UNITED STATES HOUSE OF REPRESENTATIVES
**CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT**

**FORM A**
For use by Members, officers, and employees



HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2013 MAY 13 PM 4:17
MC
(Office Use Only)

John K. Delaney
(Full Name)

(Daytime Telephone)

| | | | |
|---|---|---|---|
| **Filer Status** | ☑ Member of the U.S. House of Representatives — State: MD District: 6 | ☐ Officer Or Employee | Employing Office: |
| **Report Type** | ☑ Annual (May 15) | ☐ Amendment | ☐ Termination — Termination Date: |

**A $200 penalty shall be assessed against anyone who files more than 30 days late.**

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | | |
|---|---|---|
| I. | Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I. | Yes ☑ No ☐ |
| II. | Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II. | Yes ☐ No ☑ |
| III. | Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III. | Yes ☑ No ☐ |
| IV. | Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV. | Yes ☑ No ☐ |
| V. | Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V. | Yes ☑ No ☐ |
| VI. | Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $350 and not otherwise exempt)? If yes, complete and attach Schedule VI. | Yes ☐ No ☑ |
| VII. | Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $350 from one source)? If yes, complete and attach Schedule VII. | Yes ☐ No ☑ |
| VIII. | Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII. | Yes ☑ No ☐ |
| IX. | Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX. | Yes ☑ No ☐ |

**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**

## IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | | |
|---|---|---|
| **IPO--** | Did you purchase any shares that were allocated as a part of an Initial Public Offering? | Yes ☐ No ☑ |
| **Trusts--** | Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? | Yes ☐ No ☑ |
| **Exemptions--** | Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. | Yes ☐ No ☑ |

## SCHEDULE I - EARNED INCOME

Name John K. Delaney



**List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.**

| Source | Type | Amount |
|---|---|---|
| Capital Source Finance LLC, Chevy Chase, MD | Salary + stock awards (prior services) | $17,231,384 |
| AP Commercial LLC, Chevy Chase, MD | Salary | $130,000 |
| CapitalSource Finance, Brea, CA | Director fees | $65,500 |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John K. Delaney



| | BLOCK A<br>**Asset and/or Income Source** | BLOCK B<br>**Year-End Value of Asset** | BLOCK C<br>**Type of Income** | BLOCK D<br>**Amount of Income** | BLOCK E<br>**Transaction** |
|---|---|---|---|---|---|
| | Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than $200 in "unearned" income during the year.<br><br>Provide complete names of stocks and mutual funds (do not use ticker symbols.)<br><br>For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.<br><br>For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state.<br><br>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.<br><br>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling $5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.<br><br>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.<br><br>For a detailed discussion of Schedule III requirements, please refer to the instruction booklet. | Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.<br><br>If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."<br><br>* This column is for assets held solely by your spouse or dependent child. | Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period. | For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.<br><br>* This column is for income generated by assets held solely by your spouse or dependent child. | Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year. |
| | (SEE ATTACHED SCHEDULES) | | | | |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John K. Delaney



| SP, DC, JT | BLOCK A Asset and/or Income Source | BLOCK B Year-End Value of Asset | | | | | | | | | | | | | BLOCK C Type of Income | | | | | | | | BLOCK D Amount of Income | | | | | | | | | | | | BLOCK E Transaction |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | A None | B $1 - $1,000 | C $1,001 - $15,000 | D $15,001 - $50,000 | E $50,001 - $100,000 | F $100,001 - $250,000 | G $250,001 - $500,000 | H $500,001 - $1,000,000 | I $1,000,001 - $5,000,000 | J $5,000,001 - $25,000,000 | K $25,000,001 - $50,000,000 | L Over $50,000,000 | M Spouse/DC Asset over $1,000,000* | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | I None | II $1 - $200 | III $201 - $1,000 | IV $1,001 - $2,500 | V $2,501 - $5,000 | VI $5,001 - $15,000 | VII $15,001 - 50,000 | VIII $50,001 - 100,000 | IX $100,001 - $1,000,000 | X $1,000,001 - $5,000,000 | XI over $5,000,000 | XII Spouse/DC Income over $1,000,000* | P, S, E |
| | Bank of America/US Trust - bank accounts | | | | | | X | | | | | | | | | X | | X | | | | | | | X | | | | | | | | | | |
| | CapitalSource Bank, Brea, CA - cash | | | | | | X | | | | | | | | | | | X | | | | | | | X | | | | | | | | | | |
| | Congressional Bank, Bethesda, MD bank account | | | | | X | | | | | | | | | | | | X | | | | | | | X | | | | | | | | | | |
| | E-Trade - cash | | | | | | | X | | | | | | | X | | | | | | | | X | | | | | | | | | | | | |
| | Fidelity Municipal Money Market - cash | | | X | | | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | | |
| | Goldman Sachs - cash & MMA | | | | | | | | | X | | | | | | | | X | | | | | | X | | | | | | | | | | | |
| | One PacificCoast Bank, Oakland, CA - cash | | | | | | X | | | | | | | | | | | X | | | | | | X | | | | | | | | | | | |
| | Charles Schwab bank accounts | | | | | X | | | | | | | | | | X | | X | | | | | | X | | | | | | | | | | | |
| | Wells Fargo bank accounts | | | | | | | | | X | | | | | | X | | X | | | | | | | X | | | | | | | | | | |
| | Note receivables from Key Bridge Partners LLC, Bethesda, MD | | | | | | | | | X | | | | | X | | | | | | | | X | | | | | | | | | | | | |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John K. Delaney



| SP, DC, JT | BLOCK A Asset and/or Income Source | BLOCK B Year-End Value of Asset: A None | B $1 - $1,000 | C $1,001 - $15,000 | D $15,001 - $50,000 | E $50,001 - $100,000 | F $100,001 - $250,000 | G $250,001 - $500,000 | H $500,001 - $1,000,000 | I $1,000,001 - $5,000,000 | J $5,000,001 - $25,000,000 | K $25,000,001 - $50,000,000 | L Over $50,000,000 | M Spouse/DC Asset over $1,000,000* | BLOCK C Type of Income: NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | BLOCK D Amount of Income: I None | II $1 - $200 | III $201 - $1,000 | IV $1,001 - $2,500 | V $2,501 - $5,000 | VI $5,001 - $15,000 | VII $15,001 - 50,000 | VIII $50,001 - 100,000 | IX $100,001 - $1,000,000 | X $1,000,001 - $5,000,000 | XI over $5,000,000 | XII Spouse/DC Income over $1,000,000* | BLOCK E Transaction P, S, E |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | CapitalSource, Inc. - stock | | | | | | | | | | | X | | | | X | | | | | | | | | | | | | | | | X | | | |
| | CapitalSource, Inc. - stock options (strike price of $3.32 per share, expires April 4, 2014) | | | | | | | | | X | | | | | X | | | | | | | | X | | | | | | | | | | | | |
| | Congressional Bancshares Inc., Bethesda, MD - stock | | | | | | | | | | X | | | | X | | | | | | | | X | | | | | | | | | | | | P addt'l |
| | Congressional Bancshares Inc., Bethesda, MD - warrants | | | | | | | | | X | | | | | X | | | | | | | | X | | | | | | | | | | | | |
| | Fidelity Asset Manager 50% - mutual fund | | | X | | | | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | |
| | Ares Capital Corp - stock | X | | | | | | | | | | | | | | X | | | X | | | | | | | | | | | | X | | | | S |
| | JP Morgan Chase & Co - stock | X | | | | | | | | | | | | | | X | | | X | | | | | | | | | | X | | | | | | P S |
| | American Capital Ltd - stock | X | | | | | | | | | | | | | | | | | X | | | | | | | | | X | | | | | | | P S |
| | Zinga Inc - stock | X | | | | | | | | | | | | | | | | | X | | | | | | | | | X | | | | | | | P S |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John K. Delaney

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| SP, DC, JT | BLOCK A Asset and/or Income Source | BLOCK B Year-End Value of Asset | | | | | | | | | | | | | BLOCK C Type of Income | | | | | | | | BLOCK D Amount of Income | | | | | | | | | | | | BLOCK E Transaction |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | A None | B $1 - $1,000 | C $1,001 - $15,000 | D $15,001 - $50,000 | E $50,001 - $100,000 | F $100,001 - $250,000 | G $250,001 - $500,000 | H $500,001 - $1,000,000 | I $1,000,001 - $5,000,000 | J $5,000,001 - $25,000,000 | K $25,000,001 - $50,000,000 | L Over $50,000,000 | M Spouse/DC Asset over $1,000,000* | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | I None | II $1 - $200 | III $201 - $1,000 | IV $1,001 - $2,500 | V $2,501 - $5,000 | VI $5,001 - $15,000 | VII $15,001 - 50,000 | VIII $50,001 - 100,000 | IX $100,001 - $1,000,000 | X $1,000,001 - $5,000,000 | XI over $5,000,000 | XII Spouse/DC Income over $1,000,000* | P, S, E |
| | Indiabulls Real Estate Ltd Sponsored GDR REG S - stock | | | | X | | | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **Fixed Income** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Baltimore Cnty, MD muni bonds | | | | | | | X | | | | | | | | | | X | | | | | | | | | | | X | | | | | | |
| | Bay Area Infrastructure 5% 17, Fing Auth CA - bond | | | | X | | | | | | | | | | | | | X | | | | | | | | | X | | | | | | | | P/S |
| | Carroll Cnty, MD Ref - Cons Public Impt bond | | | | | | X | | | | | | | | | | | X | | | | | X | | | | | | | | | | | | P |
| | Central Utah Water bond (matured) | X | | | | | | | | | | | | | | | | X | | | | | | | | X | | | | | | | | | |
| | Energy Northwest Wash Elec Rev bond | | | | | | X | | | | | | | | | | | X | | | | | | | | | | X | | | | | | | P |
| | Florida St Brd Ed muni bonds | | | | | | X | | | | | | | | | | | X | | | | | | | | | | X | | | | | | | |
| | Hollywood FL Water & Sewer bond | | | | | | X | | | | | | | | | | | X | | | | | | | | X | | | | | | | | | P |
| | Howard Cnty, MD bond | | | | | | X | | | | | | | | | | | X | | | | | | | | | | X | | | | | | | |
| | Illinois State Sales Tax Rev bond | | | | | | X | | | | | | | | | | | X | | | | | | | | | | X | | | | | | | P |

## SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John K. Delaney



| SP, DC, JT | BLOCK A Asset and/or Income Source | BLOCK B Year-End Value of Asset: A None | B $1 - $1,000 | C $1,001 - $15,000 | D $15,001 - $50,000 | E $50,001 - $100,000 | F $100,001 - $250,000 | G $250,001 - $500,000 | H $500,001 - $1,000,000 | I $1,000,001 - $5,000,000 | J $5,000,001 - $25,000,000 | K $25,000,001 - $50,000,000 | L Over $50,000,000 | M Spouse/DC Asset over $1,000,000* | BLOCK C Type of Income: NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | BLOCK D Amount of Income: I None | II $1 - $200 | III $201 - $1,000 | IV $1,001 - $2,500 | V $2,501 - $5,000 | VI $5,001 - $15,000 | VII $15,001 - 50,000 | VIII $50,001 - 100,000 | IX $100,001 - $1,000,000 | X $1,000,001 - $5,000,000 | XI over $5,000,000 | XII Spouse/DC Income over $1,000,000* | BLOCK E Transaction P, S, E |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Illinois State Unemployment Ins bond | | | | | | X | | | | | | | | | | | X | | | | | | | | X | | | | | | | | | P |
| | Maryland State bonds (matured) | X | | | | | | | | | | | | | | | | X | | | | | | | | | | X | | | | | | | P |
| | Maryland State Dept of Transportation bond | | | | | | X | | | | | | | | | | | X | | | | | X | | | | | | | | | | | | P |
| | Metropolitan Pier IL bond (called) | X | | | | | | | | | | | | | | | | X | | | | | | | | | X | | | | | | | | |
| | Michigan St Bldg Auth bond | | | | | | X | | | | | | | | | | | X | | | | | | | | | X | | | | | | | | P |
| | Michigan St Hosp Fin Auth bond | | | | | | X | | | | | | | | | | | X | | | | | | | | X | | | | | | | | | P |
| | Minooka Illinois G.O. bond (matured) | X | | | | | | | | | | | | | | | | X | | | | | | | | | X | | | | | | | | |
| | Missouri State Environmental Impt bond | | | | | | X | | | | | | | | | | | X | | | | | | | | | X | | | | | | | | P |
| | New Mexico Fin Auth St Transp bond | | | | | | X | | | | | | | | | | | X | | | | | | | | | X | | | | | | | | P |
| | New York NY bonds | | | | X | | | | | | | | | | | | | X | | | | | | | | | | X | | | | | | | P/S |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John K. Delaney



