UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2013 AUG 13 PM 1:34
MC

K. Jacqueline Speier
(Full Name)

(Daytime Telephone)

(Office Use Only)

A $200 penalty shall be assessed against anyone who files more than 30 days late.

Filer Status	☑ Member of the U.S. House of Representative — State: CA, District: 14	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $350 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☑ No ☐

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

IPO--	Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Yes ☐ No ☑
Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name K. Jacqueline Speier

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Strategic Investment Solutions, Inc., San Francisco, CA	Spouse Salary	N/A
State of California	Legislative Pension	$6,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name K. Jacqueline Speier

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than $200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds. For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling $5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left. For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.	BLOCK B **Year-End Value of Asset** Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold during the reporting year and is included only because it generated income, the value should be "None." * This column is for assets held solely by your spouse or dependent child.	BLOCK C **Type of Income** Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	BLOCK D **Amount of Income** For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated. * This column is for income generated by assets held solely by your spouse or dependent child.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
SP	American Century (IRA) Vista	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	S
SP	Ameriprise (IRA) International Fund	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Ares Capitol - Closed-end Mutual Fund	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
SP	Bank of America (Assets)	$15,001 - $50,000	INTEREST/INTE REST	$201 - $1,000	
	Burlingame, CA 94010 (DC owns a percentage of rental property)	$500,001 - $1,000,000	RENT	$15,001 - $50,000	

SP	Charles Schwab - Ascent Stock	$1 - $1,000	DIVIDENDS	NONE	
SP	Charles Schwab - Black Diamond, formerly known as Clarus Corp Stock	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	Charles Schwab - Electronic Arts (401k) - Allianz GI Technology Institutional	$1,001 - $15,000	Other: Tax-Deferred	$1 - $200	
	Charles Schwab - Electronic Arts (401k) - American Funds Investment Co. of America	$1,001 - $15,000	Other: Tax-Deferred	$1 - $200	
	Charles Schwab - Electronic Arts (401k) - Dodge & Cox International Stock	$1,001 - $15,000	Other: Tax-Deferred	$1 - $200	
	Charles Schwab - Electronic Arts (401k) - Vanguard Wellington Adm	$1 - $1,000	Other: Tax-Deferred	NONE	
SP	Charles Schwab - Harbinger	$1 - $1,000	DIVIDENDS	NONE	
SP	Charles Schwab Anglogold Ashanti LT Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Charles Schwab (401k) - MedAmerica, Inc. - American Funds Euro Pacific GR R5	$50,001 - $100,000	Other: Tax Deferred	$201 - $1,000	
	Charles Schwab (401K) - MedAmerica, Inc. - Investo Equity Real Estate Security	$15,001 - $50,000	Other: Tax Deferred	$201 - $1,000	
	Charles Schwab (401k) - MedAmerica, Inc. - PIMCO Total Return Instl	$15,001 - $50,000	Other: Tax-Deferred	$1,001 - $2,500	

	Charles Schwab (401k) - MedAmerica, Inc. - Schwab Total Stock Market Index	$15,001 - $50,000	Other: Tax Deferred	$201 - $1,000	
	Charles Schwab (401k) - MedAmerica, Inc. - Value ADV Money INSG	$15,001 - $50,000	Other: Tax-Deferred	$1 - $200	
	Charles Schwab (401k) - MedAmerica, Inc. - Vanguard Explorer ADM	$15,001 - $50,000	Other: Tax-Deferred	$201 - $1,000	
	Charles Schwab (IRA) - Ford Motor Company	$1,001 - $15,000	None	NONE	
	Charles Schwab (IRA) - General Electric Company	$1,001 - $15,000	None	NONE	
	Charles Schwab (IRA) - XYLEM, Inc.	$1,001 - $15,000	None	NONE	
	Charles Schwab (IRA) Cisco Systems, Inc. Stock	$1,001 - $15,000	None	NONE	
	Charles Schwab (IRA) Schwab Money Market Fund	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Charles Schwab (Strategic) Schwab Money Market Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
SP	Charles Schwab (Strategic) Danos Corp Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Charles Schwab (Strategic) Dell Inc. Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Charles Schwab (Strategic) Money Market	$100,001 - $250,000	DIVIDENDS	$201 - $1,000	
DC	Charles Schwab (Trust) Comcast Corp. Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name K. Jacqueline Speier

DC	Charles Schwab (Trust) McKesson Corp. Stock	$15,001 - $50,000	DIVIDENDS	$1 - $200	
DC	Charles Schwab (Trust) Pac-West Telecomm, Inc. Stock	$1 - $1,000	None	NONE	
DC	Charles Schwab (Trust) Walt Disney Co. Stock	$15,001 - $50,000	None	NONE	
SP	Charles Schwab Community Property Money Market	$100,001 - $250,000	DIVIDENDS	$201 - $1,000	
	Charles Schwab -Electronic Arts (401k) - American Funds Growth Fund of America	$15,001 - $50,000	Other: Tax Deferred	$201 - $1,000	
SP	Charles Schwab Money Market (SARSEP)	$1 - $1,000	DIVIDENDS	$1 - $200	
SP	Charles Schwab Amereco Stock	$1 - $1,000	None	NONE	
SP	Charles Schwab Discovery Holding Co. Stock	$1 - $1,000	DIVIDENDS	$1 - $200	
SP	Charles Schwab DWS Emerging Market Mutual	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	
SP	Charles Schwab First Medical Group	$1 - $1,000	DIVIDENDS	$1 - $200	
SP	Charles Schwab Graham-Field Health, PR Stock	$1 - $1,000	DIVIDENDS	$1 - $200	
SP	Charles Schwab Healthsport Inc. Stock	$1 - $1,000	DIVIDENDS	$1 - $200	
SP	Charles Schwab Liberty Media Interactive Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Charles Schwab Mphase Technologies, Inc. Stock	$1 - $1,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name K. Jacqueline Speier

