	585	34.3300	20,107.15		

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YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 30, 2013 - December 31, 2013

SECURITY TRANSACTIONS (continued)
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	Trade Date	Description	Transaction Type	Quantity	Unit Price	Debit	Credit	Accrued Interest Earned/(Paid)
		PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS CUS NO 55273E822 SEC NO 9K223 PRINCIPAL 20107.15 ML ACTED AS YOUR AGENT						
		Subtotal (Purchases)				*50,252.74*		
12/06	12/05	FEDERAL HOME LOAN BANK BONDS 03.500% DEC 09 2016 PER ADVISORY AGREEMENT. YLD TO MATURITY 0.74% MATURITY DATE 12/09/16. 177 DAYS INTEREST SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TMPG. CUS NO 3133XVRJ2 SEC NO HNC26 PRINCIPAL 10818.10 ML ACTED AS AGENT	Sale	-10,000	108.1810		10,990.18	172.08
12/06	12/05	CALL VLO 00049.000 VALERO ENERGY CORP NEW EXP 01-18-14 OPEN TRAN VLO JAN 49.00000 CUS NO N/A SEC NO VIKY2 PRINCIPAL 249.00 TRN FEE 0.01	Sale	-3	.8300		248.99	
12/10	12/09	FEDERAL HOME LOAN BANK BONDS 04.125% MAR 13 2015 PER ADVISORY AGREEMENT. YLD TO MATURITY 0.32% MATURITY DATE 3/13/15. 87 DAYS INTEREST SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TMPG. CUS NO 3133XQBC5 SEC NO HMYF2 PRINCIPAL 10477.23 ML ACTED AS AGENT	Sale	-10,000	104.7723		10,576.92	99.69

YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 30, 2013 - December 31, 2013

SECURITY TRANSACTIONS (continued)
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	Trade Date	Description	Transaction Type	Quantity	Unit Price	Debit	Credit	Accrued Interest Earned/(Paid)
12/16	12/11	AUTOZONE INC NEVADA COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT CUS NO 053332102 SEC NO 06750 PRINCIPAL 13688.00 TRN FEE 0.24	Sale	-29	472.0000		13,687.76	
12/19	12/16	GAMESTOP CORP NEW CL A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT CUS NO 36467W109 SEC NO 319Y9 PRINCIPAL 10109.33 TRN FEE 0.18	Sale	-209	48.3700		10,109.15	
12/19	12/16	REGENERON PHARMACTCLS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT CUS NO 75886F107 SEC NO 64376 PRINCIPAL 10504.73 TRN FEE 0.18	Sale	-39	269.3520		10,504.55	
12/19	12/16	VERTEX PHARMCTLS INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON	Sale	-151	64.4465		9,731.25	

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YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 30, 2013 - December 31, 2013

SECURITY TRANSACTIONS (continued)
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	Trade Date	Description	Transaction Type	Quantity	Unit Price	Debit	Credit	Accrued Interest Earned/(Paid)
		REQUEST. WE MAKE A MKT IN ISSUE						
		ML ACTED AS AGENT CUS NO 92532F100 SEC NO 795E6						
		PRINCIPAL 9731.42 TRN FEE 0.17						
		Subtotal (Sales)					*65,848.80*	
		Total Accrued Interest Earned						**271.77**
		TOTAL				**50,252.74**	**65,848.80**	

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired/ Cover Short Date	Liquidation/ Short Sale Date	Sale Amount	Cost Basis	Gains/(Losses) * This Statement	Gains/(Losses) * Year to Date
FEDERAL HOME LOAN BANK	10000.0000	03/30/11	12/09/13	10,477.23	10,833.14	(355.91)	
FEDERAL HOME LOAN BANK	10000.0000	12/08/09	12/05/13	10,818.10	10,143.10	675.00	
AUTOZONE INC NEVADA COM	14.0000	03/05/12	12/11/13	6,607.88	5,377.98	1,229.90	
AUTOZONE INC NEVADA COM	12.0000	06/27/12	12/11/13	5,663.90	4,294.08	1,369.82	
Subtotal (Long-Term)						*2,918.81*	*20,822.70*
CALL VLO DEC 00046.00	3.0000	12/05/13	11/15/13 S	119.99	351.00	(231.01)	
AUTOZONE INC NEVADA COM	3.0000	01/14/13	12/11/13	1,415.98	1,041.00	374.98	
GAMESTOP CORP NEW CL A	209.0000	11/07/13	12/16/13	10,109.15	11,724.54	(1,615.39)	
REGENERON PHARMACTCLS	39.0000	11/06/13	12/16/13	10,504.55	11,602.50	(1,097.95)	
VERTEX PHARMCTLS INC	151.0000	10/03/13	12/16/13	9,731.25	11,511.39	(1,780.14)	
Subtotal (Short-Term)						*(4,349.51)*	*29,380.44*
TOTAL				**65,448.03**	**66,878.73**	**(1,430.70)**	**50,203.14**

* - Excludes transactions for which we have insufficient data
S - Short Sale
The capital gains and losses shown above may not reflect all transactions which must be reported on your 2013 tax return. These reportable transactions will appear on your January statement.

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YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 30, 2013 - December 31, 2013

SECURITIES YOU TRANSFERRED IN/OUT

Date	*Description*	*Transaction Type*	*Quantity*	*Value of Securities*	*Year To Date*
12/02	RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE $10.32	Journal Entry	1	10.32	
12/06	ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT FULL SHARE ACCUM SHARE VALUE $14.27	Journal Entry	1	14.27	
12/12	PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE $10.73	Journal Entry	1	10.73	
12/19	FIRST EAGLE OVERSEAS CL I FULL SHARE ACCUM SHARE VALUE $23.05	Journal Entry	1	23.05	
12/19	FIRST EAGLE OVERSEAS CL I FULL SHARE ACCUM SHARE VALUE $23.05	Journal Entry	1	23.05	
12/19	MFS INTERNATIONAL VALUE FUND CL I FULL SHARE ACCUM SHARE VALUE $34.35	Journal Entry	1	34.35	
12/19	TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE $13.01	Journal Entry	1	13.01	

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PIA

Account Number [redacted]

YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 30, 2013 - December 31, 2013

SECURITIES YOU TRANSFERRED IN/OUT (continued)

Date	Description	Transaction Type	Quantity	Value of Securities	Year To Date
12/31	OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE $6.08	Journal Entry	1	6.08	
12/31	OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE $6.08	Journal Entry	1	6.08	
	NET TOTAL			**140.94**	**426.33**

CASH/OTHER TRANSACTIONS

Date	Transaction Type	Quantity	Description	Debit	Credit
12/09	Journal Entry		MUTUAL FUND ADJUSTMENT		41.15
12/24	Withdrawal		NORMAL WITHDRAWAL TRF TO 72918849	6,500.00	
12/24	Withdrawal		FED TAX-NORMAL	3,500.00	
	Subtotal (Other Debits/Credits)			*10,000.00*	*41.15*
	NET TOTAL			**9,958.85**	

FUNDS RECEIVED, WITHDRAWALS, AND CHARGES

Date	Transaction Type	Quantity	Description	Debit	Credit
12/02	Fund Delivery	-284	FIA CARD SVS NA RASP		
12/02	Subscription	284	FIA CARD SVS NA RASP	284.00	
12/03	Fund Delivery	-363	FIA CARD SVS NA RASP		
12/03	Subscription	363	FIA CARD SVS NA RASP	363.00	
12/09	Fund Delivery	-11,116	FIA CARD SVS NA RASP		
12/09	Subscription	11,116	FIA CARD SVS NA RASP	11,116.00	
12/10	Fund Delivery	-42	FIA CARD SVS NA RASP		
12/10	Subscription	42	FIA CARD SVS NA RASP	42.00	

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YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 30, 2013 - December 31, 2013

FUNDS RECEIVED, WITHDRAWALS, AND CHARGES (continued)

Date	*Transaction Type*	*Quantity*	*Description*	*Debit*	*Credit*
12/11	Fund Delivery	-10,792	FIA CARD SVS NA RASP		
12/11	Subscription	10,792	FIA CARD SVS NA RASP	10,792.00	
12/12	Received	5,633	FIA CARD SVS NA RASP		
12/12	Redeemed	-5,633	FIA CARD SVS NA RASP		5,633.00
12/16	Fund Delivery	-383	FIA CARD SVS NA RASP		
12/16	Subscription	383	FIA CARD SVS NA RASP	383.00	
12/17	Fund Delivery	-2,213	FIA CARD SVS NA RASP		
12/17	Subscription	2,213	FIA CARD SVS NA RASP	2,213.00	
12/19	Received	1,768	FIA CARD SVS NA RASP		
12/19	Redeemed	-1,768	FIA CARD SVS NA RASP		1,768.00
12/20	Fund Delivery	-448	FIA CARD SVS NA RASP		
12/20	Subscription	448	FIA CARD SVS NA RASP	448.00	
12/23	Fund Delivery	-964	FIA CARD SVS NA RASP		
12/23	Subscription	964	FIA CARD SVS NA RASP	964.00	
12/24	Received	8,648	FIA CARD SVS NA RASP		
12/24	Redeemed	-8,648	FIA CARD SVS NA RASP		8,648.00
12/30	Fund Delivery	-1,017	FIA CARD SVS NA RASP		
12/30	Subscription	1,017	FIA CARD SVS NA RASP	1,017.00	
12/31	Fund Delivery	-1,680	FIA CARD SVS NA RASP		
12/31	Subscription	1,680	FIA CARD SVS NA RASP	1,680.00	
	NET TOTAL			**13,253.00**	

YOUR RETIREMENT ACCOUNT CONTRIBUTIONS AND DISTRIBUTIONS

Year-End Plan Value as of December 31, 2013: $1,633,143.86 **Contributions after December 31, 2012 for 2012: $.00**

Distributions	*Tax Year 2013*	*Tax Year 2012*
Normal	$120,000.00	$120,000.00
Federal Taxes Withheld	$42,000.00	$42,000.00

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YOUR RETIREMENT ACCOUNT CONTRIBUTIONS AND DISTRIBUTIONS *(continued)*

November 30, 2013 - December 31, 2013

For IRA, IRRA, SEP/IRA, SIMPLE/IRA ROTH IRA and ESA accounts, the Year-End Plan Value represents the valuation we must furnish to you and the Internal Revenue Service as part of the IRS Form 5498 reporting requirements.

