UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED
2013 AUG 13 PM 3:03
MC

Theodore E. Rokita
(Full Name)

(Daytime Telephone)

(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representative — State: IN, District: CD4	☐ Officer Or Employee	Employing Office
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

		Yes	No
I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	☑	☐
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	☐	☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	☑	☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	☑	☐
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	☑	☐
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	☐	☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $350 from one source)? If yes, complete and attach Schedule VII.	☑	☐
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	☐	☑
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	☐	☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

		Yes	No
IPO--	Did you purchase any shares that were allocated as a part of an Initial Public Offering?	☐	☑
Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	☐	☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	☐	☑

SCHEDULE I - EARNED INCOME

Name Theodore E. Rokita

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Somerset CPA's P.C.	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Theodore E. Rokita

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than $200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds. For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling $5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left. For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.	BLOCK B **Year-End Value of Asset** Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold during the reporting year and is included only because it generated income, the value should be "None." * This column is for assets held solely by your spouse or dependent child.	BLOCK C **Type of Income** Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	BLOCK D **Amount of Income** For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated. * This column is for income generated by assets held solely by your spouse or dependent child.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	RENTAL CONDO - MASS AVE INDIANAPOLIS, IN	$100,001 - $250,000	RENT	$5,001 - $15,000	
JT	RENTAL HOUSE - 54TH STREET INDIANAPOLIS, IN	$100,001 - $250,000	RENT	$5,001 - $15,000	
SP	RENTAL TOWNHOUSE - INDIANAPOLIS, IN	$100,001 - $250,000	RENT	$5,001 - $15,000	
	INVST - CASH ACCOUNT	$15,001 - $50,000	INTEREST	$1 - $200	
	INVST - DAVIS NEW YORK VENTURE FUND	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	INVST - FIRST EAGLE FDS INC GOLD FD CL C	$1,001 - $15,000	None	NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Theodore E. Rokita

	INVST - PEMBINA PIPELINE CORP	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	IRA - CALAMOS INTERNATIONAL GROWTH FUND	$1,001 - $15,000	TAX-DEFERRED	NONE	
	IRA - DREYFUS ADVANTAGE FDS OPPORTUNISTIC SMALL CAP FD	$1,001 - $15,000	TAX-DEFERRED	NONE	
	IRA - FIRST EAGLE GLOBAL FUND	$1,001 - $15,000	TAX-DEFERRED	NONE	
	IRA - IVY ASSET STRATEGY FUND	$1,001 - $15,000	TAX-DEFERRED	NONE	
	IRA - JANUS PERKINS MID CAP VALUE FUND	$1,001 - $15,000	TAX-DEFERRED	NONE	
	IRA - MANAGERS AMG FUNDS YACKTMAN FUND	$1,001 - $15,000	TAX-DEFERRED	NONE	
	IRA - NUVEEN TRADEWINDS VALUE FUND	$1,001 - $15,000	TAX-DEFERRED	NONE	
	IRA - OPPENHEIMER INTERNATIONAL	$1,001 - $15,000	TAX-DEFERRED	NONE	
	IRA - PERMANENT PORTFOLIO FUND	$1,001 - $15,000	TAX-DEFERRED	NONE	
	ROTH IRA - FIRST EAGLE GLOBAL	$1,001 - $15,000	TAX-DEFERRED	NONE	
	STATE OF INDIANA - INDIANA 401(a) PLAN - INDIANA FUND 2035	$1,001 - $15,000	TAX-DEFERRED	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

