

**HAND
DELIVERED.** 10

**UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT**

Form A
For use by Members, officers, and employees

Name: **DAVID PHILLIP ROE**

Daytime Telephone:

File Status	☒ Member of the U.S. House of Representatives	State: <u>TN</u>	District: <u>01</u>	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15, 2013)	☐ Amendment	☐ Termination	Termination Date:	

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

2013 JUN 12 PM 4:28
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)
ME

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☒	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☒ No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☒ No ☐
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☒ No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☒ No ☐	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

IPO—Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Yes ☐ No ☒
TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes ☐ No ☒

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Amount

Spouse Salary

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics. A green envelope for transmitting the list is included in each Member's filing package.

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SCHEDULE III—ASSETS AND “UNEARNED” INCOME

Continuation Sheet (if needed)

Name **DAVID PHILLIP ROE**

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BLOCK A Asset and/or Income Source		BLOCK B Year-End Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction			
SP, DC, JT		A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, E		
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000*			
SP	MERRILL LYNCH - IRA						X														X		X														SEE ATTACHED SCHEDULE
																																				#2 SEE ATTACHED SCHEDULE	
	MERRILL LYNCH - IRA						X														X		X													#3 SEE ATTACHED SCHEDULE	
																																				#4 SEE ATTACHED SCHEDULE	
	MERRILL LYNCH																																				
	RETIREMENT ACCOUNT								X																												
	MERRILL LYNCH - IRA						X														X		X														
	(formerly known as ML IRA MidCap Growth)																																				
	MERRILL LYNCH BEYOND						X																X														
	BANKING ACCOUNT																																				
	(formerly known as ML CMA Account)																																				
JT	PEOPLE'S COMMUNITY																																				
	BANK						X																X														

Continuation Sheet (if needed)

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Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

* This column is for assets solely held by your spouse or dependent child.

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SCHEDULE V— LIABILITIES

Name **DAVID PHILLIP ROE**

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. *This column is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred Mo/Year	Type of Liability	Amount of Liability										
				A \$10,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Spouse/DC Liability Over \$1,000,000*
Example:	First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main St., Dover, DE				X							
	BANK OF TENNESSEE	7/08	LINE OF CREDIT		X									
	SUNTRUST MORTGAGE INC	2/08	MORTGAGE-DC CONDO PERS RESIDENCE					X						
	GMAC MORTGAGE	10/96	MORTGAGE ON RENTAL CONDO (BRISCO CO)			X								
	PEOPLES COMMUNITY BANK	10/00	MORTGAGE ON TN RESIDENCE			X								

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than \$350 received by you, your spouse, or a dependent child from any source during the year. **Exclude:** Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$140 or less need not be added towards the \$350 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Ethics)	\$375

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Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

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SCHEDULE VIII--POSITIONS

Name **DAVID PHILLIP ROE**

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
PARTNER	SOFHA REAL ESTATE PARTNERSHIP LLC
	(OWNS 1.7% OF PARTNERSHIP - FMV OF COMMERCIAL BLDG \$28,000,000)

SCHEDULE IX--AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

DAVID PHILLIP ROE

SCHEDULE 1



Online at: www.mymerrill.com

Account Number: 1

24-Hour Assistance: (800) MERRILL

DR DAVID PHILIP ROE TTEE
U/A DTD 08/08/2007
BY DAVID PHILIP ROE
2 N CROSSBOW LN
JOHNSON CITY TN 37604-3639

Net Portfolio Value: **\$448,095.06**

Your Financial Advisor:
SMITH/CONKIN/HAUSMAN
206 PRINCETON RD SUITE NO 1
JOHNSON CITY TN 37601
1-423-282-5191

Trust

This account is enrolled in the Personal Investment Advisory Program

December 01, 2012 - December 31, 2012

ASSETS	December 31	November 30
Cash/Money Accounts	45,176.00	41,319.00
Fixed Income	61,786.04	61,942.55
Equities	81,764.32	100,887.54
Mutual Funds	260,524.84	250,852.23
Options	-	-
Other	-	-
Subtotal (Long Portfolio)	449,251.20	455,001.32
Estimated Accrued Interest	1,042.17	816.99
TOTAL ASSETS	\$450,293.37	\$455,818.31

CASH FLOW	This Statement	Year to Date
Operating Cash/Money Accounts	\$35,517.79	-
CREDITS		
Funds Received	-	-
Electronic Transfers	-	-
Other Credits	-	0.01
Subtotal	-	0.01
DEBITS		
Electronic Transfers	-	-
Margin Interest Charged	-	-
Other Debits	-	-
Visa Purchases (debits)	(5,622.44)	(29,044.81)
ATM/Cash Advances	-	-
Checks Written/Bill Payment	-	-
Subtotal	(5,622.44)	(29,044.81)
Net Cash Flow	(\$5,622.44)	(\$29,044.80)
Dividends/Interest Income	4,104.31	13,673.36
Dividend Reinvestments	(2,576.17)	(4,388.47)
Security Purchases/Debits	(17,371.93)	(277,824.03)
Security Sales/Credits	40,508.13	311,299.36
Closing Cash/Money Accounts	\$42,977.69	
Securities You Transferred In/Out	125.59	659.55

Merrill Lynch Wealth Management makes available products and services offered by Merrill Lynch, Pierce, Fenner & Smith Incorporated (MLPF&S) and other subsidiaries of Bank of America Corporation. MLPF&S is a registered broker-dealer, Member Securities Investor Protection Corporation (SIPC) and a wholly owned subsidiary of Bank of America Corporation. Investment products: ☐ Are Not FDIC Insured ☐ Are Not Bank Guaranteed ☐ May Lose Value

Trust

Account Number:

24-Hour Assistance: (800) MERRILL

ACCOUNT INVESTMENT OBJECTIVE

December 01, 2012 - December 31, 2012

TOTAL RETURN: Objective is to strike a balance between fixed-income instruments for current income and equities for growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s).

YOUR TAA BANK DEPOSIT INTEREST SUMMARY

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
FIA Card Services, N.A.	41,315	41,310	.10	3.51	45,172
Bank of America RI, N.A.	4	4	.10	0.00	4
TOTAL ML Bank Deposit Program	41,319			3.51	45,176

ITEMS FOR ATTENTION

Security	Message	Date	Security	Message	Date
GENERAL ELECTRIC COMPANY	Maturing	02/01/13			

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

GOVERNMENT AND AGENCY SECURITIES ¹									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Current Yield%
A FEDERAL HOME LN MTG CORP CALLABLE NOTES 03.000% JAN 17 2019 MOODY'S: AAA S&P: AA+ CUSIP: 3134G3HY9 PAR CALL DATE: 01/17/14 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 103.7500/10.375.00	03/26/12	10,000	10,335.98	102.5920	10,259.20	(76.78)	136.67	300	2.92
TOTAL		10,000	10,335.98		10,259.20	(76.78)	136.67	300	2.92

Trust

Account Number:

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2012 - December 31, 2012

CORPORATE BONDS									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Current Yield%
GENERAL ELECTRIC COMPANY GLB 05.000% FEB 01 2013 MOODY'S: A3 S&P: AA+ CUSIP: 369604AY9	10/11/07	15,000	14,916.33	100.3810	15,057.15	140.82	312.50	750	4.98
GOLDMAN SACHS GROUP LP GLB 05.000% OCT 01 2014 MOODY'S: A3 S&P: A- CUSIP: 38143UAW1	10/11/07	10,000	9,670.00	106.5190	10,651.90	981.90	125.00	500	4.69
WAL-MART STORES 04.500% JUL 01 2015 MOODY'S: A2 S&P: AA CUSIP: 931142BY8 ORIGINAL UNIT/TOTAL COST: 103.4230/10,342.30	06/12/09	10,000	10,151.34	109.5190	10,951.90	800.56	225.00	450	4.10
CISCO SYSTEMS INC GLB 04.950% FEB 15 2019 MOODY'S: A1 S&P: A+ CUSIP: 17275RAE2 ORIGINAL UNIT/TOTAL COST: 107.4870/10,748.70	03/02/11	10,000	10,596.68	118.3820	11,838.20	1,241.52	187.00	495	4.18
GENERAL ELEC CAP CORP SER MTN STEP% JAN 13 2027 MOODY'S: A1 S&P: AA+ CUSIP: 36966TEF5 PAR CALL DATE: 01/13/14 PAR CALL PRICE: 100.00	01/12/12	3,000	2,994.00	100.9230	3,027.69	33.69	56.00	120	3.96
TOTAL		48,000	48,328.35		51,526.84	3,198.49	905.50	2,315	4.49

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

EQUITIES									
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income
AMAZON COM INC COM	AMZN	05/07/12	9	224.9000	2,024.10	250.8700	2,257.83	233.73	

Trust

Account Number:

24-Hour Assistance: (800) MERRILL

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2012 - December 31, 2012

EQUITIES (continued)										
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
APACHE CORP	APA	10/03/12	24	86.2200	2,069.28	78.5000	1,884.00	(185.28)	17	.86
		11/01/12	17	81.9447	1,393.06	78.5000	1,334.50	(58.56)	12	.86
Subtotal			41		3,462.34		3,218.50	(243.84)	29	.86
AUTOMATIC DATA PROC	ADP	04/05/12	25	55.4576	1,386.44	56.9300	1,423.25	36.81	44	3.05
		05/18/12	25	52.1368	1,303.42	56.9300	1,423.25	119.83	44	3.05
Subtotal			50		2,689.86		2,846.50	156.64	88	3.05
AUTOZONE INC NEVADA COM	AZO	03/05/12	5	384.1420	1,920.71	354.4300	1,772.15	(148.56)		
		06/27/12	4	357.8400	1,431.36	354.4300	1,417.72	(13.64)		
Subtotal			9		3,352.07		3,189.87	(162.20)		
C.H. ROBINSON WORLDWIDE, INC. NEW	CHRW	11/16/12	41	59.0839	2,422.44	63.2200	2,592.02	169.58	58	2.21
		11/19/12	4	59.5850	238.34	63.2200	252.88	14.54	6	2.21
Subtotal			45		2,660.78		2,844.90	184.12	64	2.21
CAPITAL ONE FINL	COF	09/07/12	31	57.1500	1,771.65	57.9300	1,795.83	24.18	7	.34
		09/14/12	28	59.2000	1,657.60	57.9300	1,622.04	(35.56)	6	.34
Subtotal			59		3,429.25		3,417.87	(11.38)	13	.34
CENTURYLINK INC SHS	CTL	06/03/11	91	41.5358	3,779.76	39.1200	3,559.92	(219.84)	264	7.41
CHESAPEAKE ENERGY OKLA	CHK	05/29/12	79	16.7774	1,325.42	16.6200	1,312.98	(12.44)	28	2.10
		06/08/12	70	17.8785	1,251.50	16.6200	1,163.40	(88.10)	25	2.10
Subtotal			149		2,576.92		2,476.38	(100.54)	53	2.10
COCA COLA COM	KO	08/10/11	78	32.6976	2,550.42	36.2500	2,827.50	277.08	80	2.81
CONSTELLATION BRANDS INC	STZ	10/03/12	10	34.3470	343.47	35.3900	353.90	10.43		
		10/12/12	23	34.6969	798.03	35.3900	813.97	15.94		
		11/16/12	60	33.8608	2,031.65	35.3900	2,123.40	91.75		
Subtotal			93		3,173.15		3,291.27	118.12		
EATON CORP PLC	ETN	12/04/12	58	51.9055	3,010.52	54.1800	3,142.44	131.92	89	2.80

Trust

Account Number:

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2012 - December 31, 2012

EQUITIES (continued)										
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
EXXON MOBIL CORP COM	XOM	02/02/12	32	83.7059	2,678.59	86.5500	2,769.60	91.01	73	2.63
		11/16/12	6	85.4100	512.46	86.5500	519.30	6.84	14	2.63
Subtotal			38		3,191.05		3,288.90	97.85	87	2.63
FLUOR CORP NEW DEL COM	FLR	09/16/11	54	60.7838	3,282.33	58.7400	3,171.96	(110.37)	35	1.08
		01/27/12	5	57.1620	285.81	58.7400	293.70	7.89	4	1.08
Subtotal			59		3,568.14		3,465.66	(102.48)	39	1.08
GENL DYNAMICS CORP COM	GD	09/07/11	43	61.5700	2,647.51	69.2700	2,978.61	331.10	88	2.94
		07/06/12	8	65.4200	523.36	69.2700	554.16	30.80	17	2.94
Subtotal			51		3,170.87		3,532.77	361.90	105	2.94
JACOBS ENGN GRP INC DELA	JEC	12/09/10	26	42.2000	1,097.20	42.5700	1,106.82	9.62		
		12/10/10	51	42.3374	2,159.21	42.5700	2,171.07	11.86		
Subtotal			77		3,256.41		3,277.89	21.48		
LINEAR TECHNOLOGY CORP	LITC	11/16/12	78	31.0817	2,424.38	34.3000	2,675.40	251.02	82	3.03
		11/19/12	5	31.8700	159.35	34.3000	171.50	12.15	6	3.03
Subtotal			83		2,583.73		2,846.90	263.17	88	3.03
MARATHON PETROLEUM CORP	MPC	12/07/12	57	59.6496	3,400.03	63.0000	3,591.00	190.97	80	2.22
MCDONALDS CORP COM	MCD	09/22/11	29	85.5572	2,481.16	88.2100	2,558.09	76.93	90	3.49
		10/26/12	2	87.0950	174.19	88.2100	176.42	2.23	7	3.49
Subtotal			31		2,655.35		2,734.51	79.16	97	3.49
MICRON TECHNOLOGY INC	MU	08/07/12	259	6.8200	1,766.38	6.3400	1,642.06	(124.32)		
		09/14/12	254	6.6994	1,701.65	6.3400	1,610.36	(91.29)		
		10/05/12	60	5.9796	358.78	6.3400	380.40	21.62		
Subtotal			573		3,826.81		3,632.82	(193.99)		
NEWS CORP CL A	NWSA	08/07/12	74	23.7787	1,759.63	25.5100	1,887.74	128.11	13	.66
		08/17/12	71	23.4902	1,667.81	25.5100	1,811.21	143.40	13	.66
Subtotal			145		3,427.44		3,698.95	271.51	26	.66

Trust

Account Number:

24-Hour Assistance: (800) MERRILL

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2012 - December 31, 2012

EQUITIES (continued)											
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Yield%		
O'REILLY AUTOMOTIVE INC	ORLY	11/05/12	23	88.4604	2,034.59	89.4200	2,056.66	22.07			
		11/16/12	13	90.1161	1,171.51	89.4200	1,162.46	(9.05)			
Subtotal			36		3,206.10		3,219.12	13.02			
PAYCHEX INC	PAYX	04/05/12	44	31.4084	1,381.97	31.1000	1,368.40	(13.57)	59	4.24	
		05/18/12	44	29.7025	1,306.91	31.1000	1,368.40	61.49	59	4.24	
Subtotal			88		2,688.88		2,736.80	47.92	118	4.24	
TERADATA CORP DEL	TDC	09/15/11	20	51.1970	1,023.94	61.8900	1,237.80	213.86			
		10/07/11	20	53.9160	1,078.32	61.8900	1,237.80	159.48			
		01/27/12	5	53.5320	267.66	61.8900	309.45	41.79			
		11/29/12	12	59.8700	718.44	61.8900	742.68	24.24			
Subtotal			57		3,088.36		3,527.73	439.37			
WATSON PHARMACEUTICALS	WPI	10/10/12	23	86.9173	1,999.10	86.0000	1,978.00	(21.10)			
		11/02/12	13	87.6384	1,139.30	86.0000	1,118.00	(21.30)			
Subtotal			36		3,138.40		3,096.00	(42.40)			
WELLS FARGO & CO NEW DEL	WFC	08/07/12	52	34.2698	1,782.03	34.1800	1,777.36	(4.67)	46	2.57	
		09/07/12	46	34.9500	1,607.70	34.1800	1,572.28	(35.42)	41	2.57	
Subtotal			98		3,389.73		3,349.64	(40.09)	87	2.57	
3M COMPANY	MMM	11/02/12	12	89.3858	1,072.63	92.8500	1,114.20	41.57	29	2.54	
		11/07/12	2	89.5250	179.05	92.8500	185.70	6.65	5	2.54	
		11/16/12	15	88.9566	1,334.35	92.8500	1,392.75	58.40	36	2.54	
Subtotal			29		2,586.03		2,692.65	106.62	70	2.54	
TOTAL					79,886.50		81,764.32	1,877.82	1,477	1.81	
MUTUAL FUNDS/CLOSED END FUNDS/UIT											
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Yield%			
AMER FUNDS AMERICAN HIGH											
SYMBOL: AHIFX Initial Purchase:											
Fixed Income 100%											
.5240 Fractional Share		5.95	71.3600	5.95					1	7.21	

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Trust:

Account Number:

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2012 - December 31, 2012

MUTUAL FUNDS/CLOSED END FUNDS/UIT		(continued)	Total	Estimated	Estimated	Unrealized	Total Client	Cumulative	Estimated
Description	Quantity	Cost Basis	Market Price	Market Value	Gain/(Loss)	Investment	Investment	Return (%)	Annual Current
BLACKROCK INFLATION PROTECTED BD FD INST CL	764	8,313.00	12.0700	9,221.48	908.48	7,669	1,551	166	1.78
SYMBOL: BPRIX Initial Purchase: 08/20/09 Fixed Income 100%		4.09	12.0700	4.08	(0.01)			1	1.78
.3380 Fractional Share									
CALAMOS HIGH INCOME FUND CL I	462	4,610.53	9.8600	4,555.32	(55.21)	4,610	(55)	268	5.88
SYMBOL: CHYX Initial Purchase: 09/21/12 Fixed Income 100%		2.21	9.8600	2.18	(0.03)			1	5.88
.2210 Fractional Share									
CALAMOS EVOLVING WORLD GROWTH FUND CL I	310	4,097.75	13.1200	4,067.20	(30.55)	4,097	(30)	10	.23
SYMBOL: CNWIX Initial Purchase: 10/05/12 Equity 100%		4.44	13.1200	4.41	(0.03)			1	.23
.3360 Fractional Share									
DOUBLELINE TOTAL RETURN BOND FUND CL I	322	3,679.40	11.3300	3,648.26	(31.14)	3,679	(31)	221	6.04
SYMBOL: DBLTX Initial Purchase: 09/21/12 Fixed Income 100%		10.54	11.3300	10.45	(0.09)			1	6.04
.9220 Fractional Share									
EMERGING GLOBAL SHARES DOW JONES	178	3,983.57	26.6400	4,741.92	758.35	3,983	758	19	.39
SYMBOL: ECON Initial Purchase: 08/15/11 Equity 100%									
FIRST EAGLE	895	18,421.07	22.3600	20,012.20	1,591.13	15,695	4,316	277	1.38

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Account Number:

24-Hour Assistance: (800) MERRILL

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2012 - December 31, 2012

MUTUAL FUNDS/CLOSED END FUNDS/UIT	(continued)	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
OVERSEAS CL I									
SYMBOL: SGOIX	Initial Purchase: 09/25/08								
Equity 100%									
.4190 Fractional Share			9.21	22.3600	9.37	.16			1 1.38
GUGGENHEIM BULLETSHARES		209	4,410.86	22.2200	4,643.98	233.12	4,410	233	106 2.26
2016 CORPORATE BOND ETF									
SYMBOL: BSCG	Initial Purchase: 09/16/10								
Fixed Income 100%									
GUGGENHEIM BULLETSHARES		212	4,655.52	22.8200	4,837.84	182.32	4,655	182	125 2.56
2017 CORPORATE BOND ETF									
SYMBOL: BSCH	Initial Purchase: 03/16/12								
Fixed Income 100%									
GUGGENHEIM BULLETSHARES		141	3,630.75	26.4800	3,733.68	102.93	3,630	102	176 4.69
2014 HY CORP									
SYMBOL: BSJE	Initial Purchase: 06/01/11								
Fixed Income 100%									
GUGGENHEIM BULLETSHARES		323	8,300.62	26.6000	8,591.80	291.18	8,300	291	436 5.06
2015 HY CORP									
SYMBOL: BSJF	Initial Purchase: 06/14/11								
Fixed Income 100%									
ISHARES CORE S&P MID-CAP		150	13,358.23	101.7000	15,255.00	1,896.77	13,358	1,896	218 1.42
ETF									
SYMBOL: IJH	Initial Purchase: 11/16/10								
Equity 100%									
ISHARES CORE S&P SMALL		140	10,454.48	78.1000	10,934.00	479.52	10,454	479	181 1.65

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YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2012 - December 31, 2012

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued)		Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
CAP ETF									
SYMBOL: UR	Initial Purchase: 12/28/11								
Equity 100%									
ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDX F		216	12,131.86	70.7200	15,275.52	3,143.66	12,131	3,143	144 .94
SYMBOL: IYW	Initial Purchase: 06/29/10								
Equity 100%									
ISHARES S&P 100 INDEX FUND		472	27,962.56	64.6900	30,533.68	2,571.12	27,962	2,571	664 2.17
SYMBOL: OEF	Initial Purchase: 10/19/11								
Equity 100%									
JAMES SMALL CAP FUND		222	5,590.82	24.6500	5,472.30	(118.52)	5,590	(118)	114 2.07
SYMBOL: JASCX	Initial Purchase: 02/24/12								
Equity 100%									
.6750 Fractional Share			16.90	24.6500	16.64	(0.26)			1 2.07
KAYNE ANDERSON MLP		109	2,947.36	29.4700	3,212.23	264.87	2,947	264	240 7.46
SYMBOL: KYN	Initial Purchase: 08/19/10								
Equity 100%									
OPPENHEIMER INTERNATIONAL BOND FD Y		1,479	9,634.00+	6.5800	9,731.82	97.82	9,190	541	389 3.99
SYMBOL: OIBYX	Initial Purchase: 11/23/07	1	N/A	6.5800	6.58	N/A			1 3.99
Fixed Income 100%									
.1290 Fractional Share			0.85	6.5800	.85				1 3.99
OPPENHEIMER DEVELOPING		120	4,097.45	34.8800	4,185.60	88.15	4,097	88	30 .71

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Account Number

24-Hour Assistance: (800) MERRILL

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2012 - December 31, 2012

MUTUAL FUNDS/CLOSED END FUNDS/UIT	(continued)	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
MARKETS FD CL Y									
SYMBOL: ODVYX	Initial Purchase: 10/05/12								
Equity 100%									
.1610 Fractional Share			5.50	34.8800	5.62	.12			1 .71
OPPENHEIMER INTERNATL GROWTH FD CL Y		452	12,610.87	30.7100	13,880.92	1,270.05	12,610	1,270	201 1.44
SYMBOL: DIGYX	Initial Purchase: 01/20/12								
Equity 100%									
.4930 Fractional Share			14.54	30.7100	15.14	.60			1 1.44
PIMCO TOTAL RETURN FUND CL P		339	3,769.96	11.2400	3,810.36	40.40	3,249	561	120 3.14
SYMBOL: PTPYX	Initial Purchase: 05/21/10								
Fixed Income 100%									
.8480 Fractional Share			9.58	11.2400	9.53	(0.05)			1 3.14
POWERSHARES BUILD AMERIC BOND ETF		311	7,986.08	30.2400	9,404.64	1,418.56	7,986	1,418	458 4.86
SYMBOL: BAB	Initial Purchase: 02/12/10								
Fixed Income 100%									
PRINCIPAL PREFERRED SECURITIES FUND CL P		279	2,786.48	10.4800	2,923.92	137.44	2,786	137	165 5.61
SYMBOL: PSPYX	Initial Purchase: 03/16/12								
Fixed Income 100%									
.7270 Fractional Share			7.26	10.4800	7.62	.36			1 5.61
RIDGEWORTH SEIX FLOATING RATE HI INC FD CL I		301	2,702.32	8.9800	2,702.98	.66	2,702		132 4.87
SYMBOL: SAMBX	Initial Purchase: 12/26/12								

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YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2012 - December 31, 2012

MUTUAL FUNDS/CLOSED END FUNDS/UIT		(continued)	Total	Estimated	Estimated	Unrealized	Total Client	Cumulative	Estimated
Description	Quantity	Cost Basis	Market Price	Market Value	Gain/(Loss)	Investment	Investment	Return (\$)	Annual Current
Fixed Income 100%									
.7340 Fractional Share		6.59	8.9800	6.59					1 4.87
ROYCE PENNSYLVANIA MUTUAL FUND CL INVESTMENT	736	6,821.80	11.5000	8,464.00	1,642.20	5,977	2,486	84	.99
SYMBOL: PENMX Initial Purchase: 07/22/09									
Equity 100%									
.8910 Fractional Share		10.00	11.5000	10.25	.25				1 .99
RS FLOATING RATE FUND CL Y	367	3,808.03+	10.2700	3,769.09	(38.94)	3,675	93	209	5.53
SYMBOL: RSFYX Initial Purchase: 02/18/11									
Fixed Income 100%									
.5900 Fractional Share		6.04	10.2700	6.06	.02				1 5.53
RS LOW DURATION BOND FD CL Y	440	4,496.36	10.3200	4,540.80	44.44	4,496	44	97	2.12
SYMBOL: RSDYX Initial Purchase: 11/16/11									
Fixed Income 100%									
.8650 Fractional Share		8.85	10.3200	8.93	.08				1 2.12
S&P US PFD STK INDEX FD ISHARES	70	2,716.00	39.6200	2,773.40	57.40	2,716	57	167	6.01
SYMBOL: PFF Initial Purchase: 02/22/12									
Fixed Income 100%									
SCOUT MID CAP FUND CL RETAIL	400	5,251.27	13.6700	5,468.00	216.73	5,251	216	43	.78
SYMBOL: UMIMX Initial Purchase: 07/13/12									
Equity 100%									
.5540 Fractional Share		7.27	13.6700	7.57	.30				1 .78

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Account Number:

24-Hour Assistance: (800) MERRILL

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2012 - December 31, 2012

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued)		Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
SECTOR SPDR CONSUMERS STPL		317	10,397.59	34.9000	11,063.30	665.71	10,397	665	338 3.05
SYMBOL: XLP Initial Purchase: 12/09/11									
Equity 100%									
SPDR DB INTL GOV INFL		90	5,471.32	63.5400	5,718.60	247.28	5,471	247	142 2.47
SYMBOL: WIP Initial Purchase: 04/23/08									
Fixed Income 100%									
TCW TOTAL RETURN		566	5,728.79	10.2900	5,824.14	95.35	5,459	364	344 5.89
BOND FD CL I									
SYMBOL: TGLMX Initial Purchase: 06/29/10									
Fixed Income 100%									
.1200 Fractional Share			1.23	10.2900	1.23				1 5.89
TEMPLETON GLBL BOND FD		682	8,623.10	13.3400	9,097.88	474.78	8,181	916	404 4.43
ADV CL									
SYMBOL: TGBAX Initial Purchase: 06/30/09									
Fixed Income 100%									
.5430 Fractional Share			7.16	13.3400	7.24	.08			1 4.43
VANGUARD DIVIDEND		91	5,446.38	59.5700	5,420.87	(25.51)	5,446	(25)	129 2.36
APPRECIATION ETF									
SYMBOL: VIG Initial Purchase: 12/21/12									
Equity 100%									
Subtotal (Fixed Income):					99,607.28				
Subtotal (Equities)					158,055.74				

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YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2012 - December 31, 2012

Description	Quantity	(continued)		Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
		Total Cost Basis ¹	Market Price						
TOTAL		239,034.39		257,663.02	18,622.05		24,630	6,837	2.65
TOTAL PRINCIPAL INVESTMENTS		377,585.22		401,213.38	23,621.58			10,929	2.72

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

Cumulative Investment Return: Estimated Market Value minus Total Client Investment.

Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed. **Initial Purchase:** Date of your initial investment in this fund.

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

Notes

¹ Debt instruments purchased at a premium show amortization

² Debt instruments purchased at a discount show accretion

³ Some agency securities are not backed by the full faith and credit of the United States government.

Total values exclude N/A items

⁴ Cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and the acquisition date has been adjusted to include the holding period of the lot closed by that previous "Wash Sale".

For Credit Ratings: S&P and Moody's provide credit ratings on the credit quality of certain bonds and preferred stocks. For a credit enhanced security, Moody's and S&P publish and provide third party vendors the higher of the rating on the credit enhancer (guarantor) or the stand alone rating on the underlying security.

YOUR TAA STATEMENT OF INCOME INVESTMENTS

CASH/MONEY ACCOUNTS		Quantity	Total		Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
Description	Cost Basis		Cost Basis	Market Price				
CASH		61.10	61.10					
ML BANK DEPOSIT PROGRAM		45,176.00	45,176.00	1.0000		45,176.00	45	.10
TOTAL			45,237.10			45,237.10	45	.10

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Account Number:

24-Hour Assistance: (800) MERRILL

YOUR TAA STATEMENT OF INCOME INVESTMENTS

December 01, 2012 - December 31, 2012

MUTUAL FUNDS/CLOSED END FUNDS/UIT		Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return(\$)	Estimated Annual/Current Income Yield%
Description									
AMER FUNDS AMERICAN HIGH		2	22.90+	11.3600	22.72	(0.18)		22	2 7.21
INCOME TRUST FD CL F2									
SYMBOL: AHIFX Initial Purchase:REINV									
Fixed Income 100%									
BLACKROCK INFLATION		19	224.91	12.0700	229.33	4.42		229	5 1.78
PROTECTED BD FD INST CL									
SYMBOL: BPRIX Initial Purchase:REINV									
Fixed Income 100%									
FIRST EAGLE		41	881.68	22.3600	916.76	35.08		916	13 1.38
OVERSEAS CL I									
SYMBOL: SGOIX Initial Purchase:12/17/10									
Equity 100%									
OPENHEIMER		57	368.08+	6.5800	375.06	6.98		375	15 3.99
INTERNATIONAL BOND FD Y									
SYMBOL: OIBYX Initial Purchase:REINV									
Fixed Income 100%									
PIMCO TOTAL RETURN FUND		6	66.98	11.2400	67.44	.46		67	3 3.14
CL P									
SYMBOL: PTPPX Initial Purchase:REINV									
Fixed Income 100%									
ROYCE PENNSYLVANIA MUTUAL		9	100.66	11.5000	103.50	2.84		103	2 .99
FUND CL INVESTMENT									
SYMBOL: PENNX Initial Purchase:REINV									
Equity 100%									

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Account Number:

YOUR TAA STATEMENT OF INCOME INVESTMENTS

December 01, 2012 - December 31, 2012

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued)		Total	Estimated	Estimated	Unrealized	Total Client	Cumulative	Estimated
Description	Quantity	Cost Basis	Market Price	Market Value	Gain/(Loss)	Investment	Investment Return (\$)	Annual Current Income Yield%
RS FLOATING RATE FUND CL Y	10	101.64	10.2700	102.70	1.06		102	6 5.53
SYMBOL: RSIFYX	Initial Purchase:REINV							
Fixed Income 100%								
TCW TOTAL RETURN BOND FD CL I	25	251.77	10.2900	257.25	5.48		257	16 5.89
SYMBOL: TGLMAX	Initial Purchase:REINV							
Fixed Income 100%								
TEMPLETON GBL BOND FD ADV CL	59	770.91	13.3400	787.06	16.15		787	35 4.43
SYMBOL: TGBAX	Initial Purchase:REINV							
Fixed Income 100%								
Subtotal (Fixed Income)				1,841.56				
Subtotal (Equities)				1,020.26				
TOTAL		2,789.53		2,861.82	72.29		2,858	97 3.39
TOTAL INCOME INVESTMENTS		48,026.63		48,098.92	72.29			142 .30

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment. Does not apply to income investments "denoted by 'N/A'."

Cumulative Investment Return: Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.

Initial Purchase: Date of your initial investment in this fund.

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Account Number:

24-Hour Assistance: (800) MERRILL

YOUR TAA STATEMENT OF INCOME INVESTMENTS

December 01, 2012 - December 31, 2012

LONG PORTFOLIO	Adjusted/Total	Estimated	Unrealized	Estimated	Estimated	Current
	Cost Basis	Market Value	Gain/(Loss)	Accrued Interest	Annual Income	Yield%
TOTAL PRINCIPAL/INCOME INVESTMENTS	425,611.85	449,312.30	23,693.87	1,042.17	11,071	2.46

✦ Cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and the acquisition date has been adjusted to include the holding period of the lot closed by that previous "Wash Sale".

