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U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

# UNITED STATES HOUSE OF REPRESENTATIVES FINANCIAL DISCLOSURE STATEMENT

**FORM B**
For use by candidates and new employees

Period covered: January 1, 2012 - October 31, 2013

**Name:** Carl J. Domino

**Daytime Telephone**

| Filer Status | | | |
|---|---|---|---|
| [X] Candidate for the House of Representatives | State: Florida District: 18 | Date of Election: 8/26/2013 | Check if Amendment [ ] |
| [ ] New officer or employee | Employing Office: | | |

**A *$200 penalty* shall be assessed against any individual who files more than 30 days late.**

**In all sections, please type or print clearly in blue or black ink.**

## PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

| | | | | | |
|---|---|---|---|---|---|
| I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** | Yes [X] | No [ ] | IV. Did you hold any reportable positions on or before the date of filing in the current calendar year or in the prior two years? **If yes, complete and attach Schedule IV.** | Yes [X] | No [ ] |
| II. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule II.** | Yes [X] | No [ ] | V. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule V.** | Yes [X] | No [ ] |
| III. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule III.** | Yes [X] | No [ ] | VI. Did you receive compensation of more than $5,000 from a single source in the two prior years? **If yes, complete and attach Schedule VI.** | Yes [X] | No [ ] |

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

| | | |
|---|---|---|
| **TRUSTS**—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or a dependent child? | Yes [ ] | No [X] |
| **EXEMPTION**—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. | Yes [ ] | No [X] |

Name Carl J. Domino



## SCHEDULE I — EARNED INCOME (INCLUDING HONORARIA)

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.

**Exclude:** Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

| | Source (include date of receipt for honoraria) | Type | Amount: Current Year to Filing | Amount: Preceding Year |
|---|---|---|---|---|
| *Examples:* | XYZ Corporation, Houston, TX | Salary | $6,300 | $28,450 |
| | First Bank & Trust, Houston, TX | Director's Fee | $400 | $3,200 |
| | XYZ Trade Association, Chicago, IL (Rec'd December 2) | Honorarium | 0 | $1,000 |
| | Harris County, Texas Public Schools | Spouse Salary | NA | NA |
| | Carl Domino, Inc. | Salary | $60,000 | $50,000 |

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# SCHEDULE II – ASSETS AND "UNEARNED" INCOME

Name Carl J. Domino

Page 3 of 5

**BLOCK A — Asset and/or Income Source**

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than $200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use ticker symbols).

For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.

For rental or other real property held for investment, provide a complete address or a description, *e.g.*, "rental property," and the city and state.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

**Exclude:** Your personal residence, including second homes and vacation homes (*unless* there was rental income during the reporting period); any deposits totaling $5,000 or less in personal checking or savings accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held with your spouse (JT), in the optional column on the far left.

For a detailed discussion of Schedule II requirements, please refer to the instruction booklet.

**BLOCK B — Value of Asset**

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

*This column is for assets solely held by your spouse or dependent child.

**BLOCK C — Type of Income**

Check all columns that apply. For retirement accounts that do not allow you to choose specific investments *or* that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "Tax-Deferred" column. **Dividends, interest, and capital gains, even if reinvested, must be disclosed as income.** Check "None" if the asset generated no income during the reporting period.

**BLOCK D — Amount of Income**

For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. **Dividends, interest, and capital gains, even if reinvested, must be disclosed as income.** Check "None" if no income was earned or generated.

* This column is for income derived from assets solely held by your spouse or dependent child.

**Block A / Block B — Value of Asset**

| SP, DC, JT | Asset and/or Income Source | A None | B $1 – $1,000 | C $1,001 – $15,000 | D $15,001 – $50,000 | E $50,001 – $100,000 | F $100,001 – $250,000 | G $250,001 – $500,000 | H $500,001 – $1,000,000 | I $1,000,001 – $5,000,000 | J $5,000,001 – $25,000,000 | K $25,000,001 – $50,000,000 | L Over $50,000,000 | M Spouse/DC Asset over $1,000,000* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| SP (Examples:) | Mega Corp. Stock | | | | | X | | | | | | | | |
| DC (Examples:) | Simon & Schuster | | | Indefinite | | | | | | | | | | |
| JT (Examples:) | 1st Bank of Paducah, KY accounts | | | | | | X | | | | | | | |
| | Fidelity Brokerage Individual See Attached | | | | | | | | | | X | | | |
| SP | Fidelity Brokerage Individual See Attached | | | | | | | X | | | | | | |
| | Fidelity IRA See Attached | | | | | | | | | | X | | | |
| DC | Fidelity UTMA See Attached | | | | | | X | | | | | | | |
| DC | Fidelity UTMA See Attached | | | | | | X | | | | | | | |
| | Fidelity Brokerage Individual See Attached | | | | | | | | | X | | | | |

**Block C — Type of Income**

| SP, DC, JT | Asset and/or Income Source | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) |
|---|---|---|---|---|---|---|---|---|---|
| SP (Examples:) | Mega Corp. Stock | X | | | | | | | |
| DC (Examples:) | Simon & Schuster | | | | | | | | Royalties |
| JT (Examples:) | 1st Bank of Paducah, KY accounts | | | | X | | | | |
| | Fidelity Brokerage Individual See Attached | | X | | | | | | |
| SP | Fidelity Brokerage Individual See Attached | | X | | | | | | |
| | Fidelity IRA See Attached | | | | | | | X | |
| DC | Fidelity UTMA See Attached | | X | | X | | | | |
| DC | Fidelity UTMA See Attached | | X | | X | | | | |
| | Fidelity Brokerage Individual See Attached | | X | | X | | | | |

**Block D — Amount of Income: Current Year**

| SP, DC, JT | Asset and/or Income Source | I None | II $1 – $200 | III $201 – $1,000 | IV $1,001 – $2,500 | V $2,501 – $5,000 | VI $5,001 – $15,000 | VII $15,001 – $50,000 | VIII $50,001 – $100,000 | IX $100,001 – $1,000,000 | X $1,000,001 – $5,000,000 | XI Over $5,000,000 | XII Spouse/DC Income over $1,000,000* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| SP (Examples:) | Mega Corp. Stock | | | | X | | | | | | | | |
| DC (Examples:) | Simon & Schuster | | | | | X | | | | | | | |
| JT (Examples:) | 1st Bank of Paducah, KY accounts | | | | | X | | | | | | | |
| | Fidelity Brokerage Individual See Attached | | | | | | | | | X | | | |
| SP | Fidelity Brokerage Individual See Attached | | | | | X | | | | | | | |
| | Fidelity IRA See Attached | X | | | | | | | | | | | |
| DC | Fidelity UTMA See Attached | | | X | | | | | | | | | |
| DC | Fidelity UTMA See Attached | | | X | | | | | | | | | |
| | Fidelity Brokerage Individual See Attached | | | | | | | | X | | | | |

**Block D — Amount of Income: Preceding Year**

| SP, DC, JT | Asset and/or Income Source | I None | II $1 – $200 | III $201 – $1,000 | IV $1,001 – $2,500 | V $2,501 – $5,000 | VI $5,001 – $15,000 | VII $15,001 – $50,000 | VIII $50,001 – $100,000 | IX $100,001 – $1,000,000 | X $1,000,001 – $5,000,000 | XI Over $5,000,000 | XII Spouse/DC Income over $1,000,000* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| SP (Examples:) | Mega Corp. Stock | | | X | | | | | | | | | |
| DC (Examples:) | Simon & Schuster | | | | | X | | | | | | | |
| JT (Examples:) | 1st Bank of Paducah, KY accounts | | | | | X | | | | | | | |
| | Fidelity Brokerage Individual See Attached | | | | | | | | | X | | | |
| SP | Fidelity Brokerage Individual See Attached | | | | | | | | | | | | |
| | Fidelity IRA See Attached | X | | | | | | | | | | | |
| DC | Fidelity UTMA See Attached | | | | | | | | | | | | |
| DC | Fidelity UTMA See Attached | | | | | | | | | | | | |
| | Fidelity Brokerage Individual See Attached | | | | | | | | X | | | | |

For additional assets and unearned income, use next page.

# SCHEDULE II — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Carl J. Domino

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**BLOCK A — Asset and/or Income Source; BLOCK B — Value of Asset**

| SP, JT, DC | Asset and/or Income Source | A None | B $1 – $1,000 | C $1,001 – $15,000 | D $15,001 – $50,000 | E $50,001 – $100,000 | F $100,001 – $250,000 | G $250,001 – $500,000 | H $500,001 – $1,000,000 | I $1,000,001 – $5,000,000 | J $5,000,001 – $25,000,000 | K $25,000,001 – $50,000,000 | L Over $50,000,000 | M Spouse/DC Asset over $1,000,000* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Charles Schwab IRA<br>See Attached | | | | | | | | | X | | | | |
| | Maxim Brokerage<br>See Attached | | | | | | | X | | | | | | |
| | Morgan Stanley Active Asset<br>See Attached | | | | | | | | | | | | | |
| SP | Morgan Stanley Active Asset<br>See Attached | | | | | | | | | | | | | |
| | Investment Property: | | | | | | | | | | | | | |
| | 1701 Locust Street<br>Philadelphia, PA | | | | | | | | X | | | | | |

**BLOCK C — Type of Income**

| Asset and/or Income Source | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income--(Specify: e.g., Partnership Income or Farm Income) |
|---|---|---|---|---|---|---|---|---|
| Charles Schwab IRA See Attached | | | | | | | X | |
| Maxim Brokerage See Attached | | | | X | | | | |
| Morgan Stanley Active Asset See Attached | | X | | X | | | | |
| SP Morgan Stanley Active Asset See Attached | | X | | X | X | | | |
| Investment Property: | | | | | | | | |
| 1701 Locust Street Philadelphia, PA | | | | | | | | |

**BLOCK D — Amount of Income — Current Year**

| Asset and/or Income Source | I None | II $1 – $200 | III $201 – $1,000 | IV $1,001 – $2,500 | V $2,501 – $5,000 | VI $5,001 – $15,000 | VII $15,001 – $50,000 | VIII $50,001 – $100,000 | IX $100,001 – $1,000,000 | X $1,000,001 – $5,000,000 | XI Over $5,000,000 | XII Spouse/DC Income over $1,000,000* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Charles Schwab IRA See Attached | X | | | | | | | | | | | |
| Maxim Brokerage See Attached | | X | | | | | | | | | | |
| Morgan Stanley Active Asset See Attached | | | | | | | | | | | | |
| SP Morgan Stanley Active Asset See Attached | | | | | | | | | | | | |
| Investment Property: | | | | | | | | | | | | |
| 1701 Locust Street Philadelphia, PA | | | | | | | | | | | | |

**BLOCK D — Amount of Income — Preceding Year**

| Asset and/or Income Source | I None | II $1 – $200 | III $201 – $1,000 | IV $1,001 – $2,500 | V $2,501 – $5,000 | VI $5,001 – $15,000 | VII $15,001 – $50,000 | VIII $50,001 – $100,000 | IX $100,001 – $1,000,000 | X $1,000,001 – $5,000,000 | XI Over $5,000,000 | XII Spouse/DC Income over $1,000,000* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Charles Schwab IRA See Attached | X | | | | | | | | | | | |
| Maxim Brokerage See Attached | X | | | | | | | | | | | |
| Morgan Stanley Active Asset See Attached | | | | | | X | | | | | | |
| SP Morgan Stanley Active Asset See Attached | | | | X | | | | | | | | |
| Investment Property: | | | | | | | | | | | | |
| 1701 Locust Street Philadelphia, PA | | | | | | | | | | | | |

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## SCHEDULE III — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the reporting period. **Exclude:** Any mortgage on your personal residence (unless there is rental income); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** *(i.e., credit cards)* only if the balance at the close of the previous calendar year exceeded $10,000.

| SP, DC, JT | Creditor | Date Liability Incurred mo/year | Type of Liability | A $10,001—$15,000 | B $15,001—$50,000 | C $50,001—$100,000 | D $100,001—$250,000 | E $250,001—$500,000 | F $500,001—$1,000,000 | G $1,000,001—$5,000,000 | H $5,000,001—$25,000,000 | I $25,000,001—$50,000,000 | J Over $50,000,000 | K Spouse/DC Liability over $1,000,000 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | *Example:* First Bank of Wilmington, DE | May 1998 | Mortgage on 123 Main Street, Dover, DE | | | | X | | | | | | | |
| | Fidelity Investments | Jan 1980 | Margin Account | | | | | | | | X | | | |
| | Wells Fargo | July 2008 | Mortgage on investment property | | | | | | X | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |

## SCHEDULE IV — POSITIONS

Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, company, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

**Exclude:** Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as a political party or campaign organization); and positions solely of an honorary nature.

| Position | Name of Organization |
|---|---|
| President | Carl J. Domino, Inc. |
| | |
| | |
| | |
| | |

**Use additional sheets if more space is required.**

Fidelity INVESTMENTS

# Investment Report

October 1, 2013 - October 31, 2013

Envelope 022001623

CARL J DOMINO
[redacted]

**Your Advisor**
CARL DOMINO, INC.
515 N FLAGLER DR
WEST PALM BEACH FL 33401
Phone: (561)833-2882

## Your Portfolio Summary

### Changes in Portfolio Value

| | |
|---|---|
| Beginning mkt value as of Oct 1 | $24,327,873.65 |
| Additions | 1,802.00 |
| Withdrawals | -57,378.80 |
| Transaction costs, loads and fees | -7,741.56 |
| Net adjustments | -30.00 |
| Margin interest paid | -19,075.20 |
| Change in investment value | 151,960.01 |
| Change in debit balance | 558,082.35 |
| Ending mkt value as of Oct 31 | 24,955,492.45 |
| Debit balance | -8,372,233.68 |
| **Ending Net Value** | **$16,583,258.77** |
| Total trades for portfolio period Nov 2012 - Oct 2013 | 24 |

### Value by Account

| | Account Number | Net Value October 1, 2013 | Net Value October 31, 2013 |
|---|---|---|---|
| **General Investment** | | | |
| Brokerage - Individual | [redacted] | $10,062,537.60 | $9,907,685.19* |
| Brokerage - Individual | [redacted] | 386,130.04 | 399,495.69 |
| **Personal Retirement** | | | |
| Brokerage - Rollover IRA | [redacted] | 5,845,046.62 | 6,055,446.24 |
| **Custodial** | | | |
| Brokerage - UTMA | [redacted] | 110,880.13 | 110,597.51 |
| Brokerage - UTMA | [redacted] | 109,127.93 | 110,034.14 |
| **Total Net Value** | | **$16,513,722.32** | **$16,583,258.77** |

* Excludes unpriced securities

Fidelity INVESTMENTS

## Investment Report

October 1, 2013 - October 31, 2013

### Income Summary

| | This period | Year to Date |
|---|---|---|
| Taxable | $5,473.29 | $114,944.48 |
| Tax-exempt | 0.71 | 6.55 |
| Tax-deferred | 9,001.79 | 121,692.12 |
| **Total** | **$14,475.79** | **$236,643.15** |

# Your Portfolio Details

## Brokerage [redacted] CARL J DOMINO - INDIVIDUAL

### Account Summary

| | |
|---|---|
| Beginning mkt value as of Oct 1 | $17,876,688.93 |
| Withdrawals | -57,378.80 |
| Transaction costs, loads and fees | -1,099.20 |
| Net adjustments | -30.00 |
| Margin interest paid | -19,075.20 |
| Change in investment value | -77,269.21 |
| Change in debit balance | 558,082.35 |
| Ending mkt value as of Oct 31 | 18,279,918.87 |
| Debit balance | -8,372,233.68 |
| **Ending Net Value** | **$9,907,685.19** |
| Accrued Interest (AI) | $0.00 |
| Change in AI from last statement | $0.00 |

As of October 31, 2013, the rate on your current debit balance is 3.10% and you can borrow an additional $2,584,358.11 based on your current holdings.

### Income Summary

| | This Period | Year to Date |
|---|---|---|
| **Taxable** | | |
| Dividends | $5,265.15 | $110,689.20 |

### Realized Gain/Loss from Sales

| | This Period | Year to Date |
|---|---|---|
| Short-term gain | $10,110.90 | $495,931.93 |
| Short-term loss | -100,086.97 | -440,641.73 |
| St disallowed loss | 5,067.64 | 25,140.06 |
| **Net short** | **- 84,908.43** | **80,430.26** |
| Long-term gain | $128,680.57 | $1,518,231.08 |
| Long-term loss | -195,362.73 | -1,356,066.93 |
| Lt disallowed loss | 63,611.44 | 187,313.31 |
| **Net long** | **- 3,070.72** | **349,477.46** |

*This may not reflect all of your gains/losses because of incomplete cost basis.*

*Your Advisor is an independent organization and is not affiliated with Fidelity Investments. Brokerage services provided by Fidelity Brokerage Services LLC, Member NYSE, SIPC. 800-544-6666. Brokerage Accounts carried with National Financial Services LLC, Member NYSE, SIPC*

Fidelity INVESTMENTS

# Investment Report

October 1, 2013 - October 31, 2013

## Brokerage [redacted] CARL J DOMINO - INDIVIDUAL

| Holdings (Symbol) as of October 31, 2013 | Quantity October 31, 2013 | Price per Unit October 31, 2013 | Total Cost Basis/Proceeds | Total Value October 1, 2013 | Total Value October 31, 2013 | Unrealized Gain (Loss) October 31, 2013 |
|---|---|---|---|---|---|---|
| **Stocks 95% of holdings** | | | | | | |
| M NORDIC AMERICAN TANKERS LIMITED USD0.01 (NAT) | 26,000.000 | $8.160 | $248,526.64 | $214,240.00 | $212,160.00 | -$ 36,366.64 |
| EAI: $16,640.00, EY: 7.84% | | | | | | |
| M TRONOX LTD ORD REG (TROX) | 10,000.000 | 23.090 | 194,450.74 | 244,700.00 | 230,900.00 | 36,449.26 |
| EAI: $10,000.00, EY: 4.33% | | | | | | |
| M ROYAL CARIBBEAN CRUISES COM USD0.01 (RCL) | 5,400.000 | 42.040 | 75,078.82 | 206,712.00 | 227,016.00 | 151,937.18 |
| EAI: $5,400.00, EY: 2.38% | | | | | | |
| M ABIOMED INC (ABMD) | 11,000.000 | 24.010 | 117,842.16 | 209,660.00 | 264,110.00 | 146,267.84 |
| M ACCURAY INC DEL COM (ARAY) | 27,262.000 | 6.755 | 174,171.09 | 179,053.56 | 184,154.81 | 9,983.72 |
| M ADOBE SYS INC (ADBE) | 5,000.000 | 54.220 | 131,237.95 | 259,700.00 | 271,100.00 | 139,862.05 |
| M AKAMAI TECH (AKAM) | 5,400.000 | 44.755 | 198,668.36 | 279,180.00 | 241,677.00 | 43,008.64 |
| M ALLSCRIPTS HEALTHCARE SOLUTIONS INC COM (MDRX) | 12,000.000 | 13.830 | 112,938.69 | 178,440.00 | 165,960.00 | 53,021.31 |
| M AMYRIS INC COM USD0.0001 (AMRS) | 83,000.000 | 2.520 | 262,865.54 | 145,530.00 | 209,160.00 | - 53,705.54 |
| M ANTARES PHARMA INC (ATRS) | 37,820.000 | 4.015 | 110,495.94 | 153,549.20 | 151,847.30 | 41,351.36 |
| M ARUBA NETWORKS INC (ARUN) | 11,500.000 | 18.750 | 165,666.57 | 191,360.00 | 215,625.00 | 49,958.43 |
| M BANK OF AMERICA CORP (BAC) | 13,000.000 | 13.970 | 164,558.53 | 179,400.00 | 181,610.00 | 17,051.47 |
| EAI: $520.00, EY: 0.29% | | | | | | |
| M BOSTON SCIENTIFIC CORP (BSX) | 19,000.000 | 11.690 | 175,958.73 | 223,060.00 | 222,110.00 | 46,151.27 |
| M BROADSOFT INC COM USD0.01 (BSFT) | 6,000.000 | 32.720 | 196,902.74 | 216,480.00 | 196,320.00 | - 582.74 |
| M CTC MEDIA INC COM (CTCM) | 13,200.000 | 12.640 | 61,943.23 | 138,732.00 | 166,848.00 | 104,904.77 |
| EAI: $8,448.00, EY: 5.06% | | | | | | |
| M CARBONITE INC COM USD0.01 (CARB) | 15,000.000 | 13.240 | 118,089.10 | 225,000.00 | 198,600.00 | 80,510.90 |
| M CHESAPEAKE ENERGY CORPORATION OKLAHOMA (CHK) | 7,000.000 | 27.960 | 146,544.60 | 181,160.00 | 195,720.00 | 49,175.40 |
| EAI: $2,450.00, EY: 1.25% | | | | | | |
| M CLEAN ENERGY FUELS CORP (CLNE) | 14,500.000 | 11.370 | 198,120.24 | 204,320.00 | 164,865.00 | - 33,255.24 |
| M CLEAR CHANNEL OUTDOOR HLDGS INC COM CL A (CCO) | 25,300.000 | 8.500 | 22,646.94 | 207,460.00 | 215,050.00 | 192,403.06 |

## Brokerage [redacted] CARL J DOMINO - INDIVIDUAL

| Holdings (Symbol) as of October 31, 2013 | Quantity October 31, 2013 | Price per Unit October 31, 2013 | Total Cost Basis/Proceeds | Total Value October 1, 2013 | Total Value October 31, 2013 | Unrealized Gain (Loss) October 31, 2013 |
|---|---|---|---|---|---|---|
| M CREE INC (CREE) | 6,000.000 | 60.740 | 169,922.57 | 361,140.00 | 364,440.00 | 194,517.43 |
| M D R HORTON INC (DHI) | 10,500.000 | 18.950 | 131,739.87 | 204,015.00 | 198,975.00 | 67,235.13 |
| M DENDREON CORP (DNDN) | 103,000.000 | 2.560 | 411,044.17 | 199,240.00 | 263,680.00 | - 147,364.17 |
| M E TRADE FINL CORP COM NEW (ETFC) | 12,000.000 | 16.910 | 116,982.74 | 198,000.00 | 202,920.00 | 85,937.26 |
| M EAGLE MATERIALS INC (EXP) | 3,600.000 | 75.010 | 83,270.15 | 261,180.00 | 270,036.00 | 186,765.85 |
| EAI: $1,440.00, EY: 0.53% | | | | | | |
| M 8X8 INC NEW (EGHT) | 24,000.000 | 11.441 | 241,396.85 | 171,190.00 | 274,584.00 | 33,187.15 |
| M FACEBOOK INC COM USD0.000006 CL A (FB) | 8,000.000 | 50.205 | 158,627.90 | 401,840.00 | 401,640.00 | 243,012.10 |
| M FORTINET INC COM USD0.001 (FTNT) | 9,500.000 | 20.130 | 200,926.37 | 192,470.00 | 191,235.00 | - 9,691.37 |
| M FUSION-IO COM USD0.0002 (FIO) | 19,000.000 | 10.750 | 317,516.73 | 301,275.00 | 204,250.00 | - 113,266.73 |
| M GENERAL MOTORS CO COM USD0.01 ISIN #US37045V1008 SEDOL #B3SMT78 (GM) | 8,400.000 | 36.950 | 235,358.16 | 302,148.00 | 310,380.00 | 75,021.84 |
| M GERON CORP (GERN) | 61,300.000 | 3.950 | 108,213.75 | 205,355.00 | 242,135.00 | 133,921.25 |
| M HANSEN MED INC COM (HNSN) | 97,000.000 | 1.810 | 207,143.34 | 155,730.00 | 175,570.00 | - 31,573.34 |
| M HEALTHWAYS INC COM (HWAY) | 11,300.000 | 9.620 | 97,186.42 | 209,163.00 | 108,706.00 | 11,519.58 |
| M HUNTINGTON BANCSHARES INC COM (HBAN) | 25,000.000 | 8.800 | 162,476.97 | 206,500.00 | 220,000.00 | 57,523.03 |
| EAI: $5,000.00, EY: 2.27% | | | | | | |
| M HUNTSMAN CORP (HUN) | 28,000.000 | 23.220 | 108,608.82 | 577,080.00 | 650,160.00 | 541,551.18 |
| EAI: $14,000.00, EY: 2.15% | | | | | | |
| M IGI LABORATORIES INC (IG) | 4,707.000 | 2.190 | 4,987.44 | 8,566.74 | 10,308.33 | 5,320.89 |
| M IMPATH INC | 31,500.000 | ——— | 497,556.35 | unavailable | unavailable | unavailable |
| M INFINITY PHARMACEUTICALS INC (INFI) | 16,500.000 | 13.550 | 290,605.89 | 252,735.00 | 223,575.00 | - 67,030.89 |
| M INFORMATICA CORP (INFA) | 5,000.000 | 38.600 | 132,806.20 | 194,850.00 | 193,000.00 | 60,193.80 |
| M INSPERITY INC COM (NSP) | 6,000.000 | 38.670 | 128,295.90 | 225,600.00 | 232,020.00 | 103,724.10 |
| EAI: $4,080.00, EY: 1.76% | | | | | | |
| M INTRALINKS HLDGS INC COM USD0.001 (IL) | 20,000.000 | 10.390 | 111,245.64 | 176,000.00 | 207,800.00 | 96,554.36 |
| M LEVEL 3 COMMUNICATIONS INC COM USD0.01(POST REV SPLIT) (LVLT) | 5,333.000 | 30.550 | 74,628.29 | 142,337.77 | 162,923.15 | 88,294.86 |
| M MGIC INVST CORP WIS COM (MTG) | 94,000.000 | 8.140 | 175,131.84 | 684,320.00 | 765,160.00 | 590,028.16 |
| M MGM RESORTS INTERNATIONAL (MGM) | 13,000.000 | 19.040 | 115,184.84 | 265,720.00 | 247,520.00 | 132,335.16 |
| M MAKO SURGICAL CORP COM (MAKO) | 6,000.000 | 29.810 | 67,213.91 | 354,120.00 | 178,860.00 | 111,646.09 |
| M MASTEC INC (MTZ) | 9,000.000 | 31.970 | 102,066.28 | 272,700.00 | 287,730.00 | 185,663.72 |

## Brokerage [redacted] CARL J DOMINO - INDIVIDUAL

| Holdings (Symbol) as of October 31, 2013 | Quantity October 31, 2013 | Price per Unit October 31, 2013 | Total Cost Basis/Proceeds | Total Value October 1, 2013 | Total Value October 31, 2013 | Unrealized Gain (Loss) October 31, 2013 |
|---|---|---|---|---|---|---|
| M MEDGENICS INC ORD USD0.0001 ISIN #US58436Q2030 SEDOL #B5VZQS8 (MDGN ) | 24,128.000 | 7.350 | 137,341.75 | 188,198.40 | 177,340.80 | 39,999.05 |
| M MOLYCORP INC DELAWARE COM USD0.001 (MCP ) | 60,000.000 | 5.070 | 464,936.17 | 282,080.00 | 304,200.00 | - 160,736.17 |
| M NEUROCRINE BIOSCIENCES INC (NBIX ) | 14,200.000 | 9.430 | 40,497.53 | 160,744.00 | 133,906.00 | 93,408.47 |
| NORTHERN TR CORP (NTRS ) EAI: $837.00, EY: 2.20% | 675.000 | 56.420 | unknown | 44,319.70 | 38,083.50 | unknown |
| M NUANCE COMMUNICATIONS INC COM (NUAN ) | 10,907.000 | 15.555 | 50,180.31 | 203,742.76 | 169,658.38 | 119,478.07 |
| M NUVERRA ENVIRONMENTAL SOLUTIONS INC COM (NES ) | 130,000.000 | 2.440 | 419,861.18 | 217,550.00 | 317,200.00 | - 102,661.18 |
| M OMNICELL INC (OMCL ) | 10,000.000 | 23.070 | 106,999.14 | 236,800.00 | 230,700.00 | 123,700.86 |
| M PANDORA MEDIA INC COM USD0.0001 (P ) | 11,000.000 | 25.130 | 205,490.05 | 276,430.00 | 276,430.00 | 70,939.95 |
| M PEREGRINE SEMICONDUCTOR CORP COM USD0.001 (PSMI ) | 26,000.000 | 8.140 | 275,779.96 | 206,310.00 | 211,640.00 | - 64,139.96 |
| M PREMIER ALLIANCE GROUP INC (PIMO ) | 15,000.000 | 0.601 | 10,815.90 | 9,450.00 | 9,015.00 | - 1,800.90 |
| M PULSE ELECTRONICS CORP COM NEW (PULS ) | 29,708.000 | 3.680 | 101,237.23 | 119,761.20 | 109,325.44 | 8,088.21 |
| M QUESTCOR PHAR INC (QCOR ) EAI: $7,200.00, EY: 1.96% | 6,000.000 | 61.370 | 132,815.75 | 348,000.00 | 368,220.00 | 235,404.25 |
| M SAREPTA THERAPEUTICS INC COM (SRPT ) | 6,000.000 | 38.915 | 148,651.61 | 283,380.00 | 233,490.00 | 84,838.39 |
| M SEATTLE GENETICS INC (SGEN ) | 7,000.000 | 38.650 | 164,493.30 | 306,810.00 | 270,550.00 | 106,056.70 |
| M SILICON GRAPHICS INTL CORP COM (SGI ) | 19,400.000 | 12.755 | 164,177.83 | 234,000.00 | 247,447.00 | 83,269.17 |
| M SIRIUS XM RADIO INC COM (SIRI ) | 140,000.000 | 3.765 | 37,870.49 | 542,500.00 | 527,100.00 | 489,229.51 |
| M SKYWORKS SOLUTIONS INC COM (SWKS ) | 13,400.000 | 25.800 | 76,070.92 | 332,856.00 | 345,720.00 | 269,649.08 |
| M STANDARD PAC CORP (SPF ) | 34,300.000 | 7.930 | 129,864.92 | 271,313.00 | 271,999.00 | 142,134.08 |
| M STEMLINE THERAPEUTICS INC COM USD0.0001 (STML ) | 8,100.000 | 27.830 | 253,065.63 | 185,713.60 | 225,423.00 | - 27,642.63 |
| M SUPER MICRO COMPUTER INC (SMCI ) | 22,509.000 | 13.920 | 255,991.52 | 304,771.86 | 313,325.28 | 57,333.76 |
| M TD AMERITRADE HLDG CORP COM (AMTD ) EAI: $4,800.00, EY: 1.76% | 10,000.000 | 27.260 | 129,234.10 | 261,800.00 | 272,600.00 | 143,365.90 |
| M TELESTONE TECHNOLOGIES CORP (TSTC ) | 88,000.000 | 0.240 | 128,215.80 | 34,799.00 | 21,120.00 | - 107,095.80 |
| M TELLABS INC (TLAB ) | 105,000.000 | 2.440 | 294,058.66 | 204,390.00 | 256,200.00 | - 37,858.66 |

**Brokerage** [redacted] CARL J DOMINO - INDIVIDUAL

| Holdings (Symbol) as of October 31, 2013 | Quantity October 31, 2013 | Price per Unit October 31, 2013 | Total Cost Basis/Proceeds | Total Value October 1, 2013 | Total Value October 31, 2013 | Unrealized Gain (Loss) October 31, 2013 |
|---|---|---|---|---|---|---|
| M TENET HEALTHCARE CORP COM NEW (THC ) | 8,125.000 | 47.190 | 60,287.50 | 334,668.75 | 383,418.75 | 323,131.25 |
| M UBIQUITI NETWORKS INC COM USD0.001 (UBNT ) | 13,040.000 | 38.580 | 135,412.36 | 471,603.60 | 503,083.20 | 367,670.84 |
| M VIOLIN MEMORY INC COM (VMEM ) | 33,000.000 | 6.650 | 242,705.77 | | 219,450.00 | - 23,255.77 |
| M VIRNETX HOLDING CORP COM STK USD0.0001 (VHC ) | 12,000.000 | 21.740 | 288,379.54 | 244,800.00 | 260,880.00 | - 27,499.54 |
| M VIVUS INC (VVUS ) | 14,000.000 | 9.390 | 113,923.22 | 130,200.00 | 131,460.00 | 17,536.78 |
| **Subtotal of Stocks** | | | **11,895,202.14** | | **17,331,425.94** | **5,895,696.65** |
| **Options *0% of holdings*** | | | | | | |
| M CALL (QCOR) QUESTCOR PHAR INC NOV 08 13 $65 (100 SHS) (QCOR131108C65 ) *SHT* | -30.000 | 0.950 | -14,458.37 | | -2,850.00 | 11,608.37 |
| M CALL (UBNT) UBIQUITI NETWORKS NOV 16 13 $45 (100 SHS) (UBNT131116C45 ) *SHT* | -30.000 | 1.900 | -5,788.52 | | -5,700.00 | 88.52 |
| M CALL (VHC) VIRNETX HOLDING CORP DEC 21 13 $27 (100 SHS) (VHC131221C27 ) *SHT* | -30.000 | 1.100 | -8,968.38 | -3,900.00 | -3,300.00 | 5,668.38 |
| **Subtotal of Options** | | | **-29,215.27** | | **-11,850.00** | **17,365.27** |
| **Other *5% of holdings*** | | | | | | |
| M AMERICAN INTL GROUP INC WT EXP 01/19/2021 (AIGWS ) | 24,121.000 | 21.330 | 282,253.14 | 457,092.95 | 514,500.93 | 232,247.79 |
| M PROLOGIS INC COM (PLD ) EAI: $12,499.20, EY: 2.80% | 11,160.000 | 39.950 | 96,658.25 | 419,839.20 | 445,842.00 | 349,183.75 |

Fidelity INVESTMENTS

## Investment Report

October 1, 2013 - October 31, 2013

## Brokerage [redacted] CARL J DOMINO - INDIVIDUAL

| Holdings (Symbol) as of October 31, 2013 | Quantity October 31, 2013 | Price per Unit October 31, 2013 | Total Cost Basis/Proceeds | Total Value October 1, 2013 | Total Value October 31, 2013 | Unrealized Gain (Loss) October 31, 2013 |
|---|---|---|---|---|---|---|
| **Subtotal of Other** | | | **378,911.39** | | **960,342.93** | **581,431.54** |
| **Total** | | | **12,244,898.26** | | **18,279,918.87** | **6,494,493.46u** |
| Debit balance | | | | - 7,814,151.33 | -8,372,233.68 | |
| **Total Net Value** | | | | | **$9,907,685.19** | |

*M - Position held in margin account.*

*All remaining positions held in cash account.*

*SHT - short position.*

*u - This may not reflect all of your gains/losses because of incomplete cost basis.*

*Cost Basis - the original amount paid for a security, including the amount of reinvested dividends and capital gains, plus or minus certain adjustments. See last page of statement for details. Total Cost Basis does not include the cost basis on core, money market or other positions where cost basis is unknown or not applicable.*

***Estimated Annual Income (EAI) & Estimated Yield (EY)*** *- EAI is an estimate of annual income for a specific security position over the next rolling 12 months. EY is calculated by dividing the current EAI for a security position by its statement closing date market value. EY reflects only the income generated by an investment; it does not reflect changes in its price, which may fluctuate. For certain types of securities, EAI and EY could include the return of principal or capital gains which would render them overstated. EAI and EY are estimates provided for informational purposes only and should not be relied on for making investment, trading, or tax decisions. There is no guarantee that your investments will actually generate the EAI or EY presented. Actual income and yield might be lower or higher. EAI and EY should not be confused with a security's 30-day Yield or 7-day Yield, if provided, as such yield quotations reflect the actual historical performance of a security.* ***For additional information, including calculation details, refer to the "Additional Information and Endnotes" section at the end of your statement.***

## Brokerage [redacted] CARL J DOMINO - INDIVIDUAL

**Estimated Cash Flow** rolling as of October 31, 2013

The table below presents the estimated monthly interest and dividend income and return of principal that your current holdings may generate over the next rolling 12 months. The cash flows displayed are estimates provided for informational purposes only and there is no guarantee that you will actually receive any of the amounts displayed. These estimates should not be relied upon for making investment, trading or tax decisions. The estimates for fixed rate domestic bond and CD income are calculated using the security's coupon rate. The estimates for domestic common stock and mutual fund income are calculated using an indicated annual dividend (IAD). The IAD is an estimate of a security's dividend payments for the next 12 months calculated based on prior and/or declared dividends for that security. IADs are sourced from third party vendors believed to be reliable, but no assurance can be made as to accuracy. There are circumstances in which these estimates will not be presented for a specific security you hold. **Please refer to Help/Glossary on Fidelity.com for additional information on these calculations.**

| Month | Bond & CD Income | Bond & CD Principal | Stock Income | Mutual Fund Income | Total Estimated Cash Flow |
|---|---|---|---|---|---|
| Nov 2013 | -- | -- | $1,560 | -- | $1,560 |
| Dec 2013 | -- | -- | $16,547 | -- | $16,547 |
| Jan 2014 | -- | -- | $5,582 | -- | $5,582 |
| Feb 2014 | -- | -- | $1,200 | -- | $1,200 |
| Mar 2014 | -- | -- | $16,547 | -- | $16,547 |
| Apr 2014 | -- | -- | $5,222 | -- | $5,222 |
| May 2014 | -- | -- | $4,060 | -- | $4,060 |
| Jun 2014 | -- | -- | $14,047 | -- | $14,047 |
| Jul 2014 | -- | -- | $5,582 | -- | $5,582 |
| Aug 2014 | -- | -- | $1,200 | -- | $1,200 |
| Sep 2014 | -- | -- | $16,547 | -- | $16,547 |
| Oct 2014 | -- | -- | $5,222 | -- | $5,222 |
| **Total** | -- | -- | **$93,316** | -- | **$93,316** |

-- *not available*

***Bond & CD Income*** *includes interest payments for fixed rate bonds and Certificates of Deposit (CDs).*
***Bond & CD Principal*** *includes maturing principal payments for CDs and the following bonds: fixed rate, floating rate, variable rate, discount, and zero coupon.*
***Stock Income*** *includes estimated dividend payments for common stock, ADR's, and REITs.*
***Mutual Fund Income*** *includes estimated dividend payments for Fidelity and non-Fidelity mutual funds.*

***The table above does not include cash flow from the following securities:*** *preferred stocks, international stocks, exchange trade products (ETF's & ETN's), UITs, variable rate bonds, and international bonds, but may be included in future enhancements.*

Fidelity INVESTMENTS

# Investment Report

October 1, 2013 - October 31, 2013

## Brokerage [redacted] CARL J DOMINO - INDIVIDUAL

## Transaction Details

(for holdings with activity this period)

### Brokerage Activity

| Settlement Date | Security | Description | Quantity | Price per Unit | Cost Basis of Close | Transaction Amount |
|---|---|---|---|---|---|---|
| 10/01 | 8X8 INC NEW | You bought | 100.000 | $10.73000 | | -$1,073.00 |
| 10/01 | 8X8 INC NEW | You bought<br>Transaction cost: -$7.95 | 700.000 | 10.72000 | | -7,511.95 |
| 10/01 | 8X8 INC NEW | You bought | 1,200.000 | 10.72760 | | -12,873.12 |
| 10/01 | HUNTINGTON BANCSHARES INC COM | Dividend received | | | | 1,250.00 |
| 10/01 | NORTHERN TR CORP | Dividend received | | | | 252.65 |
| 10/01 | SAVIENT PHARMACEUTICALS INC | You sold<br>Transaction cost: -$7.97<br>*Short-term loss: $145.34** | -1,262.000 | 0.65000 | $957.67f | 812.33 |
| 10/01 | SUNEDISON INC COM USD0.01 | You sold<br>Transaction cost: -$0.19<br>*Short-term gain: $4,837.50** | -1,300.000 | 8.23130 | 5,863.00f | 10,700.50 |
| 10/01 | SUNEDISON INC COM USD0.01 | You sold<br>Transaction cost: -$8.06<br>*Short-term gain: $2,599.44** | -700.000 | 8.23500 | 3,157.00f | 5,756.44 |
| 10/02 | 8X8 INC NEW | You bought<br>Transaction cost: -$7.95 | 2,000.000 | 10.61600 | | -21,239.95 |
| 10/02 | PROTALIX BIOTHERAPEUTICS INC | You sold<br>Transaction cost: -$8.19<br>*Long-term loss: $2,610.48** | -3,000.000 | 4.56100 | 16,285.29f | 13,674.81 |
| 10/02 | VIOLIN MEMORY INC COM | You bought<br>Transaction cost: -$7.95 | 7,000.000 | 7.48000 | | -52,367.95 |
| 10/03 | DENDREON CORP | You bought<br>Transaction cost: -$7.95 | 10,000.000 | 2.95000 | | -29,507.95 |
| 10/03 | 8X8 INC NEW | You bought<br>Transaction cost: -$7.95 | 3,000.000 | 9.92000 | | -29,767.95 |

Fidelity INVESTMENTS

# Investment Report

October 1, 2013 - October 31, 2013

## Brokerage [redacted] Transaction Details

CARL J DOMINO - INDIVIDUAL

### Brokerage Activity

| Settlement Date | Security | Description | Quantity | Price per Unit | Cost Basis of Close | Transaction Amount |
|---|---|---|---|---|---|---|
| 10/03 | VIOLIN MEMORY INC COM | You bought<br>Transaction cost: -$7.95 | 1,000.000 | 7.15870 | | -7,166.65 |
| 10/03 | VIOLIN MEMORY INC COM | You bought<br>Transaction cost: -$40.00 | 4,000.000 | 7.36730 | | -29,509.20 |
| 10/03 | VIOLIN MEMORY INC COM | You bought<br>Transaction cost: -$10.00 | 5,000.000 | 7.29870 | | -36,503.50 |
| 10/03 | VIOLIN MEMORY INC COM | You bought | 5,000.000 | 7.33610 | | -36,680.50 |
| 10/04 | CLEAN ENERGY FUELS CORP | You sold<br>Transaction cost: -$0.22<br>*Long-term loss: $1,916.14* | -900.000 | 13.73000 | 14,272.92f | 12,356.78 |
| 10/04 | CLEAN ENERGY FUELS CORP | You sold<br>Transaction cost: -$0.08<br>*Long-term loss: $519.50* | -295.000 | 13.72060 | 4,567.00f | 4,047.50 |
| 10/04 | CLEAN ENERGY FUELS CORP | You sold<br>Transaction cost: -$0.05<br>*Long-term loss: $350.32* | -200.000 | 13.73000 | 3,096.27f | 2,745.95 |
| 10/04 | CLEAN ENERGY FUELS CORP | You sold<br>Transaction cost: -$7.98<br>*Long-term loss: $221.07* | -100.000 | 13.73000 | 1,586.09f | 1,365.02 |
| 10/04 | CLEAN ENERGY FUELS CORP | You sold<br>Transaction cost: -$0.01<br>*Long-term loss: $10.66* | -5.000 | 13.73000 | 79.30f | 68.64 |
| 10/04 | MAKO SURGICAL CORP COM | You sold<br>Transaction cost: -$8.98<br>*Long-term gain: $32,620.68* | -2,000.000 | 29.55000 | 26,470.34f | 59,091.02 |
| 10/04 | NUVERRA ENVIRONMENTAL SOLUTIONS INC COM | You bought | 200.000 | 2.30800 | | -461.60 |

## Brokerage [redacted] CARL J DOMINO - INDIVIDUAL
## Transaction Details

### Brokerage Activity

| Settlement Date | Security | Description | Quantity | Price per Unit | Cost Basis of Close | Transaction Amount |
|---|---|---|---|---|---|---|
| 10/04 | NUVERRA ENVIRONMENTAL SOLUTIONS INC COM | You bought<br>Transaction cost: -$7.95 | 4,800.000 | 2.30850 | | -11,088.75 |
| 10/04 | VIOLIN MEMORY INC COM | You bought<br>Transaction cost: -$7.95 | 3,000.000 | 7.58740 | | -22,770.15 |
| 10/07 | HANSEN MED INC COM | You sold<br>Transaction cost: -$0.07<br>*Long-term loss: $3,034.07*<br>*Long-term disallowed loss: $3,034.07*<br>*Wash sale of 10/02/13: $3,034.07* | -2,000.000 | 1.99100 | 7,016.00f | 3,981.93 |
| 10/07 | HANSEN MED INC COM | You sold<br>Transaction cost: -$7.99<br>*Long-term loss: $1,680.59*<br>*Long-term disallowed loss: $1,680.59*<br>*Wash sale of 10/02/13: $1,680.59* | -1,100.000 | 1.99100 | 3,862.70f | 2,182.11 |
| 10/07 | HANSEN MED INC COM | You sold<br>Transaction cost: -$0.04<br>*Long-term loss: $1,517.89*<br>*Long-term disallowed loss: $1,517.89*<br>*Wash sale of 10/02/13: $1,517.89* | -1,000.000 | 1.99100 | 3,508.85f | 1,990.96 |
| 10/07 | HANSEN MED INC COM | You sold<br>Transaction cost: -$0.02<br>*Long-term loss: $606.42*<br>*Long-term disallowed loss: $606.42*<br>*Wash sale of 10/02/13: $606.42* | -400.000 | 1.99200 | 1,403.20f | 796.78 |
| 10/07 | HANSEN MED INC COM | You sold<br>Transaction cost: -$0.01<br>*Long-term loss: $303.41*<br>*Long-term disallowed loss: $303.41*<br>*Wash sale of 10/02/13: $303.41* | -200.000 | 1.99100 | 701.60f | 398.19 |

## Brokerage [redacted] Transaction Details

CARL J DOMINO - INDIVIDUAL

### Brokerage Activity

| Settlement Date | Security | Description | Quantity | Price per Unit | Cost Basis of Close | Transaction Amount |
|---|---|---|---|---|---|---|
| 10/07 | HANSEN MED INC COM | You sold<br>Transaction cost: -$0.01<br>*Long-term loss: $151.56*<br>*Long-term disallowed loss: $151.56*<br>*Wash sale of 10/02/13: $151.56* | -100.000 | 1.99200 | 350.75f | 199.19 |
| 10/07 | HANSEN MED INC COM | You sold<br>Transaction cost: -$0.01<br>*Long-term loss: $151.71*<br>*Long-term disallowed loss: $151.71*<br>*Wash sale of 10/02/13: $151.71* | -100.000 | 1.99100 | 350.80f | 199.09 |
| 10/07 | HANSEN MED INC COM | You sold<br>Transaction cost: -$0.01<br>*Long-term loss: $151.85*<br>*Long-term disallowed loss: $151.85*<br>*Wash sale of 10/02/13: $151.85* | -100.000 | 1.99060 | 350.90f | 199.05 |
| 10/07 | MAKO SURGICAL CORP COM | You sold<br>Transaction cost: -$0.93<br>*Long-term gain: $29,437.76* | -1,800.000 | 29.57900 | 23,803.51f | 53,241.27 |
| 10/07 | MAKO SURGICAL CORP COM | You sold<br>Transaction cost: -$8.06<br>*Long-term gain: $3,259.98* | -200.000 | 29.57010 | 2,645.98f | 5,905.96 |
| 10/07 | MOLYCORP INC DELAWARE COM USD0.001 | You sold<br>Transaction cost: -$8.34<br>*Long-term loss: $11,617.85*<br>*Long-term disallowed loss: $11,617.85*<br>*Wash sale of 10/02/13: $11,617.85* | -3,000.000 | 7.44130 | 33,933.41f | 22,315.56 |
| 10/08 | ROYAL CARIBBEAN CRUISES COM USD0.01 | Dividend received | | | | 1,350.00 |

## Brokerage [redacted] Transaction Details

CARL J DOMINO - INDIVIDUAL

### Brokerage Activity

| Settlement Date | Security | Description | Quantity | Price per Unit | Cost Basis of Close | Transaction Amount |
|---|---|---|---|---|---|---|
| 10/08 | NORTHERN TR CORP #XDVM281084310130015 635-014129-028 VALUE OF TRANSACTION $5,241.00 | Delivered to you | -100.000 | 52.41000 | | 0.00 |
| 10/08 | NORTHERN TR CORP #XDVM281085552135198 5H402000 VALUE OF TRANSACTION $1,048.20 | Delivered to you | -20.000 | 52.41000 | | 0.00 |
| 10/08 | NUVERRA ENVIRONMENTAL SOLUTIONS INC COM | You bought Transaction cost: -$1.00 | 100.000 | 2.31500 | | -232.50 |
| 10/08 | NUVERRA ENVIRONMENTAL SOLUTIONS INC COM | You bought Transaction cost: -$1.00 | 100.000 | 2.33800 | | -234.80 |
| 10/08 | NUVERRA ENVIRONMENTAL SOLUTIONS INC COM | You bought Transaction cost: -$2.00 | 200.000 | 2.33750 | | -469.50 |
| 10/08 | NUVERRA ENVIRONMENTAL SOLUTIONS INC COM | You bought Transaction cost: -$3.00 | 300.000 | 2.31500 | | -697.50 |
| 10/08 | NUVERRA ENVIRONMENTAL SOLUTIONS INC COM | You bought Transaction cost: -$11.00 | 1,100.000 | 2.31800 | | -2,560.80 |
| 10/08 | NUVERRA ENVIRONMENTAL SOLUTIONS INC COM | You bought Transaction cost: -$35.00 | 3,500.000 | 2.31990 | | -8,154.65 |
| 10/08 | NUVERRA ENVIRONMENTAL SOLUTIONS INC COM | You bought Transaction cost: -$47.00 | 4,700.000 | 2.33990 | | -11,044.53 |
| 10/08 | NUVERRA ENVIRONMENTAL SOLUTIONS INC COM | You bought Transaction cost: -$57.95 | 15,000.000 | 2.33850 | | -35,135.45 |

## Brokerage [redacted] CARL J DOMINO - INDIVIDUAL
## Transaction Details

### Brokerage Activity

| Settlement Date | Security | Description | Quantity | Price per Unit | Cost Basis of Close | Transaction Amount |
|---|---|---|---|---|---|---|
| 10/09 | ACCURAY INC DEL COM | You bought<br>Transaction cost: -$7.95 | 3,000.000 | 7.50990 | | -22,537.65 |
| 10/09 | DENDREON CORP | You bought | 100.000 | 2.77850 | | -277.85 |
| 10/09 | DENDREON CORP | You bought<br>Transaction cost: -$7.95 | 1,800.000 | 2.77800 | | -5,008.35 |
| 10/09 | DENDREON CORP | You bought | 3,100.000 | 2.77510 | | -8,602.81 |
| 10/09 | PROTALIX BIOTHERAPEUTICS INC | You sold<br>Transaction cost: -$8.18<br>*Long-term loss: $3,529.79* | -3,000.000 | 4.38000 | 16,661.61f | 13,131.82 |
| 10/09 | PULSE ELECTRONICS CORP COM NEW | You sold<br>Transaction cost: -$0.04<br>*Short-term gain: $72.45*<br>*Long-term loss: $219.22* | -500.000 | 4.02000 | 2,156.73f | 2,009.96 |
| 10/09 | PULSE ELECTRONICS CORP COM NEW | You sold<br>Transaction cost: -$0.03<br>*Long-term loss: $1,278.39* | -400.000 | 4.02000 | 2,886.36f | 1,607.97 |
| 10/09 | PULSE ELECTRONICS CORP COM NEW | You sold<br>Transaction cost: -$7.96<br>*Long-term loss: $691.94* | -100.000 | 4.02000 | 1,085.98f | 394.04 |
| 10/09 | SAVIENT PHARMACEUTICALS INC | You sold<br>Transaction cost: -$8.01<br>*Short-term loss: $662.25* | -5,000.000 | 0.62800 | 3,794.24f | 3,131.99 |
| 10/09 | VIOLIN MEMORY INC COM | You bought<br>Transaction cost: -$7.95 | 100.000 | 7.54000 | | -761.95 |
| 10/09 | VIOLIN MEMORY INC COM | You bought | 2,900.000 | 7.56480 | | -21,937.92 |
| 10/10 | AMARIN CORP ADR EACH REP 1 ORD GBP0.50 | You sold<br>Transaction cost: -$0.28<br>*Long-term loss: $10,673.53* | -2,200.000 | 7.28000 | 26,689.25f | 16,015.72 |

## Brokerage [redacted] CARL J DOMINO - INDIVIDUAL
## Transaction Details

### Brokerage Activity

| Settlement Date | Security | Description | Quantity | Price per Unit | Cost Basis of Close | Transaction Amount |
|---|---|---|---|---|---|---|
| 10/10 | AMARIN CORP ADR EACH REP 1 ORD GBP0.50 | You sold<br>Transaction cost: -$0.07<br>*Long-term loss: $2,387.82* | -500.000 | 7.28200 | 6,028.75f | 3,640.93 |
| 10/10 | AMARIN CORP ADR EACH REP 1 ORD GBP0.50 | You sold<br>Transaction cost: -$0.02<br>*Long-term loss: $477.27* | -100.000 | 7.28500 | 1,205.75f | 728.48 |
| 10/10 | AMARIN CORP ADR EACH REP 1 ORD GBP0.50 | You sold<br>Transaction cost: -$0.02<br>*Long-term loss: $477.77* | -100.000 | 7.28000 | 1,205.75f | 727.98 |
| 10/10 | AMARIN CORP ADR EACH REP 1 ORD GBP0.50 | You sold<br>Transaction cost: -$7.97<br>*Long-term loss: $504.77* | -100.000 | 7.28200 | 1,225.00f | 720.23 |
| 10/11 | DENDREON CORP | You bought | 100.000 | 2.59850 | | -259.85 |
| 10/11 | DENDREON CORP | You bought<br>Transaction cost: -$7.95 | 4,900.000 | 2.59990 | | -12,747.46 |
| 10/11 | FUSION-IO COM USD0.0002 | You sold<br>Transaction cost: -$8.59<br>*Long-term loss: $38,153.04*<br>*Long-term disallowed loss: $38,153.04*<br>*Wash sale of 10/08/13: $38,153.04* | -2,500.000 | 14.64260 | 74,750.95f | 36,597.91 |
| 10/11 | HANSEN MED INC COM | You bought | 100.000 | 1.99950 | | -199.95 |
| 10/11 | HANSEN MED INC COM | You bought<br>Transaction cost: -$7.95 | 100.000 | 2.02600 | | -210.55 |
| 10/11 | HANSEN MED INC COM | You bought | 200.000 | 2.03000 | | -406.00 |
| 10/11 | HANSEN MED INC COM | You bought | 1,500.000 | 1.99900 | | -2,998.50 |
| 10/11 | HANSEN MED INC COM | You bought | 3,400.000 | 1.99800 | | -6,793.20 |

## Brokerage [redacted] CARL J DOMINO - INDIVIDUAL
## Transaction Details

### Brokerage Activity

| Settlement Date | Security | Description | Quantity | Price per Unit | Cost Basis of Close | Transaction Amount |
|---|---|---|---|---|---|---|
| 10/11 | HANSEN MED INC COM | You bought | 4,700.000 | 2.04000 | | -9,588.00 |
| 10/11 | MAKO SURGICAL CORP COM | You sold<br>Transaction cost: -$0.26<br>*Long-term gain: $8,208.74* | -500.000 | 29.63000 | 6,606.00f | 14,814.74 |
| 10/11 | MAKO SURGICAL CORP COM | You sold<br>Transaction cost: -$0.16<br>*Long-term gain: $4,925.24* | -300.000 | 29.63000 | 3,963.60f | 8,888.84 |
| 10/11 | MAKO SURGICAL CORP COM | You sold<br>Transaction cost: -$0.16<br>*Long-term gain: $4,925.24* | -300.000 | 29.63000 | 3,963.60f | 8,888.84 |
| 10/11 | MAKO SURGICAL CORP COM | You sold<br>Transaction cost: -$0.11<br>*Long-term gain: $3,283.49* | -200.000 | 29.63000 | 2,642.40f | 5,925.89 |
| 10/11 | MAKO SURGICAL CORP COM | You sold<br>Transaction cost: -$8.06<br>*Long-term gain: $3,275.54* | -200.000 | 29.63000 | 2,642.40f | 5,917.94 |
| 10/11 | MAKO SURGICAL CORP COM | You sold<br>Transaction cost: -$0.11<br>*Long-term gain: $3,201.40* | -195.000 | 29.63000 | 2,576.34f | 5,777.74 |
| 10/11 | MAKO SURGICAL CORP COM | You sold<br>Transaction cost: -$0.06<br>*Long-term gain: $1,723.83* | -105.000 | 29.63000 | 1,387.26f | 3,111.09 |
| 10/11 | MAKO SURGICAL CORP COM | You sold<br>Transaction cost: -$0.06<br>*Long-term gain: $1,641.74* | -100.000 | 29.63000 | 1,321.20f | 2,962.94 |
| 10/11 | MAKO SURGICAL CORP COM | You sold<br>Transaction cost: -$0.06<br>*Long-term gain: $1,641.74* | -100.000 | 29.63000 | 1,321.20f | 2,962.94 |

## Brokerage [redacted] CARL J DOMINO - INDIVIDUAL
## Transaction Details

### Brokerage Activity

| Settlement Date | Security | Description | Quantity | Price per Unit | Cost Basis of Close | Transaction Amount |
|---|---|---|---|---|---|---|
| 10/15 | CALL (CREE)<br>CREE INC<br>OCT 25 13 $75 (100 SHS)<br>OPENING TRANSACTION | You sold<br>Transaction cost: -$27.62 | -25.000 | 3.35000 | 0.00 | 8,347.38 |
| 10/15 | CALL (CREE)<br>CREE INC<br>OCT 25 13 $75 (100 SHS)<br>OPENING TRANSACTION | You sold<br>Transaction cost: -$3.93 | -5.000 | 3.39000 | 0.00 | 1,691.07 |
| 10/15 | NORTHERN TR CORP<br>#XDVM287092713132669<br>23-40773 VALUE OF<br>TRANSACTION $1,089.60 | Delivered to you | -20.000 | 54.48000 | | 0.00 |
| 10/15 | CALL (QCOR)<br>QUESTCOR PHAR INC<br>NOV 08 13 $65 (100 SHS)<br>OPENING TRANSACTION | You sold<br>Transaction cost: -$31.63 | -30.000 | 4.83000 | 0.00 | 14,458.37 |
| 10/15 | CALL (UBNT)<br>UBIQUITI NETWORKS<br>NOV 16 13 $45 (100 SHS)<br>OPENING TRANSACTION | You sold<br>Transaction cost: -$31.48 | -30.000 | 1.94000 | 0.00 | 5,788.52 |
| 10/15 | VIOLIN MEMORY INC<br>COM | You bought<br>Transaction cost: -$7.95 | 5,000.000 | 7.00000 | | -35,007.95 |
| 10/17 | FUSION-IO COM<br>USD0.0002 | You sold<br>Transaction cost: -$8.39<br>*Long-term loss: $22,377.30*<br>*Long-term disallowed loss: $3,900.96*<br>*Wash sale of 10/14/13: $3,900.96* | -1,800.000 | 13.78140 | 47,175.43f | 24,798.13 |
| 10/17 | FUSION-IO COM<br>USD0.0002 | You sold<br>Transaction cost: -$0.03<br>*Long-term loss: $1,170.62*<br>*Long-term disallowed loss: $1,170.62*<br>*Wash sale of 10/14/13: $1,170.62* | -100.000 | 13.78840 | 2,549.43f | 1,378.81 |