Account	Trade Date	Settle Date	Type	Description	Symbol/ CUSIP	Quantity	Price	Amount
[redacted] *PIA*		12/31/2013		Divd Reinv *TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $62.39 REINV PRICE $10.03000 QUANTITY BOT 6.2200 AS OF 12/30*	TGLMX	6		$0.00
[redacted]7 *PIA*		12/31/2013		Divd Reinv *OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $88.41 REINV PRICE $6.08000 QUANTITY BOT 14.5410 AS OF 12/30*	OIBYX	14		$0.00
[redacted] *PIA*		12/31/2013		Divd Reinv *OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $10.38 REINV PRICE $6.08000 QUANTITY BOT 1.7070 AS OF 12/30*	OIBYX	1		$0.00
[redacted] *PIA*		12/31/2013		Journal Entry *OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE $6.08*	OIBYX	1		$0.00
[redacted] *PIA*		12/31/2013		Journal Entry *OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE $6.08*	OIBYX	1		$0.00
[redacted] *PIA*		12/19/2013		Divd Reinv *FIRST EAGLE OVERSEAS CL I AGENT REINV AMOUNT $1666.58 REINV PRICE $22.90000 QUANTITY BOT 72.7760 AS OF 12/17*	SGOIX	72		$0.00
[redacted] *PIA*		12/19/2013		Divd Reinv *FIRST EAGLE OVERSEAS CL I AGENT REINV AMOUNT $2389.51 REINV PRICE $22.90000 QUANTITY BOT 104.3450 AS OF 12/17*	SGOIX	104		$0.00
[redacted]7 *PIA*		12/19/2013		Divd Reinv *FIRST EAGLE OVERSEAS CL I AGENT REINV AMOUNT $671.75 REINV PRICE $22.90000 QUANTITY BOT 29.3340 AS OF 12/17*	SGOIX	29		$0.00
[redacted] *PIA*		12/19/2013		Divd Reinv *TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $212.60 REINV PRICE $13.00000 QUANTITY BOT 16.3540 AS OF 12/16*	TGBAX	16		$0.00
[redacted] *PIA*		12/19/2013		Divd Reinv *TMPLTN GLBL BD FD ADV CL AGENT REINV AMOUNT $7.30 REINV PRICE $13.00000 QUANTITY BOT .5620 AS OF 12/16*	TGBAX			$0.00

[redacted] PIA		12/19/2013	Journal Entry *FIRST EAGLE OVERSEAS CL I FULL SHARE ACCUM SHARE VALUE $23.05*	SGOIX	1		$0.00
[redacted] PIA		12/19/2013	Journal Entry *FIRST EAGLE OVERSEAS CL I FULL SHARE ACCUM SHARE VALUE $23.05*	SGOIX	1		$0.00
[redacted] PIA		12/19/2013	Journal Entry *MFS INTERNATIONAL VALUE FUND CL I FULL SHARE ACCUM SHARE VALUE $34.35*	MINIX	1		$0.00
[redacted] PIA		12/19/2013	Journal Entry *TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE $13.01*	TGBAX	1		$0.00
[redacted] PIA	12/16/2013	12/19/2013	Sale *REGENERON PHARMACTCLS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT*	REGN	-39	$269.35	$10,504.55
[redacted] PIA	12/16/2013	12/19/2013	Sale *GAMESTOP CORP NEW CL A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	GME	-209	$48.37	$10,109.15
[redacted] PIA	12/16/2013	12/19/2013	Purchase *GILEAD SCIENCES INC COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT*	GILD	168	$71.91	-$12,080.44
[redacted] PIA	12/16/2013	12/19/2013	Sale *VERTEX PHARMCTLS INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT*	VRTX	-151	$64.45	$9,731.25
[redacted]	12/18/2013	12/19/2013	Purchase	MINIX	585	$34.33	-$20,107.15

PIA				MFS INTERNATIONAL VALUE FUND CL I FRAC SHR QUANTITY .702 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS				
[redacted] PIA	12/11/2013	12/16/2013		Sale AUTOZONE INC NEVADA COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AZO	-29	$472.00	$13,687.76
[redacted] PIA	12/11/2013	12/16/2013		Purchase ELI LILLY & CO PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	LLY	240	$50.34	-$12,081.60
[redacted] PIA		12/12/2013		Divd Reinv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT $72.04 REINV PRICE $10.75000 QUANTITY BOT 6.7010 AS OF 12/11	PTTPX	6		$0.00
[redacted] PIA		12/12/2013		Divd Reinv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT $13.77 REINV PRICE $10.75000 QUANTITY BOT 1.2810 AS OF 12/11	PTTPX	1		$0.00
[redacted] PIA		12/12/2013		Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE $10.73	PTTPX	1		$0.00
[redacted]7 PIA	12/9/2013	12/12/2013		Purchase CAPITAL ONE FINL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	COF	77	$73.15	-$5,632.55
[redacted]7	12/9/2013	12/10/2013		Sale	3133X	-10,000	$104.77	$10,576.92

PIA			FEDERAL HOME LOAN BANK BONDS 04.125% MAR 13 2015 PER ADVISORY AGREEMENT. YLD TO MATURITY 0.32% MATURITY DATE 3/13/15. 87 DAYS INTEREST SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TMPG.	QBC5			
[redacted] PIA		12/6/2013	Divd Reinv ROYCE PA MUTUAL FD INV AGENT REINV AMOUNT $3.28 REINV PRICE $14.16000 QUANTITY BOT .2320 AS OF 12/05	PENNX			$0.00
[redacted] PIA		12/6/2013	Divd Reinv ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT AGENT REINV AMOUNT $1642.88 REINV PRICE $14.16000 QUANTITY BOT 116.0230 AS OF 12/05	PENNX	116		$0.00
[redacted] PIA		12/6/2013	Divd Reinv ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT AGENT REINV AMOUNT $350.66 REINV PRICE $14.16000 QUANTITY BOT 24.7640 AS OF 12/05	PENNX	24		$0.00
[redacted] PIA		12/6/2013	Journal Entry ROYCE PENNSYLVNIA MUTUAL FUND CL INVESTMENT FULL SHARE ACCUM SHARE VALUE $14.27	PENNX	1		$0.00
[redacted] PIA	12/5/2013	12/6/2013	Purchase CALL VLO 00046.000 VALERO ENERGY CORP NEW EXP 12-21-13 CLOSE TRAN VLO DEC 46.00000	VLO132 1L46	3	$1.17	-$351.00
[redacted] PIA	12/5/2013	12/6/2013	Sale CALL VLO 00049.000 VALERO ENERGY CORP NEW EXP 01-18-14 OPEN TRAN VLO JAN 49.00000	VLO141 8A49	-3	$0.83	$248.99
[redacted] PIA	12/5/2013	12/6/2013	Sale FEDERAL HOME LOAN BANK BONDS 03.500% DEC 09 2016 PER ADVISORY AGREEMENT. YLD TO MATURITY 0.74% MATURITY DATE 12/09/16. 177 DAYS INTEREST SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TMPG.	3133XV RJ2	-10,000	$108.18	$10,990.18
[redacted] PIA		12/2/2013	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $62.20 REINV PRICE $10.10000 QUANTITY BOT 6.1580 AS OF 11/29	TGLMX	6		$0.00
[redacted]		12/2/2013	Divd Reinv	RSFYX	5		$0.00

PIA			RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $56.91 REINV PRICE $10.32000 QUANTITY BOT 5.5150 AS OF 11/29				
[redacted] PIA		12/2/2013	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $95.12 REINV PRICE $6.08000 QUANTITY BOT 15.6450 AS OF 11/29	OIBYX	15		$0.00
[redacted] PIA		12/2/2013	Divd Reinv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT $23.02 REINV PRICE $10.88000 QUANTITY BOT 2.1160 AS OF 11/29	PTTPX	2		$0.00
[redacted] PIA		12/2/2013	Journal Entry RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE $10.32	RSFYX	1		$0.00
[redacted] PIA	11/21/2013	11/22/2013	Purchase CALL GME 00065.000 GAMESTOP CORP NEW CL A EXP 12- 21-13 CLOSE TRAN GME DEC 65.00000	GME13 21L65	2	$0.06	-$12.00
[redacted] PIA		11/20/2013	Divd Reinv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $100.20 REINV PRICE $13.02000 QUANTITY BOT 7.6960 AS OF 11/15	TGBAX	7		$0.00
[redacted] PIA		11/20/2013	Journal Entry TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE $13.07	TGBAX	1		$0.00
[redacted] PIA	11/14/2013	11/19/2013	Sale AMAZON COM INC COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AMZN	-9	$366.97	$3,302.71
[redacted] PIA	11/15/2013	11/18/2013	Sale CALL VLO 00046.000 VALERO ENERGY CORP NEW EXP 12- 21-13 OPEN TRAN VLO DEC 46.00000	VLO132 1L46	-3	$0.40	$119.99
[redacted] PIA	11/8/2013	11/14/2013	Sale SANDISK CORP INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	SNDK	-200	$67.80	$13,560.26
[redacted]	11/11/2013	11/14/2013	Purchase	COF	87	$69.70	-$6,063.90