Account	Trade Date	Settle Date	TY	Description	Symb	Quantity	Price	Amount
Trust		12/31/2012		Divd Reinv	TGLM	2		\$0.00
				TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT \$26.48 REINV PRICE \$10.29000 QUANTITY BOT 2.5730 AS OF 12/28	X			
Trust		12/31/2012		Divd Reinv	OIBY	4		\$0.00
				OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$30.85 REINV PRICE \$6.58000 QUANTITY BOT 4.6880 AS OF 12/28	X			
Trust		12/31/2012		Divd Reinv	OIBY	4		\$0.00
				OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$30.85 REINV PRICE \$6.58000 QUANTITY BOT 4.6880 AS OF 12/28	X			
Trust		12/31/2012		Divd Reinv	OIBY	9		\$0.00
				OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$60.79 REINV PRICE \$6.58000 QUANTITY BOT 9.2390 AS OF 12/28	X			
Trust		12/31/2012		Divd Reinv	PENN	2		\$0.00
				ROYCE PENNSYLVANIA MUTUAL FUND CL INVESTMENT AGENT REINV AMOUNT \$28.77 REINV PRICE \$11.27000 QUANTITY BOT 2.5530 AS OF 12/28	X			
Trust		12/31/2012		Journal Entry	TGLM	1		\$0.00
				TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE \$10.29	X			
Trust		12/31/2012		Journal Entry	OIBY	1		\$0.00
				OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE \$6.58	X			
Trust		12/31/2012		Journal Entry	OIBY	1		\$0.00
				OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE \$6.58	X			
Trust		12/26/2012		Sale	MDT	-77	\$41.51	\$3,196.02
				MEDTRONIC INC COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS				
Trust		12/28/2012		Divd Reinv	PTTPX	3		\$0.00
				PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT \$39.13 REINV PRICE \$11.24000 QUANTITY BOT 3.4810 AS OF 12/27				
Trust		12/28/2012		Divd Reinv	AHIFX	2		\$0.00

Trust	Date	Description	Symbol	Quantity	Price	Amount
Trust	12/26/2012	AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$28.67 REINV PRICE \$11.36000 QUANTITY BOT 2.5240 AS OF 12/27	SAMB	301	\$8.98	-\$2,709.57
Trust	12/26/2012	Purchase RIDGEWORTH SEIX FLOATING RATE HI INC FD CL I FRAC SHR QUANTITY .734 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION. SEE THE Sale	X			
Trust	12/21/2012	CHEVRON CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	CVX	-27	\$109.22	\$2,948.96
Trust	12/21/2012	VANGUARD DIVIDEND APPRECIATION ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. Sale	VIG	91	\$59.85	-\$5,446.38
Trust	12/21/2012	MICROSOFT CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A Sale	MSFT	-87	\$27.19	\$2,365.12
Trust	12/24/2012	AMERICAN INTERNATIONAL GROUP INC TRADE AS OF 12/21/12 A/C CALL 048V5 AIG PER ADVISORY AGREEMENT. UNSOLICITED ORDER	AIG	-100	\$34.00	\$3,399.92
Trust	12/26/2012	Divd Reinv BLACKROCK INFLATION PROTECTED BD FD INST CL AGENT REINV AMOUNT \$114.43 REINV PRICE \$12.10000 QUANTITY BOT 9.4570 AS OF 12/21	BPRIX	9		\$0.00
Trust	12/26/2012	Divd Reinv BLACKROCK INFLATION PROTECTED BD FD INST CL AGENT REINV AMOUNT \$38.93 REINV PRICE \$12.10000 QUANTITY BOT 3.2170 AS OF 12/21	BPRIX	3		\$0.00
Trust	12/26/2012	Journal Entry	BPRIX	1		\$0.00

BLACKROCK INFLATION PROTECTED BD FD INST CL

FULL SHARE ACCUM SHARE VALUE \$12.10
Sale
HONEYWELL INTL INC DEL PER ADVISORY AGREEMENT.

HON	-45	\$63.86	\$2,873.46
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ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS
Sale
AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL FZ

AHIFX	-439	\$11.36	\$4,996.87
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**THIS SALE CONSTITUTES A REDEMPTION.
Exerc Options
CALL AIG 00034.000 AMERICAN INTERNATIONAL EXP**

AI612	1	\$0.00
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12-22-12 VS 01900801 UNIT OAK BATCH # =
Sale
AMERICAN INTERNATIONAL GROUP INC PER ADVISORY

AIG	-8	\$35.54	\$284.31
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ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED
Journal Entry
ML BANK DEPOSIT PROGRAM MANUAL REDEMPTION

###	-5,724	.	\$5,724.00
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= 11295053318
Sale
BAXTER INTERNTL INC PER ADVISORY AGREEMENT.

BAX	-41	\$66.65	\$2,732.64
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ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS
Sale
ABBOTT LABS PER ADVISORY AGREEMENT. EXECUTED

ABT	-40	\$65.46	\$2,618.34
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UPON REQUEST, ML ACTED AS AGENT
Purchase
ISHARES CORE S&P SMALL CAP ETF PER ADVISORY.

IR	33	\$78.13	-\$2,578.29
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AND THE CAPACITY IN WHICH ML ACTED ARE
AVAILABLE UPON REQUEST. ML ACTED AS AGENT
Divd Reinv

TGBA	2	\$0.00
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Trust	Date	Description	Code	Quantity	Price	Amount
Trust	12/20/2012	TEMPLETON GBL BOND FD ADV CL AGENT REINV AMOUNT \$31.30 REINV PRICE \$13.20000 QUANTITY BOT 2.3710 AS OF 12/17	X			
Trust	12/20/2012	Divd Reinv	TGBA	9		\$0.00
Trust	12/20/2012	TEMPLETON GBL BOND FD ADV CL AGENT REINV AMOUNT \$123.97 REINV PRICE \$13.20000 QUANTITY BOT 9.3920 AS OF 12/17	X			
Trust	12/20/2012	Divd Reinv	TGBA			\$0.00
Trust	12/20/2012	TMPLTN GBL BD FD ADV CL AGENT REINV AMOUNT \$1.24 REINV PRICE \$13.20000 QUANTITY BOT .0940 AS Journal Entry	TGBA	1		\$0.00
Trust	12/14/2012	TEMPLETON GBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE \$13.28	X			
Trust	12/19/2012	Purchase	IDR	33	\$76.34	-\$2,519.22
Trust	12/17/2012	ISHARES CORE S&P SMALL CAP ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	SGOI	12		\$0.00
Trust	12/17/2012	Divd Reinv	X			
Trust	12/17/2012	FIRST EAGLE OVERSEAS CL I AGENT REINV AMOUNT \$274.23 REINV PRICE \$22.00000 QUANTITY BOT	SGOI	32		\$0.00
Trust	12/17/2012	Divd Reinv	X			
Trust	12/17/2012	FIRST EAGLE OVERSEAS CL I AGENT REINV AMOUNT \$709.99 REINV PRICE \$22.00000 QUANTITY BOT	SGOI	4		\$0.00
Trust	12/17/2012	Divd Reinv	X			
Trust	12/17/2012	FIRST EAGLE OVERSEAS CL I AGENT REINV AMOUNT \$92.30 REINV PRICE \$22.00000 QUANTITY BOT 4.1950	SGOI	1		\$0.00
Trust	12/17/2012	Journal Entry	X			
Trust	12/13/2012	FIRST EAGLE OVERSEAS CL I FULL SHARE ACCUM SHARE VALUE \$22.09	PTTPX	3		\$0.00
Trust	12/13/2012	Divd Reinv				
Trust	12/13/2012	PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT \$38.01 REINV PRICE \$11.36000 QUANTITY BOT 3.3460 AS OF 12/12	PTTPX	4		\$0.00
Trust	12/13/2012	Divd Reinv				
Trust	12/13/2012	PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT \$51.79 REINV PRICE \$11.36000 QUANTITY BOT 4.5590 AS OF 12/12	PTTPX	1		\$0.00
Trust	12/13/2012	Journal Entry				

Trust	Date	Description	FCX	-88	\$32.23	\$2,836.44
Trust	12/7/2012	PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE \$11.35				
Trust	12/7/2012	Sale FREEPORT-MCMRAN CPR & GLD PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED	FCX	-88	\$32.23	\$2,836.44
Trust	12/7/2012	Purchase MARATHON PETROLEUM CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED	MPC	57	\$59.65	-\$3,400.03
Trust	12/7/2012	Divd Reinv ROYCE PENNSYLVANIA MUTUAL FUND CL INVESTMENT AGENT REINV AMOUNT \$79.67 REINV PRICE \$11.16000 QUANTITY BOT 7.1390 AS OF 12/06	PENN	7		\$0.00
Trust	12/7/2012	Divd Reinv ROYCE PENNSYLVANIA MUTUAL FUND CL INVESTMENT AGENT REINV AMOUNT \$427.27 REINV PRICE \$11.16000 QUANTITY BOT 38.2860 AS OF 12/06	PENN	38		\$0.00
Trust	12/7/2012	Divd Reinv ROYCE PENNSYLVANIA MUTUAL FUND CL INVESTMENT AGENT REINV AMOUNT \$23.93 REINV PRICE \$11.16000 QUANTITY BOT 2.1440 AS OF 12/06	PENN	2		\$0.00
Trust	12/7/2012	Journal Entry ROYCE PENNSYLVANIA MUTUAL FUND CL INVESTMENT FULL SHARE ACCUM SHARE VALUE \$11.17	PENN	1		\$0.00
Trust	12/5/2012	Sale LORD ABBETT FLOATING RATE FUND CL F FRAC SHR QUANTITY .223 PER ADVISORY AGREEMENT. THIS SALE CONSTITUTES A REDEMPTION.	LFRFX	-1	\$9.37	\$11.46
Trust	12/4/2012	Journal Entry ML BANK DEPOSIT PROGRAM MANUAL REDEMPTION PER BRANCH REQUEST VS 03107017 UNIT 363 BATCH # = 11463502390	##	-5,858		\$5,858.00
Trust	11/29/2012	Sale BAXTER INTERNTL INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS	BAX	-10	\$66.26	\$662.59

Trust	11/29/2012	12/4/2012	Purchase TERADATA CORP DEL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT \$26.37 REINV PRICE \$10.29000 QUANTITY BOT 2.5630 AS OF 11/30 Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT \$16.86 REINV PRICE \$10.23000 QUANTITY BOT 1.6480 Divd Reinv BLACKROCK INFLATION PROTECTED BD FD INST CL AGENT REINV AMOUNT \$40.50 REINV PRICE \$12.33000 QUANTITY BOT 3.2850 AS OF 11/30 Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$34.54 REINV PRICE \$6.57000 QUANTITY BOT 5.2570 AS OF 11/30 Divd Reinv LORD ABBETT FLOATING RATE FUND CL F AGENT REINV AMOUNT \$11.45 REINV PRICE \$9.36000 QUANTITY BOT 1.2230 AS OF 11/30 Divd Reinv PIMCO TOT RTN FD CL P AGENT REINV AMOUNT \$10.88 REINV PRICE \$11.62000 QUANTITY BOT .9360 AS OF Exchange EATON CORP PAY DATE 12/03/2012 Exchange EATON CORP PLC PAY DATE 12/03/2012 Journal Entry TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE \$10.29 Journal Entry RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE \$10.23 Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE \$11.63	TDC	12	\$59.87	-\$718.44
Trust	12/3/2012		TGLM X	2	\$0.00		
Trust	12/3/2012		RSFY X	1	\$0.00		
Trust	12/3/2012		BPRIX	3	\$0.00		
Trust	12/3/2012		OIBY X	5	\$0.00		
Trust	12/3/2012		LFRFX	1	\$0.00		
Trust	12/3/2012		PTTPX		\$0.00		
Trust	12/3/2012		###	-58	\$0.00		
Trust	12/3/2012		ETN	58	\$0.00		
Trust	12/3/2012		TGLM	1	\$0.00		
Trust	12/3/2012		RSFY X	1	\$0.00		
Trust	12/3/2012		PTTPX	1	\$0.00		

Trust	11/29/2012 11/30/2012	Sale	ALLG12	-1	\$0.39	\$38.99
		CALL AIG 00034.000 AMERICAN INTERNATIONAL EXP	22L34			
		12-22-12 OPEN TRAN AIG DEC 34.00000				
Trust	11/29/2012	Divd Reinv	ALLFX	2		\$0.00
		AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2				
		AGENT REINV AMOUNT \$30.81 REINV PRICE \$11.24000				
		QUANTITY BOT 2.7410 AS OF 11/28				
Trust	11/27/2012 11/28/2012	Sale	LFRFX	-312	\$9.35	\$2,922.95
		LORD ABBETT FLOATING RATE FUND CL F FRAC SHR				
		QUANTITY .615 PER ADVISORY AGREEMENT. THIS SALE				
		CONSTITUTES A REDEMPTION.				
Trust	11/19/2012 11/23/2012	Purchase	LLTC	5	\$31.87	-\$159.35
		LINEAR TECHNOLOGY CORP PER ADVISORY				
		AGREEMENT. EXECUTED 100% AGENCY ACTUAL				
		PRICES, REMUNERATION AND THE CAPACITY IN WHICH				
		ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A				
		Purchase				
Trust	11/19/2012 11/23/2012	Purchase	CHRW	4	\$59.59	-\$238.34
		C.H. ROBINSON WORLDWIDE, INC. NEW PER ADVISORY				
		AGREEMENT. EXECUTED 100% AGENCY ACTUAL				
		PRICES, REMUNERATION AND THE CAPACITY IN WHICH				
		ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A				
		MKT IN ISSUE ML ACTED AS AGENT				
Trust	11/16/2012 11/21/2012	Purchase	LLTC	78	\$31.08	-\$2,424.38
		LINEAR TECHNOLOGY CORP PER ADVISORY				
		AGREEMENT. EXECUTED 100% AGENCY ACTUAL				
		PRICES, REMUNERATION AND THE CAPACITY IN WHICH				
		ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A				
		Purchase				
Trust	11/16/2012 11/21/2012	Purchase	FCX	18	\$36.75	-\$661.56
		FREEMT-MCMRAN CPR & GLD PER ADVISORY				
		AGREEMENT. EXECUTED 100% AGENCY ACTUAL				
		PRICES, REMUNERATION AND THE CAPACITY IN WHICH				
		ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED				
		Purchase				
Trust	11/16/2012 11/21/2012	Purchase	XOM	6	\$85.41	-\$512.46
		EXXON MOBIL CORP COM PER ADVISORY AGREEMENT.				
		EXECUTED 100% AGENCY ACTUAL PRICES,				
		REMUNERATION AND THE CAPACITY IN WHICH ML				
		ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS				
		Purchase				
Trust	11/16/2012 11/21/2012	Purchase	ONLY	13	\$90.12	-\$1,171.51

Trust	Date	Description	NTG	Qty	Cost	Market Value
Trust	11/1/2012	LORD ABBETT FLOATING RATE FUND CL F AGENT REINV AMOUNT \$13.07 REINV PRICE \$9.37000 QUANTITY BOT 1.3950 AS OF 10/31	PTTPX			\$0.00
Trust	11/1/2012	Divd Reinv PIMCO TOT RTN FD CL P AGENT REINV AMOUNT \$10.59 REINV PRICE \$11.59000 QUANTITY BOT .9140 AS OF 11/1/2012	BPRIX	1		\$0.00
Trust	11/1/2012	Journal Entry BLACKROCK INFLATION PROTECTED BD FD INST CL FULL SHARE ACCUM SHARE VALUE \$12.30	PTTPX	1		\$0.00
Trust	10/26/2012	Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE \$11.59	MCD	2	\$87.10	-\$174.19
Trust	10/26/2012	Purchase MCDONALDS CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale	NTG	-34	\$26.13	\$888.34
Trust	10/29/2012	TORTOISE MLP FD INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Divd Reinv	AHIFX	2		\$0.00
Trust	10/29/2012	AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$26.18 REINV PRICE \$11.25000 QUANTITY BOT 2.3270 AS OF 10/26	OIGY	1		\$0.00
Trust	10/29/2012	Journal Entry OPENHEIMER INTERNATL GROWTH FD CL Y FULL SHARE ACCUM SHARE VALUE \$29.52	AHIFX	1		\$0.00
Trust	10/26/2012	Journal Entry AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 FULL SHARE ACCUM SHARE VALUE \$11.25	OIGY	78	\$29.52	-\$2,328.95
Trust	10/24/2012	Purchase OPENHEIMER INTERNATL GROWTH FD CL Y FRAC SHR QUANTITY .894 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION. SEE THE PROSPECTUS	NTG	-16	\$26.31	\$420.91

Trust	Date	Description	Code	Value	Balance
Trust	10/23/2012 10/26/2012	TORTOISE MLP FD INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale	NTG	-52	\$26.25 \$1,364.97
Trust	10/24/2012	TORTOISE MLP FD INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Journal Entry	###	-3,487	\$3,487.00
Trust	10/22/2012	ML BANK DEPOSIT PROGRAM MANUAL REDEMPTION PER BRANCH REQUEST VS 03107017 UNIT 363 BATCH # = 12424917933	CHK1	-1	\$0.00
Trust	10/18/2012	Expired Options PUT CHK 00016.000 CHESAPEAKE ENERGY OKLA EXP 10-220V 20-12 VS 01900005 UNIT OAK BATCH # =	16 TGBA	2	\$0.00
Trust	10/12/2012 10/17/2012	Divd Reinv TEMPLETON GBL BOND FD ADV CL AGENT REINV AMOUNT \$31.10 REINV PRICE \$13.41000 QUANTITY BOT 2.3190 AS OF 10/15	X	21	\$66.60 -\$1,398.69
Trust	10/12/2012 10/17/2012	Purchase NORFOLK SOUTHERN CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	NSC	23	\$34.70 -\$798.03
Trust	10/12/2012 10/17/2012	CONSTELLATION BRANDS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	STZ	20	\$62.37 -\$1,247.33
Trust	10/12/2012 10/17/2012	PNC FINCL SERVICES GROUP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	PNC	26	\$62.46 -\$1,623.93