## Brokerage [redacted] CARL J DOMINO - INDIVIDUAL
## Transaction Details

### Brokerage Activity

| Settlement Date | Security | Description | Quantity | Price per Unit | Cost Basis of Close | Transaction Amount |
|---|---|---|---|---|---|---|
| 10/17 | FUSION-IO COM USD0.0002 | You sold<br>Transaction cost: -$0.03<br>*Long-term loss: $1,171.47*<br>*Long-term disallowed loss: $1,171.47*<br>*Wash sale of 10/14/13: $1,171.47* | -100.000 | 13.78000 | 2,549.44f | 1,377.97 |
| 10/17 | PROTALIX BIOTHERAPEUTICS INC | You sold<br>Transaction cost: -$8.25<br>*Long-term loss: $4,950.65* | -4,000.000 | 4.27000 | 22,022.40f | 17,071.75 |
| 10/17 | SAVIENT PHARMACEUTICALS INC | You sold<br>Transaction cost: -$7.98<br>*Short-term loss: $476.06* | -2,348.000 | 0.55950 | 1,781.78f | 1,305.72 |
| 10/17 | SILICON GRAPHICS INTL CORP COM | You bought | 100.000 | 14.03000 | | -1,403.00 |
| 10/17 | SILICON GRAPHICS INTL CORP COM | You bought<br>Transaction cost: -$7.95 | 2,900.000 | 14.01000 | | -40,636.95 |
| 10/17 | STEMLINE THERAPEUTICS INC COM USD0.0001 | You bought<br>Transaction cost: -$7.95 | 1,000.000 | 35.27950 | | -35,287.45 |
| 10/17 | UBIQUITI NETWORKS INC COM USD0.001 | You sold<br>Transaction cost: -$8.63<br>*Long-term gain: $30,535.19* | -1,000.000 | 38.96120 | 8,417.38f | 38,952.57 |
| 10/18 | WIRE FEE WD45744722 | Journaled | | | | -30.00 |
| 10/18 | MARGIN TO CASH A/C | Journaled | | | | -50,030.00 |
| 10/18 | MARGIN TO CASH A/C | Journaled | | | | 50,030.00 |
| 10/18 | INFINITY PHARMACEUTICALS INC | You sold<br>Transaction cost: -$8.19<br>*Short-term loss: $4,562.01*<br>*Short-term disallowed loss: $4,562.01*<br>*Wash sale of 10/15/13: $4,562.01* | -900.000 | 14.78020 | 17,856.00f | 13,293.99 |

## Brokerage [redacted] Transaction Details

CARL J DOMINO - INDIVIDUAL

### Brokerage Activity

| Settlement Date | Security | Description | Quantity | Price per Unit | Cost Basis of Close | Transaction Amount |
|---|---|---|---|---|---|---|
| 10/18 | INFINITY PHARMACEUTICALS INC | You sold<br>Transaction cost: -$0.03<br>*Short-term loss: $505.63*<br>*Short-term disallowed loss: $505.63*<br>*Wash sale of 10/15/13: $505.63* | -100.000 | 14.78400 | 1,984.00*f* | 1,478.37 |
| 10/21 | FUSION-IO COM USD0.0002 | You sold<br>Transaction cost: -$0.41<br>*Long-term loss: $19,844.59* | -1,700.000 | 13.82130 | 43,340.39*f* | 23,495.80 |
| 10/21 | FUSION-IO COM USD0.0002 | You sold<br>Transaction cost: -$0.03<br>*Long-term loss: $1,167.21* | -100.000 | 13.82260 | 2,549.44*f* | 1,382.23 |
| 10/21 | FUSION-IO COM USD0.0002 | You sold<br>Transaction cost: -$0.03<br>*Long-term loss: $1,167.21* | -100.000 | 13.82250 | 2,549.43*f* | 1,382.22 |
| 10/21 | FUSION-IO COM USD0.0002 | You sold<br>Transaction cost: -$7.98<br>*Long-term loss: $1,175.41* | -100.000 | 13.82010 | 2,549.44*f* | 1,374.03 |
| 10/22 | AMARIN CORP ADR EACH REP 1 ORD GBP0.50 | You sold<br>Transaction cost: -$90.50<br>*Short-term loss: $69,839.89*<br>*Long-term loss: $31,348.17* | -14,000.000 | 2.05000 | 129,797.56*f* | 28,609.50 |
| 10/22 | AMARIN CORP ADR EACH REP 1 ORD GBP0.50 | You sold<br>Transaction cost: -$8.12<br>*Short-term loss: $17,250.96*<br>*Long-term loss: $30,363.52* | -5,000.000 | 1.93010 | 57,256.86*f* | 9,642.38 |
| 10/22 | DENDREON CORP | You bought<br>Transaction cost: -$2.00 | 200.000 | 2.46800 | | -495.60 |
| 10/22 | DENDREON CORP | You bought<br>Transaction cost: -$4.00 | 400.000 | 2.46500 | | -990.00 |
| 10/22 | DENDREON CORP | You bought | 1,300.000 | 2.44000 | | -3,172.00 |

## Brokerage [redacted] CARL J DOMINO - INDIVIDUAL
## Transaction Details

### Brokerage Activity

| Settlement Date | Security | Description | Quantity | Price per Unit | Cost Basis of Close | Transaction Amount |
|---|---|---|---|---|---|---|
| 10/22 | DENDREON CORP | You bought<br>Transaction cost: -$7.95 | 2,200.000 | 2.43500 | | -5,364.95 |
| 10/22 | DENDREON CORP | You bought | 2,800.000 | 2.43990 | | -6,831.72 |
| 10/22 | DENDREON CORP | You bought | 3,700.000 | 2.43000 | | -8,991.00 |
| 10/22 | DENDREON CORP | You bought<br>Transaction cost: -$44.00 | 4,400.000 | 2.47000 | | -10,912.00 |
| 10/22 | TELLABS INC | You bought | 100.000 | 2.29970 | | -229.97 |
| 10/22 | TELLABS INC | You bought | 1,116.000 | 2.32000 | | -2,589.12 |
| 10/22 | TELLABS INC | You bought<br>Transaction cost: -$25.00 | 2,500.000 | 2.33720 | | -5,868.00 |
| 10/22 | TELLABS INC | You bought<br>Transaction cost: -$25.00 | 2,500.000 | 2.34000 | | -5,875.00 |
| 10/22 | TELLABS INC | You bought | 3,884.000 | 2.31000 | | -8,972.04 |
| 10/22 | TELLABS INC | You bought<br>Transaction cost: -$7.95 | 4,900.000 | 2.30000 | | -11,277.95 |
| 10/24 | AMYRIS INC COM USD0.0001 | You bought | 84.000 | 2.58000 | | -216.72 |
| 10/24 | AMYRIS INC COM USD0.0001 | You bought<br>Transaction cost: -$1.00 | 100.000 | 2.61000 | | -262.00 |
| 10/24 | AMYRIS INC COM USD0.0001 | You bought | 200.000 | 2.58500 | | -517.00 |
| 10/24 | AMYRIS INC COM USD0.0001 | You bought<br>Transaction cost: -$3.00 | 300.000 | 2.63000 | | -792.00 |
| 10/24 | AMYRIS INC COM USD0.0001 | You bought | 309.000 | 2.59000 | | -800.31 |
| 10/24 | AMYRIS INC COM USD0.0001 | You bought<br>Transaction cost: -$7.95 | 2,116.000 | 2.57000 | | -5,446.07 |
| 10/24 | AMYRIS INC COM USD0.0001 | You bought | 2,800.000 | 2.58750 | | -7,245.00 |
| 10/24 | AMYRIS INC COM USD0.0001 | You bought<br>Transaction cost: -$43.00 | 4,300.000 | 2.62750 | | -11,341.25 |

## Brokerage [redacted] CARL J DOMINO - INDIVIDUAL
## Transaction Details

### Brokerage Activity

| Settlement Date | Security | Description | Quantity | Price per Unit | Cost Basis of Close | Transaction Amount |
|---|---|---|---|---|---|---|
| 10/24 | AMYRIS INC COM USD0.0001 | You bought<br>Transaction cost: -$3.00 | 4,791.000 | 2.60000 | | -12,459.60 |
| 10/24 | AMYRIS INC COM USD0.0001 | You bought<br>Transaction cost: -$50.00 | 5,000.000 | 2.59750 | | -13,037.50 |
| 10/24 | HANSEN MED INC COM | You bought<br>Transaction cost: -$7.95 | 600.000 | 2.03800 | | -1,230.75 |
| 10/24 | HANSEN MED INC COM | You bought | 4,400.000 | 2.03800 | | -8,967.20 |
| 10/24 | INFINITY PHARMACEUTICALS INC | You bought | 100.000 | 13.93000 | | -1,393.00 |
| 10/24 | INFINITY PHARMACEUTICALS INC | You bought<br>Transaction cost: -$7.95 | 400.000 | 13.92000 | | -5,575.95 |
| 10/24 | INFINITY PHARMACEUTICALS INC | You bought | 2,500.000 | 13.97000 | | -34,925.00 |
| 10/24 | MOLYCORP INC DELAWARE COM USD0.001 | You bought<br>Transaction cost: -$1.00 | 100.000 | 5.24500 | | -525.50 |
| 10/24 | MOLYCORP INC DELAWARE COM USD0.001 | You bought | 400.000 | 5.21800 | | -2,087.20 |
| 10/24 | MOLYCORP INC DELAWARE COM USD0.001 | You bought<br>Transaction cost: -$6.00 | 600.000 | 5.24930 | | -3,155.58 |
| 10/24 | MOLYCORP INC DELAWARE COM USD0.001 | You bought<br>Transaction cost: -$10.00 | 1,000.000 | 5.24800 | | -5,258.00 |
| 10/24 | MOLYCORP INC DELAWARE COM USD0.001 | You bought<br>Transaction cost: -$33.00 | 3,300.000 | 5.24860 | | -17,353.38 |
| 10/24 | MOLYCORP INC DELAWARE COM USD0.001 | You bought | 4,600.000 | 5.21860 | | -24,005.56 |

## Brokerage [redacted] CARL J DOMINO - INDIVIDUAL
## Transaction Details

### Brokerage Activity

| Settlement Date | Security | Description | Quantity | Price per Unit | Cost Basis of Close | Transaction Amount |
|---|---|---|---|---|---|---|
| 10/24 | MOLYCORP INC DELAWARE COM USD0.001 | You bought<br>Transaction cost: -$7.95 | 5,000.000 | 5.22800 | | -26,147.95 |
| 10/24 | MOLYCORP INC DELAWARE COM USD0.001 | You bought<br>Transaction cost: -$50.00 | 5,000.000 | 5.23500 | | -26,225.00 |
| 10/24 | NUVERRA ENVIRONMENTAL SOLUTIONS INC COM | You bought<br>Transaction cost: -$7.95 | 5,000.000 | 2.29990 | | -11,507.45 |
| 10/24 | PEREGRINE SEMICONDUCTOR CORP COM USD0.001 | You bought<br>Transaction cost: -$7.95 | 3,000.000 | 8.56990 | | -25,717.65 |
| 10/24 | SILICON GRAPHICS INTL CORP COM | You bought | 100.000 | 14.54990 | | -1,454.99 |
| 10/24 | SILICON GRAPHICS INTL CORP COM | You bought | 100.000 | 14.55600 | | -1,455.60 |
| 10/24 | SILICON GRAPHICS INTL CORP COM | You bought<br>Transaction cost: -$7.95 | 200.000 | 14.54500 | | -2,916.95 |
| 10/24 | SILICON GRAPHICS INTL CORP COM | You bought | 1,600.000 | 14.55000 | | -23,280.00 |
| 10/24 | STEMLINE THERAPEUTICS INC COM USD0.0001 | You bought<br>Transaction cost: -$7.95 | 1,000.000 | 32.95990 | | -32,967.85 |
| 10/25 | CALL (CREE) CREE INC OCT 25 13 $75 (100 SHS) | Expired<br>*Short-term gain: $10,038.45* | 30.000 | | -10,038.45f | 0.00 |
| 10/25 | STEMLINE THERAPEUTICS INC COM USD0.0001 | You bought | 100.000 | 28.10000 | | -2,810.00 |
| 10/25 | STEMLINE THERAPEUTICS INC COM USD0.0001 | You bought | 100.000 | 28.44000 | | -2,844.00 |

# Brokerage [redacted] CARL J DOMINO - INDIVIDUAL
# Transaction Details

## Brokerage Activity

| Settlement Date | Security | Description | Quantity | Price per Unit | Cost Basis of Close | Transaction Amount |
|---|---|---|---|---|---|---|
| 10/25 | STEMLINE THERAPEUTICS INC COM USD0.0001 | You bought | 200.000 | 28.48000 | | -5,696.00 |
| 10/25 | STEMLINE THERAPEUTICS INC COM USD0.0001 | You bought | 439.000 | 27.76700 | | -12,189.71 |
| 10/25 | STEMLINE THERAPEUTICS INC COM USD0.0001 | You bought | 561.000 | 27.76500 | | -15,576.17 |
| 10/25 | STEMLINE THERAPEUTICS INC COM USD0.0001 | You bought<br>Transaction cost: -$7.95 | 600.000 | 28.47990 | | -17,095.89 |
| 10/30 | QUESTCOR PHAR INC | Dividend received | | | | 1,800.00 |
| 10/31 | CHESAPEAKE ENERGY CORPORATION OKLAHOMA | Dividend received | | | | 612.50 |
| 10/31 | FUSION-IO COM USD0.0002 | You bought<br>Transaction cost: -$7.95 | 3,000.000 | 10.84860 | | -32,553.75 |
| 10/31 | TELESTONE TECHNOLOGIES CORP | You sold<br>Transaction cost: -$7.96<br>*Short-term loss: $1,660.61* | -1,000.000 | 0.27000 | 1,922.65f | 262.04 |

*f - FIFO (First-In, First-Out)*

** This information has been previously reported and is reflected in year to date totals only.*
*Cost basis and gain/loss information is provided as a service to our customers and is based on standards for filing US Federal Tax Returns as determined by Fidelity. This information is not intended to address tax law or reporting requirements applicable in your country of tax residence.*

## Trades Pending Settlement on October 31, 2013

| Trade Date | Settlement Date | Security | Description | Quantity | Price per Unit | Cost Basis of Close | Settlement Amount |
|---|---|---|---|---|---|---|---|
| 10/ 29 | 11/ 01 | TELESTONE TECHNOLOGIES CORP (TSTC ) | Sold<br>*Short-term loss: $5,129.56* | -3,000.000 | $0.22000 | $5,781.59f | $652.03 |

*f - FIFO (First-In, First-Out)*

## Brokerage [redacted] CARL J DOMINO - INDIVIDUAL

### Core Account

| Description | Amount | Balance |
|---|---|---|
| Beginning | | -$7,814,151.33 |
| Securities bought | -$1,030,320.22 | |
| Securities sold | 536,077.92 | |
| Other additions | 50,030.00 | |
| Other disbursements | -50,060.00 | |
| Income | 5,265.15 | |
| Margin interest | -19,075.20 | |
| Other withdrawals | -50,000.00 | |
| Ending | | -$8,372,233.68 |

***Other Withdrawals***

| Date | Reference | Description | Amount |
|---|---|---|---|
| 10/18 | | WIRE TFR TO BANK | -$50,000.00 |
| **Total** | | | **-$50,000.00** |

***Margin Activity as of October 31, 2013***

| Period | Period Balance | Interest Rate | Average Daily Balance | Interest Paid |
|---|---|---|---|---|
| 09/23-10/20 | 7,953,116 | 3.100% | 7,911,374 | -$19,075.20 |
| **Total this period** | | | | **-$19,075.20** |
| **Total year to date** | | | | **-$199,364.94** |

## Brokerage [redacted] SHARON DOMINO - INDIVIDUAL

Your Account Executive: THOMAS HOLLIGER 800-553-3292 ext. 54230

### Account Summary

| | |
|---|---|
| Beginning value as of Oct 1 | $386,130.04 |
| Change in investment value | 13,365.65 |
| **Ending value as of Oct 31** | **$399,495.69** |
| Accrued Interest (AI) | $0.00 |
| Change in AI from last statement | $0.00 |

You can borrow up to $160,537.06 on your margin account. The maximum rate that could be applied to your debit balance would be 8.57%, as of October 31, 2013.

### Income Summary

| | This Period | Year to Date |
|---|---|---|
| **Taxable** | | |
| Dividends | $48.00 | $2,524.20 |
| **Tax-exempt** | | |
| Dividends | 0.71 | 6.55 |
| **Total** | **$48.71** | **$2,530.75** |

### Realized Gain/Loss from Sales

| | This Period | Year to Date |
|---|---|---|
| Short-term gain | $0.00 | $4,379.77 |
| Long-term loss | $0.00 | -$3,481.16 |

**Brokerage** [redacted] SHARON DOMINO - INDIVIDUAL
Your Account Executive: THOMAS HOLLIGER 800-553-3292 ext. 54230

| **Holdings** (Symbol) as of October 31, 2013 | Performance October 31, 2013 | Quantity October 31, 2013 | Price per Unit October 31, 2013 | Total Cost Basis | Total Value October 1, 2013 | Total Value October 31, 2013 |
|---|---|---|---|---|---|---|
| ***Stocks 79% of holdings*** | | | | | | |
| M ALLSCRIPTS HEALTHCARE SOLUTIONS INC COM (MDRX) | | 200.000 | $13.830 | $2,511.53t | $2,974.00 | $2,766.00 |
| M ALTRIA GROUP INC (MO) EAI: $192.00, EY: 5.16% | | 100.000 | 37.230 | 1,558.89t | 3,435.00 | 3,723.00 |
| M AMERICAN INTL GROUP INC COM NEW (AIG) EAI: $80.00, EY: 0.77% | | 200.000 | 51.650 | 5,959.01 | 9,726.00 | 10,330.00 |
| M AMERICAN SCIENCE & ENGR INC (ASEI) EAI: $200.00, EY: 3.04% | | 100.000 | 65.780 | 6,049.00t | 6,031.00 | 6,578.00 |
| M APPLE INC (AAPL) EAI: $1,220.00, EY: 2.33% | | 100.000 | 522.702 | 26,320.35 | 47,675.00 | 52,270.20 |
| M BANK OF AMERICA CORP (BAC) | | 200.000 | 13.970 | 2,355.68 | 2,760.00 | 2,794.00 |
| M CLEAN ENERGY FUELS CORP (CLNE) | | 300.000 | 11.370 | 4,163.25 | 3,831.00 | 3,411.00 |
| M FACEBOOK INC COM USD0.000006 CL A (FB) | | 1,900.000 | 50.205 | 38,823.02 | 95,437.00 | 95,389.50 |
| M HUNTSMAN CORP (HUN) EAI: $750.00, EY: 2.15% | | 1,500.000 | 23.220 | 6,370.43 | 30,915.00 | 34,830.00 |
| M MORGAN STANLEY (MS) EAI: $271.60, EY: 0.70% | | 1,358.000 | 28.730 | 40,685.72c | 36,598.10 | 39,015.34 |
| M NEW YORK COMMUNITY BANCORP (NYCB) EAI: $500.00, EY: 6.17% | | 500.000 | 16.210 | 5,361.90 | 7,555.00 | 8,105.00 |
| M PREMIER ALLIANCE GROUP INC (PIMO) | | 2,000.000 | 0.601 | 1,415.90 | 1,260.00 | 1,202.00 |
| M QUALCOMM INC (QCOM) EAI: $140.00, EY: 2.01% | | 100.000 | 69.490 | 4,130.00t | 6,732.00 | 6,949.00 |
| M SBA COMMUNICATIONS CPRP CL A (SBAC) | | 200.000 | 87.390 | 666.00t | 16,092.00 | 17,478.00 |
| M SAVIENT PHARMACEUTICALS INC (SVNTQ) | | 200.000 | 0.044 | 2,563.56 | 124.80 | 8.80 |
| M STANDARD PAC CORP (SPF) | | 3,000.000 | 7.930 | 16,073.93 | 23,730.00 | 23,790.00 |
| M VERTEX PHARMACEUTCLS INC (VRTX) | | 100.000 | 71.350 | 5,545.40 | 7,582.00 | 7,135.00 |
| **Subtotal of Stocks** | | | | **170,553.57** | | **315,774.84** |
| ***Core Account 21% of holdings*** | | | | | | |
| FIDELITY MUNICIPAL MONEY MARKET (FTEXX) | *7-day Yield: 0.01%* | 83,720.850 | 1.000 | not applicable | 83,672.14 | 83,720.85 |

Fidelity INVESTMENTS

# Investment Report

October 1, 2013 - October 31, 2013

## Brokerage [redacted] SHARON DOMINO - INDIVIDUAL

Your Account Executive: THOMAS HOLLIGER 800-553-3292 ext. 54230

| Holdings (Symbol) as of October 31, 2013 | Performance October 31, 2013 | Quantity October 31, 2013 | Price per Unit October 31, 2013 | Total Cost Basis | Total Value October 1, 2013 | Total Value October 31, 2013 |
|---|---|---|---|---|---|---|
| **Subtotal of Core Account** | | | | | | **83,720.85** |
| **Total** | | | | **$ 170,553.57** | | **$399,495.69** |

*M - Position held in margin account.*

*c - Cost basis information (or proceeds from short sales) has been provided by you or a third party (such as a transferring broker) and has not been adjusted except as otherwise indicated. t - Third-party provided*

*Total Cost Basis does not include the cost basis on core, money market or other positions where cost basis is unknown or not applicable.*

***Estimated Annual Income (EAI) & Estimated Yield (EY)** - EAI is an estimate of annual income for a specific security position over the next rolling 12 months. EY is calculated by dividing the current EAI for a security position by its statement closing date market value. EY reflects only the income generated by an investment; it does not reflect changes in its price, which may fluctuate. For certain types of securities, EAI and EY could include the return of principal or capital gains which would render them overstated. EAI and EY are estimates provided for informational purposes only and should not be relied on for making investment, trading, or tax decisions. There is no guarantee that your investments will actually generate the EAI or EY presented. Actual income and yield might be higher or lower. EAI and EY should not be confused with a security's 30-day Yield or 7-day Yield, if provided, as such yield quotations reflect the actual historical performance of a security. **For additional information, including calculation details, refer to the "Additional Information and Endnotes" section at the end of your statement.***

**Brokerage** [redacted] SHARON DOMINO - INDIVIDUAL
Your Account Executive: THOMAS HOLLIGER 800-553-3292 ext. 54230

**Estimated Cash Flow** rolling as of October 31, 2013

The table below presents the estimated monthly interest and dividend income and return of principal that your current holdings may generate over the next rolling 12 months. The cash flows displayed are estimates provided for informational purposes only and there is no guarantee that you will actually receive any of the amounts displayed. These estimates should not be relied upon for making investment, trading or tax decisions. The estimates for fixed rate domestic bond and CD income are calculated using the security's coupon rate. The estimates for domestic common stock and mutual fund income are calculated using an indicated annual dividend (IAD). The IAD is an estimate of a security's dividend payments for the next 12 months calculated based on prior and/or declared dividends for that security. IADs are sourced from third party vendors believed to be reliable, but no assurance can be made as to accuracy. There are circumstances in which these estimates will not be presented for a specific security you hold. **Please refer to Help/Glossary on Fidelity.com for additional information on these calculations.**

| Month | Bond & CD Income | Bond & CD Principal | Stock Income | Mutual Fund Income | Total Estimated Cash Flow |
|---|---|---|---|---|---|
| Nov 2013 | – | – | $498 | – | $498 |
| Dec 2013 | – | – | $293 | – | $293 |
| Jan 2014 | – | – | $48 | – | $48 |
| Feb 2014 | – | – | $498 | – | $498 |
| Mar 2014 | – | – | $293 | – | $293 |
| Apr 2014 | – | – | $48 | – | $48 |
| May 2014 | – | – | $498 | – | $498 |
| Jun 2014 | – | – | $293 | – | $293 |
| Jul 2014 | – | – | $48 | – | $48 |
| Aug 2014 | – | – | $498 | – | $498 |
| Sep 2014 | – | – | $293 | – | $293 |
| Oct 2014 | – | – | $48 | – | $48 |
| **Total** | – | – | **$3,356** | – | **$3,356** |

*– not available*

***Bond & CD Income*** *includes interest payments for fixed rate bonds and Certificates of Deposit (CDs).*
***Bond & CD Principal*** *includes maturing principal payments for CDs and the following bonds: fixed rate, floating rate, variable rate, discount, and zero coupon.*
***Stock Income*** *includes estimated dividend payments for common stock, ADR's, and REITs.*
***Mutual Fund Income*** *includes estimated dividend payments for Fidelity and non-Fidelity mutual funds.*

***The table above does not include cash flow from the following securities:*** *preferred stocks, international stocks, exchange trade products (ETF's & ETN's), UITs, variable rate bonds, and international bonds, but may be included in future enhancements.*

## Transaction Details

(for holdings with activity this period)

Fidelity INVESTMENTS

# Investment Report

October 1, 2013 - October 31, 2013

## Brokerage [redacted] SHARON DOMINO - INDIVIDUAL

Your Account Executive: THOMAS HOLLIGER 800-553-3292 ext. 54230

### Brokerage Activity

| Settlement Date | Security | Description | Quantity | Price per Unit | Transaction Amount |
|---|---|---|---|---|---|
| 10/10 | ALTRIA GROUP INC | Dividend received | | | $48.00 |
| 10/31 | FIDELITY MUNICIPAL MONEY MARKET | Dividend received | | | 0.71 |

### Core Account - *Fidelity Municipal Money Market*

| Description | Amount | Balance | Description | Amount | Balance |
|---|---|---|---|---|---|
| Beginning | | $83,672.14 | Income | 48.00 | |
| Core account income | $0.71 | | Ending | | $83,720.85 |

***Daily Additions and Subtractions** Fidelity Municipal Money Market @ $1 per share (the following is provided to you in accordance with industry regulations)*

| Date | Amount | Balance | Date | Amount | Balance | Date | Amount | Balance |
|---|---|---|---|---|---|---|---|---|
| 10/ 10 | $48.00 | $83,720.14 | 10/ 31 | 0.71 | 83,720.85 | | | |

## Brokerage [redacted] CARL J DOMINO - ROLLOVER IRA - FIDELITY MANAGEMENT TRUST CO - CUSTODIAN

### Account Summary

| | |
|---|---|
| Beginning value as of Oct 1 | $5,845,046.62 |
| Transaction costs, loads and fees | -6,610.56 |
| Change in investment value | 217,010.18 |
| **Ending value as of Oct 31** | **$6,055,446.24** |
| Accrued Interest (AI) | $0.00 |
| Change in AI from last statement | $0.00 |

### Income Summary

| | This Period | Year to Date |
|---|---|---|
| **Tax-deferred** | **$9,001.79** | **$121,692.12** |

*Your Advisor is an independent organization and is not affiliated with Fidelity Investments. Brokerage services provided by Fidelity Brokerage Services LLC, Member NYSE, SIPC. 800-544-6666. Brokerage Accounts carried with National Financial Services LLC, Member NYSE, SIPC*

## Brokerage [redacted] CARL J DOMINO - ROLLOVER IRA - FIDELITY MANAGEMENT TRUST CO - CUSTODIAN

| Holdings (Symbol) as of October 31, 2013 | Quantity October 31, 2013 | Price per Unit October 31, 2013 | Cost | Total Value October 1, 2013 | Total Value October 31, 2013 | Unrealized Gain (Loss) October 31, 2013 |
|---|---|---|---|---|---|---|
| **Stocks *94% of holdings*** | | | | | | |
| HERBALIFE LTD COM USD0.002 (HLF ) | 2,025.000 | $64.820 | $71,835.41 | $141,284.25 | $131,260.50 | $ 59,425.09 |
| EAI: $2,430.00, EY: 1.85% | | | | | | |
| INVESCO LTD COM STK USD0.20 (IVZ ) | 3,795.000 | 33.750 | 82,049.78 | 121,060.50 | 128,081.25 | 46,031.47 |
| EAI: $3,415.50, EY: 2.67% | | | | | | |
| PARTNERRE COM USD1 (PRE ) | 1,350.000 | 100.210 | 93,748.98 | 123,579.00 | 135,283.50 | 41,534.52 |
| EAI: $3,456.00, EY: 2.55% | | | | | | |
| GARMIN LTD COM CHF10.00 (GRMN ) | 1,705.000 | 46.750 | 33,276.28 | 77,048.95 | 79,708.75 | 46,432.47 |
| EAI: $3,069.00, EY: 3.85% | | | | | | |
| PENTAIR LTD COM USD0.16 3/4 (PNR ) | 1,930.000 | 67.090 | 83,429.22 | 125,334.20 | 129,483.70 | 46,054.48 |
| EAI: $1,930.00, EY: 1.49% | | | | | | |
| TRANSOCEAN LIMITED COM CHF15 (RIG ) | 2,040.000 | 47.070 | 103,605.07 | 90,780.00 | 96,022.80 | - 7,582.27 |
| EAI: $4,569.60, EY: 4.76% | | | | | | |
| ABBVIE INC COM USD0.01 (ABBV ) | 2,720.000 | 48.450 | 80,351.59 | 121,665.60 | 131,784.00 | 51,432.41 |
| EAI: $4,352.00, EY: 3.30% | | | | | | |
| APPLE INC (AAPL ) | 241.000 | 522.702 | 127,723.60 | 114,896.75 | 125,971.18 | - 1,752.42 |
| EAI: $2,940.20, EY: 2.33% | | | | | | |
| AVON PRODUCTS INC (AVP ) | 5,330.000 | 17.500 | 91,742.05 | 109,798.00 | 93,275.00 | 1,532.95 |
| EAI: $1,279.20, EY: 1.37% | | | | | | |
| BB & T CORP (BBT ) | 2,935.000 | 33.970 | 68,023.73 | 99,056.25 | 99,701.95 | 31,678.22 |
| EAI: $2,700.20, EY: 2.71% | | | | | | |
| BAXTER INTL INC (BAX ) | 1,300.000 | 65.870 | 51,764.74 | 85,397.00 | 85,631.00 | 33,866.26 |
| EAI: $2,548.00, EY: 2.98% | | | | | | |
| CARNIVAL CORP COM STK USD0.01(PAIRED STOCK) (CCL ) | 2,615.000 | 34.650 | 84,143.22 | 85,353.60 | 90,609.75 | 6,466.53 |
| EAI: $2,615.00, EY: 2.89% | | | | | | |
| CATERPILLAR INC (CAT ) | 1,075.000 | 83.360 | 39,185.36 | 89,655.00 | 89,612.00 | 50,426.64 |
| EAI: $2,580.00, EY: 2.88% | | | | | | |
| CHESAPEAKE ENERGY CORPORATION OKLAHOMA (CHK ) | 5,285.000 | 27.960 | 90,887.75 | 136,775.80 | 147,768.60 | 56,880.85 |
| EAI: $1,849.75, EY: 1.25% | | | | | | |
| CHEVRON CORP NEW (CVX ) | 1,009.000 | 119.960 | unknown | 122,593.50 | 121,039.64 | unknown |
| EAI: $4,036.00, EY: 3.33% | | | | | | |

Fidelity INVESTMENTS

# Investment Report

October 1, 2013 - October 31, 2013

## Brokerage [redacted] CARL J DOMINO - ROLLOVER IRA - FIDELITY MANAGEMENT TRUST CO - CUSTODIAN

| Holdings (Symbol) as of October 31, 2013 | Quantity October 31, 2013 | Price per Unit October 31, 2013 | Cost | Total Value October 1, 2013 | Total Value October 31, 2013 | Unrealized Gain (Loss) October 31, 2013 |
|---|---|---|---|---|---|---|
| CISCO SYS INC (CSCO) | 4,550.000 | 22.560 | 87,362.49 | 106,611.05 | 102,648.00 | 15,285.51 |
| EAI: $3,094.00, EY: 3.01% | | | | | | |
| COLGATE-PALMOLIVE CO (CL) | 1,650.000 | 64.730 | 44,848.87 | 97,845.00 | 106,804.50 | 61,955.63 |
| EAI: $2,244.00, EY: 2.10% | | | | | | |
| CONOCOPHILLIPS (COP) | 1,670.000 | 73.330 | 51,087.50 | 116,081.70 | 122,461.10 | 71,373.60 |
| EAI: $4,609.20, EY: 3.76% | | | | | | |
| CORNING INC (GLW) | 6,625.000 | 17.090 | 87,595.09 | 96,658.75 | 113,221.25 | 25,626.16 |
| EAI: $2,650.00, EY: 2.34% | | | | | | |
| DEERE & COMPANY (DE) | 1,015.000 | 81.840 | 31,540.58 | 82,610.85 | 83,067.60 | 51,527.02 |
| EAI: $2,070.60, EY: 2.49% | | | | | | |
| DOW CHEMICAL CO (DOW) | 2,850.000 | 39.470 | 72,514.20 | 109,440.00 | 112,489.50 | 39,975.30 |
| EAI: $3,648.00, EY: 3.24% | | | | | | |
| DU PONT E I DE NEMOURS & CO (DD) | 2,195.000 | 61.200 | 85,307.87 | 128,539.20 | 134,334.00 | 49,026.13 |
| EAI: $3,951.00, EY: 2.94% | | | | | | |
| EMERSON ELECTRIC CO (EMR) | 1,775.000 | 66.970 | 57,348.23 | 114,842.50 | 118,871.75 | 61,523.52 |
| EAI: $2,911.00, EY: 2.45% | | | | | | |
| FORD MTR CO DEL COM (F) | 7,470.000 | 17.110 | 97,192.65 | 126,018.90 | 127,811.70 | 30,619.05 |
| EAI: $2,988.00, EY: 2.34% | | | | | | |
| GENERAL ELECTRIC CO (GE) | 4,470.000 | 26.140 | 94,139.61 | 106,788.30 | 116,845.80 | 22,706.19 |
| EAI: $3,397.20, EY: 2.91% | | | | | | |
| HANCOCK HOLDING CO (HBHC) | 3,660.000 | 32.780 | 124,211.57 | 114,850.80 | 119,974.80 | - 4,236.77 |
| EAI: $3,513.60, EY: 2.93% | | | | | | |
| HARLEY DAVIDSON INC COM (HOG) | 2,120.000 | 64.040 | 28,773.94 | 136,188.80 | 135,764.80 | 106,990.86 |
| EAI: $1,780.80, EY: 1.31% | | | | | | |
| HEWLETT-PACKARD CO DE (HPQ) | 4,030.000 | 24.370 | 104,664.23 | 84,589.70 | 98,211.10 | - 6,453.13 |
| EAI: $2,340.62, EY: 2.38% | | | | | | |
| HOME DEPOT INC (HD) | 1,320.000 | 77.890 | 35,115.20 | 100,122.00 | 102,814.80 | 67,699.60 |
| EAI: $2,059.20, EY: 2.00% | | | | | | |
| HUNTSMAN CORP (HUN) | 6,145.000 | 23.220 | 65,018.94 | 126,648.45 | 142,686.90 | 77,667.96 |
| EAI: $3,072.50, EY: 2.15% | | | | | | |
| JPMORGAN CHASE & CO (JPM) | 1,900.000 | 51.540 | 78,907.04 | 98,211.00 | 97,926.00 | 19,018.96 |
| EAI: $2,888.00, EY: 2.95% | | | | | | |

Fidelity INVESTMENTS

# Investment Report

October 1, 2013 - October 31, 2013

## Brokerage [redacted] CARL J DOMINO - ROLLOVER IRA - FIDELITY MANAGEMENT TRUST CO - CUSTODIAN

| Holdings (Symbol) as of October 31, 2013 | Quantity October 31, 2013 | Price per Unit October 31, 2013 | Cost | Total Value October 1, 2013 | Total Value October 31, 2013 | Unrealized Gain (Loss) October 31, 2013 |
|---|---|---|---|---|---|---|
| MAGNA INTERNATIONAL INC COM NPV ISIN #CA5592224011 SEDOL #2554475 (MGA) EAI: $2,182.40, EY: 1.51% | 1,705.000 | 84.700 | 66,349.33 | 140,764.80 | 144,413.50 | 78,064.17 |
| MASCO CORP (MAS) EAI: $1,506.00, EY: 1.42% | 5,020.000 | 21.130 | 56,079.32 | 106,825.60 | 106,072.60 | 49,993.28 |
| MERCK & CO INC NEW COM (MRK) EAI: $3,818.40, EY: 3.81% | 2,220.000 | 45.090 | 85,472.27 | 105,691.98 | 100,099.80 | 14,627.53 |
| MICROSOFT CORP (MSFT) EAI: $3,432.80, EY: 3.16% | 3,065.000 | 35.405 | 78,775.69 | 102,003.20 | 108,516.32 | 29,740.63 |
| MONDELEZ INTL INC COM (MDLZ) EAI: $1,996.40, EY: 1.66% | 3,565.000 | 33.650 | 90,955.73 | 112,015.86 | 119,962.25 | 29,006.52 |
| NEWMONT MNG CORP HLDG CO (NEM) EAI: $3,280.00, EY: 3.67% | 3,280.000 | 27.260 | 128,930.79 | 92,168.00 | 89,412.80 | - 39,517.99 |
| PALL CORP (PLL) EAI: $1,650.00, EY: 1.37% | 1,500.000 | 80.520 | 35,970.00 | 115,560.00 | 120,780.00 | 84,810.00 |
| PAYCHEX INC (PAYX) EAI: $3,836.00, EY: 3.31% | 2,740.000 | 42.265 | 78,111.03 | 111,353.60 | 115,806.10 | 37,695.07 |
| PFIZER INC (PFE) EAI: $3,504.00, EY: 3.13% | 3,650.000 | 30.685 | 79,133.02 | 104,846.25 | 112,000.25 | 32,867.23 |
| POTASH CORP OF SASKATCHEWAN COM NPV ISIN #CA73755L1076 SEDOL #2696980 (POT) EAI: $4,942.00, EY: 4.50% | 3,530.000 | 31.100 | 107,646.48 | 110,418.40 | 109,783.00 | 2,136.52 |
| PROCTER & GAMBLE CO (PG) EAI: $3,019.53, EY: 2.98% | 1,255.000 | 80.750 | 68,879.30 | 94,865.45 | 101,341.25 | 32,461.95 |
| STAPLES INC (SPLS) EAI: $3,014.40, EY: 2.98% | 6,280.000 | 16.129 | 102,723.00 | 92,002.00 | 101,290.12 | - 1,432.88 |
| SYMANTEC CORP (SYMC) EAI: $3,177.00, EY: 2.64% | 5,295.000 | 22.755 | 117,612.02 | | 120,487.72 | 2,875.70 |
| TIFFANY & CO NEW (TIF) EAI: $1,999.20, EY: 1.72% | 1,470.000 | 79.170 | 82,311.34 | 112,631.40 | 116,379.90 | 34,068.56 |
| TUPPERWARE BRANDS CORP (TUP) EAI: $3,286.00, EY: 2.77% | 1,325.000 | 89.650 | 27,363.26 | 114,440.25 | 118,786.25 | 91,422.99 |
| UNION PACIFIC CORP (UNP) EAI: $2,117.20, EY: 2.09% | 670.000 | 151.400 | 29,783.94 | 104,077.80 | 101,438.00 | 71,654.06 |

## Brokerage [redacted] CARL J DOMINO - ROLLOVER IRA - FIDELITY MANAGEMENT TRUST CO - CUSTODIAN

| Holdings (Symbol) as of October 31, 2013 | Quantity October 31, 2013 | Price per Unit October 31, 2013 | Cost | Total Value October 1, 2013 | Total Value October 31, 2013 | Unrealized Gain (Loss) October 31, 2013 |
|---|---|---|---|---|---|---|
| UNITED PARCEL SVC INC CL B (UPS ) | 1,235.000 | 98.240 | 74,511.24 | 112,841.95 | 121,326.40 | 46,815.16 |
| EAI: $3,062.80, EY: 2.52% | | | | | | |
| WELLPOINT INC (WLP ) | 1,460.000 | 84.800 | 89,878.98 | 122,070.60 | 123,808.00 | 33,929.02 |
| EAI: $2,190.00, EY: 1.77% | | | | | | |
| WYNN RESORTS LTD (WYNN ) | 705.000 | 166.250 | 75,209.46 | 111,397.05 | 117,206.25 | 41,996.79 |
| EAI: $2,820.00, EY: 2.41% | | | | | | |
| **Subtotal of Stocks** | | | **3,723,090.99** | | **5,670,077.73** | **1,825,947.10** |
| **Other *3% of holdings*** | | | | | | |
| PROLOGIS INC COM (PLD ) | 2,325.000 | 39.950 | 58,179.03 | 87,466.50 | 92,883.75 | 34,704.72 |
| EAI: $2,604.00, EY: 2.80% | | | | | | |
| WEYERHAEUSER CO COM (WY ) | 3,595.000 | 30.415 | 66,399.98 | 102,924.85 | 109,341.92 | 42,941.94 |
| EAI: $3,163.60, EY: 2.89% | | | | | | |
| **Subtotal of Other** | | | **124,579.01** | | **202,225.67** | **77,646.66** |
| **Core Account *3% of holdings*** | | | | | | |
| FIDELITY CASH RESERVES (FDRXX ) | 183,142.840 | 1.000 | not applicable | 298,355.68 | 183,142.84 | not applicable |
| EAI: $18.35, EY: 0.01% | | | | | | |
| *7-day Yield: 0.01%* | | | | | | |
| **Subtotal of Core Account** | | | | | **183,142.84** | |
| **Total** | | | **$ 3,847,670.00** | | **$6,055,446.24** | **$ 1,903,593.76u** |

*All positions held in cash account unless indicated otherwise.*

*u - This may not reflect all of your gains/losses because of incomplete cost basis.*

*Total Cost does not include the cost basis on core, money market or other positions where cost basis is unknown or not applicable.*

***Estimated Annual Income (EAI) & Estimated Yield (EY)** - EAI is an estimate of annual income for a specific security position over the next rolling 12 months. EY is calculated by dividing the current EAI for a security position by its statement closing date market value. EY reflects only the income generated by an investment; it does not reflect changes in its price, which may fluctuate. For certain types of securities, EAI and EY could include the return of principal or capital gains which would render them overstated. EAI and EY are estimates provided for informational purposes only and should not be relied on for making investment, trading, or tax decisions. There is no guarantee that your investments will actually generate the EAI or EY presented. Actual income and yield might be lower or higher. EAI and EY should not be confused with a security's 30-day Yield or 7-day Yield, if provided, as such yield quotations reflect the actual historical performance of a security.* ***For additional information, including calculation details, refer to the "Additional Information and Endnotes" section at the end of your statement.***

## Brokerage [redacted] CARL J DOMINO - ROLLOVER IRA - FIDELITY MANAGEMENT TRUST CO - CUSTODIAN

**Estimated Cash Flow** rolling as of October 31, 2013

The table below presents the estimated monthly interest and dividend income and return of principal that your current holdings may generate over the next rolling 12 months. The cash flows displayed are estimates provided for informational purposes only and there is no guarantee that you will actually receive any of the amounts displayed. These estimates should not be relied upon for making investment, trading or tax decisions. The estimates for fixed rate domestic bond and CD income are calculated using the security's coupon rate. The estimates for domestic common stock and mutual fund income are calculated using an indicated annual dividend (IAD). The IAD is an estimate of a security's dividend payments for the next 12 months calculated based on prior and/or declared dividends for that security. IADs are sourced from third party vendors believed to be reliable, but no assurance can be made as to accuracy. There are circumstances in which these estimates will not be presented for a specific security you hold. **Please refer to Help/Glossary on Fidelity.com for additional information on these calculations.**

| Month | Bond & CD Income | Bond & CD Principal | Stock Income | Mutual Fund Income | Total Estimated Cash Flow |
|---|---|---|---|---|---|
| Nov 2013 | – | – | $10,735 | $2 | $10,737 |
| Dec 2013 | – | – | $19,122 | $2 | $19,124 |
| Jan 2014 | – | – | $8,999 | $2 | $9,001 |
| Feb 2014 | – | – | $9,776 | $2 | $9,778 |
| Mar 2014 | – | – | $18,163 | $2 | $18,165 |
| Apr 2014 | – | – | $8,999 | $2 | $9,001 |
| May 2014 | – | – | $9,776 | $2 | $9,778 |
| Jun 2014 | – | – | $18,163 | $2 | $18,165 |
| Jul 2014 | – | – | $8,999 | $2 | $9,001 |
| Aug 2014 | – | – | $10,735 | $2 | $10,737 |
| Sep 2014 | – | – | $18,163 | $2 | $18,165 |
| Oct 2014 | – | – | $8,999 | $2 | $9,001 |
| **Total** | – | – | **$150,629** | **$24** | **$150,653** |

– *not available*

***Bond & CD Income*** *includes interest payments for fixed rate bonds and Certificates of Deposit (CDs).*
***Bond & CD Principal*** *includes maturing principal payments for CDs and the following bonds: fixed rate, floating rate, variable rate, discount, and zero coupon.*
***Stock Income*** *includes estimated dividend payments for common stock, ADR's, and REITs.*
***Mutual Fund Income*** *includes estimated dividend payments for Fidelity and non-Fidelity mutual funds.*

***The table above does not include cash flow from the following securities:*** *preferred stocks, international stocks, exchange trade products (ETF's & ETN's), UITs, variable rate bonds, and international bonds, but may be included in future enhancements.*

## Transaction Details

(for holdings with activity this period)

## Brokerage [redacted] CARL J DOMINO - ROLLOVER IRA - FIDELITY MANAGEMENT TRUST CO - CUSTODIAN

### Brokerage Activity

| Settlement Date | Security | Description | Quantity | Price per Unit | Transaction Amount |
|---|---|---|---|---|---|
| 10/01 | BAXTER INTL INC | Dividend received | | | $637.00 |
| 10/01 | UNION PACIFIC CORP | Dividend received | | | 529.30 |
| 10/02 | HEWLETT-PACKARD CO DE | Dividend received | | | 585.16 |
| 10/04 | TUPPERWARE BRANDS CORP | Dividend received | | | 821.50 |
| 10/07 | MERCK & CO INC NEW COM | Dividend received | | | 954.60 |
| 10/10 | TIFFANY & CO NEW | Dividend received | | | 499.80 |
| 10/15 | MONDELEZ INTL INC COM | Dividend received | | | 499.10 |
| 10/17 | STAPLES INC | Dividend received | | | 753.60 |
| 10/23 | CISCO SYS INC | Dividend received | | | 773.50 |
| 10/25 | GENERAL ELECTRIC CO | Dividend received | | | 849.30 |
| 10/29 | SYMANTEC CORP<br>CROSS TRADE<br>EXEC ON MULT EXCHG<br>DETAILS ON REQUEST<br>AVERAGE PRICE TRADE<br>DETAILS ON REQUEST | You bought<br>Transaction cost: -$7.95 | 5,295.000 | $22.21040 | -117,612.02 |
| 10/30 | DOW CHEMICAL CO | Dividend received | | | 912.00 |
| 10/31 | CHESAPEAKE ENERGY CORPORATION OKLAHOMA | Dividend received | | | 462.44 |
| 10/31 | FIDELITY CASH RESERVES | Dividend received | | | 2.49 |
| 10/31 | JPMORGAN CHASE & CO | Dividend received | | | 722.00 |

### Core Account - *Fidelity Cash Reserves*

| Description | Amount | Balance | Description | Amount | Balance |
|---|---|---|---|---|---|
| Beginning | | $298,355.68 | Income | 8,999.30 | |
| Securities bought | -$117,612.02 | | Account fees and charges | -6,602.61 | |
| Core account income | 2.49 | | Ending | | $183,142.84 |

## Brokerage [redacted] CARL J DOMINO - ROLLOVER IRA - FIDELITY MANAGEMENT TRUST CO - CUSTODIAN

***Fees and Charges***

| Date | Description | Amount | Date | Description | Amount | Date | Description | Amount |
|---|---|---|---|---|---|---|---|---|
| 10/ 09 | Investment mgr fee | -$6,602.61 | **Total** | | **-$6,602.61** | | | |

***Daily Additions and Subtractions*** *Fidelity Cash Reserves @ $1 per share (the following is provided to you in accordance with industry regulations)*

| Date | Amount | Balance | Date | Amount | Balance | Date | Amount | Balance |
|---|---|---|---|---|---|---|---|---|
| 10/ 01 | $1,166.30 | $299,521.98 | 10/ 10 | 499.80 | 295,780.43 | 10/ 29 | -117,612.02 | 181,043.91 |
| 10/ 02 | 585.16 | 300,107.14 | 10/ 15 | 499.10 | 296,279.53 | 10/ 30 | 912.00 | 181,955.91 |
| 10/ 04 | 821.50 | 300,928.64 | 10/ 17 | 753.60 | 297,033.13 | 10/ 31 | 1,186.93 | 183,142.84 |
| 10/ 07 | 954.60 | 301,883.24 | 10/ 23 | 773.50 | 297,806.63 | | | |
| 10/ 09 | -6,602.61 | 295,280.63 | 10/ 25 | 849.30 | 298,655.93 | | | |

## Brokerage [redacted] SHARON DOMINO CUSTODIAN FOR MASON C DOMINO - UTMA FL

Your Account Executive: THOMAS HOLLIGER 800-553-3292 ext. 54230

### Account Summary

| | |
|---|---|
| Beginning value as of Oct 1 | $110,880.13 |
| Additions | 901.00 |
| Transaction costs, loads and fees | -15.90 |
| Change in investment value | -1,167.72 |
| **Ending value as of Oct 31** | **$110,597.51** |
| Accrued Interest (AI) | $0.00 |
| Change in AI from last statement | $0.00 |

### Income Summary

| | This Period | Year to Date |
|---|---|---|
| **Taxable** | | |
| Dividends | $80.04 | $841.13 |
| Interest | 0.04 | 0.62 |
| **Total** | **$80.08** | **$841.75** |

### Realized Gain/Loss from Sales

| | This Period | Year to Date |
|---|---|---|
| Long-term gain | $0.00 | $5,236.66 |

### Holdings (Symbol) as of October 31, 2013

| | Quantity October 31, 2013 | Price per Unit October 31, 2013 | Total Cost Basis | Total Value October 1, 2013 | Total Value October 31, 2013 |
|---|---|---|---|---|---|
| ***Stocks 98% of holdings*** | | | | | |
| AKAMAI TECH (AKAM ) | 150.000 | $44.755 | $5,039.00 | $7,755.00 | $6,713.25 |
| ALLSCRIPTS HEALTHCARE SOLUTIONS INC COM (MDRX ) | 100.000 | 13.830 | 1,254.77t | 1,487.00 | 1,383.00 |

Fidelity INVESTMENTS

# Investment Report

October 1, 2013 - October 31, 2013

## Brokerage [redacted] SHARON DOMINO CUSTODIAN FOR MASON C DOMINO - UTMA FL

Your Account Executive: THOMAS HOLLIGER 800-553-3292 ext. 54230

| Holdings (Symbol) as of October 31, 2013 | Quantity October 31, 2013 | Price per Unit October 31, 2013 | Total Cost Basis | Total Value October 1, 2013 | Total Value October 31, 2013 |
|---|---|---|---|---|---|
| APPLE INC (AAPL) | 25.000 | 522.702 | 6,688.80 | 11,918.75 | 13,067.55 |
| EAI: $305.00, EY: 2.33% | | | | | |
| CARBONITE INC COM USD0.01 (CARB) | 300.000 | 13.240 | 2,901.99 | 4,500.00 | 3,972.00 |
| CARNIVAL CORP COM STK USD0.01(PAIRED STOCK) (CCL) | 100.000 | 34.650 | 3,170.65 | 3,264.00 | 3,465.00 |
| EAI: $100.00, EY: 2.89% | | | | | |
| CISCO SYS INC (CSCO) | 300.000 | 22.560 | 4,933.62 | 7,029.30 | 6,768.00 |
| EAI: $204.00, EY: 3.01% | | | | | |
| CLEAN ENERGY FUELS CORP (CLNE) | 100.000 | 11.370 | 1,393.60 | 1,277.00 | 1,137.00 |
| 8X8 INC NEW (EGHT) | 500.000 | 11.441 | 5,090.45 | | 5,720.50 |
| FACEBOOK INC COM USD0.000006 CL A (FB) | 150.000 | 50.205 | 3,133.65 | 7,534.50 | 7,530.75 |
| FORD MTR CO DEL COM (F) | 500.000 | 17.110 | 4,153.00 | 8,435.00 | 8,555.00 |
| EAI: $200.00, EY: 2.34% | | | | | |
| HEWLETT-PACKARD CO DE (HPQ) | 200.000 | 24.370 | 7,249.73 | 4,198.00 | 4,874.00 |
| EAI: $116.16, EY: 2.38% | | | | | |
| HOSPIRA INC (HSP) | 100.000 | 40.520 | 2,646.00t | 3,922.00 | 4,052.00 |
| HUNTSMAN CORP (HUN) | 280.000 | 23.220 | 2,771.55 | 5,770.80 | 6,501.60 |
| EAI: $140.00, EY: 2.15% | | | | | |
| IDERA PHARMACEUTICALS INC COM NEW (IDRA) | 300.000 | 1.840 | 1,432.00t | 522.00 | 552.00 |
| INFINITY PHARMACEUTICALS INC (INFI) | 300.000 | 13.550 | 6,013.35 | 5,229.00 | 4,065.00 |
| MOLYCORP INC DELAWARE COM USD0.001 (MCP) | 700.000 | 5.070 | 4,982.87 | 4,592.00 | 3,549.00 |
| PREMIER ALLIANCE GROUP INC (PIMO) | 6,000.000 | 0.601 | 4,723.85 | 3,780.00 | 3,606.00 |
| SKYWORKS SOLUTIONS INC COM (SWKS) | 400.000 | 25.800 | 3,260.00t | 9,936.00 | 10,320.00 |
| STANDARD PAC CORP (SPF) | 520.000 | 7.930 | 2,256.75 | 4,113.20 | 4,123.60 |
| VIOLIN MEMORY INC COM (VMEM) | 1,000.000 | 6.650 | 7,595.35 | | 6,650.00 |
| VIRNETX HOLDING CORP COM STK USD0.0001 (VHC) | 60.000 | 21.740 | 1,054.95 | 1,224.00 | 1,304.40 |
| **Subtotal of Stocks** | | | **81,745.93** | | **107,909.65** |
| | | | | | |
| **Core Account *2% of holdings*** | | | | | |
| CASH | 2,687.860 | 1.000 | not applicable | 14,392.58 | 2,687.86 |

## Brokerage [redacted] SHARON DOMINO CUSTODIAN FOR MASON C DOMINO - UTMA FL

Your Account Executive: THOMAS HOLLIGER 800-553-3292 ext. 54230

| Holdings (Symbol) as of October 31, 2013 | Quantity October 31, 2013 | Price per Unit October 31, 2013 | Total Cost Basis | Total Value October 1, 2013 | Total Value October 31, 2013 |
|---|---|---|---|---|---|
| **Subtotal of Core Account** | | | | | **2,687.86** |
| **Total** | | | **$ 81,745.93** | | **$110,597.51** |

*All positions held in cash account unless indicated otherwise.*

*t - Third-party provided*

*Total Cost Basis does not include the cost basis on core, money market or other positions where cost basis is unknown or not applicable.*

***Estimated Annual Income (EAI) & Estimated Yield (EY)*** *- EAI is an estimate of annual income for a specific security position over the next rolling 12 months. EY is calculated by dividing the current EAI for a security position by its statement closing date market value. EY reflects only the income generated by an investment; it does not reflect changes in its price, which may fluctuate. For certain types of securities, EAI and EY could include the return of principal or capital gains which would render them overstated. EAI and EY are estimates provided for informational purposes only and should not be relied on for making investment, trading, or tax decisions. There is no guarantee that your investments will actually generate the EAI or EY presented. Actual income and yield might be higher or lower. EAI and EY should not be confused with a security's 30-day Yield or 7-day Yield, if provided, as such yield quotations reflect the actual historical performance of a security.* ***For additional information, including calculation details, refer to the "Additional Information and Endnotes" section at the end of your statement.***

## Brokerage [redacted] SHARON DOMINO CUSTODIAN FOR MASON C DOMINO - UTMA FL

Your Account Executive: THOMAS HOLLIGER 800-553-3292 ext. 54230

**Estimated Cash Flow** rolling as of October 31, 2013

The table below presents the estimated monthly interest and dividend income and return of principal that your current holdings may generate over the next rolling 12 months. The cash flows displayed are estimates provided for informational purposes only and there is no guarantee that you will actually receive any of the amounts displayed. These estimates should not be relied upon for making investment, trading or tax decisions. The estimates for fixed rate domestic bond and CD income are calculated using the security's coupon rate. The estimates for domestic common stock and mutual fund income are calculated using an indicated annual dividend (IAD). The IAD is an estimate of a security's dividend payments for the next 12 months calculated based on prior and/or declared dividends for that security. IADs are sourced from third party vendors believed to be reliable, but no assurance can be made as to accuracy. There are circumstances in which these estimates will not be presented for a specific security you hold. **Please refer to Help/Glossary on Fidelity.com for additional information on these calculations.**

| Month | Bond & CD Income | Bond & CD Principal | Stock Income | Mutual Fund Income | Total Estimated Cash Flow |
|---|---|---|---|---|---|
| Nov 2013 | – | – | $76 | – | $76 |
| Dec 2013 | – | – | $110 | – | $110 |
| Jan 2014 | – | – | $80 | – | $80 |
| Feb 2014 | – | – | $76 | – | $76 |
| Mar 2014 | – | – | $110 | – | $110 |
| Apr 2014 | – | – | $80 | – | $80 |
| May 2014 | – | – | $76 | – | $76 |
| Jun 2014 | – | – | $110 | – | $110 |
| Jul 2014 | – | – | $80 | – | $80 |
| Aug 2014 | – | – | $76 | – | $76 |
| Sep 2014 | – | – | $110 | – | $110 |
| Oct 2014 | – | – | $80 | – | $80 |
| **Total** | – | – | **$1,064** | – | **$1,064** |

*– not available*

***Bond & CD Income*** *includes interest payments for fixed rate bonds and Certificates of Deposit (CDs).*
***Bond & CD Principal*** *includes maturing principal payments for CDs and the following bonds: fixed rate, floating rate, variable rate, discount, and zero coupon.*
***Stock Income*** *includes estimated dividend payments for common stock, ADR's, and REITs.*
***Mutual Fund Income*** *includes estimated dividend payments for Fidelity and non-Fidelity mutual funds.*

***The table above does not include cash flow from the following securities:*** *preferred stocks, international stocks, exchange trade products (ETF's & ETN's), UITs, variable rate bonds, and international bonds, but may be included in future enhancements.*

## Transaction Details

(for holdings with activity this period)

**Brokerage** [redacted] SHARON DOMINO CUSTODIAN FOR MASON C DOMINO - UTMA FL
Your Account Executive: THOMAS HOLLIGER 800-553-3292 ext. 54230

**Brokerage Activity**

| Settlement Date | Security | Description | Quantity | Price per Unit | Transaction Amount |
|---|---|---|---|---|---|
| 10/02 | HEWLETT-PACKARD CO DE | Dividend received | | | $29.04 |
| 10/04 | 8X8 INC NEW | You bought<br>Transaction cost: -$7.95 | 500.000 | $10.16500 | -5,090.45 |
| 10/04 | VIOLIN MEMORY INC COM | You bought<br>Transaction cost: -$7.95 | 1,000.000 | 7.58740 | -7,595.35 |
| 10/23 | CISCO SYS INC | Dividend received | | | 51.00 |
| 10/31 | CASH | Interest earned | | | 0.04 |

**Core Account** *- Cash*

| Description | Amount | Balance | Description | Amount | Balance |
|---|---|---|---|---|---|
| Beginning | | $14,392.58 | Income | 80.04 | |
| Securities bought | -$12,685.80 | | Deposits | 901.00 | |
| Core account income | 0.04 | | Ending | | $2,687.86 |

***Deposits ( 1)***

| Date | Description | Amount | Date | Description | Amount | Date | Description | Amount |
|---|---|---|---|---|---|---|---|---|
| 10/15 | DEPOSIT SSA TREAS 3 | $901.00 | **Total** | | **$901.00** | | | |

***Daily Additions and Subtractions*** *Cash @ $1 per share (the following is provided to you in accordance with industry regulations)*

| Date | Amount | Balance | Date | Amount | Balance | Date | Amount | Balance |
|---|---|---|---|---|---|---|---|---|
| 10/ 02 | $29.04 | $14,421.62 | 10/ 15 | 901.00 | 2,636.82 | 10/ 31 | 0.04 | 2,687.86 |
| 10/ 04 | -12,685.80 | 1,735.82 | 10/ 23 | 51.00 | 2,687.82 | | | |