| SP, DC, JT | BLOCK A Asset and/or Income Source | BLOCK B Year-End Value of Asset A None | B $1 - $1,000 | C $1,001 - $15,000 | D $15,001 - $50,000 | E $50,001 - $100,000 | F $100,001 - $250,000 | G $250,001 - $500,000 | H $500,001 - $1,000,000 | I $1,000,001 - $5,000,000 | J $5,000,001 - $25,000,000 | K $25,000,001 - $50,000,000 | L Over $50,000,000 | M Spouse/DC Asset over $1,000,000* | BLOCK C Type of Income NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | BLOCK D Amount of Income I None | II $1 - $200 | III $201 - $1,000 | IV $1,001 - $2,500 | V $2,501 - $5,000 | VI $5,001 - $15,000 | VII $15,001 - 50,000 | VIII $50,001 - 100,000 | IX $100,001 - $1,000,000 | X $1,000,001 - $5,000,000 | XI over $5,000,000 | XII Spouse/DC Income over $1,000,000* | BLOCK E Transaction P, S, E |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | New York State Environmental Facs bond | | | | | | X | | | | | | | | | | | X | | | | | | | | | | X | | | | | | | P |
| | North Carolina State Pub Impt - Ser B bond | | | | | | X | | | | | | | | | | | X | | | | | | | | | X | | | | | | | | P |
| | Ocean City, MD Ref & Mun Purp bond | | | | | | X | | | | | | | | X | | | | | | | | X | | | | | | | | | | | | P |
| | Pennsylvania State bond | | | | | | X | | | | | | | | | | | X | | | | | | | | X | | | | | | | | | P |
| | Prince Georges Cnty MD bond | X | | | | | | | | | | | | | | | | X | X | | | | | | | | | X | | | | | | | S |
| | Riverside Cnty CA bond | | | | | | X | | | | | | | | | | | X | | | | | | | | | | X | | | | | | | S (partial) |
| | Santa Fe TX Indep Sch Dist bond | | | | | | X | | | | | | | | | | | X | | | | | | | | | X | | | | | | | | P |
| | Seattle WA Water Sys Revenue bonds | | | | | | X | | | | | | | | | | | X | | | | | | | | | | X | | | | | | | |
| | Seattle Wash Mun Light & Power Rev bond | | | | | | X | | | | | | | | | | | X | | | | | | | | | | X | | | | | | | |
| | Texas A&M University Revs bond | | | | | | X | | | | | | | | | | | X | | | | | | | | | | X | | | | | | | P |
| | Texas State bond | | | | | | X | | | | | | | | | | | X | | | | | | | | | | X | | | | | | | |

## SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John K. Delaney



| SP, DC, JT | BLOCK A Asset and/or Income Source | BLOCK B Year-End Value of Asset: A None | B $1 - $1,000 | C $1,001 - $15,000 | D $15,001 - $50,000 | E $50,001 - $100,000 | F $100,001 - $250,000 | G $250,001 - $500,000 | H $500,001 - $1,000,000 | I $1,000,001 - $5,000,000 | J $5,000,001 - $25,000,000 | K $25,000,001 - $50,000,000 | L Over $50,000,000 | M Spouse/DC Asset over $1,000,000* | BLOCK C Type of Income: NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | BLOCK D Amount of Income: I None | II $1 - $200 | III $201 - $1,000 | IV $1,001 - $2,500 | V $2,501 - $5,000 | VI $5,001 - $15,000 | VII $15,001 - 50,000 | VIII $50,001 - 100,000 | IX $100,001 - $1,000,000 | X $1,000,001 - $5,000,000 | XI over $5,000,000 | XII Spouse/DC Income over $1,000,000* | BLOCK E Transaction P, S, E |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Tobacco Settlement Fing Corp NY bonds | | | | | | | X | | | | | | | | | | X | | | | | | | | | | X | | | | | | | P |
| | Triborough Bridge & Tunl Auth NY bond (called) | X | | | | | | | | | | | | | | | | X | | | | | | | | | X | | | | | | | | P |
| | Tumwater WA Office Properties Wash State bond | | | | | | X | | | | | | | | | | | X | | | | | | | | | | X | | | | | | | |
| | University Arkansas University bond (called) | X | | | | | | | | | | | | | | | | X | | | | | | | | | X | | | | | | | | |
| | University Systems of MD Auxilary Unref Ser A bond | | | | | | X | | | | | | | | X | | | | | | | | X | | | | | | | | | | | | P |
| | Worcester Cnty MD Ref - CNS Pub Impt Proj bond | | | | | | X | | | | | | | | | | | X | | | | | | | | X | | | | | | | | | P |
| | US Treasury Bills & Notes | X | | | | | | | | | | | | | | | | X | | | | | | | | | | | X | | | | | | P/S |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

## SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John K. Delaney



| SP, DC, JT | BLOCK A Asset and/or Income Source | BLOCK B Year-End Value of Asset A None | B $1 - $1,000 | C $1,001 - $15,000 | D $15,001 - $50,000 | E $50,001 - $100,000 | F $100,001 - $250,000 | G $250,001 - $500,000 | H $500,001 - $1,000,000 | I $1,000,001 - $5,000,000 | J $5,000,001 - $25,000,000 | K $25,000,001 - $50,000,000 | L Over $50,000,000 | M Spouse/DC Asset over $1,000,000* | BLOCK C Type of Income NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | BLOCK D Amount of Income I None | II $1 - $200 | III $201 - $1,000 | IV $1,001 - $2,500 | V $2,501 - $5,000 | VI $5,001 - $15,000 | VII $15,001 - 50,000 | VIII $50,001 - 100,000 | IX $100,001 - $1,000,000 | X $1,000,001 - $5,000,000 | XI over $5,000,000 | XII Spouse/DC Income over $1,000,000* | BLOCK E Transaction P, S, E |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| **Partnership Investments** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Acacia Partners, LP, New York, NY - global long only equity fund (not self directed) | | | | | | | | | X | | | | | | | | | | | | Partnership income | | | | | | | X | | | | | | |
| | American Steadfast, LP, New York, NY - long biased , fundamentally based equity hedge fund (not self directed) | | | | | | | | | X | | | | | | | | | | | | Partnership income | | | | | | | | | X | | | | |
| | D3 Family Bulldog Fund, LP, Camas, WA - Investment in 3 private companies (not self directed) - see below | | | | | | X | | | | | | | | | | | | | | | Partnership income | | | | | | | X | | | | | | |
| | D3 - Pediatric Services Holding Corporation, Atlanta, GA - Provides home nursing care for medically fragile infants and children in various states | | | | | X | | | | | | | | | | | | | | | | Partnership income | | | | | | X | | | | | | | |

## SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John K. Delaney



| SP, DC, JT | BLOCK A Asset and/or Income Source | BLOCK B Year-End Value of Asset: A None | B $1 - $1,000 | C $1,001 - $15,000 | D $15,001 - $50,000 | E $50,001 - $100,000 | F $100,001 - $250,000 | G $250,001 - $500,000 | H $500,001 - $1,000,000 | I $1,000,001 - $5,000,000 | J $5,000,001 - $25,000,000 | K $25,000,001 - $50,000,000 | L Over $50,000,000 | M Spouse/DC Asset over $1,000,000* | BLOCK C Type of Income: NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | BLOCK D Amount of Income: I None | II $1 - $200 | III $201 - $1,000 | IV $1,001 - $2,500 | V $2,501 - $5,000 | VI $5,001 - $15,000 | VII $15,001 - 50,000 | VIII $50,001 - 100,000 | IX $100,001 - $1,000,000 | X $1,000,001 - $5,000,000 | XI over $5,000,000 | XII Spouse/DC Income over $1,000,000* | BLOCK E Transaction P, S, E |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | D3 - Holt Distressed Property Fund 2010 LP, Vancouver, WA - residential land banker that purchases developed, entitled lots in block sales for resale to local, regional and national home builders | | | | X | | | | | | | | | | | | | | | | | Partnership income | | | X | | | | | | | | | | |
| | D3 - H2D3V4 Private, Vancouver, WA - activities same as Holt Distressed Property Fund 2010 LP above | | | | | X | | | | | | | | | | | | | | | | Partnership income | | | X | | | | | | | | | | |
| | Deccan Value Advisors Fund, LP, Greenwich, CT - hedge fund, redeemed out (not self directed) | X | | | | | | | | | | | | | | | | | | | | Partnership income | | | X | | | | | | | | | | |
| | Farallon Capital Partners, LP, San Francisco, CA - global multi-strategy hedge fund (EIF) | | | | | | | | | | X | | | | | | | | | | | Partnership income | | | | | | | | | X | | | | |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John K. Delaney



| SP, DC, JT | BLOCK A Asset and/or Income Source | BLOCK B Year-End Value of Asset: A None | B $1 - $1,000 | C $1,001 - $15,000 | D $15,001 - $50,000 | E $50,001 - $100,000 | F $100,001 - $250,000 | G $250,001 - $500,000 | H $500,001 - $1,000,000 | I $1,000,001 - $5,000,000 | J $5,000,001 - $25,000,000 | K $25,000,001 - $50,000,000 | L Over $50,000,000 | M Spouse/DC Asset over $1,000,000* | BLOCK C Type of Income: NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | BLOCK D Amount of Income: I None | II $1 - $200 | III $201 - $1,000 | IV $1,001 - $2,500 | V $2,501 - $5,000 | VI $5,001 - $15,000 | VII $15,001 - 50,000 | VIII $50,001 - 100,000 | IX $100,001 - $1,000,000 | X $1,000,001 - $5,000,000 | XI over $5,000,000 | XII Spouse/DC Income over $1,000,000* | BLOCK E Transaction P, S, E |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Healthpoint Capital Partners II, LP, New York, NY - private equity firm exclusively focused on orthopedic and dental device businesses (not self directed) | | | | | | | | X | | | | | | | | | | | | | Partnership income | | | | X | | | | | | | | | |
| | JC Flowers II, LP, New York, NY - invests in buyouts, growth capital and special situations in the financial services industry (not self directed) | | | | | | X | | | | | | | | | | | | | | | Partnership income | | | | | | X | | | | | | | |
| | JCF II AIV K, LP, New York, NY - see JC Flowers II LP for activities (not self directed) | | | X | | | | | | | | | | | | | | | | | | Partnership income | | | | X | | | | | | | | | |
| | Jupiter JCF AIV II Spec., LP, New York, NY - see JC Flowers II LP for activities (not self directed) | | | | | | X | | | | | | | | | | | | | | | Partnership income | | | X | | | | | | | | | | |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John K. Delaney



| | BLOCK A | BLOCK B | | | | | | | | | | | | | BLOCK C | | | | | | | | BLOCK D | | | | | | | | | | | | BLOCK E |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Asset and/or Income Source | Year-End Value of Asset | | | | | | | | | | | | | Type of Income | | | | | | | | Amount of Income | | | | | | | | | | | | Transaction |
| SP, DC, JT | | A None | B $1 - $1,000 | C $1,001 - $15,000 | D $15,001 - $50,000 | E $50,001 - $100,000 | F $100,001 - $250,000 | G $250,001 - $500,000 | H $500,001 - $1,000,000 | I $1,000,001 - $5,000,000 | J $5,000,001 - $25,000,000 | K $25,000,001 - $50,000,000 | L Over $50,000,000 | M Spouse/DC Asset over $1,000,000* | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | I None | II $1 - $200 | III $201 - $1,000 | IV $1,001 - $2,500 | V $2,501 - $5,000 | VI $5,001 - $15,000 | VII $15,001 - 50,000 | VIII $50,001 - 100,000 | IX $100,001 - $1,000,000 | X $1,000,001 - $5,000,000 | XI over $5,000,000 | XII Spouse/DC Income over $1,000,000* | P, S, E |
| | The HSH AIV 1 Trust, New York, NY - see JC Flowers II LP for activities (not self directed) | | | X | | | | | | | | | | | | | | | | | | Partnership income | | X | | | | | | | | | | | |
| | Lone Cascade, LP, Greenwich, CT - global long-only equity fund (not self directed) | | | | | | | | | X | | | | | | | | | | | | Partnership income | | | | | | | | | X | | | | |
| | Bristol Waldorf, LP (commercial rental real estate, Iron Gate Mini Storage, Waldorf, MD, sole asset) | | X | | | | | | | | | | | | | | | | | | | Partnership income | | | X | | | | | | | | | | |
| | EVR Investment, LLC, Scottsdale, AZ - sole asset is a partnership interest in Eloy Investors LLC which owns raw land for future development in Eloy, AZ) | | | | | | | | X | | | | | | | | | | | | | Partnership income | X | | | | | | | | | | | | |

## SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John K. Delaney



| SP, DC, JT | BLOCK A Asset and/or Income Source | BLOCK B Year-End Value of Asset | | | | | | | | | | | | | BLOCK C Type of Income | | | | | | | | BLOCK D Amount of Income | | | | | | | | | | | | BLOCK E Transaction |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | A None | B $1 - $1,000 | C $1,001 - $15,000 | D $15,001 - $50,000 | E $50,001 - $100,000 | F $100,001 - $250,000 | G $250,001 - $500,000 | H $500,001 - $1,000,000 | I $1,000,001 - $5,000,000 | J $5,000,001 - $25,000,000 | K $25,000,001 - $50,000,000 | L Over $50,000,000 | M Spouse/DC Asset over $1,000,000* | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | I None | II $1 - $200 | III $201 - $1,000 | IV $1,001 - $2,500 | V $2,501 - $5,000 | VI $5,001 - $15,000 | VII $15,001 - 50,000 | VIII $50,001 - 100,000 | IX $100,001 - $1,000,000 | X $1,000,001 - $5,000,000 | XI over $5,000,000 | XII Spouse/DC Income over $1,000,000* | P, S, E |
| | Friends of St. Patrick's Episcopal Day School, LLC, Washington, DC (inactive) | | | | X | | | | | | | | | | | | | | | | | Partnership income | X | | | | | | | | | | | | |
| | WF Holding Co, LLC, Washington, DC (sole asset is stock in Everyday Health, a private company) | | X | | | | | | | | | | | | | | | | | | | Partnership income | | X | | | | | | | | | | | |
| | Alliance Partners, LLC (Chevy Chase, MD company that facilitates the pooling of resources among financial organizations so they can participate in large lending opportunities) | | | | | | | | | | X | | | | | | | | | | | Partnership income | X | | | | | | | | | | | | |
| | Key Bridge I, LLC, Bethesda, MD (owner of stair manufacturing companies) | X | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | X | | | S |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John K. Delaney



| SP, DC, JT | BLOCK A Asset and/or Income Source | BLOCK B Year-End Value of Asset A None | B $1 - $1,000 | C $1,001 - $15,000 | D $15,001 - $50,000 | E $50,001 - $100,000 | F $100,001 - $250,000 | G $250,001 - $500,000 | H $500,001 - $1,000,000 | I $1,000,001 - $5,000,000 | J $5,000,001 - $25,000,000 | K $25,000,001 - $50,000,000 | L Over $50,000,000 | M Spouse/DC Asset over $1,000,000* | BLOCK C Type of Income NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | BLOCK D Amount of Income I None | II $1 - $200 | III $201 - $1,000 | IV $1,001 - $2,500 | V $2,501 - $5,000 | VI $5,001 - $15,000 | VII $15,001 - 50,000 | VIII $50,001 - 100,000 | IX $100,001 - $1,000,000 | X $1,000,001 - $5,000,000 | XI over $5,000,000 | XII Spouse/DC Income over $1,000,000* | BLOCK E Transaction P, S, E |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| **Retirement, Annuities and Insurance** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | CapitalSource, Inc. - stock (GRAT) | | | | | | | | | X | | | | | | X | | | | | | | | | | | | | | | | X | | | | |
| SP | CapitalSource, Inc. - stock (GRAT) | | | | | | | | | | | | | X | | X | | | | | | | | | | | | | | | | X | | | | |
| | Wells Fargo - cash (GRATs) | | | | | | | X | | | | | | | X | | | | | | | | X | | | | | | | | | | | | |
| | Allstate (variable annuity) - DCA + 12 Month | | | | | | | X | | | | | | | | | | | | | X | | X | | | | | | | | | | | | |
| | Allstate (variable annuity) - Morgan Stanley - VIS Multicap Growth Port - Cls X | | | | | | X | | | | | | | | | | | | | | X | | X | | | | | | | | | | | | |
| | Allstate (variable annuity) - Invesco VI S&P 500 Index Port - Srs I | | | | | | X | | | | | | | | | | | | | | X | | X | | | | | | | | | | | | |
| | Mass Mutual - whole life insurance - cash surrender value | | | | X | | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John K. Delaney



| SP, DC, JT | BLOCK A Asset and/or Income Source | BLOCK B Year-End Value of Asset A None | B $1 - $1,000 | C $1,001 - $15,000 | D $15,001 - $50,000 | E $50,001 - $100,000 | F $100,001 - $250,000 | G $250,001 - $500,000 | H $500,001 - $1,000,000 | I $1,000,001 - $5,000,000 | J $5,000,001 - $25,000,000 | K $25,000,001 - $50,000,000 | L Over $50,000,000 | M Spouse/DC Asset over $1,000,000* | BLOCK C Type of Income NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | BLOCK D Amount of Income I None | II $1 - $200 | III $201 - $1,000 | IV $1,001 - $2,500 | V $2,501 - $5,000 | VI $5,001 - $15,000 | VII $15,001 - 50,000 | VIII $50,001 - 100,000 | IX $100,001 - $1,000,000 | X $1,000,001 - $5,000,000 | XI over $5,000,000 | XII Spouse/DC Income over $1,000,000* | BLOCK E Transaction P, S, E |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| **Roth IRA Account Assets** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Virtus Balanced Fund Cl A mutual fund | | | | X | | | | | | | | | | | | | | | | X | | X | | | | | | | | | | | | |
| | Microsoft Corp stock | | | | | X | | | | | | | | | | | | | | | X | | X | | | | | | | | | | | | |
| | Wells Fargo - cash | | | X | | | | | | | | | | | | | | | | | X | | X | | | | | | | | | | | | |
| SP | Wells Fargo Funds Tr Advantage Money Market Fund Class Inv Cl | | | | | X | | | | | | | | | | | | | | | X | | X | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **THE 1997 FAMILY TRUST** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Bank of America - Trust accounts | | | X | | | | | | | | | | | | | | X | | | | | | X | | | | | | | | | | | |
| | Charles Schwab - Trust MM accounts | | | | | X | | | | | | | | | | X | | X | | | | | | X | | | | | | | | | | | |
| | Wells Fargo - Trust MM accounts | | | | | | | | | X | | | | | | X | | | | | | | | X | | | | | | | | | | | |
| | Demand loan receivable from John K Delaney, Potomac, MD | | | | | | | | | X | | | | | X | | | | | | | | X | | | | | | | | | | | | |
| | Oakmark International Fund #109 - mutual fund | | | | | | | | | X | | | | | | X | | | | | | | | | | | | | X | | | | | | P |

## SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John K. Delaney



| SP, DC, JT | BLOCK A Asset and/or Income Source | BLOCK B Year-End Value of Asset | | | | | | | | | | | | | BLOCK C Type of Income | | | | | | | | BLOCK D Amount of Income | | | | | | | | | | | | BLOCK E Transaction |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | A None | B $1 - $1,000 | C $1,001 - $15,000 | D $15,001 - $50,000 | E $50,001 - $100,000 | F $100,001 - $250,000 | G $250,001 - $500,000 | H $500,001 - $1,000,000 | I $1,000,001 - $5,000,000 | J $5,000,001 - $25,000,000 | K $25,000,001 - $50,000,000 | L Over $50,000,000 | M Spouse/DC Asset over $1,000,000* | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | I None | II $1 - $200 | III $201 - $1,000 | IV $1,001 - $2,500 | V $2,501 - $5,000 | VI $5,001 - $15,000 | VII $15,001 - 50,000 | VIII $50,001 - 100,000 | IX $100,001 - $1,000,000 | X $1,000,001 - $5,000,000 | XI over $5,000,000 | XII Spouse/DC Income over $1,000,000* | P, S, E |
| | Pimco Total Return Inst. - mutual fund - Trust account | | | | | | | | | X | | | | | | X | | | | | | | | | | | | | | | X | | | | P reinv dvd |
| | CapitalSource, Inc. - stock (Trust) | | | | | | | | | X | | | | | | X | | | | | | | | | | | | | | | X | | | | |
| **Schwab Equities (Family Trust)** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Airgas Inc - stock | | | | | X | | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | P |
| | Ametek Inc New - stock | | | | | X | | | | | | | | | | X | | | X | | | | | | | | | X | | | | | | | S (partial) |
| | Amphenol Corp Cl A - stock | | | | | X | | | | | | | | | | X | | | X | | | | | | | X | | | | | | | | | P/S (partial) |
| | Blount International Inc - stock | | | | X | | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | | |
| | Brookfield Asset Mgmt F - D Vtg Shs Cl A - stock | | | | | X | | | | | | | | | | X | | | X | | | | | | | | | X | | | | | | | S (partial) |
| | CBRE Group Inc - stock | | | | | X | | | | | | | | | | | | | X | | | | | | | X | | | | | | | | | P/S (partial) |
| | C H Robinson Worldwide New - stock | X | | | | | | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | P/S |
| | Dentsply International Inc - stock | | | | | X | | | | | | | | | | X | | | X | | | | | | | X | | | | | | | | | P/S (partial) |
| | Ecolab Inc - stock | X | | | | | | | | | | | | | | X | | | X | | | | | | | | X | | | | | | | | S |
| | First Republic Bank - stock | | | | X | | | | | | | | | | | X | | | | | | | | | X | | | | | | | | | | |
| | Garmin LTD New - stock | | | | | X | | | | | | | | | | X | | | X | | | | | | | | | X | | | | | | | P/S (partial) |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John K. Delaney



| SP, DC, JT | BLOCK A Asset and/or Income Source | BLOCK B Year-End Value of Asset: A None | B $1 - $1,000 | C $1,001 - $15,000 | D $15,001 - $50,000 | E $50,001 - $100,000 | F $100,001 - $250,000 | G $250,001 - $500,000 | H $500,001 - $1,000,000 | I $1,000,001 - $5,000,000 | J $5,000,001 - $25,000,000 | K $25,000,001 - $50,000,000 | L Over $50,000,000 | M Spouse/DC Asset over $1,000,000* | BLOCK C Type of Income: NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | BLOCK D Amount of Income: I None | II $1 - $200 | III $201 - $1,000 | IV $1,001 - $2,500 | V $2,501 - $5,000 | VI $5,001 - $15,000 | VII $15,001 - 50,000 | VIII $50,001 - 100,000 | IX $100,001 - $1,000,000 | X $1,000,001 - $5,000,000 | XI over $5,000,000 | XII Spouse/DC Income over $1,000,000* | BLOCK E Transaction P, S, E |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Graco Incorporated - stock | X | | | | | | | | | | | | | | X | | | X | | | | | | | | X | | | | | | | | S |
| | Harley Davidson Inc - stock | | | | | X | | | | | | | | | | X | | | | | | | | | X | | | | | | | | | | P |
| | Harry Winston Diamond - stock | | | X | | | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | | |
| | Heico Corp New - stock | | | | X | | | | | | | | | | | X | | | | | | | | | | X | | | | | | | | | P |
| | Idexx Labs Inc - stock | X | | | | | | | | | | | | | | | | | X | | | | | | | | | | X | | | | | | S |
| | I H S Inc - stock | | | | | X | | | | | | | | | | | | | X | | | | | | | X | | | | | | | | | P/S (partial) |
| | Interface Inc (f/k/a Interface Inc Cl A) - stock | X | | | | | | | | | | | | | | X | | | X | | | | | | X | | | | | | | | | | S |
| | Interpublic Group of Cos - stock | X | | | | | | | | | | | | | | X | | | X | | | | | | | | | | X | | | | | | S |
| | ITT Corporation New - stock | X | | | | | | | | | | | | | | X | | | | | | | | | X | | | | | | | | | | P/S |
| | ITT Educational Services Inc - stock | X | | | | | | | | | | | | | | | | | X | | | | | | X | | | | | | | | | | P/S |
| | Lab Corp of America Holding New - stock | X | | | | | | | | | | | | | | | | | X | | | | | | | | X | | | | | | | | S |
| | Kirby Corporation - stock | | | | | | X | | | | | | | | | | | | X | | | | | | X | | | | | | | | | | P/S (partial) |
| | Markel Corp Holding Company - stock | | | | | X | | | | | | | | | | | | | X | | | | | | | X | | | | | | | | | P/S (partial) |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John K. Delaney



| SP, DC, JT | BLOCK A Asset and/or Income Source | BLOCK B Year-End Value of Asset: A None | B $1 - $1,000 | C $1,001 - $15,000 | D $15,001 - $50,000 | E $50,001 - $100,000 | F $100,001 - $250,000 | G $250,001 - $500,000 | H $500,001 - $1,000,000 | I $1,000,001 - $5,000,000 | J $5,000,001 - $25,000,000 | K $25,000,001 - $50,000,000 | L Over $50,000,000 | M Spouse/DC Asset over $1,000,000* | BLOCK C Type of Income: NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | BLOCK D Amount of Income: I None | II $1 - $200 | III $201 - $1,000 | IV $1,001 - $2,500 | V $2,501 - $5,000 | VI $5,001 - $15,000 | VII $15,001 - 50,000 | VIII $50,001 - 100,000 | IX $100,001 - $1,000,000 | X $1,000,001 - $5,000,000 | XI over $5,000,000 | XII Spouse/DC Income over $1,000,000* | BLOCK E Transaction P, S, E |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Micros Systems Inc - stock | | | | X | | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | | P |
| | MSC Indl Direct Inc Cl A - stock | | | | | X | | | | | | | | | | X | | | | | | | | | X | | | | | | | | | | P |
| | O'Reilly Automotive New - stock | | | | | X | | | | | | | | | | | | | X | | | | | | | | | X | | | | | | | P/S (partial) |
| | Pall Corp - stock | | | | | X | | | | | | | | | | X | | | | | | | | | X | | | | | | | | | | P |
| | Patterson Companies - stock | | | X | | | | | | | | | | | | X | | | X | | | | | | | | | X | | | | | | | S (partial) |
| | Paychex Inc - stock | | | | | X | | | | | | | | | | X | | | | | | | | | | | X | | | | | | | | P |
| | PerkinElmer Inc - stock | | | | | X | | | | | | | | | | X | | | | | | | | | X | | | | | | | | | | P |
| | Perrigo Co - stock | | | | | X | | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | P |
| | Scripps Network Interactive Cl A - stock | | | | | X | | | | | | | | | | X | | | X | | | | | | | | X | | | | | | | | P/S (partial) |
| | Sherwin Williams Co - stock | | | | | X | | | | | | | | | | X | | | X | | | | | | | | | | X | | | | | | P/S (partial) |
| | Sigma Aldrich Corp - stock | | | | | X | | | | | | | | | | X | | | X | | | | | | | | X | | | | | | | | S (partial) |
| | Signet Jewelers Ltd - stock | | | | | X | | | | | | | | | | X | | | X | | | | | | | | | X | | | | | | | S (partial) |
| | Tiffany & Co New - stock | X | | | | | | | | | | | | | | X | | | X | | | | | | | | X | | | | | | | | P/S |
| | Transdigm Group Inc - stock | | | | | X | | | | | | | | | | X | | | X | | | | | | | | | X | | | | | | | P/S (partial) |
| | Wabtec - stock | | | | | X | | | | | | | | | | X | | | X | | | | | | | | | X | | | | | | | S (partial) |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John K. Delaney