Trust	10/3/2012	10/9/2012	Sale VALERO ENERGY CORP NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	VLO	-117	\$31.86	\$3,727.08
Trust	10/3/2012	10/9/2012	CONSTELLATION BRANDS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	STZ	10	\$34.35	-\$343.47
Trust	10/3/2012	10/9/2012	PNC FINCL SERVICES GROUP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	PNC	8	\$64.49	-\$515.89
Trust	10/3/2012	10/9/2012	NETFLIX COM INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED Sale	NFLX	6	\$60.83	-\$364.96
Trust	10/3/2012	10/9/2012	CATERPILLAR INC DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	CAT	-39	\$84.63	\$3,300.58
Trust	10/5/2012	10/9/2012	CALAMOS EVOLVING WORLD GROWTH FUND CL I FRAC SHR QUANTITY .336 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION. SEE THE PROSPECTUS Purchase	CNWI	310	\$13.22	-\$4,102.64
Trust	10/5/2012	10/9/2012	OPPENHEIMER DEVELOPING MARKETS FD CL Y FRAC SHR QUANTITY .161 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION. SEE THE PROSPECTUS Purchase	ODVY	120	\$34.15	-\$4,103.50
Trust	10/2/2012	10/5/2012	MET	MET	-99	\$34.30	\$3,395.87

Trust	Date	Description	Symbol	Quantity	Price	Amount
Trust	9/18/2012	LORD ABBETT FLOATING RATE FUND CL F AGENT REINV AMOUNT \$12.65 REINV PRICE \$9.36000 QUANTITY BOT 1.3510 AS OF 09/28	ALIFX	2	\$0.00	
Trust	9/21/2012	AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$30.41 REINV PRICE \$11.18000 QUANTITY BOT 2.7200 AS OF 09/28				
Trust	10/1/2012	Journal Entry	TGLM	1	\$0.00	
Trust	10/1/2012	TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE \$10.26	X			
Trust	10/1/2012	Journal Entry	RSFY	1	\$0.00	
Trust	10/1/2012	RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE \$10.24	X			
Trust	10/1/2012	Journal Entry	LFRFX	1	\$0.00	
Trust	9/21/2012	LORD ABBETT FLOATING RATE FUND CL F FULL SHARE ACCUM SHARE VALUE \$9.36	CIHYX	462	\$9.98	-\$4,612.97
Trust	9/21/2012	Purchase				
Trust	9/21/2012	CALAMOS HIGH INCOME FUND CL I FRAC SHR QUANTITY .221 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION. SEE THE PROSPECTUS	DBLT	322	\$11.43	-\$3,691.00
Trust	9/21/2012	Purchase	X			
Trust	9/21/2012	DOUBLELINE TOTAL RETURN BOND FUND CL I FRAC SHR QUANTITY .922 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION. SEE THE PROSPECTUS	OXY	-31	\$89.90	\$2,786.71
Trust	9/21/2012	Sale				
Trust	9/20/2012	OCCIDENTAL PETE CORP CAL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS	TGBA	2	\$0.00	
Trust	9/20/2012	Divd Reinv	X			
Trust	9/20/2012	TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT \$30.86 REINV PRICE \$13.33000 QUANTITY BOT 2.3150 AS OF 09/17	TGBA	1	\$0.00	
Trust	9/20/2012	Journal Entry				

[illegible]

Trust	9/6/2012	9/11/2012	CALL AIG 00035.000 AMERICAN INTERNATIONAL EXP 09-22-12 CLOSE TRAN AIG SEP 35.00000	22135
Trust			Sale SALESFORCE COM INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS Sale	CRM -19 \$147.92 \$2,810.40
Trust	9/5/2012	9/10/2012	TIFFANY & CO NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS Sale	TIF -48 \$60.02 \$2,880.90
Trust	9/5/2012	9/10/2012	KIMBERLY CLARK PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS AGENT	KMB -33 \$83.15 \$2,743.89
Trust			TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT \$28.87 REINV PRICE \$10.10000 QUANTITY BOT 2.8580 AS OF 08/31	TGLM X 2 \$0.00
Trust	9/4/2012	9/4/2012	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT \$17.17 REINV PRICE \$10.17000 QUANTITY BOT 1.6880	RSFY X 1 \$0.00
Trust	9/4/2012	9/4/2012	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$35.62 REINV PRICE \$6.46000 QUANTITY BOT 5.5140 AS OF 08/31	OIBY X 5 \$0.00
Trust	9/4/2012	9/4/2012	Divd Reinv LORD ABBETT FLOATING RATE FUND CL F AGENT REINV AMOUNT \$12.88 REINV PRICE \$9.28000 QUANTITY BOT 1.3880 AS OF 08/31	LFRFX 1 \$0.00
Trust	9/4/2012	9/4/2012	Divd Reinv PIMCO TOT RTN FD CL P AGENT REINV AMOUNT \$8.81 REINV PRICE \$11.50000 QUANTITY BOT .7660 AS OF Journal Entry	PTTPX 1 \$0.00
Trust	9/4/2012	9/4/2012	TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE \$10.11	TGLM X 1 \$0.00
Trust	8/29/2012	8/29/2012	Divd Reinv	AHIFX 2 \$0.00

Trust	Date	Description	Code	Quantity	Price	Value
Trust	8/29/2012	AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$28.98 REINV PRICE \$11.08000 QUANTITY BOT 2.6160 AS OF 08/28	AHIFX	1		\$0.00
Trust	8/24/2012	AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 FULL SHARE ACCUM SHARE VALUE \$11.09	VLO1	-1	\$0.63	\$62.99
Trust	8/20/2012	CALL VLO 00034.000 VALERO ENERGY CORP NEW EXP 12-22-12 OPEN TRAN VLO DEC 34.00000	CVH	-80	\$41.33	\$3,306.32
Trust	8/17/2012	COVENTRY HEALTH CARE INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	CRM	5	\$147.42	-\$737.12
Trust	8/17/2012	SALESFORCE COM INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	NWSA	71	\$23.49	-\$1,667.81
Trust	8/17/2012	NEWS CORP CL A PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED Purchase	CVH	19	\$34.69	-\$659.14
Trust	8/20/2012	COVENTRY HEALTH CARE INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Divd Reinv	TGBA	2		\$0.00
Trust	8/20/2012	TEMPLETON GBL BOND FD ADV CL AGENT REINV AMOUNT \$38.01 REINV PRICE \$13.16000 QUANTITY BOT 2.8880 AS OF 08/15	TGBA	1		\$0.00
Trust	8/17/2012	TEMPLETON GBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE \$13.16	AIK12	1	\$0.58	-\$58.00
Trust	8/17/2012	CALL AIG 00034.000 AMERICAN INTERNATIONAL EXP 08-18-12 CLOSE TRAN AIG AUG 34.00000	AIK12	-1	\$1.09	\$109.01

Trust	8/17/2012	8/20/2012	CALL AIG 00035.000 AMERICAN INTERNATIONAL EXP 09-22-12 OPEN TRAN AIG SEP 35.00000	22135
Trust			Purchase	
			PUT OEF 00060.000 ISHARES S&P 100 INDEX EXP 11-17-12 OPEN TRAN OEF NOV 60.00000	OEF1 4 \$0.80 -\$320.00
			Stock Dividend	217W 60
Trust		8/16/2012	COCA COLA COM HOLDING 39.0000 PAY DATE	KO 39
			DUBB	KO -39
Trust		8/16/2012	COCA COLA COM	KO -39
			DUBB	KO -39
Trust	8/10/2012	8/15/2012	Sale	VLO -30
			VALERO ENERGY CORP NEW PER THE PIA AGREEMENT	VLO -30
			EXECUTED 100% AGENCY ACTUAL PRICES,	VLO -30
			REMUNERATION AND THE CAPACITY IN WHICH ML	VLO -30
			ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS	VLO -30
			DUBB	VLO -30
			COCA COLA COM	VLO -30
Trust		8/13/2012	Sale	VLO -30
			GENERAL MILLS PER THE PIA AGREEMENT EXECUTED	VLO -30
			100% AGENCY ACTUAL PRICES, REMUNERATION AND	VLO -30
			THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE	VLO -30
			UPON REQUEST. ML ACTED AS AGENT	VLO -30
Trust	8/7/2012	8/10/2012	Sale	VLO -30
			WAL-MART STORES INC PER THE PIA AGREEMENT	VLO -30
			EXECUTED 100% AGENCY ACTUAL PRICES,	VLO -30
			REMUNERATION AND THE CAPACITY IN WHICH ML	VLO -30
			ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS	VLO -30
			Purchase	VLO -30
Trust	8/7/2012	8/10/2012	KIMBERLY CLARK PER THE PIA AGREEMENT EXECUTED	VLO -30
			100% AGENCY ACTUAL PRICES, REMUNERATION AND	VLO -30
			THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE	VLO -30
			UPON REQUEST. ML ACTED AS AGENT	VLO -30
			Purchase	VLO -30
Trust	8/7/2012	8/10/2012	WELLS FARGO & CO NEW DEL PER THE PIA AGREEMENT	VLO -30
			EXECUTED 100% AGENCY ACTUAL PRICES,	VLO -30
			REMUNERATION AND THE CAPACITY IN WHICH ML	VLO -30
			ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS	VLO -30
			Purchase	VLO -30
	8/7/2012	8/10/2012		VLO -30

Trust	8/1/2012	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT \$28.73 REINV PRICE \$10.03000 QUANTITY BOT 2.8640 AS OF 07/31	TGLM X	2	\$0.00
Trust	8/1/2012	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT \$16.99 REINV PRICE \$10.12000 QUANTITY BOT 1.6790	RSFY X	1	\$0.00
Trust	8/1/2012	Divd Reinv BR INF PTD BD FD INST CL AGENT REINV AMOUNT \$.97 REINV PRICE \$12.21000 QUANTITY BOT .0790 AS OF	BPRIX		\$0.00
Trust	8/1/2012	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$31.48 REINV PRICE \$6.44000 QUANTITY BOT 4.8880 AS OF 07/31	OIBY X	4	\$0.00
Trust	8/1/2012	Divd Reinv LORD ABBETT FLOATING RATE FUND CL F AGENT REINV AMOUNT \$12.21 REINV PRICE \$9.22000 QUANTITY BOT 1.3240 AS OF 07/31	LFRFX	1	\$0.00
Trust	8/1/2012	Divd Reinv PIMCO TOT RTN FD CL P AGENT REINV AMOUNT \$8.17 REINV PRICE \$11.47000 QUANTITY BOT .7120 AS OF	PTTPX		\$0.00
Trust	8/1/2012	Journal Entry TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE \$10.03	TGLM X	1	\$0.00
Trust	8/1/2012	Journal Entry RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE \$10.13	RSFY X	1	\$0.00
Trust	8/1/2012	Journal Entry OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE \$6.44	OIBY X	1	\$0.00
Trust	8/1/2012	Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE \$11.46	PTTPX	1	\$0.00
Trust	7/30/2012	Divd Reinv AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$28.59 REINV PRICE \$11.00000 QUANTITY BOT 2.5990 AS OF 07/27	AHIFX	2	\$0.00
Trust	7/30/2012	Journal Entry AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 FULL SHARE ACCUM SHARE VALUE \$11.02	AHIFX	1	\$0.00

Trust	7/25/2012	7/30/2012	Purchase ABERCROMBIE & FITCH CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	ANF	38	\$35.47	-\$1,348.00
Trust	7/25/2012	7/30/2012	CATERPILLAR INC DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	CAT	15	\$83.14	-\$1,247.15
Trust	7/27/2012	7/30/2012	CALL CHK 00021.000 CHESAPEAKE ENERGY OKLA EXP 10-20-12 OPEN TRAN CHK OCT 21.00000	CHK1 22012	-1	\$0.90	\$90.03
Trust	7/27/2012	7/30/2012	Purchase PUT CHK 00016.000 CHESAPEAKE ENERGY OKLA EXP 10-220V 20-12 OPEN TRAN CHK OCT 16.00000	CHK1 16	1	\$1.30	-\$130.08
Trust	7/20/2012	7/25/2012	Purchase ABERCROMBIE & FITCH CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	ANF	54	\$36.47	-\$1,969.26
Trust	7/20/2012	7/25/2012	COVENTRY HEALTH CARE INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	CVH	61	\$32.52	-\$1,983.65
Trust	7/20/2012	7/25/2012	ANADARKO PETE CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale	APC	-46	\$71.54	\$3,290.60
Trust	7/19/2012	7/19/2012	Divd Reinv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT \$37.78 REINV PRICE \$12.87000 QUANTITY BOT 2.9360 AS OF 07/16	TGBA X	2		\$0.00
Trust	7/19/2012	7/19/2012	Journal Entry TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE \$13.00	TGBA X	1		\$0.00
Trust	7/13/2012	7/18/2012	Sale	ABT	-10	\$65.31	\$653.13

Trust	7/13/2012	7/18/2012							
Trust				ABBOTT LABS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLP	26	\$35.28	-\$917.16	
Trust				SECTOR SPDR CONSMRS STPL PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	UMB	400	\$13.13	-\$5,259.27	
Trust	7/13/2012	7/16/2012		SCOUT MID CAP FUND CL RETAIL FRAC SHR QUANTITY .554 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION. SEE THE PROSPECTUS	DFDI	-198	\$27.50	\$5,463.51	
Trust	7/13/2012	7/16/2012		DELAWARE SMID CAP GROWTH FUND INSTL CL FRAC SHR QUANTITY .673 PER THE PIA AGREEMENT THIS SALE CONSTITUTES A REDEMPTION.	CAT	24	\$84.35	-\$2,024.43	
Trust	7/6/2012	7/11/2012		CATERPILLAR INC DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CRM	14	\$135.47	-\$1,896.57	
Trust	7/6/2012	7/11/2012		SALESFORCE COM INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HES	-59	\$43.16	\$2,546.53	
Trust	7/6/2012	7/11/2012		HESS CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GD	8	\$65.42	-\$523.36	

Trust	Date	Description	Symbol	Quantity	Price	Value
Trust	7/2/2012	LORD ABBETT FLOATING RATE FUND CL F AGENT REINV AMOUNT \$12.16 REINV PRICE \$9.17000 QUANTITY BOT 1.3260 AS OF 06/29	PTTPX	1		\$0.00
Trust	7/2/2012	Divd Reinv				
Trust	7/2/2012	PIMCO TOT RTN FD CL P AGENT REINV AMOUNT \$10.13 REINV PRICE \$11.30000 QUANTITY BOT .8960 AS OF	TGLM	1		\$0.00
Trust	7/2/2012	Journal Entry				
Trust	7/2/2012	TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM	X			
Trust	7/2/2012	SHARE VALUE \$9.89				
Trust	7/2/2012	Journal Entry				
Trust	7/2/2012	RS FLOATING RATE FUND CL Y FULL SHARE ACCUM	RSFY	1		\$0.00
Trust	7/2/2012	SHARE VALUE \$10.08				
Trust	7/2/2012	Journal Entry				
Trust	7/2/2012	LORD ABBETT FLOATING RATE FUND CL F FULL SHARE ACCUM	LFRFX	1		\$0.00
Trust	7/2/2012	Journal Entry				
Trust	7/2/2012	ACCUM SHARE VALUE \$9.18				
Trust	7/2/2012	PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM	PTTPX	1		\$0.00
Trust	7/2/2012	SHARE VALUE \$11.34				
Trust	7/2/2012	Purchase				
Trust	7/2/2012	AUTOZONE INC NEVADA COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS	AZO	4	\$357.84	-\$1,431.36
Trust	6/29/2012	Divd Reinv				
Trust	6/29/2012	AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$32.68 REINV PRICE \$10.86000 QUANTITY BOT 3.0090 AS OF 06/28	AHIFX	3		\$0.00
Trust	6/29/2012	Sale				
Trust	6/29/2012	BED BATH & BEYOND INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A	BBBY	-46	\$61.40	\$2,824.49
Trust	6/20/2012	Divd Reinv				
Trust	6/20/2012	TEMPLETON GBL BOND FD ADV CL AGENT REINV AMOUNT \$37.48 REINV PRICE \$12.54000 QUANTITY BOT 2.9890 AS OF 06/15	TGBA	2		\$0.00
Trust	6/20/2012	Journal Entry				
Trust	6/20/2012	TEMPLETON GBL BOND FD ADV CL FULL SHARE ACCUM	TGBA	1		\$0.00
Trust	6/20/2012	Share				
Trust	6/13/2012	6/8/2012	UNP	-24	\$109.50	\$2,627.94