Fidelity INVESTMENTS

# Investment Report

October 1, 2013 - October 31, 2013

## Brokerage [redacted] SHARON DOMINO CUSTODIAN FOR REAGAN D DOMINO - UTMA FL

Your Account Executive: THOMAS HOLLIGER 800-553-3292 ext. 54230

### Account Summary

| | |
|---|---|
| Beginning value as of Oct 1 | $109,127.93 |
| Additions | 901.00 |
| Transaction costs, loads and fees | -15.90 |
| Change in investment value | 21.11 |
| **Ending value as of Oct 31** | **$110,034.14** |
| Accrued Interest (AI) | $0.00 |
| Change in AI from last statement | $0.00 |

### Income Summary

| | This Period | Year to Date |
|---|---|---|
| **Taxable** | | |
| Dividends | $80.04 | $888.88 |
| Interest | 0.02 | 0.45 |
| **Total** | **$80.06** | **$889.33** |

### Realized Gain/Loss from Sales

| | This Period | Year to Date |
|---|---|---|
| Long-term gain | $0.00 | $4,262.68 |

### Holdings (Symbol) as of October 31, 2013

| | Quantity October 31, 2013 | Price per Unit October 31, 2013 | Total Cost Basis | Total Value October 1, 2013 | Total Value October 31, 2013 |
|---|---|---|---|---|---|
| ***Stocks 98% of holdings*** | | | | | |
| AKAMAI TECH (AKAM ) | 150.000 | $44.755 | $5,040.50 | $7,755.00 | $6,713.25 |
| ALLSCRIPTS HEALTHCARE SOLUTIONS INC COM (MDRX ) | 130.000 | 13.830 | 1,649.50t | 1,933.10 | 1,797.90 |
| APPLE INC (AAPL ) | 20.000 | 522.702 | 8,608.69 | 9,535.00 | 10,454.04 |
| EAI: $244.00, EY: 2.33% | | | | | |
| CARBONITE INC COM USD0.01 (CARB ) | 300.000 | 13.240 | 2,909.13 | 4,500.00 | 3,972.00 |
| CARNIVAL CORP COM STK USD0.01(PAIRED STOCK) (CCL ) | 100.000 | 34.650 | 3,170.65 | 3,264.00 | 3,465.00 |
| EAI: $100.00, EY: 2.89% | | | | | |
| CISCO SYS INC (CSCO ) | 300.000 | 22.560 | 4,933.44 | 7,029.30 | 6,768.00 |
| EAI: $204.00, EY: 3.01% | | | | | |
| 8X8 INC NEW (EGHT ) | 150.000 | 11.441 | 1,532.70 | | 1,716.15 |
| FACEBOOK INC COM USD0.000006 CL A (FB ) | 180.000 | 50.205 | 3,740.55 | 9,041.40 | 9,036.90 |
| FORD MTR CO DEL COM (F ) | 500.000 | 17.110 | 4,153.00 | 8,435.00 | 8,555.00 |
| EAI: $200.00, EY: 2.34% | | | | | |
| HEWLETT-PACKARD CO DE (HPQ ) | 200.000 | 24.370 | 7,245.73 | 4,198.00 | 4,874.00 |
| EAI: $116.16, EY: 2.38% | | | | | |
| HOSPIRA INC (HSP ) | 100.000 | 40.520 | 2,646.00t | 3,922.00 | 4,052.00 |
| HUNTSMAN CORP (HUN ) | 600.000 | 23.220 | 5,876.06 | 12,366.00 | 13,932.00 |
| EAI: $300.00, EY: 2.15% | | | | | |

Fidelity INVESTMENTS

## Investment Report

October 1, 2013 - October 31, 2013

**Brokerage** [redacted] SHARON DOMINO CUSTODIAN FOR REAGAN D DOMINO - UTMA FL

Your Account Executive: THOMAS HOLLIGER 800-553-3292 ext. 54230

| **Holdings** (Symbol) as of October 31, 2013 | Quantity October 31, 2013 | Price per Unit October 31, 2013 | Total Cost Basis | Total Value October 1, 2013 | Total Value October 31, 2013 |
|---|---|---|---|---|---|
| IDERA PHARMACEUTICALS INC COM NEW (IDRA) | 600.000 | 1.840 | 3,672.00t | 1,044.00 | 1,104.00 |
| INFINITY PHARMACEUTICALS INC (INFI) | 150.000 | 13.550 | 3,025.94 | 2,614.50 | 2,032.50 |
| LULULEMON ATHLETICA INC COM STK USD0.01 ISIN #US5500211090 SEDOL #B23N515 (LULU) | 100.000 | 69.090 | 7,026.81 | 7,313.00 | 6,909.00 |
| MOLYCORP INC DELAWARE COM USD0.001 (MCP) | 400.000 | 5.070 | 2,855.91 | 2,624.00 | 2,028.00 |
| PREMIER ALLIANCE GROUP INC (PIMO) | 6,000.000 | 0.601 | 4,723.85 | 3,780.00 | 3,606.00 |
| SKYWORKS SOLUTIONS INC COM (SWKS) | 200.000 | 25.800 | 1,630.00t | 4,968.00 | 5,160.00 |
| STANDARD PAC CORP (SPF) | 700.000 | 7.930 | 2,771.90 | 5,537.00 | 5,551.00 |
| VIOLIN MEMORY INC COM (VMEM) | 600.000 | 6.650 | 4,560.39 | | 3,990.00 |
| VIRNETX HOLDING CORP COM STK USD0.0001 (VHC) | 120.000 | 21.740 | 2,388.89 | 2,448.00 | 2,608.80 |
| **Subtotal of Stocks** | | | **84,161.64** | | **108,325.54** |
| **Core Account *2% of holdings*** | | | | | |
| CASH | 1,708.600 | 1.000 | not applicable | 6,820.63 | 1,708.60 |
| **Subtotal of Core Account** | | | | | **1,708.60** |
| **Total** | | | **$ 84,161.64** | | **$110,034.14** |

*All positions held in cash account unless indicated otherwise.*

*t - Third-party provided*

*Total Cost Basis does not include the cost basis on core, money market or other positions where cost basis is unknown or not applicable.*

***Estimated Annual Income (EAI) & Estimated Yield (EY)*** *- EAI is an estimate of annual income for a specific security position over the next rolling 12 months. EY is calculated by dividing the current EAI for a security position by its statement closing date market value. EY reflects only the income generated by an investment; it does not reflect changes in its price, which may fluctuate. For certain types of securities, EAI and EY could include the return of principal or capital gains which would render them overstated. EAI and EY are estimates provided for informational purposes only and should not be relied on for making investment, trading, or tax decisions. There is no guarantee that your investments will actually generate the EAI or EY presented. Actual income and yield might be higher or lower. EAI and EY should not be confused with a security's 30-day Yield or 7-day Yield, if provided, as such yield quotations reflect the actual historical performance of a security.* ***For additional information, including calculation details, refer to the "Additional Information and Endnotes" section at the end of your statement.***

**Brokerage** [redacted] SHARON DOMINO CUSTODIAN FOR REAGAN D DOMINO - UTMA FL
Your Account Executive: THOMAS HOLLIGER 800-553-3292 ext. 54230

**Estimated Cash Flow** rolling as of October 31, 2013

The table below presents the estimated monthly interest and dividend income and return of principal that your current holdings may generate over the next rolling 12 months. The cash flows displayed are estimates provided for informational purposes only and there is no guarantee that you will actually receive any of the amounts displayed. These estimates should not be relied upon for making investment, trading or tax decisions. The estimates for fixed rate domestic bond and CD income are calculated using the security's coupon rate. The estimates for domestic common stock and mutual fund income are calculated using an indicated annual dividend (IAD). The IAD is an estimate of a security's dividend payments for the next 12 months calculated based on prior and/or declared dividends for that security. IADs are sourced from third party vendors believed to be reliable, but no assurance can be made as to accuracy. There are circumstances in which these estimates will not be presented for a specific security you hold. **Please refer to Help/Glossary on Fidelity.com for additional information on these calculations.**

| Month | Bond & CD Income | Bond & CD Principal | Stock Income | Mutual Fund Income | Total Estimated Cash Flow |
|---|---|---|---|---|---|
| Nov 2013 | – | – | $61 | – | $61 |
| Dec 2013 | – | – | $150 | – | $150 |
| Jan 2014 | – | – | $80 | – | $80 |
| Feb 2014 | – | – | $61 | – | $61 |
| Mar 2014 | – | – | $150 | – | $150 |
| Apr 2014 | – | – | $80 | – | $80 |
| May 2014 | – | – | $61 | – | $61 |
| Jun 2014 | – | – | $150 | – | $150 |
| Jul 2014 | – | – | $80 | – | $80 |
| Aug 2014 | – | – | $61 | – | $61 |
| Sep 2014 | – | – | $150 | – | $150 |
| Oct 2014 | – | – | $80 | – | $80 |
| **Total** | – | – | **$1,164** | – | **$1,164** |

*– not available*

***Bond & CD Income*** *includes interest payments for fixed rate bonds and Certificates of Deposit (CDs).*
***Bond & CD Principal*** *includes maturing principal payments for CDs and the following bonds: fixed rate, floating rate, variable rate, discount, and zero coupon.*
***Stock Income*** *includes estimated dividend payments for common stock, ADR's, and REITs.*
***Mutual Fund Income*** *includes estimated dividend payments for Fidelity and non-Fidelity mutual funds.*

***The table above does not include cash flow from the following securities:*** *preferred stocks, international stocks, exchange trade products (ETF's & ETN's), UITs, variable rate bonds, and international bonds, but may be included in future enhancements.*

## Transaction Details

(for holdings with activity this period)

Fidelity INVESTMENTS

## Investment Report

October 1, 2013 - October 31, 2013

**Brokerage** [redacted] SHARON DOMINO CUSTODIAN FOR REAGAN D DOMINO - UTMA FL
Your Account Executive: THOMAS HOLLIGER 800-553-3292 ext. 54230

### Brokerage Activity

| Settlement Date | Security | Description | Quantity | Price per Unit | Transaction Amount |
|---|---|---|---|---|---|
| 10/02 | HEWLETT-PACKARD CO DE | Dividend received | | | $29.04 |
| 10/04 | 8X8 INC NEW | You bought<br>Transaction cost: -$7.95 | 150.000 | $10.16500 | -1,532.70 |
| 10/04 | VIOLIN MEMORY INC COM | You bought<br>Transaction cost: -$7.95 | 600.000 | 7.58740 | -4,560.39 |
| 10/23 | CISCO SYS INC | Dividend received | | | 51.00 |
| 10/31 | CASH | Interest earned | | | 0.02 |

### Core Account - *Cash*

| Description | Amount | Balance | Description | Amount | Balance |
|---|---|---|---|---|---|
| Beginning | | $6,820.63 | Income | 80.04 | |
| Securities bought | -$6,093.09 | | Deposits | 901.00 | |
| Core account income | 0.02 | | Ending | | $1,708.60 |

***Deposits ( 1)***

| Date | Description | Amount | Date | Description | Amount | Date | Description | Amount |
|---|---|---|---|---|---|---|---|---|
| 10/15 | DEPOSIT SSA TREAS 3 | $901.00 | | **Total** | **$901.00** | | | |

***Daily Additions and Subtractions*** *Cash @ $1 per share (the following is provided to you in accordance with industry regulations)*

| Date | Amount | Balance | Date | Amount | Balance | Date | Amount | Balance |
|---|---|---|---|---|---|---|---|---|
| 10/ 02 | $29.04 | $6,849.67 | 10/ 15 | 901.00 | 1,657.58 | 10/ 31 | 0.02 | 1,708.60 |
| 10/ 04 | -6,093.09 | 756.58 | 10/ 23 | 51.00 | 1,708.58 | | | |

## Additional Information About Your Investment Report

A copy of your Investment Report is available to:

| | |
|---|---|
| CARL DOMINO, INC.<br>515 N FLAGLER DRIVE<br>WEST PALM BEACH FL 33401 | CARL DOMINO INC<br>MICHAEL J DIXON<br>251 ROYAL PALM WAY STE 601<br>PALM BEACH FL 33480-4339 |

▶ *Order Flow Practices: As the introducing broker for your account, FBS routes your orders to our clearing firm affiliate, National Financial Services ("NFS"). In deciding where to send orders received for execution, NFS looks at a number of factors, such as size of the order, trading characteristics of the security, favorable execution prices (including the opportunity for price improvement), access to reliable market data, availability of efficient automated transaction processing and execution cost. Some market centers or broker-dealers may execute orders at prices superior to the publicly quoted market. NFS's order routing policies are designed to result in transaction processing that is favorable to its customers. Where a customer directs the market center to which an order is routed, FBS or NFS will route the order to such market center in accordance with the customer's instructions without regard to its general order-routing practices.*

*FBS and/or NFS receives remuneration, compensation, or other consideration for directing customer orders to certain market centers. Such consideration may take the form of financial credits, monetary payments, rebates, volume discounts or reciprocal business. The details of any credit, payment, rebate or other form of compensation received in connection with the routing of a particular order will be provided upon your request. NFS may execute certain transactions as principal. In addition, from time to time, Fidelity may provide aggregated trade execution data to customers and prospective customers.*

*Order Routing Disclosure: Quarterly reports: Quarterly information regarding the routing of orders by NFS in listed equity securities and listed options is available online at Fidelity.com. The reports are formatted in accordance with Securities and Exchange Commission requirements. Investor Inquiry: You can request your specific order routing and execution information for the preceding six months. This information will include the identity of the marketplace where your orders were routed for execution, whether the orders were directed or non-directed, and, if executed, the time of the execution. You may contact Fidelity for additional details on the information that is available.*

*FINRA BrokerCheck: As part of the Financial Industry Regulatory Authority (FINRA) BrokerCheck program, you have access to the FINRA BrokerCheck hotline at 800-289-9999 and FINRA Web site at www.finra.org. You can call or e-mail your inquiries and request a brochure that includes information detailing the BrokerCheck program. 445123.12.46*

▶ *NEW WIRE TRANSFER SECURITY PROCEDURES AVAILABLE TO YOU*

*Fidelity Brokerage Services LLC ("Fidelity") is making available to Account owner(s) ("You") new security procedures that Fidelity will follow when Fidelity is instructed to perform certain fund transfers from your Fidelity brokerage account managed by your Authorized agent/Advisor ("Your Account"). These procedures will enable Fidelity to verify that wire transfers from Your Account are properly authorized. The new procedures are described in detail below. If You agree to these new security procedures, You do not need to take any action. If You would like to decline these additional security procedures, please contact Fidelity or your Authorized Agent/Advisor.*

*New Security Procedures: (1) Fidelity will call You to verify wires requests for an amount greater than $250,000 from Your Account to an account in your name outside Fidelity (first party wires). (2) Fidelity will call You to verify wires requests for an amount greater than $50,000 from Your Account to an account not in your name outside Fidelity (third party wires)*

*Important Information: The new security procedures will not apply to wire transfer requests from Your Account initiated by your Authorized Agent/Advisor that are based on Standing Instructions we have on file for Your Account. Fidelity bases its determination as to first and third party wires based solely on the instructions provided with your wire request as we cannot verify the account registration at the receiving financial institution. If You decline these new security procedures then our liability to You for an unauthorized wire transfer may be limited. Wire transfer requests from Your Account may be delayed or not processed if we cannot reach You.*

- *Fidelity is required by the Securities Exchange Act of 1934 to provide certain financial information from the Statement of Financial Condition of National Financial Services LLC (NFS). At July 31, 2013, NFS, an affiliate of Fidelity Brokerage Services LLC, had net capital of $2,512 million, which was 12.45% of aggregate debit items and exceeded its minimum requirement by $2,108 million. To acquire the Statement of Financial Condition of National Financial Services LLC (NFS), log on to Fidelity.com. If you wish to obtain a copy of this document at no cost, or have any questions regarding its contents, please call Fidelity at 800-544-6666. 645031.2.0*

- *In accordance with the terms of the customer agreement applicable to your account with Fidelity, Fidelity is modifying the section of your Agreement regarding debits to your account/payment of items to add the following: If a check issued to you from your account remains uncashed and outstanding for at least six months, you authorize and instruct Fidelity to cancel the check and return the underlying proceeds to you by depositing the proceeds into your account's core position.659063.1.98*

- *Effective November 6, 2013, new regulations around Russian securities will require Fidelity to disclose to issuers beneficial owner information; including name, mailing address and country of residence regardless of any objecting beneficial ownership ("OBO") designation that a beneficial owner may have made in connection with their brokerage account. For the period of November 6, 2013 to December 31, 2013, participation in any dividend payments for Russian Securities will require disclosure of this information. In addition, effective November 6, 2013 and continuing indefinitely, participation in any proxy/shareholder meeting voting of Russian securities will also require disclosure of beneficial owner information. Please contact Fidelity or your Authorized agent/Advisor with any questions. 667582.1.12*

## Additional Information and Endnotes

Although Fidelity reports certain cost basis and holding period information to you and to the IRS on your annual Form 1099-B, Fidelity-provided estimated cost basis, gain/loss, and holding period information may not reflect all adjustments necessary for tax reporting purposes. Taxpayers should verify such information against their own records when calculating reportable gain or loss resulting from a sale, redemption or exchange. Amortization, accretion and similar adjustments to cost basis are provided for many fixed income securities (and some bond-like equities), however, they are not provided for certain fixed income securities, such as short-term instruments, Unit Investment Trusts, foreign fixed income securities, or those that are subject to early prepayment of principal (pay downs).

EAI for fixed rate domestic bonds and CDs is calculated using the coupon rate. For all other securities, EAI is calculated using an indicated annual dividend (IAD). The IAD is an estimate of a security's dividend payments for the next 12 months calculated based on prior and/or declared dividends for that security. IADs are sourced from third party vendors believed to be reliable, but no assurance can be made as to accuracy. This third party data may not be promptly updated to reflect various corporate actions (for example, when an issuer has missed a scheduled dividend payment, announced changes to a payment schedule, or declared a stock split) and, as a result, the EAI and EY may be over or understated. Interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political and business conditions. **Please refer to the Help/Glossary on Fidelity.com for additional information regarding these calculations.**

**EAI and EY are not provided for:** preferred stocks, exchange traded products (ETFs & ETNs), UITs, variable rate bonds, and international stocks & bonds, but may be included in the future. EAI is not displayed for amounts less than $10.

## If you have a question about your account or require service, please call your investment advisor/authorized agent.

**Lost or Stolen Cards** - For Visa ® Check (Debit/ATM) Cards, call 800-323-5353. For details refer to your Fidelity Check Card Agreement and Disclosure Statement.
**Additional Investments with Fidelity** - Please make non-retirement checks payable to Fidelity Brokerage Services LLC (FBS), and for retirement accounts make checks payable to Fidelity Management Trust Company. Please include your account number on the check. Do not include trading instructions with check deposits. We mail statements at least quarterly for any account with a balance. Please review your statement and report any inaccuracies or discrepancies immediately. Questions regarding your brokerage account or the activity therein should be directed to FBS at **800-544-6666**, and National Financial Services LLC ("NFS"), who carries your brokerage accounts, at **866-408-1138.** Any oral communications regarding inaccuracies or discrepancies should be reconfirmed in writing to protect your rights, including those under the Securities Investor Protection Act ("SIPA"). Please advise us promptly of any material changes in your investment objectives or financial situation related to your brokerage account(s). If you request a reprint of your statement, the disclosure information may not be the same as the original.
**Terms and Conditions of the Statement** - Fidelity does not endorse or recommend any particular investment, trading activity, or investment advisor/authorized agent. Fidelity has no responsibility for and will not review, monitor or supervise suitability of trading by any investment advisor/authorized agent. Fidelity has not verified the content of any logo or artwork on this statement identifying a firm other than Fidelity. The firm represented by such a logo or artwork is not an agent of or affiliated with Fidelity.
**Changes in Portfolio Value and Account Summary** - Shows activity in your portfolio and in each of your accounts for the statement period. To confirm that an authorized, direct deposit was made to your Fidelity Account, call Fidelity at 1-800-544-5555.
**Value by Account** - Shows the value of your account(s), for the current and previous statement periods.
**Income Summary** - Shows income by tax status for the statement and year-to-date periods. Except for interest earned on, or distributed by, tax-exempt securities, Fidelity reports dividends and capital gains held in taxable accounts as taxable income. A portion of this income may be subject to state and local taxes and the federal alternative minimum tax. Fidelity reports earnings on investments in Traditional IRAs, Rollover IRAs, SEP-IRAs and Keoghs as tax-deferred income. Earnings on Roth-IRAs are reported as tax-exempt income, since distributions may be tax-exempt after meeting the five-year aging requirement and certain other conditions.
**Cost Basis, Gain/Loss, and Holding Period Information** - Cost basis is the original amount paid to purchase a security, including the amount of reinvested dividends and capital gains. Generally, we adjust cost basis for events such as returns of capital (including dividend reclassifications) and disallowed losses on wash sales on identical securities within the same account. NFS is required to report certain cost basis and holding period information to the IRS on Form 1099-B. However, cost basis, realized or unrealized gain and loss, and holding period information may not reflect all adjustments required for your tax reporting purposes. NFS and Fidelity specifically disclaim any liability arising out of a customer's use of, or any tax position taken in reliance upon, such information. Unless otherwise specified, NFS determines cost basis at the time of sale using its default methods of average cost for open-end mutual funds (except ETFs) and first-in, first-out (FIFO) for all other securities (including ETFs and shares held in dividend reinvestment plans). Customers should consult their tax advisors for further information.
**Cost** - Fidelity provides purchase cost information for securities held in retirement accounts. Such information may be adjusted for certain transactions and does not reflect reinvestments of dividends or capital gains. Fidelity reports transaction profit or loss information when securities are sold within a retirement account. Transaction profit or loss is calculated by subtracting purchase cost from sales proceeds using the FIFO method if shares were purchased at different times or prices.
**Contributions/Distributions** - Summarizes Traditional IRA and Roth IRA contributions, and taxable and non-taxable retirement distributions for these statement and year-to-date periods.
**Total Value** - The reported market value of an investment at the beginning or end of a statement period.
**Unrealized gain/loss** - For long positions, ending market value minus cost basis in non-retirement accounts and ending market value minus cost in retirement accounts. For short positions, proceeds minus ending market value. Unrealized gain/loss is calculated for pending sales because they are still in holdings. Unrealized gain/loss is not calculated for pending purchases because they are not in holdings.
**Holding Type Percentage** - Percentages by holding type only include long positions. Holding type percentages are rounded to the nearest percent and summed. If the sum is less than 100%, the difference between 100% and the sum is added to the holding type with the largest percentage.
**Change in Investment Value** - The appreciation or depreciation of your holdings due to price changes, plus any distribution and income earned during the statement period, less any transaction costs, sales charges, or fees.
**Account Protection** - Securities in accounts carried by NFS, a Fidelity Investments company, are protected in accordance with the Securities Investor Protection Corporation (SIPC) up to $500,000 (including cash claims limited to $250,000). For more information, including the SIPC brochure, please see www.sipc.org or call 1-202-371-8300. NFS has arranged for additional protection for cash and covered securities to supplement its SIPC coverage. Neither coverage protects against a decline in the market value of securities. Mutual funds and/or other securities are not backed or guaranteed by any bank, nor are they insured by the FDIC and involve investment risk including possible loss of principal. Bank deposits are not securities and are not covered by SIPC. Funds used to purchase or sweep to a bank deposit are SIPC protected until deposited to a Program Bank at which time funds may be eligible for FDIC insurance.
**Information About Mutual Funds and Their Performance - Before investing consider the fund's or insurance product's investment objectives, risks, charges and expenses. Contact Fidelity or visit Fidelity.com for a prospectus containing this information. Read it carefully before investing. Performance data shown represents past performance, and is no guarantee of future results. Investment return and principal value will fluctuate, so you may have a loss or a gain when shares are sold. Current performance may be higher or lower than that quoted. An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. Although a money market fund seeks to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in the fund. Visit Fidelity.com/performance for most recent month-end performance.** Each fund reserves the right to terminate or modify its exchange privilege. Foreign investment, especially those in emerging markets, may involve greater risks than U.S. investments. In connection with access to, purchase of, and/or maintenance of positions in mutual fund and other investment products ("funds"), FBS or NFS may receive the sales load and 12b-1 fees described in the prospectus as well as additional compensation, paid by the funds, their investment advisors or affiliates. Additional information about the source(s) and amount(s) of compensation as well as other remuneration received by FBS or NFS will be furnished to you upon written request. At the time you purchase shares of funds those shares will be assigned either a load, transaction fee (TF) or no transaction fee (NTF) status. When you subsequently sell those shares, any fees applicable to your transaction will be assessed based on the status assigned to the shares at the time of purchase.
**Customer Free Credit Balance** - You are entitled to your free credit balance in your brokerage account, subject to open commitments in your cash accounts. Free credit balances are not segregated and may be used in NFS's business in accordance with federal securities law. Retirement accounts do not qualify.
**Assets Separate From Your Brokerage Account** - Only securities in the margin portion of your brokerage accounts contribute to margin and maintenance requirements. Other assets, which may be reported on your statement, including insurance products that are distributed by FBS and Fidelity Insurance Agency, Inc. and mutual fund assets outside your brokerage account (that may be reported on your statement) are not carried by NFS, are not covered by SIPC and do not count toward your margin and maintenance requirements.
**Short Account Balances** - Securities sold short are held in a segregated short account. These securities are marked-to-market for margin purposes and increase or decrease from the short sale price is transferred weekly to your margin account. Your short account balance is shown as of the last weekly mark-to-market, not statement end date.
**Information About Your Option Transactions** - Each transaction confirmation contains full information about commissions and other charges. If you require further information, contact Fidelity at 800-800-6890. Assignments of American and European-style options are allocated among customer short positions pursuant to a random allocation procedure, a description of which is available upon request. Short positions in American-style options are liable for assignment at any time. The writer of a European-style option is subject to exercise and assignment only during the exercise period.
**Equity Dividend Reinvestment** - Shares credited to your account from transactions by FBS acting as agent for your account, or the Depository Trust Company (DTC).
**Price Information/Total Market Value** – The Total Market Value has been calculated to 9 decimal places; however, the individual unit price is displayed in 5 decimal places. The Total Market Value represents prices obtained from various sources, may be impacted by the frequency with which such prices are reported and such prices are not guaranteed. Prices received from pricing vendors are generally based on current market quotes, but when such quotes are not available pricing vendors use a variety of techniques to estimate value. These estimates, particularly for fixed income securities, may be based on certain minimum principal amounts (e.g. $1 million) and may not reflect all factors that affect the value of the security, including liquidity risk. The prices provided are not firm bids or offers. Certain securities may reflect N/A or unavailable where the price for such security is generally not available from a pricing source. The Market Value of a security, including those priced at par value, may differ from its purchase price and may not closely reflect the value at which the security may be sold or purchased based on various market factors. The sale or redemption of any fixed income security prior to maturity may result in a loss. Prices for Certificates of Deposit (CDs) are generally estimates and are not based on actual market prices. The secondary market for CDs is generally illiquid. You should always request a current valuation for your securities prior to making a financial decision or placing an order.
("A") Alternative Investments - Investments such as direct participation program securities (e.g., partnerships, limited liability companies, and real estate investment trusts which are not listed on any exchange), commodity pools, private equity, private debt and hedge funds are generally illiquid investments and their current values may differ from purchase price. Unless otherwise indicated, the values shown in this statement for such investments have been provided by the management, administrator or sponsor of each program or a third-party vendor without independent verification by Fidelity Brokerage Services (FBS) and represent their estimate of the value of the investor's participation in the program, as of a date no greater than 18 months from the date of this statement. Thus, the estimated values shown herein may not necessarily reflect actual market values or be realized upon liquidation. If an estimated value is not provided, valuation information is not available.
In executing orders on the Floor, the Floor broker may permit the specialist to trade on parity with the order for some or all of the executions associated with filling that order, where such permission would not be inconsistent with the brokers best execution obligations.
**Fidelity Investments** - Fidelity Distributors Corporation (FDC) is the distributor for Fidelity Funds with marketing and shareholder services provided by FBS or NFS. Brokerage services are provided by FBS, which clears all transactions through its affiliate NFS. NFS carries all brokerage accounts. FBS and NFS are members of the NYSE and SIPC. FBS, NFS and FDC are all direct or indirect subsidiaries of FMR LLC. Upon written request, Fidelity will mail a NFS financial statement, which is also available at its office. Fidelity Investments (with pyramid logo) is a trademark of FMR LLC. Insurance products are distributed by FBS, Fidelity Insurance Agency, Inc., and Fidelity Investments Insurance Agency of Texas, Inc.

534024.9.0

Fidelity INVESTMENTS

# 2012 Investment Report

January 1, 2012 - December 31, 2012

[redacted]

| | |
|---|---|
| Online | Fidelity.com |
| FAST(sm)-Automated Telephone | 800-544-5555 |
| Private Client Group | 800-544-5704 |
| Customer Service | 800-544-6666 |

Envelope 931007068

CARL DOMINO, INC.

WEST PALM BEACH FL 33401

**Your Client**
CARL J DOMINO
[redacted]

▶ This Investment Report summarizes activity in your Fidelity accounts for the past year. We hope you find it helpful, however, keep in mind that it is not intended for tax reporting purposes. Adjustments often occur after this report has been created. Fidelity mails a separate (Forms 1099) Tax Reporting Statement, if applicable, to assist you with your tax returns, in January or by February 15th. That statement includes information on estimated realized gains & losses, estimated cost basis, and Fidelity tax-exempt funds. Your Form 5498, Form 1099-R, and other forms are each mailed separately.

## Brokerage [redacted] CARL J DOMINO - INDIVIDUAL

### 2012 Account Summary

| | |
|---|---|
| Beginning mkt value as of Jan 1 | $11,439,369.86 |
| Withdrawals | -410,962.66 |
| Transaction costs, loads and fees | -5,336.68 |
| Net adjustments | 532.99 |
| Margin interest paid | -230,123.59 |
| Change in investment value | 2,678,027.48 |
| Change in debit balance | 548,447.77 |
| Ending mkt value as of Dec 31 | 14,019,955.17 |
| | |
| Debit balance | -7,155,851.74 |
| **Ending Net Value** | **$6,864,103.43** |

### Income Summary

| | |
|---|---|
| **Taxable** | |
| Ordinary Dividends | |
| Dividends | $333,975.47 |
| Substitute Payments | 21,209.73 |
| **Total** | **$355,185.20** |

*Your Advisor is an independent organization and is not affiliated with Fidelity Investments. Brokerage services provided by Fidelity Brokerage Services LLC, Member NYSE, SIPC. 800-544-6666. Brokerage Accounts carried with National Financial Services LLC, Member NYSE, SIPC*

## Brokerage [redacted] CARL J DOMINO - INDIVIDUAL

| Holdings (Symbol) as of 12/31 | Income Earned | Quantity | Price per Unit | Total Cost Basis/Proceeds | Total Value |
|---|---|---|---|---|---|
| **Stocks** | | | | | |
| M NORDIC AMERICAN TANKERS LIMITED USD0.01 (NAT) | $5,294.42 | 14,000.000 | $8.750 | $179,066.80 | $122,500.00 |
| M WARNER CHILCOTT PLC COM CLASS A USD0.01 (WCRX) | 38,250.00 | 9,000.000 | 12.040 | 195,478.09 | 108,360.00 |
| M WEATHERFORD INTERNATIONAL LIMITED CHF1.16 (WFT) | 0.00 | 6,000.000 | 11.190 | 66,195.30 | 67,140.00 |
| M TRONOX LTD ORD REG (TROX) | 0.00 | 5,000.000 | 18.250 | 91,838.30 | 91,250.00 |
| M ROYAL CARIBBEAN CRUISES COM STK USD0.01 (RCL) | 5,122.40 | 8,000.000 | 34.000 | 110,095.37 | 272,000.00 |
| M ABIOMED INC (ABMD) | 0.00 | 14,000.000 | 13.440 | 134,475.25 | 188,160.00 |
| M ACCURAY INC DEL COM (ARAY) | 0.00 | 22,262.000 | 6.430 | 140,886.14 | 143,144.66 |
| M ADOBE SYS INC (ADBE) | 0.00 | 5,000.000 | 37.680 | 131,237.95 | 188,400.00 |
| M ALLSCRIPTS HEALTHCARE SOLUTIONS INC COM (MDRX) | 0.00 | 15,000.000 | 9.420 | 148,736.69 | 141,300.00 |
| M AMARIN CORP ADR EACH REP 1 ORD GBP0.50 (AMRN) | 0.00 | 18,000.000 | 8.090 | 204,382.94 | 145,620.00 |
| M AMYRIS INC COM USD0.0001 (AMRS) | 0.00 | 45,000.000 | 3.120 | 240,896.21 | 140,400.00 |
| M ANTARES PHARMA INC (ATRS) | 0.00 | 37,820.000 | 3.810 | 110,495.94 | 144,094.20 |
| M BANK OF AMERICA CORP (BAC) | 610.00 | 10,000.000 | 11.610 | 121,875.30 | 116,100.00 |
| M CTC MEDIA INC COM (CTCM) | 11,246.07 | 22,000.000 | 7.780 | 99,064.20 | 171,160.00 |
| M CARBONITE INC COM USD0.01 (CARB) | 0.00 | 21,988.000 | 9.250 | 177,623.49 | 203,389.00 |
| M CHESAPEAKE ENERGY CORPORATION OKLAHOMA (CHK) | 2,371.72 | 7,000.000 | 16.620 | 146,544.60 | 116,340.00 |
| M CLEAN ENERGY FUELS CORP (CLNE) | 0.00 | 12,000.000 | 12.450 | 175,594.66 | 149,400.00 |
| M CLEAR CHANNEL OUTDOOR HLDGS INC COM CL A (CCO) | 138,783.32 | 28,300.000 | 7.020 | 185,615.02 | 198,666.00 |
| M CREE INC (CREE) | 0.00 | 6,000.000 | 33.980 | 169,922.57 | 203,880.00 |
| M D R HORTON INC (DHI) | 4,837.50 | 15,000.000 | 19.780 | 189,384.42 | 296,700.00 |
| M DENDREON CORP (DNDN) | 0.00 | 32,000.000 | 5.290 | 223,097.53 | 169,280.00 |
| M DIGITAL GENERATION INC COM (DGIT) | 0.00 | 15,000.000 | 10.910 | 256,550.46 | 163,650.00 |
| M E TRADE FINL CORP COM NEW (ETFC) | 0.00 | 15,000.000 | 8.950 | 262,964.68 | 134,250.00 |
| M EAGLE MATERIALS INC (EXP) | 2,400.00 | 6,000.000 | 58.500 | 137,959.40 | 351,000.00 |

Fidelity INVESTMENTS

## 2012 Investment Report

January 1, 2012 - December 31, 2012

## Brokerage [redacted] CARL J DOMINO - INDIVIDUAL

| Holdings (Symbol) as of 12/31 | Income Earned | Quantity | Price per Unit | Total Cost Basis/Proceeds | Total Value |
|---|---|---|---|---|---|
| FACEBOOK INC COM USD0.000006 CL A (FB ) | 0.00 | 9,000.000 | 26.620 | 179,491.70 | 239,580.00 |
| F5 NETWORKS INC (FFIV ) | 0.00 | 3,000.000 | 97.150 | 330,904.95 | 291,450.00 |
| FORD MTR CO DEL COM (F ) | 4,300.00 | 17,000.000 | 12.950 | 278,829.20 | 220,150.00 |
| FORTINET INC COM USD0.001 (FTNT ) | 0.00 | 5,000.000 | 21.020 | 106,456.19 | 105,100.00 |
| FUSION-IO COM USD0.0002 (FIO ) | 0.00 | 7,000.000 | 22.930 | 192,456.95 | 160,510.00 |
| GANNETT CO INC (GCI ) | 8,400.00 | 6,200.000 | 18.010 | 62,019.90 | 111,662.00 |
| GERON CORP (GERN ) | 0.00 | 61,300.000 | 1.410 | 108,213.75 | 86,433.00 |
| HANSEN MED INC COM (HNSN ) | 0.00 | 67,000.000 | 2.080 | 150,726.87 | 139,360.00 |
| HEALTHWAYS INC COM (HWAY ) | 0.00 | 17,000.000 | 10.700 | 157,039.86 | 181,900.00 |
| HUNTINGTON BANCSHARES INC COM (HBAN ) | 3,997.16 | 25,000.000 | 6.390 | 162,476.97 | 159,750.00 |
| HUNTSMAN CORP (HUN ) | 11,200.00 | 28,000.000 | 15.900 | 108,608.82 | 445,200.00 |
| IGI LABORATORIES INC (IG ) | 0.00 | 33,900.000 | 1.040 | 36,968.48 | 35,256.00 |
| IMPATH INC | 0.00 | 31,500.000 | ——— | 497,556.35 | unavailable |
| INFORMATICA CORP (INFA ) | 0.00 | 5,000.000 | 30.320 | 132,806.20 | 151,600.00 |
| INSPERITY INC COM (NSP ) | 9,960.00 | 6,000.000 | 32.560 | 128,295.90 | 195,360.00 |
| INTRALINKS HLDGS INC COM USD0.001 (IL ) | 0.00 | 16,000.000 | 6.170 | 108,106.58 | 98,720.00 |
| LEVEL 3 COMMUNICATIONS INC COM USD0.01(POST REV SPLIT) (LVLT ) | 0.00 | 5,333.000 | 23.110 | 74,628.29 | 123,245.63 |
| MBIA INC (MBI ) | 0.00 | 23,000.000 | 7.850 | 114,280.55 | 180,550.00 |
| MEMC ELECTRONICS MATERIALS INC (WFR ) | 0.00 | 21,000.000 | 3.210 | 71,338.96 | 67,410.00 |
| MGIC INVST CORP WIS COM (MTG ) | 0.00 | 84,000.000 | 2.660 | 117,186.75 | 223,440.00 |
| MGM RESORTS INTERNATIONAL (MGM ) | 0.00 | 29,000.000 | 11.640 | 246,331.03 | 337,560.00 |
| MAKO SURGICAL CORP COM (MAKO ) | 0.00 | 12,000.000 | 12.850 | 163,849.90 | 154,200.00 |
| MASTEC INC (MTZ ) | 0.00 | 9,500.000 | 24.930 | 108,472.56 | 236,835.00 |
| MEDGENICS INC ORD USD0.0001 ISIN #US58436Q2030 SEDOL #B5VZQS8 (MDGN ) | 0.00 | 19,000.000 | 7.440 | 151,336.64 | 141,360.00 |
| MICROVISION INC DEL COM NEW (MVIS ) | 0.00 | 57,961.000 | 1.910 | 153,188.90 | 110,705.51 |
| MOLYCORP INC DELAWARE COM USD0.001 (MCP ) | 0.00 | 24,000.000 | 9.440 | 256,174.81 | 226,560.00 |

## Brokerage [redacted] CARL J DOMINO - INDIVIDUAL

| Holdings (Symbol) as of 12/31 | Income Earned | Quantity | Price per Unit | Total Cost Basis/Proceeds | Total Value |
|---|---|---|---|---|---|
| M MOTRICITY INC COM USD0.001 (MOTR ) | 0.00 | 86,937.000 | 0.410 | 492,282.65 | 35,644.17 |
| M MYREXIS INC COM (MYRX ) | 0.00 | 38,200.000 | 2.830 | 162,448.74 | 108,106.00 |
| M NEUROCRINE BIOSCIENCES INC (NBIX ) | 0.00 | 26,000.000 | 7.480 | 71,997.48 | 194,480.00 |
| M NOKIA OYJ ADR EACH REPR 1 ORD NPV (NOK ) | 0.00 | 48,000.000 | 3.950 | 128,245.89 | 189,600.00 |
| NORTHERN TR CORP (NTRS ) | 1,386.94 | 915.000 | 50.160 | unknown | 45,896.40 |
| M NUANCE COMMUNICATIONS INC COM (NUAN ) | 0.00 | 12,107.000 | 22.320 | 54,255.63 | 270,228.24 |
| M OMNICELL INC (OMCL ) | 0.00 | 11,000.000 | 14.870 | 118,026.10 | 163,570.00 |
| M PROTALIX BIOTHERAPEUTICS INC (PLX ) | 0.00 | 28,000.000 | 5.190 | 178,097.85 | 145,320.00 |
| M PULSE ELECTRONICS CORP COM (PULS ) | 0.00 | 165,689.000 | 0.311 | 246,464.30 | 51,529.27 |
| M QUESTCOR PHAR INC (QCOR ) | 600.00 | 7,000.000 | 26.720 | 137,944.90 | 187,040.00 |
| M SAREPTA THERAPEUTICS INC COM (SRPT ) | 0.00 | 4,000.000 | 25.800 | 99,243.66 | 103,200.00 |
| M SAVIENT PHARMACEUTICALS INC (SVNT ) | 0.00 | 90,000.000 | 1.050 | 168,285.35 | 94,500.00 |
| M SEATTLE GENETICS INC (SGEN ) | 0.00 | 5,000.000 | 23.170 | 118,525.35 | 115,850.00 |
| M SILICON GRAPHICS INTL CORP COM (SGI ) | 0.00 | 24,000.000 | 10.230 | 161,327.22 | 245,520.00 |
| M SIRIUS XM RADIO INC COM (SIRI ) | 7,500.00 | 150,000.000 | 2.890 | 45,305.54 | 433,500.00 |
| M SKYWORKS SOLUTIONS INC COM (SWKS ) | 0.00 | 16,000.000 | 20.300 | 91,917.92 | 324,800.00 |
| M STANDARD PAC CORP (SPF ) | 0.00 | 49,000.000 | 7.350 | 221,743.22 | 360,150.00 |
| M SUPER MICRO COMPUTER INC (SMCI ) | 0.00 | 1,509.000 | 10.200 | 18,968.13 | 15,391.80 |
| M TD AMERITRADE HLDG CORP COM (AMTD ) | 7,693.58 | 10,000.000 | 16.810 | 129,234.10 | 168,100.00 |
| M TELESTONE TECHNOLOGIES CORP (TSTC ) | 0.00 | 82,314.000 | 1.310 | 135,630.79 | 107,831.34 |
| M TELLABS INC (TLAB ) | 50,756.85 | 42,000.000 | 2.280 | 229,879.96 | 95,760.00 |
| M TENET HEALTHCARE CORP COM NEW (THC ) | 0.00 | 10,925.000 | 32.470 | 91,582.72 | 354,734.75 |
| M THOMPSON CREEK METALS CO INC COM NPV ISIN #CA8847681027 SEDOL #2439806 (TC ) | 0.00 | 50,000.000 | 4.150 | 130,658.12 | 207,500.00 |
| M UBIQUITI NETWORKS INC COM USD0.001 (UBNT ) | 366.31 | 15,600.000 | 12.140 | 157,724.02 | 189,384.00 |
| M VIMPELCOM LTD SPON ADR EACH REPR 1 ORD (VIP ) | 0.00 | 12,000.000 | 10.490 | 148,962.64 | 125,880.00 |

Fidelity INVESTMENTS

# 2012 Investment Report

January 1, 2012 - December 31, 2012

## Brokerage [redacted] CARL J DOMINO - INDIVIDUAL

| Holdings (Symbol) as of 12/31 | | Income Earned | Quantity | Price per Unit | Total Cost Basis/Proceeds | Total Value |
|---|---|---|---|---|---|---|
| M VIRNETX HOLDING CORP COM STK USD0.0001 (VHC ) | | 0.00 | 12,000.000 | 29.280 | 309,703.25 | 351,360.00 |
| M VIVUS INC (VVUS ) | | 0.00 | 11,000.000 | 13.420 | 72,455.81 | 147,620.00 |
| **Options** | | | | | | |
| M CALL (BAC) BANK OF AMERICA JAN 19 13 $11 (100 SHS) (BAC130119C11 ) | | 0.00 | 100.000 | 0.810 | 2,786.07 | 8,100.00 |
| M CALL (GCI) GANNETT CO INC JAN 19 13 $19 (100 SHS) (GCI130119C19 ) | SHT | 0.00 | -40.000 | 0.100 | -960.77 | -400.00 |
| M CALL (MCP) MOLYCORP INC JAN 19 13 $13 (100 SHS) (MCP130119C13 ) | | 0.00 | 100.000 | 0.050 | 4,686.07 | 500.00 |
| M CALL (QCOR) QUESTCOR PHAR INC JAN 19 13 $30 (100 SHS) (QCOR130119C30 ) | SHT | 0.00 | -30.000 | 0.640 | -4,768.50 | -1,920.00 |
| M CALL (VHC) VIRNETX HOLDING CORP JAN 19 13 $27 (100 SHS) (VHC130119C27 ) | SHT | 0.00 | -30.000 | 3.000 | -30,568.52 | -9,000.00 |
| **Other** | | | | | | |
| M AMERICAN INTL GROUP INC WT EXP 01/19/2021 (AIGWS ) | | 0.00 | 24,121.000 | 13.800 | 282,253.14 | 332,869.80 |
| M PROLOGIS INC COM (PLD ) | | 12,499.20 | 11,160.000 | 36.490 | 96,658.25 | 407,228.40 |
| | | | | | | |
| **Total Market Value as of December 31, 2012** | | | | | | **$14,019,955.17** |
| Total income earned on positions no longer held | | 6,400.00 | | | | |
| **2012 Income Earned** | | **$ 333,975.47** | | | | |
| | | | | | | |
| Debit balance | | | | | | -7,155,851.74 |
| **Total Net Value** | | | | | | **$6,864,103.43** |

*All positions held in cash account unless indicated otherwise.*

*M - Position held in margin account.*

*SHT - short position.*

*Total Cost Basis does not include the cost basis on core, money market or other positions where cost basis is unknown or not applicable.*

Fidelity INVESTMENTS



## Brokerage [redacted] CARL J DOMINO - INDIVIDUAL

### Trades Pending Settlement on December 31, 2012

*All gains or losses from security transactions should be reported by trade date to comply with IRS regulations. The proceeds reported on your Investment Reports are based on settlement date and, therefore, may not match the proceeds listed on your form 1099-B. The pending trades listed below will appear on your 2012 Tax Reporting Statement (mailed under separate cover).*

| Trade Date | Settlement Date | Security | Description | Quantity | Price per Unit | Settlement Amount |
|---|---|---|---|---|---|---|
| 12/ 27 | 1/ 02 | TRONOX LTD ORD REG (TROX ) | Bought | 200.000 | $18.62800 | -$3,733.55 |
| 12/ 27 | 1/ 02 | TRONOX LTD ORD REG (TROX ) | Bought | 300.000 | 18.64000 | -5,592.00 |
| 12/ 27 | 1/ 02 | TRONOX LTD ORD REG (TROX ) | Bought | 950.000 | 18.63800 | -17,706.10 |
| 12/ 27 | 1/ 02 | TRONOX LTD ORD REG (TROX ) | Bought | 50.000 | 18.66000 | -933.00 |
| 12/ 27 | 1/ 02 | TRONOX LTD ORD REG (TROX ) | Bought | 500.000 | 18.64480 | -9,322.40 |
| 12/ 27 | 1/ 02 | PULSE ELECTRONICS CORP COM (PULS ) | Bought | 100.000 | 0.27500 | -28.88 |
| 12/ 27 | 1/ 02 | SAREPTA THERAPEUTICS INC COM (SRPT ) | Bought | 2,000.000 | 24.70000 | -49,407.95 |
| 12/ 27 | 1/ 02 | SEATTLE GENETICS INC (SGEN ) | Bought | 2,000.000 | 22.98000 | -45,967.95 |
| 12/ 27 | 1/ 02 | TELLABS INC (TLAB ) | Sold | -5,000.000 | 2.43010 | 12,142.27 |
| 12/ 28 | 1/ 03 | WEATHERFORD INTERNATIONAL LIMITED CHF1.16 (WFT ) | Bought | 100.000 | 10.71800 | -1,079.75 |
| 12/ 28 | 1/ 03 | WEATHERFORD INTERNATIONAL LIMITED CHF1.16 (WFT ) | Bought | 700.000 | 10.71850 | -7,502.95 |
| 12/ 28 | 1/ 03 | WEATHERFORD INTERNATIONAL LIMITED CHF1.16 (WFT ) | Bought | 2,200.000 | 10.71780 | -23,579.16 |
| 12/ 28 | 1/ 03 | E TRADE FINL CORP COM NEW (ETFC ) | Sold | -100.000 | 8.73500 | 865.53 |
| 12/ 28 | 1/ 03 | E TRADE FINL CORP COM NEW (ETFC ) | Sold | -100.000 | 8.73500 | 873.48 |
| 12/ 28 | 1/ 03 | E TRADE FINL CORP COM NEW (ETFC ) | Sold | -100.000 | 8.73500 | 873.48 |
| 12/ 28 | 1/ 03 | E TRADE FINL CORP COM NEW (ETFC ) | Sold | -100.000 | 8.73500 | 873.48 |
| 12/ 28 | 1/ 03 | E TRADE FINL CORP COM NEW (ETFC ) | Sold | -100.000 | 8.73500 | 873.48 |
| 12/ 28 | 1/ 03 | E TRADE FINL CORP COM NEW (ETFC ) | Sold | -100.000 | 8.73500 | 873.48 |
| 12/ 28 | 1/ 03 | E TRADE FINL CORP COM NEW (ETFC ) | Sold | -100.000 | 8.73500 | 873.48 |
| 12/ 28 | 1/ 03 | E TRADE FINL CORP COM NEW (ETFC ) | Sold | -100.000 | 8.73500 | 873.48 |

## Brokerage [redacted] CARL J DOMINO - INDIVIDUAL

### Trades Pending Settlement on December 31, 2012

*All gains or losses from security transactions should be reported by trade date to comply with IRS regulations. The proceeds reported on your Investment Reports are based on settlement date and, therefore, may not match the proceeds listed on your form 1099-B. The pending trades listed below will appear on your 2012 Tax Reporting Statement (mailed under separate cover).*

| Trade Date | Settlement Date | Security | Description | Quantity | Price per Unit | Settlement Amount |
|---|---|---|---|---|---|---|
| 12/ 28 | 1/ 03 | E TRADE FINL CORP COM NEW (ETFC ) | Sold | -100.000 | 8.73500 | 873.48 |
| 12/ 28 | 1/ 03 | E TRADE FINL CORP COM NEW (ETFC ) | Sold | -100.000 | 8.73500 | 873.48 |
| 12/ 28 | 1/ 03 | E TRADE FINL CORP COM NEW (ETFC ) | Sold | -100.000 | 8.73200 | 873.18 |
| 12/ 28 | 1/ 03 | E TRADE FINL CORP COM NEW (ETFC ) | Sold | -100.000 | 8.73500 | 873.48 |
| 12/ 28 | 1/ 03 | E TRADE FINL CORP COM NEW (ETFC ) | Sold | -100.000 | 8.73200 | 873.18 |
| 12/ 28 | 1/ 03 | E TRADE FINL CORP COM NEW (ETFC ) | Sold | -100.000 | 8.73150 | 873.13 |
| 12/ 28 | 1/ 03 | E TRADE FINL CORP COM NEW (ETFC ) | Sold | -100.000 | 8.73150 | 873.13 |
| 12/ 28 | 1/ 03 | E TRADE FINL CORP COM NEW (ETFC ) | Sold | -100.000 | 8.73500 | 873.48 |
| 12/ 28 | 1/ 03 | E TRADE FINL CORP COM NEW (ETFC ) | Sold | -100.000 | 8.73150 | 873.13 |
| 12/ 28 | 1/ 03 | E TRADE FINL CORP COM NEW (ETFC ) | Sold | -100.000 | 8.73200 | 873.18 |
| 12/ 28 | 1/ 03 | E TRADE FINL CORP COM NEW (ETFC ) | Sold | -100.000 | 8.73150 | 873.13 |
| 12/ 28 | 1/ 03 | E TRADE FINL CORP COM NEW (ETFC ) | Sold | -900.000 | 8.73200 | 7,858.62 |
| 12/ 28 | 1/ 03 | E TRADE FINL CORP COM NEW (ETFC ) | Sold | -100.000 | 8.73150 | 873.13 |
| 12/ 28 | 1/ 03 | E TRADE FINL CORP COM NEW (ETFC ) | Sold | -100.000 | 8.73200 | 873.18 |
| 12/ 28 | 1/ 03 | TELESTONE TECHNOLOGIES CORP (TSTC ) | Bought | 5,000.000 | 1.35000 | -6,757.95 |
| 12/ 28 | 1/ 03 | TELLABS INC (TLAB ) | Sold | -3,600.000 | 2.33220 | 8,387.78 |
| 12/ 28 | 1/ 03 | TELLABS INC (TLAB ) | Sold | -100.000 | 2.33200 | 233.19 |
| 12/ 28 | 1/ 03 | TELLABS INC (TLAB ) | Sold | -300.000 | 2.33150 | 699.43 |

## Brokerage [redacted] CARL J DOMINO - INDIVIDUAL

### Trades Pending Settlement on December 31, 2012

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| Trade Date | Settlement Date | Security | Description | Quantity | Price per Unit | Settlement Amount |
|---|---|---|---|---|---|---|
| 12/ 28 | 1/ 03 | TELLABS INC (TLAB ) | Sold | -300.000 | 2.33200 | 699.58 |
| 12/ 28 | 1/ 03 | TELLABS INC (TLAB ) | Sold | -700.000 | 2.33200 | 1,632.36 |
| 12/ 31 | 1/ 04 | NORDIC AMERICAN TANKERS LIMITED USD0.01 (NAT ) | Sold | -2,900.000 | 8.55010 | 24,786.78 |
| 12/ 31 | 1/ 04 | NORDIC AMERICAN TANKERS LIMITED USD0.01 (NAT ) | Sold | -100.000 | 8.55500 | 855.48 |
| 12/ 31 | 1/ 04 | WEATHERFORD INTERNATIONAL LIMITED CHF1.16 (WFT ) | Bought | 3,000.000 | 10.90840 | -32,733.15 |
| 12/ 31 | 1/ 04 | AMARIN CORP ADR EACH REP 1 ORD GBP0.50 (AMRN ) | Bought | 100.000 | 8.00800 | -808.75 |
| 12/ 31 | 1/ 04 | AMARIN CORP ADR EACH REP 1 ORD GBP0.50 (AMRN ) | Bought | 4,900.000 | 8.00990 | -39,248.51 |
| 12/ 31 | 1/ 04 | AMYRIS INC COM USD0.0001 (AMRS ) | Sold | -200.000 | 2.90000 | 572.03 |
| 12/ 31 | 1/ 04 | AMYRIS INC COM USD0.0001 (AMRS ) | Sold | -100.000 | 2.90000 | 289.99 |
| 12/ 31 | 1/ 04 | AMYRIS INC COM USD0.0001 (AMRS ) | Sold | -200.000 | 2.90250 | 580.48 |
| 12/ 31 | 1/ 04 | AMYRIS INC COM USD0.0001 (AMRS ) | Sold | -600.000 | 2.90000 | 1,739.96 |
| 12/ 31 | 1/ 04 | AMYRIS INC COM USD0.0001 (AMRS ) | Sold | -200.000 | 2.90250 | 580.48 |
| 12/ 31 | 1/ 04 | AMYRIS INC COM USD0.0001 (AMRS ) | Sold | -200.000 | 2.90250 | 580.48 |
| 12/ 31 | 1/ 04 | AMYRIS INC COM USD0.0001 (AMRS ) | Sold | -100.000 | 2.90250 | 290.24 |
| 12/ 31 | 1/ 04 | AMYRIS INC COM USD0.0001 (AMRS ) | Sold | -200.000 | 2.90000 | 579.98 |
| 12/ 31 | 1/ 04 | AMYRIS INC COM USD0.0001 (AMRS ) | Sold | -100.000 | 2.90000 | 289.99 |
| 12/ 31 | 1/ 04 | AMYRIS INC COM USD0.0001 (AMRS ) | Sold | -2,100.000 | 2.90000 | 6,089.86 |
| 12/ 31 | 1/ 04 | AMYRIS INC COM USD0.0001 (AMRS ) | Sold | -1,000.000 | 2.90300 | 2,902.93 |

Fidelity INVESTMENTS

# 2012 Investment Report

January 1, 2012 - December 31, 2012

## Brokerage [redacted] CARL J DOMINO - INDIVIDUAL

### Trades Pending Settlement on December 31, 2012

*All gains or losses from security transactions should be reported by trade date to comply with IRS regulations. The proceeds reported on your Investment Reports are based on settlement date and, therefore, may not match the proceeds listed on your form 1099-B. The pending trades listed below will appear on your 2012 Tax Reporting Statement (mailed under separate cover).*

| Trade Date | Settlement Date | Security | Description | Quantity | Price per Unit | Settlement Amount |
|---|---|---|---|---|---|---|
| 12/ 31 | 1/ 04 | AMYRIS INC COM USD0.0001 (AMRS ) | Sold | -200.000 | 3.05500 | 610.98 |
| 12/ 31 | 1/ 04 | AMYRIS INC COM USD0.0001 (AMRS ) | Sold | -800.000 | 3.05500 | 2,443.94 |
| 12/ 31 | 1/ 04 | AMYRIS INC COM USD0.0001 (AMRS ) | Sold | -600.000 | 3.05000 | 1,829.95 |
| 12/ 31 | 1/ 04 | AMYRIS INC COM USD0.0001 (AMRS ) | Sold | -100.000 | 3.05000 | 304.99 |
| 12/ 31 | 1/ 04 | AMYRIS INC COM USD0.0001 (AMRS ) | Sold | -100.000 | 3.05000 | 304.99 |
| 12/ 31 | 1/ 04 | AMYRIS INC COM USD0.0001 (AMRS ) | Sold | -100.000 | 3.05000 | 304.99 |
| 12/ 31 | 1/ 04 | AMYRIS INC COM USD0.0001 (AMRS ) | Sold | -900.000 | 3.05280 | 2,747.45 |
| 12/ 31 | 1/ 04 | AMYRIS INC COM USD0.0001 (AMRS ) | Sold | -100.000 | 3.05000 | 304.99 |
| 12/ 31 | 1/ 04 | AMYRIS INC COM USD0.0001 (AMRS ) | Sold | -100.000 | 3.05000 | 304.99 |
| 12/ 31 | 1/ 04 | AMYRIS INC COM USD0.0001 (AMRS ) | Sold | -100.000 | 3.09000 | 308.99 |
| 12/ 31 | 1/ 04 | AMYRIS INC COM USD0.0001 (AMRS ) | Sold | -100.000 | 3.09000 | 308.99 |
| 12/ 31 | 1/ 04 | AMYRIS INC COM USD0.0001 (AMRS ) | Sold | -100.000 | 3.09000 | 308.99 |
| 12/ 31 | 1/ 04 | AMYRIS INC COM USD0.0001 (AMRS ) | Sold | -100.000 | 3.09000 | 308.99 |
| 12/ 31 | 1/ 04 | AMYRIS INC COM USD0.0001 (AMRS ) | Sold | -100.000 | 3.09000 | 308.99 |
| 12/ 31 | 1/ 04 | AMYRIS INC COM USD0.0001 (AMRS ) | Sold | -300.000 | 3.09000 | 926.97 |
| 12/ 31 | 1/ 04 | AMYRIS INC COM USD0.0001 (AMRS ) | Sold | -100.000 | 3.09000 | 308.99 |
| 12/ 31 | 1/ 04 | AMYRIS INC COM USD0.0001 (AMRS ) | Sold | -65.000 | 3.09000 | 200.84 |