	STATE OF INDIANA - INDIANA 401(a) PLAN - INDIANA STABLE VALUE FUND	$1,001 - $15,000	TAX-DEFERRED	NONE	
	STATE OF INDIANA - INDIANA 457 PLAN - INDIANA FUND 2035	$1,001 - $15,000	TAX-DEFERRED	NONE	
	STATE OF INDIANA - INDIANA 457 PLAN - INDIANA STABLE VALUE FUND	$1,001 - $15,000	TAX-DEFERRED	NONE	
SP	401(k) - BARON SMALL CAP	$15,001 - $50,000	TAX-DEFERRED	NONE	
SP	401(k) - CAMBIAR SMALL CAP FUND	$15,001 - $50,000	TAX-DEFERRED	NONE	
SP	401(k) - MAINSTAY LARGE CAP GROWTH	$15,001 - $50,000	TAX-DEFERRED	NONE	
SP	401(k) - NUVEEN NWQ LARGE CAP VALUE	$1,001 - $15,000	TAX-DEFERRED	NONE	
SP	401(k) - PIMCO TOTAL RETURN FUND	$15,001 - $50,000	TAX-DEFERRED	NONE	
SP	401(k) - VIRTUS REAL ESTATE SECURITIES	$1,001 - $15,000	TAX-DEFERRED	NONE	
SP	529 COLLEGE CHOICE - INFLATION PROTECTED PORTFOLIO	$1,001 - $15,000	TAX-DEFERRED	NONE	
SP	FIFTH THIRD BANK SAVINGS	$15,001 - $50,000	INTEREST	$1 - $200	
SP	INVST SP - APPLE INC	$1,001 - $15,000	None	NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Theodore E. Rokita

SP	INVST SP - APPLE INC	None	CAPITAL GAINS	$1,001 - $2,500	S
SP	INVST SP - FIFTH THIRD BANK STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	UBS IRA - CASH	$1 - $1,000	TAX-DEFERRED	NONE	
SP	UBS IRA - FMI LARGE CAP	$1,001 - $15,000	TAX-DEFERRED	NONE	P
SP	UBS IRA - FRANKLIN/TEMPLETON GLOBAL BOND FUND	$1,001 - $15,000	TAX-DEFERRED	NONE	
SP	UBS IRA - ISHARES GOLD TRUST ETF	$1,001 - $15,000	TAX-DEFERRED	NONE	P
SP	UBS IRA - ISHARES MSCI EAFE INDEX FUND	$1,001 - $15,000	TAX-DEFERRED	NONE	P
SP	UBS IRA - JOHN HANCOCK DISCIPLINED VALUE MID CAP FUND	$1,001 - $15,000	TAX-DEFERRED	NONE	S(part)
SP	UBS IRA - JP MORGAN CORE BOND FUND	$1,001 - $15,000	TAX-DEFERRED	NONE	P
SP	UBS IRA - LOOMIS SAYLES INVESTMENT GRADE BOND FUND	$1,001 - $15,000	TAX-DEFERRED	NONE	S(part)
SP	UBS IRA - MAINSTAY ICAP INTERNATIONAL FUND	$1,001 - $15,000	TAX-DEFERRED	NONE	P
SP	UBS IRA - MANNING & NAPIER FUND, INC WORLD OPPORTUNITIES	$1,001 - $15,000	TAX-DEFERRED	NONE	P
SP	UBS IRA - PARADIGM VALUE FUND	$1,001 - $15,000	TAX-DEFERRED	NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Theodore E. Rokita

SP	UBS IRA - PIMCO TOTAL RETURN FUND	$1,001 - $15,000	TAX-DEFERRED	NONE	S(part)
SP	UBS IRA - PRUDENTIAL JENNISON MID CAP GROWTH FUND	$1,001 - $15,000	TAX-DEFERRED	NONE	S(part)
SP	UBS IRA - RIVER PARK/WEDGEWOOD RETAIL FUND	$1,001 - $15,000	TAX-DEFERRED	NONE	P
SP	UBS IRA - SPDR BARCLAYS 1 - 3 MTH T-BILL	$1,001 - $15,000	TAX-DEFERRED	NONE	P
SP	UBS IRA - SPDR S&P 500 EFT TRUST	$1,001 - $15,000	TAX-DEFERRED	NONE	PS(part)
SP	UBS IRA - VANGUARD BOND INDEX FUND TOTAL BOND MARKET	$15,001 - $50,000	TAX-DEFERRED	NONE	PS(part)
SP	UBS IRA - VIRTUS MULTI-SECTOR SHORT TERM BOND FUND	$15,001 - $50,000	TAX-DEFERRED	NONE	P

SCHEDULE IV - TRANSACTIONS

Name Theodore E. Rokita

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded $1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.
Capital Gains — If a sales transaction resulted in a capital gain in excess of $200, check the "capital gains" box and disclose this income on Schedule III.
*** This column is for assets solely held by your spouse or dependent child.**