JT	Charles Schwab Schwab (Muni Bond Fund)	$500,001 - $1,000,000	DIVIDENDS	$1,001 - $2,500	
SP	Charles Schwab Trimedyne, Inc. Stock	$1 - $1,000	DIVIDENDS	$201 - $1,000	
	City Morgan Stanley AOL Stock	$1 - $1,000	DIVIDENDS	$1 - $200	S
	City National Bank - Checking Account	$1 - $1,000	INTEREST	$1 - $200	
	Conceptus (Stock Options) - Strike Price - $18.68 (Underwater for most of 2012)	$1,001 - $15,000	NONE	NONE	
DC	Fidelity - EDU CA Guaranteed Option Fund	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
SP	Gabelli Asset Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Gabelli Small Capital Growth	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
DC	Guardian (Whole Life Insurance Policy)	$1,001 - $15,000		NONE	
	Nationwide Retirement Solution (401 (k)) International Fund	$15,001 - $50,000	Other: Tax Deferred	$5,001 - $15,000	
	Nationwide Retirement Solutions - (401k) Short-term Investment Fund	$1,001 - $15,000	Other: Tax Deferred	$201 - $1,000	
	Nationwide Retirement Solutions (401 (k)) Large Cap Fund	$50,001 - $100,000	Other: Tax Deferred	$1,001 - $2,500	
	Nuveen California Ins Muni A Fund	$15,001 - $50,000	INTEREST	$1,001 - $2,500	

DC	PG&E Stock (one share)	$1 - $1,000	DIVIDENDS	$1 - $200 ($4.00)	
	Red Wheel/Weiser/Conari - Book	Undetermined	DIVIDENDS/None/Other: Royalties	NONE	
JT	Rental Property - Palm Springs, CA	$500,001 - $1,000,000	RENT	$5,001 - $15,000	
	Rental Property #1, Sacramento, CA 95814 - Partial Inheritance	$500,001 - $1,000,000	RENT	$100,001 - $1,000,000	
	Rental Property #2, Sacramento, CA 95814 - Partial Inheritance	$500,001 - $1,000,000	RENT	$100,001 - $1,000,000	
	Rental Property #3 - Inheritance - Sacramento, CA 95814	$250,001 - $500,000	RENT	$15,001 - $50,000	
	S. San Francisco, CA 94080	$250,001 - $500,000	RENT	$5,001 - $15,000	
SP	Schwab - Microsoft Stock	$15,001 - $50,000	None	NONE	
SP	Schwab INTEL Stock	$15,001 - $50,000	None	NONE	
DC	Sterling Bank	$50,001 - $100,000	INTEREST	$201 - $1,000	
	Sterling Bank	$15,001 - $50,000	INTEREST	$201 - $1,000	
	Sterling Bank - Speier Family Partners (Assets)	$15,001 - $50,000	INTEREST	$5,001 - $15,000	
SP	Sterling Trust Seraph Partners II Stock	$100,001 - $250,000	DIVIDENDS	NONE	

SP	Strategic Investment Solutions Stock (CA)	$1,000,001 - $5,000,000	None	NONE	
	U.S. Bank - Speier Family Partners	$100,001 - $250,000	INTEREST	$1 - $200	
	US Bank Accounts	$50,001 - $100,000	INTEREST	$201 - $1,000	
SP	Vanguard (IRA) Explorer Fund Admiral	$100,001 - $250,000	DIVIDENDS	$15,001 - $50,000	
SP	Vanguard (IRA) Growth Equity Fund	$100,001 - $250,000	DIVIDENDS	$15,001 - $50,000	
SP	Vanguard (IRA) International Growth Adm Fund	$100,001 - $250,000	DIVIDENDS	$15,001 - $50,000	
SP	Vanguard (IRA) International Value Fund	$100,001 - $250,000	DIVIDENDS	$15,001 - $50,000	
SP	Vanguard (IRA) Windsor II Fund Adm	$100,001 - $250,000	DIVIDENDS	$15,001 - $50,000	
SP	Vanguard (IRA) Federal Money Market Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	VIRxSYS, Corp. - (Stock) (VA)	$15,001 - $50,000	DIVIDENDS	NONE	

SCHEDULE IV - TRANSACTIONS

Name K. Jacqueline Speier

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded $1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.
Capital Gains — if a sales transaction resulted in a capital gain in excess of $200, check the "capital gains" box and disclose this income on Schedule III.
*** This column is for assets solely held by your spouse or dependent child.**

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of $200?	Date	Amount of Transaction
SP	American Century (IRA) Vista	S	Yes	06-01-12	$1,001 - $15,000
	City Morgan Stanley - AOL Stock	S	No	November 2012	Less than $200

SCHEDULE V - LIABILITIES

Name K. Jacqueline Speier

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000. *This column is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
JT	Capitol Hill Towers - Co-op, Washington, D.C.	11/2008	Mortage in Washington, D.C.	$225,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name K. Jacqueline Speier

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
JStreet Educational Fund	February 17 - 24, 2012	DC - Philadelphia - Israel - Philadelphia - SFO	Y	Y	N	None

SCHEDULE VIII - POSITIONS

Name K. Jacqueline Speier

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Director	Philanthropic Ventures Foundation (PVF), 1222 Presentation Park Way, Oakland, CA 94612-1201

SCHEDULE IX - AGREEMENTS

Name K. Jacqueline Speier

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
2006	Red Wheel/Weiser/Conari, 65 Parker Street, Suite 7, Newburyport, MD 01950	Book agreement
2006	CA State Legislative Pension fund	Continued Participation