PIA			CAPITAL ONE FINL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
[redacted] PIA	11/7/2013	11/13/2013	Sale WHIRLPOOL CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	WHR	-86	$148.29	$12,752.84
[redacted]7 PIA	11/7/2013	11/13/2013	Purchase GAMESTOP CORP NEW CL A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GME	209	$56.10	-$11,724.54
[redacted] PIA	11/12/2013	11/13/2013	Sale CALL GME 00065.000 GAMESTOP CORP NEW CL A EXP 12-21-13 OPEN_TRAN GME DEC 65.00000	GME13 21L65	-2	$0.70	$139.99
[redacted] PIA	11/6/2013	11/12/2013	Purchase REGENERON PHARMACTCLS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	REGN	39	$297.50	-$11,602.50
[redacted] PIA	11/6/2013	11/12/2013	Purchase DOLLAR TREE INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	DLTR	198	$58.52	-$11,586.94
[redacted] PIA	11/5/2013	11/8/2013	Sale ABBVIE INC SHS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ABBV	-261	$48.36	$12,622.94
[redacted]	11/5/2013	11/8/2013	Purchase	AN	238	$48.78	-$11,610.40

PIA			AUTONATION INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
[redacted] PIA	11/7/2013	11/8/2013	Purchase CALL SNDK 00070.000 SANDISK CORP INC EXP 11-16-13 CLOSE TRAN SNDK NOV 70.00000	SNDK1 316K70	2	$0.32	-$64.00
[redacted] PIA	11/5/2013	11/8/2013	Purchase DOLLAR TREE INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	DLTR	1	$58.50	-$58.50
[redacted] PIA	11/1/2013	11/6/2013	Sale PETSMART INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	PETM	-179	$71.71	$12,835.67
[redacted] PIA		11/4/2013	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $72.32 REINV PRICE $10.13000 QUANTITY BOT 7.1390 AS OF 10/31	TGLMX	7		$0.00
[redacted]7 PIA	10/30/2013	11/4/2013	Sale SANDISK CORP INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	SNDK	-22	$70.00	$1,539.97
[redacted] PIA		11/1/2013	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $55.37 REINV PRICE $10.31000 QUANTITY BOT 5.3710 AS OF 10/31	RSFYX	5		$0.00
[redacted]7 PIA		11/1/2013	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $88.03 REINV PRICE $6.17000 QUANTITY BOT 14.2670 AS OF 10/31	OIBYX	14		$0.00
7[redacted]7		11/1/2013	Divd Reinv	PTTPX	2		$0.00

PIA			PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT $24.12 REINV PRICE $10.90000 QUANTITY BOT 2.2130 AS OF 10/31				
[redacted] PIA		11/1/2013	Journal Entry OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE $6.14	OIBYX	1		$0.00
[redacted] PIA	10/28/2013	10/29/2013	Purchase MFS INTERNATIONAL VALUE FUND CL I FRAC SHR QUANTITY .525 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION. SEE THE PROSPECTUS	MINIX	266	$35.11	-$9,357.69
[redacted] PIA	10/18/2013	10/23/2013	Purchase HESS CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HES	132	$83.64	-$11,040.00
[redacted] PIA	10/21/2013	10/23/2013	Sale FLUOR CORP NEW DEL COM TRADE AS OF 10/18/13 A/C CALL ZUFU6 FLR PER ADVISORY AGREEMENT. UNSOLICITED ORDER	FLR	-200	$67.50	$13,499.77
[redacted] PIA	10/21/2013	10/23/2013	Sale MICRON TECHNOLOGY INC TRADE AS OF 10/18/13 A/C CALL ZQ6J2 MU PER ADVISORY AGREEMENT. WE MAKE A MKT IN ISSUE UNSOLICITED ORDER	MU	-800	$14.00	$11,199.81
[redacted] PIA		10/21/2013	Option Assigned CALL MU 00014.000 MICRON TECHNOLOGY INC EXP 10-19-13 VS 01900801 UNIT OAK BATCH # = 00567039435	MU131 9J14	8		$0.00
[redacted] PIA		10/21/2013	Option Assigned CALL FLR 00067.500 FLUOR CORP NEW DEL COM EXP 10-19-13 VS 01900801 UNIT OAK BATCH # = 00567046848	FLR131 9J67.5	2		$0.00
[redacted] PIA		10/18/2013	Divd Reinv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $100.11 REINV PRICE $13.11000 QUANTITY BOT 7.6360 AS OF 10/15	TGBAX	7		$0.00
[redacted]		10/18/2013	Redeemed	3133XS	-10,000		$10,000.00

PIA			FEDERAL HOME LOAN BANK BONDS 03.625% OCT 18 2013 BONDS 03.625% OCT 18 2013 PAY DATE 10/18/2013	AE8			
[redacted] PIA	10/14/2013	10/17/2013	Purchase WHIRLPOOL CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	WHR	51	$132.31	-$6,747.56
[redacted] PIA	10/9/2013	10/15/2013	Purchase WESTERN ASSET INVT GRADE DEFINED OPPORTUNITY TR PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IGI	96	$19.35	-$1,857.46
[redacted] PIA	10/8/2013	10/11/2013	Purchase WESTERN ASSET INVT GRADE DEFINED OPPORTUNITY TR PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IGI	38	$19.34	-$735.01
[redacted] PIA	10/4/2013	10/9/2013	Sale MICRON TECHNOLOGY INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	MU	-63	$18.11	$1,140.91
[redacted] PIA	10/3/2013	10/8/2013	Purchase VERTEX PHARMCTLS INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	VRTX	151	$76.23	-$11,511.39
[redacted] PIA	10/3/2013	10/8/2013	Sale TERADATA CORP DEL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	TDC	-204	$54.34	$11,085.64
[redacted]	10/2/2013	10/3/2013	Sale	SNDK1	-2	$0.45	$90.41

PIA			CALL SNDK 00070.000 SANDISK CORP INC EXP 11-16-13 OPEN TRAN SNDK NOV 70.00000	316K70			
[redacted] PIA		10/1/2013	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $72.07 REINV PRICE $10.00000 QUANTITY BOT 7.2070 AS OF 09/30	TGLMX	7		$0.00
[redacted] PIA		10/1/2013	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $56.14 REINV PRICE $10.24000 QUANTITY BOT 5.4820 AS OF 09/30	RSFYX	5		$0.00
[redacted] PIA		10/1/2013	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $76.16 REINV PRICE $6.09000 QUANTITY BOT 12.5060 AS OF 09/30	OIBYX	12		$0.00
[redacted] PIA		10/1/2013	Divd Reinv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT $21.35 REINV PRICE $10.82000 QUANTITY BOT 1.9730 AS OF 09/30	PTTPX	1		$0.00
[redacted] PIA		10/1/2013	Journal Entry RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE $10.24	RSFYX	1		$0.00
[redacted] PIA		10/1/2013	Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE $10.81	PTTPX	1		$0.00
[redacted] PIA	9/30/2013	10/1/2013	Purchase CALL SNDK 00070.000 SANDISK CORP INC EXP 10-19-13 CLOSE TRAN SNDK OCT 70.00000	SNDK1 319J70	2	$0.06	-$12.00
[redacted] PIA	9/25/2013	9/26/2013	Purchase MFS INTERNATIONAL VALUE FUND CL I FRAC SHR QUANTITY .465 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION. SEE THE PROSPECTUS	MINIX	272	$34.23	-$9,326.48
[redacted] PIA	9/20/2013	9/25/2013	Sale CAPITAL ONE FINL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	COF	-90	$69.24	$6,231.16

[redacted] *PIA*	9/23/2013	9/25/2013	Sale *CAPITAL ONE FINL TRADE AS OF 09/20/13 A/C CALL VKRN8 COF PER ADVISORY AGREEMENT. UNSOLICITED ORDER*	COF	-100	$67.50	$6,749.88
[redacted] *PIA*	9/23/2013	9/25/2013	Sale *HESS CORP TRADE AS OF 09/20/13 A/C CALL S90H8 HES PER ADVISORY AGREEMENT. UNSOLICITED ORDER*	HES	-200	$75.00	$14,999.74
[redacted] *PIA*		9/23/2013	Option Assigned *CALL HES 00075.000 HESS CORP EXP 09-21-13 VS 01900801 UNIT OAK BATCH # = 00567028959*	HES13 21I75	2		$0.00
[redacted] *PIA*		9/23/2013	Option Assigned *CALL COF 00067.500 CAPITAL ONE FINL EXP 09-21-13 VS 01900801 UNIT OAK BATCH # = 00567039695*	COF13 21I67.5	1		$0.00
[redacted] *PIA*		9/19/2013	Divd Reinv *TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $99.55 REINV PRICE $12.94000 QUANTITY BOT 7.6930 AS OF 09/16*	TGBAX	7		$0.00
[redacted] *PIA*		9/19/2013	Journal Entry *TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE $13.16*	TGBAX	1		$0.00
[redacted] *PIA*	9/13/2013	9/18/2013	Sale *O'REILLY AUTOMOTIVE INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT*	ORLY	-30	$125.16	$3,754.59
[redacted] *PIA*	9/6/2013	9/11/2013	Purchase *WHIRLPOOL CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	WHR	35	$131.45	-$4,600.77
[redacted] *PIA*	9/5/2013	9/10/2013	Sale *CENTURYLINK INC SHS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	CTL	-265	$32.53	$8,619.53
[redacted]	9/5/2013	9/10/2013	Purchase	ABBV	261	$43.37	-$11,318.42