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of $200?	Date	Amount of Transaction
	INVST - FIRST EAGLE FDS INC GOLD FD	P	N/A	12-31-12	$1,001 - $15,000
SP	INVST SP - ANGIES LIST INC	S	No	1-19-12	$1,001 - $15,000
SP	INVST SP - APPLE INC	P	N/A	11-9-12	$1,001 - $15,000
SP	INVST SP - APPLE INC	S	Yes	4-17-12	$1,001 - $15,000
SP	UBS IRA - BLACKROCK HIGH YIELD BOND	P	N/A	5-10-12	$1,001 - $15,000
SP	UBS IRA - BLACKROCK HIGH YIELD BOND	S	No	1-13-12	$1,001 - $15,000
SP	UBS IRA - FMI LARGE CAP	P	N/A	1-19-12	$1,001 - $15,000
SP	UBS IRA - ISHARES BARCLAYS INTER CR BD FD	S	No	1-19-12	$1,001 - $15,000
SP	UBS IRA - ISHARES GOLD TRUST ETF	P	N/A	9-18-12	$1,001 - $15,000
SP	UBS IRA - ISHARES INC MSCI JAPAN INDEX FD	S	No	5-10-12	$1,001 - $15,000
SP	UBS IRA - ISHARES MSCI EAFE INDEX FUND	P	N/A	9-18-12	$1,001 - $15,000

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Capital Gains — if a sales transaction resulted in a capital gain in excess of $200, check the "capital gains" box and disclose this income on Schedule III.
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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of $200?	Date	Amount of Transaction
SP	UBS IRA - ISHARES TRUST RUSSELL TOP 200 GROWTH ETF	S	No	1-19-12	$1,001 - $15,000
SP	UBS IRA - ISHARES TRUST RUSSELL TOP 200 VAL ETF	S	No	1-19-12	$1,001 - $15,000
SP	UBS IRA - JOHN HANCOCK DISCIPLINED VALUE MID CAP FUND	S(part)	No	1-19-12	$1,001 - $15,000
SP	UBS IRA - JP MORGAN CORE BOND FUND	P	N/A	1-19-12	$1,001 - $15,000
SP	UBS IRA - LOOMIS SAYLES INVESTMENT GRADE BOND FUND	S(part)	No	1-19-12	$1,001 - $15,000
SP	UBS IRA - MAINSTAY ICAP INTERNATIONAL FUND	P	N/A	1-19-12	$1,001 - $15,000
SP	UBS IRA - MANNING & NAPIER FUND, INC WORLD OPPORTUNITIES	P	N/A	1-19-12	$1,001 - $15,000
SP	UBS IRA - OPPEHEIMER INTL BOND FUND	S	No	1-19-12	$1,001 - $15,000
SP	UBS IRA - PARADIGM VALUE FUND	P	N/A	1-19-12	$1,001 - $15,000
SP	UBS IRA - PIMCO TOTAL RETURN FUND	S(part)	No	1-19-12	$1,001 - $15,000
SP	UBS IRA - POWERSHARES ETF TRUST II	S	No	1-13-12	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Theodore E. Rokita

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Capital Gains — If a sales transaction resulted in a capital gain in excess of $200, check the "capital gains" box and disclose this income on Schedule III.
*** This column is for assets solely held by your spouse or dependent child.**

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of $200?	Date	Amount of Transaction
SP	UBS IRA - POWERSHARES ETF TRUST II	P	N/A	5-10-12	$1,001 - $15,000
SP	UBS IRA - PRUDENTIAL JENISON MID CAP GROWTH FUND	S(part)	No	1-19-12	$1,001 - $15,000
SP	UBS IRA - RIVER PARK/WEDGEWOOD RETAIL FUND	P	N/A	1-19-12	$1,001 - $15,000
SP	UBS IRA - SPDR BARCLAYS 1 - 3 MTH T-BILL	P	N/A	5-30-12 11-13-12	$1,001 - $15,000
SP	UBS IRA - SPDR MSCI ACWI EX-US ETF	S	No	1-19-12 5-10-12	$1,001 - $15,000
SP	UBS IRA - SPDR S&P 500 EFT TRUST	S(part)	No	9-18-12 11-12-12	$1,001 - $15,000
SP	UBS IRA - SPDR S&P 500 EFT TRUST	P	N/A	1-19-12	$1,001 - $15,000
SP	UBS IRA - SPDR SER TRUST BARCLAYS CAPITAL HIGH YIELD	P	N/A	1-19-12	$1,001 - $15,000
SP	UBS IRA - SPDR SER TRUST BARCLAYS CAPITAL HIGH YIELD	S	No	5-10-12	$1,001 - $15,000
SP	UBS IRA - VANGUARD BOND INDEX FUND INC INTER TERM BOND ETF	S	No	1-19-12	$1,001 - $15,000
SP	UBS IRA - VANGUARD BOND INDEX FUND TOTAL BOND MARKET	P	N/A	1-19-12 11-13-12	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Theodore E. Rokita