Trust				UNION PACIFIC CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale	CHRW	-22	\$57.20	\$1,258.37
Trust	6/8/2012	6/13/2012	6/13/2012	C.H. ROBINSON WORLDWIDE, INC. NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT Sale				
Trust	6/8/2012	6/13/2012	6/13/2012	CONAGRA FOODS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	CAG	-105	\$24.90	\$2,614.45
Trust	6/8/2012	6/13/2012	6/13/2012	CHESAPEAKE ENERGY OKLA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	CHK	70	\$17.88	-\$1,251.50
Trust	6/8/2012	6/13/2012	6/13/2012	TIFFANY & CO NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	TIF	24	\$55.30	-\$1,327.10
Trust	6/8/2012	6/13/2012	6/13/2012	BED BATH & BEYOND INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale	BBBY	-8	\$70.85	\$566.80
Trust	6/1/2012	6/6/2012	6/6/2012	SECTOR SPDR CONSUMERS STPL PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED Sale	XLP	123	\$33.42	-\$4,110.67
	6/1/2012	6/6/2012	6/6/2012		KMX	-102	\$27.60	\$2,815.17

[illegible]

Trust	Date	Description	Code	Quantity	Price	Amount
Trust	6/1/2012	LORD ABBETT FLOATING RATE FUND CL F AGENT REINV AMOUNT \$13.11 REINV PRICE \$9.15000 QUANTITY BOT 1.4330 AS OF 05/31	PTPX	1		\$0.00
Trust	6/1/2012	Divd Reinv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT \$11.36 REINV PRICE \$11.28000 QUANTITY BOT 1.0070 AS OF 05/31	TGLM	1		\$0.00
Trust	6/1/2012	Journal Entry TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE \$9.89	X			
Trust	6/1/2012	Journal Entry RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE \$9.99	RSFY	1		\$0.00
Trust	6/1/2012	Journal Entry BLACKROCK INFLATION PROTECTED BD FD INST CL FULL SHARE ACCUM SHARE VALUE \$12.14	BPRIX	1		\$0.00
Trust	6/1/2012	Journal Entry OPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE \$6.19	OIBY	1		\$0.00
Trust	5/29/2012	Purchase CHESAPEAKE ENERGY OKLA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	CHK	79	\$16.78	-\$1,325.42
Trust	5/25/2012	Purchase SANDISK CORP INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A Sale	SNDK	42	\$33.25	-\$1,396.37
Trust	5/29/2012	CALL AIG 00034.000 AMERICAN INTERNATIONAL EXP 08-18-12 OPEN TRAN AIG AUG 34.00000	AIG12 18H3	-1	\$0.60	\$59.99
Trust	5/25/2012	Divd Reinv AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$25.90 REINV PRICE \$10.80000 QUANTITY BOT 2.3980 AS OF 05/24	AHIFX	2		\$0.00
Trust	5/18/2012	Purchase	PAYX	44	\$29.70	-\$1,306.91

Trust	Date	Description	Code	Quantity	Price	Amount
Trust	5/18/2012	PAYCHEX INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED PURCHASE	UNP	11	\$109.14	-\$1,200.59
Trust	5/18/2012	UNION PACIFIC CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS PURCHASE	ADP	25	\$52.14	-\$1,303.42
Trust	5/18/2012	AUTOMATIC DATA PROC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A Sale	VFH	-141	\$29.48	\$4,157.13
Trust	5/18/2012	VANGUARD FINANCIALS ETF PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Divd Reinv	TGBA	2		\$0.00
Trust	5/18/2012	TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT \$37.54 REINV PRICE \$12.59000 QUANTITY BOT 2.9820 AS OF 05/15	TGBA	1		\$0.00
Trust	5/18/2012	Journal Entry				
Trust	5/14/2012	TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE \$12.44	SNDK	56	\$35.58	-\$1,992.72
Trust	5/14/2012	Purchase				
Trust	5/11/2012	SANDISK CORP INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A Sale	MPC	-79	\$37.70	\$2,978.59
Trust	5/11/2012	MARATHON PETROLEUM CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS PURCHASE	VLO	147	\$22.80	-\$3,352.14

Trust	5/1/2012	5/4/2012	Purchase ANADARKO PETE CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS Sale	APC	4	\$75.38	-\$301.50
Trust	5/1/2012	5/4/2012	KIMBERLY CLARK PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS AGENT	KMB	-40	\$78.40	\$3,135.89
Trust			Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT \$29.70 REINV PRICE \$9.87000 QUANTITY BOT 3.0090 AS OF 04/30	TGLM X	3		\$0.00
Trust			Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT \$17.58 REINV PRICE \$10.17000 QUANTITY BOT 1.7290	RSFY X	1		\$0.00
Trust			Divd Reinv BLACKROCK INFLATION PROTECTED BD FD INST CL AGENT REINV AMOUNT \$18.47 REINV PRICE \$11.99000 QUANTITY BOT 1.5400 AS OF 04/30	BPRIX	1		\$0.00
Trust			Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$30.54 REINV PRICE \$6.38000 QUANTITY BOT 4.7870 AS OF 04/30	OIBY X	4		\$0.00
Trust			Divd Reinv LORD ABBETT FLOATING RATE FUND CL F AGENT REINV AMOUNT \$12.55 REINV PRICE \$9.27000 QUANTITY BOT 1.3540 AS OF 04/30	LFRFX	1		\$0.00
Trust			Divd Reinv PIMCO TOT RTN FD CL P AGENT REINV AMOUNT \$10.19 REINV PRICE \$11.22000 QUANTITY BOT .9080 AS OF Journal Entry	PTTPX OIBY X	1		\$0.00
Trust			ACCUM SHARE VALUE \$6.38 Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE \$11.22	PTTPX	1		\$0.00
Trust	4/26/2012	5/1/2012	Sale	CHK	-189	\$18.21	\$3,442.48

Trust	Date	Description	Code	Quantity	Price	Amount
Trust	4/30/2012	CHESAPEAKE ENERGY OKLA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Divd Reinv	AHIFX	2		\$0.00
Trust	4/30/2012	AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$27.43 REINV PRICE \$11.03000 QUANTITY BOT 2.4870 AS OF 04/27	AHIFX	1		\$0.00
Trust	4/30/2012	Journal Entry AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 FULL SHARE ACCUM SHARE VALUE \$11.04	CHK	46	\$17.95	-\$825.72
Trust	4/19/2012	Purchase CHESAPEAKE ENERGY OKLA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Divd Reinv	TGBA	2		\$0.00
Trust	4/19/2012	TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT \$37.31 REINV PRICE \$12.93000 QUANTITY BOT 2.8860 AS OF 04/16	HES	5	\$58.80	-\$294.01
Trust	4/5/2012	Purchase HESS CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AIG	-24	\$32.34	\$776.02
Trust	4/5/2012	Sale AMERICAN INTERNATIONAL GROUP INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GIS	36	\$39.00	-\$1,404.00
Trust	4/5/2012	Purchase GENERAL MILLS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ADP	25	\$55.46	-\$1,386.44

Trust	4/5/2012	4/11/2012	Purchase	CAG	53	\$26.45	-\$1,401.84
Trust	4/5/2012	4/11/2012	CONAGRA FOODS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale	ANF	-72	\$50.66	\$3,647.30
Trust	4/5/2012	4/11/2012	ABERCROMBIE & FITCH CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	PAYX	44	\$31.41	-\$1,381.97
Trust	4/4/2012	4/10/2012	PAYCHEX INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED Sale	DFS	-106	\$33.18	\$3,516.53
Trust	3/30/2012	4/4/2012	DISCOVER FINL SVCS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale	DFS	-17	\$32.86	\$558.65
Trust	4/2/2012	4/2/2012	DISCOVER FINL SVCS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Divd Reinv	TGLM	3		\$0.00
Trust	4/2/2012	4/2/2012	TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT \$30.95 REINV PRICE \$9.85000 QUANTITY BOT 3.1420 AS OF 03/30	RSFY	1		\$0.00
Trust	4/2/2012	4/2/2012	RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT \$18.30 REINV PRICE \$10.13000 QUANTITY BOT 1.8070	OIBY	5		\$0.00
Trust	4/2/2012	4/2/2012	OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$31.93 REINV PRICE \$6.33000 QUANTITY BOT 5.0440 AS OF 03/30	SASF			\$0.00
Trust	4/2/2012	4/2/2012	SUNAM SR FLTG RATE CL A AGENT REINV AMOUNT \$.01 REINV PRICE \$8.13000 QUANTITY BOT .0010 AS OF	LFRFX	1		\$0.00

Trust	Date	Description	Symbol	Quantity	Price	Value
Trust	4/2/2012	LORD ABBETT FLOATING RATE FUND CL F AGENT REINV AMOUNT \$13.36 REINV PRICE \$9.24000 QUANTITY BOT 1.4460 AS OF 03/30	PTTPX			\$0.00
Trust	4/2/2012	Divd Reinv				
Trust	4/2/2012	PIMCO TOT RTN FD CL P AGENT REINV AMOUNT \$10.98 REINV PRICE \$11.09000 QUANTITY BOT .9900 AS OF Redeemed	6174	-1,000		\$1,000.00
Trust	4/2/2012	MORGAN ST DEAN WITTER GLB 06.600% APR 01 2012 GLB 06.600% APR 01 2012 PAY DATE 04/02/2012	46HC			
Trust	4/2/2012	Journal Entry	6			
Trust	4/2/2012	RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE \$10.13	RSFY	1		\$0.00
Trust	4/2/2012	Journal Entry	X			
Trust	4/2/2012	LORD ABBETT FLOATING RATE FUND CL F FULL SHARE ACCUM SHARE VALUE \$9.24	LFRFX	1		\$0.00
Trust	4/2/2012	Journal Entry				
Trust	4/2/2012	PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE \$11.11	PTTPX	1		\$0.00
Trust	3/30/2012	Redeemed				
Trust	3/30/2012	FEDERAL HOME LOAN BANK CALLABLE BONDS 04.000% MAR 30 2012	3133	-10,000		\$10,000.00
Trust	3/29/2012	PAY DATE 03/30/2012	XSH4			
Trust	3/29/2012	Divd Reinv	3			
Trust	3/29/2012	AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$27.59 REINV PRICE \$11.08000 QUANTITY BOT 2.4900 AS OF 03/28	AHIFX	2		\$0.00
Trust	3/23/2012	Sale				
Trust	3/23/2012	CARMAX INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT UPON REOUEST. ML ACTED AS AGENT	KMX	-11		\$33.79
Trust	3/26/2012	Purchase				
Trust	3/26/2012	FEDERAL HOME LN MTG CORP CALLABLE NOTES 03.000% JAN 17 2019 PER THE PIA AGREEMENT CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST FIRST COUPON 07/17/12 YLD TO CALL 0.90% CALL DATE 1/17/14 CALL PRICE \$100.00. 70 DAYS INTEREST	3134	10,000		\$103.75
Trust	3/16/2012		G3HY			-\$10,433.33
Trust	3/16/2012		9			
Trust	3/16/2012		IJR	-36		\$76.05
Trust	3/16/2012					\$2,737.75

Trust				ISHARES S&P SMALLCAP 600 INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale	CIU	-41	\$108.08	\$4,431.20
Trust	3/16/2012	3/21/2012	☐	ISHARES BARCLAYS INTERME PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	BSJF	146	\$25.90	-\$3,781.81
Trust	3/16/2012	3/21/2012	☐	GUGGENHEIM BULLETSHARES 2015 H Y CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. Purchase	BSCH	212	\$21.96	-\$4,655.52
Trust	3/16/2012	3/21/2012	☐	GUGGENHEIM BULLETSHARES 2017 CORPORATE BOND ETF PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. Divd Reinv	TGBA	1		\$0.00
Trust		3/20/2012	☐	TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT \$21.90 REINV PRICE \$13.17000 QUANTITY BOT 1.6630 AS OF 03/15	TGBA	1		\$0.00
Trust		3/20/2012	☐	Journal Entry	TGBA	1		\$0.00
Trust		3/19/2012	☐	TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE \$13.14	TGBA	1		\$0.00
Trust		3/19/2012	☐	Journal Entry	TGBA	1		\$0.00
Trust		3/19/2012	☐	TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE \$13.21	JASC	113	\$25.00	-\$2,840.18
Trust		3/16/2012	☐	Purchase	JASC	113	\$25.00	-\$2,840.18
Trust		3/16/2012	☐	JAMES SMALL CAP FUND FRAC SHR QUANTITY .607 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR Purchase	TGBA	291	\$13.19	-\$3,846.12

Trust				TEMPLETON GBL BOND FD ADV CL FRAC SHR	X				
				QUANTITY .594 FUND SUBJECT TO RED FEE. PER THE					
				PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER					
				SEPARATE COVER ON SELLING YOUR SHARES/ UNITS,					
				YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES.					
				FOR INFORMATION. SEE THE PROSPECTUS					
				Purchase					
Trust	3/16/2012	3/19/2012	☐	OPPENHEIMER INTERNATIONAL BOND FD Y FRAC SHR	OIBY	338	\$6.32	-\$2,136.44	
				QUANTITY .044 FUND SUBJECT TO RED FEE. PER THE	X				
				PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER					
				SEPARATE COVER ON SELLING YOUR SHARES/ UNITS,					
				YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES.					
				FOR INFORMATION. SEE THE PROSPECTUS					
				Purchase					
Trust	3/16/2012	3/19/2012	☐	PRINCIPAL PREFERRED SECURITIES FUND CL P FRAC	PPSP	279	\$9.99	-\$2,794.47	
				SHR QUANTITY .727 FUND SUBJECT TO RED FEE. PER	X				
				THE PIA AGREEMENT PROSPECTUS ENCLOSED OR					
				UNDER SEPARATE COVER ON SELLING YOUR SHARES/					
				UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER					
				FEES. FOR INFORMATION. SEE THE PROSPECTUS					
				Purchase					
Trust	3/9/2012	3/14/2012	☐	SUNAMERICA SENIOR FLOATING RATE FUND CL A FRAC	SASF	-1	\$8.11	\$8.70	
				SHR QUANTITY .073 PER THE PIA AGREEMENT THIS	X				
				SALE CONSTITUTES A REDEMPTION.					
				Purchase					
Trust	3/9/2012	3/12/2012	☐	INVESCO CONVERTIBLE SECURITIES FUND CL Y FRAC	CNSD	-138	\$20.40	\$2,824.56	
				SHR QUANTITY .459 PER THE PIA AGREEMENT THIS	X				
				SALE CONSTITUTES A REDEMPTION.					
				Purchase					
Trust	3/6/2012	3/9/2012	☐	MICROSOFT CORP PER THE PIA AGREEMENT EXECUTED	MSFT	-31	\$31.84	\$986.95	
				100% AGENCY ACTUAL PRICES, REMUNERATION AND					
				THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE					
				UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED					
				Purchase					
Trust	3/6/2012	3/9/2012	☐	ISHARES MSCI EMERGING MKTS INDEX FD PER THE PIA	EEM	-75	\$42.75	\$3,206.21	
				AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES,					
				REMUNERATION AND THE CAPACITY IN WHICH ML					
				ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS					
				Purchase					
Trust	3/5/2012	3/8/2012	☐	NE	NE	-95	\$39.81	\$3,781.98	

Trust	Date	Description	Code	Quantity	Amount
Trust	3/1/2012	WALGREEN CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	TGLM	3	\$0.00
Trust	3/1/2012	TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT \$30.77 REINV PRICE \$9.83000 QUANTITY BOT 3.1300 AS OF 02/29	X		
Trust	3/1/2012	Divd Reinv	RSFY	1	\$0.00
Trust	3/1/2012	RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT \$17.92 REINV PRICE \$10.09000 QUANTITY BOT 1.7760	X		
Trust	3/1/2012	Divd Reinv	OIBY	3	\$0.00
Trust	3/1/2012	OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$24.60 REINV PRICE \$6.39000	X		
Trust	3/1/2012	QUANTITY BOT 3.8500 AS OF 02/29			
Trust	3/1/2012	Divd Reinv	SASF	1	\$0.00
Trust	3/1/2012	SUNAMERICA SENIOR FLOATING RATE FUND CL A AGENT REINV AMOUNT \$8.69 REINV PRICE \$8.10000	X		
Trust	3/1/2012	QUANTITY BOT 1.0730 AS OF 02/29			
Trust	3/1/2012	Divd Reinv	LFRFX	1	\$0.00
Trust	3/1/2012	LORD ABBETT FLOATING RATE FUND CL F AGENT REINV AMOUNT \$12.47 REINV PRICE \$9.22000 QUANTITY BOT 1.3520 AS OF 02/29			
Trust	3/1/2012	Divd Reinv	PTTPX	1	\$0.00
Trust	3/1/2012	PIMCO TOT RTN FD CL P AGENT REINV AMOUNT \$8.83 REINV PRICE \$11.12000 QUANTITY BOT .7940 AS OF			
Trust	3/1/2012	Journal Entry	RSFY	1	\$0.00
Trust	3/1/2012	RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE \$10.09	X		
Trust	3/1/2012	Journal Entry	OIBY	1	\$0.00
Trust	3/1/2012	OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE \$6.40	X		
Trust	3/1/2012	Journal Entry	PTTPX	1	\$0.00
Trust	3/1/2012	PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE \$11.11			
Trust	3/1/2012	Divd Reinv	AHIFX	2	\$0.00
Trust	3/1/2012	AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$30.68 REINV PRICE \$11.10000			
Trust	3/1/2012	QUANTITY BOT 2.7640 AS OF 02/28			
Trust	3/1/2012	Journal Entry	AHIFX	1	\$0.00