PIA			ABBVIE INC SHS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
[redacted] PIA		9/3/2013	Divd Reinv *TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $71.82 REINV PRICE $9.90000 QUANTITY BOT 7.2550 AS OF 08/30*	TGLMX	7		$0.00
[redacted] PIA		9/3/2013	Divd Reinv *RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $58.63 REINV PRICE $10.25000 QUANTITY BOT 5.7200 AS OF 08/30*	RSFYX	5		$0.00
[redacted] PIA		9/3/2013	Divd Reinv *OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $100.28 REINV PRICE $5.96000 QUANTITY BOT 16.8260 AS OF 08/30*	OIBYX	16		$0.00
[redacted] PIA		9/3/2013	Divd Reinv *PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT $27.52 REINV PRICE $10.65000 QUANTITY BOT 2.5840 AS OF 08/30*	PTTPX	2		$0.00
[redacted] PIA		9/3/2013	Journal Entry *TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE $9.87*	TGLMX	1		$0.00
[redacted] PIA		9/3/2013	Journal Entry *OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE $5.95*	OIBYX	1		$0.00
[redacted] PIA		9/3/2013	Journal Entry *PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE $10.62*	PTTPX	1		$0.00
[redacted] PIA		8/20/2013	Divd Reinv *TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $99.45 REINV PRICE $12.82000 QUANTITY BOT 7.7570 AS OF 08/15*	TGBAX	7		$0.00
[redacted] PIA		8/20/2013	Journal Entry *TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE $12.72*	TGBAX	1		$0.00
[redacted] PIA	8/15/2013	8/16/2013	Purchase *CALL GD 00085.000 GENL DYNAMICS CORP COM EXP 08-17-13 CLOSE TRAN GD AUG 85.00000*	GD131 7H85	1	$0.25	-$25.00

[redacted] PIA	8/15/2013	8/16/2013	Purchase CALL ETN 00075.000 EATON CORP PLC EXP 10-19-13 CLOSE TRAN ETN OCT 75.00000	ETN131 9J75	2	$0.15	-$30.00
[redacted] PIA	8/7/2013	8/12/2013	Sale MARATHON OIL CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MRO	-316	$35.10	$11,092.71
[redacted] PIA	8/5/2013	8/8/2013	Sale JPMORGAN CHASE & CO PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JPM	-239	$56.30	$13,455.47
[redacted] PIA	8/5/2013	8/8/2013	Purchase HUNTNGTN BANCSHS INC MD PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	HBAN	1,292	$8.74	-$11,291.95
[redacted] PIA	8/2/2013	8/7/2013	Purchase MICRON TECHNOLOGY INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	MU	863	$13.46	-$11,614.51
[redacted] PIA	8/2/2013	8/5/2013	Sale CALL MU 00014.000 MICRON TECHNOLOGY INC EXP 10-19-13 OPEN TRAN MU OCT 14.00000	MU131 9J14	-8	$1.12	$895.98
[redacted] PIA		8/1/2013	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $71.56 REINV PRICE $9.95000 QUANTITY BOT 7.1920 AS OF 07/31	TGLMX	7		$0.00
[redacted] PIA		8/1/2013	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $57.16 REINV PRICE $10.31000 QUANTITY BOT 5.5440 AS OF 07/31	RSFYX	5		$0.00
[redacted]7		8/1/2013	Divd Reinv	OIBYX	16		$0.00

PIA			OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $98.05 REINV PRICE $6.12000 QUANTITY BOT 16.0210 AS OF 07/31				
[redacted] PIA		8/1/2013	Divd Reinv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT $25.82 REINV PRICE $10.79000 QUANTITY BOT 2.3930 AS OF 07/31	PTTPX	2		$0.00
[redacted] PIA		8/1/2013	Journal Entry RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE $10.31	RSFYX	1		$0.00
[redacted] PIA	7/16/2013	7/19/2013	Purchase TERADATA CORP DEL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	TDC	17	$56.70	-$963.90
[redacted] PIA	7/18/2013	7/19/2013	Sale CALL SNDK 00070.000 SANDISK CORP INC EXP 10-19-13 OPEN TRAN SNDK OCT 70.00000	SNDK1 319J70	-2	$1.00	$199.99
[redacted] PIA		7/18/2013	Divd Reinv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $98.89 REINV PRICE $13.00000 QUANTITY BOT 7.6070 AS OF 07/15	TGBAX	7		$0.00
[redacted] PIA	7/16/2013	7/17/2013	Purchase CALL SNDK 00067.500 SANDISK CORP INC EXP 07-20-13 CLOSE TRAN SNDK JUL 67.50000	SNDK1 320G6 7.5	2	$0.12	-$24.00
[redacted] PIA	7/9/2013	7/12/2013	Sale MARATHON PETROLEUM CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MPC	-147	$69.50	$10,216.45
[redacted] PIA	7/9/2013	7/12/2013	Purchase BIOGEN IDEC INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	BIIB	22	$217.76	-$4,790.70
[redacted]	7/9/2013	7/12/2013	Purchase	VLO	332	$34.36	-$11,408.28

PIA			VALERO ENERGY CORP NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
[redacted] PIA	7/9/2013	7/12/2013	Purchase MARATHON OIL CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MRO	316	$36.14	-$11,421.28
[redacted] PIA	7/5/2013	7/10/2013	Sale WHIRLPOOL CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	WHR	-100	$113.23	$11,322.37
[redacted] PIA	7/5/2013	7/10/2013	Sale NEW NEWSCORP LLC SHS CL A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	NWSA	-90	$15.55	$1,399.82
[redacted] PIA	7/5/2013	7/10/2013	Sale TWENTY-FIRST CENTURY FOX INC CLASS A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	FOXA	-360	$30.28	$10,900.57
[redacted] PIA	7/5/2013	7/10/2013	Purchase EATON VANCE TAX-ADVANTAG BD & OPT STRATEGIES FD PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	EXD	44	$15.40	-$677.52
[redacted]	7/3/2013	7/9/2013	Purchase	BIIB	31	$216.81	-$6,721.11

PIA			BIOGEN IDEC INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT				
[redacted] PIA	7/5/2013	7/8/2013	Purchase CALL MPC 00100.000 MARATHON PETROLEUM CORP EXP 10-19-13 CLOSE TRAN MPC OCT 100.00000	MPC13 19J100	1	$0.20	-$20.00
[redacted] PIA		7/5/2013	Stock Dividend NEW NEWSCORP LLC SHS CL A CL A HOLDING 360.0000 PAID BY NEWS CORP PAY DATE 07/04/2013	NWSA	90		$0.00
[redacted] PIA		7/5/2013	DUDB NEW NEWSCORP LLC SHS CL A	NWSA	-90		$0.00
[redacted] PIA		7/3/2013	Exchange NEWS CORP CL A PAY DATE 07/03/2013	####	-360		$0.00
[redacted] PIA		7/3/2013	Exchange TWENTY-FIRST CENTURY FOX INC CLASS A FOX INC CLASS A PAY DATE 07/03/2013	FOXA	360		$0.00
[redacted] PIA		7/1/2013	DUDB NEW NEWSCORP LLC SHS CL A	NWSA	90		$0.00
[redacted] PIA		7/1/2013	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $76.39 REINV PRICE $9.99000 QUANTITY BOT 7.6470 AS OF 06/28	TGLMX	7		$0.00
[redacted] PIA		7/1/2013	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $54.10 REINV PRICE $10.22000 QUANTITY BOT 5.2940 AS OF 06/28	RSFYX	5		$0.00
[redacted] PIA		7/1/2013	Divd Reinv BR INF PTD BD FD INST CL AGENT REINV AMOUNT $2.92 REINV PRICE $11.17000 QUANTITY BOT .2610 AS OF 06/28	BPRIX			$0.00
[redacted] PIA		7/1/2013	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $90.04 REINV PRICE $6.08000 QUANTITY BOT 14.8090 AS OF 06/28	OIBYX	14		$0.00
[redacted] PIA		7/1/2013	Divd Reinv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT $20.74 REINV PRICE $10.76000 QUANTITY BOT 1.9280 AS OF 06/28	PTTPX	1		$0.00