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded $1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.
Capital Gains — if a sales transaction resulted in a capital gain in excess of $200, check the "capital gains" box and disclose this income on Schedule III.
*** This column is for assets solely held by your spouse or dependent child.**

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of $200?	Date	Amount of Transaction
SP	UBS IRA - VANGUARD BOND INDEX FUND TOTAL BOND MARKET	S(part)	No	9-18-12	$1,001 - $15,000
SP	UBS IRA - VANGUARD MSCI EMERGING MARKETS ETF	S	No	1-19-12	$1,001 - $15,000
SP	UBS IRA - VANGUARD TOTAL STOCK MKT ETF	S	No	5-10-12 5-18-12 11-12-12	$1,001 - $15,000
SP	UBS IRA - VANGUARD TOTAL STOCK MKT ETF	P	N/A	1-19-12 9-18-12	$1,001 - $15,000
SP	UBS IRA - VIRTUS MULTI-SECTOR SHORT TERM BOND FUND	P	N/A	1-19-12	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Theodore E. Rokita

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000. *This column is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
JT	CHASE BANK (GMAC LOAN REFINANCED)	JULY 2012	MORTGAGE ON PRINCIPAL RESIDENCE	$250,001 - $500,000
SP	OCWEN (FORMERLY GMAC LOAN)	APRIL 2011	MORTGAGE ON INDIANAPOLIS RENTAL TOWNHOUSE	$100,001 - $250,000
JT	OCWEN (FORMERLY GMAC)	FEB 2010	MORTGAGE ON 54TH ST RENTAL HOUSE	$50,001 - $100,000
	FIFTH THIRD BANK	2002	MORTGAGE ON MASS AVE RENTAL CONDO	$15,001 - $50,000
JT	PNC BANK	JUNE 2005	EQUITY LINE ON PRINCIPAL RESIDENCE	$15,001 - $50,000
SP	PNC BANK	NOV 2003	EQUITY LINE ON RENTAL TOWNHOUSE	$10,001 - $15,000
	DISCOVER CARD	DEC 2012	REVOLVING CHARGE ACCOUNT	$10,001 - $15,000
	FIFTH THIRD BANK	2002	EQUITY LINE ON MASS AVE RENTAL CONDO	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name *Theodore E. Rokita*

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
HERITAGE FOUNDATION	JAN 25-27	DC - PHILADELPHI - INDIANAPOLIS	Y	Y	N	NONE

FOOTNOTES

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	NAME CHANGED FROM YACKTMAN FUND, INC	IRA - MANAGERS AMG FUNDS YACKTMAN FUND
2	Schedule III	FORMERLY LISTED AS IRA - DREYFUS SMALL COMPANY VALUE FUND	IRA - DREYFUS ADVANTAGE FDS OPPOR SMALL CAP FD
3	Schedule V	PLEASE NOTE THAT THIS EQUITY LINE HAD BEEN INCLUDED IN THE MORTGAGE LISTING IN PREVIOUS FINANCIAL DISCLOSURES. IT HAS BEEN BROKEN OUT HERE TO MORE ACCURATELY REFLECT THE STATUS OF THE LIABILITY. THIS ENTRY REFLECTS THE INFORMATION THAT WOULD HAVE BEEN INCLUDED IN PREVIOUS FINANCIAL DISCLOSURES.	FIFTH THIRD BANK - EQUITY LINE FOR MASS AVE CONDO
4	Schedule III	PEMBINA APPEARS FOR FIRST TIME IN THIS REPORT SINCE ASSETS ECLIPSED $1,000	INVST - PEMBINA PIPELINE CORP
5	Schedule III	PREVIOUSLY LISTED AS UBS IRA - LIQUID ASSETS FUND	UBS IRA - CASH