Trust	2/24/2012	2/29/2012							
AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2									
Full Share Accum Share Value \$11.11									
Trust	2/24/2012	2/29/2012							
Sale									
SUNAMERICA SENIOR FLOATING RATE FUND CL A FRAC									
SHR QUANTITY .582 PER THE PIA AGREEMENT THIS									
SALE CONSTITUTES A REDEMPTION.									
Trust	2/22/2012	2/27/2012							
Sale									
DELL INC PER THE PIA AGREEMENT EXECUTED 100%									
AGENCY ACTUAL PRICES, REMUNERATION AND THE									
CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON									
REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS									
Purchase									
Trust	2/22/2012	2/27/2012							
S&P US PFD STK INDEX FD ISHARES PER THE PIA									
AGREEMENT EXECUTED 100% AGENCY PROSPECTUS									
ENCLOSED OR UNDER SEPARATE COVER ACTUAL									
PRICES, REMUNERATION AND THE CAPACITY IN WHICH									
ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED									
Purchase									
Trust	2/22/2012	2/27/2012							
ABERCROMBIE & FITCH CO PER THE PIA AGREEMENT									
EXECUTED 100% AGENCY ACTUAL PRICES,									
REMUNERATION AND THE CAPACITY IN WHICH ML									
ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS									
Purchase									
Trust	2/24/2012	2/27/2012							
JAMES SMALL CAP FUND FRAC SHR QUANTITY .068									
FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT									
PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER									
ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A									
SALES CHARGE AND/OR OTHER FEES. FOR									
Sale									
Trust	2/17/2012	2/23/2012							
ISHARES TR DOW JONES US TECHNOLOGY SECTOR									
INDX F PER THE PIA AGREEMENT EXECUTED 100%									
AGENCY ACTUAL PRICES, REMUNERATION AND THE									
CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON									
Sale									
Trust	2/17/2012	2/23/2012							
MARATHON PETROLEUM CORP PER THE PIA AGREEMENT									
EXECUTED 100% AGENCY ACTUAL PRICES,									
REMUNERATION AND THE CAPACITY IN WHICH ML									
ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS									
Sale									
Trust	2/17/2012	2/23/2012							
TDC									

Trust	2/17/2012	2/23/2012	TERADATA CORP DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS Sale	HES	-7	\$64.88	\$454.15
Trust	2/17/2012	2/23/2012	HESS CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS AGENT Purchase	KMX	5	\$30.23	-\$151.16
Trust	2/17/2012	2/23/2012	CARMAX INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS AGENT Divd Reinv	TGBA	1		\$0.00
Trust	2/21/2012		TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT \$21.73 REINV PRICE \$13.12000 QUANTITY BOT 1.6560 AS OF 02/15 Purchase	ANF	50	\$41.01	-\$2,050.54
Trust	2/3/2012	2/8/2012	ABERCROMBIE & FITCH CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS Journal Entry	SASF	1		\$0.00
Trust	2/7/2012		SUNAMERICA SENIOR FLOATING RATE FUND CL A FULL SHARE ACCUM SHARE VALUE \$8.09 Purchase	CIU	2	\$108.29	-\$216.58
Trust	2/2/2012	2/7/2012	ISHARES BARCLAYS INTERME PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS Purchase	XOM	32	\$83.71	-\$2,678.59
Trust	2/2/2012	2/7/2012	EXXON MOBIL CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS Sale	PG	-43	\$63.30	\$2,722.03

Trust	Date	Description	Code	Quantity	Price	Total
SUNAMERICA SENIOR FLOATING RATE FUND CL A FRAC X		SHR QUANTITY .736 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION SEE THE PROSPECTUS SALE JOURNAL ENTRY	RSFY	-1	\$0.00	
Trust	2/3/2012	RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE \$10.05	X			
Trust	2/3/2012	JOURNAL ENTRY AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 FULL SHARE ACCUM SHARE VALUE \$11.00	AHIFX	-1	\$0.00	
Trust	2/2/2012	Sale AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 FRAC SHR QUANTITY .669 PER THE PIA AGREEMENT THIS SALE CONSTITUTES A REDEMPTION.	AHIFX	-10	\$10.96	\$116.93
Trust	2/2/2012	Purchase RS LOW DURATION BOND FD CL Y FRAC SHR QUANTITY .332 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION SEE THE PROSPECTUS PURCHASE	RSDY	9	\$10.27	-\$95.84
Trust	2/2/2012	PIMCO TOTAL RETURN FUND CL P FRAC SHR QUANTITY .872 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION SEE THE PROSPECTUS PURCHASE	PTPX	10	\$11.14	-\$121.11
Trust	2/2/2012	RS FLOATING RATE FUND CL Y FRAC SHR QUANTITY .696 PER THE PIA AGREEMENT THIS SALE CONSTITUTES A REDEMPTION.	RSFY	-35	\$10.04	\$358.39
Trust	2/2/2012	KAYNE ANDERSON MLP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale	KYN	-3	\$30.90	\$92.69
Trust	1/30/2012	F		-91	\$12.28	\$1,117.46

Trust			FORD MOTOR CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLP	4	\$32.08	-\$128.32
Trust	1/30/2012	2/2/2012	SECTOR SPDR CONSUMERS STPL PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
Trust	2/1/2012	2/2/2012	TCW TOTAL RETURN BOND FD CL I FRAC SHR QUANTITY .336 PER THE PIA AGREEMENT THIS SALE CONSTITUTES A REDEMPTION.	TGLM	-68	\$9.75	\$666.28
Trust	2/1/2012	2/1/2012	TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT \$34.34 REINV PRICE \$9.75000 QUANTITY BOT 3.5220 AS OF 01/31	TGLM	3		\$0.00
Trust	2/1/2012	2/1/2012	RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT \$20.07 REINV PRICE \$10.02000 QUANTITY BOT 2.0030	RSFY	2		\$0.00
Trust	2/1/2012	2/1/2012	OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$28.27 REINV PRICE \$6.37000	OIBY	4		\$0.00
Trust	2/1/2012	2/1/2012	SUNAMERICA SENIOR FLOATING RATE FUND CL A AGENT REINV AMOUNT \$9.48 REINV PRICE \$8.07000	SASF	1		\$0.00
Trust	2/1/2012	2/1/2012	LORD ABBETT FLOATING RATE FUND CL F AGENT REINV AMOUNT \$12.21 REINV PRICE \$9.17000 QUANTITY BOT 1.3320 AS OF 01/31	LFRFX	1		\$0.00
Trust	2/1/2012	2/1/2012	PIMCO TOT RTN FD CL P AGENT REINV AMOUNT \$9.16 REINV PRICE \$11.12000 QUANTITY BOT .8240 AS OF	PTTPX			\$0.00
Trust	2/1/2012	2/1/2012	LORD ABBETT FLOATING RATE FUND CL F FULL SHARE ACCUM SHARE VALUE \$9.16	LFRFX	1		\$0.00
Trust	2/1/2012	2/1/2012	JOURNAL ENTRY	PTTPX	1		\$0.00

Trust			PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE \$11.11						
Trust	1/27/2012	2/1/2012	Sale ISHARES GOLD TR PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS AGENT	IAU	-307	\$16.90	\$5,188.20		
Trust	1/27/2012	2/1/2012	Purchase POWERSHARES BUILD AMERIC BOND ETF PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS AGENT	BAB	10	\$28.75	-\$287.53		
Trust	1/27/2012	2/1/2012	Sale MARATHON PETROLEUM CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS AGENT	MPC	-13	\$37.57	\$488.34		
Trust	1/27/2012	2/1/2012	Purchase TERADATA CORP DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS AGENT	TDC	5	\$53.53	-\$267.66		
Trust	1/27/2012	2/1/2012	Sale NOBLE CORP NAMEN-AKT PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS AGENT	NE	-14	\$35.47	\$496.63		
Trust	1/27/2012	2/1/2012	Purchase HESS CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS AGENT	HES	5	\$55.29	-\$276.45		
Trust	1/27/2012	2/1/2012	Purchase FLUOR CORP NEW DEL COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS AGENT	FLR	5	\$57.16	-\$285.81		
Trust	1/27/2012	2/1/2012	Sale DGX	DGX	-10	\$58.97	\$589.69		

Trust				QUEST DIAGNOSTICS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	KMX	6	\$31.26	-\$187.53
Trust	1/27/2012	2/1/2012	☐	CARMAX INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
Trust	1/27/2012	2/1/2012	☐	Sale ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDX F PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON Sale	IYW	-81	\$68.81	\$5,573.55
Trust	1/27/2012	2/1/2012	☐	DEERE CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DE	-4	\$87.35	\$349.41
Trust	1/27/2012	2/1/2012	☐	Sale KAYNE ANDERSON MLP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Journal Entry	KYN	-24	\$30.92	\$742.12
Trust		1/31/2012	☐	OPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE X ACCUM SHARE VALUE \$6.37	OIBY	-1		\$0.00
Trust	1/26/2012	1/31/2012	☐	Sale ISHARES TRUST S&P MIDCAP 400 INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED Purchase	IJH	-29	\$94.27	\$2,733.82
Trust	1/26/2012	1/31/2012	☐	TORTOISE MLP FD INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	NTG	4	\$26.15	-\$104.60
	1/26/2012	1/31/2012	☐		IJR	62	\$73.15	-\$4,535.30

	DATE	DESCRIPTION	CYCLE	QUANTITY	UNIT PRICE	TOTAL	DEBIT	CREDIT
Trust	1/30/2012	IShares S&P SmallCap 600 Index Fund per the PIA Agreement executed 100% Agency Product Description Encl Actual Prices, Remuneration and the Capacity in which ML acted are available upon request. ML acted as Agent Purchase	TGBA	16	\$12.95	-\$207.46		
Trust	1/30/2012	Templeton GBL Bond FD Adv Cl Frac Shr Quantity .020 Fund Subject to Red Fee. Per the PIA Agreement Prospectus Enclosed or Under Separate Cover on Selling Your Shares/ Units, You may pay a Sales Charge and/or other fees. For information see the prospectus.	OIBY	-118	\$6.36	\$754.43	X	
Trust	1/30/2012	Oppenheimer International Bond FD Y Frac Shr Quantity .621 Per the PIA Agreement This Sale Constitutes A Redemption.	KYN	-22	\$31.41	\$691.05		
Trust	1/26/2012	Kayne Anderson MLP per the PIA Agreement Executed 100% Agency Actual Prices, Remuneration and the Capacity in which ML acted are available upon request. ML acted as Divd Reinv	AHIFX	2		\$0.00		
Trust	1/30/2012	Amer Funds American High Income Trust FD CL F2 Agent Reinv Amount \$28.59 Reinv Price \$10.94000 Quantity Bot 2.6130 AS OF 01/27	BPRIX	1		\$0.00		
Trust	1/30/2012	Journal Entry Blackrock Inflation Protected BD FD INST CL Full Share Accum Share Value \$11.88	AHIFX	1		\$0.00		
Trust	1/30/2012	Journal Entry Amer Funds American High Income Trust FD CL F2 Full Share Accum Share Value \$10.92	BPRIX	13	\$11.84	-\$162.79		
Trust	1/27/2012	Purchase Blackrock Inflation Protected BD FD INST CL Frac Shr Quantity .749 Fund Subject to Red Fee. Per the PIA Agreement Prospectus Enclosed or Under Separate Cover on Selling Your Shares/ Units, You may pay a Sales Charge and/or other fees. For information see the prospectus.	DFDI	97	\$27.09	-\$2,638.65		

Trust	1/23/2012	1/26/2012	1/26/2012	Purchase DELL INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS Purchase	DELL	85	\$16.80	-\$1,428.07
Trust	1/23/2012	1/26/2012	1/26/2012	Purchase CARMAX INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KMX	48	\$32.81	-\$1,574.69
Trust	1/23/2012	1/26/2012	1/26/2012	Purchase DISCOVER FINL SVCS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale	DFS	55	\$27.28	-\$1,500.22
Trust	1/20/2012	1/25/2012	1/25/2012	PAYCHEX INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED Sale	PAYX	-98	\$32.40	\$3,175.50
Trust	1/20/2012	1/25/2012	1/25/2012	CAPITAL ONE FINL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	COF	-78	\$45.40	\$3,541.18
Trust	1/20/2012	1/25/2012	1/25/2012	Purchase WALGREEN CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	WAG	80	\$33.23	-\$2,658.41
Trust	1/20/2012	1/25/2012	1/25/2012	Sale AUTOMATIC DATA PROC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A Sale	ADP	-55	\$56.77	\$3,122.48
Trust	1/23/2012	1/25/2012	1/25/2012	MARATHON OIL CORP A/C CALL ZS9W3 MRO PER THE PIA AGREEMENT AS OF 01/20 UNSOLICITED ORDER Sale	MRO	-100	\$29.00	\$2,899.94
Trust	1/23/2012	1/25/2012	1/25/2012		MS	-100	\$17.50	\$1,749.97

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Trust				ISHARES TR DOW JONES US PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale	JPM	-149	\$35.36	\$5,268.29
Trust	1/13/2012	1/19/2012	JP	JPMORGAN CHASE & CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase				
Trust	1/13/2012	1/19/2012	JP	ISHARES S&P 100 INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT Purchase	OEF	85	\$58.27	-\$4,953.10
Trust	1/13/2012	1/19/2012	JP	VANGUARD FINANCIALS ETF PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale	VFH	174	\$28.94	-\$5,035.25
Trust	1/13/2012	1/19/2012	JP	MARATHON OIL CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	MRO	-31	\$30.40	\$942.38
Trust	1/12/2012	1/18/2012	JP	GENERAL ELEC CAP CORP SER MTN STEP% JAN 13 2027 PER THE PIA AGREEMENT CURRENT COUPON 4.00 SUBJ TO INCR 'STEP UP' PERIODICALLY TILL MAT DETAILS UPON REQUEST 5 DAYS INT FROM 01/13/12 FIRST COUPON 99/20120713 ACCOUNT SETTLES 01/18/12 Purchase	3696	3,000	\$99.80	-\$2,995.67
Trust	1/6/2012	1/11/2012	JP	TORTOISE MLP FD INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	NTG	20	\$26.00	-\$520.00
Trust	1/5/2012	1/10/2012	JP		AIG	72	\$23.71	-\$1,707.44

Trust				AMERICAN INTERNATIONAL GROUP INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	APC	22	\$79.50	-\$1,749.00
Trust	1/5/2012	1/10/2012	☐	ANADARKO PETE CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	BBBY	29	\$59.30	-\$1,719.75
Trust	1/5/2012	1/10/2012	☐	BED BATH & BEYOND INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A Purchase	DELL	114	\$15.06	-\$1,716.51
Trust	1/5/2012	1/10/2012	☐	DELL INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A Purchase	VLO	-75	\$19.55	\$1,465.97
Trust	1/5/2012	1/10/2012	☐	VALERO ENERGY CORP NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale	AMT	-28	\$59.17	\$1,656.84
Trust	1/5/2012	1/10/2012	☐	AMERICAN TOWER REIT INC (HLDG CO) SHS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	MPC	52	\$31.99	-\$1,663.56
Trust	1/5/2012	1/10/2012	☐	MARATHON PETROLEUM CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale	XOM	-36	\$85.62	\$3,082.38
Trust	1/4/2012	1/9/2012	☐	EXXON MOBIL CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	VFC	19	\$134.98	-\$2,564.53

Trust	12/28/2011	1/3/2012	Journal Entry	PTTPX	1	\$0.00
Trust	12/28/2011	1/3/2012	SUNAMERICA SENIOR FLOATING RATE FUND CL A FULL SHARE ACCUM SHARE VALUE \$7.97	PTTPX	1	\$0.00
Trust	12/28/2011	1/3/2012	PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE \$10.86	IWM	-44	\$73.67 \$3,241.41
Trust	12/28/2011	1/3/2012	ISHARES TR RUSSELL 2000 INDEX FD PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IJR	48	\$68.47 -\$3,286.69

DAVID PHILLIP ROE

SCHEDULE 2



Online at: www.anymerill.com

Account Number: [REDACTED]

24-Hour Assistance: (800) MERRILL

MLPFA S CUST FPO
PAMELA A ROE IRA
FBO PAMELA A ROE
2 N CROSSBOW LN
JOHNSON CITY TN 37604-3639

Net Portfolio Value: **\$217,118.66**

Your Financial Advisor:
SMITH/CONKIN/HAUSMAN
206 PRINCETON RD SUITE NO 1
JOHNSON CITY TN 37601
1-423-282-5191

Pam's IRA

This account is enrolled in the Personal Investment Advisory Program

December 01, 2012 - December 31, 2012

ASSETS	December 31	November 30
Cash/Money Accounts	28,401.39	20,871.30
Fixed Income	-	-
Equities	64,509.09	78,888.11
Mutual Funds	124,208.18	114,777.83
Options	-	-
Other	-	-
Subtotal (Long Portfolio)	217,118.66	214,537.24
TOTAL ASSETS	\$217,118.66	\$214,537.24

CASH FLOW	This Statement	Year to Date
Opening Cash/Money Accounts	\$20,871.30	
CREDITS		
Funds Received	-	-
Electronic Transfers	-	-
Other Credits	8.30	67.91
Subtotal	8.30	67.91
DEBITS		
Electronic Transfers	-	(2,409.71)
Other Debits	-	(2,409.71)
Subtotal	-	(2,409.71)
Net Cash Flow	\$8.30	(\$2,341.80)
Dividends/Interest Income	2,290.48	4,983.40
Dividend Reinvestments	(1,260.87)	(1,260.87)
Security Purchases/Debits	(11,822.70)	(184,431.56)
Security Sales/Credits	18,314.88	195,005.17
Closing Cash/Money Accounts	\$28,401.39	
Securities You Transferred In/Out	55.93	95.88

Merrill Lynch Wealth Management makes available products and services offered by Merrill Lynch, Pierce, Fenner & Smith Incorporated (MLPFA) and other subsidiaries of Bank of America Corporation. MLPFA is a registered broker-dealer, Member Securities Investor Protection Corporation (SIPC) and a wholly owned subsidiary of Bank of America Corporation. Investment products: ☐ Are Not FDIC Insured ☐ Are Not Bank Guaranteed ☐ May Lose Value

Pam's IRA

Account Number: [REDACTED]

24-Hour Assistance: (800) MERRILL

ACCOUNT INVESTMENT OBJECTIVE

December 01, 2012 - December 31, 2012

GROWTH: Objective is to accumulate wealth over time through price appreciation rather than current income. The investor should be willing to accept the risk of price volatility and principal loss in seeking to achieve growth.