[redacted] PIA		7/1/2013	Journal Entry *OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE $6.10*	OIBYX	1		$0.00
[redacted] PIA		7/1/2013	Journal Entry *PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE $10.77*	PTTPX	1		$0.00
[redacted] PIA	6/28/2013	7/1/2013	Sale *BLACKROCK INFLATION PROTECTED BD FD INST CL FRAC SHR QUANTITY .622 PER ADVISORY AGREEMENT. THIS SALE CONSTITUTES A REDEMPTION.*	BPRIX	-1,334	$11.17	$14,907.73
[redacted] PIA	6/28/2013	7/1/2013	Purchase *PUTNAM DIVERSIFIED INCOME TRUST FUND CL Y FRAC SHR QUANTITY .637 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION. SEE THE PROSPECTUS*	PDVYX	1,938	$7.74	-$15,005.05
[redacted] PIA	6/27/2013	6/28/2013	Sale *CALL HES 00075.000 HESS CORP EXP 09-21-13 OPEN TRAN HES SEP 75.00000*	HES13 21I75	-2	$1.05	$209.99
[redacted] PIA	6/27/2013	6/28/2013	Sale *CALL FLR 00067.500 FLUOR CORP NEW DEL COM EXP 10-19-13 OPEN TRAN FLR OCT 67.50000*	FLR131 9J67.5	-2	$1.25	$249.99
[redacted] PIA	6/21/2013	6/26/2013	Purchase *AMAZON COM INC COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT*	AMZN	12	$273.57	-$3,282.81
[redacted] PIA	6/25/2013	6/26/2013	Purchase *CALL CHK 00025.000 CHESAPEAKE ENERGY OKLA EXP 08-17-13 CLOSE TRAN CHK AUG 25.00000*	CHK13 17H25	5	$0.11	-$55.00
[redacted] PIA	6/24/2013	6/25/2013	Purchase *CALL FLR 00070.000 FLUOR CORP NEW DEL COM EXP 07-20-13 CLOSE TRAN FLR JUL 70.00000*	FLR132 0G70	2	$0.10	-$20.00
[redacted] PIA		6/20/2013	Divd Reinv *TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $98.57 REINV PRICE $13.03000 QUANTITY BOT 7.5650 AS OF 06/17*	TGBAX	7		$0.00
[redacted]		6/20/2013	Journal Entry	TGBAX	1		$0.00

PIA			TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE $12.76				
[redacted] PIA	6/13/2013	6/14/2013	Sale CALL MPC 00100.000 MARATHON PETROLEUM CORP EXP 10-19-13 OPEN TRAN MPC OCT 100.00000	MPC13 19J100	-1	$1.05	$104.99
[redacted] PIA	6/11/2013	6/12/2013	Sale CALL SNDK 00067.500 SANDISK CORP INC EXP 07-20-13 OPEN TRAN SNDK JUL 67.50000	SNDK1 320G6 7.5	-2	$0.51	$101.99
[redacted] PIA	6/7/2013	6/10/2013	Sale CALL FLR 00070.000 FLUOR CORP NEW DEL COM EXP 07-20-13 OPEN TRAN FLR JUL 70.00000	FLR132 0G70	-2	$0.40	$79.99
[redacted] PIA	6/7/2013	6/10/2013	Sale CALL ETN 00075.000 EATON CORP PLC EXP 10-19-13 OPEN TRAN ETN OCT 75.00000	ETN131 9J75	-2	$0.70	$139.99
[redacted] PIA	6/6/2013	6/7/2013	Sale CALL COF 00067.500 CAPITAL ONE FINL EXP 09-21-13 OPEN TRAN COF SEP 67.50000	COF13 21I67. 5	-1	$0.98	$97.99
[redacted] PIA	5/31/2013	6/5/2013	Purchase WESTERN ASSET INVT GRADE DEFINED OPPORTUNITY TR PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IGI	287	$21.94	-$6,298.19
[redacted] PIA	5/30/2013	6/4/2013	Purchase WESTERN ASSET INVT GRADE DEFINED OPPORTUNITY TR PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IGI	6	$21.91	-$131.43
[redacted] PIA		6/3/2013	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $76.11 REINV PRICE $10.24000 QUANTITY BOT 7.4330 AS OF 05/31	TGLMX	7		$0.00
[redacted] PIA		6/3/2013	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $55.80 REINV PRICE $10.34000 QUANTITY BOT 5.3970 AS OF 05/31	RSFYX	5		$0.00
[redacted]		6/3/2013	Divd Reinv	BPRIX	7		$0.00

PIA			BLACKROCK INFLATION PROTECTED BD FD INST CL AGENT REINV AMOUNT $88.51 REINV PRICE $11.57000 QUANTITY BOT 7.6500 AS OF 05/31				
[redacted] PIA		6/3/2013	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $109.40 REINV PRICE $6.37000 QUANTITY BOT 17.1740 AS OF 05/31	OIBYX	17		$0.00
[redacted] PIA		6/3/2013	Divd Reinv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT $30.39 REINV PRICE $11.07000 QUANTITY BOT 2.7450 AS OF 05/31	PTTPX	2		$0.00
[redacted] PIA		6/3/2013	Journal Entry TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE $10.25	TGLMX	1		$0.00
[redacted] PIA		6/3/2013	Journal Entry RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE $10.33	RSFYX	1		$0.00
[redacted] PIA		6/3/2013	Journal Entry BLACKROCK INFLATION PROTECTED BD FD INST CL FULL SHARE ACCUM SHARE VALUE $11.59	BPRIX	1		$0.00
[redacted] PIA		6/3/2013	Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE $11.07	PTTPX	1		$0.00
[redacted] PIA	5/29/2013	6/3/2013	Purchase WESTERN ASSET INVT GRADE DEFINED OPPORTUNITY TR PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IGI	377	$21.96	-$8,279.75
[redacted] PIA	5/28/2013	5/31/2013	Purchase EATON VANCE TAX-ADVANTAG BD & OPT STRATEGIES FD PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	EXD	10	$17.10	-$171.00
[redacted] PIA	5/30/2013	5/31/2013	Sale CALL GD 00085.000 GENL DYNAMICS CORP COM EXP 08-17-13 OPEN TRAN GD AUG 85.00000	GD131 7H85	-1	$0.70	$69.99
[redacted]	5/24/2013	5/30/2013	Purchase	CHK	185	$21.46	-$3,970.66

PIA			CHESAPEAKE ENERGY OKLA PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
[redacted] PIA	5/24/2013	5/30/2013	Purchase WESTERN ASSET INVT GRADE DEFINED OPPORTUNITY TR PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IGI	28	$22.85	-$639.80
[redacted] PIA	5/24/2013	5/30/2013	Purchase EATON VANCE TAX-ADVANTAG BD & OPT STRATEGIES FD PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	EXD	14	$17.21	-$240.96
[redacted] PIA	5/23/2013	5/29/2013	Purchase WESTERN ASSET INVT GRADE DEFINED OPPORTUNITY TR PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IGI	53	$22.85	-$1,211.05
[redacted] PIA		5/28/2013	Journal Entry BLACKROCK INFLATION PROTECTED BD FD INST CL FULL SHARE ACCUM SHARE VALUE $11.68	BPRIX	-1		$0.00
[redacted] PIA	5/24/2013	5/28/2013	Sale CALL CHK 00025.000 CHESAPEAKE ENERGY OKLA EXP 08-17-13 OPEN TRAN CHK AUG 25.00000	CHK13 17H25	-5	$0.33	$166.64
[redacted] PIA	5/24/2013	5/28/2013	Sale BLACKROCK INFLATION PROTECTED BD FD INST CL FRAC SHR QUANTITY .796 PER ADVISORY AGREEMENT. THIS SALE CONSTITUTES A REDEMPTION.	BPRIX	-1,325	$11.76	$15,591.36
[redacted] PIA		5/20/2013	Divd Reinv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $98.72 REINV PRICE $13.65000 QUANTITY BOT 7.2320 AS OF 05/15	TGBAX	7		$0.00
[redacted]		5/20/2013	Option Expired	OEF131	-15		$0.00

PIA			*PUT OEF 00065.000 ISHARES S&P 100 INDEX EXP 05-18-13 VS 01900005 UNIT OAK BATCH # = 00567002015*	8Q65			
[redacted] *PIA*	5/10/2013	5/15/2013	Purchase *CHESAPEAKE ENERGY OKLA PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	CHK	356	$19.41	-$6,911.31
[redacted] *PIA*	5/3/2013	5/8/2013	Sale *AUTOZONE INC NEVADA COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	AZO	-4	$410.69	$1,642.72
[redacted] *PIA*		5/1/2013	Divd Reinv *TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $75.84 REINV PRICE $10.37000 QUANTITY BOT 7.3130 AS OF 04/30*	TGLMX	7		$0.00
[redacted] *PIA*		5/1/2013	Divd Reinv *RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $50.76 REINV PRICE $10.39000 QUANTITY BOT 4.8850 AS OF 04/30*	RSFYX	4		$0.00
[redacted] *PIA*		5/1/2013	Divd Reinv *BLACKROCK INFLATION PROTECTED BD FD INST CL AGENT REINV AMOUNT $60.89 REINV PRICE $12.09000 QUANTITY BOT 5.0360 AS OF 04/30*	BPRIX	5		$0.00
[redacted] *PIA*		5/1/2013	Divd Reinv *OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $106.44 REINV PRICE $6.61000 QUANTITY BOT 16.1030 AS OF 04/30*	OIBYX	16		$0.00
[redacted]7 *PIA*		5/1/2013	Divd Reinv *PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT $36.98 REINV PRICE $11.34000 QUANTITY BOT 3.2610 AS OF 04/30*	PTTPX	3		$0.00
[redacted]	4/23/2013	4/26/2013	Sale	NWSA	-122	$31.84	$3,884.62