| SP, DC, JT | BLOCK A Asset and/or Income Source | BLOCK B Year-End Value of Asset: A None | B $1 - $1,000 | C $1,001 - $15,000 | D $15,001 - $50,000 | E $50,001 - $100,000 | F $100,001 - $250,000 | G $250,001 - $500,000 | H $500,001 - $1,000,000 | I $1,000,001 - $5,000,000 | J $5,000,001 - $25,000,000 | K $25,000,001 - $50,000,000 | L Over $50,000,000 | M Spouse/DC Asset over $1,000,000* | BLOCK C Type of Income: NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | BLOCK D Amount of Income: I None | II $1 - $200 | III $201 - $1,000 | IV $1,001 - $2,500 | V $2,501 - $5,000 | VI $5,001 - $15,000 | VII $15,001 - 50,000 | VIII $50,001 - 100,000 | IX $100,001 - $1,000,000 | X $1,000,001 - $5,000,000 | XI over $5,000,000 | XII Spouse/DC Income over $1,000,000* | BLOCK E Transaction P, S, E |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Williams Sonoma - stock | | | | | X | | | | | | | | | | X | | | | | | | | | X | | | | | | | | | | P |
| | Wolverine World Wide Inc - stock | | | | | X | | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | P |
| | Xylem Inc - stock | X | | | | | | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | P/S |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **Schwab/Wells Fargo fixed income (Family Trust)** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Amarillo Texas Indep School District - bond | | | | | X | | | | | | | | | | | | X | | | | | | | | X | | | | | | | | | P |
| | Baltimore County MD - bond (matured) | X | | | | | | | | | | | | | | | | X | | | | | | | | X | | | | | | | | | |
| | Carroll County MD - bond | | | | | | X | | | | | | | | | | | X | X | | | | | | | | | | X | | | | | | P/S |
| | Cecil County MD - bond | | | | | | X | | | | | | | | | | | X | | | | | | | | | X | | | | | | | | |
| | Centerville Ohio - bond | | | | | X | | | | | | | | | | | | X | | | | | | | | X | | | | | | | | | P |
| | Energy Northwest Wash - bond (called) | X | | | | | | | | | | | | | | | | X | | | | | | | | | X | | | | | | | | |
| | Florida State Board of Ed bonds | | | | | X | | | | | | | | | | | | X | | | | | | | | | X | | | | | | | | P |

## SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)



| | BLOCK A | BLOCK B Year-End Value of Asset | | | | | | | | | | | | | BLOCK C Type of Income | | | | | | | | BLOCK D Amount of Income | | | | | | | | | | | | BLOCK E Transaction |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| SP, DC, JT | Asset and/or Income Source | A None | B $1 - $1,000 | C $1,001 - $15,000 | D $15,001 - $50,000 | E $50,001 - $100,000 | F $100,001 - $250,000 | G $250,001 - $500,000 | H $500,001 - $1,000,000 | I $1,000,001 - $5,000,000 | J $5,000,001 - $25,000,000 | K $25,000,001 - $50,000,000 | L Over $50,000,000 | M Spouse/DC Asset over $1,000,000* | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | I None | II $1 - $200 | III $201 - $1,000 | IV $1,001 - $2,500 | V $2,501 - $5,000 | VI $5,001 - $15,000 | VII $15,001 - 50,000 | VIII $50,001 - 100,000 | IX $100,001 - $1,000,000 | X $1,000,001 - $5,000,000 | XI over $5,000,000 | XII Spouse/DC Income over $1,000,000* | P, S, E |
| | Golden State Securities CA bond | X | | | | | | | | | | | | | | | | X | X | | | | | | | | | X | | | | | | | S |
| | Harford Cnty MD - bond | | | | | | X | | | | | | | | | | | X | | | | | | | | | | X | | | | | | | |
| | Illinois State Sales Tax Revenue - bond | | | | | X | | | | | | | | | | | | X | | | | | | | | | X | | | | | | | | P |
| | Illinois State Unemployment Ins - bond | | | | | X | | | | | | | | | | | | X | | | | | | | X | | | | | | | | | | P |
| | Indiana Bd Bk Rev - bond | | | | | X | | | | | | | | | | | | X | | | | | | | | | X | | | | | | | | |
| | Maryland State Dept of Transp - bond | | | | | | X | | | | | | | | | | | X | | | | | | | | | | X | | | | | | | P |
| | Maryland Water Quality - bond (matured) | X | | | | | | | | | | | | | | | | X | | | | | | | | | | X | | | | | | | |
| | Metropolitan Pier, IL - bond (called) | X | | | | | | | | | | | | | | | | X | | | | | | | | X | | | | | | | | | |
| | Miami-Dade County FL - bond | | | | | | X | | | | | | | | X | | | | | | | | X | | | | | | | | | | | | P |
| | Montgomery County MD - bond | | | | | | X | | | | | | | | | | | X | | | | | | | | | X | | | | | | | | |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John K. Delaney



| SP, DC, JT | BLOCK A Asset and/or Income Source | BLOCK B Year-End Value of Asset: A None | B $1 - $1,000 | C $1,001 - $15,000 | D $15,001 - $50,000 | E $50,001 - $100,000 | F $100,001 - $250,000 | G $250,001 - $500,000 | H $500,001 - $1,000,000 | I $1,000,001 - $5,000,000 | J $5,000,001 - $25,000,000 | K $25,000,001 - $50,000,000 | L Over $50,000,000 | M Spouse/DC Asset over $1,000,000* | BLOCK C Type of Income: NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | BLOCK D Amount of Income: I None | II $1 - $200 | III $201 - $1,000 | IV $1,001 - $2,500 | V $2,501 - $5,000 | VI $5,001 - $15,000 | VII $15,001 - 50,000 | VIII $50,001 - 100,000 | IX $100,001 - $1,000,000 | X $1,000,001 - $5,000,000 | XI over $5,000,000 | XII Spouse/DC Income over $1,000,000* | BLOCK E Transaction P, S, E |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | New York NY Muni Bond Bank Agy Ser C - bond | | | | | X | | | | | | | | | | | | X | | | | | | | | | X | | | | | | | | |
| | New York State Environment - bond (called) | X | | | | | | | | | | | | | | | | X | | | | | | | | X | | | | | | | | | P |
| | North Carolina State Pub Impt - bond | | | | | X | | | | | | | | | | | | X | | | | | | | | X | | | | | | | | | P |
| | Ocean City MD Ref & Municipal Purpose - bond | | | | | | X | | | | | | | | | | | X | | | | | | | | | | X | | | | | | | |
| | Oklahoma State Capitol Impt Auth - bond | | | | | X | | | | | | | | | | | | X | | | | | X | | | | | | | | | | | | P |
| | Queen Annes County MD bond | | | | | | X | | | | | | | | | | | X | | | | | | | | | X | | | | | | | | |
| | Seattle Washington Water Systems - bonds | | | | | X | | | | | | | | | | | | X | | | | | | | | | X | | | | | | | | |
| | Texas State Tech Univ - bond | | | | | X | | | | | | | | | | | | X | | | | | | | | | X | | | | | | | | |
| | Tobacco Settlement Fing Corp NY - bonds | | | | | | X | | | | | | | | | | | X | | | | | | | | | | X | | | | | | | |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John K. Delaney



| | BLOCK A Asset and/or Income Source | BLOCK B Year-End Value of Asset | | | | | | | | | | | | | BLOCK C Type of Income | | | | | | | | BLOCK D Amount of Income | | | | | | | | | | | | BLOCK E Transaction |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| SP, DC, JT | | A None | B $1 - $1,000 | C $1,001 - $15,000 | D $15,001 - $50,000 | E $50,001 - $100,000 | F $100,001 - $250,000 | G $250,001 - $500,000 | H $500,001 - $1,000,000 | I $1,000,001 - $5,000,000 | J $5,000,001 - $25,000,000 | K $25,000,001 - $50,000,000 | L Over $50,000,000 | M Spouse/DC Asset over $1,000,000* | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | I None | II $1 - $200 | III $201 - $1,000 | IV $1,001 - $2,500 | V $2,501 - $5,000 | VI $5,001 - $15,000 | VII $15,001 - 50,000 | VIII $50,001 - 100,000 | IX $100,001 - $1,000,000 | X $1,000,001 - $5,000,000 | XI over $5,000,000 | XII Spouse/DC Income over $1,000,000* | P, S, E |
| | Triborough Bridge & Tunl Auth NY - bond (called) | X | | | | | | | | | | | | | | | | X | | | | | | | | | X | | | | | | | | P |
| | University of California - bonds | | | | | X | | | | | | | | | | | | X | | | | | | | | | X | | | | | | | | P |
| | University Systems of Maryland - bond | | | | | | X | | | | | | | | | | | X | | | | | X | | | | | | | | | | | | P |
| | Washington MD Suburban Sanitation District - bond | | | | | | X | | | | | | | | | | | X | | | | | | | | | X | | | | | | | | P |
| | Worcester County MD bond | | | | | X | | | | | | | | | | | | X | | | | | | | X | | | | | | | | | | P |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **Partnership Investments (Family Trust)** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Alliance Partners, LLC (Chevy Chase, MD company that facilitates the pooling of resources among financial organizations so they can participate in large lending opportunities) | | | | | | | | | X | | | | | | | | | | | | Partnership income | X | | | | | | | | | | | | |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John K. Delaney



| SP, DC, JT | BLOCK A Asset and/or Income Source | BLOCK B Year-End Value of Asset: A None | B $1 - $1,000 | C $1,001 - $15,000 | D $15,001 - $50,000 | E $50,001 - $100,000 | F $100,001 - $250,000 | G $250,001 - $500,000 | H $500,001 - $1,000,000 | I $1,000,001 - $5,000,000 | J $5,000,001 - $25,000,000 | K $25,000,001 - $50,000,000 | L Over $50,000,000 | M Spouse/DC Asset over $1,000,000* | BLOCK C Type of Income: NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | BLOCK D Amount of Income: I None | II $1 - $200 | III $201 - $1,000 | IV $1,001 - $2,500 | V $2,501 - $5,000 | VI $5,001 - $15,000 | VII $15,001 - 50,000 | VIII $50,001 - 100,000 | IX $100,001 - $1,000,000 | X $1,000,001 - $5,000,000 | XI over $5,000,000 | XII Spouse/DC Income over $1,000,000* | BLOCK E Transaction P, S, E |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Acacia Partners, LP, New York, NY - global long only equity fund (not self directed) | | | | | | | | | X | | | | | | | | | | | | Partnership income | | | | | | | | X | | | | | |
| | American Steadfast, LP, New York, NY - long biased, fundamentally based equity hedge fund (not self directed) | | | | | | | | | X | | | | | | | | | | | | Partnership income | | | | | | | | | X | | | | |
| | D3 Family Bulldog Fund, LP, Camas, WA - Investment in 3 private companies (not self directed) - see below | | | | | | X | | | | | | | | | | | | | | | Partnership income | | | | | | | X | | | | | | |
| | D3 - Pediatric Services Holding Corporation, Atlanta, GA - Provides home nursing care for medically fragile infants and children in various states. | | | | | X | | | | | | | | | | | | | | | | Partnership income | | | | | | X | | | | | | | |

## SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John K. Delaney



| SP, DC, JT | BLOCK A Asset and/or Income Source | BLOCK B Year-End Value of Asset: A None | B $1 - $1,000 | C $1,001 - $15,000 | D $15,001 - $50,000 | E $50,001 - $100,000 | F $100,001 - $250,000 | G $250,001 - $500,000 | H $500,001 - $1,000,000 | I $1,000,001 - $5,000,000 | J $5,000,001 - $25,000,000 | K $25,000,001 - $50,000,000 | L Over $50,000,000 | M Spouse/DC Asset over $1,000,000* | BLOCK C Type of Income: NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | BLOCK D Amount of Income: I None | II $1 - $200 | III $201 - $1,000 | IV $1,001 - $2,500 | V $2,501 - $5,000 | VI $5,001 - $15,000 | VII $15,001 - 50,000 | VIII $50,001 - 100,000 | IX $100,001 - $1,000,000 | X $1,000,001 - $5,000,000 | XI over $5,000,000 | XII Spouse/DC Income over $1,000,000* | BLOCK E Transaction P, S, E |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | D3 - Holt Distressed Property Fund 2010 LP, Vancouver, WA - residential land banker that purchases developed, entitled lots in block sales for resale to local, regional and national home builders | | | | X | | | | | | | | | | | | | | | | | Partnership income | | | X | | | | | | | | | | |
| | D3 - H2D3V4 Private, Vancouver, WA - activities same as Holt Distressed Property Fund 2010 LP above | | | | | X | | | | | | | | | | | | | | | | Partnership income | | | X | | | | | | | | | | |
| | Deccan Value Advisors Fund, LP, Greenwich, CT - hedge fund, redeemed out (not self directed) | X | | | | | | | | | | | | | | | | | | | | Partnership income | | | | X | | | | | | | | | |
| | HCP Private Equity Fund II, LP, San Francisco, CA (EIF) | | | | | | | | X | | | | | | | | | | | | | Partnership income | | | | | | | | | X | | | | |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John K. Delaney



| SP, DC, JT | BLOCK A Asset and/or Income Source | BLOCK B Year-End Value of Asset: A None | B $1 - $1,000 | C $1,001 - $15,000 | D $15,001 - $50,000 | E $50,001 - $100,000 | F $100,001 - $250,000 | G $250,001 - $500,000 | H $500,001 - $1,000,000 | I $1,000,001 - $5,000,000 | J $5,000,001 - $25,000,000 | K $25,000,001 - $50,000,000 | L Over $50,000,000 | M Spouse/DC Asset over $1,000,000* | BLOCK C Type of Income: NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | BLOCK D Amount of Income: I None | II $1 - $200 | III $201 - $1,000 | IV $1,001 - $2,500 | V $2,501 - $5,000 | VI $5,001 - $15,000 | VII $15,001 - 50,000 | VIII $50,001 - 100,000 | IX $100,001 - $1,000,000 | X $1,000,001 - $5,000,000 | XI over $5,000,000 | XII Spouse/DC Income over $1,000,000* | BLOCK E Transaction P, S, E |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Healthpoint Capital Partners II, LP, New York, NY - private equity firm exclusively focused on orthopedic and dental device businesses (not self directed) | | | | | | | X | | | | | | | | | | | | | | Partnership income | | | X | | | | | | | | | | |
| | JC Flowers II, LP, New York, NY - invests in buyouts, growth capital and special situations in the financial services industry (not self directed) | | | | | | X | | | | | | | | | | | | | | | Partnership income | | | | | | | X | | | | | | |
| | JCF II AIV K, LP, New York, NY - see JC Flowers II LP for activities (not self directed) | | | | X | | | | | | | | | | | | | | | | | Partnership income | | | | | X | | | | | | | | |
| | Jupiter JCF AIV II Spec., LP, New York, NY - see JC Flowers II LP for activities (not self directed) | | | | | | X | | | | | | | | | | | | | | | Partnership income | | | | X | | | | | | | | | |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)



| | BLOCK A | BLOCK B Year-End Value of Asset | | | | | | | | | | | | | BLOCK C Type of Income | | | | | | | | BLOCK D Amount of Income | | | | | | | | | | | | BLOCK E Transaction |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| SP, DC, JT | Asset and/or Income Source | A None | B $1 - $1,000 | C $1,001 - $15,000 | D $15,001 - $50,000 | E $50,001 - $100,000 | F $100,001 - $250,000 | G $250,001 - $500,000 | H $500,001 - $1,000,000 | I $1,000,001 - $5,000,000 | J $5,000,001 - $25,000,000 | K $25,000,001 - $50,000,000 | L Over $50,000,000 | M Spouse/DC Asset over $1,000,000* | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | I None | II $1 - $200 | III $201 - $1,000 | IV $1,001 - $2,500 | V $2,501 - $5,000 | VI $5,001 - $15,000 | VII $15,001 - 50,000 | VIII $50,001 - 100,000 | IX $100,001 - $1,000,000 | X $1,000,001 - $5,000,000 | XI over $5,000,000 | XII Spouse/DC Income over $1,000,000* | P, S, E |
| | The HSH AIV 1 Trust, New York, NY - see JC Flowers II LP for activities (not self directed) | | | X | | | | | | | | | | | | | | | | | | Partnership income | | X | | | | | | | | | | | |
| | Lone Cascade, LP, Greenwich, CT - global long-only equity fund (not self directed) | | | | | | | | | X | | | | | | | | | | | | Partnership income | | | | | | | | X | | | | | |
| | Luxor Capital Partners, LP, New York, NY - opportunistic investment fund (not self directed) | | | | | | | | | X | | | | | | | | | | | | Partnership income | | | | | | | | X | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **DELANEY CHILDREN'S INSURANCE TRUST** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Wells Fargo - cash (Ins. Trust) | | | X | | | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **Pacific Life (variable universal life insurance) - cash surrender value** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Global Alloc VI Fund III-BlackRock | | | | X | | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | | |

## SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John K. Delaney



| SP, DC, JT | BLOCK A Asset and/or Income Source | BLOCK B Year-End Value of Asset | | | | | | | | | | | | | BLOCK C Type of Income | | | | | | | | BLOCK D Amount of Income | | | | | | | | | | | | BLOCK E Transaction |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | A None | B $1 - $1,000 | C $1,001 - $15,000 | D $15,001 - $50,000 | E $50,001 - $100,000 | F $100,001 - $250,000 | G $250,001 - $500,000 | H $500,001 - $1,000,000 | I $1,000,001 - $5,000,000 | J $5,000,001 - $25,000,000 | K $25,000,001 - $50,000,000 | L Over $50,000,000 | M Spouse/DC Asset over $1,000,000* | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | I None | II $1 - $200 | III $201 - $1,000 | IV $1,001 - $2,500 | V $2,501 - $5,000 | VI $5,001 - $15,000 | VII $15,001 - 50,000 | VIII $50,001 - 100,000 | IX $100,001 - $1,000,000 | X $1,000,001 - $5,000,000 | XI over $5,000,000 | XII Spouse/DC Income over $1,000,000* | P, S, E |
| | VIP Contrafund 2-Fidelity | | | | | | X | | | | | | | | X | | | | | | | | X | | | | | | | | | | | | |
| | VIP Mid Cap Class 2-Fidelity | | | | | X | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | | |
| | Managed Bond-PIMCO | | | | | X | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | | |
| | International Large Cap-MFS | | | | | X | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | | |
| | Real Estate-Morgan Stanley | | | | X | | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | | |
| | VIP Global Hard Assets-Van Eck | | | | | X | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **SUMMER AND BROOKE DELANEY TRUSTS** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Wells Fargo - bank accounts | | | | X | | | | | | | | | | | | | X | | | | | | X | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **TLM TRUST** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Wells Fargo - bank account | | | X | | | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **WILLIAM BARLOW EDUCATIONAL TRUSTS (3)** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Wells Fargo - money market (Trust) | | | | | X | | | | | | | | | | | | X | | | | | | | X | | | | | | | | | | |

# SCHEDULE IV - TRANSACTIONS

Name John K. Delaney



**Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded $1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.**
**Capital Gains — if a sales transaction resulted in a capital gain in excess of $200, check the "capital gains" box and disclose this income on Schedule III.**
*** This column is for assets solely held by your spouse or dependent child.**

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of $200? | Date | Amount of Transaction |
|---|---|---|---|---|---|
| | (SEE ATTACHED SCHEDULES) | | | | |

## SCHEDULE IV - TRANSACTIONS (continued)

Name John K. Delaney



| SP, DC, JT | Asset | PURCHASE | SALE | EXCHANGE | Check Box if Capital Gain Exceeded $200 | Date (MM/DD/YY) or Quarterly, Monthly, or Bi weekly, if applicable | A $1,001 - $15,000 | B $15,001 - $50,000 | C $50,001 - $100,000 | D $100,001 - $250,000 | E $250,001 - $500,000 | F $500,001 - $1,000,000 | G $1,000,001 - $5,000,000 | H $5,000,001 - $25,000,000 | I $25,000,001 - $50,000,000 | J OVER $50,000,000 | K OVER $1,000,000 (Spouse/DC Asset) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| **WELLS FARGO - M20643 / 8023** | | | | | | | | | | | | | | | | | |
| | Prince Georges Cnty MD - bond | | X | | | 09/17/12 | | | | X | | | | | | | |
| | Bay Area Infrastructure 5% 17, Fing Auth CA - bond (partial call) | | X | | | 10/25/12 | | | X | | | | | | | | |
| | Riverside Cnty CA - bond (partial call) | | X | | | 10/01/12 | X | | | | | | | | | | |
| | Carroll Cnty, MD Ref - Cons Public Impt bond | X | | | | 11/20/12 | | | | X | | | | | | | |
| | University Systems of MD Auxilary Unref Ser A bond | X | | | | 11/16/12 | | | | X | | | | | | | |
| | Maryland State Dept of Transportation - bond | X | | | | 12/18/12 | | | | X | | | | | | | |
| | Ocean City, MD Ref & Mun Purp bond | X | | | | 12/21/12 | | | | X | | | | | | | |
| | | | | | | | | | | | | | | | | | |
| **CONGRESSIONAL BANK - 8309** | | | | | | | | | | | | | | | | | |
| | Congressional Bancshares Inc. - stock | X | | | | 05/31/12 | | X | | | | | | | | | |
| | | | | | | | | | | | | | | | | | |
| **PARTNERSHIP INVESTMENTS** | | | | | | | | | | | | | | | | | |
| | Key Bridge I, LLC - partnership interest | | X | | X | 07/16/12 | | | | | | | X | | | | |
| | | | | | | | | | | | | | | | | | |
| **CHARLES SCHWAB - 9960** | | | | | | | | | | | | | | | | | |
| | American Capital Ltd - stock | X | | | | 05/18/12 | | | | X | | | | | | | |
| | JP Morgan Chase & Co - stock | X | | | | 05/18/12 | | | | X | | | | | | | |

## SCHEDULE IV - TRANSACTIONS (continued)



| SP, DC, JT | Asset | Type of Transaction: PURCHASE | SALE | EXCHANGE | Check Box if Capital Gain Exceeded $200 | Date (MM/DD/YY) or Quarterly, Monthly, or Bi weekly, if applicable | Amount of Transaction: A $1,001 - $15,000 | B $15,001 - $50,000 | C $50,001 - $100,000 | D $100,001 - $250,000 | E $250,001 - $500,000 | F $500,001 - $1,000,000 | G $1,000,001 - $5,000,000 | H $5,000,001 - $25,000,000 | I $25,000,001 - $50,000,000 | J OVER $50,000,000 | K OVER $1,000,000 (Spouse/DC Asset) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | American Capital Ltd - stock | | X | | X | 06/19/12 | | | | X | | | | | | | |
| | Zynga Inc - stock | X | | | | 08/13/12 | | | X | | | | | | | | |
| | JP Morgan Chase & Co - stock | | X | | X | 08/22/12 | | | | X | | | | | | | |
| | Zynga Inc - stock | | X | | X | 08/22/12 | | | X | | | | | | | | |
| | Zynga Inc - stock | X | | | | 08/28/12 | | | X | | | | | | | | |
| | Zynga Inc - stock | | X | | | 09/12/12 | | | X | | | | | | | | |
| | Istar Financial Inc - stock | X | | | | 11/01/12 | | | | | X | | | | | | |
| | Ares Capital Corp - stock | | X | | X | 10/31/12 | | | | | | X | | | | | |
| | Istar Financial Inc - stock | | X | | | 12/19/12 | | | | X | | | | | | | |
| | | | | | | | | | | | | | | | | | |
| CHARLES SCHWAB - 7017 | | | | | | | | | | | | | | | | | |
| | Missouri State Environmental Impt - bond | X | | | | 01/05/12 | | | | X | | | | | | | |
| | Maryland State - bond | X | | | | 02/09/12 | | | | X | | | | | | | |
| | Texas A&M University Revs bond | X | | | | 02/15/12 | | | | X | | | | | | | |
| | Santa Fe TX Indep Sch Dist bond | X | | | | 02/21/12 | | | | X | | | | | | | |
| | Bay Area Infrastructure 5% 17, Fing Auth CA - bond | X | | | | 02/24/12 | | | | X | | | | | | | |
| | Triborough Bridge & Tunl Auth NY bond | X | | | | 02/29/12 | | | | X | | | | | | | |
| | Tobacco Settlement Fing Corp NY bond | X | | | | 03/05/12 | | X | | | | | | | | | |