If you have changes to your investment objective, please contact your Financial Advisor(s).

YOUR RETIREMENT ACCOUNT ASSETS

CASH/MONEY ACCOUNTS									
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%			
CASH	2,384.76	2,384.76		2,384.76					
FIA CARD SERVICES NA RASP	26,016.63	26,016.63	1.0000	26,016.63	26	.10			
TOTAL		28,401.39		28,401.39	26	.10			
EQUITIES									
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Yield%
AMAZON COM INC COM	AMZN	05/07/12	7	224.9000	1,574.30	250.8700	1,756.09	181.79	
APACHE CORP	APA	10/03/12	19	86.2200	1,638.18	78.5000	1,491.50	(146.68)	13 .86
		11/01/12	14	81.9450	1,147.23	78.5000	1,099.00	(48.23)	10 .86
Subtotal			33		2,785.41		2,590.50	(194.91)	23 .86
AUTOMATIC DATA PROC	ADP	04/05/12	20	55.4575	1,109.15	56.9300	1,138.60	29.45	35 3.05
		05/18/12	18	52.1366	938.46	56.9300	1,024.74	86.28	32 3.05
Subtotal			38		2,047.61		2,163.34	115.73	67 3.05
AUTOZONE INC NEVADA COM	AZO	03/05/12	4	384.1425	1,536.57	354.4300	1,417.72	(118.85)	
		06/27/12	3	357.8400	1,073.52	354.4300	1,063.29	(10.23)	
Subtotal			7		2,610.09		2,481.01	(129.08)	
C.H. ROBINSON WORLDWIDE, INC. NEW	CHRW	11/09/12	18	61.2288	1,102.12	63.2200	1,137.96	35.84	26 2.21
		11/16/12	17	59.0841	1,004.43	63.2200	1,074.74	70.31	24 2.21
Subtotal			35		2,106.55		2,212.70	106.15	50 2.21

Pam's IRA

Account Number: [REDACTED]

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2012 - December 31, 2012

EQUITIES (continued)										
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
CAPITAL ONE FINL	COF	09/07/12	25	57.1500	1,428.75	57.9300	1,448.25	19.50	5	.34
		09/14/12	22	59.2000	1,302.40	57.9300	1,274.46	(27.94)	5	.34
Subtotal			47		2,731.15		2,722.71	(8.44)	10	.34
CENTURYLINK INC SHS	CTL	06/03/11	67	41.5358	2,782.90	39.1200	2,621.04	(161.86)	195	7.41
CHESAPEAKE ENERGY OKLA	CHK	05/29/12	61	16.7775	1,023.43	16.6200	1,013.82	(9.61)	22	2.10
		06/08/12	53	17.8784	947.56	16.6200	880.86	(66.70)	19	2.10
Subtotal			114		1,970.99		1,894.68	(76.31)	41	2.10
COCA COLA COM	KO	08/10/11	56	32.6976	1,831.07	36.2500	2,030.00	198.93	58	2.81
		02/02/12	6	33.9200	203.52	36.2500	217.50	13.98	7	2.81
Subtotal			62		2,034.59		2,247.50	212.91	65	2.81
CONSTELLATION BRANDS INC	STZ	10/03/12	32	34.3475	1,099.12	35.3900	1,132.48	33.36		
		11/16/12	44	33.8609	1,489.88	35.3900	1,557.16	67.28		
Subtotal			76		2,589.00		2,689.64	100.64		
EATON CORP PLC	ETN	12/04/12	54	51.9055	2,802.90	54.1800	2,925.72	122.82	83	2.80
EXXON MOBIL CORP COM	XOM	02/02/12	25	83.7060	2,092.65	86.5500	2,163.75	71.10	57	2.63
		11/16/12	5	85.4100	427.05	86.5500	432.75	5.70	12	2.63
Subtotal			30		2,519.70		2,596.50	76.80	69	2.63
FLUOR CORP NEW DEL COM	FLR	09/16/11	39	60.7838	2,370.57	58.7400	2,290.86	(79.71)	25	1.08
		01/27/12	6	57.1633	342.98	58.7400	352.44	9.46	4	1.08
Subtotal			45		2,713.55		2,643.30	(70.25)	29	1.08
GENL DYNAMICS CORP COM	GD	09/07/11	31	61.5700	1,908.67	69.2700	2,147.37	238.70	64	2.94
		07/06/12	8	65.4200	523.36	69.2700	554.16	30.80	17	2.94
Subtotal			39		2,432.03		2,701.53	269.50	81	2.94
JACOBS ENGN GRP INC DELA	JEC	12/09/10	22	42.2000	928.40	42.5700	936.54	8.14		
		12/10/10	36	42.3375	1,524.15	42.5700	1,532.52	8.37		
Subtotal			58		2,452.55		2,469.06	16.51		

Pam's IRA

Account Number: [REDACTED]

24-Hour Assistance: (800) MERRILL

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2012 - December 31, 2012

EQUITIES (continued)										
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income	Current Yield%
LINEAR TECHNOLOGY CORP	LLTC	11/09/12	33	32.6896	1,078.76	34.3000	1,131.90	53.14	35	3.03
		11/16/12	33	31.0818	1,025.70	34.3000	1,131.90	106.20	35	3.03
Subtotal			66		2,104.46		2,263.80	159.34	70	3.03
MARATHON PETROLEUM CORP	MPC	12/07/12	45	59.6497	2,684.24	63.0000	2,835.00	150.76	63	2.22
MCDONALDS CORP COM	MCD	09/22/11	21	85.5571	1,796.70	88.2100	1,852.41	55.71	65	3.49
		10/26/12	4	87.0950	348.38	88.2100	352.84	4.46	13	3.49
Subtotal			25		2,145.08		2,205.25	60.17	78	3.49
MICRON TECHNOLOGY INC	MU	08/07/12	203	6.8200	1,384.46	6.3400	1,287.02	(97.44)		
		09/14/12	206	6.6994	1,380.08	6.3400	1,306.04	(74.04)		
		10/05/12	50	5.9796	298.98	6.3400	317.00	18.02		
Subtotal			459		3,063.52		2,910.06	(153.46)		
NEWS CORP CL A	NWSA	08/07/12	58	23.7787	1,379.17	25.5100	1,479.58	100.41	10	.66
		08/17/12	56	23.4903	1,315.46	25.5100	1,428.56	113.10	10	.66
Subtotal			114		2,694.63		2,908.14	213.51	20	.66
O'REILLY AUTOMOTIVE INC	ORLY	11/05/12	19	88.4605	1,680.75	89.4200	1,698.98	18.23		
		11/16/12	10	90.1160	901.16	89.4200	894.20	(6.96)		
Subtotal			29		2,581.91		2,593.18	11.27		
PAYCHEX INC	PAYX	04/05/12	35	31.4085	1,099.30	31.1000	1,088.50	(10.80)	47	4.24
		05/18/12	32	29.7025	950.48	31.1000	995.20	44.72	43	4.24
Subtotal			67		2,049.78		2,083.70	33.92	90	4.24
TERADATA CORP DEL	TDC	09/15/11	14	51.1971	716.76	61.8900	866.46	149.70		
		10/07/11	15	53.9160	808.74	61.8900	928.35	119.61		
		01/27/12	6	53.5316	321.19	61.8900	371.34	50.15		
		11/29/12	10	59.8700	598.70	61.8900	618.90	20.20		
Subtotal			45		2,445.39		2,785.05	339.66		
WATSON PHARMACEUTICALS	WPI	10/10/12	18	86.9172	1,564.51	86.0000	1,548.00	(16.51)		
		11/02/12	10	87.4170	874.17	86.0000	860.00	(14.17)		
Subtotal			28		2,438.68		2,408.00	(30.68)		

Pam's IRA

Account Number: [REDACTED]

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2012 - December 31, 2012

EQUITIES (continued)												
Description	Symbol	Acquired	Quantity	Unit	Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%	
WELLS FARGO & CO NEW DEL	WFC	08/07/12	41	34.2700	1,405.07	34.1800	1,401.38	(3.69)	37	2.57		
		09/07/12	37	34.9500	1,293.15	34.1800	1,264.66	(28.49)	33	2.57		
Subtotal			78		2,698.22		2,666.04	(32.18)	70	2.57		
3M COMPANY	MMM	11/02/12	9	89.3500	804.15	92.8500	835.65	31.50	22	2.54		
		11/07/12	2	89.5250	179.05	92.8500	185.70	6.65	5	2.54		
		11/16/12	12	88.9566	1,067.48	92.8500	1,114.20	46.72	29	2.54		
Subtotal			23		2,050.68		2,135.55	84.87	56	2.54		
TOTAL					63,109.91		64,509.09	1,399.18	1,160	1.80		

MUTUAL FUNDS/CLOSED END FUNDS/UIT												
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Current Yield%			
CALAMOS EVOLVING WORLD GROWTH FUND CL I	248	3,278.56	13.1200	3,253.76	(24.80)	3,278	(24)	8	.23			
SYMBOL: CNWIX Initial Purchase: 10/05/12 Equity 100%												
.9240 Fractional Share		12.22	13.1200	12.12	(0.10)			1	.23			
EMERGING GLOBAL SHARES DOW JONES	132	2,951.40	26.6400	3,516.48	565.08	2,951	565	14	.39			
SYMBOL: ECON Initial Purchase: 08/15/11 Equity 100%												
FIRST EAGLE OVERSEAS CL I	723	14,921.26	22.3600	16,166.28	1,245.02	12,012	4,153	224	1.38			
SYMBOL: SGOIX Initial Purchase: 09/18/08 Equity 100%												
.9210 Fractional Share		20.27	22.3600	20.59	.32			1	1.38			
ISHARES CORE S&P MIDCAP ETF	115	10,237.82	101.7000	11,695.50	1,457.68	10,237	1,457	167	1.42			

Pam's IRA

Account Number: [REDACTED]

24-Hour Assistance: (800) MERRILL

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2012 - December 31, 2012

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued)		Total	Estimated	Estimated	Unrealized	Total Client	Cumulative	Estimated
Description	Quantity	Cost Basis	Market Price	Market Value	Gain/(Loss)	Investment	Investment Return (\$)	Annual Current Income Yield%
SYMBOL: IJH Equity 100%	Initial Purchase: 11/16/10							
ISHARES CORE S&P SMALL CAP ETF	112	8,371.30	78.1000	8,747.20	375.90	8,371	375	145 1.65
SYMBOL: IJR Equity 100%	Initial Purchase: 12/28/11							
ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDX F	167	8,575.70	70.7200	11,810.24	3,234.54	8,575	3,234	112 .94
SYMBOL: ITW Equity 100%	Initial Purchase: 05/13/09							
ISHARES S&P 100 INDEX FUND	372	22,112.52	64.6900	24,064.68	1,952.16	22,112	1,952	524 2.17
SYMBOL: OEF Equity 100%	Initial Purchase: 10/19/11							
JAMES SMALL CAP FUND SYMBOL: JASCX	173	4,357.77	24.6500	4,264.45	(93.32)	4,357	(93)	89 2.07
Equity 100%	Initial Purchase: 02/24/12							
.4870 Fractional Share		12.31	24.6500	12.00	(0.31)			1 2.07
KAYNE ANDERSON MLP SYMBOL: KYN	85	2,323.43	29.4700	2,504.95	181.52	2,323	181	187 7.46
Equity 100%	Initial Purchase: 08/18/10							
OPENHEIMER DEVELOPING MARKETS FD CL Y	96	3,278.40	34.8800	3,348.48	70.08	3,278	70	24 .71
SYMBOL: ODVYX Equity 100%	Initial Purchase: 10/05/12							

Pam's IRA

Account Number: [REDACTED]

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2012 - December 31, 2012

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued)		Description		Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
.3950 Fractional Share					13.49	34.8800	13.78	.29			1 .71
OPPENHEIMER INTERNATL				358	10,021.76	30.7100	10,994.18	972.42	10,021	972	159 1.44
GROWTH FD CL Y											
SYMBOL: OIGYX Initial Purchase: 01/20/12											
Equity 100%											
.8390 Fractional Share					25.12	30.7100	25.77	.65			1 1.44
ROYCE PENNSYLVANIA MUTUAL				571	5,345.04	11.5000	6,566.50	1,221.46	4,594	1,971	66 .99
FUND CL INVESTMENT											
SYMBOL: PENMX Initial Purchase: 07/22/09											
Equity 100%											
.3140 Fractional Share					3.54	11.5000	3.61	.07			1 .99
SCOUT MID CAP FUND				311	4,083.43	13.6700	4,251.37	167.94	4,083	167	34 .78
CL RETAIL											
SYMBOL: UMBMX Initial Purchase: 07/13/12											
Equity 100%											
.1630 Fractional Share					2.14	13.6700	2.23	.09			1 .78
SECTOR SPDR CONSUMERS STPL				246	8,081.68	34.9000	8,585.40	503.72	8,081	503	263 3.05
SYMBOL: XLP Initial Purchase: 12/09/11											
Equity 100%											
VANGUARD DIVIDEND				73	4,369.07	59.5700	4,348.61	(20.46)	4,369	(20)	103 2.36
APPRECIATION ETF											
SYMBOL: VIC Initial Purchase: 12/21/12											
Equity 100%											
Subtotal (Equities)							124,208.18				

Pam's IRA

Account Number: [REDACTED]

24-Hour Assistance: (800) MERRILL

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2012 - December 31, 2012

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued)		Total	Estimated	Estimated	Unrealized	Total Client	Cumulative	Estimated
Description	Quantity	Cost Basis	Market Price	Market Value	Gain/(Loss)	Investment	Investment Return (\$)	Annual Current
TOTAL		112,398.23		124,208.18	11,809.95		15,463	2,126 1.71

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

Cumulative Investment Return: Estimated Market Value minus Total Client Investment.

Cumulative Investment Return: Is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.

Initial Purchase: Date of your initial investment in this fund.

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

LONG PORTFOLIO		Adjusted/Total	Estimated	Unrealized	Estimated	Estimated	Current
		Cost Basis	Market Value	Gain/(Loss)	Accrued Interest	Annual Income	Yield%
TOTAL		203,909.53	217,118.66	13,209.13		3,312	1.53

Account	Trade Date	Settle Date	Ty	Description	Symb	Quantity	Price	Amount
Pam's IRA	12/31/2012	12/31/2012		Divd Reinv ROYCE PENNSYLVANIA MUTUAL FUND CL INVESTMENT AGENT REINV AMOUNT \$22.03 REINV PRICE \$11.27000 QUANTITY BOT 1.9550 AS OF 12/28 Journal Entry	PENN	1		\$0.00
Pam's IRA	12/31/2012	12/31/2012		ROYCE PENNSYLVANIA MUTUAL FUND CL INVESTMENT FULL SHARE ACCUM SHARE VALUE \$11.50	PENN	1		\$0.00
Pam's IRA	12/26/2012	12/31/2012		Sale MEDTRONIC INC COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale	MDT	-55	\$41.51	\$2,282.87
Pam's IRA	12/21/2012	12/27/2012		CHEVRON CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	CVX	-19	\$109.22	\$2,075.19
Pam's IRA	12/21/2012	12/27/2012		VANGUARD DIVIDEND APPRECIATION ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. Sale	VIG	73	\$59.85	-\$4,369.07
Pam's IRA	12/21/2012	12/27/2012		MICROSOFT CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A Sale	MSFT	-67	\$27.19	\$1,821.42
Pam's IRA	12/20/2012	12/26/2012		HONEYWELL INTL INC DEL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale	HON	-36	\$63.86	\$2,298.76
Pam's IRA	12/19/2012	12/24/2012		AMERICAN INTERNATIONAL GROUP INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED Sale	AIG	-85	\$35.54	\$3,020.83
	12/18/2012	12/21/2012		Sale	BAX	-32	\$66.65	\$2,132.79

BAXTER INTERNATIONAL INC PER ADVISORY AGREEMENT.