PIA			NEWS CORP CL A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT				
[redacted] PIA	4/19/2013	4/24/2013	Purchase ISHARES S&P 100 INDEX FUND PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	OEF	331	$69.97	-$23,158.42
[redacted] PIA	4/19/2013	4/24/2013	Sale ISHARES CORE S&P SMALL CAP ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IJR	-296	$83.30	$24,657.11
[redacted] PIA	4/19/2013	4/24/2013	Purchase MARATHON PETROLEUM CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MPC	147	$77.46	-$11,386.75
[redacted] PIA	4/22/2013	4/24/2013	Sale MICRON TECHNOLOGY INC TRADE AS OF 04/19/13 A/C CALL SOWS5 MU PER ADVISORY AGREEMENT. WE MAKE A MKT IN ISSUE UNSOLICITED ORDER	MU	-1,500	$9.00	$13,499.70
[redacted] PIA		4/22/2013	Option Assigned CALL MU 00009.000 MICRON TECHNOLOGY INC EXP 04-20-13 VS 01900801 UNIT OAK BATCH # = 00567024540	MU132 0D9	15		$0.00
[redacted] PIA	4/18/2013	4/19/2013	Purchase CALL SNDK 00057.500 SANDISK CORP INC EXP 04-20-13 CLOSE TRAN SNDK APR 57.50000	SNDK1 320D5 7.5	2	$0.45	-$90.00
[redacted]	4/16/2013	4/19/2013	Purchase	PFF	61	$40.43	-$2,466.06

PIA			*NEWS CORP CL A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT*				
[redacted] *PIA*	4/19/2013	4/24/2013	Purchase *ISHARES S&P 100 INDEX FUND PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	OEF	331	$69.97	-$23,158.42
[redacted] *PIA*	4/19/2013	4/24/2013	Sale *ISHARES CORE S&P SMALL CAP ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	IJR	-296	$83.30	$24,657.11
[redacted] *PIA*	4/19/2013	4/24/2013	Purchase *MARATHON PETROLEUM CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	MPC	147	$77.46	-$11,386.75
[redacted] *PIA*	4/22/2013	4/24/2013	Sale *MICRON TECHNOLOGY INC TRADE AS OF 04/19/13 A/C CALL SOWS5 MU PER ADVISORY AGREEMENT. WE MAKE A MKT IN ISSUE UNSOLICITED ORDER*	MU	-1,500	$9.00	$13,499.70
[redacted] *PIA*		4/22/2013	Option Assigned *CALL MU 00009.000 MICRON TECHNOLOGY INC EXP 04-20-13 VS 01900801 UNIT OAK BATCH # = 00567024540*	MU132 0D9	15		$0.00
[redacted] *PIA*	4/18/2013	4/19/2013	Purchase *CALL SNDK 00057.500 SANDISK CORP INC EXP 04-20-13 CLOSE TRAN SNDK APR 57.50000*	SNDK1 320D5 7.5	2	$0.45	-$90.00
[redacted]	4/16/2013	4/19/2013	Purchase	PFF	61	$40.43	-$2,466.06

PIA			*S&P US PFD STK INDEX FD ISHARES PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*				
[redacted] PIA		4/18/2013	Divd Reinv *TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $98.18 REINV PRICE $13.53000 QUANTITY BOT 7.2560 AS OF 04/15*	TGBAX	7		$0.00
[redacted] PIA		4/18/2013	Journal Entry *TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE $13.52*	TGBAX	1		$0.00
[redacted] PIA		4/17/2013	Journal Entry *RIDGEWORTH SEIX FLOATING RATE HI INC FD CL I FULL SHARE ACCUM SHARE VALUE $9.08*	SAMBX	1		$0.00
[redacted] PIA		4/17/2013	Journal Entry *RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE $10.37*	RSFYX	1		$0.00
[redacted] PIA	4/12/2013	4/17/2013	Purchase *ISHARES S&P 100 INDEX FUND PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	OEF	48	$71.59	-$3,436.32
[redacted] PIA	4/16/2013	4/17/2013	Purchase *CALL IJR 00086.000 ISHARES CORE S&P SMALL EXP 04-20-13 CLOSE TRAN IJR APR 86.00000*	IJR132 0D86	2	$0.10	-$20.00
[redacted] PIA	4/16/2013	4/17/2013	Purchase *PRINCIPAL PREFERRED SECURITIES FUND CL P FRAC SHR QUANTITY .170 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION. SEE THE PROSPECTUS*	PPSPX	482	$10.70	-$5,159.22
[redacted]	4/16/2013	4/17/2013	Purchase	RSFYX	169	$10.37	-$1,761.62

PIA			RS FLOATING RATE FUND CL Y FRAC SHR QUANTITY .877 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS				
[redacted] PIA	4/16/2013	4/17/2013	Purchase RIDGEWORTH SEIX FLOATING RATE HI INC FD CL I FRAC SHR QUANTITY .311 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	SAMBX	686	$9.08	-$6,231.70
[redacted] PIA	4/16/2013	4/17/2013	Purchase VICTORY FD FOR INCOME CL Y FRAC SHR QUANTITY .979 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	VFFYX	1,366	$11.12	-$15,200.81
[redacted] PIA	4/15/2013	4/16/2013	Purchase CALL FLR 00070.000 FLUOR CORP NEW DEL COM EXP 05-18-13 CLOSE TRAN FLR MAY 70.00000	FLR131 8E70	2	$0.05	-$10.00
[redacted] PIA	4/11/2013	4/16/2013	Purchase GENERAL ELEC CAP CORP SER MTN STEP% JAN 13 2027 ACCOUNT SETTLES 04/16/13 PER ADVISORY AGREEMENT. CURRENT COUPON 4.00 SUBJ TO INCR 'STEP UP' PERIODICALLY TILL MAT DETAILS UPON REQUEST 92 DAYS INT FRM 00/00/00 YLD TO CURR CALL 0.94% CURR CALL DATE 1/13/14 CURR CALL PRICE $100.00	36966T EF5	10,000	$102.25	-$10,327.22
[redacted] PIA	4/11/2013	4/12/2013	Sale FEDERAL HOME LOAN BANK BONDS 04.125% MAR 13 2015 PER ADVISORY AGREEMENT. YLD TO MATURITY 0.45% MATURITY DATE 3/13/15. 29 DAYS INTEREST SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TMPG.	3133X QBC5	-15,000	$107.00	$16,099.84
[redacted]	4/5/2013	4/10/2013	Sale	IJR	-165	$84.65	$13,967.04

PIA			ISHARES CORE S&P SMALL CAP ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
[redacted] PIA	4/5/2013	4/10/2013	Sale EMERGING GLOBAL SHARES DOW JONES PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ECON	-567	$26.04	$14,764.75
[redacted] PIA	4/5/2013	4/10/2013	Purchase VANGUARD DIVIDEND APPRECIATION ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VIG	61	$65.14	-$3,973.53
[redacted] PIA	4/5/2013	4/10/2013	Purchase VANGUARD DIVIDEND APPRECIATION ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VIG	35	$65.08	-$2,277.80
[redacted] PIA	4/3/2013	4/8/2013	Purchase WHIRLPOOL CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	WHR	100	$115.50	-$11,550.00
[redacted] PIA	4/3/2013	4/8/2013	Sale JACOBS ENGN GRP INC DELA PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JEC	-261	$54.13	$14,126.91
[redacted] PIA	4/3/2013	4/4/2013	Purchase CALL ETN 00067.500 EATON CORP PLC EXP 05-18-13 CLOSE TRAN ETN MAY 67.50000	ETN1318E67.5	2	$0.10	-$20.00

[redacted] PIA	3/28/2013	4/3/2013	Sale *MICRON TECHNOLOGY INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT*	MU	-8	$9.95	$79.61
[redacted] PIA		4/1/2013	Divd Reinv *TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $80.58 REINV PRICE $10.28000 QUANTITY BOT 7.8390 AS OF 03/28*	TGLMX	7		$0.00
[redacted] PIA		4/1/2013	Divd Reinv *RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $49.26 REINV PRICE $10.36000 QUANTITY BOT 4.7550 AS OF 03/28*	RSFYX	4		$0.00
[redacted] PIA		4/1/2013	Divd Reinv *OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $104.44 REINV PRICE $6.50000 QUANTITY BOT 16.0680 AS OF 03/28*	OIBYX	16		$0.00
[redacted] PIA		4/1/2013	Divd Reinv *PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT $30.99 REINV PRICE $11.24000 QUANTITY BOT 2.7570 AS OF 03/28*	PTTPX	2		$0.00
[redacted] PIA		4/1/2013	Journal Entry *TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE $10.29*	TGLMX	1		$0.00
[redacted] PIA		4/1/2013	Journal Entry *RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE $10.36*	RSFYX	1		$0.00
[redacted] PIA		4/1/2013	Journal Entry *PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE $11.25*	PTTPX	1		$0.00
[redacted] PIA	3/25/2013	3/28/2013	Purchase *EATON CORP PLC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	ETN	3	$63.42	-$190.26
[redacted] PIA	3/26/2013	3/27/2013	Sale *CALL FLR 00070.000 FLUOR CORP NEW DEL COM EXP 05-18-13 OPEN TRAN FLR MAY 70.00000*	FLR131 8E70	-2	$0.60	$119.99