**Brokerage** [redacted] CARL J DOMINO - INDIVIDUAL

## Trades Pending Settlement on December 31, 2012

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| Trade Date | Settlement Date | Security | Description | Quantity | Price per Unit | Settlement Amount |
|---|---|---|---|---|---|---|
| 12/ 31 | 1/ 04 | AMYRIS INC COM USD0.0001 (AMRS ) | Sold | -35.000 | 3.08000 | 107.79 |
| 12/ 31 | 1/ 04 | AMYRIS INC COM USD0.0001 (AMRS ) | Sold | -100.000 | 3.09000 | 308.99 |
| 12/ 31 | 1/ 04 | AMYRIS INC COM USD0.0001 (AMRS ) | Sold | -1,600.000 | 3.08280 | 4,925.36 |
| 12/ 31 | 1/ 04 | AMYRIS INC COM USD0.0001 (AMRS ) | Sold | -300.000 | 3.08280 | 921.81 |
| 12/ 31 | 1/ 04 | DENDREON CORP (DNDN ) | Sold | -900.000 | 5.26150 | 4,727.29 |
| 12/ 31 | 1/ 04 | DENDREON CORP (DNDN ) | Sold | -200.000 | 5.26200 | 1,052.37 |
| 12/ 31 | 1/ 04 | DENDREON CORP (DNDN ) | Sold | -3,500.000 | 5.26450 | 18,425.33 |
| 12/ 31 | 1/ 04 | DENDREON CORP (DNDN ) | Sold | -200.000 | 5.26150 | 1,052.27 |
| 12/ 31 | 1/ 04 | DENDREON CORP (DNDN ) | Sold | -200.000 | 5.26150 | 1,052.27 |
| 12/ 31 | 1/ 04 | E TRADE FINL CORP COM NEW (ETFC ) | Sold | -100.000 | 8.80150 | 872.18 |
| 12/ 31 | 1/ 04 | E TRADE FINL CORP COM NEW (ETFC ) | Sold | -100.000 | 8.80150 | 880.13 |
| 12/ 31 | 1/ 04 | E TRADE FINL CORP COM NEW (ETFC ) | Sold | -100.000 | 8.80150 | 880.13 |
| 12/ 31 | 1/ 04 | E TRADE FINL CORP COM NEW (ETFC ) | Sold | -300.000 | 8.80120 | 2,640.30 |
| 12/ 31 | 1/ 04 | E TRADE FINL CORP COM NEW (ETFC ) | Sold | -100.000 | 8.80120 | 880.10 |
| 12/ 31 | 1/ 04 | E TRADE FINL CORP COM NEW (ETFC ) | Sold | -50.000 | 8.80120 | 440.05 |
| 12/ 31 | 1/ 04 | E TRADE FINL CORP COM NEW (ETFC ) | Sold | -100.000 | 8.80150 | 880.13 |
| 12/ 31 | 1/ 04 | E TRADE FINL CORP COM NEW (ETFC ) | Sold | -100.000 | 8.80120 | 880.10 |
| 12/ 31 | 1/ 04 | E TRADE FINL CORP COM NEW (ETFC ) | Sold | -150.000 | 8.80120 | 1,320.15 |
| 12/ 31 | 1/ 04 | E TRADE FINL CORP COM NEW (ETFC ) | Sold | -200.000 | 8.80120 | 1,760.20 |

## Brokerage [redacted] CARL J DOMINO - INDIVIDUAL

### Trades Pending Settlement on December 31, 2012

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| Trade Date | Settlement Date | Security | Description | Quantity | Price per Unit | Settlement Amount |
|---|---|---|---|---|---|---|
| 12/ 31 | 1/ 04 | E TRADE FINL CORP COM NEW (ETFC ) | Sold | -900.000 | 8.80100 | 7,920.72 |
| 12/ 31 | 1/ 04 | E TRADE FINL CORP COM NEW (ETFC ) | Sold | -50.000 | 8.80120 | 440.05 |
| 12/ 31 | 1/ 04 | E TRADE FINL CORP COM NEW (ETFC ) | Sold | -100.000 | 8.80120 | 880.10 |
| 12/ 31 | 1/ 04 | E TRADE FINL CORP COM NEW (ETFC ) | Sold | -100.000 | 8.80100 | 880.08 |
| 12/ 31 | 1/ 04 | E TRADE FINL CORP COM NEW (ETFC ) | Sold | -200.000 | 8.80100 | 1,760.16 |
| 12/ 31 | 1/ 04 | E TRADE FINL CORP COM NEW (ETFC ) | Sold | -100.000 | 8.80100 | 880.08 |
| 12/ 31 | 1/ 04 | E TRADE FINL CORP COM NEW (ETFC ) | Sold | -100.000 | 8.80100 | 880.08 |
| 12/ 31 | 1/ 04 | E TRADE FINL CORP COM NEW (ETFC ) | Sold | -50.000 | 8.80100 | 440.04 |
| 12/ 31 | 1/ 04 | E TRADE FINL CORP COM NEW (ETFC ) | Sold | -100.000 | 8.80100 | 880.08 |
| 12/ 31 | 1/ 04 | FORD MTR CO DEL COM (F ) | Sold | -4,900.000 | 12.83300 | 62,880.29 |
| 12/ 31 | 1/ 04 | FORD MTR CO DEL COM (F ) | Sold | -2,100.000 | 12.83000 | 26,932.39 |
| 12/ 31 | 1/ 04 | FORD MTR CO DEL COM (F ) | Sold | -7,800.000 | 12.83500 | 100,064.79 |
| 12/ 31 | 1/ 04 | FORD MTR CO DEL COM (F ) | Sold | -2,200.000 | 12.83010 | 28,203.58 |
| 12/ 31 | 1/ 04 | GENERAL MOTORS CO COM USD0.01 ISIN #US37045V1008 SEDOL #B3SMT78 (GM ) | Bought | 2,400.000 | 27.99930 | -67,206.27 |
| 12/ 31 | 1/ 04 | GENERAL MOTORS CO COM USD0.01 ISIN #US37045V1008 SEDOL #B3SMT78 (GM ) | Bought | 422.000 | 28.00000 | -11,816.00 |

## Brokerage [redacted] CARL J DOMINO - INDIVIDUAL

### Trades Pending Settlement on December 31, 2012

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| Trade Date | Settlement Date | Security | Description | Quantity | Price per Unit | Settlement Amount |
|---|---|---|---|---|---|---|
| 12/ 31 | 1/ 04 | GENERAL MOTORS CO COM USD0.01 ISIN #US37045V1008 SEDOL #B3SMT78 (GM ) | Bought | 4,543.000 | 28.02660 | -127,324.84 |
| 12/ 31 | 1/ 04 | GENERAL MOTORS CO COM USD0.01 ISIN #US37045V1008 SEDOL #B3SMT78 (GM ) | Bought | 1,035.000 | 28.03000 | -29,011.05 |
| 12/ 31 | 1/ 04 | MICROVISION INC DEL COM NEW (MVIS ) | Sold | -5,000.000 | 1.89300 | 9,456.83 |
| 12/ 31 | 1/ 04 | MOTRICITY INC COM USD0.001 (MOTR ) | Sold | -200.000 | 0.41250 | 78.36 |
| 12/ 31 | 1/ 04 | MOTRICITY INC COM USD0.001 (MOTR ) | Sold | -100.000 | 0.41230 | 39.16 |
| 12/ 31 | 1/ 04 | MOTRICITY INC COM USD0.001 (MOTR ) | Sold | -500.000 | 0.41240 | 204.43 |
| 12/ 31 | 1/ 04 | MOTRICITY INC COM USD0.001 (MOTR ) | Sold | -1,000.000 | 0.41220 | 412.19 |
| 12/ 31 | 1/ 04 | MOTRICITY INC COM USD0.001 (MOTR ) | Sold | -100.000 | 0.41250 | 41.24 |
| 12/ 31 | 1/ 04 | MOTRICITY INC COM USD0.001 (MOTR ) | Sold | -100.000 | 0.41250 | 41.24 |
| 12/ 31 | 1/ 04 | MOTRICITY INC COM USD0.001 (MOTR ) | Sold | -400.000 | 0.41220 | 164.87 |
| 12/ 31 | 1/ 04 | MOTRICITY INC COM USD0.001 (MOTR ) | Sold | -1,200.000 | 0.41390 | 496.66 |
| 12/ 31 | 1/ 04 | MOTRICITY INC COM USD0.001 (MOTR ) | Sold | -600.000 | 0.41220 | 247.31 |
| 12/ 31 | 1/ 04 | MOTRICITY INC COM USD0.001 (MOTR ) | Sold | -800.000 | 0.41200 | 329.59 |
| 12/ 31 | 1/ 04 | MYREXIS INC COM (MYRX ) | Bought | 5,000.000 | 2.80000 | -14,007.95 |
| 12/ 31 | 1/ 04 | MYREXIS INC COM (MYRX ) | Bought | 5,000.000 | 2.79950 | -13,997.50 |
| 12/ 31 | 1/ 04 | PULSE ELECTRONICS CORP COM (PULS ) | Bought | 5,000.000 | 0.29210 | -1,468.45 |
| 12/ 31 | 1/ 04 | QUESTCOR PHAR INC (QCOR ) | Bought | 2,000.000 | 26.97990 | -53,967.75 |

## Brokerage [redacted] CARL J DOMINO - INDIVIDUAL

### Trades Pending Settlement on December 31, 2012

*All gains or losses from security transactions should be reported by trade date to comply with IRS regulations. The proceeds reported on your Investment Reports are based on settlement date and, therefore, may not match the proceeds listed on your form 1099-B. The pending trades listed below will appear on your 2012 Tax Reporting Statement (mailed under separate cover).*

| Trade Date | Settlement Date | Security | Description | Quantity | Price per Unit | Settlement Amount |
|---|---|---|---|---|---|---|
| 12/ 31 | 1/ 04 | TELESTONE TECHNOLOGIES CORP (TSTC ) | Bought | 10,000.000 | 1.37000 | -13,707.95 |
| 12/ 31 | 1/ 04 | TELLABS INC (TLAB ) | Sold | -700.000 | 2.30200 | 1,603.41 |
| 12/ 31 | 1/ 04 | TELLABS INC (TLAB ) | Sold | -100.000 | 2.30150 | 230.14 |
| 12/ 31 | 1/ 04 | TELLABS INC (TLAB ) | Sold | -3,700.000 | 2.30100 | 8,513.50 |
| 12/ 31 | 1/ 04 | TELLABS INC (TLAB ) | Sold | -500.000 | 2.30150 | 1,150.72 |

## Transaction Details of Core Account

### Core Account

| Description | Amount | Balance | Description | Amount | Balance |
|---|---|---|---|---|---|
| Beginning | | -$6,607,403.97 | Income | 358,705.20 | |
| Securities bought | -$5,798,524.96 | | Margin interest | -230,123.59 | |
| Securities sold | 5,063,446.53 | | Checking activity | -33,886.30 | |
| Other additions | 866,721.85 | | Other withdrawals | -375,000.00 | |
| Other disbursements | -399,786.50 | | Ending | | -$7,155,851.74 |

## Additional Information About Your Annual Investment Report

The account on this Annual Investment Report is registered to:
CARL J DOMINO
[redacted]

**If you have a question about your account or require service, please call your investment advisor/authorized agent.**

**Lost or Stolen Cards** - For Visa ® Check (Debit/ATM) Cards, call 800-323-5353. For details refer to your Fidelity Check Card Agreement and Disclosure Statement.

**Additional Investments with Fidelity** - Please make non-retirement checks payable to Fidelity Brokerage Services LLC (FBS), and for retirement accounts make checks payable to Fidelity Management Trust Company. Please include your account number on the check. Do not include trading instructions with check deposits. We mail statements at least quarterly for any account with a balance. Please review your statement and report any inaccuracies or discrepancies immediately. Questions regarding your brokerage account or the activity therein should be directed to FBS at 800-544-6666,and National Financial Services LLC ("NFS"), who carries your brokerage accounts, at 800-800-6890. Any oral communications regarding inaccuracies or discrepancies should be reconfirmed in writing to protect your rights, including those under the Securities Investor Protection Act ("SIPA"). Please advise us promptly of any material changes in your investment objectives or financial situation related to your brokerage account(s). If you request a reprint of your statement, the disclosure information may not be the same as the original.

**Terms and Conditions of the Statement** - Fidelity does not endorse or recommend any particular investment, trading activity, or investment advisor/authorized agent. Fidelity has no responsibility for and will not review, monitor or supervise suitability of trading by any investment advisor/authorized agent. Fidelity has not verified the content of any logo or artwork on this statement identifying a firm other than Fidelity. The firm represented by such a logo or artwork is not an agent of or affiliated with Fidelity.

**Changes in Portfolio Value and Account Summary** - Shows activity in your portfolio and in each of your accounts for the statement period. To confirm that an authorized, direct deposit was made to your Fidelity Account, call Fidelity at 1-800-544-5555.

**Value by Account** - Shows the value of your account(s), for the current and previous statement periods.

**Income Summary** - Shows income by tax status for the statement and year-to-date periods. Except for interest earned on, or distributed by, tax-exempt securities, Fidelity reports dividends and capital gains held in taxable accounts as taxable income. A portion of this income may be subject to state and local taxes and the federal alternative minimum tax. Fidelity reports earnings on investments in Traditional IRAs, Rollover IRAs, SEP-IRAs and Keoghs as tax-deferred income. Earnings on Roth-IRAs are reported as tax-exempt income, since distributions may be tax-exempt after meeting the five-year aging requirement and certain other conditions.

**Cost Basis, Gain/Loss, and Holding Period Information** - Cost basis is the original amount paid to purchase a security, including the amount of reinvested dividends and capital gains. Generally, we adjust cost basis for events such as returns of capital (including dividend reclassifications) and disallowed losses on wash sales on identical securities within the same account. NFS is required to report certain cost basis and holding period information to the IRS on Form 1099-B. However, cost basis, realized or unrealized gain and loss, and holding period information may not reflect all adjustments required for your tax reporting purposes. NFS and Fidelity specifically disclaim any liability arising out of a customer's use of, or any tax position taken in reliance upon, such information. Unless otherwise specified, NFS determines cost basis at the time of sale using its default methods of average cost for open-end mutual funds (except ETFs) and first-in, first-out (FIFO) for all other securities (including ETFs and shares held in dividend reinvestment plans). Customers should consult their tax advisors for further information.

**Cost** - Fidelity provides purchase cost information for securities held in retirement accounts. Such information may be adjusted for certain transactions and does not reflect reinvestments of dividends or capital gains. Fidelity reports transaction profit or loss information when securities are sold within a retirement account. Transaction profit or loss is calculated by subtracting purchase cost from sales proceeds using the FIFO method if shares were purchased at different times or prices.

**Contributions/Distributions** - Summarizes Traditional IRA and Roth IRA contributions, and taxable and non-taxable retirement distributions for these statement and year-to-date periods.

**Total Value** - The reported market value of an investment at the beginning or end of a statement period.

**Unrealized gain/loss** - For long positions, ending market value minus cost basis in non-retirement accounts and ending market value minus cost in retirement accounts. For short positions, proceeds minus ending market value. Unrealized gain/loss is calculated for pending sales because they are still in holdings. Unrealized gain/loss is not calculated for pending purchases because they are not in holdings.

**Holding Type Percentage** - Percentages by holding type only include long positions. Holding type percentages are rounded to the nearest percent and summed. If the sum is less than 100%, the difference between 100% and the sum is added to the holding type with the largest percentage.

**Change in Investment Value** - The appreciation or depreciation of your holdings due to price changes, plus any distribution and income earned during the statement period, less any transaction costs, sales charges, or fees.

**Account Protection** - Securities in accounts carried by NFS, a Fidelity Investments company, are protected in accordance with the Securities Investor Protection Corporation (SIPC) up to $500,000 (including cash claims limited to $250,000). For more information, including the SIPC brochure, please see www.sipc.org or call 1-202-371-8300. NFS has arranged for additional protection for cash and covered securities to supplement its SIPC coverage. Neither coverage protects against a decline in the market value of securities.

**Information About Mutual Funds and Their Performance - Before investing consider the fund's or insurance product's investment objectives, risks, charges and expenses. Contact Fidelity or visit Fidelity.com for a prospectus containing this information. Read it carefully before investing. Performance data shown represents past performance, and is no guarantee of future results. Investment return and principal value will fluctuate, so you may have a loss or a gain when shares are sold. Current performance may be higher or lower than that quoted. An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. Although a money market fund seeks to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in the fund. Visit Fidelity.com/performance for most recent month-end performance.** Each fund reserves the right to terminate or modify its exchange privilege. Foreign investment, especially those in emerging markets, may involve greater risks than U.S. investments. In addition to sales loads and 12b-1 fees described in the prospectus, FBS or NFS receives other compensation in connection with the purchase and/or the on-going maintenance of positions in certain mutual fund shares and other investment products in your brokerage account. This additional compensation may be paid by the mutual fund or other investment product, its investment advisor or one of its affiliates. Additional information about the source(s) and amount(s) of compensation as well as other remuneration received by FBS or NFS will be furnished to you upon written request. Fund shares purchased with No Transaction Fee will be sold with No Transaction Fee. Fund shares purchased with a Transaction Fee will be sold with a Transaction Fee. Any applicable short-term redemption fees will apply.

**Customer Free Credit Balance** - You are entitled to your free credit balance in your brokerage account, subject to open commitments in your cash accounts. Free credit balances are not segregated and may be used in NFS's business in accordance with federal securities law. Retirement accounts do not qualify.

**Assets Separate From Your Brokerage Account** - Only securities in the margin portion of your brokerage accounts contribute to margin and maintenance requirements. Other assets, which may be reported on your statement, including insurance products that are distributed by FBS and Fidelity Insurance Agency, Inc. and mutual fund assets outside your brokerage account (that may be reported on your statement) are not carried by NFS, are not covered by SIPC and do not count toward your margin and maintenance requirements.

**Short Account Balances** - Securities sold short are held in a segregated short account. These securities are marked-to-market for margin purposes and increase or decrease from the short sale price is transferred weekly to your margin account. Your short account balance is shown as of the last weekly mark-to-market, not statement end date.

**Information About Your Option Transactions** - Each transaction confirmation contains full information about commissions and other charges. If you require further information, contact Fidelity at 800-800-6890. Assignments of American and European-style options are allocated among customer short positions pursuant to a random allocation procedure, a description of which is available upon request. Short positions in American-style options are liable for assignment at any time. The writer of a European-style option is subject to exercise and assignment only during the exercise period.

**Equity Dividend Reinvestment** - Shares credited to your account from transactions by FBS acting as agent for your account, or the Depository Trust Company (DTC).

**Price Information/Total Market Value** -- The Total Market Value has been calculated to 9 decimal places; however, the individual unit price is displayed in 5 decimal places. The Total Market Value represents prices obtained from various sources, may be impacted by the frequency with which such prices are reported and such prices are not guaranteed. Prices received from pricing vendors are generally based on current market quotes, but when such quotes are not available pricing vendors use a variety of techniques to estimate value. These estimates, particularly for fixed income securities, may be based on certain minimum principal amounts (e.g. $1 million) and may not reflect all factors that affect the value of the security, including liquidity risk. The prices provided are not firm bids or offers. Certain securities may reflect N/A or unavailable where the price for such security is generally not available from a pricing source. The Market Value of a security, including those priced at par value, may differ from its purchase price and may not closely reflect the value at which the security may be sold or purchased based on various market factors. The sale or redemption of any fixed income security prior to maturity may result in a loss. Prices for Certificates of Deposit (CDs) are generally estimates and are not based on actual market prices. The secondary market for CDs is generally illiquid. You should always request a current valuation for your securities prior to making a financial decision or placing an order.

("A") Alternative Investments - Investments such as direct participation program securities (e.g., partnerships, limited liability companies, and real estate investment trusts which are not listed on any exchange), commodity pools, private equity, private debt and hedge funds are generally illiquid investments and their current values may differ from purchase price. Unless otherwise indicated, the values shown in this statement for such investments have been provided by the management, administrator or sponsor of each program or a third-party vendor without independent verification by Fidelity Brokerage Services (FBS) and represent their estimate of the value of the investor's participation in the program, as of a date no greater than 18 months from the date of this statement. Thus, the estimated values shown herein may not necessarily reflect actual market values or be realized upon liquidation. If an estimated value is not provided, valuation information is not available.

In executing orders on the Floor, the Floor broker may permit the specialist to trade on parity with the order for some or all of the executions associated with filling that order, where such permission would not be inconsistent with the brokers best execution obligations.

**Fidelity Investments** - Fidelity Distributors Corporation (FDC) is the distributor for Fidelity Funds with marketing and shareholder services provided by FBS or NFS. Brokerage services are provided by FBS, which clears all transactions through its affiliate NFS. NFS carries all brokerage accounts. For customer complaints call 617-563-7000 or write to: Fidelity Institutional Wealth Services, P.O. Box 770001, Cincinnati, OH 45277-0045. FBS and NFS are members of the NYSE and SIPC. FBS, NFS and FDC are all direct or indirect subsidiaries of FMR LLC. Upon written request, Fidelity will mail a NFS financial statement, which is also available at its office. Fidelity Investments (with pyramid logo) is a trademark of FMR LLC. Insurance products are distributed by FBS, Fidelity Insurance Agency, Inc., and Fidelity Investments Insurance Agency of Texas, Inc. Mutual Fund shares, other securities held in your account, and insurance products are neither deposits or obligations of, nor endorsed or guaranteed by, any bank or other depositing institution, nor are they federally insured by the FDIC or any other agency.

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Fidelity INVESTMENTS

## 2012 Investment Report

January 1, 2012 - December 31, 2012

[redacted]

| | |
|---|---|
| Online | Fidelity.com |
| FAST(sm)-Automated Telephone | 800-544-5555 |
| Private Client Group | 800-544-5704 |
| Customer Service | 800-544-6666 |

Envelope 931007068

CARL DOMINO. INC.

SUITE 5..

WEST PALM BEACH FL 33401

**Your Client**
CARL J DOMINO
[redacted]

▶ This Investment Report summarizes activity in your Fidelity accounts for the past year. We hope you find it helpful, however, keep in mind that it is not intended for tax reporting purposes. Adjustments often occur after this report has been created. Fidelity mails a separate (Forms 1099) Tax Reporting Statement, if applicable, to assist you with your tax returns, in January or by February 15th. That statement includes information on estimated realized gains & losses, estimated cost basis, and Fidelity tax-exempt funds. Your Form 5498, Form 1099-R, and other forms are each mailed separately.

## Brokerage [redacted] CARL J DOMINO - ROLLOVER IRA - FIDELITY MANAGEMENT TRUST CO - CUSTODIAN

### 2012 Account Summary

| | |
|---|---|
| Beginning value as of Jan 1 | $4,213,131.18 |
| Other Tax Withheld | -460.60 |
| Transaction costs, loads and fees | -21,798.24 |
| Change in investment value | 681,408.19 |
| **Ending value as of Dec 31** | **$4,872,280.53** |

### Income Summary

| | |
|---|---|
| **Tax-deferred** | **$140,678.48** |

*Your Advisor is an independent organization and is not affiliated with Fidelity Investments. Brokerage services provided by Fidelity Brokerage Services LLC, Member NYSE, SIPC. 800-544-6666. Brokerage Accounts carried with National Financial Services LLC, Member NYSE, SIPC*

### Holdings (Symbol) as of 12/31

| | Performance December 31, 2012 | Income Earned | Quantity | Price per Unit | Cost | Total Value |
|---|---|---|---|---|---|---|
| **Stocks** | | | | | | |
| HERBALIFE LTD COM USD0.002 (HLF ) | | $0.00 | 2,585.000 | $32.940 | $91,701.00 | $85,149.90 |
| INVESCO LTD COM STK USD0.20 (IVZ ) | | 1,309.28 | 3,795.000 | 26.090 | 82,049.78 | 99,011.55 |

Fidelity INVESTMENTS

# 2012 Investment Report

January 1, 2012 - December 31, 2012

## Brokerage [redacted] CARL J DOMINO - ROLLOVER IRA - FIDELITY MANAGEMENT TRUST CO - CUSTODIAN

| Holdings (Symbol) as of 12/31 | Performance December 31, 2012 Income Earned | Quantity | Price per Unit | Cost | Total Value |
|---|---|---|---|---|---|
| PARTNERRE COM STK USD1 (PRE ) | 3,348.00 | 1,350.000 | 80.490 | 93,748.98 | 108,661.50 |
| GARMIN LTD COM CHF10.00 (GRMN ) | 2,983.75 | 1,705.000 | 40.750 | 33,276.28 | 69,478.75 |
| PENTAIR LTD COM USD0.16 3/4 (PNR ) | 105.38 | 1,930.000 | 49.150 | 83,429.06 | 94,859.50 |
| ABBOTT LABORATORIES (ABT ) | 2,683.35 | 1,335.000 | 65.500 | 55,780.92 | 87,442.50 |
| APPLE INC (AAPL ) | 821.50 | 155.000 | 532.173 | 88,202.95 | 82,486.81 |
| AVON PRODUCTS INC (AVP ) | 319.80 | 5,330.000 | 14.360 | 91,742.05 | 76,538.80 |
| BB & T CORP (BBT ) | 2,230.60 | 2,935.000 | 29.110 | 68,023.73 | 85,437.85 |
| BAXTER INTL INC (BAX ) | 1,891.50 | 1,300.000 | 66.660 | 51,764.74 | 86,658.00 |
| CARNIVAL CORP COM STK USD0.01(PAIRED STOCK) (CCL ) | 2,615.00 | 2,615.000 | 36.770 | 84,143.22 | 96,153.55 |
| CATERPILLAR INC (CAT ) | 2,666.00 | 1,075.000 | 89.609 | 39,185.36 | 96,329.67 |
| CHESAPEAKE ENERGY CORPORATION OKLAHOMA (CHK ) | 0.00 | 5,285.000 | 16.620 | 90,887.75 | 87,836.70 |
| CHEVRON CORP NEW (CVX ) | 3,541.59 | 1,009.000 | 108.140 | unknown | 109,113.26 |
| CISCO SYS INC (CSCO ) | 1,274.00 | 4,550.000 | 19.649 | 87,362.49 | 89,402.95 |
| COLGATE-PALMOLIVE CO (CL ) | 2,013.00 | 825.000 | 104.540 | 44,848.87 | 86,245.50 |
| CONOCOPHILLIPS (COP ) | 4,408.80 | 1,670.000 | 57.990 | 51,087.50 | 96,843.30 |
| CORNING INC (GLW ) | 1,590.01 | 6,625.000 | 12.620 | 87,595.09 | 83,607.50 |
| DEERE & COMPANY (DE ) | 1,816.85 | 1,015.000 | 86.420 | 31,540.58 | 87,716.30 |
| DOW CHEMICAL CO (DOW ) | 4,161.00 | 2,850.000 | 32.329 | 72,514.20 | 92,137.65 |
| DU PONT E I DE NEMOURS & CO (DD ) | 3,731.50 | 2,195.000 | 44.979 | 85,307.87 | 98,728.90 |
| EMERSON ELECTRIC CO (EMR ) | 2,857.75 | 1,775.000 | 52.960 | 57,348.23 | 94,004.00 |
| FREEPORT MCMORAN COPPER & GOLD INC. (FCX ) | 2,416.57 | 3,115.000 | 34.200 | 114,540.66 | 106,533.00 |
| GENERAL ELECTRIC CO (GE ) | 3,039.60 | 4,470.000 | 20.990 | 94,139.61 | 93,825.30 |
| HANCOCK HOLDING CO (HBHC ) | 2,174.40 | 2,265.000 | 31.730 | 83,419.40 | 71,868.45 |
| HARLEY DAVIDSON INC COM (HOG ) | 1,602.70 | 2,120.000 | 48.830 | 28,773.94 | 103,519.60 |
| HOME DEPOT INC (HD ) | 2,337.40 | 1,655.000 | 61.850 | 43,899.87 | 102,361.75 |
| HUNTSMAN CORP (HUN ) | 2,752.00 | 6,145.000 | 15.900 | 65,018.94 | 97,705.50 |
| JPMORGAN CHASE & CO (JPM ) | 2,526.75 | 2,520.000 | 43.969 | 107,293.31 | 110,801.88 |
| KIMBERLY CLARK CORP (KMB ) | 3,095.20 | 1,060.000 | 84.430 | 63,437.38 | 89,495.80 |

## Brokerage [redacted] CARL J DOMINO - ROLLOVER IRA - FIDELITY MANAGEMENT TRUST CO - CUSTODIAN

| Holdings (Symbol) as of 12/31 | Performance December 31, 2012 | Income Earned | Quantity | Price per Unit | Cost | Total Value |
|---|---|---|---|---|---|---|
| MAGNA INTERNATIONAL INC COM NPV ISIN #CA5592224011 SEDOL #2554475 (MGA) | | 1,193.50 | 2,170.000 | 50.020 | 84,444.60 | 108,543.40 |
| MARATHON OIL CORP ISIN #US5658491064 SEDOL #2910970 (MRO) | | 1,601.40 | 2,355.000 | 30.660 | 34,251.20 | 72,204.30 |
| MASCO CORP (MAS) | | 1,658.25 | 7,370.000 | 16.660 | 82,331.59 | 122,784.20 |
| MAXIM INTEGRATED PRODS INC (MXIM) | | 3,514.40 | 3,820.000 | 29.400 | 76,068.85 | 112,308.00 |
| MERCK & CO INC NEW COM (MRK) | | 3,729.60 | 2,220.000 | 40.940 | 85,472.27 | 90,886.80 |
| MICROSOFT CORP (MSFT) | | 2,543.95 | 3,065.000 | 26.710 | 78,775.69 | 81,866.15 |
| MONDELEZ INTL INC COM (MDLZ) | | 0.00 | 3,565.000 | 25.453 | 90,955.73 | 90,739.94 |
| PALL CORP (PLL) | | 1,320.00 | 1,500.000 | 60.260 | 35,970.00 | 90,390.00 |
| PAYCHEX INC (PAYX) | | 5,343.00 | 2,740.000 | 31.100 | 78,111.03 | 85,214.00 |
| PFIZER INC (PFE) | | 3,212.00 | 3,650.000 | 25.079 | 79,133.02 | 91,538.35 |
| PROCTER & GAMBLE CO (PG) | | 2,774.81 | 1,255.000 | 67.890 | 68,879.30 | 85,201.95 |
| TEVA PHARMACEUTICAL INDUSTRIES ADR-EACH CNV INTO 1 ORD ILS0.10 (TEVA) | | 2,116.67 | 2,060.000 | 37.340 | 77,174.31 | 76,920.40 |
| TIFFANY & CO NEW (TIF) | | 470.40 | 1,470.000 | 57.340 | 82,311.34 | 84,289.80 |
| TUPPERWARE BRANDS CORP (TUP) | | 3,123.30 | 1,795.000 | 64.100 | 38,109.08 | 115,059.50 |
| UNION PACIFIC CORP (UNP) | | 2,364.00 | 985.000 | 125.720 | 42,147.87 | 123,834.20 |
| UNITED PARCEL SVC INC CL B (UPS) | | 2,815.80 | 1,235.000 | 73.730 | 74,511.24 | 91,056.55 |
| WELLPOINT INC (WLP) | | 419.75 | 1,460.000 | 60.920 | 89,878.98 | 88,943.20 |
| WYNN RESORTS LTD (WYNN) | | 6,697.50 | 705.000 | 112.490 | 79,637.98 | 79,305.45 |
| **Other** | | | | | | |
| AMERICAN CAP AGY CORP COM (AGNC) | | 3,081.25 | 2,465.000 | 28.900 | 83,810.06 | 71,238.50 |
| PROLOGIS INC COM (PLD) | | 2,604.00 | 2,325.000 | 36.490 | 58,179.03 | 84,839.25 |
| WEYERHAEUSER CO COM (WY) | | 2,749.70 | 4,435.000 | 27.820 | 81,914.86 | 123,381.70 |
| **Core Account** | | | | | | |
| FIDELITY CASH RESERVES (FDRXX) | *7-day yield: 0.01%* | 27.31 | 131,779.170 | 1.000 | not applicable | 131,779.17 |

Fidelity INVESTMENTS

# 2012 Investment Report

January 1, 2012 - December 31, 2012

## Brokerage [redacted] CARL J DOMINO - ROLLOVER IRA - FIDELITY MANAGEMENT TRUST CO - CUSTODIAN

| Holdings (Symbol) as of 12/31 | Performance December 31, 2012 | Income Earned | Quantity | Price per Unit | Cost | Total Value |
|---|---|---|---|---|---|---|
| **Total Market Value as of December 31, 2012** | | | | | | **$4,872,280.53** |
| Total income earned on positions no longer held | | 21,004.61 | | | | |
| **2012 Income Earned** | | **$ 140,678.48** | | | | |

*All positions held in cash account unless indicated otherwise.*

*Total Cost does not include the cost basis on core, money market or other positions where cost basis is unknown or not applicable.*

## Transaction Details of Core Account

**Core Account** - *Fidelity Cash Reserves*

| Description | Amount | Balance |
|---|---|---|
| Beginning | | $187,311.03 |
| Securities bought | -$1,447,911.46 | |
| Securities sold | 1,273,581.25 | |
| Other additions | 40.75 | |
| Other disbursements | -460.60 | |

| Description | Amount | Balance |
|---|---|---|
| Core account income | 27.31 | |
| Income | 140,651.17 | |
| Account fees and charges | -21,460.28 | |
| Ending | | $131,779.17 |

## Additional Information About Your Annual Investment Report

The account on this Annual Investment Report is registered to:
CARL J DOMINO
[redacted]

**If you have a question about your account or require service, please call your investment advisor/authorized agent.**

**Lost or Stolen Cards** - For Visa ® Check (Debit/ATM) Cards, call 800-323-5353. For details refer to your Fidelity Check Card Agreement and Disclosure Statement.

**Additional Investments with Fidelity** - Please make non-retirement checks payable to Fidelity Brokerage Services LLC (FBS), and for retirement accounts make checks payable to Fidelity Management Trust Company. Please include your account number on the check. Do not include trading instructions with check deposits. We mail statements at least quarterly for any account with a balance. Please review your statement and report any inaccuracies or discrepancies immediately. Questions regarding your brokerage account or the activity therein should be directed to FBS at 800-544-6666,and National Financial Services LLC ("NFS"), who carries your brokerage accounts, at 800-800-6890. Any oral communications regarding inaccuracies or discrepancies should be reconfirmed in writing to protect your rights, including those under the Securities Investor Protection Act ("SIPA"). Please advise us promptly of any material changes in your investment objectives or financial situation related to your brokerage account(s). If you request a reprint of your statement, the disclosure information may not be the same as the original.

**Terms and Conditions of the Statement** - Fidelity does not endorse or recommend any particular investment, trading activity, or investment advisor/authorized agent. Fidelity has no responsibility for and will not review, monitor or supervise suitability of trading by any investment advisor/authorized agent. Fidelity has not verified the content of any logo or artwork on this statement identifying a firm other than Fidelity. The firm represented by such a logo or artwork is not an agent of or affiliated with Fidelity.

**Changes in Portfolio Value and Account Summary** - Shows activity in your portfolio and in each of your accounts for the statement period. To confirm that an authorized, direct deposit was made to your Fidelity Account, call Fidelity at 1-800-544-5555.

**Value by Account** - Shows the value of your account(s), for the current and previous statement periods.

**Income Summary** - Shows income by tax status for the statement and year-to-date periods. Except for interest earned on, or distributed by, tax-exempt securities, Fidelity reports dividends and capital gains held in taxable accounts as taxable income. A portion of this income may be subject to state and local taxes and the federal alternative minimum tax. Fidelity reports earnings on investments in Traditional IRAs, Rollover IRAs, SEP-IRAs and Keoghs as tax-deferred income. Earnings on Roth-IRAs are reported as tax-exempt income, since distributions may be tax-exempt after meeting the five-year aging requirement and certain other conditions.

**Cost Basis, Gain/Loss, and Holding Period Information** - Cost basis is the original amount paid to purchase a security, including the amount of reinvested dividends and capital gains. Generally, we adjust cost basis for events such as returns of capital (including dividend reclassifications) and disallowed losses on wash sales on identical securities within the same account. NFS is required to report certain cost basis and holding period information to the IRS on Form 1099-B. However, cost basis, realized or unrealized gain and loss, and holding period information may not reflect all adjustments required for your tax reporting purposes. NFS and Fidelity specifically disclaim any liability arising out of a customer's use of, or any tax position taken in reliance upon, such information. Unless otherwise specified, NFS determines cost basis at the time of sale using its default methods of average cost for open-end mutual funds (except ETFs) and first-in, first-out (FIFO) for all other securities (including ETFs and shares held in dividend reinvestment plans). Customers should consult their tax advisors for further information.

**Cost** - Fidelity provides purchase cost information for securities held in retirement accounts. Such information may be adjusted for certain transactions and does not reflect reinvestments of dividends or capital gains. Fidelity reports transaction profit or loss information when securities are sold within a retirement account. Transaction profit or loss is calculated by subtracting purchase cost from sales proceeds using the FIFO method if shares were purchased at different times or prices.

**Contributions/Distributions** - Summarizes Traditional IRA and Roth IRA contributions, and taxable and non-taxable retirement distributions for these statement and year-to-date periods.

**Total Value** - The reported market value of an investment at the beginning or end of a statement period.

**Unrealized gain/loss** - For long positions, ending market value minus cost basis in non-retirement accounts and ending market value minus cost in retirement accounts. For short positions, proceeds minus ending market value. Unrealized gain/loss is calculated for pending sales because they are still in holdings. Unrealized gain/loss is not calculated for pending purchases because they are not in holdings.

**Holding Type Percentage** - Percentages by holding type only include long positions. Holding type percentages are rounded to the nearest percent and summed. If the sum is less than 100%, the difference between 100% and the sum is added to the holding type with the largest percentage.

**Change in Investment Value** - The appreciation or depreciation of your holdings due to price changes, plus any distribution and income earned during the statement period, less any transaction costs, sales charges, or fees.

**Account Protection** - Securities in accounts carried by NFS, a Fidelity Investments company, are protected in accordance with the Securities Investor Protection Corporation (SIPC) up to $500,000 (including cash claims limited to $250,000). For more information, including the SIPC brochure, please see www.sipc.org or call 1-202-371-8300. NFS has arranged for additional protection for cash and covered securities to supplement its SIPC coverage. Neither coverage protects against a decline in the market value of securities.

**Information About Mutual Funds and Their Performance - Before investing consider the fund's or insurance product's investment objectives, risks, charges and expenses. Contact Fidelity or visit Fidelity.com for a prospectus containing this information. Read it carefully before investing. Performance data shown represents past performance, and is no guarantee of future results. Investment return and principal value will fluctuate, so you may have a loss or a gain when shares are sold. Current performance may be higher or lower than that quoted. An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. Although a money market fund seeks to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in the fund. Visit Fidelity.com/performance for most recent month-end performance.** Each fund reserves the right to terminate or modify its exchange privilege. Foreign investment, especially those in emerging markets, may involve greater risks than U.S. investments. In addition to sales loads and 12b-1 fees described in the prospectus, FBS or NFS receives other compensation in connection with the purchase and/or the on-going maintenance of positions in certain mutual fund shares and other investment products in your brokerage account. This additional compensation may be paid by the mutual fund or other investment product, its investment advisor or one of its affiliates. Additional information about the source(s) and amount(s) of compensation as well as other remuneration received by FBS or NFS will be furnished to you upon written request. Fund shares purchased with No Transaction Fee will be sold with No Transaction Fee. Fund shares purchased with a Transaction Fee will be sold with a Transaction Fee. Any applicable short-term redemption fees will apply.

**Customer Free Credit Balance** - You are entitled to your free credit balance in your brokerage account, subject to open commitments in your cash accounts. Free credit balances are not segregated and may be used in NFS's business in accordance with federal securities law. Retirement accounts do not qualify.

**Assets Separate From Your Brokerage Account** - Only securities in the margin portion of your brokerage accounts contribute to margin and maintenance requirements. Other assets, which may be reported on your statement, including insurance products that are distributed by FBS and Fidelity Insurance Agency, Inc. and mutual fund assets outside your brokerage account (that may be reported on your statement) are not carried by NFS, are not covered by SIPC and do not count toward your margin and maintenance requirements.

**Short Account Balances** - Securities sold short are held in a segregated short account. These securities are marked-to-market for margin purposes and increase or decrease from the short sale price is transferred weekly to your margin account. Your short account balance is shown as of the last weekly mark-to-market, not statement end date.

**Information About Your Option Transactions** - Each transaction confirmation contains full information about commissions and other charges. If you require further information, contact Fidelity at 800-800-6890. Assignments of American and European-style options are allocated among customer short positions pursuant to a random allocation procedure, a description of which is available upon request. Short positions in American-style options are liable for assignment at any time. The writer of a European-style option is subject to exercise and assignment only during the exercise period.

**Equity Dividend Reinvestment** - Shares credited to your account from transactions by FBS acting as agent for your account, or the Depository Trust Company (DTC).

**Price Information/Total Market Value** -- The Total Market Value has been calculated to 9 decimal places; however, the individual unit price is displayed in 5 decimal places. The Total Market Value represents prices obtained from various sources, may be impacted by the frequency with which such prices are reported and such prices are not guaranteed. Prices received from pricing vendors are generally based on current market quotes, but when such quotes are not available pricing vendors use a variety of techniques to estimate value. These estimates, particularly for fixed income securities, may be based on certain minimum principal amounts (e.g. $1 million) and may not reflect all factors that affect the value of the security, including liquidity risk. The prices provided are not firm bids or offers. Certain securities may reflect N/A or unavailable where the price for such security is generally not available from a pricing source. The Market Value of a security, including those priced at par value, may differ from its purchase price and may not closely reflect the value at which the security may be sold or purchased based on various market factors. The sale or redemption of any fixed income security prior to maturity may result in a loss. Prices for Certificates of Deposit (CDs) are generally estimates and are not based on actual market prices. The secondary market for CDs is generally illiquid. You should always request a current valuation for your securities prior to making a financial decision or placing an order.

("A") Alternative Investments - Investments such as direct participation program securities (e.g., partnerships, limited liability companies, and real estate investment trusts which are not listed on any exchange), commodity pools, private equity, private debt and hedge funds are generally illiquid investments and their current values may differ from purchase price. Unless otherwise indicated, the values shown in this statement for such investments have been provided by the management, administrator or sponsor of each program or a third-party vendor without independent verification by Fidelity Brokerage Services (FBS) and represent their estimate of the value of the investor's participation in the program, as of a date no greater than 18 months from the date of this statement. Thus, the estimated values shown herein may not necessarily reflect actual market values or be realized upon liquidation. If an estimated value is not provided, valuation information is not available.

In executing orders on the Floor, the Floor broker may permit the specialist to trade on parity with the order for some or all of the executions associated with filling that order, where such permission would not be inconsistent with the brokers best execution obligations.

**Fidelity Investments** - Fidelity Distributors Corporation (FDC) is the distributor for Fidelity Funds with marketing and shareholder services provided by FBS or NFS. Brokerage services are provided by FBS, which clears all transactions through its affiliate NFS. NFS carries all brokerage accounts. For customer complaints call 617-563-7000 or write to: Fidelity Institutional Wealth Services, P.O. Box 770001, Cincinnati, OH 45277-0045. FBS and NFS are members of the NYSE and SIPC. FBS, NFS and FDC are all direct or indirect subsidiaries of FMR LLC. Upon written request, Fidelity will mail a NFS financial statement, which is also available at its office. Fidelity Investments (with pyramid logo) is a trademark of FMR LLC. Insurance products are distributed by FBS, Fidelity Insurance Agency, Inc., and Fidelity Investments Insurance Agency of Texas, Inc. Mutual Fund shares, other securities held in your account, and insurance products are neither deposits or obligations of, nor endorsed or guaranteed by, any bank or other depositing institution, nor are they federally insured by the FDIC or any other agency.

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Fidelity INVESTMENTS

# Investment Report

October 1, 2013 - October 31, 2013

**ID:** [redacted]

| | |
|---|---|
| Online | Fidelity.com |
| FAST(sm)-Automated Telephone | 800-544-5555 |
| Private Client Group | 800-544-5704 |
| Customer Service | 800-544-6666 |

Envelope 933001097

CARL DOMINO INC

WEST PALM BEACH FL 33401

**Your Client**
CARL J DOMINO
[redacted]

## Brokerage [redacted] CARL J DOMINO - INDIVIDUAL

### Account Summary

| | |
|---|---|
| Beginning value as of Oct 1 | $3,285,311.89 |
| Additions | 124,768.37 |
| Withdrawals | -148,973.38 |
| Transaction costs, loads and fees | -7.95 |
| Change in investment value | 113,505.23 |
| **Ending value as of Oct 31** | **$3,374,604.16** |
| Accrued Interest (AI) | $0.00 |
| Change in AI from last statement | $0.00 |

You can borrow up to $1,161,049.57 on your margin account. The maximum rate that could be applied to your debit balance would be 7.35%, as of October 31, 2013.

### Income Summary

| | This Period | Year to Date |
|---|---|---|
| **Taxable** | | |
| Dividends | $5,870.82 | $67,799.52 |
| Interest | 1.58 | 9.13 |
| **Total** | **$5,872.40** | **$67,808.65** |

### Realized Gain/Loss from Sales

| | This Period | Year to Date |
|---|---|---|
| Short-term gain | $0.00 | $25,688.18 |
| Long-term gain | $0.00 | $296,547.36 |
| Long-term loss | 0.00 | -25,062.51 |
| **Net long** | **0.00** | **271,484.85** |

*This may not reflect all of your gains/losses because of incomplete cost basis.*

*Your Advisor is an independent organization and is not affiliated with Fidelity Investments. Brokerage services provided by Fidelity Brokerage Services LLC, Member NYSE, SIPC. 800-544-6666. Brokerage Accounts carried with National Financial Services LLC, Member NYSE, SIPC*

## Brokerage [redacted] CARL J DOMINO - INDIVIDUAL

| Holdings (Symbol) as of October 31, 2013 | Quantity October 31, 2013 | Price per Unit October 31, 2013 | Total Cost Basis | Total Value October 1, 2013 | Total Value October 31, 2013 | Unrealized Gain (Loss) October 31, 2013 |
|---|---|---|---|---|---|---|
| **Stocks *91% of holdings*** | | | | | | |
| HERBALIFE LTD COM USD0.002 (HLF ) | 1,145.000 | $64.820 | $40,620.96 | $79,886.65 | $74,218.90 | $ 33,597.94 |
| EAI: $1,374.00, EY: 1.85% | | | | | | |
| INVESCO LTD COM STK USD0.20 (IVZ ) | 2,075.000 | 33.750 | 44,866.13 | 66,192.50 | 70,031.25 | 25,165.12 |
| EAI: $1,867.50, EY: 2.67% | | | | | | |
| PARTNERRE COM USD1 (PRE ) | 70.000 | 100.210 | 4,868.60 | 6,407.80 | 7,014.70 | 2,146.10 |
| EAI: $179.20, EY: 2.55% | | | | | | |
| GARMIN LTD COM CHF10.00 (GRMN ) | 420.000 | 46.750 | 7,978.11 | 18,979.80 | 19,635.00 | 11,656.89 |
| EAI: $756.00, EY: 3.85% | | | | | | |
| PENTAIR LTD COM USD0.16 3/4 (PNR ) | 1,065.000 | 67.090 | 49,714.53 | 69,161.10 | 71,450.85 | 21,736.32 |
| EAI: $1,065.00, EY: 1.49% | | | | | | |
| TRANSOCEAN LIMITED COM CHF15 (RIG ) | 1,155.000 | 47.070 | 58,662.20 | 51,397.50 | 54,365.85 | - 4,296.35 |
| EAI: $2,587.20, EY: 4.76% | | | | | | |
| ABBVIE INC COM USD0.01 (ABBV ) | 1,545.000 | 48.450 | 52,488.81 | 69,107.85 | 74,855.25 | 22,366.44 |
| EAI: $2,472.00, EY: 3.30% | | | | | | |
| APPLE INC (AAPL ) | 96.000 | 522.702 | 53,434.85 | 45,768.00 | 50,179.39 | - 3,255.46 |
| EAI: $1,171.20, EY: 2.33% | | | | | | |
| AVON PRODUCTS INC (AVP ) | 3,040.000 | 17.500 | 52,329.09 | 62,624.00 | 53,200.00 | 870.91 |
| EAI: $729.60, EY: 1.37% | | | | | | |
| BB & T CORP (BBT ) | 430.000 | 33.970 | 9,758.85 | 14,512.50 | 14,607.10 | 4,848.25 |
| EAI: $395.60, EY: 2.71% | | | | | | |
| BANK OF AMERICA CORP (BAC ) | 12,100.000 | 13.970 | 83,640.41t | 166,980.00 | 169,037.00 | 85,396.59 |
| EAI: $484.00, EY: 0.29% | | | | | | |
| BAXTER INTL INC (BAX ) | 245.000 | 65.870 | 12,530.00 | 16,094.05 | 16,138.15 | 3,608.15 |
| EAI: $480.20, EY: 2.98% | | | | | | |
| CARNIVAL CORP COM STK USD0.01(PAIRED STOCK) (CCL ) | 1,430.000 | 34.650 | 46,016.91 | 46,675.20 | 49,549.50 | 3,532.59 |
| EAI: $1,430.00, EY: 2.89% | | | | | | |
| CATERPILLAR INC (CAT ) | 75.000 | 83.360 | 2,555.40 | 6,255.00 | 6,252.00 | 3,696.60 |
| EAI: $180.00, EY: 2.88% | | | | | | |
| CHESAPEAKE ENERGY CORPORATION OKLAHOMA (CHK ) | 2,885.000 | 27.960 | 48,784.65 | 74,663.80 | 80,664.60 | 31,879.95 |
| EAI: $1,009.75, EY: 1.25% | | | | | | |

## Brokerage [redacted] CARL J DOMINO - INDIVIDUAL

| Holdings (Symbol) as of October 31, 2013 | Quantity October 31, 2013 | Price per Unit October 31, 2013 | Total Cost Basis | Total Value October 1, 2013 | Total Value October 31, 2013 | Unrealized Gain (Loss) October 31, 2013 |
|---|---|---|---|---|---|---|
| M CHEVRON CORP NEW (CVX) | 175.000 | 119.960 | 11,005.00 | 21,262.50 | 20,993.00 | 9,988.00 |
| EAI: $700.00, EY: 3.33% | | | | | | |
| M CISCO SYS INC (CSCO) | 2,555.000 | 22.560 | 49,060.88 | 59,866.20 | 57,640.80 | 8,579.92 |
| EAI: $1,737.40, EY: 3.01% | | | | | | |
| M CLEAN ENERGY FUELS CORP (CLNE) | 5,000.000 | 11.370 | 69,209.95 | 63,850.00 | 56,850.00 | - 12,359.95 |
| M COLGATE-PALMOLIVE CO (CL) | 420.000 | 64.730 | 12,097.70 | 24,906.00 | 27,186.60 | 15,088.90 |
| EAI: $571.20, EY: 2.10% | | | | | | |
| M CONOCOPHILLIPS (COP) | 465.000 | 73.330 | 13,072.34 | 32,322.15 | 34,098.45 | 21,026.11 |
| EAI: $1,283.40, EY: 3.76% | | | | | | |
| M CORNING INC (GLW) | 3,735.000 | 17.090 | 49,387.26 | 54,493.65 | 63,831.15 | 14,443.89 |
| EAI: $1,494.00, EY: 2.34% | | | | | | |
| M DEERE & COMPANY (DE) | 265.000 | 81.840 | 8,102.61 | 21,568.35 | 21,687.60 | 13,584.99 |
| EAI: $540.60, EY: 2.49% | | | | | | |
| M DOW CHEMICAL CO (DOW) | 170.000 | 39.470 | 4,283.45 | 6,528.00 | 6,709.90 | 2,426.45 |
| EAI: $217.60, EY: 3.24% | | | | | | |
| M DU PONT E I DE NEMOURS & CO (DD) | 2,015.000 | 61.200 | 38,726.13t | 117,998.40 | 123,318.00 | 84,591.87 |
| EAI: $3,627.00, EY: 2.94% | | | | | | |
| M EMERSON ELECTRIC CO (EMR) | 480.000 | 66.970 | 12,852.80 | 31,056.00 | 32,145.60 | 19,292.80 |
| EAI: $787.20, EY: 2.45% | | | | | | |
| M FORD MTR CO DEL COM (F) | 4,230.000 | 17.110 | 55,040.25 | 71,360.10 | 72,375.30 | 17,335.05 |
| EAI: $1,692.00, EY: 2.34% | | | | | | |
| M GENERAL ELECTRIC CO (GE) | 5,290.000 | 26.140 | 38,423.11t | 126,378.10 | 138,280.60 | 99,857.49 |
| EAI: $4,020.40, EY: 2.91% | | | | | | |
| M HANCOCK HOLDING CO (HBHC) | 2,050.000 | 32.780 | 62,230.43 | 64,329.00 | 67,199.00 | 4,968.57 |
| EAI: $1,968.00, EY: 2.93% | | | | | | |
| M HARLEY DAVIDSON INC COM (HOG) | 90.000 | 64.040 | 1,131.12 | 5,781.60 | 5,763.60 | 4,632.48 |
| EAI: $75.60, EY: 1.31% | | | | | | |
| M HEWLETT-PACKARD CO DE (HPQ) | 2,265.000 | 24.370 | 58,828.41 | 47,542.35 | 55,198.05 | - 3,630.36 |
| EAI: $1,315.51, EY: 2.38% | | | | | | |
| M HOME DEPOT INC (HD) | 605.000 | 77.890 | 12,930.80 | 45,889.25 | 47,123.45 | 34,192.65 |
| EAI: $943.80, EY: 2.00% | | | | | | |
| M HUNTSMAN CORP (HUN) | 3,545.000 | 23.220 | 21,481.90t | 73,062.45 | 82,314.90 | 60,833.00 |
| EAI: $1,772.50, EY: 2.15% | | | | | | |

Fidelity INVESTMENTS

# Investment Report

October 1, 2013 - October 31, 2013

## Brokerage [redacted] CARL J DOMINO - INDIVIDUAL

| Holdings (Symbol) as of October 31, 2013 | Quantity October 31, 2013 | Price per Unit October 31, 2013 | Total Cost Basis | Total Value October 1, 2013 | Total Value October 31, 2013 | Unrealized Gain (Loss) October 31, 2013 |
|---|---|---|---|---|---|---|
| IDERA PHARMACEUTICALS INC COM NEW (IDRA) | 5,137.000 | 1.840 | unknown | 8,938.38 | 9,452.08 | unknown |
| INTRALINKS HLDGS INC COM USD0.001 (IL) | 10,000.000 | 10.390 | 73,538.70 | 88,000.00 | 103,900.00 | 30,361.30 |
| M JPMORGAN CHASE & CO (JPM) EAI: $1,656.80, EY: 2.95% | 1,090.000 | 51.540 | 38,999.85 | 56,342.10 | 56,178.60 | 17,178.75 |
| M MAGNA INTERNATIONAL INC COM NPV ISIN #CA5592224011 SEDOL #2554475 (MGA) EAI: $1,222.40, EY: 1.51% | 955.000 | 84.700 | 37,166.45 | 78,844.80 | 80,888.50 | 43,722.05 |
| M MASCO CORP (MAS) EAI: $847.50, EY: 1.42% | 2,825.000 | 21.130 | 31,559.43 | 60,116.00 | 59,692.25 | 28,132.82 |
| M MERCK & CO INC NEW COM (MRK) EAI: $963.20, EY: 3.81% | 560.000 | 45.090 | 14,663.20 | 26,661.04 | 25,250.40 | 10,587.20 |
| M MICROSOFT CORP (MSFT) EAI: $2,592.80, EY: 3.16% | 2,315.000 | 35.405 | 59,501.37 | 77,043.20 | 81,962.57 | 22,461.20 |
| M MONDELEZ INTL INC COM (MDLZ) EAI: $1,103.20, EY: 1.66% | 1,970.000 | 33.650 | 50,265.21 | 61,899.37 | 66,290.50 | 16,025.29 |
| M NEWMONT MNG CORP HLDG CO (NEM) EAI: $1,500.00, EY: 3.67% | 1,500.000 | 27.260 | 60,963.08 | 42,150.00 | 40,890.00 | - 20,073.08 |
| M PALL CORP (PLL) EAI: $126.50, EY: 1.37% | 115.000 | 80.520 | 2,292.37 | 8,859.60 | 9,259.80 | 6,967.43 |
| M PAYCHEX INC (PAYX) EAI: $1,155.00, EY: 3.31% | 825.000 | 42.265 | 25,420.71 | 33,528.00 | 34,868.62 | 9,447.91 |
| PEREGRINE SEMICONDUCTOR CORP COM USD0.001 (PSMI) | 12,949.000 | 8.140 | unknown | 116,152.53 | 105,404.86 | unknown |
| M PFIZER INC (PFE) EAI: $2,755.20, EY: 3.13% | 2,870.000 | 30.685 | 62,224.10 | 82,440.75 | 88,065.95 | 25,841.85 |
| M POTASH CORP OF SASKATCHEWAN COM NPV ISIN #CA73755L1076 SEDOL #2696980 (POT) EAI: $2,800.00, EY: 4.50% | 2,000.000 | 31.100 | 60,992.95 | 62,560.00 | 62,200.00 | 1,207.05 |
| M PROCTER & GAMBLE CO (PG) EAI: $733.83, EY: 2.98% | 305.000 | 80.750 | 14,709.00 | 23,054.95 | 24,628.75 | 9,919.75 |
| SPECTRASCIENCE INC COM PAR $0.01 (SCIE) | 142,857.000 | 0.031 | unknown | 5,714.28 | 4,428.56 | unknown |
| M STAPLES INC (SPLS) EAI: $1,694.40, EY: 2.98% | 3,530.000 | 16.129 | 57,744.28 | 51,714.50 | 56,935.37 | - 808.91 |

## Brokerage [redacted] CARL J DONINO - INDIVIDUAL

| Holdings (Symbol) as of October 31, 2013 | Quantity October 31, 2013 | Price per Unit October 31, 2013 | Total Cost Basis | Total Value October 1, 2013 | Total Value October 31, 2013 | Unrealized Gain (Loss) October 31, 2013 |
|---|---|---|---|---|---|---|
| M SYMANTEC CORP (SYMC) | 2,945.000 | 22.755 | 65,417.58 | | 67,013.47 | 1,595.89 |
| EAI: $1,767.00, EY: 2.64% | | | | | | |
| M TIFFANY & CO NEW (TIF) | 805.000 | 79.170 | 45,078.85 | 61,679.10 | 63,731.85 | 18,653.00 |
| EAI: $1,094.80, EY: 1.72% | | | | | | |
| M TUPPERWARE BRANDS CORP (TUP) | 310.000 | 89.650 | 5,024.91 | 26,774.70 | 27,791.50 | 22,766.59 |
| EAI: $768.80, EY: 2.77% | | | | | | |
| M UNION PACIFIC CORP (UNP) | 70.000 | 151.400 | 2,748.79 | 10,873.80 | 10,598.00 | 7,849.21 |
| EAI: $221.20, EY: 2.09% | | | | | | |
| M UNITED PARCEL SVC INC CL B (UPS) | 300.000 | 98.240 | 14,078.00 | 27,411.00 | 29,472.00 | 15,394.00 |
| EAI: $744.00, EY: 2.52% | | | | | | |
| VOLTARI CORP COM USD0.001 (VLTC) | 2,669.000 | 4.750 | unknown | 14,199.08 | 12,677.75 | unknown |
| M WELLPOINT INC (WLP) | 840.000 | 84.800 | 51,714.57 | 70,232.40 | 71,232.00 | 19,517.43 |
| EAI: $1,260.00, EY: 1.77% | | | | | | |
| WELLS FARGO & CO NEW (WFC) | 854.000 | 42.690 | unknown | 35,287.28 | 36,457.26 | unknown |
| EAI: $1,024.80, EY: 2.81% | | | | | | |
| M WYNN RESORTS LTD (WYNN) | 560.000 | 166.250 | 59,742.48 | 88,485.60 | 93,100.00 | 33,357.52 |
| EAI: $2,240.00, EY: 2.41% | | | | | | |
| **Subtotal of Stocks** | | | **1,919,959.52** | | **3,046,286.18** | **957,906.15** |
| ***Other 6% of holdings*** | | | | | | |
| M CHIMERA INVT CORP COM (CIM) | 20,000.000 | 3.030 | 80,444.89t | 60,800.00 | 60,600.00 | - 19,844.89 |
| M PROLOGIS INC COM (PLD) | 2,854.000 | 39.950 | 38,985.67t | 107,367.48 | 114,017.30 | 75,031.63 |
| EAI: $3,196.48, EY: 2.80% | | | | | | |
| M WEYERHAEUSER CO COM (WY) | 1,215.000 | 30.415 | 25,273.07 | 34,785.45 | 36,954.22 | 11,681.15 |
| EAI: $1,069.20, EY: 2.89% | | | | | | |
| **Subtotal of Other** | | | **144,703.63** | | **211,571.52** | **66,867.89** |
| ***Core Account 3% of holdings*** | | | | | | |
| CASH | 116,746.460 | 1.000 | not applicable | 200,496.65 | 116,746.46 | not applicable |