# SCHEDULE IV - TRANSACTIONS (continued)

Name John K. Delaney



| SP, DC, JT | Asset | Type of Transaction: PURCHASE | SALE | EXCHANGE | Check Box if Capital Gain Exceeded $200 | Date (MM/DD/YY) or Quarterly, Monthly, or Bi weekly, if applicable | A $1,001 - $15,000 | B $15,001 - $50,000 | C $50,001 - $100,000 | D $100,001 - $250,000 | E $250,001 - $500,000 | F $500,001 - $1,000,000 | G $1,000,001 - $5,000,000 | H $5,000,001 - $25,000,000 | I $25,000,001 - $50,000,000 | J OVER $50,000,000 | K OVER $1,000,000 (Spouse/DC Asset) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Illinois State Sales Tax Rev - bond | X | | | | 03/06/12 | | | | X | | | | | | | |
| | New York NY - bond | X | | | | 03/06/12 | | | | X | | | | | | | |
| | New York State Environmental Facs bond | X | | | | 03/26/12 | | | | X | | | | | | | |
| | New York NY - bond | X | | | | 03/27/12 | | | | X | | | | | | | |
| | Pennsylvania State - bond | X | | | | 03/29/12 | | | | X | | | | | | | |
| | Energy Northwest Wash Elec Rev - bond | X | | | | 03/28/12 | | | | X | | | | | | | |
| | Hollywood FL Water & Sewer - bond | X | | | | 04/10/12 | | | | X | | | | | | | |
| | North Carolina State Pub Impt - Ser B bond | X | | | | 04/13/12 | | | | X | | | | | | | |
| | Michigan St Bldg Auth - bond | X | | | | 04/24/12 | | | | X | | | | | | | |
| | Tobacco Settlement Fing Corp NY bond | X | | | | 05/08/12 | | | | X | | | | | | | |
| | Tobacco Settlement Fing Corp NY bond | X | | | | 05/14/12 | | | X | | | | | | | | |
| | Pennsylvania State - bond | X | | | | 06/01/12 | | X | | | | | | | | | |
| | Michigan St Hosp Fin Auth - bond | X | | | | 06/11/12 | | | | X | | | | | | | |
| | Worcester Cnty MD Ref - CNS Pub Impt Proj bond | X | | | | 06/21/12 | | | | X | | | | | | | |
| | New York NY - bond | | X | | | 07/09/12 | X | | | | | | | | | | |
| | New Mexico Fin Auth St Transp bond | X | | | | 07/10/12 | | | | X | | | | | | | |
| | Illinois State Unemployment Ins bond | X | | | | 07/19/12 | | | | X | | | | | | | |
| | Bay Area Infrastructure 5% 17, Fing Auth CA - bond | | X | | | 08/01/12 | | X | | | | | | | | | |

## SCHEDULE IV - TRANSACTIONS (continued)



| SP, DC, JT | Asset | Type of Transaction: PURCHASE | SALE | EXCHANGE | Check Box if Capital Gain Exceeded $200 | Date (MM/DD/YY) or Quarterly, Monthly, or Bi weekly, if applicable | A $1,001 - $15,000 | B $15,001 - $50,000 | C $50,001 - $100,000 | D $100,001 - $250,000 | E $250,001 - $500,000 | F $500,001 - $1,000,000 | G $1,000,001 - $5,000,000 | H $5,000,001 - $25,000,000 | I $25,000,001 - $50,000,000 | J OVER $50,000,000 | K OVER $1,000,000 (Spouse/DC Asset) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | New York NY - bond | | X | | | 08/01/12 | | | | X | | | | | | | |
| | | | | | | | | | | | | | | | | | |
| **1997 Family Trust u/a dtd 12/23/1997** | | | | | | | | | | | | | | | | | |
| **WELLS FARGO M20478** | | | | | | | | | | | | | | | | | |
| | Carroll County MD - bond | X | | | | 11/09/12 | | | | X | | | | | | | |
| | University Systems of Maryland - bond | X | | | | 11/13/12 | | | | X | | | | | | | |
| | Golden State Securities CA - bond | | X | | X | 11/08/12 | | | | X | | | | | | | |
| | Maryland State Dept of Transp - bond | X | | | | 12/13/12 | | | | X | | | | | | | |
| | Miami-Dade County FL - bond | X | | | | 12/03/12 | | | | X | | | | | | | |
| | Oakmark International Fund #109 - mutual fund | X | | | | 12/18/12 | | X | | | | | | | | | |
| | Northhampton Boro PA - bond (unsettled at 12/31/12) | X | | | | 12/07/12 | | | | X | | | | | | | |
| | Prince Georges Cnty MD - bond (unsettled at 12/31/12) | X | | | | 12/27/12 | | | | X | | | | | | | |
| | | | | | | | | | | | | | | | | | |
| **CHARLES SCHWAB xx-5567** | | | | | | | | | | | | | | | | | |
| | Amarillo Texas Indep School District - bond | X | | | | 02/21/12 | | | X | | | | | | | | |
| | Triborough Bridge & Tunl Auth NY- bond | X | | | | 02/29/12 | | | X | | | | | | | | |
| | Illinois State Sales Tax Revenue - bond | X | | | | 03/06/12 | | | X | | | | | | | | |
| | North Carolina State Pub Impt - bond | X | | | | 03/06/12 | | | X | | | | | | | | |

## SCHEDULE IV - TRANSACTIONS (continued)

Name John K. Delaney



| SP, DC, JT | Asset | Type of Transaction: PURCHASE | SALE | EXCHANGE | Check Box if Capital Gain Exceeded $200 | Date (MM/DD/YY) or Quarterly, Monthly, or Bi weekly, if applicable | A $1,001 - $15,000 | B $15,001 - $50,000 | C $50,001 - $100,000 | D $100,001 - $250,000 | E $250,001 - $500,000 | F $500,001 - $1,000,000 | G $1,000,001 - $5,000,000 | H $5,000,001 - $25,000,000 | I $25,000,001 - $50,000,000 | J OVER $50,000,000 | K OVER $1,000,000 (Spouse/DC Asset) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Carroll County MD - bond | | X | | X | 03/08/12 | | | | | X | | | | | | |
| | Washington MD Suburban Sanitation District - bond | X | | | | 03/08/12 | | | | X | | | | | | | |
| | New York State Environment - bond | X | | | | 03/26/12 | | | X | | | | | | | | |
| | University of California - bond | X | | | | 04/10/12 | | | X | | | | | | | | |
| | Florida State Board of Ed - bond | X | | | | 04/27/12 | | | X | | | | | | | | |
| | Worcester County MD - bond | X | | | | 06/21/12 | | | X | | | | | | | | |
| | Oklahoma State Capitol Impt Auth - bond | X | | | | 07/18/12 | | | X | | | | | | | | |
| | Illinois State Unemployment Ins - bond | X | | | | 07/19/12 | | | X | | | | | | | | |
| | Centerville Ohio - bond | X | | | | 07/27/12 | | | | X | | | | | | | |
| | | | | | | | | | | | | | | | | | |
| **CHARLES SCHWAB xx-4239 (equities)** | | | | | | | | | | | | | | | | | |
| | Amphenol Corp Cl A - stock | | X | | X | 01/04/12 | X | | | | | | | | | | |
| | Ecolab Inc - stock | | X | | X | 01/04/12 | X | | | | | | | | | | |
| | Sherwin Williams Co - stock | | X | | X | 01/05/12 | X | | | | | | | | | | |
| | Xylem Inc - stock | | X | | | 01/05/12 | X | | | | | | | | | | |
| | ITT Educational Services Inc - stock | X | | | | 01/06/12 | X | | | | | | | | | | |
| | Sherwin Williams Co - stock | | X | | X | 01/10/12 | X | | | | | | | | | | |
| | CBRE Group Inc - stock | X | | | | 01/11/12 | X | | | | | | | | | | |

# SCHEDULE IV - TRANSACTIONS (continued)



| SP, DC, JT | Asset | Type of Transaction: PURCHASE | SALE | EXCHANGE | Check Box if Capital Gain Exceeded $200 | Date (MM/DD/YY) or Quarterly, Monthly, or Bi weekly, if applicable | A $1,001 - $15,000 | B $15,001 - $50,000 | C $50,001 - $100,000 | D $100,001 - $250,000 | E $250,001 - $500,000 | F $500,001 - $1,000,000 | G $1,000,001 - $5,000,000 | H $5,000,001 - $25,000,000 | I $25,000,001 - $50,000,000 | J OVER $50,000,000 | K OVER $1,000,000 (Spouse/DC Asset) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Sherwin Williams Co - stock | | X | | X | 01/11/12 | X | | | | | | | | | | |
| | Amphenol Corp Cl A - stock | | X | | X | 01/17/12 | X | | | | | | | | | | |
| | Kirby Corporation - stock | | X | | X | 01/19/12 | X | | | | | | | | | | |
| | Ecolab Inc - stock | | X | | X | 01/20/12 | X | | | | | | | | | | |
| | ITT Corporation New - stock | X | | | | 01/20/12 | X | | | | | | | | | | |
| | Interface Inc Cl A - stock | | X | | | 01/20/12 | X | | | | | | | | | | |
| | O'Reilly Automotive New - stock | | X | | X | 01/20/12 | X | | | | | | | | | | |
| | Garmin LTD New - stock | | X | | X | 01/23/12 | X | | | | | | | | | | |
| | Idexx Labs Inc - stock | | X | | X | 01/25/12 | X | | | | | | | | | | |
| | Waters Corp - stock | X | | | | 01/25/12 | | X | | | | | | | | | |
| | Dentsply International Inc - stock | | X | | X | 01/26/12 | X | | | | | | | | | | |
| | Waters Corp - stock | X | | | | 01/26/12 | X | | | | | | | | | | |
| | CBRE Group Inc - stock | X | | | | 01/31/12 | X | | | | | | | | | | |
| | Waters Corp - stock | X | | | | 02/01/12 | X | | | | | | | | | | |
| | Expeditors International Wash - stock | X | | | | 02/03/12 | X | | | | | | | | | | |
| | Kirby Corporation - stock | X | | | | 02/03/12 | X | | | | | | | | | | |
| | Perrigo Co - stock | X | | | | 02/03/12 | X | | | | | | | | | | |
| | Amphenol Corp Cl A - stock | X | | | | 02/14/12 | X | | | | | | | | | | |

# SCHEDULE IV - TRANSACTIONS (continued)

Name John K. Delaney



| SP, DC, JT | Asset | Type of Transaction: PURCHASE | SALE | EXCHANGE | Check Box if Capital Gain Exceeded $200 | Date (MM/DD/YY) or Quarterly, Monthly, or Bi weekly, if applicable | Amount of Transaction: A $1,001 - $15,000 | B $15,001 - $50,000 | C $50,001 - $100,000 | D $100,001 - $250,000 | E $250,001 - $500,000 | F $500,001 - $1,000,000 | G $1,000,001 - $5,000,000 | H $5,000,001 - $25,000,000 | I $25,000,001 - $50,000,000 | J OVER $50,000,000 | K OVER $1,000,000 (Spouse/DC Asset) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Markel Corp Holding Company - stock | X | | | | 02/14/12 | X | | | | | | | | | | |
| | O'Reilly Automotive New - stock | | X | | X | 02/14/12 | X | | | | | | | | | | |
| | Interpublic Group of Cos - stock | | X | | X | 02/15/12 | X | | | | | | | | | | |
| | Interface Inc Cl A - stock | | X | | X | 02/16/12 | X | | | | | | | | | | |
| | Waters Corp - stock | X | | | | 02/16/12 | | X | | | | | | | | | |
| | Garmin LTD New - stock | | X | | X | 02/21/12 | X | | | | | | | | | | |
| | Expeditors International Wash - stock | X | | | | 02/22/12 | X | | | | | | | | | | |
| | ITT Corporation New - stock | X | | | | 02/22/12 | X | | | | | | | | | | |
| | Xylem Inc - stock | X | | | | 02/28/12 | X | | | | | | | | | | |
| | ITT Corporation New - stock | X | | | | 02/29/12 | X | | | | | | | | | | |
| | Garmin LTD New - stock | | X | | X | 03/01/12 | X | | | | | | | | | | |
| | Xylem Inc - stock | X | | | | 03/02/12 | X | | | | | | | | | | |
| | Garmin LTD New - stock | | X | | X | 03/05/12 | X | | | | | | | | | | |
| | Williams Sonoma - stock | X | | | | 03/08/12 | | X | | | | | | | | | |
| | Williams Sonoma - stock | X | | | | 03/09/12 | X | | | | | | | | | | |
| | Waters Corp - stock | X | | | | 03/19/12 | X | | | | | | | | | | |
| | Amphenol Corp Cl A - stock | X | | | | 03/27/12 | | X | | | | | | | | | |
| | Dentsply International Inc - stock | X | | | | 03/27/12 | X | | | | | | | | | | |