[redacted] PIA	3/25/2013	3/26/2013	Sale *CALL ETN 00067.500 EATON CORP PLC EXP 05-18-13 OPEN TRAN ETN MAY 67.50000*	ETN131 8E67.5	-2	$0.60	$119.99
[redacted] PIA	3/21/2013	3/26/2013	Purchase *WESTERN ASSET INVT GRADE DEFINED OPPORTUNITY TR PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	IGI	3	$22.97	-$68.92
[redacted] PIA	3/20/2013	3/25/2013	Purchase *EATON VANCE TAX-ADVANTAG BD & OPT STRATEGIES FD PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	EXD	88	$17.70	-$1,557.53
[redacted] PIA	3/19/2013	3/22/2013	Purchase *EATON VANCE TAX-ADVANTAG BD & OPT STRATEGIES FD PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	EXD	213	$17.95	-$3,823.35
[redacted] PIA		3/20/2013	Divd Reinv *TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $98.10 REINV PRICE $13.44000 QUANTITY BOT 7.2990 AS OF 03/15*	TGBAX	7		$0.00
[redacted] PIA	3/15/2013	3/20/2013	Purchase *WESTERN ASSET INVT GRADE DEFINED OPPORTUNITY TR PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	IGI	44	$22.80	-$1,003.20
[redacted] PIA	3/15/2013	3/20/2013	Purchase *EATON VANCE TAX-ADVANTAG BD & OPT STRATEGIES FD PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	EXD	104	$17.80	-$1,851.18
[redacted]	3/18/2013	3/20/2013	Sale	MPC	-100	$75.00	$7,499.83

PIA			MARATHON PETROLEUM CORP TRADE AS OF 03/15/13 A/C CALL LZI60 MPC PER ADVISORY AGREEMENT. UNSOLICITED ORDER				
[redacted] PIA	3/14/2013	3/19/2013	Sale SPDR DB INTL GOV INFL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	WIP	-307	$61.51	$18,883.50
[redacted] PIA	3/14/2013	3/19/2013	Purchase EATON VANCE TAX-ADVANTAG BD & OPT STRATEGIES FD PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	EXD	116	$17.76	-$2,060.35
[redacted] PIA	3/14/2013	3/19/2013	Purchase WESTERN ASSET INVT GRADE DEFINED OPPORTUNITY TR PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IGI	18	$22.65	-$407.63
[redacted] PIA		3/18/2013	Option Assigned CALL MPC 00075.000 MARATHON PETROLEUM CORP EXP 03-16-13 VS 01900801 UNIT OAK BATCH # = 00567004607	MPC13 16C75	1		$0.00
[redacted] PIA	3/8/2013	3/13/2013	Purchase SECTOR SPDR ENERGY PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLE	117	$78.83	-$9,222.74
[redacted] PIA	3/8/2013	3/13/2013	Purchase SANDISK CORP INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	SNDK	222	$51.61	-$11,457.60
[redacted]	3/7/2013	3/12/2013	Sale	DISCA	-169	$75.74	$12,799.03

PIA			DISCOVERY COMMUNICATN INC SERIES A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT				
[redacted] PIA	3/8/2013	3/11/2013	Sale CALL SNDK 00057.500 SANDISK CORP INC EXP 04-20-13 OPEN TRAN SNDK APR 57.50000	SNDK1 320D5 7.5	-2	$0.51	$101.99
[redacted] PIA		3/1/2013	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $85.26 REINV PRICE $10.29000 QUANTITY BOT 8.2860 AS OF 02/28	TGLMX	8		$0.00
[redacted] PIA		3/1/2013	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $56.42 REINV PRICE $10.31000 QUANTITY BOT 5.4720 AS OF 02/28	RSFYX	5		$0.00
[redacted] PIA		3/1/2013	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $102.47 REINV PRICE $6.54000 QUANTITY BOT 15.6680 AS OF 02/28	OIBYX	15		$0.00
[redacted] PIA		3/1/2013	Divd Reinv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT $24.07 REINV PRICE $11.23000 QUANTITY BOT 2.1430 AS OF 02/28	PTTPX	2		$0.00
[redacted] PIA		3/1/2013	Redeemed MORGAN STANLEY GLB 05.300% MAR 01 2013 GLB 05.300% MAR 01 2013 PAY DATE 03/01/2013	617446 HR3	-25,000		$25,000.00
[redacted] PIA		3/1/2013	Journal Entry RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE $10.31	RSFYX	1		$0.00
[redacted] PIA		3/1/2013	Journal Entry OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE $6.54	OIBYX	1		$0.00
[redacted] PIA	2/28/2013	3/1/2013	Sale CALL MU 00009.000 MICRON TECHNOLOGY INC EXP 04-20-13 OPEN TRAN MU APR 9.00000	MU132 0D9	-15	$0.24	$362.99
[redacted] PIA	2/26/2013	2/27/2013	Purchase CALL FLR 00067.500 FLUOR CORP NEW DEL COM EXP 03-16-13 CLOSE TRAN FLR MAR 67.50000	FLR131 6C67.5	2	$0.10	-$19.84
[redacted]	2/26/2013	2/27/2013	Sale	IJR132	-2	$0.50	$99.99

PIA			CALL IJR 00086.000 ISHARES CORE S&P SMALL EXP 04-20-13 OPEN TRAN IJR APR 86.00000	0D86			
[redacted]7 PIA		2/21/2013	Divd Reinv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $97.10 REINV PRICE $13.44000 QUANTITY BOT 7.2250 AS OF 02/15	TGBAX	7		$0.00
[redacted]7 PIA	2/15/2013	2/21/2013	Sale MARATHON PETROLEUM CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MPC	-87	$82.25	$7,155.59
[redacted] PIA	2/11/2013	2/12/2013	Sale TEMPLETON GLBL BOND FD ADV CL FRAC SHR QUANTITY .293 PER ADVISORY AGREEMENT. THIS SALE CONSTITUTES A REDEMPTION.	TGBAX	-261	$13.43	$3,509.16
[redacted] PIA	2/11/2013	2/12/2013	Sale OPPENHEIMER INTERNATIONAL BOND FD Y FRAC SHR QUANTITY .193 PER ADVISORY AGREEMENT. THIS SALE CONSTITUTES A REDEMPTION.	OIBYX	-519	$6.57	$3,411.10
[redacted] PIA	2/8/2013	2/11/2013	Purchase CALL TDC 00075.000 TERADATA CORP DEL EXP 03-16-13 CLOSE TRAN TDC MAR 75.00000	TDC13 16C75	1	$0.15	-$14.72
[redacted] PIA	2/8/2013	2/11/2013	Purchase PUT OEF 00065.000 ISHARES S&P 100 INDEX EXP 05-18-13 OPEN TRAN OEF MAY 65.00000	OEF131 8Q65	15	$0.95	-$1,420.80
[redacted] PIA	2/5/2013	2/8/2013	Purchase JPMORGAN CHASE & CO PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JPM	239	$48.00	-$11,471.62
[redacted] PIA	2/5/2013	2/8/2013	Purchase PETSMART INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	PETM	179	$64.18	-$11,487.56
[redacted]	2/5/2013	2/8/2013	Sale	ACT	-133	$86.34	$11,482.95

PIA			ACTAVIS INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
[redacted] PIA	2/1/2013	2/6/2013	Sale CONSTELLATION BRANDS INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	STZ	-324	$32.51	$10,532.13
[redacted] PIA		2/1/2013	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT $84.91 REINV PRICE $10.30000 QUANTITY BOT 8.2440 AS OF 01/31	TGLMX	8		$0.00
[redacted] PIA		2/1/2013	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $55.90 REINV PRICE $10.33000 QUANTITY BOT 5.4110 AS OF 01/31	RSFYX	5		$0.00
[redacted] PIA		2/1/2013	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $114.68 REINV PRICE $6.60000 QUANTITY BOT 17.3760 AS OF 01/31	OIBYX	17		$0.00
[redacted] PIA		2/1/2013	Divd Reinv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT $21.15 REINV PRICE $11.19000 QUANTITY BOT 1.8900 AS OF 01/31	PTTPX	1		$0.00
[redacted] PIA		2/1/2013	Redeemed GENERAL ELECTRIC COMPANY GLB 05.000% FEB 01 2013 GLB 05.000% FEB 01 2013 PAY DATE 02/01/2013	369604 AY9	-25,000		$25,000.00
[redacted] PIA		2/1/2013	Journal Entry TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE $10.31	TGLMX	1		$0.00
[redacted] PIA		2/1/2013	Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE $11.18	PTTPX	1		$0.00
[redacted] PIA	1/31/2013	2/1/2013	Purchase CALL STZ 00040.000 CONSTELLATION BRANDS INC EXP 02-16-13 CLOSE TRAN STZ FEB 40.00000	STZ131 6B40	3	$0.10	-$30.00
[redacted]	1/25/2013	1/30/2013	Purchase	FLR	1	$65.37	-$65.37