## Brokerage [redacted] CARL J DOMINO - INDIVIDUAL

| Holdings (Symbol) as of October 31, 2013 | Quantity October 31, 2013 | Price per Unit October 31, 2013 | Total Cost Basis | Total Value October 1, 2013 | Total Value October 31, 2013 | Unrealized Gain (Loss) October 31, 2013 |
|---|---|---|---|---|---|---|
| **Subtotal of Core Account** | | | | | **116,746.46** | |
| **Total** | | | **$ 2,064,663.15** | | **$3,374,604.16** | **$ 1,024,774.04**u |

*M - Position held in margin account.*

*All remaining positions held in cash account.*

*t - Third-party provided*

*u - This may not reflect all of your gains/losses because of incomplete cost basis.*

*Cost Basis - the original amount paid for a security, including the amount of reinvested dividends and capital gains, plus or minus certain adjustments. See last page of statement for details. Total Cost Basis does not include the cost basis on core, money market or other positions where cost basis is unknown or not applicable.*

***Estimated Annual Income (EAI) & Estimated Yield (EY)*** *- EAI is an estimate of annual income for a specific security position over the next rolling 12 months. EY is calculated by dividing the current EAI for a security position by its statement closing date market value. EY reflects only the income generated by an investment; it does not reflect changes in its price, which may fluctuate. For certain types of securities, EAI and EY could include the return of principal or capital gains which would render them overstated. EAI and EY are estimates provided for informational purposes only and should not be relied on for making investment, trading, or tax decisions. There is no guarantee that your investments will actually generate the EAI or EY presented. Actual income and yield might be lower or higher. EAI and EY should not be confused with a security's 30-day Yield or 7-day Yield, if provided, as such yield quotations reflect the actual historical performance of a security.* ***For additional information, including calculation details, refer to the "Additional Information and Endnotes" section at the end of your statement.***

## Brokerage [redacted] CARL J DOMINO - INDIVIDUAL

**Estimated Cash Flow** rolling as of October 31, 2013

The table below presents the estimated monthly interest and dividend income and return of principal that your current holdings may generate over the next rolling 12 months. The cash flows displayed are estimates provided for informational purposes only and there is no guarantee that you will actually receive any of the amounts displayed. These estimates should not be relied upon for making investment, trading or tax decisions. The estimates for fixed rate domestic bond and CD income are calculated using the security's coupon rate. The estimates for domestic common stock and mutual fund income are calculated using an indicated annual dividend (IAD). The IAD is an estimate of a security's dividend payments for the next 12 months calculated based on prior and/or declared dividends for that security. IADs are sourced from third party vendors believed to be reliable, but no assurance can be made as to accuracy. There are circumstances in which these estimates will not be presented for a specific security you hold. **Please refer to Help/Glossary on Fidelity.com for additional information on these calculations.**

| Month | Bond & CD Income | Bond & CD Principal | Stock Income | Mutual Fund Income | Total Estimated Cash Flow |
|---|---|---|---|---|---|
| Nov 2013 | – | – | $4,131 | – | $4,131 |
| Dec 2013 | – | – | $9,953 | – | $9,953 |
| Jan 2014 | – | – | $4,071 | – | $4,071 |
| Feb 2014 | – | – | $3,843 | – | $3,843 |
| Mar 2014 | – | – | $9,664 | – | $9,664 |
| Apr 2014 | – | – | $4,071 | – | $4,071 |
| May 2014 | – | – | $3,843 | – | $3,843 |
| Jun 2014 | – | – | $9,664 | – | $9,664 |
| Jul 2014 | – | – | $4,071 | – | $4,071 |
| Aug 2014 | – | – | $4,131 | – | $4,131 |
| Sep 2014 | – | – | $9,664 | – | $9,664 |
| Oct 2014 | – | – | $4,071 | – | $4,071 |
| **Total** | – | – | **$71,177** | – | **$71,177** |

*– not available*

***Bond & CD Income*** *includes interest payments for fixed rate bonds and Certificates of Deposit (CDs).*
***Bond & CD Principal*** *includes maturing principal payments for CDs and the following bonds: fixed rate, floating rate, variable rate, discount, and zero coupon.*
***Stock Income*** *includes estimated dividend payments for common stock, ADR's, and REITs.*
***Mutual Fund Income*** *includes estimated dividend payments for Fidelity and non-Fidelity mutual funds.*

***The table above does not include cash flow from the following securities:*** *preferred stocks, international stocks, exchange trade products (ETF's & ETN's), UITs, variable rate bonds, and international bonds, but may be included in future enhancements.*

## Transaction Details

(for holdings with activity this period)

Fidelity INVESTMENTS

# Investment Report

October 1, 2013 - October 31, 2013

## Brokerage [redacted] CARL J DOMINO - INDIVIDUAL

### Brokerage Activity

| Settlement Date | Security | Description | Quantity | Price per Unit | Transaction Amount |
|---|---|---|---|---|---|
| 10/01 | BAXTER INTL INC | Dividend received | | | $120.05 |
| 10/01 | UNION PACIFIC CORP | Dividend received | | | 55.30 |
| 10/02 | HEWLETT-PACKARD CO DE | Dividend received | | | 328.88 |
| 10/04 | TUPPERWARE BRANDS CORP | Dividend received | | | 192.20 |
| 10/07 | MERCK & CO INC NEW COM | Dividend received | | | 240.80 |
| 10/10 | TIFFANY & CO NEW | Dividend received | | | 273.70 |
| 10/15 | MONDELEZ INTL INC COM | Dividend received | | | 275.80 |
| 10/17 | STAPLES INC | Dividend received | | | 423.60 |
| 10/23 | CISCO SYS INC | Dividend received | | | 434.35 |
| 10/25 | GENERAL ELECTRIC CO | Dividend received | | | 1,005.10 |
| 10/29 | SYMANTEC CORP<br>CROSS TRADE<br>EXEC ON MULT EXCHG<br>DETAILS ON REQUEST<br>AVERAGE PRICE TRADE<br>DETAILS ON REQUEST | You bought<br>Transaction cost: -$7.95 | 2,945.000 | $22.21040 | -65,417.58 |
| 10/30 | DOW CHEMICAL CO | Dividend received | | | 54.40 |
| 10/31 | CHESAPEAKE ENERGY CORPORATION OKLAHOMA | Dividend received | | | 252.44 |
| 10/31 | CHIMERA INVT CORP COM | Dividend received | | | 1,800.00 |
| 10/31 | CASH | Interest earned | | | 1.58 |
| 10/31 | JPMORGAN CHASE & CO | Dividend received | | | 414.20 |

## Core Account - *Cash*

| Description | Amount | Balance | Description | Amount | Balance |
|---|---|---|---|---|---|
| Beginning | | $200,496.65 | Deposits | 124,768.37 | |
| Securities bought | -$65,417.58 | | Checking activity | -49,967.90 | |
| Core account income | 1.58 | | Bill payments | -99,005.48 | |
| Income | 5,870.82 | | Ending | | $116,746.46 |

## Brokerage [redacted] CARL J DOMINO - INDIVIDUAL

### *Deposits ( 12)*

| Date | Description | Amount | Date | Description | Amount | Date | Description | Amount |
|---|---|---|---|---|---|---|---|---|
| 10/2 | DEPOSIT RECEIVED | $9,682.00 | 10/15 | DEPOSIT RECEIVED | 1,390.00 | 10/30 | DEPOSIT STATE OF FLO | 708.06 |
| 10/2 | DEPOSIT RECEIVED | 4,606.00 | 10/15 | DEPOSIT RECEIVED | 1,270.00 | 10/31 | DEPOSIT DFAS-CLEVELA | 1,235.04 |
| 10/10 | DEPOSIT CARL DOMINO | 1,731.98 | 10/15 | DEPOSIT RECEIVED | 1,000.00 | **Total** | | **$124,768.37** |
| 10/15 | DEPOSIT RECEIVED | 97,155.32 | 10/15 | DEPOSIT SSA TREAS 3 | 2,258.00 | | | |
| 10/15 | DEPOSIT RECEIVED | 2,000.00 | 10/24 | DEPOSIT CARL DOMINO | 1,731.97 | | | |

### *Checking Activity ( 4)*

| Check # | Date | Code | Description | Amount | Check # | Date | Code | Description | Amount |
|---|---|---|---|---|---|---|---|---|---|
| 1156 | 10/ 02 | | Richard Denapli Campaign | -$500.00 | 1165* | 10/ 11 | | Shaun Domino | -11,000.00 |
| 1160* | 10/ 18 | | Cancer Alliance | -375.00 | **Total** | | | | **-$49,967.90** |
| 1162* | 10/ 16 | | Auto Nation | -38,092.90 | | | | | |

** Check number has been skipped.*

### *Bill Payment Activity ( 33)*

| Date | Payee No. | | Payee Name | Payee Account No. | Amount | Year to Date |
|---|---|---|---|---|---|---|
| 10/ 01 | 2 | * | WELLS FARGO BANK LOC | **********1998 | -$693.84 | $6,244.56 |
| 10/ 01 | 3 | * | WELLS FARGO HOME MORTGA | ******0415 | -5,502.82 | 49,525.38 |
| 10/ 01 | 5 | | BANK OF AMERICA | ************8450 | -10,000.00 | 139,525.93 |
| 10/ 01 | 6 | | AT&T/BELLSOUTH | *********2356 | -251.63 | 1,235.13 |
| 10/ 01 | 13 | | FLORIDA POWER AND LIGHT | ******4525 | -450.00 | 4,067.10 |
| 10/ 01 | 25 | | THE LOXAHATCHEE CLUB HO | ***** | -50.00 | 12,595.53 |
| 10/ 01 | 32 | | COMCAST CABLE PALM BEAC | **********2012 | -140.26 | 1,256.59 |
| 10/ 01 | 62 | | AMERICAN EXPRESS | ***********2007 | -213.45 | 4,159.65 |
| 10/ 01 | 76 | | FLORIDA POWER AND LIGHT | ******6500 | -81.10 | 568.49 |
| 10/ 02 | 16 | | AMERICAN EXPRESS | ***********1008 | -2,000.00 | 27,502.10 |
| 10/ 03 | 28 | * | WARWICK CONDOMINIUM ASS | ******1609 | -1,168.80 | 11,688.00 |
| 10/ 03 | 33 | * | GALAXY TOWERS CONDO ASS | ******* | -1,750.00 | 17,500.00 |
| 10/ 03 | 56 | * | THE WARWICK CONDOMINIUM | ***** | -1,377.21 | 13,772.10 |
| 10/ 07 | 7 | | LOXAHATCHEE CLUB | **** | -17,000.00 | 21,559.49 |
| 10/ 08 | 84 | * | FERRARI | ******1109 | -1,533.74 | 15,337.40 |
| 10/ 09 | 5 | | BANK OF AMERICA | ************8450 | -5,000.00 | 144,525.93 |
| 10/ 09 | 24 | | THE LOXAHATCHEE CLUB HO | ***** | -1,716.43 | 10,834.28 |
| 10/ 09 | 32 | | COMCAST CABLE PALM BEAC | **********2012 | -273.69 | 1,530.28 |

## Brokerage [redacted] Transaction Details

CARL J DOMINO - INDIVIDUAL

***Bill Payment Activity ( 33)***

| Date | Payee No. | | Payee Name | Payee Account No. | Amount | Year to Date |
|---|---|---|---|---|---|---|
| 10/ 09 | 35 | | NOZZLE NOLEN | ******* | -100.00 | 400.00 |
| 10/ 16 | 4 | * | WELLS FARGO MORTGAGE 51 | ******** | -4,998.35 | 49,983.50 |
| 10/ 16 | 19 | | EXECUTIVE CENTER AOAO | **********7 00 | -2,107.29 | 13,796.85 |
| 10/ 16 | 21 | | CITY OF TALLAHASSEE | ******9174 | -132.08 | 1,714.92 |
| 10/ 17 | 67 | | ORCHID ISLAND GOLF & BE | **** | -17,915.44 | 22,783.49 |
| 10/ 21 | 29 | | LOXAHATCHEE RIVER DISTR | ******* | -88.72 | 352.72 |
| 10/ 21 | 34 | | LOX RIVER DISTRICT | ****** | -88.72 | 352.72 |
| 10/ 21 | 42 | | STEPHEN W BARTO HVAC CO | *** | -100.00 | 300.00 |
| 10/ 25 | 73 | * | IBERIA BANK - LOANS | *****3101 | -4,784.18 | 47,841.80 |
| 10/ 28 | 7 | | LOXAHATCHEE CLUB | **** | -17,066.68 | 38,626.17 |
| 10/ 28 | 62 | | AMERICAN EXPRESS | ***********2007 | -105.00 | 4,264.65 |
| 10/ 29 | 18 | | COMCAST CABLE TALLAHASS | **********1023 | -50.00 | 861.85 |
| 10/ 29 | 21 | | CITY OF TALLAHASSEE | ******9174 | -116.05 | 1,830.97 |
| 10/ 29 | 31 | | HSBC GM CARD | ************1742 | -50.00 | 1,204.83 |
| 10/ 31 | 41 | | IBIS GOLF & COUNTRY CLU | ****** | -2,100.00 | 3,030.00 |
| | | | | Total: | - $99,005.48 | |

* Recurring Payment

***Daily Additions and Subtractions*** *Cash @ $1 per share (the following is provided to you in accordance with industry regulations)*

| Date | Amount | Balance | Date | Amount | Balance | Date | Amount | Balance |
|---|---|---|---|---|---|---|---|---|
| 10/ 01 | -$17,207.75 | $183,288.90 | 10/ 10 | 2,005.68 | 167,924.59 | 10/ 23 | 434.35 | 199,233.16 |
| 10/ 02 | 12,116.88 | 195,405.78 | 10/ 11 | -11,000.00 | 156,924.59 | 10/ 24 | 1,731.97 | 200,965.13 |
| 10/ 03 | -4,296.01 | 191,109.77 | 10/ 15 | 105,349.12 | 262,273.71 | 10/ 25 | -3,779.08 | 197,186.05 |
| 10/ 04 | 192.20 | 191,301.97 | 10/ 16 | -45,330.62 | 216,943.09 | 10/ 28 | -17,171.68 | 180,014.37 |
| 10/ 07 | -16,759.20 | 174,542.77 | 10/ 17 | -17,491.84 | 199,451.25 | 10/ 29 | -65,633.63 | 114,380.74 |
| 10/ 08 | -1,533.74 | 173,009.03 | 10/ 18 | -375.00 | 199,076.25 | 10/ 30 | 762.46 | 115,143.20 |
| 10/ 09 | -7,090.12 | 165,918.91 | 10/ 21 | -277.44 | 198,798.81 | 10/ 31 | 1,603.26 | 116,746.46 |

## Additional Information About Your Investment Report

The account on this Investment Report is registered to:
CARL J DOMINO

JUPITER FL 33458-7737

### Additional Information and Endnotes

EAI for fixed rate domestic bonds and CDs is calculated using the coupon rate. For all other securities, EAI is calculated using an indicated annual dividend (IAD). The IAD is an estimate of a security's dividend payments for the next 12 months calculated based on prior and/or declared dividends for that security. IADs are sourced from third party vendors believed to be reliable, but no assurance can be made as to accuracy. This third party data may not be promptly updated to reflect various corporate actions (for example, when an issuer has missed a scheduled dividend payment, announced changes to a payment schedule, or declared a stock split) and, as a result, the EAI and EY may be over or understated. Interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political and business conditions. **Please refer to the Help/Glossary on Fidelity.com for additional information regarding these calculations.**

**EAI and EY are <u>not</u> provided for:** preferred stocks, exchange traded products (ETFs & ETNs), UITs, variable rate bonds, and international stocks & bonds, but may be included in the future. EAI is not displayed for amounts less than $10.

## If you have a question about your account or require service, please call your investment advisor/authorized agent.

**Lost or Stolen Cards** - For Visa ® Check (Debit/ATM) Cards, call 800-323-5353. For details refer to your Fidelity Check Card Agreement and Disclosure Statement.

**Additional Investments with Fidelity** - Please make non-retirement checks payable to Fidelity Brokerage Services LLC (FBS), and for retirement accounts make checks payable to Fidelity Management Trust Company. Please include your account number on the check. Do not include trading instructions with check deposits. We mail statements at least quarterly for any account with a balance. Please review your statement and report any inaccuracies or discrepancies immediately. Questions regarding your brokerage account or the activity therein should be directed to FBS at **800-544-6666**, and National Financial Services LLC ("NFS"), who carries your brokerage accounts, at **866-408-1138**. Any oral communications regarding inaccuracies or discrepancies should be reconfirmed in writing to protect your rights, including those under the Securities Investor Protection Act ("SIPA"). Please advise us promptly of any material changes in your investment objectives or financial situation related to your brokerage account(s). If you request a reprint of your statement, the disclosure information may not be the same as the original.

**Terms and Conditions of the Statement** - Fidelity does not endorse or recommend any particular investment, trading activity, or investment advisor/authorized agent. Fidelity has no responsibility for and will not review, monitor or supervise suitability of trading by any investment advisor/authorized agent. Fidelity has not verified the content of any logo or artwork on this statement identifying a firm other than Fidelity. The firm represented by such a logo or artwork is not an agent of or affiliated with Fidelity.

**Changes in Portfolio Value and Account Summary** - Shows activity in your portfolio and in each of your accounts for the statement period. To confirm that an authorized, direct deposit was made to your Fidelity Account, call Fidelity at 1-800-544-5555.

**Value by Account** - Shows the value of your account(s), for the current and previous statement periods.

**Income Summary** - Shows income by tax status for the statement and year-to-date periods. Except for interest earned on, or distributed by, tax-exempt securities, Fidelity reports dividends and capital gains held in taxable accounts as taxable income. A portion of this income may be subject to state and local taxes and the federal alternative minimum tax. Fidelity reports earnings on investments in Traditional IRAs, Rollover IRAs, SEP-IRAs and Keoghs as tax-deferred income. Earnings on Roth-IRAs are reported as tax-exempt income, since distributions may be tax-exempt after meeting the five-year aging requirement and certain other conditions.

**Cost Basis, Gain/Loss, and Holding Period Information** - Cost basis is the original amount paid to purchase a security, including the amount of reinvested dividends and capital gains. Generally, we adjust cost basis for events such as returns of capital (including dividend reclassifications) and disallowed losses on wash sales on identical securities within the same account. NFS is required to report certain cost basis and holding period information to the IRS on Form 1099-B. However, cost basis, realized or unrealized gain and loss, and holding period information may not reflect all adjustments required for your tax reporting purposes. NFS and Fidelity specifically disclaim any liability arising out of a customer's use of, or any tax position taken in reliance upon, such information. Unless otherwise specified, NFS determines cost basis at the time of sale using its default methods of average cost for open-end mutual funds (except ETFs) and first-in, first-out (FIFO) for all other securities (including ETFs and shares held in dividend reinvestment plans). Customers should consult their tax advisors for further information.

**Cost** - Fidelity provides purchase cost information for securities held in retirement accounts. Such information may be adjusted for certain transactions and does not reflect reinvestments of dividends or capital gains. Fidelity reports transaction profit or loss information when securities are sold within a retirement account. Transaction profit or loss is calculated by subtracting purchase cost from sales proceeds using the FIFO method if shares were purchased at different times or prices.

**Contributions/Distributions** - Summarizes Traditional IRA and Roth IRA contributions, and taxable and non-taxable retirement distributions for these statement and year-to-date periods.

**Total Value** - The reported market value of an investment at the beginning or end of a statement period.

**Unrealized gain/loss** - For long positions, ending market value minus cost basis in non-retirement accounts and ending market value minus cost in retirement accounts. For short positions, proceeds minus ending market value. Unrealized gain/loss is calculated for pending sales because they are still in holdings. Unrealized gain/loss is not calculated for pending purchases because they are not in holdings.

**Holding Type Percentage** - Percentages by holding type only include long positions. Holding type percentages are rounded to the nearest percent and summed. If the sum is less than 100%, the difference between 100% and the sum is added to the holding type with the largest percentage.

**Change in Investment Value** - The appreciation or depreciation of your holdings due to price changes, plus any distribution and income earned during the statement period, less any transaction costs, sales charges, or fees.

**Account Protection** - Securities in accounts carried by NFS, a Fidelity Investments company, are protected in accordance with the Securities Investor Protection Corporation (SIPC) up to $500,000 (including cash claims limited to $250,000). For more information, including the SIPC brochure, please see www.sipc.org or call 1-202-371-8300. NFS has arranged for additional protection for cash and covered securities to supplement its SIPC coverage. Neither coverage protects against a decline in the market value of securities. Mutual funds and/or other securities are not backed or guaranteed by any bank, nor are they insured by the FDIC and involve investment risk including possible loss of principal. Bank deposits are not securities and are not covered by SIPC. Funds used to purchase or sweep to a bank deposit are SIPC protected until deposited to a Program Bank at which time funds may be eligible for FDIC insurance.

**Information About Mutual Funds and Their Performance - Before investing consider the fund's or insurance product's investment objectives, risks, charges and expenses. Contact Fidelity or visit Fidelity.com for a prospectus containing this information. Read it carefully before investing. Performance data shown represents past performance, and is no guarantee of future results. Investment return and principal value will fluctuate, so you may have a loss or a gain when shares are sold. Current performance may be higher or lower than that quoted. An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. Although a money market fund seeks to preserve the value of your investment at $1.00 per share, it is possible to lose money by investing in the fund. Visit Fidelity.com/performance for most recent month-end performance.** Each fund reserves the right to terminate or modify its exchange privilege. Foreign investment, especially those in emerging markets, may involve greater risks than U.S. investments. In connection with access to, purchase of, and/or maintenance of positions in mutual fund and other investment products ("funds"), FBS or NFS may receive the sales load and 12b-1 fees described in the prospectus as well as additional compensation, paid by the funds, their investment advisors or affiliates. Additional information about the source(s) and amount(s) of compensation as well as other remuneration received by FBS or NFS will be furnished to you upon written request. At the time you purchase shares of funds those shares will be assigned either a load, transaction fee (TF) or no transaction fee (NTF) status. When you subsequently sell those shares, any fees applicable to your transaction will be assessed based on the status assigned to the shares at the time of purchase.

**Customer Free Credit Balance** - You are entitled to your free credit balance in your brokerage account, subject to open commitments in your cash accounts. Free credit balances are not segregated and may be used in NFS's business in accordance with federal securities law. Retirement accounts do not qualify.

**Assets Separate From Your Brokerage Account** - Only securities in the margin portion of your brokerage accounts contribute to margin and maintenance requirements. Other assets, which may be reported on your statement, including insurance products that are distributed by FBS and Fidelity Insurance Agency, Inc. and mutual fund assets outside your brokerage account (that may be reported on your statement) are not carried by NFS, are not covered by SIPC and do not count toward your margin and maintenance requirements.

**Short Account Balances** - Securities sold short are held in a segregated short account. These securities are marked-to-market for margin purposes and increase or decrease from the short sale price is transferred weekly to your margin account. Your short account balance is shown as of the last weekly mark-to-market, not statement end date.

**Information About Your Option Transactions** - Each transaction confirmation contains full information about commissions and other charges. If you require further information, contact Fidelity at 800-800-6890. Assignments of American and European-style options are allocated among customer short positions pursuant to a random allocation procedure, a description of which is available upon request. Short positions in American-style options are liable for assignment at any time. The writer of a European-style option is subject to exercise and assignment only during the exercise period.

**Equity Dividend Reinvestment** - Shares credited to your account from transactions by FBS acting as agent for your account, or the Depository Trust Company (DTC).

**Price Information/Total Market Value** -- The Total Market Value has been calculated to 9 decimal places; however, the individual unit price is displayed in 5 decimal places. The Total Market Value represents prices obtained from various sources, may be impacted by the frequency with which such prices are reported and such prices are not guaranteed. Prices received from pricing vendors are generally based on current market quotes, but when such quotes are not available pricing vendors use a variety of techniques to estimate value. These estimates, particularly for fixed income securities, may be based on certain minimum principal amounts (e.g. $1 million) and may not reflect all factors that affect the value of the security, including liquidity risk. The prices provided are not firm bids or offers. Certain securities may reflect N/A or unavailable where the price for such security is generally not available from a pricing source. The Market Value of a security, including those priced at par value, may differ from its purchase price and may not closely reflect the value at which the security may be sold or purchased based on various market factors. The sale or redemption of any fixed income security prior to maturity may result in a loss. Prices for Certificates of Deposit (CDs) are generally estimates and are not based on actual market prices. The secondary market for CDs is generally illiquid. You should always request a current valuation for your securities prior to making a financial decision or placing an order.

("A") Alternative Investments - Investments such as direct participation program securities (e.g., partnerships, limited liability companies, and real estate investment trusts which are not listed on any exchange), commodity pools, private equity, private debt and hedge funds are generally illiquid investments and their current values may differ from purchase price. Unless otherwise indicated, the values shown in this statement for such investments have been provided by the management, administrator or sponsor of each program or a third-party vendor without independent verification by Fidelity Brokerage Services (FBS) and represent their estimate of the value of the investor's participation in the program, as of a date no greater than 18 months from the date of this statement. Thus, the estimated values shown herein may not necessarily reflect actual market values or be realized upon liquidation. If an estimated value is not provided, valuation information is not available.

In executing orders on the Floor, the Floor broker may permit the specialist to trade on parity with the order for some or all of the executions associated with filling that order, where such permission would not be inconsistent with the brokers best execution obligations.

**Fidelity Investments** - Fidelity Distributors Corporation (FDC) is the distributor for Fidelity Funds with marketing and shareholder services provided by FBS or NFS. Brokerage services are provided by FBS, which clears all transactions through its affiliate NFS. NFS carries all brokerage accounts. FBS and NFS are members of the NYSE and SIPC. FBS, NFS and FDC are all direct or indirect subsidiaries of FMR LLC. Upon written request, Fidelity will mail a NFS financial statement, which is also available at its office. Fidelity Investments (with pyramid logo) is a trademark of FMR LLC. Insurance products are distributed by FBS, Fidelity Insurance Agency, Inc., and Fidelity Investments Insurance Agency of Texas, Inc.

534024.9.0

Fidelity INVESTMENTS

# 2012 Investment Report

January 1, 2012 - December 31, 2012

Envelope 931007068

CARL DOMINO, INC.

SUITE 808
WEST PALM BEACH FL 33401

**ID:** [redacted]

| | |
|---|---|
| Online | Fidelity.com |
| FAST(sm)-Automated Telephone | 800-544-5555 |
| Private Client Group | 800-544-5704 |
| Customer Service | 800-544-6666 |

**Your Client**
CARL J DOMINO
[redacted]

This Investment Report summarizes activity in your Fidelity accounts for the past year. We hope you find it helpful, however, keep in mind that it is not intended for tax reporting purposes. Adjustments often occur after this report has been created. Fidelity mails a separate (Forms 1099) Tax Reporting Statement, if applicable, to assist you with your tax returns, in January or by February 15th. That statement includes information on estimated realized gains & losses, estimated cost basis, and Fidelity tax-exempt funds. Your Form 5498, Form 1099-R, and other forms are each mailed separately.

## Brokerage [redacted] CARL J DOMINO - INDIVIDUAL

### 2012 Account Summary

| | |
|---|---|
| Beginning mkt value as of Jan 1 | $3,202,607.39 |
| Additions | 391,323.15 |
| Withdrawals | -1,110,719.11 |
| Other Tax Withheld | -434.31 |
| Transaction costs, loads and fees | -578.64 |
| Net adjustments | 240.12 |
| Margin interest paid | -5,964.12 |
| Transfers between Fidelity accounts | 112,564.14 |
| Change in investment value | 519,656.25 |
| Change in debit balance | -486,214.36 |
| Ending mkt value as of Dec 31 | 2,622,480.51 |
| Debit balance | -194,739.25 |
| **Ending Net Value** | **$2,427,741.26** |

### Income Summary

| | |
|---|---|
| **Taxable** | |
| Ordinary Dividends | |
| Dividends | $84,897.43 |
| Substitute Payments | 3,276.16 |
| Interest | 8.40 |
| **Total** | **$88,181.99** |

*Foreign taxes paid on securities you owned are included in Ordinary Dividends. Detailed reporting and instructions to help you file your federal tax return are found on your Form 1099-DIV.*

Fidelity INVESTMENTS

## 2012 Investment Report

January 1, 2012 - December 31, 2012

**Brokerage** [redacted] CARL J DOMINO - INDIVIDUAL

*Your Advisor is an independent organization and is not affiliated with Fidelity Investments. Brokerage services provided by Fidelity Brokerage Services LLC, Member NYSE, SIPC. 800-544-6666. Brokerage Accounts carried with National Financial Services LLC, Member NYSE, SIPC*

| Holdings (Symbol) as of 12/31 | Income Earned | Quantity | Price per Unit | Total Cost Basis | Total Value |
|---|---|---|---|---|---|
| **Stocks** | | | | | |
| M HERBALIFE LTD COM USD0.002 (HLF ) | $0.00 | 1,415.000 | $32.940 | $50,199.70 | $46,610.10 |
| M INVESCO LTD COM STK USD0.20 (IVZ ) | 715.54 | 2,075.000 | 26.090 | 44,866.13 | 54,136.75 |
| M PARTNERRE COM STK USD1 (PRE ) | 173.60 | 70.000 | 80.490 | 4,868.60 | 5,634.30 |
| M GARMIN LTD COM CHF10.00 (GRMN ) | 356.56 | 420.000 | 40.750 | 7,978.11 | 17,115.00 |
| M PENTAIR LTD COM USD0.16 3/4 (PNR ) | 27.50 | 1,065.000 | 49.150 | 49,714.47 | 52,344.75 |
| M ABBOTT LABORATORIES (ABT ) | 769.14 | 390.240 | 65.500 | 18,607.00 | 25,560.72 |
| M APPLE INC (AAPL ) | 450.50 | 85.000 | 532.173 | 48,372.95 | 45,234.70 |
| M AVERY DENNISON CORP (AVY ) | 3,780.00 | 3,500.000 | 34.920 | 66,961.96t | 122,220.00 |
| M AVON PRODUCTS INC (AVP ) | 179.95 | 3,040.000 | 14.360 | 52,329.09 | 43,654.40 |
| M BB & T CORP (BBT ) | 325.42 | 430.000 | 29.110 | 9,758.85 | 12,517.30 |
| M BANK OF AMERICA CORP (BAC ) | 484.00 | 12,100.000 | 11.610 | 83,640.41t | 140,481.00 |
| M BAXTER INTL INC (BAX ) | 356.49 | 245.000 | 66.660 | 12,530.00 | 16,331.70 |
| M CARNIVAL CORP COM STK USD0.01(PAIRED STOCK) (CCL ) | 1,430.00 | 1,430.000 | 36.770 | 46,016.91 | 52,581.10 |
| M CATERPILLAR INC (CAT ) | 186.00 | 75.000 | 89.609 | 2,555.40 | 6,720.67 |
| M CHEVRON CORP NEW (CVX ) | 614.25 | 175.000 | 108.140 | 11,005.00 | 18,924.50 |
| M CISCO SYS INC (CSCO ) | 715.40 | 2,555.000 | 19.649 | 49,060.88 | 50,203.19 |
| M CLEAN ENERGY FUELS CORP (CLNE ) | 0.00 | 5,000.000 | 12.450 | 69,209.95 | 62,250.00 |
| M COLGATE-PALMOLIVE CO (CL ) | 512.40 | 210.000 | 104.540 | 12,097.70 | 21,953.40 |
| M CONOCOPHILLIPS (COP ) | 1,227.60 | 465.000 | 57.990 | 13,072.34 | 26,965.35 |
| M CORNING INC (GLW ) | 896.41 | 3,735.000 | 12.620 | 49,387.26 | 47,135.70 |
| M DEERE & COMPANY (DE ) | 474.35 | 265.000 | 86.420 | 8,102.61 | 22,901.30 |
| M DOW CHEMICAL CO (DOW ) | 248.20 | 170.000 | 32.329 | 4,283.45 | 5,495.93 |
| M DU PONT E I DE NEMOURS & CO (DD ) | 3,425.50 | 2,015.000 | 44.979 | 38,726.13t | 90,632.68 |
| M EMERSON ELECTRIC CO (EMR ) | 772.80 | 480.000 | 52.960 | 12,852.80 | 25,420.80 |
| M FREEPORT MCMORAN COPPER & GOLD INC. (FCX ) | 1,882.18 | 1,775.000 | 34.200 | 68,079.61 | 60,705.00 |
| M GENERAL ELECTRIC CO (GE ) | 4,838.20 | 5,290.000 | 20.990 | 38,423.11t | 111,037.10 |

Fidelity INVESTMENTS

# 2012 Investment Report

January 1, 2012 - December 31, 2012

## Brokerage [redacted] CARL J DOMINO - INDIVIDUAL

| Holdings (Symbol) as of 12/31 | Income Earned | Quantity | Price per Unit | Total Cost Basis | Total Value |
|---|---|---|---|---|---|
| M HANCOCK HOLDING CO (HBHC) | 278.40 | 290.000 | 31.730 | 10,767.12 | 9,201.70 |
| M HARLEY DAVIDSON INC COM (HOG) | 55.65 | 90.000 | 48.830 | 1,131.12 | 4,394.70 |
| M HOME DEPOT INC (HD) | 701.80 | 605.000 | 61.850 | 12,930.80 | 37,419.25 |
| M HUNTSMAN CORP (HUN) | 2,675.00 | 3,545.000 | 15.900 | 21,481.90t | 56,365.50 |
| INTRALINKS HLDGS INC COM USD0.001 (IL ) | 0.00 | 10,000.000 | 6.170 | 73,538.70 | 61,700.00 |
| M JPMORGAN CHASE & CO (JPM) | 1,064.00 | 1,400.000 | 43.969 | 52,306.15 | 61,556.60 |
| M KIMBERLY CLARK CORP (KMB) | 759.20 | 260.000 | 84.430 | 12,196.80 | 21,951.80 |
| MGIC INVST CORP WIS COM (MTG) | 0.00 | 20,000.000 | 2.660 | 35,362.60 | 53,200.00 |
| M MAGNA INTERNATIONAL INC COM NPV ISIN #CA5592224011 SEDOL #2554475 (MGA) | 629.77 | 1,160.000 | 50.020 | 45,144.59 | 58,023.20 |
| M MARATHON OIL CORP ISIN #US5658491064 SEDOL #2910970 (MRO) | 2,040.00 | 3,000.000 | 30.660 | 39,596.76t | 91,980.00 |
| M MASCO CORP (MAS) | 1,298.25 | 5,770.000 | 16.660 | 64,459.43 | 96,128.20 |
| M MAXIM INTEGRATED PRODS INC (MXIM) | 3,382.50 | 3,575.000 | 29.400 | 51,305.60t | 105,105.00 |
| M MERCK & CO INC NEW COM (MRK) | 940.80 | 560.000 | 40.940 | 14,663.20 | 22,926.40 |
| M MICROSOFT CORP (MSFT) | 1,921.45 | 2,315.000 | 26.710 | 59,501.37 | 61,833.65 |
| M MONDELEZ INTL INC COM (MDLZ) | 0.00 | 1,970.000 | 25.453 | 50,265.21 | 50,142.41 |
| MOTRICITY INC COM USD0.001 (MOTR) | 0.00 | 20,000.000 | 0.410 | 44,472.00 | 8,200.00 |
| M PALL CORP (PLL) | 84.41 | 115.000 | 60.260 | 2,292.37 | 6,929.90 |
| M PAYCHEX INC (PAYX) | 1,080.75 | 825.000 | 31.100 | 25,420.71 | 25,657.50 |
| M PFIZER INC (PFE) | 2,525.60 | 2,870.000 | 25.079 | 62,224.10 | 71,976.73 |
| M PROCTER & GAMBLE CO (PG) | 674.36 | 305.000 | 67.890 | 14,709.00 | 20,706.45 |
| M TEVA PHARMACEUTICAL INDUSTRIES ADR-EACH CNV INTO 1 ORD ILS0.10 (TEVA) | 1,561.80 | 1,520.000 | 37.340 | 56,946.24 | 56,756.80 |
| M TIFFANY & CO NEW (TIF) | 257.60 | 805.000 | 57.340 | 45,078.85 | 46,158.70 |
| M TUPPERWARE BRANDS CORP (TUP) | 539.40 | 310.000 | 64.100 | 5,024.91 | 19,871.00 |
| M UNION PACIFIC CORP (UNP) | 168.00 | 70.000 | 125.720 | 2,748.79 | 8,800.40 |
| M UNITED PARCEL SVC INC CL B (UPS) | 684.00 | 300.000 | 73.730 | 14,078.00 | 22,119.00 |
| M WELLPOINT INC (WLP) | 241.50 | 840.000 | 60.920 | 51,714.57 | 51,172.80 |
| WELLS FARGO & CO NEW (WFC) | 820.16 | 854.000 | 34.180 | unknown | 29,189.72 |
| M WYNN RESORTS LTD (WYNN) | 5,320.00 | 560.000 | 112.490 | 63,260.17 | 62,994.40 |

Fidelity INVESTMENTS

# 2012 Investment Report

January 1, 2012 - December 31, 2012

## Brokerage [redacted] CARL J DOMINO - INDIVIDUAL

| Holdings (Symbol) as of 12/31 | Income Earned | Quantity | Price per Unit | Total Cost Basis | Total Value |
|---|---|---|---|---|---|
| **Other** | | | | | |
| M AMERICAN CAP AGY CORP COM (AGNC ) | 10,031.25 | 2,675.000 | 28.900 | 76,781.45t | 77,307.50 |
| M PROLOGIS INC COM (PLD ) | 3,129.85 | 2,854.000 | 36.490 | 38,985.67t | 104,142.46 |
| M WEYERHAEUSER CO COM (WY ) | 608.03 | 1,215.000 | 27.820 | 25,273.07 | 33,801.30 |
| **Total Market Value as of December 31, 2012** | | | | | **$2,622,480.51** |
| Total income earned on positions no longer held | 16,590.31 | | | | |
| **2012 Income Earned** | **$ 84,905.83** | | | | |
| Debit balance | | | | | -194,739.25 |
| **Total Net Value** | | | | | **$2,427,741.26** |

*All positions held in cash account unless indicated otherwise.*
*M - Position held in margin account.*
*t - Third-party provided*
*Total Cost Basis does not include the cost basis on core, money market or other positions where cost basis is unknown or not applicable.*

## Transaction Details of Core Account

### Core Account

| Description | Amount | Balance |
|---|---|---|
| Beginning | | -$680,953.61 |
| Securities bought | -$887,706.47 | |
| Securities sold | 1,885,344.85 | |
| Exchanges in | 112,564.14 | |
| Other additions | 438,161.67 | |
| Other disbursements | -438,276.34 | |
| Core account income | 8.40 | |

| Description | Amount | Balance |
|---|---|---|
| Income | 92,518.09 | |
| Margin interest | -5,964.12 | |
| Deposits | 391,323.15 | |
| Checking activity | -246,751.71 | |
| Bill payments | -800,007.30 | |
| Other withdrawals | -55,000.00 | |
| Ending | | -$194,739.25 |

## Additional Information About Your Annual Investment Report

The account on this Annual Investment Report is registered to:
CARL J DOMINO
[redacted]

## If you have a question about your account or require service, please call your investment advisor/authorized agent.

**Lost or Stolen Cards** - For Visa ® Check (Debit/ATM) Cards, call 800-323-5353. For details refer to your Fidelity Check Card Agreement and Disclosure Statement.
**Additional Investments with Fidelity** - Please make non-retirement checks payable to Fidelity Brokerage Services LLC (FBS), and for retirement accounts make checks payable to Fidelity Management Trust Company. Please include your account number on the check. Do not include trading instructions with check deposits. We mail statements at least quarterly for any account with a balance. Please review your statement and report any inaccuracies or discrepancies immediately. Questions regarding your brokerage account or the activity therein should be directed to FBS at 800-544-6666,and National Financial Services LLC ("NFS"), who carries your brokerage accounts, at 800-800-6890. Any oral communications regarding inaccuracies or discrepancies should be reconfirmed in writing to protect your rights, including those under the Securities Investor Protection Act ("SIPA"). Please advise us promptly of any material changes in your investment objectives or financial situation related to your brokerage account(s). If you request a reprint of your statement, the disclosure information may not be the same as the original.
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**Value by Account** - Shows the value of your account(s), for the current and previous statement periods.
**Income Summary** - Shows income by tax status for the statement and year-to-date periods. Except for interest earned on, or distributed by, tax-exempt securities, Fidelity reports dividends and capital gains held in taxable accounts as taxable income. A portion of this income may be subject to state and local taxes and the federal alternative minimum tax. Fidelity reports earnings on investments in Traditional IRAs, Rollover IRAs, SEP-IRAs and Keoghs as tax-deferred income. Earnings on Roth-IRAs are reported as tax-exempt income, since distributions may be tax-exempt after meeting the five-year aging requirement and certain other conditions.
**Cost Basis, Gain/Loss, and Holding Period Information** - Cost basis is the original amount paid to purchase a security, including the amount of reinvested dividends and capital gains. Generally, we adjust cost basis for events such as returns of capital (including dividend reclassifications) and disallowed losses on wash sales on identical securities within the same account. NFS is required to report certain cost basis and holding period information to the IRS on Form 1099-B. However, cost basis, realized or unrealized gain and loss, and holding period information may not reflect all adjustments required for your tax reporting purposes. NFS and Fidelity specifically disclaim any liability arising out of a customer's use of, or any tax position taken in reliance upon, such information. Unless otherwise specified, NFS determines cost basis at the time of sale using its default methods of average cost for open-end mutual funds (except ETFs) and first-in, first-out (FIFO) for all other securities (including ETFs and shares held in dividend reinvestment plans). Customers should consult their tax advisors for further information.
**Cost** - Fidelity provides purchase cost information for securities held in retirement accounts. Such information may be adjusted for certain transactions and does not reflect reinvestments of dividends or capital gains. Fidelity reports transaction profit or loss information when securities are sold within a retirement account. Transaction profit or loss is calculated by subtracting purchase cost from sales proceeds using the FIFO method if shares were purchased at different times or prices.
**Contributions/Distributions** - Summarizes Traditional IRA and Roth IRA contributions, and taxable and non-taxable retirement distributions for these statement and year-to-date periods.
**Total Value** - The reported market value of an investment at the beginning or end of a statement period.
**Unrealized gain/loss** - For long positions, ending market value minus cost basis in non-retirement accounts and ending market value minus cost in retirement accounts. For short positions, proceeds minus ending market value. Unrealized gain/loss is calculated for pending sales because they are still in holdings. Unrealized gain/loss is not calculated for pending purchases because they are not in holdings.
**Holding Type Percentage** - Percentages by holding type only include long positions. Holding type percentages are rounded to the nearest percent and summed. If the sum is less than 100%, the difference between 100% and the sum is added to the holding type with the largest percentage.
**Change in Investment Value** - The appreciation or depreciation of your holdings due to price changes, plus any distribution and income earned during the statement period, less any transaction costs, sales charges, or fees.
**Account Protection** - Securities in accounts carried by NFS, a Fidelity Investments company, are protected in accordance with the Securities Investor Protection Corporation (SIPC) up to $500,000 (including cash claims limited to $250,000). For more information, including the SIPC brochure, please see www.sipc.org or call 1-202-371-8300. NFS has arranged for additional protection for cash and covered securities to supplement its SIPC coverage. Neither coverage protects against a decline in the market value of securities.
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**Short Account Balances** - Securities sold short are held in a segregated short account. These securities are marked-to-market for margin purposes and increase or decrease from the short sale price is transferred weekly to your margin account. Your short account balance is shown as of the last weekly mark-to-market, not statement end date.
**Information About Your Option Transactions** - Each transaction confirmation contains full information about commissions and other charges. If you require further information, contact Fidelity at 800-800-6890. Assignments of American and European-style options are allocated among customer short positions pursuant to a random allocation procedure, a description of which is available upon request. Short positions in American-style options are liable for assignment at any time. The writer of a European-style option is subject to exercise and assignment only during the exercise period.
**Equity Dividend Reinvestment** - Shares credited to your account from transactions by FBS acting as agent for your account, or the Depository Trust Company (DTC).
**Price Information/Total Market Value** -- The Total Market Value has been calculated to 9 decimal places; however, the individual unit price is displayed in 5 decimal places. The Total Market Value represents prices obtained from various sources, may be impacted by the frequency with which such prices are reported and such prices are not guaranteed. Prices received from pricing vendors are generally based on current market quotes, but when such quotes are not available pricing vendors use a variety of techniques to estimate value. These estimates, particularly for fixed income securities, may be based on certain minimum principal amounts (e.g. $1 million) and may not reflect all factors that affect the value of the security, including liquidity risk. The prices provided are not firm bids or offers. Certain securities may reflect N/A or unavailable where the price for such security is generally not available from a pricing source. The Market Value of a security, including those priced at par value, may differ from its purchase price and may not closely reflect the value at which the security may be sold or purchased based on various market factors. The sale or redemption of any fixed income security prior to maturity may result in a loss. Prices for Certificates of Deposit (CDs) are generally estimates and are not based on actual market prices. The secondary market for CDs is generally illiquid. You should always request a current valuation for your securities prior to making a financial decision or placing an order.
("A") Alternative Investments - Investments such as direct participation program securities (e.g., partnerships, limited liability companies, and real estate investment trusts which are not listed on any exchange), commodity pools, private equity, private debt and hedge funds are generally illiquid investments and their current values may differ from purchase price. Unless otherwise indicated, the values shown in this statement for such investments have been provided by the management, administrator or sponsor of each program or a third-party vendor without independent verification by Fidelity Brokerage Services (FBS) and represent their estimate of the value of the investor's participation in the program, as of a date no greater than 18 months from the date of this statement. Thus, the estimated values shown herein may not necessarily reflect actual market values or be realized upon liquidation. If an estimated value is not provided, valuation information is not available.
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charles SCHWAB
INSTITUTIONAL

Rollover IRA of
CARL J DOMINO
CHARLES SCHWAB & CO INC CUST
IRA ROLLOVER

Account Number
[redacted]

Statement Period
October 1-31, 2013

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## Market Monitor

| Rates | Yield |
|---|---|
| Sch Adv Csh Rsv[f] | 0.01% |

## Your Independent Investment Manager and/or Advisor

CARL DOMINO INC
NORTHBRIDGE CTR
515 N FLAGLER DR STE 808
WEST PALM BEACH FL 33401-4324
1 (561) 833-2882

*The custodian of your brokerage account is: Charles Schwab & Co., Inc.*
For questions about this statement, or if there is a change in your financial situation, investment objectives, or risk profile, please contact your Independent Investment Manager and/or Advisor.

CARL J DOMINO
CHARLES SCHWAB & CO INC CUST
IRA ROLLOVER
[redacted]

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CARL DOMINO, INC.
INVESTMENT MANAGERS AND WEALTH ADVISORS


SIPC
10/31-00000-TTCL0904-150045 *

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**Current Yield:** Annual dividend paid on an equity divided by the current market price.
**Dividend Reinvestment Customers:** Dividend reinvestment transactions were effected by Schwab acting as a principal for its own account, except for the reinvestment of Schwab dividends, for which an independent broker-dealer acted as the buying agent. The time of these transactions, the exchange upon which these transactions occurred and the name of the person from whom the security was purchased will be furnished upon written request.
**Estimated Annual Income:** Estimated annual income is derived from information provided by outside parties. Schwab cannot guarantee the accuracy of such information. Since the interest and dividends are subject to change at any time, they should not be relied upon exclusively for making investment decisions.
**Fees and Charges:** For those fees described in the statement as "Fee to Advisor", as authorized by you, Schwab debited your Account to pay the fees as instructed by your Advisor. It is your responsibility, and not Schwab's, to verify the accuracy of the fees. Margin interest charged to your Account during the statement period is included in this section of the statement.
**Interest:** For the Schwab One Interest feature, Bank Sweep feature, and the Insured Bank Network feature, interest is paid for a period that differs from the Statement Period. Balances include interest paid as indicated on your statement by Schwab, Charles Schwab Bank, or a Depository Institution participating in the Insured Bank Network. These balances do not include interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period. For the Schwab One Interest feature, interest accrues daily from the second-to-last business day of the prior month and is posted on the second-to-last business day of the current month. For the Bank Sweep feature, interest accrues daily from the 16th day of the prior month and is credited/posted on the first business day after the 15th of the current month. For the Insured Bank Network feature, interest accrues daily from the 16th of the prior month and is generally credited/posted on the 15th of the current month. If the 15th of the month falls on a non-business day, the interest amount payable through the 15th of the month is generally paid on the last business day prior to the 15th. However, there may be certain events that trigger the posting of interest earlier in the interest period. Events that could trigger an earlier interest posting include the closure of your deposit accounts at a Depository Institution or the rebalancing of funds between your MMDA and transaction account at a Depository Institution. These events are referred to as "Mid-Cycle Interest" on your account statement. The interest period for Mid-Cycle Interest payments will be from the 16th of the prior month, or the date the account(s) were established if after the 16th of the prior month, until the date posted on your account statement. For more information, about Mid-Cycle Interest payments please refer to your Cash Features Disclosure Statement.
If, on any given day, the interest that Schwab calculates for the Free Credit Balances in the Schwab One Interest feature in your brokerage account is less than $.005, you will not accrue any interest on that day. For balances held at Charles Schwab Bank in the Bank Sweep feature or at a Depository Institution participating in the Insured Bank Network, interest will accrue even if the amount is less than $.005.
**Margin Account Customers:** This is a combined statement of your margin account and special memorandum account maintained for you under Section 220.5 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the separate account as required by Regulation T is available for your inspection. Securities purchased on margin are Schwab's collateral for the loan to you. It is important that you fully understand the risks involved in trading securities on margin. These risks include:

- You can lose more funds than you deposit in the margin account.
- Schwab can force the sale of securities or other assets in any of your account(s) to maintain the required account equity without contacting you.
- You are not entitled to choose which assets are liquidated nor are you entitled to an extension of time on a margin call.
- Schwab can increase its "house" maintenance margin requirements at any time without advance written notice to you.

**Market Price:** The most recent price evaluation available to Schwab on the last business day of the report period, normally the last trade price or bid as of market close. Unpriced securities denote that no market evaluation update is currently available. Price evaluations are obtained from outside parties. Schwab shall have no responsibility for the accuracy or timeliness of any such valuations. Pricing of assets not held at Schwab is for informational purposes only. Some securities, especially thinly traded equities in the OTC market or foreign markets, may not report the most current price and are indicated as Stale Priced. For Limited Partnerships and Real Estate Investment Trust (REIT) securities, you may see that the value reflected on your monthly account statement for this security is unpriced. NASD rules require that certain Limited Partnerships (direct participation programs) and Real Estate Investment Trust (REIT) securities, that have not been priced within 18 months, must show as unpriced on customer statements. Note that these securities are generally illiquid, the value of the securities will be different than its purchase price, and, if applicable, that accurate valuation information may not be available.
**Market Value:** The Market Value is computed by multiplying the Market Price by the Quantity of Shares. This is the dollar value of your present holdings in your specified Schwab Account or a summary of the Market Value summed over multiple accounts.
**Non-Publicly Traded Securities:** All assets shown on this statement, other than certain direct investments which may be held by a third party, are held in your Account. Values of certain Non-Publicly Traded Securities may be furnished by a third party as provided by Schwab's Account Agreement. Schwab shall have no responsibility for the accuracy or timeliness of such valuations. The Securities Investor Protection Corporation (SIPC) does not cover many limited partnership interests.

## Terms and Conditions (continued)

**Option Customers:** Be aware of the following: 1) Commissions and other charges related to the execution of option transactions have been included in confirmations of such transactions previously furnished to you and will be made available promptly upon request 2) You should advise us promptly of any material changes in your investment objectives or financial situation 3) Exercise assignment notices for the option contracts are allocated among customer short positions pursuant to an automated procedure which randomly selects from among all customer short option positions those contracts which are subject to exercise, including positions established on the day of assignment 4) Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options. Please consult your tax advisor or IRS publication 550, Investment Income and Expenses, for additional information on Options.
**Schwab Sweep Money Funds:** Includes the primary money market funds into which Free Credit Balances may be automatically invested pursuant to your Account Agreement. Schwab or an affiliate acts and receives compensation as the Investment Advisor, Transfer Agent, Shareholder Service Agent and Distributor for the Schwab Sweep Money Funds. The amount of such compensation is disclosed in the prospectus. The yield information for Schwab Sweep Money Funds is the current 7-day yield as of the statement period. Yields vary. Schwab and the Schwab Sweep Money Funds investment advisor may be voluntarily reducing a portion of a Schwab Sweep Money Fund's expenses. Without these reductions, yields would have been lower.
**Securities Products and Services:** Securities products and services are offered by Charles Schwab & Co., Inc., Member SIPC. Securities products and services, including unswept intraday funds and net credit balances held in brokerage accounts are not guaranteed deposits or obligations of Charles Schwab Bank or a Depository Institution(s) participating in the Insured Bank Network, and are subject to investment risk, are not FDIC insured, may lose value, and are not bank guaranteed. SIPC does not cover balances held at Charles Schwab Bank in the Bank Sweep feature or at a Depository Institution(s) participating in the Insured Bank Network feature.
**Short Positions:** Securities sold short will be identified through an "S" in Investment Detail. The market value of these securities will be expressed as a debit and be netted against any long positions in Total Account Value.
**Depository Institution:** A Member FDIC depository institution participating in the Insured Bank Network that has entered into a deposit agreement with Schwab, at which interest-bearing deposit accounts are maintained on your behalf.
**Yield to Maturity:** This is the actual average annual return on a note if held to maturity.
**Gain (or Loss):** Unrealized Gain or (Loss) and Realized Gain or (Loss) sections ("Gain/Loss Section(s)") contain a gain or a loss summary of your Account. This information has been provided on this statement at the request of your Advisor. This information is not a solicitation or a recommendation to buy or sell. It may, however, be helpful for investment and tax planning strategies. **Schwab does not provide tax advice and encourages you to consult with your tax professional. Please view the Cost Basis Disclosure Statement for additional information on how gain (or loss) is calculated and how Schwab reports adjusted cost basis information to the IRS.**

**IN CASE OF ERRORS OR DISCREPANCIES: If you find an error or discrepancy relating to your brokerage activity (other than an electronic fund transfer) you must notify us promptly, but no later than 10 days after this statement is sent or made available to you. If this statement shows that we have mailed or delivered security certificate(s) that you have not received, notify Schwab immediately. You may call us at 800-515-2157. Any oral communications should be re-confirmed in writing to further protect your rights, including rights under the Securities Investor Protection Act (SIPA). If you do not so notify us, you agree that the statement activity and Account balance are correct for all purposes with respect to those brokerage transactions.**

IN CASE OF COMPLAINTS: If you have a complaint regarding your Schwab statement, products or services, please write to the Client Advocacy Team at Charles Schwab & Co., Inc., Attention: Client Advocacy Team, 211 Main St., San Francisco, CA 94105, USA, or call Schwab Signature Alliance at 800-515-2157.

**Address Changes:** If you fail to notify Schwab in writing of any change of address or phone number, you may not receive important notifications about your Account, and trading or other restrictions might be placed on your Account.

**Additional Information:**
We are required by law to report to the Internal Revenue Service adjusted cost basis information (if applicable), certain payments to you and credits to your Account during the calendar year. Retain this statement for income tax purposes. A financial statement for your inspection is available at Schwab's offices or a copy will be mailed to you upon written request. Any third party trademarks appearing herein are the property of their respective owners. Schwab and Charles Schwab Bank are affiliates of each other and subsidiaries of the Charles Schwab Corporation.

(1112-5679) REG24878B-13 (11/12)

## Change in Account Value

| Change in Account Value | This Period | Year to Date |
|---|---|---|
| **Starting Value** | **$ 1,797,916.56** | **$ 1,264,131.59** |
| Cash Value of Purchases & Sales | (35,351.43) | (72,186.00) |
| Investments Purchased/Sold | 35,351.43 | 72,186.00 |
| Deposits & Withdrawals | 0.00 | 6,609.21 |
| Dividends & Interest | 2,368.11 | 29,949.68 |
| Fees & Charges | 0.00 | 0.00 |
| Transfers | 0.00 | 263,038.58 |
| Income Reinvested | (0.87) | (8.67) |
| Change in Value of Investments | 98,077.59 | 334,641.00 |
| **Ending Value on 10/31/2013**Δ | **$ 1,898,361.39** | **$ 1,898,361.39** |
| *Accrued Income*[d] | *1,509.28* | |
| ***Ending Value with Accrued Income***[dΔ] | ***$ 1,899,870.67*** | |

[redacted]

## Asset Composition

| Asset Composition | Market Value |
|---|---|
| Cash and Money Market Funds [Sweep] | $ 87,433.33 |
| Equities | 1,807,372.51 |
| Other Assets | 3,555.55 |
| **Total Assets Long**Δ | **$ 1,898,361.39** |

[redacted]

## Gain or (Loss) Summary

**Gain or (Loss) on Investments Sold**

| | |
|---|---|
| This Period | $0.00 |

**Unrealized Gain or (Loss)**

| | |
|---|---|
| All Investments | $635,238.43[i] |

*Values may not reflect all of your gains/losses.*

## Account Notes

- Your portfolio includes unpriced securities.
- Accrued Dividend is $1,509.28

CARL DOMINO, INC.
INVESTMENT MANAGERS AND WEALTH ADVISORS

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings.
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.