## SCHEDULE IV - TRANSACTIONS (continued)

Name John K. Delaney



| SP, DC, JT | Asset | Type of Transaction: PURCHASE | SALE | EXCHANGE | Check Box if Capital Gain Exceeded $200 | Date (MM/DD/YY) or Quarterly, Monthly, or Bi weekly, if applicable | Amount of Transaction: A $1,001 - $15,000 | B $15,001 - $50,000 | C $50,001 - $100,000 | D $100,001 - $250,000 | E $250,001 - $500,000 | F $500,001 - $1,000,000 | G $1,000,001 - $5,000,000 | H $5,000,001 - $25,000,000 | I $25,000,001 - $50,000,000 | J OVER $50,000,000 | K OVER $1,000,000 (Spouse/DC Asset) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Williams Sonoma - stock | X | | | | 03/27/12 | X | | | | | | | | | | |
| | Xylem Inc - stock | X | | | | 03/28/12 | X | | | | | | | | | | |
| | Garmin LTD New - stock | | X | | X | 03/29/12 | X | | | | | | | | | | |
| | I H S Inc - stock | | X | | X | 03/29/12 | X | | | | | | | | | | |
| | Idexx Labs Inc - stock | | X | | X | 03/29/12 | X | | | | | | | | | | |
| | Lab Corp of America Holding New - stock | | X | | X | 03/29/12 | X | | | | | | | | | | |
| | ITT Corporation New - stock | X | | | | 04/04/12 | X | | | | | | | | | | |
| | Interface Inc Cl A - stock | | X | | | 04/25/12 | X | | | | | | | | | | |
| | Scripps Network Interactive Cl A - stock | X | | | | 05/03/12 | X | | | | | | | | | | |
| | C H Robinson Worldwide New - stock | X | | | | 05/04/12 | X | | | | | | | | | | |
| | Xylem Inc - stock | | X | | X | 05/04/12 | X | | | | | | | | | | |
| | Interpublic Group of Cos - stock | | X | | X | 05/08/12 | X | | | | | | | | | | |
| | Sherwin Williams Co - stock | | X | | X | 05/08/12 | X | | | | | | | | | | |
| | Dentsply International Inc - stock | X | | | | 05/09/12 | X | | | | | | | | | | |
| | Expeditors International Wash - stock | | X | | | 05/09/12 | X | | | | | | | | | | |
| | Kirby Corporation - stock | X | | | | 05/10/12 | X | | | | | | | | | | |
| | Sherwin Williams Co - stock | | X | | X | 05/10/12 | X | | | | | | | | | | |
| | Dentsply International Inc - stock | X | | | | 05/14/12 | X | | | | | | | | | | |

# SCHEDULE IV - TRANSACTIONS (continued)

Name John K. Delaney



| SP, DC, JT | Asset | Type of Transaction: PURCHASE | SALE | EXCHANGE | Check Box if Capital Gain Exceeded $200 | Date (MM/DD/YY) or Quarterly, Monthly, or Bi weekly, if applicable | A $1,001 - $15,000 | B $15,001 - $50,000 | C $50,001 - $100,000 | D $100,001 - $250,000 | E $250,001 - $500,000 | F $500,001 - $1,000,000 | G $1,000,001 - $5,000,000 | H $5,000,001 - $25,000,000 | I $25,000,001 - $50,000,000 | J OVER $50,000,000 | K OVER $1,000,000 (Spouse/DC Asset) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Scripps Network Interactive Cl A - stock | X | | | | 05/14/12 | X | | | | | | | | | | |
| | Expeditors International Wash - stock | | X | | | 05/15/12 | | X | | | | | | | | | |
| | O'Reilly Automotive New - stock | | X | | X | 05/15/12 | X | | | | | | | | | | |
| | Scripps Network Interactive Cl A - stock | X | | | | 05/15/12 | X | | | | | | | | | | |
| | Expeditors International Wash - stock | | X | | | 05/16/12 | X | | | | | | | | | | |
| | Ametek Inc New - stock | | X | | X | 05/17/12 | X | | | | | | | | | | |
| | Expeditors International Wash - stock | | X | | | 05/17/12 | X | | | | | | | | | | |
| | ITT Educational Services Inc - stock | | X | | X | 05/17/12 | X | | | | | | | | | | |
| | Paychex Inc - stock | X | | | | 05/17/12 | X | | | | | | | | | | |
| | Transdigm Group Inc - stock | X | | | | 05/17/12 | X | | | | | | | | | | |
| | Expeditors International Wash - stock | | X | | | 05/18/12 | | X | | | | | | | | | |
| | Waters Corp - stock | | X | | | 05/18/12 | X | | | | | | | | | | |
| | ITT Educational Services Inc - stock | | X | | | 05/21/12 | X | | | | | | | | | | |
| | Waters Corp - stock | | X | | | 05/21/12 | X | | | | | | | | | | |
| | Kirby Corporation - stock | X | | | | 05/22/12 | X | | | | | | | | | | |
| | C H Robinson Worldwide New - stock | X | | | | 05/23/12 | X | | | | | | | | | | |
| | CBRE Group Inc - stock | X | | | | 05/23/12 | X | | | | | | | | | | |
| | Dentsply International Inc - stock | X | | | | 05/23/12 | X | | | | | | | | | | |

## SCHEDULE IV - TRANSACTIONS (continued)



| SP, DC, JT | Asset | Type of Transaction: PURCHASE | SALE | EXCHANGE | Check Box if Capital Gain Exceeded $200 | Date (MM/DD/YY) or Quarterly, Monthly, or Bi weekly, if applicable | A $1,001 - $15,000 | B $15,001 - $50,000 | C $50,001 - $100,000 | D $100,001 - $250,000 | E $250,001 - $500,000 | F $500,001 - $1,000,000 | G $1,000,001 - $5,000,000 | H $5,000,001 - $25,000,000 | I $25,000,001 - $50,000,000 | J OVER $50,000,000 | K OVER $1,000,000 (Spouse/DC Asset) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Interface Inc - stock | | X | | X | 05/23/12 | X | | | | | | | | | | |
| | Paychex Inc - stock | X | | | | 05/23/12 | X | | | | | | | | | | |
| | Dentsply International Inc - stock | X | | | | 05/25/12 | X | | | | | | | | | | |
| | Williams Sonoma - stock | X | | | | 05/25/12 | X | | | | | | | | | | |
| | CH Robinson Worldwide New - stock | X | | | | 05/29/12 | X | | | | | | | | | | |
| | Amphenol Corp Cl A - stock | X | | | | 06/01/12 | X | | | | | | | | | | |
| | Dentsply International Inc - stock | X | | | | 06/01/12 | X | | | | | | | | | | |
| | Kirby Corporation - stock | X | | | | 06/01/12 | X | | | | | | | | | | |
| | Xylem Inc - stock | | X | | | 06/01/12 | X | | | | | | | | | | |
| | Interface Inc - stock | | X | | | 06/04/12 | X | | | | | | | | | | |
| | Sherwin Williams Co - stock | | X | | X | 06/05/12 | X | | | | | | | | | | |
| | Kirby Corporation - stock | X | | | | 06/06/12 | X | | | | | | | | | | |
| | Garmin LTD New - stock | X | | | | 06/11/12 | X | | | | | | | | | | |
| | Garmin LTD New - stock | X | | | | 06/13/12 | X | | | | | | | | | | |
| | Kirby Corporation - stock | X | | | | 06/13/12 | X | | | | | | | | | | |
| | Sherwin Williams Co - stock | | X | | X | 06/13/12 | X | | | | | | | | | | |
| | Xylem Inc - stock | | X | | | 06/14/12 | X | | | | | | | | | | |
| | Graco Incorporated - stock | | X | | X | 06/15/12 | X | | | | | | | | | | |

## SCHEDULE IV - TRANSACTIONS (continued)

| SP, DC, JT | Asset | Type of Transaction: PURCHASE | SALE | EXCHANGE | Check Box if Capital Gain Exceeded $200 | Date (MM/DD/YY) or Quarterly, Monthly, or Bi weekly, if applicable | Amount of Transaction: A $1,001 - $15,000 | B $15,001 - $50,000 | C $50,001 - $100,000 | D $100,001 - $250,000 | E $250,001 - $500,000 | F $500,001 - $1,000,000 | G $1,000,001 - $5,000,000 | H $5,000,001 - $25,000,000 | I $25,000,001 - $50,000,000 | J OVER $50,000,000 | K OVER $1,000,000 (Spouse/DC Asset) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Interpublic Group of Cos - stock | | X | | X | 06/19/12 | X | | | | | | | | | | |
| | Heico Corp New - stock | X | | | | 06/21/12 | X | | | | | | | | | | |
| | Kirby Corporation - stock | X | | | | 06/25/12 | X | | | | | | | | | | |
| | Heico Corp New - stock | X | | | | 06/26/12 | X | | | | | | | | | | |
| | O'Reilly Automotive New - stock | X | | | | 06/27/12 | | X | | | | | | | | | |
| | Sherwin Williams Co - stock | | X | | X | 06/27/12 | X | | | | | | | | | | |
| | Tiffany & Co New - stock | X | | | | 06/29/12 | X | | | | | | | | | | |
| | Waters Corp - stock | | X | | | 06/29/12 | X | | | | | | | | | | |
| | Idexx Labs Inc - stock | | X | | X | 07/03/12 | X | | | | | | | | | | |
| | Wabtec - stock | | X | | X | 07/03/12 | X | | | | | | | | | | |
| | Tiffany & Co New - stock | X | | | | 07/05/12 | X | | | | | | | | | | |
| | Heico Corp New - stock | X | | | | 07/06/12 | X | | | | | | | | | | |
| | C H Robinson Worldwide New - stock | | X | | | 07/11/12 | X | | | | | | | | | | |
| | C H Robinson Worldwide New - stock | | X | | | 07/13/12 | X | | | | | | | | | | |
| | Paychex Inc - stock | X | | | | 07/18/12 | X | | | | | | | | | | |
| | Wabtec - stock | | X | | X | 07/19/12 | X | | | | | | | | | | |
| | Graco Incorporated - stock | | X | | X | 07/20/12 | X | | | | | | | | | | |
| | C H Robinson Worldwide New - stock | | X | | | 07/23/12 | X | | | | | | | | | | |

# SCHEDULE IV - TRANSACTIONS (continued)

Name John K. Delaney



| SP, DC, JT | Asset | Type of Transaction: PURCHASE | SALE | EXCHANGE | Check Box if Capital Gain Exceeded $200 | Date (MM/DD/YY) or Quarterly, Monthly, or Bi weekly, if applicable | A $1,001 - $15,000 | B $15,001 - $50,000 | C $50,001 - $100,000 | D $100,001 - $250,000 | E $250,001 - $500,000 | F $500,001 - $1,000,000 | G $1,000,001 - $5,000,000 | H $5,000,001 - $25,000,000 | I $25,000,001 - $50,000,000 | J OVER $50,000,000 | K OVER $1,000,000 (Spouse/DC Asset) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | MSC Indl Direct Inc Cl A - stock | X | | | | 07/25/12 | X | | | | | | | | | | |
| | Transdigm Group Inc - stock | X | | | | 07/26/12 | X | | | | | | | | | | |
| | ITT Corporation New - stock | | X | | X | 07/27/12 | X | | | | | | | | | | |
| | Williams Sonoma - stock | X | | | | 07/27/12 | X | | | | | | | | | | |
| | MSC Indl Direct Inc Cl A - stock | X | | | | 07/30/12 | X | | | | | | | | | | |
| | ITT Corporation New - stock | | X | | | 07/31/12 | X | | | | | | | | | | |
| | CBRE Group Inc - stock | X | | | | 08/01/12 | X | | | | | | | | | | |
| | MSC Indl Direct Inc Cl A - stock | X | | | | 08/01/12 | X | | | | | | | | | | |
| | Heico Corp New - stock | X | | | | 08/02/12 | X | | | | | | | | | | |
| | MSC Indl Direct Inc Cl A - stock | X | | | | 08/06/12 | X | | | | | | | | | | |
| | Brookfield Asset Mgmt FD Vtg Shs Cl A - stock | | X | | X | 08/07/12 | | X | | | | | | | | | |
| | Perkinelmer Inc - stock | X | | | | 08/09/12 | X | | | | | | | | | | |
| | Pall Corp - stock | X | | | | 08/22/12 | X | | | | | | | | | | |
| | Pall Corp - stock | X | | | | 08/23/12 | | X | | | | | | | | | |
| | Sherwin Williams Co - stock | | X | | X | 08/24/12 | X | | | | | | | | | | |
| | Sherwin Williams Co - stock | | X | | X | 08/27/12 | X | | | | | | | | | | |
| | Lab Corp of America Holding New - stock | | X | | X | 08/28/12 | X | | | | | | | | | | |
| | Waters Corp - stock | | X | | | 08/28/12 | X | | | | | | | | | | |

## SCHEDULE IV - TRANSACTIONS (continued)

Name John K. Delaney



| SP, DC, JT | Asset | Type of Transaction: PURCHASE | SALE | EXCHANGE | Check Box if Capital Gain Exceeded $200 | Date (MM/DD/YY) or Quarterly, Monthly, or Bi weekly, if applicable | Amount of Transaction: A $1,001 - $15,000 | B $15,001 - $50,000 | C $50,001 - $100,000 | D $100,001 - $250,000 | E $250,001 - $500,000 | F $500,001 - $1,000,000 | G $1,000,001 - $5,000,000 | H $5,000,001 - $25,000,000 | I $25,000,001 - $50,000,000 | J OVER $50,000,000 | K OVER $1,000,000 (Spouse/DC Asset) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Wolverine World Wide Inc - stock | X | | | | 08/28/12 | X | | | | | | | | | | |
| | Waters Corp - stock | | X | | | 08/29/12 | X | | | | | | | | | | |
| | Wolverine World Wide Inc - stock | X | | | | 08/29/12 | X | | | | | | | | | | |
| | Lab Corp of America Holding New - stock | | X | | X | 08/31/12 | X | | | | | | | | | | |
| | Pall Corp - stock | X | | | | 08/31/12 | X | | | | | | | | | | |
| | Wolverine World Wide Inc - stock | X | | | | 09/06/12 | | X | | | | | | | | | |
| | Idexx Labs Inc - stock | | X | | X | 09/13/12 | X | | | | | | | | | | |
| | O'Reilly Automotive New - stock | X | | | | 09/13/12 | X | | | | | | | | | | |
| | Pall Corp - stock | X | | | | 09/13/12 | X | | | | | | | | | | |
| | Patterson Companies - stock | | X | | X | 09/13/12 | | X | | | | | | | | | |
| | Pall Corp - stock | X | | | | 09/14/12 | X | | | | | | | | | | |
| | Scripps Network Interactive Cl A - stock | | X | | X | 09/14/12 | X | | | | | | | | | | |
| | Patterson Companies - stock | | X | | X | 09/20/12 | X | | | | | | | | | | |
| | Tiffany & Co New - stock | | X | | X | 09/20/12 | X | | | | | | | | | | |
| | ITT Corporation New - stock | | X | | | 09/26/12 | X | | | | | | | | | | |
| | Tiffany & Co New - stock | | X | | X | 09/26/12 | X | | | | | | | | | | |
| | MSC Indl Direct Inc Cl A - stock | X | | | | 09/27/12 | X | | | | | | | | | | |
| | ITT Corporation New - stock | | X | | | 10/02/12 | X | | | | | | | | | | |