PIA			*FLUOR CORP NEW DEL COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*				
[redacted] PIA		1/29/2013	Divd Reinv *AMERICAN HIGH INC TR F2 AGENT REINV AMOUNT $.05 REINV PRICE $11.52000 QUANTITY BOT .0040 AS OF 01/28*	AHIFX			$0.00
[redacted] PIA	1/25/2013	1/28/2013	Sale *CALL FLR 00067.500 FLUOR CORP NEW DEL COM EXP 03-16-13 OPEN TRAN FLR MAR 67.50000*	FLR131 6C67.5	-2	$1.20	$239.99
[redacted] PIA	1/25/2013	1/28/2013	Sale *CALL TDC 00075.000 TERADATA CORP DEL EXP 03-16-13 OPEN TRAN TDC MAR 75.00000*	TDC13 16C75	-1	$0.71	$70.93
[redacted] PIA		1/24/2013	Exchange *ACTAVIS INC PAY DATE 01/24/2013*	ACT	133		$0.00
[redacted] PIA		1/24/2013	Exchange *WATSON PHARMACEUTICALS PAY DATE 01/24/2013*	9E+08	-133		$0.00
[redacted] PIA	1/18/2013	1/24/2013	Purchase *WATSON PHARMACEUTICALS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	9E+08	21	$85.12	-$1,787.52
[redacted] PIA	1/23/2013	1/24/2013	Sale *CALL MPC 00075.000 MARATHON PETROLEUM CORP EXP 03-16-13 OPEN TRAN MPC MAR 75.00000*	MPC13 16C75	-1	$0.50	$49.99
[redacted] PIA	1/18/2013	1/22/2013	Purchase *CALL STZ 00037.500 CONSTELLATION BRANDS INC EXP 01-19-13 CLOSE TRAN STZ JAN 37.50000*	STZ131 9A37.5	2	$1.15	-$230.00
[redacted] PIA	1/18/2013	1/22/2013	Sale *CALL STZ 00040.000 CONSTELLATION BRANDS INC EXP 02-16-13 OPEN TRAN STZ FEB 40.00000*	STZ131 6B40	-3	$1.42	$425.99
[redacted] PIA		1/18/2013	Divd Reinv *TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT $108.40 REINV PRICE $13.42000 QUANTITY BOT 8.0770 AS OF 01/15*	TGBAX	8		$0.00
729-12217	1/14/2013	1/17/2013	Purchase	AZO	3	$347.00	-$1,041.00

PIA			*AUTOZONE INC NEVADA COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*				
[redacted] PIA	1/14/2013	1/17/2013	Sale *MICRON TECHNOLOGY INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT*	MU	-366	$7.50	$2,745.16
[redacted] PIA	1/11/2013	1/16/2013	Sale *SECTOR SPDR CONSMRS STPL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	XLP	-637	$35.81	$22,809.70
[redacted] PIA	1/11/2013	1/16/2013	Purchase *VANGUARD DIVIDEND APPRECIATION ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	VIG	146	$61.31	-$8,951.22
[redacted] PIA	1/11/2013	1/16/2013	Purchase *SECTOR SPDR FINANCIAL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	XLF	5	$17.07	-$85.35
[redacted] PIA	1/11/2013	1/16/2013	Purchase *DWA TECH LEADERS PORT POWERSHARES ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	PDP	477	$28.67	-$13,673.49
[redacted]	1/11/2013	1/16/2013	Sale	KYN	-369	$32.07	$11,832.05

PIA			*KAYNE ANDERSON MLP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*				
[redacted] PIA	1/10/2013	1/15/2013	Purchase *SECTOR SPDR FINANCIAL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	XLF	475	$17.05	-$8,098.75
[redacted] PIA	1/10/2013	1/15/2013	Sale *SECTOR SPDR CONSMRS STPL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	XLP	-427	$35.68	$15,236.34
[redacted] PIA	1/10/2013	1/15/2013	Purchase *DWA TECH LEADERS PORT POWERSHARES ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	PDP	318	$28.63	-$9,105.45
[redacted] PIA	1/14/2013	1/15/2013	Purchase *CALL STZ 00037.500 CONSTELLATION BRANDS INC EXP 01-19-13 CLOSE TRAN STZ JAN 37.50000*	STZ131 9A37.5	1	$0.05	-$5.00
[redacted] PIA	1/10/2013	1/15/2013	Purchase *SECTOR SPDR FINANCIAL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	XLF	61	$17.16	-$1,046.46
[redacted] PIA	1/9/2013	1/14/2013	Sale *APACHE CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS AGENT*	APA	-134	$80.10	$10,733.16

[redacted] PIA		1/11/2013	Journal Entry *TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE $13.46*	TGBAX	1		$0.00
[redacted] PIA	1/8/2013	1/11/2013	Purchase *VANGUARD DIVIDEND APPRECIATION ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	VIG	152	$60.90	-$9,256.80
[redacted] PIA	1/8/2013	1/11/2013	Purchase *HESS CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	HES	205	$55.17	-$11,310.18
[redacted] PIA	1/7/2013	1/10/2013	Purchase *VANGUARD DIVIDEND APPRECIATION ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	VIG	153	$60.98	-$9,329.94
[redacted] PIA	1/4/2013	1/9/2013	Sale *AUTOMATIC DATA PROC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT*	ADP	-152	$58.75	$8,930.53
[redacted] PIA	1/4/2013	1/9/2013	Sale *C.H. ROBINSON WORLDWIDE, INC. NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT*	CHRW	-149	$63.02	$9,390.41
[redacted] PIA	1/4/2013	1/9/2013	Sale *3M COMPANY PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	MMM	-99	$94.94	$9,399.30
[redacted]	1/4/2013	1/9/2013	Sale	LLTC	-281	$35.31	$9,921.05

PIA			LINEAR TECHNOLOGY CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT				
[redacted] PIA	1/4/2013	1/9/2013	Sale MCDONALDS CORP COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MCD	-100	$90.03	$9,002.84
[redacted] PIA	1/4/2013	1/9/2013	Purchase VANGUARD DIVIDEND APPRECIATION ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VIG	436	$61.00	-$26,595.91
[redacted] PIA	1/4/2013	1/9/2013	Sale PAYCHEX INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	PAYX	-271	$31.83	$8,624.55
[redacted] PIA	1/4/2013	1/9/2013	Sale COCA COLA COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KO	-225	$37.70	$8,482.58
[redacted] PIA	1/4/2013	1/9/2013	Purchase DISCOVERY COMMUNICATN INC SERIES A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	DISCA	169	$65.74	-$11,110.45
[redacted]	1/4/2013	1/9/2013	Purchase	PDP	789	$28.67	-$22,623.86

PIA			DWA TECH LEADERS PORT POWERSHARES ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
[redacted] PIA	1/4/2013	1/9/2013	Purchase VANGUARD DIVIDEND APPRECIATION ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VIG	149	$61.12	-$9,106.88
[redacted] PIA	1/3/2013	1/8/2013	Purchase VANGUARD DIVIDEND APPRECIATION ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VIG	144	$60.99	-$8,783.14
[redacted] PIA	1/3/2013	1/8/2013	Sale CHESAPEAKE ENERGY OKLA PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CHK	-500	$16.85	$8,422.86
[redacted] PIA		1/7/2013	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $103.69 REINV PRICE $6.58000 QUANTITY BOT 15.7580 AS OF 12/28	OIBYX	15		$0.00
[redacted] PIA		1/7/2013	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $204.34 REINV PRICE $6.58000 QUANTITY BOT 31.0550 AS OF 12/28	OIBYX	31		$0.00
[redacted] PIA		1/7/2013	Journal Entry OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE $6.58	OIBYX	1		$0.00
[redacted]	12/31/2012	1/4/2013	Purchase	XLF	658	$16.28	-$10,712.24

PIA			*SECTOR SPDR FINANCIAL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*				
[redacted] PIA	12/31/2012	1/4/2013	Purchase *ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDX F PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT*	IYW	117	$70.29	-$8,223.82
[redacted] PIA	1/3/2013	1/4/2013	Sale *AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 FRAC SHR QUANTITY .530 PER ADVISORY AGREEMENT. THIS SALE CONSTITUTES A REDEMPTION.*	AHIFX	-8	$11.42	$97.41
[redacted] PIA		1/3/2013	Divd Reinv *OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $103.69 REINV PRICE $6.58000 QUANTITY BOT 15.7580 AS OF 12/28 CXL RINV 12-31-12 BATCH # = 99980115706*	OIBYX	-15		$0.00
[redacted] PIA		1/3/2013	Divd Reinv *OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $103.69 REINV PRICE $6.58000 QUANTITY BOT 15.7580 AS OF 12/28 CXL RINV 12-31-12 BATCH # = 99980115706*	OIBYX	-15		$0.00
[redacted] PIA		1/3/2013	Divd Reinv *OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT $204.34 REINV PRICE $6.58000 QUANTITY BOT 31.0550 AS OF 12/28 CXL RINV 12-31-12 BATCH # = 99980115706*	OIBYX	-31		$0.00
[redacted] PIA		1/3/2013	Journal Entry *OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE $6.58*	OIBYX	-1		$0.00
[redacted] PIA		1/3/2013	Journal Entry *OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE $6.58*	OIBYX	-1		$0.00
[redacted]		1/2/2013	Divd Reinv	RSFYX	5		$0.00

PIA		*RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT $55.88 REINV PRICE $10.27000 QUANTITY BOT 5.4410 AS OF 12/31*			
[redacted] *PIA*	1/2/2013	Divd Reinv *BR INF PTD BD FD INST CL AGENT REINV AMOUNT $6.18 REINV PRICE $12.07000 QUANTITY BOT .5120 AS OF 12/31*	BPRIX		$0.00
[redacted] *PIA*	1/2/2013	Divd Reinv *LORD ABBETT FLT RTE FD F AGENT REINV AMOUNT $.04 REINV PRICE $9.40000 QUANTITY BOT .0040 AS OF 12/31*	LFRFX		$0.00
[redacted] *PIA*	1/2/2013	Divd Reinv *PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT $28.11 REINV PRICE $11.24000 QUANTITY BOT 2.5010 AS OF 12/31*	PTTPX	2	$0.00
[redacted] *PIA*	1/2/2013	Journal Entry *PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE $11.22*	PTTPX	1	$0.00