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| Income Summary | This Period | Year To Date |
|---|---|---|
| Money Funds Dividends | 0.87 | 8.67 |
| Cash Dividends | 2,367.24 | 29,178.51 |
| [redacted] | | |

## Investment Detail - Cash and Money Market Funds [Sweep]

| Cash | Market Value |
|---|---|
| Cash | 292.35 |
| [redacted] | |

| Money Market Funds [Sweep] | Quantity | Market Price | Market Value | Current Yield |
|---|---|---|---|---|
| SCHWAB ADV CASH RESERVE: SWQXX | 87,140.9800 | 1.0000 | 87,140.98 | 0.01% |
| [redacted] | | | | |

## Investment Detail - Equities

| Equities | Quantity / Units Purchased | Market Price / Cost Per Share | Market Value / Cost Basis | Acquired | Unrealized Gain or (Loss) | Estimated Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|
| **ABBVIE INC** | **665.0000** | **48.4500** | **32,219.25** | | **10,841.01** | **3.30%** | **1,064.00** |
| SYMBOL: ABBV | 35.0000 | 27.3431 | 957.01 | 07/18/11 | 738.74 | | |
| | 300.0000 | 27.2760 | 8,182.81 | 09/20/11 | 6,352.19 | | |
| | 330.0000 | 37.0861 | 12,238.42 | 01/30/13 | 3,750.08 | | |
| *Cost Basis* | | | *21,378.24* | | | | *Accrued Dividend: 266.00* |

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## Investment Detail - Equities (continued)

| Equities (continued) | Quantity / Units Purchased | Market Price / Cost Per Share | Market Value / Cost Basis | Acquired | Unrealized Gain or (Loss) | Estimated Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|
| **ALERE INC. 3% PFD** | **13.0000** | **279.0100** | **3,627.13** | | **6.63** | **4.30%** | **156.00** |
| PFD CONV DUE 12/31/99 | 13.0000 | 278.5000 | 3,620.50[t] | 01/18/11 | 6.63 | | |
| SYMBOL: ALR+B | | | | | | | |
| **APPLE INC** | **59.0000** | **522.7020** | **30,839.42** | | **(668.45)** | **2.33%** | **719.80** |
| SYMBOL: AAPL | 40.0000 | 569.2200 | 22,768.80 | 05/04/12 | (1,860.72) | | |
| | 19.0000 | 459.9510 | 8,739.07 | 05/07/13 | 1,192.27 | | |
| *Cost Basis* | | | *31,507.87* | | | | |
| **AVON PRODUCTS INC** | **1,315.0000** | **17.5000** | **23,012.50** | | **408.56** | **1.37%** | **315.60** |
| SYMBOL: AVP | 1,315.0000 | 17.1893 | 22,603.94 | 10/05/12 | 408.56 | | |
| **BAXTER INTERNATIONAL INC** | **335.0000** | **65.8700** | **22,066.45** | | **3,122.40** | **2.97%** | **656.60** |
| SYMBOL: BAX | 35.0000 | 60.3377 | 2,111.82 | 07/18/11 | 193.63 | | |
| | 300.0000 | 56.1074 | 16,832.23 | 09/20/11 | 2,928.77 | | |
| *Cost Basis* | | | *18,944.05* | | | | |
| **BB&T CORPORATION** | **815.0000** | **33.9700** | **27,685.55** | | **9,710.46** | **2.70%** | **749.80** |
| SYMBOL: BBT | 15.0000 | 20.8893 | 313.34 | 08/26/11 | 196.21 | | |
| | 800.0000 | 22.0771 | 17,661.75 | 09/20/11 | 9,514.25 | | |
| *Cost Basis* | | | *17,975.09* | | | | |
| **BIOLIFE SOLUTIONS INC** | **50.0000** | **1.2200** | **61.00** | | **N/A**[l] | **0.00%** | **0.00** |
| SYMBOL: BLFS | 50.0000 | N/A | please provide | 07/09/13 | N/A | | |
| **CARNIVAL CORP NEW F** | **650.0000** | **34.6500** | **22,522.50** | | **1,616.76** | **2.88%** | **650.00** |
| PAIRED STK | 650.0000 | 32.1626 | 20,905.74 | 05/29/12 | 1,616.76 | | |
| SPECIAL VTG TR | | | | | | | |
| SYMBOL: CCL | | | | | | | |

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

## Investment Detail - Equities (continued)

| Equities (continued) | Quantity / Units Purchased | Market Price / Cost Per Share | Market Value / Cost Basis | Acquired | Unrealized Gain or (Loss) | Estimated Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|
| **CATERPILLAR INC** | **275.0000** | **83.3600** | **22,924.00** | | **(1,185.78)** | **2.87%** | **660.00** |
| SYMBOL: CAT | 75.0000 | 34.0750 | 2,555.63 | 06/24/09 | 3,696.37 | | |
| | 200.0000 | 107.7707 | 21,554.15 | 07/18/11 | (4,882.15) | | |
| *Cost Basis* | | | *24,109.78* | | | | ***Accrued Dividend: 165.00*** |
| **CHESAPEAKE ENERGY CORP** | **1,300.0000** | **27.9600** | **36,348.00** | | **14,358.26** | **1.25%** | **455.00** |
| SYMBOL: CHK | 1,300.0000 | 16.9151 | 21,989.74 | 01/08/13 | 14,358.26 | | |
| **CHEVRON CORPORATION** | **260.0000** | **119.9600** | **31,189.60** | | **5,036.09** | **3.33%** | **1,040.00** |
| SYMBOL: CVX | 60.0000 | 106.2426 | 6,374.56 | 07/18/11 | 823.04 | | |
| | 200.0000 | 98.8947 | 19,778.95 | 09/20/11 | 4,213.05 | | |
| *Cost Basis* | | | *26,153.51* | | | | |
| **CISCO SYSTEMS INC** | **1,125.0000** | **22.5600** | **25,380.00** | | **3,771.05** | **3.01%** | **765.00** |
| SYMBOL: CSCO | 1,125.0000 | 19.2079 | 21,608.95 | 08/24/12 | 3,771.05 | | |
| **COLGATE-PALMOLIVE CO** | **420.0000** | **64.7300** | **27,186.60** | | **7,579.52** | **2.10%** | **571.20** |
| SYMBOL: CL | 20.0000 | 44.0165 | 880.33 | 07/18/11 | 414.27 | | |
| | 400.0000 | 46.8168 | 18,726.75 | 09/20/11 | 7,165.25 | | |
| *Cost Basis* | | | *19,607.08* | | | | ***Accrued Dividend: 142.80*** |
| **CONOCOPHILLIPS** | **410.0000** | **73.3300** | **30,065.30** | | **6,343.71** | **3.76%** | **1,131.60** |
| SYMBOL: COP | 10.0000 | 49.5180 | 495.18 ª | 08/05/05 | 238.12 | | |
| | 400.0000 | 58.0660 | 23,226.41 | 07/18/11 | 6,105.59 | | |
| *Cost Basis* | | | *23,721.59* | | | | ***Accrued Dividend: 282.90*** |
| **CORNING INC** | **1,645.0000** | **17.0900** | **28,113.05** | | **6,374.47** | **2.34%** | **658.00** |
| SYMBOL: GLW | 1,645.0000 | 13.2149 | 21,738.58 | 05/08/12 | 6,374.47 | | |
| **CREDITRISKMONITOR.COM** | **38.0000** | **3.0000** | **114.00** | | **N/A** ' | **0.00%** | **0.00** |
| SYMBOL: CRMZ | 38.0000 | N/A | please provide | 07/09/13 | N/A | | |

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## Investment Detail - Equities (continued)

| Equities (continued) | Quantity / Units Purchased | Market Price / Cost Per Share | Market Value / Cost Basis | Acquired | Unrealized Gain or (Loss) | Estimated Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|
| **DEERE & CO** | **270.0000** | **81.8400** | **22,096.80** | | **4,488.46** | **2.49%** | **550.80** |
| SYMBOL: DE | 70.0000 | 30.5912 | 2,141.39 | 03/16/09 | 3,587.41 | | |
| | 200.0000 | 77.3347 | 15,466.95 | 09/20/11 | 901.05 | | |
| *Cost Basis* | | | *17,608.34* | | | | ***Accrued Dividend: 137.70*** |
| **DOW CHEMICAL COMPANY** | **740.0000** | **39.4700** | **29,207.80** | | **8,795.19** | **3.24%** | **947.20** |
| SYMBOL: DOW | 40.0000 | 25.2015 | 1,008.06 | 08/04/10 | 570.74 | | |
| | 700.0000 | 27.7207 | 19,404.55 | 09/20/11 | 8,224.45 | | |
| *Cost Basis* | | | *20,412.61* | | | | |
| **DU PONT E I DE NEMOUR&CO** | **550.0000** | **61.2000** | **33,660.00** | | **9,328.75** | **2.94%** | **990.00** |
| SYMBOL: DD | 50.0000 | 22.4260 | 1,121.30 | 03/31/09 | 1,938.70 | | |
| | 500.0000 | 46.4199 | 23,209.95 | 09/20/11 | 7,390.05 | | |
| *Cost Basis* | | | *24,331.25* | | | | |
| **EMERSON ELECTRIC CO** | **450.0000** | **66.9700** | **30,136.50** | | **6,478.64** | **2.44%** | **738.00** |
| SYMBOL: EMR | 50.0000 | 34.1142 | 1,705.71 [a] | 08/08/05 | 1,642.79 | | |
| | 400.0000 | 54.8803 | 21,952.15 | 07/18/11 | 4,835.85 | | |
| *Cost Basis* | | | *23,657.86* | | | | |
| **FORD MOTOR COMPANY NEW** | **1,825.0000** | **17.1100** | **31,225.75** | | **7,512.24** | **2.33%** | **730.00** |
| SYMBOL: F | 1,825.0000 | 12.9937 | 23,713.51 | 01/29/13 | 7,512.24 | | |
| **GARMIN LTD NEW F** | **425.0000** | **46.7500** | **19,868.75** | | **5,927.90** | **3.85%** | **765.00** |
| SYMBOL: GRMN | 25.0000 | 18.6520 | 466.30 | 12/29/08 | 702.45 | | |
| | 400.0000 | 33.6863 | 13,474.55 | 09/20/11 | 5,225.45 | | |
| *Cost Basis* | | | *13,940.85* | | | | |

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SIPC

## Investment Detail - Equities (continued)

| Equities (continued) | Quantity / Units Purchased | Market Price / Cost Per Share | Market Value / Cost Basis | Acquired | Unrealized Gain or (Loss) | Estimated Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|
| **GENERAL ELECTRIC COMPANY** | **1,195.0000** | **26.1400** | **31,237.30** | | **4,859.85** | **2.90%** | **908.20** |
| SYMBOL: GE | 520.0000 | 33.8653 | 17,610.00 a | 08/08/05 | (4,017.20) | | |
| | 80.0000 | 33.5443 | 2,683.55 | 02/17/06 | (592.35) | | |
| | 595.0000 | 10.2250 | 6,083.90 | 03/31/09 | 9,469.40 | | |
| *Cost Basis* | | | *26,377.45* | | | | |
| **HANCOCK HOLDING CO** | **905.0000** | **32.7800** | **29,665.90** | | **(1,654.11)** | **2.92%** | **868.80** |
| SYMBOL: HBHC | 370.0000 | 37.4855 | 13,869.67 | 05/01/09 | (1,741.07) | | |
| | 85.0000 | 42.1377 | 3,581.71 | 03/16/10 | (795.41) | | |
| | 165.0000 | 33.5708 | 5,539.19 | 11/08/10 | (130.49) | | |
| | 285.0000 | 29.2261 | 8,329.44 | 05/31/13 | 1,012.86 | | |
| *Cost Basis* | | | *31,320.01* | | | | |
| **HARLEY DAVIDSON INC** | **520.0000** | **64.0400** | **33,300.80** | | **13,921.03** | **1.31%** | **436.80** |
| SYMBOL: HOG | 520.0000 | 37.2687 | 19,379.77 | 09/20/11 | 13,921.03 | | |
| **HERBALIFE LTD F** | **605.0000** | **64.8200** | **39,216.10** | | **17,665.56** | **1.85%** | **726.00** |
| SYMBOL: HLF | 605.0000 | 35.6207 | 21,550.54 | 12/20/12 | 17,665.56 | | |
| **HEWLETT-PACKARD COMPANY** | **1,200.0000** | **24.3700** | **29,244.00** | | **(1,957.75)** | **2.38%** | **696.96** |
| SYMBOL: HPQ | 1,200.0000 | 26.0014 | 31,201.75 | 07/10/13 | (1,957.75) | | |
| **HOME DEPOT INC** | **327.0000** | **77.8900** | **25,470.03** | | **14,237.60** | **2.00%** | **510.12** |
| SYMBOL: HD | 327.0000 | 34.3499 | 11,232.43 | 08/22/07 | 14,237.60 | | |
| **HOPTO INC** | **200.0000** | **0.4200** | **84.00** | | **(1,031.35)** | **0.00%** | **0.00** |
| SYMBOL: HPTO | 200.0000 | 5.5767 | 1,115.35 t | 08/24/99 | (1,031.35) | | |
| **HUNTSMAN CORPORATION** | **1,515.0000** | **23.2200** | **35,178.30** | | **6,493.33** | **2.15%** | **757.50** |
| SYMBOL: HUN | 1,515.0000 | 18.9339 | 28,684.97 | 07/18/11 | 6,493.33 | | |

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SIPC

## Investment Detail - Equities (continued)

| Equities (continued) | Quantity / Units Purchased | Market Price / Cost Per Share | Market Value / Cost Basis | Acquired | Unrealized Gain or (Loss) | Estimated Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|
| **INVESCO LTD F** | **940.0000** | **33.7500** | **31,725.00** | | **11,398.51** | **2.66%** | **846.00** |
| SYMBOL: IVZ | 940.0000 | 21.6239 | 20,326.49 | 06/27/12 | 11,398.51 | | |
| **JPMORGAN CHASE & CO** | **470.0000** | **51.5400** | **24,223.80** | | **4,470.22** | **2.94%** | **714.40** |
| SYMBOL: JPM | 320.0000 | 45.8584 | 14,674.70 | 03/18/11 | 1,818.10 | | |
| | 150.0000 | 33.8592 | 5,078.88 | 05/25/12 | 2,652.12 | | |
| *Cost Basis* | | | *19,753.58* | | | | |
| **MAGNA INTL INC F** | **420.0000** | **84.7000** | **35,574.00** | | **19,249.62** | **1.51%** | **537.60** |
| SYMBOL: MGA | 420.0000 | 38.8675 | 16,324.38 | 07/23/12 | 19,249.62 | | |
| **MASCO CORP** | **1,240.0000** | **21.1300** | **26,201.20** | | **12,362.45** | **1.41%** | **372.00** |
| SYMBOL: MAS | 1,240.0000 | 11.1602 | 13,838.75 | 01/04/12 | 12,362.45 | | |
| | | | | | | | ***Accrued Dividend: 93.00*** |
| **MERCK & CO INC NEW** | **565.0000** | **45.0900** | **25,475.85** | | **6,984.27** | **3.81%** | **971.80** |
| SYMBOL: MRK | 65.0000 | 35.4458 | 2,303.98 | 07/18/11 | 626.87 | | |
| | 500.0000 | 32.3752 | 16,187.60 | 09/20/11 | 6,357.40 | | |
| *Cost Basis* | | | *18,491.58* | | | | |
| **MICROSOFT CORP** | **765.0000** | **35.4050** | **27,084.83** | | **7,423.03** | **2.59%** | **703.80** |
| SYMBOL: MSFT | 765.0000 | 25.7016 | 19,661.80 | 12/22/11 | 7,423.03 | | |
| **MONDELEZ INTL INC CL A** | **855.0000** | **33.6500** | **28,770.75** | | **6,950.75** | **1.66%** | **478.80** |
| SYMBOL: MDLZ | 855.0000 | 25.5204 | 21,820.00 | 12/21/12 | 6,950.75 | | |
| **NATIONAL OILWELL VARCO** | **162.0000** | **81.1800** | **13,151.16** | | **N/A** ' | **1.28%** | **168.48** |
| SYMBOL: NOV | 162.0000 | N/A | please provide | 07/09/13 | N/A | | |

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings.
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.


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## Investment Detail - Equities (continued)

| Equities (continued) | Quantity / Units Purchased | Market Price / Cost Per Share | Market Value / Cost Basis | Acquired | Unrealized Gain or (Loss) | Estimated Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|
| **NEWMONT MINING CORP** | **810.0000** | **27.2600** | **22,080.60** | | **(9,718.36)** | **3.66%** | **810.00** |
| SYMBOL: NEM | 605.0000 | 41.2016 | 24,927.02 | 03/25/13 | (8,434.72) | | |
| | 205.0000 | 33.5216 | 6,871.94 | 05/08/13 | (1,283.64) | | |
| *Cost Basis* | | | *31,798.96* | | | | |
| **PALL CORP** | **385.0000** | **80.5200** | **31,000.20** | | **19,378.05** | **1.36%** | **423.50** |
| SYMBOL: PLL | 385.0000 | 30.1874 | 11,622.15 * | 08/09/05 | 19,378.05 | | |
| | | | | | | | ***Accrued Dividend: 105.88*** |
| **PARTNERRE LTD F** | **345.0000** | **100.2100** | **34,572.45** | | **14,587.64** | **2.55%** | **883.20** |
| SYMBOL: PRE | 45.0000 | 69.5146 | 3,128.16 | 07/07/11 | 1,381.29 | | |
| | 300.0000 | 56.1888 | 16,856.65 | 09/20/11 | 13,206.35 | | |
| *Cost Basis* | | | *19,984.81* | | | | |
| **PAYCHEX INC** | **710.0000** | **42.2650** | **30,008.15** | | **9,826.82** | **3.31%** | **994.00** |
| SYMBOL: PAYX | 710.0000 | 28.4244 | 20,181.33 | 11/04/10 | 9,826.82 | | |
| **PENTAIR LTD F** | **470.0000** | **67.0900** | **31,532.30** | | **10,729.95** | **1.49%** | **470.00** |
| SYMBOL: PNR | 2.0285 | 28.5186 | 57.85 | 10/21/10 | 78.24 | | |
| | 119.9715 | 33.5201 | 4,021.46 | 09/20/11 | 4,027.43 | | |
| | 348.0000 | 48.0547 | 16,723.04 | 12/07/12 | 6,624.28 | | |
| *Cost Basis* | | | *20,802.35* | | | | ***Accrued Dividend: 117.50*** |
| **PFIZER INCORPORATED** | **910.0000** | **30.6850** | **27,923.35** | | **8,194.70** | **3.12%** | **873.60** |
| SYMBOL: PFE | 910.0000 | 21.6798 | 19,728.65 | 12/23/11 | 8,194.70 | | |
| **POTASH CORP SASK INC F** | **1,055.0000** | **31.1000** | **32,810.50** | | **623.52** | **4.50%** | **1,477.00** |
| SYMBOL: POT | 1,055.0000 | 30.5089 | 32,186.98 | 08/12/13 | 623.52 | | |

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Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

## Investment Detail - Equities (continued)

| Equities (continued) | Quantity / Units Purchased | Market Price / Cost Per Share | Market Value / Cost Basis | Acquired | Unrealized Gain or (Loss) | Estimated Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|
| **PROCTER & GAMBLE** | **330.0000** | **80.7500** | **26,647.50** | | **5,858.62** | **2.97%** | **793.98** |
| SYMBOL: PG | 30.0000 | 54.1243 | 1,623.73 [a] | 08/09/05 | 798.77 | | |
| | 300.0000 | 63.8838 | 19,165.15 | 09/20/11 | 5,059.85 | | |
| *Cost Basis* | | | *20,788.88* | | | | ***Accrued Dividend: 198.50*** |
| **SIMTROL INC NEW** | **75.0000** | **0.0040** | **0.30** | | **N/A** [i] | **0.00%** | **0.00** |
| SYMBOL: SMRL | 75.0000 | N/A | please provide | 07/09/13 | N/A | | |
| **STAPLES INC** | **1,865.0000** | **16.1290** | **30,080.59** | | **(431.18)** | **2.97%** | **895.20** |
| SYMBOL: SPLS | 1,865.0000 | 16.3601 | 30,511.77 | 07/10/13 | (431.18) | | |
| **SYMANTEC CORP** | **1,630.0000** | **22.7550** | **37,090.65** | | **1,739.22** | **2.63%** | **978.00** |
| SYMBOL: SYMC | 1,630.0000 | 21.6879 | 35,351.43 | 10/24/13 | 1,739.22 | | |
| **TENET HEALTHCARE NEW** | **425.0000** | **47.1900** | **20,055.75** | | **(140.25)** | **0.00%** | **0.00** |
| SYMBOL: THC | 425.0000 | 47.5200 | 20,196.00 [t] | 06/24/03 | (140.25) | | |
| **TIFFANY & CO NEW** | **365.0000** | **79.1700** | **28,897.05** | | **8,464.27** | **1.71%** | **496.40** |
| SYMBOL: TIF | 365.0000 | 55.9802 | 20,432.78 | 07/26/12 | 8,464.27 | | |
| **TRANSOCEAN INC NEW F** | **505.0000** | **47.0700** | **23,770.35** | | **(1,887.55)** | **4.75%** | **1,131.20** |
| SYMBOL: RIG | 505.0000 | 50.8077 | 25,657.90 | 06/07/13 | (1,887.55) | | |
| **TUPPERWARE BRANDS CORP** | **325.0000** | **89.6500** | **29,136.25** | | **23,030.47** | **2.76%** | **806.00** |
| SYMBOL: TUP | 90.0000 | 22.8147 | 2,053.33 | 10/29/08 | 6,015.17 | | |
| | 235.0000 | 17.2444 | 4,052.45 | 03/31/09 | 17,015.30 | | |
| *Cost Basis* | | | 6,105.78 | | | | |
| **UNION PACIFIC CORP** | **165.0000** | **151.4000** | **24,981.00** | | **10,205.13** | **2.08%** | **521.40** |
| SYMBOL: UNP | 165.0000 | 89.5507 | 14,775.87 | 09/20/11 | 10,205.13 | | |

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## Investment Detail - Equities (continued)

| Equities (continued) | Quantity / Units Purchased | Market Price / Cost Per Share | Market Value / Cost Basis | Acquired | Unrealized Gain or (Loss) | Estimated Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|
| **UNITED PARCEL SERVICE B** | **315.0000** | **98.2400** | **30,945.60** | | **10,028.93** | **2.52%** | **781.20** |
| CLASS B | 15.0000 | 72.4080 | 1,086.12 | 07/18/11 | 387.48 | | |
| SYMBOL: UPS | 300.0000 | 66.1018 | 19,830.55 | 09/20/11 | 9,641.45 | | |
| *Cost Basis* | | | *20,916.67* | | | | |
| **VASCULAR SOLUTIONS INC** | **15,000.0000** | **19.6200** | **294,300.00** | | **265,847.86** | **0.00%** | **0.00** |
| SYMBOL: VASC | 100.0000 | 1.8700 | 187.00 t | 09/27/01 | 1,775.00 | | |
| | 500.0000 | 1.8500 | 925.00 t | 09/27/01 | 8,885.00 | | |
| | 500.0000 | 1.8700 | 935.00 t | 09/27/01 | 8,875.00 | | |
| | 500.0000 | 1.9000 | 950.00 t | 09/27/01 | 8,860.00 | | |
| | 500.0000 | 1.9200 | 960.00 t | 09/27/01 | 8,850.00 | | |
| | 1,000.0000 | 1.9000 | 1,900.00 t | 09/27/01 | 17,720.00 | | |
| | 1,000.0000 | 1.9000 | 1,900.00 t | 09/27/01 | 17,720.00 | | |
| | 1,000.0000 | 1.9200 | 1,920.00 t | 09/27/01 | 17,700.00 | | |
| | 1,400.0000 | 1.8500 | 2,590.00 t | 09/27/01 | 24,878.00 | | |
| | 1,400.0000 | 1.9101 | 2,674.14 t | 09/27/01 | 24,793.86 | | |
| | 2,000.0000 | 1.9000 | 3,800.00 t | 09/27/01 | 35,440.00 | | |
| | 2,100.0000 | 1.9100 | 4,011.00 t | 09/27/01 | 37,191.00 | | |
| | 3,000.0000 | 1.9000 | 5,700.00 t | 09/27/01 | 53,160.00 | | |
| *Cost Basis* | | | *28,452.14* | | | | |
| **WELLPOINT INC** | **360.0000** | **84.8000** | **30,528.00** | | **8,357.88** | **1.76%** | **540.00** |
| SYMBOL: WLP | 360.0000 | 61.5836 | 22,170.12 | 10/24/12 | 8,357.88 | | |
| **WEYERHAEUSER CO** | **880.0000** | **30.4150** | **26,765.20** | | **7,963.00** | **2.89%** | **774.40** |
| SYMBOL: WY | 880.0000 | 21.3661 | 18,802.20 | 07/18/11 | 7,963.00 | | |

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## Investment Detail - Equities (continued)

| Equities (continued) | Quantity / Units Purchased | Market Price / Cost Per Share | Market Value / Cost Basis | Acquired | Unrealized Gain or (Loss) | Estimated Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|
| **WYNN RESORTS** | **175.0000** | **166.2500** | **29,093.75** | | **9,312.13** | **2.40%** | **700.00** |
| SYMBOL: WYNN | 175.0000 | 113.0378 | 19,781.62 | 12/27/11 | 9,312.13 | | |

[redacted]

***Total Accrued Dividend for Equities: 1,509.28***

*Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes only. The actual income and yield might be lower or higher than the estimated amounts. EY is based upon EAI and the current price of the security and will fluctuate. For certain types of securities, the calculations could include a return of principal or capital gains in which case EAI and EY would be overstated. EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or announced changes to future payments, in which case EAI and EY will continue to display at a prior rate.*

## Investment Detail - Other Assets

| Other Assets | Quantity / Units Purchased | Market Price / Cost Per Share | Market Value / Cost Basis | Acquired | Unrealized Gain or (Loss) |
|---|---|---|---|---|---|
| **PROLOGIS INC NEW** | **89.0000** | **39.9500** | **3,555.55** | | **2,048.70** |
| REIT<br>SYMBOL: PLD | 89.0000 | 16.9308 | 1,506.85 | 04/09/09 | 2,048.70 |

[redacted]

## Investment Detail - Unpriced Securities

| Unpriced Securities | Quantity / Units Purchased | Market Price / Cost Per Share | Market Value / Cost Basis | Acquired | Unrealized Gain or (Loss) |
| --- | --- | --- | --- | --- | --- |
| GENIUS TECHNOLOGIES INC | **400.0000** | **N/A** | **N/A** | | **N/A** [1] |
| | 400.0000 | N/A | please provide | 07/09/13 | N/A |

[redacted]

[redacted]

## Transaction Detail - Purchases & Sales

### Equities Activity

| Settle Date | Trade Date | Transaction | Description | Quantity | Unit Price | Total Amount |
| --- | --- | --- | --- | --- | --- | --- |
| 10/29/13 | 10/24/13 | Bought | SYMANTEC CORP: SYMC | 1,630.0000 | 21.6825 | (35,351.43) |

[redacted]

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## Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested)

| Transaction Date | Process Date | Activity | Description | Credit/(Debit) |
|---|---|---|---|---|
| 10/01/13 | 10/01/13 | Qualified Dividend | BAXTER INTERNATIONAL INC: BAX | 164.15 |
| 10/01/13 | 10/01/13 | Qualified Dividend | UNION PACIFIC CORP: UNP | 130.35 |
| 10/02/13 | 10/02/13 | Qualified Dividend | HEWLETT-PACKARD COMPANY: HPQ | 174.24 |
| 10/04/13 | 10/04/13 | Qualified Dividend | TUPPERWARE BRANDS CORP: TUP | 201.50 |
| 10/07/13 | 10/07/13 | Qualified Dividend | MERCK & CO INC NEW: MRK | 242.95 |
| 10/10/13 | 10/10/13 | Qualified Dividend | TIFFANY & CO NEW: TIF | 124.10 |
| 10/15/13 | 10/15/13 | Qualified Dividend | ALERE INC. 3% PFD: ALR+B | 39.00 |
| 10/15/13 | 10/15/13 | Qualified Dividend | MONDELEZ INTL INC CL A: MDLZ | 119.70 |
| 10/15/13 | 10/15/13 | Dividend | SCHWAB ADV CASH RESERVE: SWQXX | 0.87 |
| 10/17/13 | 10/17/13 | Qualified Dividend | STAPLES INC: SPLS | 223.80 |
| 10/23/13 | 10/23/13 | Qualified Dividend | CISCO SYSTEMS INC: CSCO | 191.25 |
| 10/25/13 | 10/25/13 | Qualified Dividend | GENERAL ELECTRIC COMPANY: GE | 227.05 |
| 10/30/13 | 10/30/13 | Qualified Dividend | DOW CHEMICAL COMPANY: DOW | 236.80 |
| 10/31/13 | 10/31/13 | Qualified Dividend | CHESAPEAKE ENERGY CORP: CHK | 113.75 |
| 10/31/13 | 10/31/13 | Qualified Dividend | JPMORGAN CHASE & CO: JPM | 178.60 |

[redacted]

[redacted]

## Money Funds Detail

### SCHWAB ADV CASH RESERVE Activity

| Settle Date | Transaction | Quantity | Unit Price | Purchase/Debit | Sale/Credit |
|---|---|---|---|---|---|
| **Opening # of Shares: 119,846.6000** | | | | | |
| 10/01/13 | Purchased | 570.0500 | 1.0000 | 570.05 | |
| 10/02/13 | Purchased | 294.5000 | 1.0000 | 294.50 | |

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## Money Funds Detail (continued)

**SCHWAB ADV CASH RESERVE Activity** (continued)

| Settle Date | Transaction | Quantity | Unit Price | Purchase/Debit | Sale/Credit |
|---|---|---|---|---|---|
| 10/03/13 | Purchased | 174.2400 | 1.0000 | 174.24 | |
| 10/07/13 | Purchased | 201.5000 | 1.0000 | 201.50 | |
| 10/08/13 | Purchased | 242.9500 | 1.0000 | 242.95 | |
| 10/11/13 | Purchased | 124.1000 | 1.0000 | 124.10 | |
| 10/15/13 | Dividend | 0.8700 | 1.0000 | 0.87 | |
| 10/16/13 | Purchased | 158.7000 | 1.0000 | 158.70 | |
| 10/18/13 | Purchased | 223.8000 | 1.0000 | 223.80 | |
| 10/24/13 | Purchased | 191.2500 | 1.0000 | 191.25 | |
| 10/29/13 | Redeemed | 35,124.3800 | 1.0000 | | 35,124.38 |
| 10/31/13 | Purchased | 236.8000 | 1.0000 | 236.80 | |

**Closing # of Shares: 87,140.9800**

[redacted]

*SCH ADV CSH RSV Average Yield For The Most Recent Pay Period: 0.01%; 7-Day Yield: 0.01%.*

## Pending Corporate Actions

| | Transaction | Quantity | Payable Date | Rate per Share | Share Distribution | Cash Distribution |
|---|---|---|---|---|---|---|
| DEERE & CO | Qualified Dividend | 270.0000 | 11/01/13 | 0.5100 | | 137.70 |
| MASCO CORP | Qualified Dividend | 1,240.0000 | 11/04/13 | 0.0750 | | 93.00 |
| PALL CORP | Qualified Dividend | 385.0000 | 11/08/13 | 0.2750 | | 105.88 |
| PENTAIR LTD F | Qualified Dividend | 470.0000 | 11/08/13 | 0.2500 | | 117.50 |
| ABBVIE INC | Qualified Dividend | 665.0000 | 11/15/13 | 0.4000 | | 266.00 |
| COLGATE-PALMOLIVE CO | Qualified Dividend | 420.0000 | 11/15/13 | 0.3400 | | 142.80 |
| PROCTER & GAMBLE | Qualified Dividend | 330.0000 | 11/15/13 | 0.6015 | | 198.50 |

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## Pending Corporate Actions (continued)

| | Transaction | Quantity | Payable Date | Rate per Share | Share Distribution | Cash Distribution |
|---|---|---|---|---|---|---|
| CATERPILLAR INC | Qualified Dividend | 275.0000 | 11/20/13 | 0.6000 | | 165.00 |
| CONOCOPHILLIPS | Qualified Dividend | 410.0000 | 12/02/13 | 0.6900 | | 282.90 |
| [redacted] | | | | | | |

*Pending transactions are not included in account value.*

## Contribution Summary

| | 2012 | 2013 |
|---|---|---|
| Traditional IRA | 0.00 | 0.00 |
| [redacted] | | |

## Endnotes For Your Account

| Symbol | Endnote Legend |
|---|---|
| Δ | Excluding unpriced securities (see Investment Detail). |
| a | Data for this holding has been edited or provided by the advisor. |
| d | Accrued Income is the sum of the total accrued interest and/or accrued dividends on positions held in your brokerage account, but the income and/or dividends have not been received into your account and Schwab makes no representation that they will. Accrued amounts are not covered by SIPC account protection until actually received and held in the account. |
| i | Value includes incomplete cost basis. If cost basis is not available for an investment, you may be able to provide updates. Please refer to the first page of this statement for instructions or contact information. |
| t | Data for this holding has been edited or provided by a third party. |
| f | 7-day yield: Annualized fund yield based on the average daily yield for the previous 7 days, assuming dividends are paid in cash and not reinvested. |

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INSTITUTIONAL

**Rollover IRA of**
**CARL J DOMINO**
**CHARLES SCHWAB & CO INC CUST**
**IRA ROLLOVER**

**Account Number** [redacted]

**Statement Period**
**December 1-31, 2012**

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12/31-00000-DTCL1001 *1-2-3
CARL J DOMINO
CHARLES SCHWAB & CO INC CUST
IRA ROLLOVER
[redacted]

## Your Independent Investment Manager and/or Advisor

CARL DOMINO INC
NORTHBRIDGE CTR
515 N FLAGLER DR STE 808
WEST PALM BEACH FL 33401-4324
1 (561) 833-2882

*The custodian of your brokerage account is: Charles Schwab & Co., Inc.*
For questions about this statement, or if there is a change in your financial situation, investment objectives, or risk profile, please contact your Independent Investment Manager and/or Advisor.

## Market Monitor

| Rates | Yield |
|---|---|
| Sch Adv Csh Rsv[f] | 0.01% |

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INVESTMENT MANAGERS AND ADVISORS

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Rollover IRA of
**CARL J DOMINO**
**CHARLES SCHWAB & CO INC CUST**
**IRA ROLLOVER**

**Account Number**
[redacted]

**Statement Period**
**December 1-31, 2012**

## Change in Account Value

| Change in Account Value | This Period | Year to Date |
|---|---|---|
| **Starting Value** | **$ 1,256,906.50** | **$ 1,097,397.51** |
| Cash Value of Purchases & Sales | (4,243.96) | (7,299.33) |
| Investments Purchased/Sold | 4,243.96 | 7,299.33 |
| Deposits & Withdrawals | 0.00 | 0.00 |
| Dividends & Interest | 4,976.26 | 34,585.80 |
| Fees & Charges | 0.00 | 0.00 |
| Transfers | 0.00 | 0.00 |
| Income Reinvested | (1.38) | (10.18) |
| Change in Value of Investments | 2,250.21 | 132,158.46 |
| **Ending Value on 12/31/2012** | **$ 1,264,131.59** | **$ 1,264,131.59** |

## Asset Composition

| Asset Composition | Market Value |
|---|---|
| Cash and Money Market Funds [Sweep] | $ 123,060.44 |
| Equities | 1,120,194.54 |
| Other Assets | 20,876.61 |
| **Total Assets Long** | **$ 1,264,131.59** |

## Gain or (Loss) Summary

**Gain or (Loss) on Investments Sold**

| | |
|---|---|
| This Period | $(957.03) |

**Unrealized Gain or (Loss)**

| | |
|---|---|
| All Investments | $144,124.29 |

*Values may not reflect all of your gains/losses.*

CARL DOMINO, INC.
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Rollover IRA of
CARL J DOMINO
CHARLES SCHWAB & CO INC CUST
IRA ROLLOVER

Account Number [redacted]

Statement Period
December 1-31, 2012

## Income Summary

| | This Period | Year To Date |
|---|---|---|
| Money Funds Dividends | 1.38 | 10.18 |
| Cash Dividends | 4,974.88 | 34,575.62 |

[redacted]

## Investment Detail - Cash and Money Market Funds [Sweep]

| Cash | Market Value |
|---|---|
| Cash | 886.35 |

[redacted]

| Money Market Funds [Sweep] | Quantity | Market Price | Market Value | Current Yield |
|---|---|---|---|---|
| SCHWAB ADV CASH RESERVE: SWQXX | 122,174.0900 | 1.0000 | 122,174.09 | 0.01% |

[redacted]

## Investment Detail - Equities

| Equities | Quantity / Units Purchased | Market Price / Cost Per Share | Market Value / Cost Basis | Acquired | Unrealized Gain or (Loss) | Estimated Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|
| **ABBOTT LABORATORIES** | **335.0000** | **65.5000** | **21,942.50** | | **4,374.33** | **3.11%** | **683.40** |
| TRADES WITH DUE BILLS | 35.0000 | 52.5577 | 1,839.52 | 07/18/11 | 452.98 | | |
| SYMBOL: ABT | 300.0000 | 52.4288 | 15,728.65 | 09/20/11 | 3,921.35 | | |
| *Cost Basis* | | | *17,568.17* | | | | |

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SIPC

## Investment Detail - Equities (continued)

| Equities (continued) | Quantity / Units Purchased | Market Price / Cost Per Share | Market Value / Cost Basis | Acquired | Unrealized Gain or (Loss) | Estimated Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|
| **APPLE INC** | **40.0000** | **532.1729** | **21,286.92** | | **(1,481.88)** | **1.99%** | **424.00** |
| SYMBOL: AAPL | 40.0000 | 569.2200 | 22,768.80 | 05/04/12 | (1,481.88) | | |
| **AVON PRODUCTS INC** | **1,315.0000** | **14.3600** | **18,883.40** | | **(3,720.54)** | **1.67%** | **315.60** |
| SYMBOL: AVP | 1,315.0000 | 17.1893 | 22,603.94 | 10/05/12 | (3,720.54) | | |
| **BAXTER INTERNATIONAL INC** | **335.0000** | **66.6600** | **22,331.10** | | **3,387.05** | **2.70%** | **603.00** |
| SYMBOL: BAX | 35.0000 | 60.3377 | 2,111.82 | 07/18/11 | 221.28 | | |
| | 300.0000 | 56.1074 | 16,832.23 | 09/20/11 | 3,165.77 | | |
| *Cost Basis* | | | *18,944.05* | | | | |
| **BB&T CORPORATION** | **815.0000** | **29.1100** | **23,724.65** | | **5,749.56** | **2.74%** | **652.00** |
| SYMBOL: BBT | 15.0000 | 20.8893 | 313.34 | 08/26/11 | 123.31 | | |
| | 800.0000 | 22.0771 | 17,661.75 | 09/20/11 | 5,626.25 | | |
| *Cost Basis* | | | *17,975.09* | | | | |
| **CARNIVAL CORP NEW F** | **650.0000** | **36.7700** | **23,900.50** | | **2,994.76** | **2.71%** | **650.00** |
| PAIRED STK | 650.0000 | 32.1626 | 20,905.74 | 05/29/12 | 2,994.76 | | |
| SPECIAL VTG TR | | | | | | | |
| SYMBOL: CCL | | | | | | | |
| **CATERPILLAR INC** | **275.0000** | **89.6085** | **24,642.34** | | **532.56** | **2.32%** | **572.00** |
| SYMBOL: CAT | 75.0000 | 34.0750 | 2,555.63 | 06/24/09 | 4,165.01 | | |
| | 200.0000 | 107.7707 | 21,554.15 | 07/18/11 | (3,632.45) | | |
| *Cost Basis* | | | *24,109.78* | | | | |
| **CHEVRON CORPORATION** | **260.0000** | **108.1400** | **28,116.40** | | **1,962.89** | **3.32%** | **936.00** |
| SYMBOL: CVX | 60.0000 | 106.2426 | 6,374.56 | 07/18/11 | 113.84 | | |
| | 200.0000 | 98.8947 | 19,778.95 | 09/20/11 | 1,849.05 | | |
| *Cost Basis* | | | *26,153.51* | | | | |

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SIPC

## Investment Detail - Equities (continued)

| Equities (continued) | Quantity / Units Purchased | Market Price / Cost Per Share | Market Value / Cost Basis | Acquired | Unrealized Gain or (Loss) | Estimated Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|
| **CISCO SYSTEMS INC** | **1,125.0000** | **19.6494** | **22,105.58** | | **496.63** | **2.84%** | **630.00** |
| SYMBOL: CSCO | 1,125.0000 | 19.2079 | 21,608.95 | 08/24/12 | 496.63 | | |
| **COLGATE-PALMOLIVE CO** | **210.0000** | **104.5400** | **21,953.40** | | **2,346.32** | **2.37%** | **520.80** |
| SYMBOL: CL | 10.0000 | 88.0330 | 880.33 | 07/18/11 | 165.07 | | |
| | 200.0000 | 93.6337 | 18,726.75 | 09/20/11 | 2,181.25 | | |
| *Cost Basis* | | | *19,607.08* | | | | |
| **CONOCOPHILLIPS** | **410.0000** | **57.9900** | **23,775.90** | | **54.31** | **4.55%** | **1,082.40** |
| SYMBOL: COP | 10.0000 | 49.5180 | 495.18 ª | 08/05/05 | 84.72 | | |
| | 400.0000 | 58.0660 | 23,226.41 | 07/18/11 | (30.41) | | |
| *Cost Basis* | | | *23,721.59* | | | | |
| **CORNING INC** | **1,645.0000** | **12.6200** | **20,759.90** | | **(978.68)** | **2.85%** | **592.20** |
| SYMBOL: GLW | 1,645.0000 | 13.2149 | 21,738.58 | 05/08/12 | (978.68) | | |
| **DEERE & CO** | **270.0000** | **86.4200** | **23,333.40** | | **5,725.06** | **2.12%** | **496.80** |
| SYMBOL: DE | 70.0000 | 30.5912 | 2,141.39 | 03/16/09 | 3,908.01 | | |
| | 200.0000 | 77.3347 | 15,466.95 | 09/20/11 | 1,817.05 | | |
| *Cost Basis* | | | *17,608.34* | | | | |
| **DOW CHEMICAL COMPANY** | **740.0000** | **32.3294** | **23,923.76** | | **3,511.15** | **3.95%** | **947.20** |
| SYMBOL: DOW | 40.0000 | 25.2015 | 1,008.06 | 08/04/10 | 285.12 | | |
| | 700.0000 | 27.7207 | 19,404.55 | 09/20/11 | 3,226.03 | | |
| *Cost Basis* | | | *20,412.61* | | | | |
| **DU PONT E I DE NEMOUR&CO** | **550.0000** | **44.9785** | **24,738.18** | | **406.93** | **3.82%** | **946.00** |
| SYMBOL: DD | 50.0000 | 22.4260 | 1,121.30 | 03/31/09 | 1,127.63 | | |
| | 500.0000 | 46.4199 | 23,209.95 | 09/20/11 | (720.70) | | |
| *Cost Basis* | | | *24,331.25* | | | | |

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SIPC

## Investment Detail - Equities (continued)

| Equities (continued) | Quantity / Units Purchased | Market Price / Cost Per Share | Market Value / Cost Basis | Acquired | Unrealized Gain or (Loss) | Estimated Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|
| **EMERSON ELECTRIC CO** | **450.0000** | **52.9600** | **23,832.00** | | **174.14** | **3.09%** | **738.00** |
| SYMBOL: EMR | 50.0000 | 34.1142 | 1,705.71 * | 08/08/05 | 942.29 | | |
| | 400.0000 | 54.8803 | 21,952.15 | 07/18/11 | (768.15) | | |
| *Cost Basis* | | | *23,657.86* | | | | |
| **FREEPORT MCMORAN COPPER** | **765.0000** | **34.2000** | **26,163.00** | | **(2,147.30)** | **3.65%** | **956.25** |
| SYMBOL: FCX | 530.0000 | 39.0064 | 20,673.44 | 10/24/11 | (2,547.44) | | |
| | 235.0000 | 32.4972 | 7,636.86 | 12/07/12 | 400.14 | | |
| *Cost Basis* | | | *28,310.30* | | | | |
| **GARMIN LTD NEW F** | **425.0000** | **40.7500** | **17,318.75** | | **3,377.90** | **4.41%** | **765.00** |
| SYMBOL: GRMN | 25.0000 | 18.6520 | 466.30 | 12/29/08 | 552.45 | | |
| | 400.0000 | 33.6863 | 13,474.55 | 09/20/11 | 2,825.45 | | |
| *Cost Basis* | | | *13,940.85* | | | | |
| **GENERAL ELECTRIC COMPANY** | **1,195.0000** | **20.9900** | **25,083.05** | | **(1,294.40)** | **3.62%** | **908.20** |
| SYMBOL: GE | 520.0000 | 33.8653 | 17,610.00 * | 08/08/05 | (6,695.20) | | |
| | 80.0000 | 33.5443 | 2,683.55 | 02/17/06 | (1,004.35) | | |
| | 595.0000 | 10.2250 | 6,083.90 | 03/31/09 | 6,405.15 | | |
| *Cost Basis* | | | *26,377.45* | | | | |
| **HANCOCK HOLDING CO** | **620.0000** | **31.7300** | **19,672.60** | | **(3,317.97)** | **3.02%** | **595.20** |
| SYMBOL: HBHC | 370.0000 | 37.4855 | 13,869.67 | 05/01/09 | (2,129.57) | | |
| | 85.0000 | 42.1377 | 3,581.71 | 03/16/10 | (884.66) | | |
| | 165.0000 | 33.5708 | 5,539.19 | 11/08/10 | (303.74) | | |
| *Cost Basis* | | | *22,990.57* | | | | |
| **HARLEY DAVIDSON INC** | **520.0000** | **48.8300** | **25,391.60** | | **6,011.83** | **1.26%** | **322.40** |
| SYMBOL: HOG | 520.0000 | 37.2687 | 19,379.77 | 09/20/11 | 6,011.83 | | |

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SIPC

## Investment Detail - Equities (continued)

| Equities (continued) | Quantity / Units Purchased | Market Price / Cost Per Share | Market Value / Cost Basis | Acquired | Unrealized Gain or (Loss) | Estimated Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|
| **HERBALIFE LTD F** | **625.0000** | **32.9400** | **20,587.50** | | **(1,675.45)** | **3.64%** | **750.00** |
| SYMBOL: HLF | 625.0000 | 35.6207 | 22,262.95 | 12/20/12 | (1,675.45) | | |
| **HOME DEPOT INC** | **412.0000** | **61.8500** | **25,482.20** | | **11,097.60** | **1.87%** | **477.92** |
| SYMBOL: HD | 62.0000 | 38.0987 | 2,362.12 | 06/18/07 | 1,472.58 | | |
| | 350.0000 | 34.3499 | 12,022.48 | 08/22/07 | 9,625.02 | | |
| *Cost Basis* | | | *14,384.60* | | | | |
| **HUNTSMAN CORPORATION** | **1,515.0000** | **15.9000** | **24,088.50** | | **(4,596.47)** | **2.51%** | **606.00** |
| SYMBOL: HUN | 1,515.0000 | 18.9339 | 28,684.97 | 07/18/11 | (4,596.47) | | |
| **INVESCO LTD F** | **940.0000** | **26.0900** | **24,524.60** | | **4,198.11** | **2.64%** | **648.60** |
| SYMBOL: IVZ | 940.0000 | 21.6239 | 20,326.49 | 06/27/12 | 4,198.11 | | |
| **JPMORGAN CHASE & CO** | **625.0000** | **43.9691** | **27,480.69** | | **619.05** | **2.72%** | **750.00** |
| SYMBOL: JPM | 475.0000 | 45.8584 | 21,782.76 | 03/18/11 | (897.44) | | |
| | 150.0000 | 33.8592 | 5,078.88 | 05/25/12 | 1,516.49 | | |
| *Cost Basis* | | | *26,861.64* | | | | |
| **KIMBERLY-CLARK CORP** | **70.0000** | **84.4300** | **5,910.10** | | **1,545.63** | **3.50%** | **207.20** |
| SYMBOL: KMB | 70.0000 | 62.3495 | 4,364.47 ª | 08/08/05 | 1,545.63 | | |
| **MAGNA INTL INC F** | **540.0000** | **50.0200** | **27,010.80** | | **6,022.31** | **2.19%** | **594.00** |
| SYMBOL: MGA | 540.0000 | 38.8675 | 20,988.49 | 07/23/12 | 6,022.31 | | |
| **MARATHON OIL CORP** | **595.0000** | **30.6600** | **18,242.70** | | **9,979.56** | **2.21%** | **404.60** |
| SYMBOL: MRO | 595.0000 | 13.8876 | 8,263.14 | 10/27/08 | 9,979.56 | | |
| **MASCO CORP** | **1,835.0000** | **16.6600** | **30,571.10** | | **10,091.99** | **1.80%** | **550.50** |
| SYMBOL: MAS | 1,835.0000 | 11.1602 | 20,479.11 | 01/04/12 | 10,091.99 | | |

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SIPC

## Investment Detail - Equities (continued)

| Equities (continued) | Quantity / Units Purchased | Market Price / Cost Per Share | Market Value / Cost Basis | Acquired | Unrealized Gain or (Loss) | Estimated Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|
| **MAXIM INTEGRATED PRODS** | **985.0000** | **29.4000** | **28,959.00** | | **5,277.77** | **3.26%** | **945.60** |
| SYMBOL: MXIM | 85.0000 | 11.3350 | 963.48 | 12/31/08 | 1,535.52 | | |
| | 900.0000 | 25.2419 | 22,717.75 | 09/20/11 | 3,742.25 | | |
| *Cost Basis* | | | *23,681.23* | | | | |
| **MERCK & CO INC NEW** | **565.0000** | **40.9400** | **23,131.10** | | **4,639.52** | **4.20%** | **971.80** |
| SYMBOL: MRK | 65.0000 | 35.4458 | 2,303.98 | 07/18/11 | 357.12 | | |
| | 500.0000 | 32.3752 | 16,187.60 | 09/20/11 | 4,282.40 | | |
| *Cost Basis* | | | *18,491.58* | | | | |
| **MICROSOFT CORP** | **765.0000** | **26.7097** | **20,432.92** | | **771.12** | **3.44%** | **703.80** |
| SYMBOL: MSFT | 765.0000 | 25.7016 | 19,661.80 | 12/22/11 | 771.12 | | |
| **MONDELEZ INTL INC CL A** | **855.0000** | **25.4532** | **21,762.49** | | **(57.51)** | **2.04%** | **444.60** |
| SYMBOL: MDLZ | 855.0000 | 25.5204 | 21,820.00 | 12/21/12 | (57.51) | | |
| **PALL CORP** | **385.0000** | **60.2600** | **23,200.10** | | **11,577.95** | **1.65%** | **385.00** |
| SYMBOL: PLL | 385.0000 | 30.1874 | 11,622.15 ª | 08/09/05 | 11,577.95 | | |
| **PARTNERRE LTD F** | **345.0000** | **80.4900** | **27,769.05** | | **7,784.24** | **3.08%** | **855.60** |
| SYMBOL: PRE | 45.0000 | 69.5146 | 3,128.16 | 07/07/11 | 493.89 | | |
| | 300.0000 | 56.1888 | 16,856.65 | 09/20/11 | 7,290.35 | | |
| *Cost Basis* | | | *19,984.81* | | | | |
| **PAYCHEX INC** | **710.0000** | **31.1000** | **22,081.00** | | **1,899.67** | **8.48%** | **1,874.40** |
| SYMBOL: PAYX | 710.0000 | 28.4244 | 20,181.33 | 11/04/10 | 1,899.67 | | |
| **PENTAIR LTD F** | **470.0000** | **49.1500** | **23,100.50** | | **2,298.15** | **1.79%** | **413.60** |
| SYMBOL: PNR | 2.0285 | 28.5186 | 57.85 | 10/21/10 | 41.85 | | |
| | 119.9715 | 33.5201 | 4,021.46 | 09/20/11 | 1,875.14 | | |
| | 348.0000 | 48.0547 | 16,723.04 | 12/07/12 | 381.16 | | |
| *Cost Basis* | | | *20,802.35* | | | | |

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SIPC

## Investment Detail - Equities (continued)

| Equities (continued) | Quantity / Units Purchased | Market Price / Cost Per Share | Market Value / Cost Basis | Acquired | Unrealized Gain or (Loss) | Estimated Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|
| **PFIZER INCORPORATED** | **910.0000** | **25.0793** | **22,822.16** | | **3,093.51** | **3.50%** | **800.80** |
| SYMBOL: PFE | 910.0000 | 21.6798 | 19,728.65 | 12/23/11 | 3,093.51 | | |
| **PROCTER & GAMBLE** | **330.0000** | **67.8900** | **22,403.70** | | **1,614.82** | **3.31%** | **741.84** |
| SYMBOL: PG | 30.0000 | 54.1243 | 1,623.73 ª | 08/09/05 | 412.97 | | |
| | 300.0000 | 63.8838 | 19,165.15 | 09/20/11 | 1,201.85 | | |
| *Cost Basis* | | | *20,788.88* | | | | |
| **TEVA PHARM INDS LTD ADRF** | **540.0000** | **37.3400** | **20,163.60** | | **(50.96)** | **2.73%** | **551.30** |
| SPONSORED ADR | 540.0000 | 37.4343 | 20,214.56 | 09/30/11 | (50.96) | | |
| 1 ADR REP 10 ORD | | | | | | | |
| SYMBOL: TEVA | | | | | | | |
| **TIFFANY & CO NEW** | **365.0000** | **57.3400** | **20,929.10** | | **496.32** | **2.23%** | **467.20** |
| SYMBOL: TIF | 365.0000 | 55.9802 | 20,432.78 | 07/26/12 | 496.32 | | |
| **TUPPERWARE BRANDS CORP** | **455.0000** | **64.1000** | **29,165.50** | | **20,093.81** | **2.24%** | **655.20** |
| SYMBOL: TUP | 220.0000 | 22.8147 | 5,019.24 | 10/29/08 | 9,082.76 | | |
| | 235.0000 | 17.2444 | 4,052.45 | 03/31/09 | 11,011.05 | | |
| *Cost Basis* | | | *9,071.69* | | | | |
| **UNION PACIFIC CORP** | **245.0000** | **125.7200** | **30,801.40** | | **11,126.22** | **2.19%** | **676.20** |
| SYMBOL: UNP | 45.0000 | 39.2228 | 1,765.03 | 03/18/09 | 3,892.37 | | |
| | 200.0000 | 89.5507 | 17,910.15 | 09/20/11 | 7,233.85 | | |
| *Cost Basis* | | | *19,675.18* | | | | |
| **UNITED PARCEL SERVICE B** | **315.0000** | **73.7300** | **23,224.95** | | **2,308.28** | **3.09%** | **718.20** |
| CLASS B | 15.0000 | 72.4080 | 1,086.12 | 07/18/11 | 19.83 | | |
| SYMBOL: UPS | 300.0000 | 66.1018 | 19,830.55 | 09/20/11 | 2,288.45 | | |
| *Cost Basis* | | | *20,916.67* | | | | |

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SIPC

## Investment Detail - Equities (continued)

| Equities (continued) | Quantity / Units Purchased | Market Price / Cost Per Share | Market Value / Cost Basis | Acquired | Unrealized Gain or (Loss) | Estimated Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|
| **WELLPOINT INC** | **360.0000** | **60.9200** | **21,931.20** | | **(238.92)** | **1.88%** | **414.00** |
| SYMBOL: WLP | 360.0000 | 61.5836 | 22,170.12 | 10/24/12 | (238.92) | | |
| **WEYERHAEUSER CO** | **1,145.0000** | **27.8200** | **31,853.90** | | **7,518.38** | **2.44%** | **778.60** |
| SYMBOL: WY | 45.0000 | 18.5060 | 832.77 | 12/22/10 | 419.13 | | |
| | 1,100.0000 | 21.3661 | 23,502.75 | 07/18/11 | 7,099.25 | | |
| *Cost Basis* | | | *24,335.52* | | | | |
| **WYNN RESORTS** | **175.0000** | **112.4900** | **19,685.75** | | **(95.87)** | **1.77%** | **350.00** |
| SYMBOL: WYNN | 175.0000 | 113.0378 | 19,781.62 | 12/27/11 | (95.87) | | |

[redacted]

*Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes only. The actual income and yield might be lower or higher than the estimated amounts. EY is based upon EAI and the current price of the security and will fluctuate. For certain types of securities, the calculations could include a return of principal or capital gains in which case EAI and EY would be overstated. EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or announced changes to future payments, in which case EAI and EY will continue to display at a prior rate.*

## Investment Detail - Other Assets

| Other Assets | Quantity / Units Purchased | Market Price / Cost Per Share | Market Value / Cost Basis | Acquired | Unrealized Gain or (Loss) |
|---|---|---|---|---|---|
| **AMERICAN CAPITAL AGENCY** | **610.0000** | **28.9000** | **17,629.00** | | **(3,119.95)** |
| REITS | 610.0000 | 34.0146 | 20,748.95 | 07/18/12 | (3,119.95) |
| SYMBOL: AGNC | | | | | |

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## Investment Detail - Other Assets (continued)

| Other Assets (continued) | Quantity / Units Purchased | Market Price / Cost Per Share | Market Value / Cost Basis | Acquired | Unrealized Gain or (Loss) |
|---|---|---|---|---|---|
| **PROLOGIS INC NEW** | **89.0000** | **36.4900** | **3,247.61** | | **1,740.76** |
| REIT<br>SYMBOL: PLD | 89.0000 | 16.9308 | 1,506.85 | 04/09/09 | 1,740.76 |

[redacted]

[redacted]

## Gain or (Loss) on Investments Sold

| Investments | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis | Gain or (Loss) |
|---|---|---|---|---|---|---|
| ADT CORP: ADT | 5.0000 | 10/21/10 | 12/07/12 | 225.59 | 125.12 | 100.47 |
| ADT CORP: ADT | 250.0000 | 09/20/11 | 12/07/12 | 11,279.39 | 7,353.10 | 3,926.29 |
| TYCO INTL LTD NEW F: TYC | 10.0000 | 10/21/10 | 12/07/12 | 283.29 | 191.31 | 91.98 |
| TYCO INTL LTD NEW F: TYC | 500.0000 | 09/20/11 | 12/07/12 | 14,164.26 | 11,243.39 | 2,920.87 |
| HEWLETT-PACKARD COMPANY: HPQ | 255.0000 | 08/21/12 | 12/20/12 | 3,631.93 | 5,071.59 | (1,439.66) |
| HEWLETT-PACKARD COMPANY: HPQ | 835.0000 | 09/30/11 | 12/20/12 | 11,892.80 | 19,091.71 | (7,198.91) |

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SIPC

## Gain or (Loss) on Investments Sold (continued)

| Investments (continued) | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis | Gain or (Loss) |
|---|---|---|---|---|---|---|
| PEPSICO INCORPORATED: PEP | 325.0000 | 05/21/08 | 12/21/12 | 22,721.63 | 22,079.70 | 641.93 |

[redacted]

*Schwab has provided accurate realized gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. If all data for a given investment is not available, the investment will not be listed here.*
*Option Customers: Gain/loss on investments sold is adjusted to reflect the premiums of assigned or exercised options. Please consult IRS publication 550, Investment Income and Expenses, for additional information on Options.*

## Transaction Detail - Purchases & Sales

### Equities Activity

| Settle Date | Trade Date | Transaction | Description | Quantity | Unit Price | Total Amount |
|---|---|---|---|---|---|---|
| 12/12/12 | 12/07/12 | Sold | ADT CORP: ADT | (255.0000) | 45.1537 | 11,504.98 |
| 12/12/12 | 12/07/12 | Bought | FREEPORT MCMORAN COPPER: FCX | 235.0000 | 32.4592 | (7,636.86) |
| 12/12/12 | 12/07/12 | Bought | PENTAIR LTD F: PNR | 348.0000 | 48.0290 | (16,723.04) |
| 12/12/12 | 12/07/12 | Sold | TYCO INTL LTD NEW F: TYC | (510.0000) | 28.3467 | 14,447.55 |
| 12/26/12 | 12/20/12 | Bought | HERBALIFE LTD F: HLF | 625.0000 | 35.6064 | (22,262.95) |
| 12/26/12 | 12/20/12 | Sold | HEWLETT-PACKARD COMPANY: HPQ | (1,090.0000) | 14.2514 | 15,524.73 |
| 12/27/12 | 12/21/12 | Bought | MONDELEZ INTL INC CL A: MDLZ | 855.0000 | 25.5100 | (21,820.00) |
| 12/27/12 | 12/21/12 | Sold | PEPSICO INCORPORATED: PEP | (325.0000) | 69.9418 | 22,721.63 |

[redacted]

## Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested)

| Transaction Date | Process Date | Activity | Description | Credit/(Debit) |
|---|---|---|---|---|
| 12/03/12 | 12/03/12 | Qualified Dividend | AVON PRODUCTS INC: AVP | 78.90 |
| 12/03/12 | 12/03/12 | Qualified Dividend | CONOCOPHILLIPS: COP | 270.60 |
| 12/03/12 | 12/03/12 | Foreign Tax Paid | TEVA PHARM INDS LTD ADRF: TEVA | (28.53) |
| 12/03/12 | 12/03/12 | Qualified Dividend | TEVA PHARM INDS LTD ADRF: TEVA | 137.83 |
| 12/04/12 | 12/04/12 | Qualified Dividend | PFIZER INCORPORATED: PFE | 200.20 |
| 12/05/12 | 12/05/12 | Qualified Dividend | MAXIM INTEGRATED PRODS: MXIM | 236.40 |
| 12/05/12 | 12/05/12 | Qualified Dividend | UNITED PARCEL SERVICE B: UPS | 179.55 |
| 12/07/12 | 12/07/12 | Cash Dividend | INVESCO LTD F: IVZ | 162.15 |
| 12/10/12 | 12/10/12 | Qualified Dividend | CHEVRON CORPORATION: CVX | 234.00 |
| 12/10/12 | 12/10/12 | Qualified Dividend | EMERSON ELECTRIC CO: EMR | 184.50 |
| 12/10/12 | 12/10/12 | Qualified Dividend | MARATHON OIL CORP: MRO | 101.15 |
| 12/13/12 | 12/13/12 | Qualified Dividend | HOME DEPOT INC: HD | 119.48 |
| 12/13/12 | 12/13/12 | Qualified Dividend | MICROSOFT CORP: MSFT | 175.95 |
| 12/14/12 | 12/14/12 | Qualified Dividend | CARNIVAL CORP NEW F: CCL | 162.50 |
| 12/14/12 | 12/14/12 | Qualified Dividend | CORNING INC: GLW | 148.05 |
| 12/14/12 | 12/14/12 | Qualified Dividend | DU PONT E I DE NEMOUR&CO: DD | 236.50 |
| 12/14/12 | 12/14/12 | Qualified Dividend | HANCOCK HOLDING CO: HBHC | 148.80 |
| 12/14/12 | 12/14/12 | Qualified Dividend | MAGNA INTL INC F: MGA | 148.50 |
| 12/18/12 | 12/18/12 | Qualified Dividend | ADT CORP: ADT | 31.88 |
| 12/19/12 | 12/19/12 | Qualified Dividend | CISCO SYSTEMS INC: CSCO | 157.50 |
| 12/21/12 | 12/21/12 | Qualified Dividend | WELLPOINT INC: WLP | 103.50 |
| 12/28/12 | 12/28/12 | Spec Qual Div | CARNIVAL CORP NEW F: CCL | 325.00 |
| 12/28/12 | 12/28/12 | Qualified Dividend | HARLEY DAVIDSON INC: HOG | 80.60 |
| 12/28/12 | 12/28/12 | Qualified Dividend | PAYCHEX INC: PAYX | 468.60 |
| 12/28/12 | 12/28/12 | Cash Dividend | PROLOGIS INC NEW: PLD | 24.92 |
| 12/31/12 | 12/31/12 | Qualified Dividend | CATERPILLAR INC: CAT | 143.00 |
| 12/31/12 | 12/31/12 | Qualified Dividend | DOW CHEMICAL COMPANY: DOW | 236.80 |
| 12/31/12 | 12/31/12 | Qualified Dividend | GARMIN LTD NEW F: GRMN | 191.25 |

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings.
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.