## SCHEDULE IV - TRANSACTIONS (continued)

| SP, DC, JT | Asset | Type of Transaction: PURCHASE | SALE | EXCHANGE | Check Box if Capital Gain Exceeded $200 | Date (MM/DD/YY) or Quarterly, Monthly, or Bi weekly, if applicable | Amount of Transaction: A $1,001 - $15,000 | B $15,001 - $50,000 | C $50,001 - $100,000 | D $100,001 - $250,000 | E $250,001 - $500,000 | F $500,001 - $1,000,000 | G $1,000,001 - $5,000,000 | H $5,000,001 - $25,000,000 | I $25,000,001 - $50,000,000 | J OVER $50,000,000 | K OVER $1,000,000 (Spouse/DC Asset) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Pall Corp - stock | X | | | | 10/02/12 | X | | | | | | | | | | |
| | Wolverine World Wide Inc - stock | X | | | | 10/02/12 | X | | | | | | | | | | |
| | MSC Indl Direct Inc Cl A - stock | X | | | | 10/11/12 | X | | | | | | | | | | |
| | Williams Sonoma - stock | X | | | | 10/15/12 | X | | | | | | | | | | |
| | O'Reilly Automotive New - stock | X | | | | 10/18/12 | X | | | | | | | | | | |
| | Scripps Network Interactive Cl A - stock | | X | | X | 10/18/12 | X | | | | | | | | | | |
| | Wolverine World Wide Inc - stock | X | | | | 10/19/12 | X | | | | | | | | | | |
| | Signet Jewelers Ltd - stock | | X | | X | 10/25/12 | X | | | | | | | | | | |
| | Garmin LTD New - stock | X | | | | 10/31/12 | X | | | | | | | | | | |
| | Interpublic Group of Cos - stock | | X | | X | 10/31/12 | X | | | | | | | | | | |
| | O'Reilly Automotive New - stock | | X | | X | 10/31/12 | X | | | | | | | | | | |
| | Transdigm Group Inc - stock | | X | | X | 10/31/12 | X | | | | | | | | | | |
| | Idexx Labs Inc - stock | | X | | X | 11/01/12 | X | | | | | | | | | | |
| | Interpublic Group of Cos - stock | | X | | X | 11/01/12 | X | | | | | | | | | | |
| | O'Reilly Automotive New - stock | | X | | X | 11/01/12 | | X | | | | | | | | | |
| | Patterson Companies - stock | | X | | X | 11/01/12 | X | | | | | | | | | | |
| | Sigma Aldrich Corp - stock | | X | | X | 11/01/12 | | X | | | | | | | | | |
| | Signet Jewelers Ltd - stock | | X | | X | 11/01/12 | | X | | | | | | | | | |

# SCHEDULE IV - TRANSACTIONS (continued)

Name John K. Delaney



| SP, DC, JT | Asset | Type of Transaction: PURCHASE | SALE | EXCHANGE | Check Box if Capital Gain Exceeded $200 | Date (MM/DD/YY) or Quarterly, Monthly, or Bi weekly, if applicable | A $1,001 - $15,000 | B $15,001 - $50,000 | C $50,001 - $100,000 | D $100,001 - $250,000 | E $250,001 - $500,000 | F $500,001 - $1,000,000 | G $1,000,001 - $5,000,000 | H $5,000,001 - $25,000,000 | I $25,000,001 - $50,000,000 | J OVER $50,000,000 | K OVER $1,000,000 (Spouse/DC Asset) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | IHS Inc - stock | X | | | | 11/02/12 | X | | | | | | | | | | |
| | Sherwin Williams Co - stock | X | | | | 11/05/12 | X | | | | | | | | | | |
| | Wabtec - stock | | X | | X | 11/05/12 | X | | | | | | | | | | |
| | Pall Corp - stock | X | | | | 11/06/12 | | X | | | | | | | | | |
| | Wolverine World Wide Inc - stock | X | | | | 11/06/12 | X | | | | | | | | | | |
| | Idexx Labs Inc - stock | | X | | X | 11/09/12 | X | | | | | | | | | | |
| | Harley Davidson Inc - stock | X | | | | 11/14/12 | | X | | | | | | | | | |
| | Williams Sonoma - stock | X | | | | 11/14/12 | X | | | | | | | | | | |
| | Harley Davidson Inc - stock | X | | | | 11/15/12 | X | | | | | | | | | | |
| | Sherwin Williams Co - stock | X | | | | 11/16/12 | X | | | | | | | | | | |
| | Williams Sonoma - stock | X | | | | 11/19/12 | X | | | | | | | | | | |
| | Airgas Inc - stock | X | | | | 11/20/12 | X | | | | | | | | | | |
| | Patterson Companies - stock | | X | | X | 11/20/12 | X | | | | | | | | | | |
| | Wolverine World Wide Inc - stock | X | | | | 11/20/12 | X | | | | | | | | | | |
| | CBRE Group Inc - stock | | X | | X | 11/21/12 | X | | | | | | | | | | |
| | Harley Davidson Inc - stock | X | | | | 11/21/12 | | X | | | | | | | | | |
| | Idexx Labs Inc - stock | | X | | X | 11/30/12 | | X | | | | | | | | | |
| | Airgas Inc - stock | X | | | | 12/04/12 | X | | | | | | | | | | |

## SCHEDULE IV - TRANSACTIONS (continued)

Name John K. Delaney



| SP, DC, JT | Asset | Type of Transaction: PURCHASE | SALE | EXCHANGE | Check Box if Capital Gain Exceeded $200 | Date (MM/DD/YY) or Quarterly, Monthly, or Bi weekly, if applicable | Amount of Transaction: A $1,001 - $15,000 | B $15,001 - $50,000 | C $50,001 - $100,000 | D $100,001 - $250,000 | E $250,001 - $500,000 | F $500,001 - $1,000,000 | G $1,000,001 - $5,000,000 | H $5,000,001 - $25,000,000 | I $25,000,001 - $50,000,000 | J OVER $50,000,000 | K OVER $1,000,000 (Spouse/DC Asset) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Airgas Inc - stock | X | | | | 12/06/12 | | X | | | | | | | | | |
| | Ametek Inc New - stock | | X | | X | 12/06/12 | | X | | | | | | | | | |
| | Harley Davidson Inc - stock | X | | | | 12/06/12 | X | | | | | | | | | | |
| | Scripps Network Interactive Cl A - stock | X | | | | 12/06/12 | | X | | | | | | | | | |
| | Wabtec - stock | | X | | X | 12/06/12 | X | | | | | | | | | | |
| | Lab Corp of America Holding New - stock | | X | | X | 12/11/12 | X | | | | | | | | | | |
| | Scripps Network Interactive Cl A - stock | X | | | | 12/11/12 | X | | | | | | | | | | |
| | Airgas Inc - stock | X | | | | 12/12/12 | | X | | | | | | | | | |
| | Harley Davidson Inc - stock | X | | | | 12/21/12 | X | | | | | | | | | | |
| | O'Reilly Automotive New - stock | | X | | X | 12/12/12 | X | | | | | | | | | | |
| | Micros Systems Inc - stock | X | | | | 12/14/12 | X | | | | | | | | | | |
| | Micros Systems Inc - stock | X | | | | 12/17/12 | X | | | | | | | | | | |
| | Sigma Aldrich Corp - stock | | X | | X | 12/17/12 | X | | | | | | | | | | |
| | Markel Corp Holding Company - stock | | X | | X | 12/19/12 | X | | | | | | | | | | |
| | Patterson Companies - stock | | X | | X | 12/20/12 | X | | | | | | | | | | |
| | Williams Sonoma - stock (unsettled at 12/31/12) | X | | | | 12/28/12 | X | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | |

## SCHEDULE IV - TRANSACTIONS (continued)

Name John K. Delaney



| | | Type of Transaction | | | | Date | Amount of Transaction | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| SP, DC, JT | Asset | PURCHASE | SALE | EXCHANGE | Check Box if Capital Gain Exceeded $200 | (MM/DD/YY) or Quarterly, Monthly, or Bi weekly, if applicable | A $1,001 - $15,000 | B $15,001 - $50,000 | C $50,001 - $100,000 | D $100,001 - $250,000 | E $250,001 - $500,000 | F $500,001 - $1,000,000 | G $1,000,001 - $5,000,000 | H $5,000,001 - $25,000,000 | I $25,000,001 - $50,000,000 | J OVER $50,000,000 | K OVER $1,000,000 (Spouse/DC Asset) |
| **PIMCO TOTAL RETURN INST** | | | | | | | | | | | | | | | | | |
| | PIMCO Total Return Inst - mutual fund (reinv. div.) | X | | | | monthly | | | | X | | | | | | | |
| | | | | | | | | | | | | | | | | | |

# SCHEDULE V - LIABILITIES

Name John K. Delaney



**Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000. *This column is for liabilities held solely by your spouse or dependent child.**

| SP, DC, JT | Creditor | Date Liability Incurred | Type of Liability | Amount of Liability |
|---|---|---|---|---|
| JT | Wells Fargo Home Mortgage | April 2011 | Mortgage on personal residence, Potomac, MD | $1,000,001 - $5,000,000 |
| | The 1997 Family Trust, Potomac, MD | September 2011 | Investment loan, due on demand | $1,000,001 - $5,000,000 |
| | Charles Schwab | May 2012 | Margin loan (repaid) | $250,001 - $500,000 |
| JT | American Express | December 2012 | Credit Card | $15,001 - $50,000 |

# SCHEDULE VIII - POSITIONS

Name John K. Delaney



**Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.**

| Position | Name of Organization |
|---|---|
| Co-Founder, President, Chief Executive Officer (CEO) and Executive Chairman of the Board | CapitalSource Inc. |
| Chairman of the Board | CapitalSource Bank, Inc. |
| Co-Founder, Co-CEO, Chairman and Member of LLC | Alliance Partners, LLC |
| Founder and Chairman | Blueprint Maryland |
| Director | Georgetown University |
| Member of Executive Committee of the Board and Board of Trustees | Potomac School |
| Past Chairman of the Board | St. Patrick's Episcopal Day School, Washington, DC |
| Director | National Symphony Orchestra |
| Past Director | International Center for Research on Women |
| Director / Chariman | Congressional Bank |
| Director | One Pacific Coast Bank |
| Member of Advisory Board | Enlightenment Capital |

# SCHEDULE VIII - POSITIONS

Name John K. Delaney



**Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.**

| Position | Name of Organization |
|---|---|
| Limited partner | Acacia Partners, LP |
| Limited partner | American Steadfast, LP |
| Limited partner | The D3 Family Bulldog Fund, LP |
| Limited partner | Deccan Value Advisors Fund, LP |
| Limited partner | Farallon Capital Partners, LP |
| Limited partner | Healthpoint Capital Partners II, LP |
| Limited partner | JC Flowers II, LP |
| Limited partner | JCF HRE AIV II 1 Trust |
| Limited partner | JCF II AIV K, LP |
| Limited partner | Jupiter JCF AIV II Special, LP |
| Limited partner | The HSH AIV 1 Trust |
| Limited partner | Lone Cascade, LP |

# SCHEDULE VIII - POSITIONS

Name John K. Delaney



**Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.**

| Position | Name of Organization |
|---|---|
| Limited partner | Bristol Waldorf, LP |
| LLC Member | EVR Investment, LLC |
| LLC Member - Manager | Friends of St. Patrick's Episcopal Day School, LLC |
| LLC Member | Somafit Holdings, LLC |
| LLC Member | WF Holding Co, LLC |
| LLC Majority Member | Key Bridge I, LLC |
| Managing Member and registered agent for MD company | Key Bridge Investments, LLC |
| Sole LLC Member | Soma 2003 LLC |
| Chairman | CapitalSource Charitable Foundation |

# SCHEDULE IX - AGREEMENTS

Name John K. Delaney



**Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.**

| Date | Parties To | Terms of Agreement |
|---|---|---|
| 6/15/12 | AP Commercial LLC, Alliance Partners LLC, and John K. Delaney | Employment agreement through December 2012, with continued availability of health insurance benefits, office and support services post termination. |
| 6/15/12 | Alliance Partners Management LLC, Alliance Partners LLC, and John K. Delaney | Awarded profits units under the Company's Long Term Incentive Plan, which fully vested on 12/31/12. |