SIPC

## Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested) (continued)

| Transaction Date | Process Date | Activity | Description | Credit/(Debit) |
|---|---|---|---|---|
| 12/31/12 | 12/31/12 | Qualified Dividend | HUNTSMAN CORPORATION: HUN | 151.50 |
| 12/31/12 | 12/31/12 | Dividend | SCHWAB ADV CASH RESERVE: SWQXX | 1.38 |
| 12/31/12 | 12/31/12 | Qualified Dividend | TUPPERWARE BRANDS CORP: TUP | 163.80 |

[redacted]

[redacted]

## Money Funds Detail

### SCHWAB ADV CASH RESERVE Activity

| Settle Date | Transaction | Quantity | Unit Price | Purchase/Debit | Sale/Credit |
|---|---|---|---|---|---|
| **Opening # of Shares: 121,919.5900** | | | | | |
| 12/03/12 | Purchased | 408.5500 | 1.0000 | 408.55 | |
| 12/04/12 | Purchased | 458.8000 | 1.0000 | 458.80 | |
| 12/05/12 | Purchased | 200.2000 | 1.0000 | 200.20 | |
| 12/06/12 | Purchased | 415.9500 | 1.0000 | 415.95 | |
| 12/10/12 | Purchased | 162.1500 | 1.0000 | 162.15 | |
| 12/11/12 | Purchased | 519.6500 | 1.0000 | 519.65 | |
| 12/13/12 | Purchased | 1,592.6300 | 1.0000 | 1,592.63 | |
| 12/14/12 | Purchased | 295.4300 | 1.0000 | 295.43 | |
| 12/17/12 | Purchased | 844.3500 | 1.0000 | 844.35 | |
| 12/19/12 | Purchased | 31.8800 | 1.0000 | 31.88 | |
| 12/20/12 | Purchased | 157.5000 | 1.0000 | 157.50 | |
| 12/26/12 | Redeemed | 6,634.7200 | 1.0000 | | 6,634.72 |
| 12/28/12 | Purchased | 901.6300 | 1.0000 | 901.63 | |
| 12/31/12 | Purchased | 899.1200 | 1.0000 | 899.12 | |

## Money Funds Detail (continued)

### SCHWAB ADV CASH RESERVE Activity (continued)

| Settle Date | Transaction | Quantity | Unit Price | Purchase/Debit | Sale/Credit |
|---|---|---|---|---|---|
| 12/31/12 | Dividend | 1.3800 | 1.0000 | 1.38 | |

**Closing # of Shares: 122,174.0900**

[redacted]

*SCH ADV CSH RSV Average Yield For The Most Recent Pay Period: 0.01%; 7-Day Yield: 0.01%.*

## Pending Corporate Actions

| | Transaction | Quantity | Payable Date | Rate per Share | Share Distribution | Cash Distribution |
|---|---|---|---|---|---|---|
| ABBOTT LABORATORIES | Spin-Off | 335.0000 | 12/31/12 | 1.0000 | | |
| Spin-Off Dist | | 0.0000 | N/A | 0.0000 | 335.0000 | |
| HEWLETT-PACKARD COMPANY | Qualified Dividend | 1,090.0000 | 01/02/13 | 0.1320 | | 143.88 |
| PEPSICO INCORPORATED | Qualified Dividend | 325.0000 | 01/02/13 | 0.5375 | | 174.69 |
| UNION PACIFIC CORP | Qualified Dividend | 245.0000 | 01/02/13 | 0.6900 | | 169.05 |
| BAXTER INTERNATIONAL INC | Qualified Dividend | 335.0000 | 01/03/13 | 0.4500 | | 150.75 |
| KIMBERLY-CLARK CORP | Qualified Dividend | 70.0000 | 01/03/13 | 0.7400 | | 51.80 |
| MERCK & CO INC NEW | Qualified Dividend | 565.0000 | 01/08/13 | 0.4300 | | 242.95 |
| TIFFANY & CO NEW | Qualified Dividend | 365.0000 | 01/10/13 | 0.3200 | | 116.80 |
| GENERAL ELECTRIC COMPANY | Qualified Dividend | 1,195.0000 | 01/25/13 | 0.1900 | | 227.05 |
| AMERICAN CAPITAL AGENCY | Cash Dividend | 610.0000 | 01/28/13 | 1.2500 | | 762.50 |

[redacted]

*Pending transactions are not included in account value.*

## Contribution Summary

| | 2011 | 2012 |
|---|---|---|
| Traditional IRA | 0.00 | 0.00 |
| [redacted] | | |

## Endnotes For Your Account

| Symbol | Endnote Legend |
|---|---|
| a | Data for this holding has been edited or provided by the advisor. |
| f | 7-day yield: Annualized fund yield based on the average daily yield for the previous 7 days, assuming dividends are paid in cash and not reinvested. |

## Terms and Conditions

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If you receive any other communication from any source other than Schwab which purports to represent your holdings at Schwab (including balances held at a Depository Institution) you should verify its content with this statement.
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**Average Daily Balance:** Average daily composite of all cash balances that earn interest and all loans from Schwab that are charged interest.
**Bank Sweep Feature:** Schwab acts as your agent and custodian in establishing and maintaining your Bank Sweep feature as a Schwab Cash Feature for your brokerage account. Deposit accounts held through the Bank Sweep feature constitute direct obligations of Charles Schwab Bank and are not obligations of Schwab. Bank deposit accounts are insured by the FDIC within applicable limits. For information on FDIC insurance and its limits, as well as other important disclosures about the Bank Sweep feature, please refer to the Cash Features Disclosure Statement available online or from a Schwab representative.
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**Current Yield:** Annual dividend paid on an equity divided by the current market price.
**Dividend Reinvestment Customers:** Dividend reinvestment transactions were effected by Schwab acting as a principal for its own account, except for the reinvestment of Schwab dividends, for which an independent broker-dealer acted as the buying agent. The time of these transactions, the exchange upon which these transactions occurred and the name of the person from whom the security was purchased will be furnished upon written request.
**Estimated Annual Income:** Estimated annual income is derived from information provided by outside parties. Schwab cannot guarantee the accuracy of such information. Since the interest and dividends are subject to change at any time, they should not be relied upon exclusively for making investment decisions.
**Fees and Charges:** For those fees described in the statement as "Fee to Advisor", as authorized by you, Schwab debited your Account to pay the fees as instructed by your Advisor. It is your responsibility, and not Schwab's, to verify the accuracy of the fees. Margin interest charged to your Account during the statement period is included in this section of the statement.
**Interest:** For the Schwab One Interest feature, Bank Sweep feature, and the Insured Bank Network feature, interest is paid for a period that differs from the Statement Period. Balances include interest paid as indicated on your statement by Schwab, Charles Schwab Bank, or a Depository Institution participating in the Insured Bank Network. These balances do not include interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period. For the Schwab One Interest feature, interest accrues daily from the second-to-last business day of the prior month and is posted on the second-to-last business day of the current month. For the Bank Sweep feature, interest accrues daily from the 16th day of the prior month and is credited/posted on the first business day after the 15th of the current month. For the Insured Bank Network feature, interest accrues daily from the 16th of the prior month and is generally credited/posted on the 15th of the current month. If the 15th of the month falls on a non-business day, the interest amount payable through the 15th of the month is generally paid on the last business day prior to the 15th. However, there may be certain events that trigger the posting of interest earlier in the interest period. Events that could trigger an earlier interest posting include the closure of your deposit accounts at a Depository Institution or the rebalancing of funds between your MMDA and transaction account at a Depository Institution. These events are referred to as **"Mid-Cycle Interest"** on your account statement. The interest period for Mid-Cycle Interest payments will be from the 16th of the prior month, or the date the account(s) were established if after the 16th of the prior month, until the date posted on your account statement. For more information, about Mid-Cycle Interest payments please refer to your Cash Features Disclosure Statement.
If, on any given day, the interest that Schwab calculates for the Free Credit Balances in the Schwab One Interest feature in your brokerage account is less than $.005, you will not accrue any interest on that day. For balances held at Charles Schwab Bank in the Bank Sweep feature or at a Depository Institution participating in the Insured Bank Network, interest will accrue even if the amount is less than $.005.
**Margin Account Customers:** This is a combined statement of your margin account and special memorandum account maintained for you under Section 220.5 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the separate account as required by Regulation T is available for your inspection. Securities purchased on margin are Schwab's collateral for the loan to you. It is important that you fully understand the risks involved in trading securities on margin. These risks include:

- You can lose more funds than you deposit in the margin account.
- Schwab can force the sale of securities or other assets in any of your account(s) to maintain the required account equity without contacting you.
- You are not entitled to choose which assets are liquidated nor are you entitled to an extension of time on a margin call.
- Schwab can increase its "house" maintenance margin requirements at any time without advance written notice to you.

**Market Price:** The most recent price evaluation available to Schwab on the last business day of the report period, normally the last trade price or bid as of market close. Unpriced securities denote that no market evaluation update is currently available. Price evaluations are obtained from outside parties. Schwab shall have no responsibility for the accuracy or timeliness of any such valuations. Pricing of assets not held at Schwab is for informational purposes only. Some securities, especially thinly traded equities in the OTC market or foreign markets, may not report the most current price and are indicated as Stale Priced. For Limited Partnerships and Real Estate Investment Trust (REIT) securities, you may see that the value reflected on your monthly account statement for this security is unpriced. NASD rules require that certain Limited Partnerships (direct participation programs) and Real Estate Investment Trust (REIT) securities, that have not been priced within 18 months, must show as unpriced on customer statements. Note that these securities are generally illiquid, the value of the securities will be different than its purchase price, and, if applicable, that accurate valuation information may not be available.
**Market Value:** The Market Value is computed by multiplying the Market Price by the Quantity of Shares. This is the dollar value of your present holdings in your specified Schwab Account or a summary of the Market Value summed over multiple accounts.
**Non-Publicly Traded Securities:** All assets shown on this statement, other than certain direct investments which may be held by a third party, are held in your Account. Values of certain Non-Publicly Traded Securities may be furnished by a third party as provided by Schwab's Account Agreement. Schwab shall have no responsibility for the accuracy or timeliness of such valuations. The Securities Investor Protection Corporation (SIPC) does not cover many limited partnership interests.

## Terms and Conditions (continued)

**Option Customers:** Be aware of the following: 1) Commissions and other charges related to the execution of option transactions have been included in confirmations of such transactions previously furnished to you and will be made available promptly upon request 2) You should advise us promptly of any material changes in your investment objectives or financial situation 3) Exercise assignment notices for the option contracts are allocated among customer short positions pursuant to an automated procedure which randomly selects from among all customer short option positions those contracts which are subject to exercise, including positions established on the day of assignment 4) Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options. Please consult your tax advisor or IRS publication 550, Investment Income and Expenses, for additional information on Options.
**Schwab Sweep Money Funds:** Includes the primary money market funds into which Free Credit Balances may be automatically invested pursuant to your Account Agreement. Schwab or an affiliate acts and receives compensation as the Investment Advisor, Transfer Agent, Shareholder Service Agent and Distributor for the Schwab Sweep Money Funds. The amount of such compensation is disclosed in the prospectus. The yield information for Schwab Sweep Money Funds is the current 7-day yield as of the statement period. Yields vary. Schwab and the Schwab Sweep Money Funds investment advisor may be voluntarily reducing a portion of a Schwab Sweep Money Fund's expenses. Without these reductions, yields would have been lower.
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**Short Positions:** Securities sold short will be identified through an "S" in Investment Detail. The market value of these securities will be expressed as a debit and be netted against any long positions in Total Account Value.
**Depository Institution:** A Member FDIC depository institution participating in the Insured Bank Network that has entered into a deposit agreement with Schwab, at which interest-bearing deposit accounts are maintained on your behalf.
**Yield to Maturity:** This is the actual average annual return on a note if held to maturity.
**Gain (or Loss):** Unrealized Gain or (Loss) and Realized Gain or (Loss) sections ("Gain/Loss Section(s)") contain a gain or a loss summary of your Account. This information has been provided on this statement at the request of your Advisor. This information is not a solicitation or a recommendation to buy or sell. It may, however, be helpful for investment and tax planning strategies. **Schwab does not provide tax advice and encourages you to consult with your tax professional. Please view the Cost Basis Disclosure Statement for additional information on how gain (or loss) is calculated and how Schwab reports adjusted cost basis information to the IRS.**

**IN CASE OF ERRORS OR DISCREPANCIES: If you find an error or discrepancy relating to your brokerage activity (other than an electronic fund transfer) you must notify us promptly, but no later than 10 days after this statement is sent or made available to you. If this statement shows that we have mailed or delivered security certificate(s) that you have not received, notify Schwab immediately. You may call us at 800-515-2157. Any oral communications should be re-confirmed in writing to further protect your rights, including rights under the Securities Investor Protection Act (SIPA). If you do not so notify us, you agree that the statement activity and Account balance are correct for all purposes with respect to those brokerage transactions.**

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**Additional Information:**
We are required by law to report to the Internal Revenue Service adjusted cost basis information (if applicable), certain payments to you and credits to your Account during the calendar year. Retain this statement for income tax purposes. A financial statement for your inspection is available at Schwab's offices or a copy will be mailed to you upon written request. Any third party trademarks appearing herein are the property of their respective owners. Schwab and Charles Schwab Bank are affiliates of each other and subsidiaries of the Charles Schwab Corporation.

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405 Lexington Ave., 2nd Floor,
New York, NY 10174
tel: (212) 895-3500 · (800) 724-0761
fax: (212) 895-3555

# Brokerage Account Statement

**Account Number:** [redacted]
**Statement Period:** 10/01/2013 - 10/31/2013

CARL J DOMINO
136 TERRAPIN TRL
JUPITER FL 33458-7737

**Your Account Executive:**
BROTHERS/FORSCHNER

## Valuation at a Glance

| | This Period | Year-to-Date |
|---|---|---|
| **Beginning Account Value** | **$384,225.04** | **$232,047.08** |
| Cash Deposits | 0.00 | 75,000.00 |
| Dividends/Interest | -1.50 | -19.66 |
| Fees | -8.00 | -161.00 |
| Change in Account Value* | -10,557.41 | 66,791.71 |
| Ending Account Value | $373,658.13 | $373,658.13 |

* Change in Account Value includes the value of free receives/delivers.

## Asset Allocation

| | Prior Year-End | Last Period | This Period | % Allocation |
|---|---|---|---|---|
| Equities | 232,212.08 | 384,553.80 | 373,996.39 | 100% |
| Cash, Money Funds, and Bank Deposits | -165.00 | -328.76 | -338.26 | 0% |
| **Account Total** | **$232,047.08** | **$384,225.04** | **$373,658.13** | **100%** |

Your Account is 100% invested in Equities.

See page 2 of this statement for important information regarding the Asset Allocation section.



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[redacted]

## Asset Allocation Disclosure and Footnotes

**Note:** Unpriced securities are not included in the Total Account Value.

## Client Service Information

| Your Account Executive: KC5 | Contact Information | Client Service Information |
|---|---|---|
| BROTHERS/FORSCHNER | **E-Mail Address:** info@maximgrp.com | **Client Service Telephone Number:** (212) 895-3500<br>**Web Site:** WWW.MAXIMGRP.COM |

## Your Account Information

### Investment Objective

**Investment Objective:** SPEC/SH TERM TRADING
**Risk Exposure:** SPECULATION
Please review your investment objective. If you wish to make a change or have any questions please contact your Account Executive.

### Tax Lot Default Disposition Method

**Default Method for Mutual Funds:** FIRST IN FIRST OUT
**Default Method for Stocks in a Dividend Reinvestment Plan:** FIRST IN FIRST OUT
**Default Method for all Other Securities:** FIRST IN FIRST OUT

### Copies of Statement Sent

CARL DOMINO
At your request copies of this statement have been sent to the above.

### Electronic Delivery

You have not selected any account communications for electronic delivery. To register and turn off paper communications, log in to your account or contact your Account Executive for more information.

## Portfolio Holdings

| Description | Quantity | Opening Balance | Closing Balance | Accrued Income | 30-Day Yield |
|---|---|---|---|---|---|
| **Cash, Money Funds, and Bank Deposits 0.00% of Portfolio** | | | | | |
| Cash Balance | | -328.76 | -338.26 | | |
| **Total Cash, Money Funds, and Bank Deposits** | | -$328.76 | -$338.26 | $0.00 | |





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New York, NY 10174
tel: (212) 895-3500 · (800) 724-0761
fax: (212) 895-3555

[redacted]

# Brokerage Account Statement

Statement Period: 10/01/2013 - 10/31/2013

## Portfolio Holdings *(continued)*

| Description | Quantity | Market Price | Market Value | Estimated Yield |
|---|---|---|---|---|
| **Equities 100.00% of Portfolio** | | | | |
| **Common Stocks** | | | | |
| **MEDGENICS INC COM NEW**<br>Security Identifier: MDGN<br>CUSIP: 58436Q203<br>Dividend Option: Cash | 14,285.000 | 7.3500 | 104,994.75 | |
| **RSTK MEDGENICS INC COM NEW**<br>Security Identifier: 58436Q914<br>Dividend Option: Cash<br>5,102.00000 of these shares are held in safekeeping, and may require additional paperwork and information for confirmation. Please call your Account Executive for more information. | 5,102.000 | 7.3500 | 37,499.70 | |
| **SPENDSMART PMTS CO COM NEW**<br>Security Identifier: SSPC<br>CUSIP: 848324208<br>Dividend Option: Cash | 16,666.000 | 1.8900 | 31,498.74 | |
| **Total Common Stocks** | | | $173,993.19 | |
| **Preferred Stocks** *(Listed by expiration date)* | | | | |
| **RSTK CERECOR INC SERIES A-1 PFD STOCK**<br>Security Identifier: 125992354<br>Dividend Option: Cash<br>133,334.00000 of these shares are held in safekeeping,<br>and may require additional paperwork and information for confirmation. Please call your Account Executive for more information. | 133,334.000 | N/A | N/A | |
| **RSTK CONTRAFECT CP SER C PFD STK**<br>Security Identifier: 685995003<br>Price Estimated as of: 09/23/13<br>Dividend Option: Cash<br>30,304.00000 of these shares are held in safekeeping, and may require additional paperwork and information for confirmation. Please call your Account Executive for more information. | 30,304.000 | 3.3000 | 100,003.20 | |





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Pershing LLC, member FINRA, NYSE, SIPC

[redacted]

# Portfolio Holdings *(continued)*

| Description | Quantity | Market Price | Market Value | Estimated Yield |
|---|---|---|---|---|
| **Equities** *(continued)* | | | | |
| **Preferred Stocks** *(continued)* | | | | |
| **RSTK PREMIER ALLIANCE GROUP INC** SER D 8% RDM CONV PFD STOCK Security Identifier: 740990296 Price Estimated as of: 09/23/13 Dividend Option: Cash 100.00000 of these shares are held in safekeeping, and may require additional paperwork and information for confirmation. Please call your Account Executive for more information. | 100.000 | 1,000.0000 | 100,000.00 | |
| **Total Preferred Stocks** | | | $200,003.20 | |
| **Rights and Warrants** | | | | |
| **RSTK BILLMYPARENTS INC EXP 11/21/2016** EXERCISE PRICE $0.60 Security Identifier: 090990243 Dividend Option: Cash 62,500.00000 of these shares are held in safekeeping, and may require additional paperwork and information for confirmation. Please call your Account Executive for more information. | 62,500.000 | N/A | N/A | |
| **RSTK CERECOR INC WTS EXP 08/23/2018** Security Identifier: 156996175 Dividend Option: Cash 33,333.00000 of these shares are held in safekeeping, and may require additional paperwork and information for confirmation. Please call your Account Executive for more information. | 33,333.000 | N/A | N/A | |
| **MEDGENICS INC WT EXP SER 2013-A** EXP 02/13/18 Security Identifier: 58436Q120 Dividend Option: Cash | 14,285.000 | N/A | N/A | |
| **RSTK MEDGENICS INC WTS EXP 6/18/2017** EXCERSIE PRICE $8.34 Security Identifier: 584994099 Dividend Option: Cash 3,826.50000 of these shares are held in safekeeping, and may require additional paperwork and information for confirmation. Please call your Account Executive for more information. | 3,826.500 | N/A | N/A | |





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New York, NY 10174
tel: (212) 895-3500 · (800) 724-0761
fax: (212) 895-3555

# Brokerage Account Statement

Statement Period: 10/01/2013 - 10/31/2013

## Portfolio Holdings *(continued)*

| Description | Quantity | Market Price | Market Value | Estimated Yield |
|---|---|---|---|---|
| **Equities** *(continued)* | | | | |
| **Rights and Warrants** *(continued)* | | | | |
| RSTK PREMIER ALLIANCE GROUP INC<br>WARRANT EXP 02/26/2018<br>EXERCISE PRICE $1.125<br>Security Identifier: 740991104<br>Dividend Option: Cash<br>33,334.00000 of these shares are held in safekeeping, and may require additional paperwork and information for confirmation. Please call your Account Executive for more information. | 33,334.000 | N/A | N/A | |
| **Total Rights and Warrants** | | | $0.00 | |
| **Total Equities** | | | $373,996.39 | |

| | Market Value | Accrued Interest |
|---|---|---|
| **Total Portfolio Holdings** | $373,658.13 | $0.00 |

## Portfolio Holdings Disclosures

**Pricing**

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices have been obtained from sources which we believe to be reliable. Pershing may not use the closing price of the particular exchange or marketplace where your position was purchased as the "Market Price." Securities for which a price is not available are marked ""N/A"" and are omitted from the Total.

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE.

**Reinvestment**

The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. Upon written request to your financial institution, information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow.



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## Portfolio Holdings Disclosures *(continued)*

**Option Disclosure**

Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

**Foreign Currency Transactions**

Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

**Proxy Vote**

Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

## Activity Summary (All amounts shown are in base currency: USD)

| Credits | This Period | Year-to-Date |
|---|---|---|
| **Securities** | | |
| Securities Bought | 0.00 | 0.00 |
| Securities Sold | 0.00 | 3.65 |
| **Total Securities** | **$0.00** | **$3.65** |
| **Dividends and Interest** | **$0.00** | **$0.00** |
| **Fees** | **$0.00** | **$0.00** |
| **Cash** | | |
| Deposits | 0.00 | 75,000.00 |
| **Total Cash** | **$0.00** | **$75,000.00** |
| **Total Credits** | **$0.00** | **$75,003.65** |

| Debits | This Period | Year-to-Date |
|---|---|---|
| **Securities** | | |
| Securities Bought | 0.00 | -74,996.25 |
| Securities Sold | 0.00 | 0.00 |
| **Total Securities** | **$0.00** | **-$74,996.25** |
| **Dividends and Interest** | **-$1.50** | **-$19.66** |
| **Fees** | **-$8.00** | **-$161.00** |
| **Cash** | | |
| Deposits | 0.00 | 0.00 |
| **Total Cash** | **$0.00** | **$0.00** |
| **Total Debits** | **-$9.50** | **-$75,176.91** |

## Transactions by Type of Activity

| Process/ Settlement Date | Trade/ Transaction Date | Activity Type | Description | Quantity | Price | Accrued Interest | Amount | CCY |
|---|---|---|---|---|---|---|---|---|
| **Securities Withdrawals and Deposits** | | | | | | | | |
| 10/01/13 | | RECEIVED INTO YOUR ACCOUNT 156996175 | RSTK CERECOR INC WTS EXP 08/23/2018 | 33,333.000 | | | 0.00 | USD |
| **Total Securities Withdrawals and Deposits** | | | | | | $0.00 | $0.00 | USD |



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# Brokerage Account Statement

Statement Period: 10/01/2013 - 10/31/2013

## Transactions by Type of Activity *(continued)*

| Process/ Settlement Date | Trade/ Transaction Date | Activity Type | Description | Quantity | Price | Accrued Interest | Amount | CCY |
|---|---|---|---|---|---|---|---|---|
| **Dividends and Interest** | | | | | | | | |
| 10/21/13 | 10/19/13 | CASH DEBIT INTEREST USD999997 | CASH DUE INTEREST FOR 30 DEBIT DAYS AVBAL 327.42 RATE 5.497 09-20-13 TO 10-19-13 DEBIT BAL 10-19-13 WAS 328.76 | | | | -1.50 | USD |
| **Total Dividends and Interest** | | | | | | $0.00 | -$1.50 | USD |
| **Fees** | | | | | | | | |
| 10/31/13 | 10/30/13 | CUSTOMER NAME SAFEKEEPING FEE 125992354 | Dom Safekeeping OCT 2013 CUSIP: 125992-35-4 | | | | -2.00 | USD |
| 10/31/13 | 10/30/13 | CUSTOMER NAME SAFEKEEPING FEE 156996175 | Dom Safekeeping OCT 2013 CUSIP: 156996-17-5 | | | | -2.00 | USD |
| 10/31/13 | 10/30/13 | CUSTOMER NAME SAFEKEEPING FEE 740990296 | Dom Safekeeping OCT 2013 CUSIP: 740990-29-6 | | | | -2.00 | USD |
| 10/31/13 | 10/30/13 | CUSTOMER NAME SAFEKEEPING FEE 740991104 | Dom Safekeeping OCT 2013 CUSIP: 740991-10-4 | | | | -2.00 | USD |
| **Total Fees** | | | | | | $0.00 | -$8.00 | USD |
| **Total Value of Transactions** | | | | | | $0.00 | -$9.50 | |

The price and quantity displayed may have been rounded.

## Income and Expense Summary

| | Current Period | | Year-to-Date | |
|---|---|---|---|---|
| | Taxable | Non Taxable | Taxable | Non Taxable |
| **Interest Income** | | | | |
| Credit Interest | 0.00 | -1.50 | 0.00 | -19.66 |
| **Total Dividends, Interest, Income and Expenses** | $0.00 | -$1.50 | $0.00 | -$19.66 |
| **Distributions** | | | | |
| Other Distributions | 0.00 | 0.00 | 3.65 | 0.00 |
| **Total Distributions** | $0.00 | $0.00 | $3.65 | $0.00 |



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[redacted]

## Messages

**Although a money market mutual fund seeks to preserve the value of your investment at $1 per share, it is possible to lose money by investing in a money market mutual fund. Shares of a money market mutual fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage account. Please see the money market mutual fund's prospectus or the bank deposit product's disclosure document or contact your investment professional for additional information.**

Extended-Hours Trading Disclosure

1. Risk of Lower Liquidity. Liquidity refers to the ability of market participants to buy and sell securities. Generally, the more orders that are available in a market, the greater the liquidity. Liquidity is important because with greater liquidity it is easier for investors to buy or sell securities, and as a result, investors are more likely to pay or receive a competitive price for securities purchased or sold. There may be lower liquidity in extended hours trading as compared to regular market hours. As a result, your order may only be partially executed, or not at all.

2. Risk of Higher Volatility. Volatility refers to the changes in price that securities undergo when trading. Generally, the higher the volatility of a security, the greater its price swings. There may be greater volatility in extended hours trading than in regular market hours. As a result, your order may only be partially executed, or not at all, or you may receive an inferior price in extended hours trading than you would during regular market hours.

3. Risk of Changing Prices. The prices of securities traded in extended hours trading may not reflect the prices either at the end of regular market hours, or upon the opening of the next morning. As a result, you may receive an inferior price in extended hours trading than you would during regular market hours.

4. Risk of Unlinked Markets. Depending on the extended hours trading system or the time of day, the prices displayed on a particular extended hours system may not reflect the prices in other concurrently operating extended hours trading systems dealing in the same securities. Accordingly, you may receive an inferior price in one extended hours trading system than you would in another extended hours trading system.

5. Risk of News Announcements. Normally, issuers make news announcements that may affect the price of their securities after regular market hours. Similarly, important financial information is frequently announced outside of regular market hours. In extended hours trading, these announcements may occur during trading, and if combined with lower liquidity and higher volatility, may cause an exaggerated and unsustainable effect on the price of a security.

6. Risk of Wider Spreads. The spread refers to the difference in price between what you can buy a security for and what you can sell it for. Lower liquidity and higher volatility in extended hours trading may result in wider than normal spreads for a particular security.

7. Risk of Lack of Calculation or Dissemination of Underlying Index Value or Intraday Indicative Value ("IIV"). For certain Derivative Securities Products, an updated underlying index value or IIV may not be calculated or publicly disseminated in extended trading hours. Since the underlying index value and IIV are not calculated or widely disseminated during the pre-market and post-market sessions an investor who is unable to calculate implied values for certain Derivative Securities Products in those sessions may be at a disadvantage to market professionals.

## Important Information and Disclosures

**The Role of Pershing**

- Pershing carries your account as clearing broker pursuant to a clearing agreement with your financial institution. Pershing may accept from your financial institution without inquiry or investigation (i) orders for the purchase and sale of securities and other property and (ii) any other instructions concerning your account. Pershing is not responsible or liable for any acts or omissions of your financial institution or its employees and it does not supervise them. Pershing provides no investment advice nor does it assess the suitability of any transaction or order. Pershing acts as the agent of your financial institution and you agree that you will not hold Pershing or any person controlling or under common control with it liable for any investment losses incurred by you.
- Pershing performs several key functions at the direction of your financial institution. It acts as custodian for funds and securities you may deposit with it directly or through your financial institution or that it receives as the result of securities transactions it processes.
- Your financial institution is responsible for adherence to the securities laws, regulations and rules which apply to it regarding its own operations and the supervision of your account, its sales representatives and other personnel. Your financial institution is also responsible for approving the opening of accounts and obtaining account documents; the acceptance and, in certain instances, execution of securities orders; the assessment of the suitability of those transactions, where applicable; the rendering of investment advice, if any, to you and in general, for the ongoing relationship that it has with you.
- Inquiries concerning the positions and balances in your account may be directed to the Pershing Customer Service Department at (201) 413-3333. All other inquiries regarding your account or activity should



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# Brokerage
## Account Statement

Statement Period: 10/01/2013 - 10/31/2013

## Important Information and Disclosures *(continued)*

**The Role of Pershing** *(continued)*

be directed to your financial institution. Your financial organization's contact information can be found on the first page of this statement.

- For a description of other functions performed by Pershing please consult the Disclosure Statement provided to you upon the opening of your account. This notice is not meant as a definitive enumeration of every possible circumstance, but as a general disclosure. If you have any questions regarding this notice or if you would like additional copies of the Disclosure Statement, please contact your financial institution.
- Pershing is a member of the Securities Investor Protection Corporation (SIPC®). Please note that SIPC does not protect against loss due to market fluctuation. In addition to SIPC protection, Pershing provides coverage in excess of SIPC limits. For more detailed information please visit: www.pershing.com/strength_stability.html.
- This statement will be deemed conclusive. You are advised to report any inaccuracy or discrepancy (including unauthorized trading), to your financial organization and Pershing, within ten days after receipt of this statement. Please be advised that any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act.
- Your financial organization's contact information can be found on the first page of this statement. Pershing's contact information is as follows: Pershing LLC; Legal Department; One Pershing Plaza; Jersey City, New Jersey 07399; (201) 413-3330. Errors and Omissions excepted.

**Important Arbitration Disclosures**

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

**Important Arbitration Agreement**

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until; (I) the class certification is denied; (II) the class is decertified; or (III) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Pershing's contact information is as follows: Pershing LLC; Legal Department; One Pershing Plaza; Jersey City, New Jersey 07399; (201) 413-3330.



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[redacted]

MAXIM GROUP
[illegible] Lexington Ave., 2nd Floor,
New York, NY 10174
tel [illegible]
fax [illegible]

# Brokerage
## Account Statement

* 00072721 01 AT 0.374 01 TR 00327 X212PD07 000000

Copy to: CARL DOMINO
ATTN CARL DOMINO,INC.
515 N FLAGLER DR STE 702
WEST PALM BEACH FL 33401-4324

Statement for the account of:
CARL J DOMINO
[redacted]

Account Number: [redacted]
Statement Period: 12/01/2012 - 12/31/2012

### Valuation at a Glance

| | This Period | Year-to-Date |
|---|---|---|
| Beginning Account Value | $260,322.56 | $202,493.20 |
| Fees | 0.00 | -155.00 |
| Change in Account Value | -28,275.48 | 29,708.88 |
| Ending Account Value | $232,047.08 | $232,047.08 |

## Asset Allocation

| | Prior Year-End | Last Period | This Period | % Allocation |
|---|---|---|---|---|
| Equities | 202,503.20 | 260,487.56 | 232,212.08 | 100% |
| Cash, Money Funds, and Bank Deposits | -10.00 | -165.00 | -165.00 | 0% |
| Account Total | $202,493.20 | $260,322.56 | $232,047.08 | 100% |

Your Account is 100% invested in Equities.

See page 2 of this statement for important information regarding the Asset Allocation section.



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[redacted]

## Asset Allocation Disclosure and Footnotes

**Note:** Unpriced securities are not included in the Total Account Value.

## Client Service Information

| Your Account Executive: KCS | Contact Information | Client Service Information |
|---|---|---|
| BROTHERS/FORSCHNER | **E-Mail Address:** info@maximgrp.com | **Client Service Telephone Number:** (212) 895-3500<br>**Web Site:** WWW.MAXIMGRP.COM |

**Default Tax Lot Disposition Method for Mutual Funds:** FIRST IN FIRST OUT
**Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan:** FIRST IN FIRST OUT
**Default Tax Lot Disposition Method for All Other Securities:** FIRST IN FIRST OUT

## Portfolio Holdings

| Quantity | Description | Opening Balance | Closing Balance | Accrued Income | 30-day Yield |
|---|---|---|---|---|---|
| | **Cash, Money Funds, and Bank Deposits 0.00% of Portfolio** | | | | |
| | **Cash Balance** | -165.00 | -165.00 | | |
| | **Total Cash, Money Funds, and Bank Deposits** | -$165.00 | -$165.00 | $0.00 | |

| Quantity | Description | Market Price | Market Value | Estimated Yield |
|---|---|---|---|---|
| | **Equities 100.00% of Portfolio** | | | |
| | **Common Stocks** | | | |
| 250,000.000 | **BILLMYPARENTS INC COM**<br>CUSIP: 090174103<br>Dividend Option: Cash<br>*Security Identifier:* BMPI | 0.3770 | 94,250.00 | |
| 5,102.000 | **RSTK MEDGENICS INC COM NEW**<br>Dividend Option: Cash<br>5,102.00000 of these shares are held in safekeeping, and may require additional paperwork and information to confirm validity. Please call your Account Executive for more information.<br>*Security Identifier:* 58436Q914 | 7.4400 | 37,958.88 | |
| **Total Common Stocks** | | | $132,208.88 | |



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## Portfolio Holdings (continued)

| Quantity | Description | Market Price | Market Value | Estimated Yield |
|---|---|---|---|---|
| **Equities** *(continued)* | | | | |
| **Preferred Stocks** *(Listed by expiration date)* | | | | |
| 30,304.000 | **RSTK CONTRAFECT CP SER C PFD STK**<br>Dividend Option: Cash<br>30,304.00000 of these shares are held in safekeeping, and may require additional paperwork and information to confirm validity. Please call your Account Executive for more information.<br>*Security Identifier:* 685995003<br>*Estimated Price as of Date:* 12/27/12 | 3.3000 | 100,003.20 | |
| **Total Preferred Stocks** | | | $100,003.20 | |
| **Rights and Warrants** | | | | |
| 62,500.000 | **RSTK BILLMYPARENTS INC EXP 11/21/2016**<br>EXERCISE PRICE $0.60<br>Dividend Option: Cash<br>62,500.00000 of these shares are held in safekeeping, and may require additional paperwork and information to confirm validity. Please call your Account Executive for more information.<br>*Security Identifier:* 090990243 | N/A | N/A | |
| 3,826.500 | **RSTK MEDGENICS INC WTS EXP 6/18/2017**<br>EXCERSIE PRICE $8.34<br>Dividend Option: Cash<br>3,826.50000 of these shares are held in safekeeping, and may require additional paperwork and information to confirm validity. Please call your Account Executive for more information.<br>*Security Identifier:* 584994099 | N/A | N/A | |
| **Total Rights and Warrants** | | | $0.00 | |
| **Total Equities** | | | $232,212.08 | |

| Description | Market Value | Accrued Interest |
|---|---|---|
| **Total Portfolio Holdings** | $232,047.08 | $0.00 |


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[redacted]

# Portfolio Holdings *(continued)*

**Disclosures and Other Information**

**Pricing**

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices have been obtained from quotation services, which we believe to be reliable. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange.

**Reinvestment**

The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. Upon written request to your financial institution, information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow.

**Option Disclosure**

Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

**Foreign Currency Transactions**

Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

**Proxy Vote**

Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

# Activity Summary (All amounts shown are in base currency: USD)

| Credits | This Period | Year-to-Date |
|---|---|---|
| **Additional Transactions** | | |
| Fees | 0.00 | 0.00 |
| **Total Additional Transactions** | **$0.00** | **$0.00** |
| **Total Credits** | **$0.00** | **$0.00** |

| Debits | This Period | Year-to-Date |
|---|---|---|
| **Additional Transactions** | | |
| Fees | 0.00 | -155.00 |
| **Total Additional Transactions** | **$0.00** | **-$155.00** |
| **Total Debits** | **$0.00** | **-$155.00** |

# Messages

**Although a money market mutual fund seeks to preserve the value of your investment at $1 per share, it is possible to lose money by investing in a money market mutual fund. Please see the money market mutual fund's prospectus or contact your investment professional for additional information.**



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Pershing LLC, member FINRA, NYSE, SIPC

## Messages *(continued)*

Annual Privacy Policy Disclosure for Maxim Group LLC & Maxim Financial Advisors LLC*

The confidentiality of client information is an important concern of Maxim. We take precautions to safeguard client personal information at all times, and we will remain vigilant in protecting that information. The provisions of this privacy notice will apply to former clients as well as current clients.

Maxim collects personal information about you that is either required or necessary to provide you with financial products or services. We may obtain this information from the following sources:

- Information we receive from you on account applications, forms and other information that you provide to us, whether in writing, in person, by telephone or by any other means. This information may include your name, address, telephone number, social security number, occupation, assets and income.
- Information about your transactions with us or a non-affiliated third party such as account balances, payment history and account activity.
- Information we receive from a consumer reporting agency, such as your credit bureau reports and other information relating to your creditworthiness.

Maxim will not disclose nonpublic personal information to affiliates or nonaffiliated third parties, except as permitted by law, under the following circumstances:

- Where we believe in good faith that disclosure is required under law to cooperate with regulators or law enforcement authorities.
- To consumer reporting agencies.
- To our clearing firm and service providers to help us process your applications or service your accounts. These service providers, in turn, are required to protect the confidentiality and security of any information we give to them and may not reuse it for any other purpose.

Maxim restricts access to your personal account(s) and information to those employees who need to know that information to provide products or services to you. We maintain physical, electronic and procedural safeguards that comply with the industry standards to safeguard your nonpublic personal information. Third parties who have access to such personal information must agree to follow appropriate standards of security and confidentiality.



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[redacted]

## Messages *(continued)*

Clients should be advised that in order to better serve you, telephonic communications may be recorded and monitored for quality control.

We trust that this clarifies position on client confidentiality, and look forward to being of service to you in the future.

*Maxim Financial Advisors LLC is an SEC registered investment advisor and affiliate firm to Maxim Group LLC. Maxim Group LLC and Maxim Financial Advisors LLC will, for the purposes of this document, be referred to as "Maxim".

Annual Electronic Communications Disclosure

Do not use e-mail or any other electronic means to request, authorize, or effect a trade or to send fund transfer instructions.

Extended-Hours Trading Disclosure

1. Risk of Lower Liquidity. Liquidity refers to the ability of market participants to buy and sell securities. Generally, the more orders that are available in a market, the greater the liquidity. Liquidity is important because with greater liquidity it is easier for investors to buy or sell securities, and as a result, investors are more likely to pay or receive a competitive price for securities purchased or sold. There may be lower liquidity in extended hours trading as compared to regular market hours. As a result, your order may only be partially executed, or not at all.

2. Risk of Higher Volatility. Volatility refers to the changes in price that securities undergo when trading. Generally, the higher the volatility of a security, the greater its price swings. There may be greater volatility in extended hours trading than in regular market hours. As a result, your order may only be partially executed, or not at all, or you may receive an inferior price in extended hours trading than you would during regular market hours.

3. Risk of Changing Prices. The prices of securities traded in extended hours trading may not reflect the prices either at the end of regular market hours, or upon the opening of the next morning. As a result, you may receive an inferior price in extended hours trading than you would during regular market hours.

4. Risk of Unlinked Markets. Depending on the extended hours trading system or the time of day, the prices displayed on a particular extended hours system may not reflect the prices in other concurrently operating extended hours trading systems dealing in the same securities. Accordingly, you may receive an inferior price in one extended hours trading system than you would in another extended hours trading system.

5. Risk of News Announcements. Normally, issuers make news announcements that may affect the price of their securities after regular market hours. Similarly, important financial information is frequently announced outside of regular market hours. In extended hours trading, these announcements may occur during trading, and if combined with lower liquidity and higher volatility, may cause an exaggerated and unsustainable effect on the price of a security.

6. Risk of Wider Spreads. The spread refers to the difference in price between what you can buy a security for and what you can sell it for. Lower liquidity and higher volatility in extended hours trading may result in wider than normal spreads for a particular security.

7. Risk of Lack of Calculation or Dissemination of Underlying Index Value or Intraday Indicative Value ("IIV"). For certain Derivative Securities Products, an updated underlying index value or IIV may not be calculated or publicly disseminated in extended trading hours. Since the underlying index value and IIV are not calculated or widely disseminated during the pre-market and post-market sessions an investor who is unable to calculate implied values for certain Derivative Securities Products in those sessions may be at a disadvantage to market professionals.



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[redacted]

# TERMS AND CONDITIONS

## GENERAL INFORMATION

1. ANY FREE CREDIT BALANCE CARRIED FOR YOUR ACCOUNT REPRESENTS FUNDS PAYABLE UPON DEMAND WHICH, ALTHOUGH PROPERLY ACCOUNTED FOR ON PERSHING'S BOOKS OF RECORD, ARE NOT SEGREGATED AND MAY BE USED IN THE CONDUCT OF ITS BUSINESS.
2. ALL ORDERS AND TRANSACTIONS SHALL BE SOLELY FOR YOUR ACCOUNT AND RISK SHALL BE SUBJECT TO THE CONSTITUTION, RULES, REGULATIONS, CUSTOMS, USAGES, RULINGS AND INTERPRETATIONS OF THE EXCHANGE OR MARKET AND THE CLEARING FACILITY, IF ANY, WHERE THE TRANSACTIONS ARE EXECUTED AND/OR SETTLED, OR IF APPLICABLE, OF THE FINANCIAL INDUSTRY REGULATORY AUTHORITY AND TO ALL APPLICABLE LAWS AND REGULATIONS.
3. WHENEVER YOU ARE INDEBTED TO PERSHING LLC ("PERSHING") FOR ANY AMOUNT, ALL SECURITIES HELD BY IT FOR YOU IN ANY ACCOUNT IN WHICH YOU HAVE ANY INTEREST SHALL SECURE ALL YOUR LIABILITIES TO PERSHING, AND PERSHING MAY IN ITS DISCRETION AT ANY TIME, WITHOUT TENDER, DEMAND OR NOTICE TO YOU, CLOSE OR REDUCE ANY OR ALL OF YOUR ACCOUNTS BY PUBLIC OR PRIVATE SALE OR PURCHASE OR BOTH OF ALL OR ANY SECURITIES CARRIED IN SUCH ACCOUNTS; ANY BALANCE REMAINING DUE PERSHING TO BE PROMPTLY PAID BY YOU.
4. WHENEVER YOU ARE INDEBTED TO PERSHING FOR ANY AMOUNT, ALL SECURITIES CARRIED FOR YOUR ACCOUNT ARE OR MAY BE, WITHOUT FURTHER NOTICE TO YOU, LOANED OR PLEDGED BY PERSHING, EITHER SEPARATELY OR UNDER CIRCUMSTANCES WHICH WILL PERMIT THE COMMINGLING THEREOF, WITH OTHER SECURITIES FOR ANY AMOUNT LESS THAN, EQUAL TO OR GREATER THAN YOUR LIABILITIES TO PERSHING, BUT NOT UNDER CIRCUMSTANCES FOR AN AMOUNT PROHIBITED BY LAW.
5. TITLE TO SECURITIES SOLD TO YOU, WHERE PERSHING HAS ACTED AS PRINCIPAL, SHALL REMAIN WITH PERSHING UNTIL THE ENTIRE PURCHASE PRICE IS RECEIVED OR UNTIL THE SETTLEMENT DATE, WHICHEVER IS LATER.
6. YOU MAY HAVE RECEIVED CONFIRMATIONS FOR TRANSACTIONS WHICH DO NOT APPEAR ON YOUR STATEMENT. IF SO, THE TRANSACTIONS WILL APPEAR ON YOUR NEXT PERIODIC STATEMENT. SUCH TRANSACTIONS MUST BE CONSIDERED BY YOU WHEN COMPUTING THE VALUE OF YOUR ACCOUNT. THIS IS ESPECIALLY TRUE IF YOU HAVE WRITTEN OPTIONS WHICH HAVE BEEN EXERCISED.
7. IF YOU MAINTAIN A MARGIN ACCOUNT, THIS IS A COMBINED STATEMENT OF YOUR GENERAL ACCOUNT AND A SPECIAL MEMORANDUM ACCOUNT MAINTAINED FOR YOU UNDER REGULATION T OF THE BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM. THE PERMANENT RECORD OF THE SEPARATE ACCOUNT AS REQUIRED BY REGULATION T IS AVAILABLE FOR YOUR INSPECTION UPON REQUEST.
8. INTEREST CHARGED ON DEBIT BALANCES IN YOUR ACCOUNT APPEARS ON THE STATEMENT. THE RATE OF INTEREST AND PERIOD COVERED ARE INDICATED. THE RATE MAY CHANGE FROM TIME TO TIME DUE TO FLUCTUATIONS IN MONEY RATES OR OTHER REASONS. INTEREST IS COMPUTED AS DESCRIBED IN MATERIAL PREVIOUSLY FURNISHED TO YOU. PLEASE CONTACT YOUR FINANCIAL INSTITUTION IF YOU DESIRE ADDITIONAL COPIES.
9. DIVIDENDS, INTEREST AND OTHER DISTRIBUTIONS SHOWN ON THIS STATEMENT WERE CLASSIFIED AS TAXABLE OR NONTAXABLE BASED ON CERTAIN INFORMATION KNOWN AS OF THE DISTRIBUTION DATE. THIS CLASSIFICATION IS SUBJECT TO CHANGE AND IS SOLELY INTENDED FOR USE AS GENERAL INFORMATION. AFTER YEAR END, PERSHING IS REQUIRED TO PROVIDE TAX INFORMATION TO THE INTERNAL REVENUE SERVICE AND OTHER GOVERNMENTAL AUTHORITIES. AT THAT TIME PERSHING WILL PROVIDE THAT INFORMATION ON THE ANNUAL TAX INFORMATION STATEMENT TO YOU; USE THAT STATEMENT TO PREPARE YOUR TAX FILINGS. THE TAX STATEMENT ALSO INCLUDES OTHER USEFUL INFORMATION TO ASSIST IN ACCUMULATING THE DATA TO PREPARE YOUR TAX RETURNS.
10. PERSHING DOES NOT PROVIDE TAX, INVESTMENT OR LEGAL ADVISORY SERVICES AND NO ONE ASSOCIATED WITH PERSHING IS AUTHORIZED TO RENDER SUCH ADVICE. DO NOT RELY UPON ANY SUCH ADVICE. IF GIVEN, INVESTORS ARE ENCOURAGED TO CONSULT THEIR TAX ADVISORS TO DETERMINE THE APPROPRIATE TAX TREATMENT OF THEIR BUSINESS.
11. PERSHING MAY TRADE FOR ITS OWN ACCOUNT AS A MARKET MAKER, SPECIALIST, ODD LOT DEALER, BLOCK POSITIONER, ARBITRAGEUR OR INVESTOR. CONSEQUENTLY, AT THE TIME OF ANY TRANSACTION YOU MAY MAKE, PERSHING MAY HAVE A POSITION IN SUCH SECURITIES, WHICH POSITION MAY BE PARTIALLY OR COMPLETELY HEDGED.
12. IF AVERAGE PRICE TRANSACTION IS INDICATED ON THE FRONT OF THIS STATEMENT YOUR FINANCIAL INSTITUTION OR PERSHING MAY HAVE ACTED AS PRINCIPAL, AGENT OR BOTH. DETAILS AVAILABLE UPON REQUEST.
13. A FINANCIAL STATEMENT OF PERSHING IS AVAILABLE FOR YOUR PERSONAL INSPECTION AT PERSHING'S OFFICES. A COPY OF IT WILL BE MAILED UPON YOUR WRITTEN REQUEST OR YOU CAN VIEW IT ONLINE AT WWW.PERSHING.COM.
14. THIS STATEMENT SHOULD BE RETAINED FOR YOUR RECORDS.

## THE ROLE OF PERSHING

PERSHING CARRIES YOUR ACCOUNT AS CLEARING BROKER PURSUANT TO A CLEARING AGREEMENT WITH YOUR FINANCIAL INSTITUTION. PERSHING MAY ACCEPT FROM YOUR FINANCIAL INSTITUTION WITHOUT INQUIRY OR INVESTIGATION (I) ORDERS FOR THE PURCHASE AND SALE OF SECURITIES AND OTHER PROPERTY AND (II) ANY OTHER INSTRUCTIONS CONCERNING YOUR ACCOUNT. PERSHING IS NOT RESPONSIBLE OR LIABLE FOR ANY ACTS OR OMISSIONS OF YOUR FINANCIAL INSTITUTION OR ITS EMPLOYEES AND IT DOES NOT SUPERVISE THEM. PERSHING PROVIDES NO INVESTMENT ADVICE NOR DOES IT ASSESS THE SUITABILITY OF ANY TRANSACTION OR ORDER. PERSHING ACTS AS THE AGENT OF YOUR FINANCIAL INSTITUTION AND YOU AGREE THAT YOU WILL NOT HOLD PERSHING OR ANY PERSON CONTROLLING OR UNDER COMMON CONTROL WITH IT LIABLE FOR ANY INVESTMENT LOSSES INCURRED BY YOU.

PERSHING PERFORMS SEVERAL KEY FUNCTIONS AT THE DIRECTION OF YOUR FINANCIAL INSTITUTION. IT ACTS AS CUSTODIAN FOR FUNDS AND SECURITIES YOU MAY DEPOSIT WITH IT DIRECTLY OR THROUGH YOUR FINANCIAL INSTITUTION OR THAT IT RECEIVES AS THE RESULT OF SECURITIES TRANSACTIONS IT PROCESSES.

YOUR FINANCIAL INSTITUTION IS RESPONSIBLE FOR ADHERENCE TO THE SECURITIES LAWS, REGULATIONS AND RULES WHICH APPLY TO IT REGARDING ITS OWN OPERATIONS AND THE SUPERVISION OF YOUR ACCOUNT, ITS SALES REPRESENTATIVES AND OTHER PERSONNEL. YOUR FINANCIAL INSTITUTION IS ALSO RESPONSIBLE FOR APPROVING THE OPENING OF ACCOUNTS AND OBTAINING ACCOUNT DOCUMENTS; THE ACCEPTANCE AND, IN CERTAIN INSTANCES, EXECUTION OF SECURITIES ORDERS; THE ASSESSMENT OF THE SUITABILITY OF THOSE TRANSACTIONS, WHERE APPLICABLE; THE RENDERING OF INVESTMENT ADVICE, IF ANY, TO YOU AND IN GENERAL, FOR THE ONGOING RELATIONSHIP THAT IT HAS WITH YOU.

INQUIRIES CONCERNING THE POSITIONS AND BALANCES IN YOUR ACCOUNT MAY BE DIRECTED TO THE PERSHING CUSTOMER SERVICE DEPARTMENT AT (201) 413-3333. ALL OTHER INQUIRIES REGARDING YOUR ACCOUNT OR ACTIVITY SHOULD BE DIRECTED TO YOUR FINANCIAL INSTITUTION. YOUR FINANCIAL ORGANIZATION'S CONTACT INFORMATION CAN BE FOUND ON THE FIRST PAGE OF THIS STATEMENT.

FOR A DESCRIPTION OF OTHER FUNCTIONS PERFORMED BY PERSHING PLEASE CONSULT THE DISCLOSURE STATEMENT PROVIDED TO YOU UPON THE OPENING OF YOUR ACCOUNT. THIS NOTICE IS NOT MEANT AS A DEFINITIVE ENUMERATION OF EVERY POSSIBLE CIRCUMSTANCE, BUT AS A GENERAL DISCLOSURE. IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE OR IF YOU WOULD LIKE ADDITIONAL COPIES OF THE DISCLOSURE STATEMENT, PLEASE CONTACT YOUR FINANCIAL INSTITUTION.

PERSHING IS A MEMBER OF THE SECURITIES INVESTOR PROTECTION CORPORATION (SIPC®). PLEASE NOTE THAT SIPC DOES NOT PROTECT AGAINST LOSS DUE TO MARKET FLUCTUATION. IN ADDITION TO SIPC PROTECTION, PERSHING PROVIDES COVERAGE IN EXCESS OF SIPC LIMITS. FOR MORE DETAILED INFORMATION PLEASE VISIT: WWW.PERSHING.COM/STRENGTH_STABILITY.HTML

THIS STATEMENT WILL BE DEEMED CONCLUSIVE. YOU ARE ADVISED TO REPORT ANY INACCURACY OR DISCREPANCY (INCLUDING UNAUTHORIZED TRADING), TO YOUR FINANCIAL ORGANIZATION AND PERSHING, WITHIN TEN DAYS AFTER RECEIPT OF THIS STATEMENT. PLEASE BE ADVISED THAT ANY ORAL COMMUNICATION SHOULD BE RE-CONFIRMED IN WRITING TO FURTHER PROTECT YOUR RIGHTS, INCLUDING YOUR RIGHTS UNDER THE SECURITIES INVESTOR PROTECTION ACT.

YOUR FINANCIAL ORGANIZATION'S CONTACT INFORMATION CAN BE FOUND ON THE FIRST PAGE OF THIS STATEMENT. PERSHING'S CONTACT INFORMATION IS AS FOLLOWS: PERSHING LLC; LEGAL DEPARTMENT; ONE PERSHING PLAZA; JERSEY CITY, NEW JERSEY 07399; (201) 413-3330. ERRORS AND OMISSIONS EXCEPTED.

## PAYMENT FOR ORDER FLOW AND ORDER ROUTING POLICIES DISCLOSURES [REGULATION NMS—RULE 607(A)(1)–(2)]

PERSHING SENDS CERTAIN EQUITY ORDERS TO EXCHANGES, ELECTRONIC COMMUNICATION NETWORKS, OR BROKER-DEALERS DURING NORMAL BUSINESS HOURS AND DURING EXTENDED TRADING SESSIONS. CERTAIN OF THESE VENUES PROVIDE PAYMENTS TO PERSHING OR CHARGE ACCESS FEES TO PERSHING DEPENDING UPON THE CHARACTERISTICS OF THE ORDER AND ANY SUBSEQUENT EXECUTION. IN ADDITION PERSHING MAY EXECUTE CERTAIN EQUITY ORDERS AS PRINCIPAL. THE DETAILS OF THESE PAYMENTS AND FEES ARE AVAILABLE UPON WRITTEN REQUEST. PERSHING RECEIVES PAYMENTS FOR DIRECTING LISTED OPTIONS ORDER FLOW TO CERTAIN OPTION EXCHANGES. COMPENSATION IS GENERALLY IN THE FORM OF A PER OPTION CONTRACT CASH PAYMENT.

BEST EXECUTION: NOTWITHSTANDING THE PREVIOUS PARAGRAPH REGARDING PAYMENT FOR ORDER FLOW, PERSHING SELECTS CERTAIN MARKET CENTERS TO PROVIDE EXECUTION OF OVER-THE-COUNTER AND EXCHANGE-LISTED SECURITIES TRANSACTIONS WHICH AGREE TO ACCEPT ORDERS, TRANSMITTED ELECTRONICALLY UP TO A SPECIFIED SIZE, AND TO EXECUTE THEM AT OR BETTER THAN THE NATIONAL BEST BID OR OFFER (NBBO). ON CERTAIN LARGER ORDERS, OR IF THE DESIGNATED MARKET CENTERS DO NOT MAKE A MARKET IN THE SUBJECT SECURITY, PERSHING DIRECTLY CONTACTS MARKET CENTERS TO OBTAIN AN EXECUTION. THE DESIGNATED MARKET CENTERS TO WHICH ORDERS ARE AUTOMATICALLY ROUTED ARE SELECTED BASED ON THE CONSISTENT HIGH QUALITY OF THEIR EXECUTIONS IN ONE OR MORE MARKET SEGMENTS AND THEIR ABILITY TO PROVIDE OPPORTUNITIES FOR EXECUTIONS AT PRICES SUPERIOR TO THE NBBO. PERSHING ALSO REGULARLY REVIEWS REPORTS FOR QUALITY OF EXECUTION PURPOSES.

IF ANY OF THE ABOVE TERMS AND CONDITIONS ARE UNACCEPTABLE TO YOU, PLEASE NOTIFY PERSHING IMMEDIATELY IN WRITING BY CERTIFIED MAIL TO ONE PERSHING PLAZA, JERSEY CITY, NJ 07399, ATTN: LEGAL DEPT



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**CLIENT STATEMENT** | For the Period December 1-31, 2012

# Morgan Stanley

**CARL J DOMINO**
[redacted]

INTERESTED PARTY COPY

#BWNJGWM

MICHAEL J. DIXON
CARL DOMINO, INC.
251ROYAL PALM WAY STE 601
PALM BEACH FL 33480-4339

| | |
|---|---|
| TOTAL VALUE LAST PERIOD (as of 11/30/12) | $58,929.93 |
| NET CONTRIBUTIONS/WITHDRAWALS | 673.61 |
| CHANGE IN VALUE | (2,599.97) |
| **TOTAL VALUE OF YOUR ACCOUNT** (as of 12/31/12) | **$57,003.57** |

(Total Values include accrued interest)

**Your Branch**

777 S FLAGLER DRIVE, SUITE 900
WEST PALM BEACH, FL 33401-6125
Telephone: 561-838-8900
Alt. Phone: 800-827-6329
Fax: 561-838-8970

**Your Financial Advisor**

**Seraphim Rine**
Senior Vice President
Sam.Rine@morganstanley.com
561 838-8903

013055 MSGDD243 012423

**For the Account of:**
CARL J DOMINO
[redacted]

**CLIENT STATEMENT** | For the Period December 1-31, 2012

# Account Summary

**Active Assets Account** [redacted]

**CARL J DOMINO** [redacted]

INTERESTED PARTY COPY

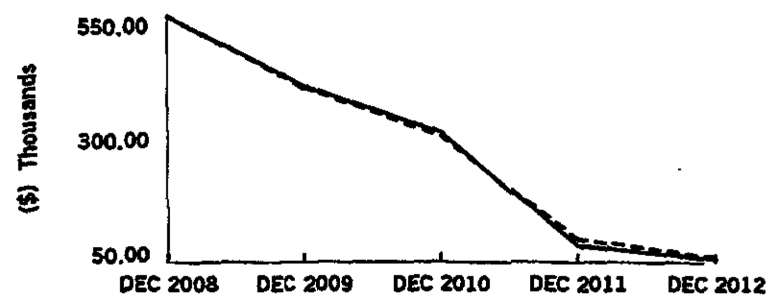
**Brokerage Account**
**Householding Anniversary Date:** 10/25/02
**Investment Objectives†:** Speculation, Capital Appreciation, Aggressive Income, Income

## CHANGE IN VALUE OF YOUR ACCOUNT

| | This Period (12/1/12-12/31/12) | This Year (1/1/12-12/31/12) |
|---|---|---|
| **Total Beginning Value**(includes accrued interest) | $58,929.93 | $84,398.32 |
| Contributions | 688.61 | 73,670.04 |
| Withdrawals | (15.00) | (111,066.20) |
| Security Transfers | — | — |
| Net Contributions/Withdrawals | $673.61 | $(37,396.16) |
| Change in Value | (2,599.97) | 10,001.41 |
| **Total Ending Value**(includes accrued interest) | $57,003.57 | $57,003.57 |

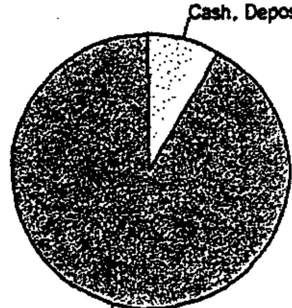
## CHANGE IN VALUE OVER TIME

This graph compares the total value of your account to the net amount invested. Net investment is the total amount invested minus the total amount withdrawn.




*This exhibit may not include transactions for investments in Annuities or where Morgan Stanley Smith Barney LLC is not the custodian. This may affect the reported Net Invested Capital. If we are not the custodian there may also be a delay in the reporting of your Market Value. Please speak to your Financial Advisor if you have any questions. † See the Expanded Disclosures for more information about investment objectives. Please review for accuracy and inform us if your investment objectives change.*

## ALLOCATION OF HOLDINGS




| | Market Value | Percentage % |
|---|---|---|
| Cash, Deposits, MMFs* | $4,803.57 | 8.4 |
| Stocks | 52,200.00 | 91.6 |
| **TOTAL VALUE** | $57,003.57 | 100.0% |

*This allocation represents holdings on a trade date basis, and projected settled Cash/Deposits/MMF balances; and may differ from Asset Allocation reports you might receive. These classifications are not intended to serve as a suitability analysis. * Bank Deposits are eligible for FDIC Insurance; FDIC rules apply and deposits are not SIPC insured. Cash and securities (including Money Market Funds) are eligible for SIPC coverage. Please see Expanded Disclosures.*

Security Mark at Right

# Account Summary

Active Assets Account [redacted]

CARL J DOMINO [redacted]

INTERESTED PARTY COPY

## BALANCE SHEET

| | Last Period (as of 11/30/12) | This Period (as of 12/31/12) |
|---|---|---|
| **TOTAL VALUE** (incl. accr. int.) | **$58,929.93** | **$57,003.57** |
| **ASSETS** | **$58,929.93** | **$57,003.57** |
| Cash, Deposits, Money Market Funds | 4,129.93 | 4,803.57 |
| Stocks | 54,800.00 | 52,200.00 |

**LIABILITIES** (Outstanding Balance)

*There are no liabilities for this account.*

## CASH FLOW

| | This Period (12/1/12-12/31/12) | This Year (1/1/12-12/31/12) |
|---|---|---|
| **OPENING CASH, DEPOSITS, MMFs** | **$4,129.93** | **$34,198.32** |
| **INVESTMENT RELATED ACTIVITY** | **$0.03** | **$8,001.41** |
| Income | 0.03 | 8,001.41 |
| **CASH RELATED ACTIVITY** | **$673.61** | **$73,436.29** |
| Checks Deposited | — | 65,000.00 |
| Electronic Transfers-Credits | 688.61 | 8,670.04 |
| Other Debits | (15.00) | (233.75) |
| **DEBIT CARD/CHECK ACTIVITY** | — | **$(110,832.45)** |
| Debit Card | — | — |
| ATM/Cash Advances | — | (401.25) |
| Checks Written | — | (110,431.20) |
| Automated Payments | — | — |
| **CLOSING CASH, DEPOSITS, MMFs** | **$4,803.57** | **$4,803.57** |

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**CLIENT STATEMENT** | For the Period December 1-31, 2012

Active Assets Account [redacted]

CARL J DOMINO [redacted]

# Account Summary

INTERESTED PARTY COPY

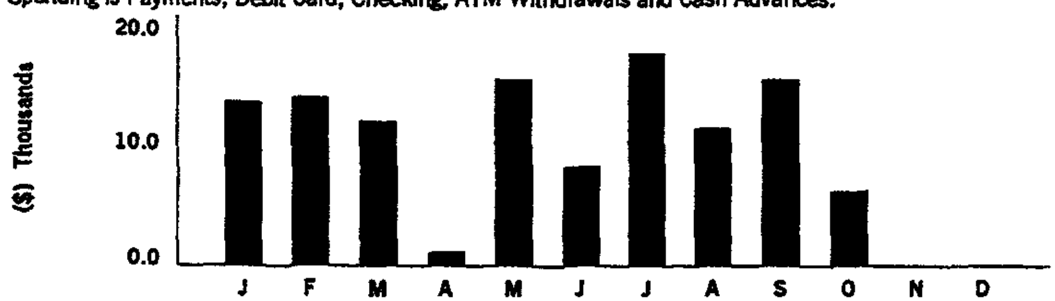
## INCOME SUMMARY

| | This Period (12/1/12-12/31/12) | This Year (1/1/12-12/31/12) |
|---|---|---|
| **TOTAL INCOME** | **$0.03** | **$8,001.41** |
| **TAXABLE INCOME** | **0.03** | **8,001.41** |
| Qualified Dividends | — | — |
| Other Dividends | — | 8,000.00 |
| Long Term Capital Gains Distributions | — | — |
| Interest | 0.03 | 1.41 |
| Other Income | — | — |
| **TAX-EXEMPT INCOME** | — | — |
| Dividends | — | — |
| Interest | — | — |
| Other Income | — | — |

*Taxable and tax exempt income classifications are based on the characteristics of the underlying securities and not the taxable status of the account.*

## TOTAL SPENDING YEAR TO DATE

Spending is Payments, Debit Card, Checking, ATM Withdrawals and Cash Advances.




## GAIN/(LOSS) SUMMARY

| This Period (12/1/12-12/31/12) | Short-Term | Long-Term |
|---|---|---|
| NET REALIZED | — | — |
| Gain | — | — |
| (Loss) | — | — |
| NET UNREALIZED (12/31/12) | — | $(30,644.87) |
| Gain | — | — |
| (Loss) | — | (30,644.87) |

| This Year (1/1/12-12/31/12) | Short-Term | Long-Term |
|---|---|---|
| NET REALIZED | — | — |
| Gain | — | — |
| (Loss) | — | — |

*Gain/(Loss) and income information are provided for informational purposes only and should not be used for tax preparation. Gain/(Loss) summary information may change due to basis adjustments.*
*Please refer to the Gain/(Loss) Information in the Expanded Disclosures for additional information.*

## REWARDS SUMMARY

| | Reward Points |
|---|---|
| **BEGINNING BALANCE** | **81** |
| TOTAL POINTS EARNED | — |
| Debit Card Spend | — |
| Other Point Activity | — |
| Bonus Points | — |
| Other Adjustments | — |
| TOTAL POINTS REDEEMED | — |
| **ENDING BALANCE (as of 12/20/2012)** | **81** |

Security Mark at Right

# Holdings

**Active Assets Account** [redacted] **CARL J DOMINO** [redacted]

INTERESTED PARTY COPY

*This section reflects the impact of positions purchased/sold on a trade date basis, and will include positions purchased and omit positions sold in the current month. Please see the "Unsettled Purchases/Sales Activity" section for more information.*
*The "Market Value" and "Unrealized Gain/(Loss)" figures shown are representative values as of the last business day of the statement period indicated, which may not reflect the value that could actually be obtained in the market. See "Pricing of Securities" in the Expanded Disclosures. Fixed Income securities are sorted by maturity or pre-refunding date, and alphabetically within date.*
*Estimated annual income and estimated yield for certain securities can include return of principal or capital gains which could overstate such estimates. Estimated yield and estimated annual income are estimates and the actual income or yield may be lower or higher than the estimates. Estimated yield reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate.*
*Structured products appear in various statement product categories and are identified as "Structured Products" in the Security Description column. Estimated accrued interest, estimated annual income and estimated yield for structured products with a contingent income feature (such as Range Accrual Notes or Contingent Income Notes) assume specified accrual conditions are met during the relevant observation period and payment in full of all contingent interest. If you hold structured products, please see "Special Considerations Regarding Structured Products" in the Disclosures.*
*New Treasury regulations require that we report on Form 1099-B after the close of the tax year your adjusted cost basis and classify the gain or loss as either long-term or short-term on the sale of covered securities acquired on or after January 1, 2011. These regulations also require that we make basis adjustments due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. Cost basis is reflected on monthly statements for informational purposes only and should not be used in the preparation of your income tax returns. Please refer to the Expanded Disclosures for additional information.*

## CASH, DEPOSITS AND MONEY MARKET FUNDS

*Cash, Deposits and Money Market Funds are generally displayed on a settlement date basis. However, Global Currency Deposits may include both settled and unsettled positions. Estimated Annual Income, Accrued Interest and APY% will only be displayed for fully settled positions. In the event, all or a portion of a Global Currency Deposit position is unsettled as of month end, this data will not be available.*

| Description | Value | Estimated Annual Income | 7-Day Current Yield % | Annual Percentage Yield % |
|---|---|---|---|---|
| MORGAN STANLEY BANK N.A. # | $4,803.57 | — | — | 0.010 |

| | Percentage of Assets % | Market Value | Estimated Annual Income / Accrued Interest |
|---|---|---|---|
| CASH, DEPOSITS AND MONEY MARKET FUNDS | 8.4% | $4,803.57 | $0.00<br>$0.00 |

*# Bank Deposits are at either: (1) Morgan Stanley Bank, N.A., and Morgan Stanley Private Bank, National Association, or (2) Citibank, N.A., each a national bank, FDIC member and an affiliate of Morgan Stanley Smith Barney LLC.*

## STOCKS

### COMMON STOCKS

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| CHIMERA INVESTMENT CORP (CIM) | 10/26/10 | 20,000.000 | $4.142 | $82,844.87 | $52,200.00 | $(30,644.87) LT | $7,200.00 | 13.79 |

*Share Price: $2.610; Next Dividend Payable 01/25/13*

| | Percentage of Assets % | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income / Accrued Interest | Yield % |
|---|---|---|---|---|---|---|
| STOCKS | 91.6% | $82,844.87 | $52,200.00 | $(30,644.87) LT | $7,200.00<br>$0.00 | 13.79% |

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**CLIENT STATEMENT** | For the Period December 1-31, 2012

# Holdings

**Active Assets Account** [redacted]

**CARL J DOMINO** [redacted]

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| | Percentage of Assets % | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income / *Accrued Interest* | Yield % |
|---|---|---|---|---|---|---|
| TOTAL MARKET VALUE | 100.0% | $82,844.87 | $57,003.57 | $(30,644.87) LT | $7,200.00<br>$0.00 | 12.63% |
| TOTAL VALUE (includes accrued interest) | | | $57,003.57 | | | |

*Unrealized Gain/(Loss) totals only reflect positions that have cost basis and/or market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' are not included.*

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**CLIENT STATEMENT** | For the Period December 1-31, 2012

# Activity

**Active Assets Account**
[redacted]

**CARL J DOMINO**
[redacted]

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## CASH FLOW ACTIVITY BY DATE

| Transaction Date | Settlement Date | Activity Type | Description | Comments | Quantity | Price | Credits/(Debits) |
|---|---|---|---|---|---|---|---|
| 12/4 | 12/4 | Service Fee | STMT COPY-SEP,OCT,NOV | | | | $(15.00) |
| 12/28 | 12/28 | Interest Income | MORGAN STANLEY BANK N.A. (Period 11/30-12/28) | | | | 0.03 |
| 12/31 | 12/31 | Funds Received | STATE OF FLORIDA | RETIREMENT | | | 688.61 |
| **NET CREDITS/(DEBITS)** | | | | | | | **$673.64** |

## MONEY MARKET FUND (MMF) AND BANK DEPOSITS ACTIVITY

| Date | Activity Type | Description | Credits/(Debits) |
|---|---|---|---|
| 12/12 | Automatic Redemption | BANK DEPOSIT PROGRAM | $(15.00) |
| 12/28 | Automatic Investment | BANK DEPOSIT PROGRAM | 0.03 |
| 12/31 | Automatic Investment | BANK DEPOSIT PROGRAM | 688.61 |
| **NET ACTIVITY FOR PERIOD** | | | **$673.64** |

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Morgan Stanley

**CLIENT STATEMENT**

# 2012 Annual Review

**Active Assets Account** [redacted]

**CARL J DOMINO**
[redacted]

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We are pleased to enclose your 2012 Annual Review, which is provided for informational purposes only.

Additionally, please note that this Annual Review contains a recap of 2012 Income and Distributions. Those that are reportable for 2012, but not payable until 2013, are not included in this Annual Review. Clients with accounts subject to IRS reporting should note that the year-to-date amounts in this Review may differ from the amounts that will be reported on IRS Form(s) 1099, which will be mailed to you no later than February 15, 2013. **We recommend that you wait for your IRS Form(s) 1099 before completing your tax returns.**

Please remember that this 2012 Annual Review is not a substitute for the official account statements that you have received from us throughout the year, and certain information is subject to adjustment and correction.

To the extent there are any discrepancies between your monthly account statement(s) and the information in this Annual Review, you should rely on the account statement(s) you have previously received. For more information please contact your Financial Advisor.

## INVESTMENT RELATED ACTIVITY

### INCOME

TAXABLE DIVIDENDS

| Activity Type | Description | Inflows/(Outflows) | Activity Type | Description | Inflows/(Outflows) |
|---|---|---|---|---|---|
| Dividend | CHIMERA INVESTMENT CORP | $8,000.00 | | | |

TOTAL TAXABLE DIVIDENDS $8,000.00

TAXABLE INTEREST

| Activity Type | Description | Inflows/(Outflows) | Activity Type | Description | Inflows/(Outflows) |
|---|---|---|---|---|---|
| Interest Income | MORGAN STANLEY BANK N.A. | $1.41 | | | |

TOTAL TAXABLE INTEREST $1.41

**TOTAL INCOME** **$8,001.41**

**TOTAL INVESTMENT RELATED ACTIVITY** **$8,001.41**

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Morgan Stanley

# 2012 Annual Review

Active Assets Account [redacted]

CARL J DOMINO [redacted]

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## CASH RELATED ACTIVITY

### CHECKS DEPOSITED

| Transaction Date | Settlement Date | Activity Type | Description | Comments | Inflows/(Outflows) |
|---|---|---|---|---|---|
| 3/30 | 3/30 | Check Deposit | FUNDS RECEIVED | | $20,000.00 |
| 6/12 | 6/12 | Check Deposit | FUNDS RECEIVED | | 25,000.00 |
| 8/22 | 8/22 | Check Deposit | FUNDS RECEIVED | | 20,000.00 |
| TOTAL CHECKS DEPOSITED | | | | | $65,000.00 |

### ELECTRONIC TRANSFERS (CREDITS)

| Transaction Date | Settlement Date | Activity Type | Description | Comments | Inflows/(Outflows) |
|---|---|---|---|---|---|
| 1/31 | 1/31 | Funds Received | STATE OF FLORIDA | RETIREMENT | $669.73 |
| 2/29 | 2/29 | Funds Received | STATE OF FLORIDA | RETIREMENT | 669.73 |
| 3/28 | 3/28 | Cash Transfer - Credit | FUNDS TRANSFERRED | CONFIRMATION # 2529696 FROM 507-024763 | 520.00 |
| 3/30 | 3/30 | Funds Received | STATE OF FLORIDA | RETIREMENT | 669.73 |
| 4/30 | 4/30 | Funds Received | STATE OF FLORIDA | RETIREMENT | 669.73 |
| 5/31 | 5/31 | Funds Received | STATE OF FLORIDA | RETIREMENT | 669.73 |
| 6/29 | 6/29 | Funds Received | STATE OF FLORIDA | RETIREMENT | 669.73 |
| 7/31 | 7/31 | Funds Received | STATE OF FLORIDA | RETIREMENT | 669.73 |
| 8/31 | 8/31 | Funds Received | STATE OF FLORIDA | RETIREMENT | 688.61 |
| 9/28 | 9/28 | Funds Received | STATE OF FLORIDA | RETIREMENT | 688.61 |
| 10/31 | 10/31 | Funds Received | STATE OF FLORIDA | RETIREMENT | 688.61 |
| 11/30 | 11/30 | Funds Received | STATE OF FLORIDA | RETIREMENT | 688.61 |
| 12/31 | 12/31 | Funds Received | STATE OF FLORIDA | RETIREMENT | 688.61 |
| TOTAL ELECTRONIC TRANSFERS (CREDITS) | | | | | $8,670.04 |

### OTHER DEBITS

| Transaction Date | Settlement Date | Activity Type | Description | Comments | Inflows/(Outflows) |
|---|---|---|---|---|---|
| 2/6 | 2/6 | Service Fee Reversal | ATM FEE REVERSAL | | $1.25 |
| 3/2 | 3/2 | Service Fee | STMT COPY-DEC,JAN,FEB | | (15.00) |

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## CASH RELATED ACTIVITY

### OTHER DEBITS (CONTINUED)

| Transaction Date | Settlement Date | Activity Type | Description | Comments | Inflows/(Outflows) |
|---|---|---|---|---|---|
| 3/14 | 3/14 | Account Charge | AAA ANNUAL SERVICE FEE | | (150.00) |
| 3/28 | 3/28 | Service Fee | CHK 000259 OVERLMT FEE | | (25.00) |
| 6/4 | 6/4 | Service Fee | STMT COPY-MAR,APR,MAY | | (15.00) |
| 9/5 | 9/5 | Service Fee | STMT COPY-JUN,JLY,AUG | | (15.00) |
| 12/4 | 12/4 | Service Fee | STMT COPY-SEP,OCT,NOV | | (15.00) |
| TOTAL OTHER DEBITS | | | | | $(233.75) |

**TOTAL CASH RELATED ACTIVITY** **$73,436.29**

## DEBIT CARD/CHECK ACTIVITY

### ATM/CASH ADVANCES

| Date of Activity | Date Paid | Activity Type | Location | | Credits/(Debits) |
|---|---|---|---|---|---|
| 2/4 | 2/6 | Cash Advance | CARDTRONICS CCS | LAKE PARK FL | $(401.25) |
| TOTAL ATM/CASH ADVANCES | | | | | $(401.25) |

Morgan Stanley

CLIENT STATEMENT

# 2012 Annual Review

Active Assets Account [redacted]

CARL J DOMINO [redacted]

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## DEBIT CARD/CHECK ACTIVITY

## CHECKS WRITTEN

CHECKS WITH NO CODE

| Date Written | Date Paid | Check Number | Activity Type | Payee | Expense Category | Credits/(Debits) |
|---|---|---|---|---|---|---|
| 3/28 | 4/10 | 0132 | Check | MR & MRS ARNOLD HORENSTEIN | | $(1,137.33) |
| 6/5 | 6/14 | 0133 | Check | SHARON DOMINO | | (8,000.00) |
| 7/6 | 7/11 | 0134 | Check | SHARON DOMINO | | (9,000.00) |
| 7/26 | 8/1 | 0136 | Check | LIGHTHOUSE SUZUKI STRINGS | | (887.00) |
| 7/26 | 8/1 | 0137 | Check | ESTHER CENTER | | (1,600.00) |
| 7/27 | 7/31 | 0138 | Check | SHARON DOMINO | | (8,000.00) |
| 8/21 | 8/24 | 0139 | Check | SHARON DOMINO | | (8,500.00) |
| 9/2 | 9/10 | 0140 | Check | DUREN ROOFING | | (1,888.37) |
| 9/18 | 9/19 | 0141 | Check | SHARON DOMINO | | (12,000.00) |
| 9/8 | 9/19 | 0142 | Check | SANTA MARIA COUNCIL 4999 | | (40.00) |
| 9/3 | 9/18 | 0143 | Check | FL ST UNIV | | (5.00) |
| 9/18 | 9/28 | 0144 | Check | HBS CLASS OF 1972 | | (900.00) |
| 10/22 | 10/25 | 0146 | Check | SHARON DOMINO | | (6,000.00) |
| 2/13 | 2/15 | 0217 | Check | SHARON DOMINO | | (13,000.00) |
| 1/10 | 1/18 | 0252 | Check | JOHNNY BURRIS | | (68.50) |
| 1/17 | 1/20 | 0256 | Check | THE BENJAMIN SCHOOL | | (2,000.00) |
| 1/27 | 1/31 | 0257 | Check | SHARON DOMINO | | (11,000.00) |
| 1/18 | 3/28 | 0259 | Check | CLAUDE LESSARD | | (520.00) |
| 3/11 | 3/26 | 0260 | Check | SHARON DOMINO | | (11,000.00) |
| 5/2 | 5/3 | 0261 | Check | DOMINO | | (12,000.00) |
| 4/27 | 5/1 | 0262 | Check | DAVE RIZZO | | (2,885.00) |
| TOTAL CHECKS WITH NO CODE | | | | | | $(110,431.20) |

**TOTAL CHECKS WRITTEN** **$(110,431.20)**

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| | |
|---|---|
| **TOTAL DEBIT CARD/CHECK ACTIVITY** | **$(110,832.45)** |

Active Assets Account [redacted]

CARL J DOMINO [redacted]

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# Messages

**Important Information for Morgan Stanley Debit Card Holders**
If you are a Morgan Stanley Debit Cardholder, your Debit Card Rewards Point ending balance indicated on this statement in the Rewards Summary does not reflect points that were redeemed or converted between December 20 and December 31, 2012. As a reminder, the Morgan Stanley Rewards Program ended on December 31, 2012, and accordingly, any points outstanding are no longer available. In addition, the Rewards Summary will no longer appear on your monthly statement. If you have questions regarding your prior point redemption or conversion activity, please call the number on the back of your Debit Card and say "Rewards".

**Consolidated 1099 Tax Statement and 1099R Mailing Date Reminder**
As a reminder, the Forms 1099 and 1099R filing deadline for financial services firms is February 15th. This year's Forms 1099 and 1099R mailing will commence on or about February 13th. If you are registered with Morgan Stanley Online, you will be able to log on and view your Forms 1099 and 1099R once they are available. If you are registered on Morgan Stanley Online and enrolled in eDelivery, you will be notified when they are available for each of your accounts. If you are not enrolled in eDelivery and would like to, please visit http://www.morganstanley.com/online/edelivery and enroll or contact your Financial Advisor.

**FINRA BrokerCheck**
FINRA has established the public disclosure program, known as BrokerCheck, to provide certain information regarding the disciplinary history of FINRA members and their associated persons. The BrokerCheck Hotline Number is 1-800-289-9999. The FINRA web site address is www.finra.org. An investor brochure that includes information describing FINRA BrokerCheck may be obtained from FINRA.

# Expanded Disclosures

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These expanded Disclosures, which are applicable to the enclosed Statement(s), are provided with your first statement and thereafter on quarter-end months. On non-quarter end months, you will receive the standard Disclosures and can view the expanded Disclosures 1) on your prior quarter-end (or first) statement, 2) by logging in to our site at www.morganstanley.com/online and selecting Account Documents or, 3) by calling 800-869-3326.

**Questions?**

Questions regarding your account may be directed to your Financial Advisor or the Branch Manager for the branch office where you maintain your account. If you require further assistance, call Client Service at (800) 869-3326 or the Legal and Compliance Division at (914) 225-5870.

**Errors and Inquiries**

It is your responsibility to review your statement promptly and to seek immediate clarification about entries that you do not understand or believe were made in error by contacting the Branch Manager of the office where you maintain your account. Oral communications regarding any inaccuracy or discrepancy in this statement should be re-confirmed in writing to further protect your rights, including rights under the Securities Investor Protection Act (SIPA). Except as provided in your account documentation, your statement will be deemed correct unless we receive your written inquiry of a suspected error within 10 calendar days from the day you received your statement. See your account documentation for special rules regarding your rights and responsibilities with respect to erroneous electronic fund transfers, including a description of the transfers covered.

**Account Valuation**

Account values are computed by adding (1) the market value of all priced positions and (2) market values provided by pricing services and/or outside custodians, as applicable for other positions, and by adding any credit or subtracting any debit to your closing cash, money market funds and/or deposit balance. Cash, Deposits and Money Market Funds are displayed on a settlement date basis, and other positions are displayed in your account on a trade date basis. The value of fixed income positions in summary displays include accrued interest in the totals. In the "Holdings" section, fixed income market value and accrued interest are also displayed in separate columns. Accrued interest is the interest earned but not yet paid on the bond since its last interest payment. In most cases, it is calculated from the date of the last coupon payment (or "dated date") through the closing date of the statement, based on information provided by the issuer. Your closing cash, money market fund and/or deposit balance represents the total of all cash, money market funds and/or deposits, and reflects the net month end balance. Global Currency Deposits are reflected in U.S. dollars as of the statement end date. The Annual Percentage Yield ("APY") for deposits represents the applicable rate in effect for your deposits at the statement ending date. This APY may be different than the APY that was in effect during the statement period. For current Bank Deposit or Money Market Fund yields, go to *http://www.morganstanleyindividual.com/AccountOptions/ActiveAssets/InvestmentFeatures*

**Additional IRA Information**

IRA contributions are subject to IRS eligibility rules and regulations. The "Max. Individual Contributions Allowed (by SSN)" reflects the annual limit on contributions that you as an individual can make to IRAs (Traditional, Roth, SEP, and SARSEP) under the Internal Revenue Code. The limit applies on a per person basis, not per account. The Contributions information included in this statement reflects contribution information for a particular account, without reference to any other IRA. You cannot make an individual contribution to a Traditional IRA for the year in which you attain age 70 1/2 or any later year. (Other special rule may apply, for example, in conversions or recharacterizations of Traditional to Roth/Roth to Traditional IRAs). You should check with your tax advisor to verify how much you can contribute, whether or not the contribution will be tax deductible, and whether other special rules may apply. The categorization of any amounts in this statement as Individual Deductible or Individual Non-Deductible is based upon information provided by you, and is included for your convenience. Please contact your Financial Advisor if this information is incorrect. The information included in this statement is not intended to constitute tax, legal or accounting advice. The account value used for your Required Minimum Distribution calculation is based on your account's prior December 31st Account Value including accrued interest.

**Availability of Free Credit Balances and Financial Statements**

Under the customer protection rules of the SEC [17 CFR §240.15c3-2 & -3], we may use funds arising out of free credit balances carried for customer accounts, provided that these funds are payable to customers on demand. A financial statement of this organization is available for your personal inspection at its offices, or a copy will be mailed to you upon your written request.

**Certain Assets Not Held At Morgan Stanley Smith Barney LLC**

You may purchase certain assets through us that may be held at a financial institution other than Morgan Stanley Smith Barney LLC. Assets not held at Morgan Stanley Smith Barney LLC may not be covered by SIPC protection. We may include information about certain of these assets on this statement solely as a service to you and are not responsible for information (including valuation) derived by you or another external source. Generally, any financial institution that holds securities is responsible for providing taxable year-end reporting (1099s) and separate periodic statements, which may vary from our information due to different tax reporting periods. In the case of networked mutual funds, Morgan Stanley Smith Barney LLC performs all year-end tax reporting (1099s). Under certain circumstances, such as IRA accounts, we perform all tax reporting.

**Gain/(Loss) Information**

Gain/(Loss) is provided for informational purposes only. It is not a substitute for Internal Revenue Service (IRS) Form 1099 (on which we report cost basis for covered securities) or any other IRS tax form. It is not intended to be used and should not be used for tax preparation. Unrealized Gain/(Loss) values provided on this statement are estimates. We recommend that you contact your own independent legal or tax advisor to determine the appropriate use of the gain/( loss) information provided on this statement. Gain/(Loss) information is calculated based upon general methodologies used for calculating Gain/(loss). The calculations do not account for each individual client's particular circumstances. We may not adjust basis for all events that you are required to take into account for tax reporting purposes and you may need to make additional adjustments to properly complete your tax returns. For accounts with Choice Select pricing, the commissions paid on your eligible equity and option purchases and sales are applied to the Total Cost on a monthly basis. With respect to estimated gains and losses for listed equity options, we have taken into account option premiums paid or received. With respect to multiple purchases and/or sales, Gain/(Loss) is calculated using an average price for all like positions. Unrealized and Realized Gain/(Loss) calculations may change due to adjustments to cost basis occurring after the date of this statement. We are not responsible for the accuracy of any gain and loss calculations based upon information provided by you or another financial institution. You are responsible for ensuring the accuracy of such information. We report the sale of securities on a First-in First-out (FIFO) basis unless a client notifies us of the specific securities to be

CONTINUED

# Expanded Disclosures (CONTINUED)



sold. Clients wishing to use specific identification when selling securities must provide that information to us at the time of the sale.

**Investment Objectives**

For your reference, we have included below an explanation of the investment objective alternatives applicable to your account(s): Income - for investors seeking regular income with low to moderate risk to principal; Capital Appreciation - for investors seeking capital appreciation with moderate to high risk to principal; Aggressive Income - for investors seeking higher returns either as growth or as income with greater risk to principal; Speculation - for investors seeking high profits or quick returns with considerable possibility of losing most or all of their investment

**Listed Options**

Information with respect to commissions and other charges related to the execution of options transactions has been included in confirmations of such transactions previously furnished to you and such information will be made available to you promptly at your request. Promptly advise us of any material change in your investment objectives or financial situation.

**Margin Privileges** (not available for certain accounts such as IRAs or retirement accounts)

If you have margin privileges, you may borrow money from us in exchange for pledging assets in your accounts as collateral for any outstanding margin loan. The amount you may borrow is based on the value of the eligible securities in your margin accounts. If a security has eligible shares the number of shares pledged as collateral will be indicated below the position.

**Important Information If you are a Margin Customer**

If you have a margin account with us, as permitted by law we may use certain securities in your account for, among other things, settling short sales or lending the securities for short sales, and as a result may receive compensation in connection therewith.

**Margin Interest Charges**

We calculate interest charges on margin loans as follows:

(1) Multiply the applicable margin interest rate by the daily close of business net settled debit balance, and

(2) Divide by 360 (days). Margin interest accrues daily throughout the month and is added to your debit balance at month-end. The month-end interest charge is the sum of the daily accrued interest calculations for the month. We add the accrued interest to your debit balance and start a new calculation each time the applicable interest rate changes and at the close of every statement month. For current margin loan interest rates, go to *https://www.morganstanleyclientserv.com/Secure/AccountServicing/MIRates.aspx*

**Information regarding Special Memorandum Account**

If you have a Margin Account, this is a combined statement of your Margin Account and Special Memorandum Account maintained for you under Section 220.6 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the Special Memorandum Account as required by Regulation T is available for your inspection at your request.

**Money Market Pricing**

An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency. Although money market funds seek to preserve the value of your investment at $1.00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall. Moreover, in some circumstances money market funds may be forced to cease operations when the value of a fund drops below $1.00 per share. In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders. This liquidation process could take up to one month or more. During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or ATM debits from your account.

**Notice Regarding Investment Advisor Research**

Morgan Stanley's Consulting Group Investment Advisor Research department conducts research on various mutual funds and exchange-traded funds for clients holding those funds in certain investment advisory programs. If you have instead invested in any of these funds in another type of account, such as a brokerage account, you will not receive the same research materials and status updates on the funds as we provide to investment advisory clients (including instructions on selling fund shares).

**Pricing of Securities**

The prices of securities displayed on this statement are derived from various sources, and do not necessarily represent the prices at which those securities could have been bought or sold. Although we attempt to use reliable sources of information, we can offer no assurance as to their accuracy. For exchange traded securities, or those trading continually in an active marketplace, the price reflects the closing price as of the last business day of your statement period; and generally bid prices for securities that are neither exchange traded nor trading continually in an active marketplace. The prices of securities not actively traded may not be available, and are indicated by N/A (not available). The markets for some fixed income and preferred securities may not be liquid, and prices may be approximations or estimates. For these and for securities that trade less frequently, we rely on outside pricing services and / or computerized pricing models, which cannot always give us actual market values. Prices may be based on: recent transactions or bids, if available; independent quotation services that use computerized valuation formulae to calculate prices based on institutional quantities; or estimates. As a result, yields to call and/or maturity may be estimates as well. Prices for non-institutional quantities of some fixed income securities are likely to be different than institutional prices. Some annuity values provided by outside sponsors are estimates. The amounts on this statement for limited partnerships are typically obtained from a third party or from the general partners unless we have obtained other information such as an independent appraisal. Since many partnership valuations are provided only annually, they do not always represent current values. Furthermore some securities, such as limited partnerships and non-traded REITs are illiquid and have no public markets, so the amounts shown on this statement may not equal the amounts you would receive if you sold or tendered your investment. The value of mutual fund shares is determined by multiplying the net asset value (NAV) by the number of shares or units held, as reported to us by the correspondent custodian. If we cannot obtain a price or estimate, N/A appears. Speak to your Financial Advisor to obtain current information concerning the prices of positions on your statements.

**Important Information About Auction Rate Securities**

The following information applies only to holders of Auction Rate Securities. Depending on your current holdings, this message may/may not be applicable to you. Due to market conditions, certain Auction Rate Securities are experiencing no or limited liquidity. Therefore, the price(s) for any Auction Rate Securities shown on this statement may not reflect the price(s) you would receive upon a sale at auction or in a secondary market transaction, and are not an indication of any offer to purchase at such price. There can be no assurance that a successful auction will occur or that a secondary market exists or will develop for a particular security. The prices of any Auction Rate Securities on your statement in most cases reflect par value, but may be derived from various sources. These prices may differ from: prices provided to us or

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# Expanded Disclosures (CONTINUED)

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our affiliates by outside pricing services; our affiliates' own internal bookkeeping valuations; prices of transactions executed any secondary market that exists or may develop; and/or the prices at which issuer repurchases or redemptions may occur.

**TLGP Debt: Temporary Liquidity Guarantee Program Debt**

Bonds issued under the FDIC's Temporary Liquidity Guarantee Program (TLGP) are backed by the full faith and credit of the United States through the earlier of the maturity date of the debt or June 30, 2012 for securities issued prior to April 1, 2009, and the earlier of the maturity date of the debt or December 31, 2012 for securities issued on or after April 1, 2009. For more information visit www.fdic.gov/tlgp. Guarantees do not eliminate market risk.

**Special Considerations Regarding Structured Products**

Structured Products are complex products and may be subject to special risks, which may include, but are not limited to: loss of initial investment; issuer credit risk and price volatility resulting from any actual or anticipated changes to issuer's and/or guarantor's credit ratings or credit spreads; limited or no appreciation and limits on participation in any appreciation of underlying asset(s); risks associated with the underlying reference asset(s); no periodic payments; call prior to maturity; early redemption fees for market linked deposits; lower interest rates and/or yield compared to conventional debt with comparable maturity; unique tax implications; limited or no secondary market; and conflicts of interest due to affiliation, compensation or other factors which could adversely affect market value or payout to investors. Investors also should consider the concentration risk of owning the related security and their total exposure to any underlying asset. Structured Products may not perform in a manner consistent with the statement product category where they appear and therefore may not satisfy portfolio asset allocation needs for that category.

Accrued interest, estimated annual income and estimated yield for structured products with a contingent income feature, including Range Accrual Notes and Contingent Income Notes, assume optimal performance of the underlying asset(s) and payment in full of all contingent interest. However, contingent interest is only paid if the specified accrual conditions are met during the relevant observation period. Actual accrued interest, annual income and yield will be dependent upon the performance of the underlying asset(s) and may be significantly lower than the estimates shown. For more information about the risks specific to your Structured Products, you should contact your Financial Advisor.

**Security Measures**

This statement features several embedded security elements to safeguard its authenticity. One is a unique security mark--a blue rectangle printed in heat-sensitive ink on the back side of every page. When exposed to warmth, the blue rectangle will disappear, and then reappear.

**SIPC Protection**

Morgan Stanley Smith Barney LLC is a member of SIPC, which protects securities of its customers up to $500,000 (including $250,000 for claims for cash). An explanatory brochure is available upon request or at www.sipc.org. Losses due to market fluctuation are not protected by SIPC and assets not held at Morgan Stanley Smith Barney LLC may not be covered by SIPC protection. To obtain information about SIPC, including the SIPC Brochure, contact SIPC at 1-202-371-8300 or visit www.sipc.org.

**Tax Reporting**

Under Federal Income Tax law, Morgan Stanley Smith Barney LLC is required to report the gross proceeds of sales transactions (including entering into short sales) to you on Form 1099-B by February 15 of the year following the calendar year of the transaction for reportable (i.e. non-retirement) accounts. For the sale of certain securities acquired on or after January 1, 2011, we are required to report additional transaction information on Form 1099-B .The information reported on your Form 1099-B for the calendar year should be exclusively relied upon for the purpose of filing your tax return for the year. Under U.S. Internal Revenue Service regulations, if you have not provided us with a certification of either U.S. or foreign status on an appropriate Form W-9 or W-8, your accounts may be subject to either 28% back-up withholding or 30% nonresident alien withholding, as applicable, on payments made to your accounts.

**Total Income** (This Period/This Year)

Total income, as used in the income summaries, represents dividends and/or interest on securities we receive on your behalf and credit to your account(s) during the calendar year. We report dividend distributions and taxable bond interest credited to your account to the Internal Revenue Service. The totals we report may differ from those indicated as "This Year" figures on the last statement for the calendar year. In the case of Real Estate Investment Trusts (REITs), Master Limited Partnerships, Regulated Investment Companies and Unit Investment Trusts, some sponsors may reclassify the distribution to a different tax type for year-end reporting (1099).

**Transaction Dates and Transaction Conditions**

Transactions display trade date and settlement date. Securities transactions are included on this statement on trade date basis (excluding BDPs and MMFs). Trades that have not settled as of statement month end will also be displayed in the "Unsettled Purchase/Sales Activity" section. Upon written request, we will give you the date and time of a transaction and the name of the other party to a transaction. We and/or our affiliates may accept benefits that constitute payment for order flow. Details regarding these benefits and the source and amount of any other remuneration received or to be received by us in connection with any transaction will be furnished upon written request.

**U.S. Treasury Circular 230 Disclosure**

Morgan Stanley Smith Barney LLC does not render advice on tax and tax accounting matters to clients. The information provided herein was not intended or written to be used, and it cannot be used, by any taxpayer, for the purpose of avoiding penalties that may be imposed on the taxpayer under US federal tax laws

Revised 12/2012

Morgan Stanley

**CLIENT STATEMENT** | For the Period December 1-31, 2012

**SHARON E DOMINO**
[redacted]

INTERESTED PARTY COPY

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MICHAEL J. DIXON
CARL DOMINO, INC.
251 ROYAL PALM WAY SUITE 601
PALM BEACH FL 33480-4339

| | |
|---|---|
| TOTAL VALUE LAST PERIOD (as of 11/30/12) | $51,148.16 |
| NET CONTRIBUTIONS/WITHDRAWALS | 77.37 |
| CHANGE IN VALUE | 538.50 |
| **TOTAL VALUE OF YOUR ACCOUNT** (as of 12/31/12) (Total Values include accrued interest) | **$51,764.03** |

**Your Branch**

777 S FLAGLER DRIVE, SUITE 900
WEST PALM BEACH, FL 33401-6125
Telephone: 561-838-8900
Alt. Phone: 800-827-6329
Fax: 561-838-8970

**Your Financial Advisor**

**Seraphim Rine**
Senior Vice President
Sam.Rine@morganstanley.com
561 838-8903

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**For the Account of:**
SHARON E DOMINO
[redacted]



*Morgan Stanley Smith Barney LLC. Member SIPC.*

Morgan Stanley

**CLIENT STATEMENT** | For the Period December 1-31, 2012

# Account Summary

**Active Assets Account**
[redacted]

**SHARON E DOMINO**
[redacted]

INTERESTED PARTY COPY

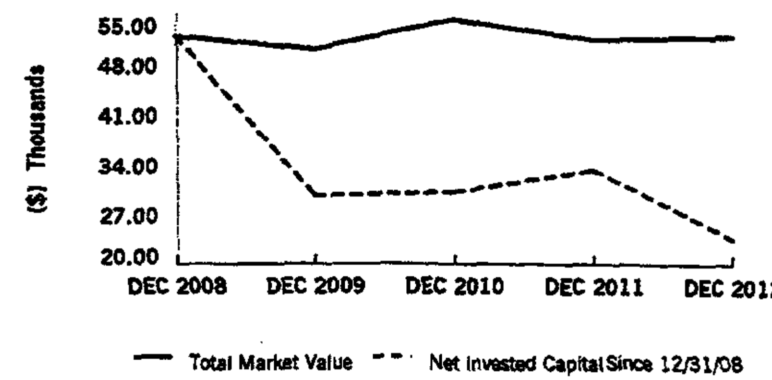
**Brokerage Account**
**Householding Anniversary Date:** 10/25/02
**Investment Objectives†:** Capital Appreciation, Income, Aggressive Income, Speculation

## CHANGE IN VALUE OF YOUR ACCOUNT

| | This Period (12/1/12-12/31/12) | This Year (1/1/12-12/31/12) |
|---|---|---|
| **Total Beginning Value**(includes accrued interest) | **$51,148.16** | **$51,289.93** |
| Contributions | 17,000.00 | 145,629.69 |
| Withdrawals | (16,922.63) | (155,178.29) |
| Security Transfers | — | — |
| Net Contributions/Withdrawals | **$77.37** | **$(9,548.60)** |
| Change in Value | **538.50** | **10,022.70** |
| **Total Ending Value**(includes accrued interest) | **$51,764.03** | **$51,764.03** |

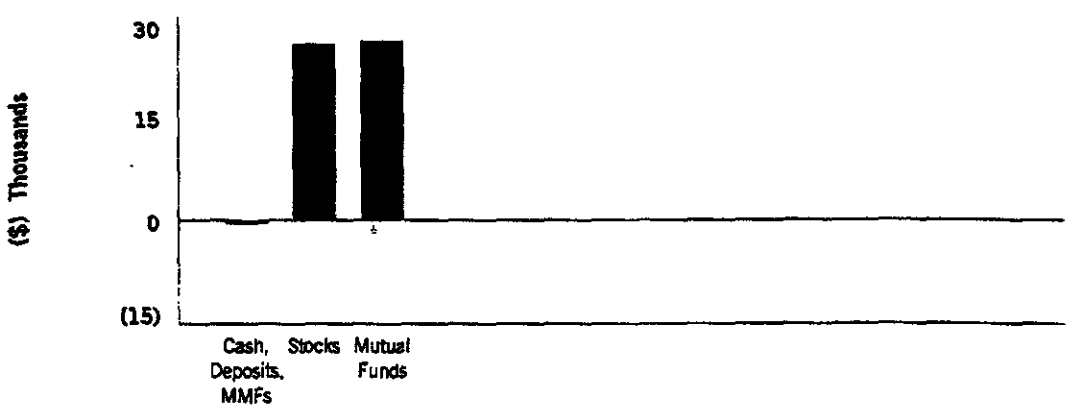
## CHANGE IN VALUE OVER TIME

This graph compares the total value of your account to the net amount invested. Net investment is the total amount invested minus the total amount withdrawn.




*This exhibit may not include transactions for investments in Annuities or where Morgan Stanley Smith Barney LLC is not the custodian. This may affect the reported Net Invested Capital. If we are not the custodian there may also be a delay in the reporting of your Market Value. Please speak to your Financial Advisor if you have any questions. † See the Expanded Disclosures for more information about investment objectives. Please review for accuracy and inform us if your investment objectives change.*

## ALLOCATION OF HOLDINGS




| | Market Value | Percentage % |
|---|---|---|
| Cash, Deposits, MMFs (Debit) | $(467.21) | -0.9 |
| Stocks | 25,912.85 | 50.1 |
| Mutual Funds | 26,318.39 | 50.8 |
| **TOTAL VALUE** | **$51,764.03** | **100.0%** |

*This allocation represents holdings on a trade date basis, and projected settled Cash/Deposits/MMF balances; and may differ from Asset Allocation reports you might receive. These classifications are not intended to serve as a suitability analysis.*

Morgan Stanley

**CLIENT STATEMENT** | For the Period December 1-31, 2012

Active Assets Account [redacted] SHARON E DOMINO [redacted]

# Account Summary

INTERESTED PARTY COPY

## BALANCE SHEET

| | Last Period (as of 11/30/12) | This Period (as of 12/31/12) |
|---|---|---|
| **TOTAL VALUE** (incl. accr. int.) | **$51,148.16** | **$51,764.03** |
| **ASSETS** | **$51,692.75** | **$52,231.24** |
| Stocks | 25,803.32 | 25,912.85 |
| Mutual Funds | 25,889.43 | 26,318.39 |
| **LIABILITIES** (Outstanding Balance) | **$(544.59)** | **$(467.21)** |
| Cash, Deposits, MMFs (Debit) | (544.59) | (467.21) |

## CASH FLOW

| | This Period (12/1/12-12/31/12) | This Year (1/1/12-12/31/12) |
|---|---|---|
| **OPENING CASH, DEPOSITS, MMFs** | **$(544.59)** | **$8,811.37** |
| **INVESTMENT RELATED ACTIVITY** | **$0.01** | **$270.02** |
| Dividend Reinvestments | (1,628.48) | (1,628.48) |
| Income | 1,628.49 | 1,898.50 |
| **CASH RELATED ACTIVITY** | **$16,988.49** | **$135,899.61** |
| Checks Deposited | 17,000.00 | 145,629.45 |
| Electronic Transfers-Credits | — | 0.24 |
| Electronic Transfers-Debits | — | (9,555.00) |
| Other Debits | (7.00) | (140.55) |
| Interest Charged | (4.51) | (34.53) |
| **DEBIT CARD/CHECK ACTIVITY** | **$(16,911.12)** | **$(145,448.21)** |
| Debit Card | (999.23) | (13,350.77) |
| ATM/Cash Advances | (1,608.00) | (16,590.50) |
| Checks Written | (5,400.95) | (46,997.84) |
| Automated Payments | (8,902.94) | (68,509.10) |
| **CLOSING CASH, DEPOSITS, MMFs** | **$(467.21)** | **$(467.21)** |

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Morgan Stanley

# Account Summary

Active Assets Account [redacted]

SHARON E DOMINO [redacted]

INTERESTED PARTY COPY

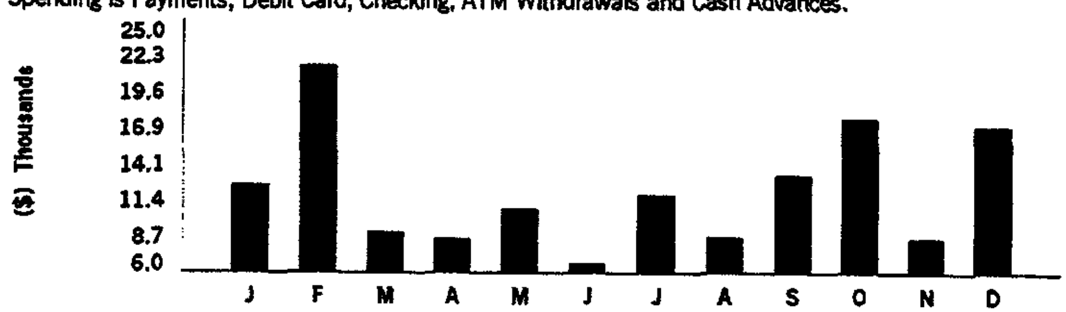
## INCOME SUMMARY

| | This Period (12/1/12-12/31/12) | This Year (1/1/12-12/31/12) |
|---|---|---|
| **TOTAL INCOME** | **$1,628.49** | **$1,898.50** |
| **TAXABLE INCOME** | **1,628.49** | **1,898.50** |
| Qualified Dividends | — | 269.80 |
| Other Dividends | 497.60 | 497.60 |
| Long Term Capital Gains Distributions | 1,130.88 | 1,130.88 |
| Interest | 0.01 | 0.22 |
| Other Income | — | — |
| **TAX-EXEMPT INCOME** | — | — |
| Dividends | — | — |
| Interest | — | — |
| Other Income | — | — |

*Taxable and tax exempt income classifications are based on the characteristics of the underlying securities and not the taxable status of the account.*

## TOTAL SPENDING YEAR TO DATE

Spending is Payments, Debit Card, Checking, ATM Withdrawals and Cash Advances.




## GAIN/(LOSS) SUMMARY

| This Period (12/1/12-12/31/12) | Short-Term | Long-Term |
|---|---|---|
| NET REALIZED | — | — |
| Gain | — | — |
| (Loss) | — | — |
| NET UNREALIZED (12/31/12) | **$(11.00)** | **$(15,597.00)** |
| Gain | — | 7,355.76 |
| (Loss) | (11.00) | (22,952.76) |
| **This Year (1/1/12-12/31/12)** | **Short-Term** | **Long-Term** |
| NET REALIZED | — | — |
| Gain | — | — |
| (Loss) | — | — |

*Gain/(Loss) and income information are provided for informational purposes only and should not be used for tax preparation. Gain/(Loss) summary information may change due to basis adjustments.*
*Please refer to the Gain/(Loss) Information in the Expanded Disclosures for additional information.*

## REWARDS SUMMARY

| | Reward Points |
|---|---|
| **BEGINNING BALANCE** | **701** |
| TOTAL POINTS EARNED | — |
| Debit Card Spend | — |
| Other Point Activity | — |
| Bonus Points | — |
| Other Adjustments | — |
| TOTAL POINTS REDEEMED | — |
| **ENDING BALANCE (as of 12/20/2012)** | **701** |

Security Mark at Right

# Holdings

**Active Assets Account** [redacted] **SHARON E DOMINO** [redacted]

INTERESTED PARTY COPY

*This section reflects the impact of positions purchased/sold on a trade date basis, and will include positions purchased and omit positions sold in the current month. Please see the "Unsettled Purchases/Sales Activity" section for more information.*
*The "Market Value" and "Unrealized Gain/(Loss)" figures shown are representative values as of the last business day of the statement period indicated, which may not reflect the value that could actually be obtained in the market. See "Pricing of Securities" in the Expanded Disclosures. Fixed Income securities are sorted by maturity or pre-refunding date, and alphabetically within date.*
*Estimated annual income and estimated yield for certain securities can include return of principal or capital gains which could overstate such estimates. Estimated yield and estimated annual income are estimates and the actual income or yield may be lower or higher than the estimates. Estimated yield reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate.*
*Structured products appear in various statement product categories and are identified as "Structured Products" in the Security Description column. Estimated accrued interest, estimated annual income and estimated yield for structured products with a contingent income feature (such as Range Accrual Notes or Contingent Income Notes) assume specified accrual conditions are met during the relevant observation period and payment in full of all contingent interest. If you hold structured products, please see "Special Considerations Regarding Structured Products" in the Disclosures.*
*New Treasury regulations require that we report on Form 1099-B after the close of the tax year your adjusted cost basis and classify the gain or loss as either long-term or short-term on the sale of covered securities acquired on or after January 1, 2011. These regulations also require that we make basis adjustments due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. Cost basis is reflected on monthly statements for informational purposes only and should not be used in the preparation of your income tax returns. Please refer to the Expanded Disclosures for additional information.*

## CASH, DEPOSITS AND MONEY MARKET FUNDS

*Cash, Deposits and Money Market Funds are generally displayed on a settlement date basis. However, Global Currency Deposits may include both settled and unsettled positions. Estimated Annual Income, Accrued Interest and APY% will only be displayed for fully settled positions. In the event, all or a portion of a Global Currency Deposit position is unsettled as of month end, this data will not be available.*

| Description | Value | Estimated Annual Income | 7-Day Current Yield % | Annual Percentage Yield % |
|---|---|---|---|---|
| MARGIN LOAN | $(467.21) | | | |

| | Percentage of Assets % | Market Value | Estimated Annual Income / Accrued Interest |
|---|---|---|---|
| CASH, DEPOSITS AND MONEY MARKET FUNDS | (0.9)% | $(467.21) | $0.00<br>$0.00 |
| TOTAL CASH, DEPOSITS, MMFS | | $0.00 | |
| TOTAL CASH, DEPOSITS, MMFS (DEBIT) | | $(467.21) | |

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# Holdings

**Active Assets Account** [redacted] **SHARON E DOMINO** [redacted]

INTERESTED PARTY COPY

## STOCKS

### COMMON STOCKS

*Morgan Stanley & Co. LLC (Morgan Stanley), Citi Investment Research & Analysis (CIRA), and Standard & Poor's equity research ratings may be shown for certain securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the applicable research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. Where a particular company is covered by both Morgan Stanley and CIRA, you can and should view both research reports. CIRA's equity research ratings are (1) Buy, (2) Neutral and (3) Sell. For ease of comparison, Morgan Stanley and Standard & Poor's equity research ratings have been normalized to a 1 (Buy), 2 (Hold), and 3 (Sell). Please refer to the quarter month-end statement (or your first statement, if you have not yet received a statement at the quarter-end) for a summary guide describing Morgan Stanley, CIRA and Standard & Poor's ratings. Morgan Stanley Smith Barney LLC does not take responsibility for, and does not guarantee the accuracy, completeness, or timeliness of research prepared for Standard & Poor's.*

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| DISCOVER FINCL SVCS (DFS) | 6/30/99 | 129.832 | $16.226 | $2,106.66 | $5,005.02 | $2,898.36 LT | | |
| | 6/30/00 | 113.354 | 28.155 | 3,191.49 | 4,369.80 | 1,178.31 LT | | |
| | 6/29/01 | 143.814 | 21.045 | 3,026.51 | 5,544.03 | 2,517.52 LT | | |
| | **Total** | 387.000 | | 8,324.66 | **14,918.85** | 6,594.19 LT | 216.72 | 1.45 |
| *Share Price: $38.550; Rating: Morgan Stanley: 2, Citigroup: 2, S&P: 2; Next Dividend Payable 01/17/13; 387 Held On Margin* | | | | | | | | |
| MORGAN STANLEY (MS) | 6/30/99 | 60.000 | 41.027 | 2,461.65 | 1,147.20 | (1,314.45) LT 2 | | |
| | 6/30/00 | 227.000 | 71.191 | 16,160.26 | 4,340.24 | (11,820.02) LT 2 | | |
| | 6/29/01 | 288.000 | 53.211 | 15,324.85 | 5,506.56 | (9,818.29) LT 2 | | |
| | **Total** | 575.000 | | 33,946.76 | **10,994.00** | (22,952.76) LT | 115.00 | 1.04 |
| *Share Price: $19.120; Rating: Citigroup: 2, S&P: 1; Next Dividend Payable 02/2013; 575 Held On Margin* | | | | | | | | |

| | Percentage of Assets % | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income / Accrued Interest | Yield % |
|---|---|---|---|---|---|---|
| STOCKS | 50.1% | $42,271.42 | $25,912.85 | $(16,358.57) LT | $331.72<br>$0.00 | 1.28% |

## MUTUAL FUNDS

### OTHER MUTUAL FUNDS

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| COLUMBIA SELIGMAN COMM&INFO A (SLMCX) | — | 481.090 | — | Please Provide | $19,796.85 | N/A | | |
| | Purchases | 481.090 | | 0.00 | 19,796.85 | | | |
| Long Term Reinvestments | | 119.175 | | 4,142.48 | 4,904.05 | 761.57 LT | | |
| Short Term Reinvestments | | 39.307 | | 1,628.48 | 1,617.48 | (11.00) ST | | |
| | **Total** | 639.572 | | 5,770.96 | **26,318.39** | 761.57 LT<br>(11.00) ST | — | — |

*Share Price: $41.150; Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest*

Morgan Stanley

# Holdings

**Active Assets Account** [redacted]

**SHARON E DOMINO** [redacted]

INTERESTED PARTY COPY

| | Percentage of Assets % | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income Accrued Interest | Yield % |
|---|---|---|---|---|---|---|
| MUTUAL FUNDS | 50.8% | $5,770.96 | $26,318.39 | $761.57 LT<br>$(11.00) ST | $0.00<br>$0.00 | — |

*Transactions in mutual fund positions held directly at the Fund Company are not included in the total above and are not reflected on the Summary Page.*
*For more information about the pricing of Money Market Funds, please see the Expanded Disclosures.*

| | Percentage of Assets % | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income Accrued Interest | Yield % |
|---|---|---|---|---|---|---|
| TOTAL MARKET VALUE | 100.0% | $48,042.38 | $51,764.03 | $(15,597.00) LT<br>$(11.00) ST | $331.72<br>$0.00 | 0.64% |
| TOTAL VALUE (includes accrued interest) | | | $51,764.03 | | | |

*2 - You, or a third party, have provided the transaction details for this position.*
*Unrealized Gain/(Loss) totals only reflect positions that have cost basis and/or market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' are not included.*

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