12/18/2012 12/21/2012 

ABT	-31	\$65.46	\$2,029.21
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12/18/2012 12/21/2012

IJR	27	\$78.13	-\$2,109.51
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12/14/2012 12/19/2012

IJR	27	\$76.34	-\$2,061.18
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12/17/2012

SGOI	9.	\$0.00
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12/17/2012 

SGOI	24	\$0.00
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12/17/2012 

SGOI	3	\$0.00
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12/17/2012 12:00 PM

SGOI	1	\$0.00
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12/7/2012 12/12/2012

FCX	-70	\$32.23	\$2,256.20
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12/7/2012 12/12/2012 @

MPC	45	\$59.65	-\$2,684.26
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Account Name	Date	Description	Symbol	Quantity	Price	Balance
Pam's IRA		MARATHON PETROLEUM CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED				
Pam's IRA	12/7/2012	Divd Reinv	PENN	5	\$0.00	
Pam's IRA		ROYCE PENNSYLVANIA MUTUAL FUND CL INVESTMENT				
Pam's IRA	12/7/2012	AGENT REINV AMOUNT \$61.02 REINV PRICE \$11.16000 QUANTITY BOT 5.4680 AS OF 12/06	PENN	29	\$0.00	
Pam's IRA		Divd Reinv				
Pam's IRA	12/7/2012	ROYCE PENNSYLVANIA MUTUAL FUND CL INVESTMENT				
Pam's IRA	12/7/2012	AGENT REINV AMOUNT \$327.27 REINV PRICE \$11.16000 QUANTITY BOT 29.3250 AS OF 12/06	PENN	1	\$0.00	
Pam's IRA		Divd Reinv				
Pam's IRA	12/7/2012	ROYCE PENNSYLVANIA MUTUAL FUND CL INVESTMENT				
Pam's IRA	12/7/2012	AGENT REINV AMOUNT \$18.33 REINV PRICE \$11.16000 QUANTITY BOT 1.6420 AS OF 12/06	PENN	1	\$0.00	
Pam's IRA		Journal Entry				
Pam's IRA	12/7/2012	ROYCE PENNSYLVANIA MUTUAL FUND CL INVESTMENT				
Pam's IRA	12/7/2012	FULL SHARE ACCUM SHARE VALUE \$11.17	PENN	1	\$0.00	
Pam's IRA		Journal Entry				
Pam's IRA	12/7/2012	ROYCE PENNSYLVANIA MUTUAL FUND CL INVESTMENT				
Pam's IRA	12/7/2012	FULL SHARE ACCUM SHARE VALUE \$11.17	PENN	1	\$0.00	
Pam's IRA		Sale				
Pam's IRA	11/29/2012	BAXTER INTERNTL INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS	BAX	-6	\$66.26	\$397.55
Pam's IRA	12/4/2012	Purchase				
Pam's IRA	11/29/2012	TERADATA CORP DEL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS	TDC	10	\$59.87	-\$598.70
Pam's IRA	12/4/2012	Purchase				
Pam's IRA	12/3/2012	Exchange	###	-54		\$0.00
Pam's IRA	12/3/2012	EATON CORP PAY DATE 12/03/2012	ETN	54		\$0.00
Pam's IRA	11/16/2012	Exchange				
Pam's IRA	11/21/2012	EATON CORP PLC PAY DATE 12/03/2012	LLTC	33	\$31.08	-\$1,025.70
Pam's IRA	11/21/2012	Purchase				

Pam's IRA	11/16/2012 11/21/2012	FCX	15	\$36.75	-\$551.30
Pam's IRA	11/16/2012 11/21/2012	FCX	15	\$36.75	-\$551.30
Pam's IRA	11/16/2012 11/21/2012	XOM	5	\$85.41	-\$427.05
Pam's IRA	11/16/2012 11/21/2012	ONLY	10	\$90.12	-\$901.16
Pam's IRA	11/16/2012 11/21/2012	STZ	44	\$33.86	-\$1,489.88
Pam's IRA	11/16/2012 11/21/2012	CHRW	17	\$59.08	-\$1,004.43
Pam's IRA	11/16/2012 11/21/2012	MMM	12	\$88.96	-\$1,067.48
Pam's IRA	11/16/2012 11/19/2012	OEFL	-1	\$3.10	\$309.99
Pam's IRA	11/9/2012 11/15/2012	217W	65	\$71.25	\$2,564.94
		BA	-36	\$71.25	\$2,564.94

Symbol	Quantity	Cost Basis	Realized Gain/Loss	Current Basis	Notes
Pam's IRA					BOEING COMPANY PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS PURCHASE
Pam's IRA	33	\$32.69	-\$1,078.76		11/9/2012 11/15/2012 LTIC
Pam's IRA					LINEAR TECHNOLOGY CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A PURCHASE
Pam's IRA	12	\$49.16	-\$589.88		11/9/2012 11/15/2012 ETN
Pam's IRA					EATON CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT PURCHASE
Pam's IRA	18	\$61.23	-\$1,102.12		11/9/2012 11/15/2012 CHRW
Pam's IRA					C.H. ROBINSON WORLDWIDE, INC. NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT PURCHASE
Pam's IRA	30	\$63.41	-\$1,902.29		11/12/2012 11/15/2012 OEF
Pam's IRA					ISHARES S&P 100 INDEX FUND PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT PURCHASE
Pam's IRA	2	\$89.53	-\$179.05		11/7/2012 11/13/2012 MMM
Pam's IRA					3M COMPANY PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT PURCHASE
Pam's IRA	13	\$39.16	-\$509.04		11/7/2012 11/13/2012 FCX
Pam's IRA					FREIGHT-MCMURAN CPR & GLD PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT PURCHASE
Pam's IRA	-43	\$58.15	\$2,500.46		11/5/2012 11/8/2012 PNC

Pam's IRA			PNC FINCL SERVICES GROUP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	ORLY	19	\$88.46	-\$1,680.75
Pam's IRA	11/5/2012	11/8/2012	O'REILLY AUTOMOTIVE INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A Purchase				
Pam's IRA	11/2/2012	11/7/2012	3M COMPANY PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT Purchase	MMM	9	\$89.35	-\$804.15
Pam's IRA	11/2/2012	11/7/2012	WATSON PHARMACEUTICALS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale	WPI	10	\$87.42	-\$874.17
Pam's IRA	11/1/2012	11/6/2012	NORFOLK SOUTHERN CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale	NSC	-17	\$61.66	\$1,048.16
Pam's IRA	11/1/2012	11/6/2012	AIR PRODUCTS&CHEM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale	APD	-23	\$78.06	\$1,795.26
Pam's IRA	11/1/2012	11/6/2012	UNITED TECHS CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale	UTX	-26	\$79.02	\$2,054.34
Pam's IRA	11/1/2012	11/6/2012	APACHE CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT Sale	APA	14	\$81.94	-\$1,147.23
	10/31/2012	11/5/2012		NTG	-22	\$26.20	\$576.39

Pam's IRA

10/26/2012 10/31/2012

Pam's IRA

10/26/2012 10/31/2012

Pam's IRA

10/26/2012 10/29/2012

Pam's IRA

10/24/2012 10/29/2012

Pam's IRA

10/23/2012 10/26/2012

Pam's IRA

10/22/2012

Pam's IRA

10/12/2012 10/17/2012

Pam's IRA

10/12/2012 10/17/2012

TORTOISE MLP FD INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	MCD	4	\$87.10	-\$348.38
MCDONALDS CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale	NTG	-26	\$26.13	\$679.31
TORTOISE MLP FD INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	OIGY	61	\$29.52	-\$1,805.15
OPPENHEIMER INTERNATL GROWTH FD CL Y FRAC SHR QUANTITY .150 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION. SEE THE PROSPECTUS	NTG	-12	\$26.31	\$315.68
TORTOISE MLP FD INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale	NTG	-40	\$26.25	\$1,049.98
TORTOISE MLP FD INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Expired Options	CHK1	-1		\$0.00
PUT CHK 00016.000 CHESAPEAKE ENERGY OKLA EXP 10-220V 20-12 VS 01900005 UNIT OAK BATCH # =	NSC	17	\$66.60	-\$1,132.27
NORFOLK SOUTHERN CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	PNC	17	\$62.46	-\$1,061.80

Pam's IRA				PNC FINCL SERVICES GROUP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS Purchase	WPI	18	\$86.92	-\$1,564.51
Pam's IRA	10/10/2012	10/15/2012		WATSON PHARMACEUTICALS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS Sale	NFLX	-18	\$68.00	\$1,223.98
Pam's IRA	10/9/2012	10/12/2012		NETFLIX COM INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. WE MAKE A MKT IN ISSUE ML ACTED AS Sale	EEM	-122	\$42.25	\$5,154.43
Pam's IRA	10/5/2012	10/11/2012		ISHARES MSCI EMERGING MKTS INDEX FD PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS Purchase	MU	50	\$5.98	-\$298.98
Pam's IRA	10/5/2012	10/11/2012		MICRON TECHNOLOGY INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. WE MAKE A Sale	DE	-30	\$81.76	\$2,452.82
Pam's IRA	10/3/2012	10/9/2012		DEERE CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS AGENT Purchase	FCX	42	\$39.36	-\$1,653.20
Pam's IRA	10/3/2012	10/9/2012		FREERT-MCMRAN CPR & GLD PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS Purchase	APA	19	\$86.22	-\$1,638.18
Pam's IRA	10/3/2012	10/9/2012		APACHE CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS AGENT Sale	VLO	-92	\$31.86	\$2,930.69

Pam's IRA	10/3/2012	10/9/2012	STZ	32	\$34.35	-\$1,099.12
Pam's IRA	10/3/2012	10/9/2012	PNC	26	\$64.49	-\$1,676.65
Pam's IRA	10/3/2012	10/9/2012	NFLX	18	\$60.83	-\$1,094.88
Pam's IRA	10/3/2012	10/9/2012	CAT	-30	\$84.63	\$2,538.90
Pam's IRA	10/5/2012	10/9/2012	CNWI	248	\$13.22	-\$3,290.78
Pam's IRA	10/5/2012	10/9/2012	ODVY	96	\$34.15	-\$3,291.89
Pam's IRA	10/2/2012	10/5/2012	MET	-77	\$34.30	\$2,641.23

Pam's IRA

HON	36	\$60.35	-\$2,172.60
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10/1/2012 10/4/2012

METLIFE INC COM PER THE PIA AGREEMENT EXECUTED
 100% AGENCY ACTUAL PRICES, REMUNERATION AND
 THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE
 UPON REOUEST. ML ACTED AS AGENT
 Purchase
 HONEYWELL INTL INC DEL PER THE PIA AGREEMENT
 EXECUTED 100% AGENCY ACTUAL PRICES,
 REMUNERATION AND THE CAPACITY IN WHICH ML
 ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS
 Sale

RTN	-21	\$55.47	\$1,164.83
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10/1/2012 10/2/2012

RAYTHEON CO DELAWARE NEW PER THE PIA
AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES,
REMUNERATION AND THE CAPACITY IN WHICH ML
ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS
Purchase

CHK1	1	\$0.12	-\$11.99
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9/18/2012 9/21/2012 

CALL CHK 00021.000 CHESAPEAKE ENERGY OKLA EXP
10-20-12 CLOSE TRAN CHK OCT 21.00000
Sale

OXY	-24	\$89.90	\$2,157.45
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9/14/2012 9/19/2012 

OCCIDENTAL PETE CORP CAL PER THE PIA AGREEMENT
EXECUTED 100% AGENCY ACTUAL PRICES,
REMUNERATION AND THE CAPACITY IN WHICH ML
ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS
Purchase

COF	22	\$59.20	-\$1,302.40
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9/14/2012 9/19/2012 

CAPITAL ONE FINL PER THE PIA AGREEMENT EXECUTED
100% AGENCY ACTUAL PRICES, REMUNERATION AND
THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE
UPON REOUEST. ML ACTED AS AGENT
Purchase

MU	206	\$6.70	-\$1,380.08
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9/18/2012 9/19/2012

MICRON TECHNOLOGY INC PER THE PIA AGREEMENT
EXECUTED 100% AGENCY ACTUAL PRICES,
REMUNERATION AND THE CAPACITY IN WHICH ML
ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A
Sale

OEFl	-3	\$0.15	\$44.99
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9/18/2012 9/19/2012

PUT OEF 00060.000 ISHARES S&P 100 INDEX EXP 11-17-12 CLOSE TRAN OEF NOV 60.00000
Purchase

OEFI	1	\$0.80	-\$80.00
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9/17/2012 

PUT OEF 00065.000 ISHARES S&P 100 INDEX EXP 11-17-12 OPEN TRAN OEF NOV 65.00000
Journal Entry

OIGY	1	\$0.00
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9/14/2012 9/17/2012 

Purchase
OPENHEIMER INTERNATL GROWTH FD CL Y FRAC SHR

OIGY	70	\$30.03	-\$2,123.09
X			

	9/7/2012	9/12/2012
Pam's IRA		

**YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES.
FOR INFORMATION, SEE THE PROSPECTUS**

COF	25	\$57.15	-\$1,428.75
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9/7/2012	9/12/2012	
Pam's IRA		

THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE
UPON REQUEST. ML ACTED AS AGENT

WFC	37	\$34.95	-\$1,293.15
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Pam's IRA	9/6/2012	9/11/2012	
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REMUNERATION AND THE CAPACITY IN WHICH ML
ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS

CRM	-15	\$147.92	\$2,218.73
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██████████	9/5/2012	9/10/2012	☐
Pam's IRA			

REMUNERATION AND THE CAPACITY IN WHICH ML
ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS

TIF	-36	\$60.02	\$2,160.67
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██████████	9/5/2012	9/10/2012	9/10/2012
Pam's IRA			

REMUNERATION AND THE CAPACITY IN WHICH ML
ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS

KMB	-26	\$83.15	\$2,161.83
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8/20/2012 8/23/2012 

THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE
UPON REQUEST. ML ACTED AS AGENT

CVH	-63	\$41.33	\$2,603.77
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8/17/2012 8/22/2012 

ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS
Purchase

CRM	4	\$147.42	-\$589.70
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Pam's IRA	8/17/2012	8/22/2012	SALESFORCE COM INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS Purchase	NWSA	56	\$23.49	-\$1,315.46
Pam's IRA	8/17/2012	8/22/2012	NEWS CORP CL A PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. WE MAKE A MKT IN ISSUE ML ACTED Purchase	CVH	15	\$34.69	-\$520.37
Pam's IRA	8/17/2012	8/22/2012	COVENTRY HEALTH CARE INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS Purchase	OE1	3	\$0.80	-\$240.00
Pam's IRA	8/17/2012	8/20/2012	PUT OEF 00060.000 ISHARES S&P 100 INDEX EXP 11- 17-12 OPEN TRAN OEF NOV 60.000000	217W	60		
Pam's IRA		8/16/2012	Stock Dividend	KO	31		\$0.00
Pam's IRA		8/16/2012	COCA COLA COM HOLDING 31.0000 PAY DATE	KO	-31		\$0.00
Pam's IRA		8/15/2012	DUBB	VLO	-22	\$28.50	\$626.99
Pam's IRA	8/10/2012	8/15/2012	COCA COLA COM				
Pam's IRA		8/13/2012	Sale	KO	31		\$0.00
Pam's IRA		8/13/2012	COCA COLA COM	GIS	-55	\$38.38	\$2,110.69
Pam's IRA	8/7/2012	8/10/2012	GENERAL MILLS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS AGENT	WMT	-29	\$74.44	\$2,158.75
Pam's IRA	8/7/2012	8/10/2012	WAL-MART STORES INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS Purchase	KMB	26	\$81.79	-\$2,126.66

Pam's IRA				KIMBERLY CLARK PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
	8/7/2012	8/10/2012	☐	Purchase	WFC	41	\$34.27	-\$1,405.07
Pam's IRA				WELLS FARGO & CO NEW DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
	8/7/2012	8/10/2012	☐	Purchase	NWSA	58	\$23.78	-\$1,379.17
Pam's IRA				NEWS CORP CL A PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT				
	8/7/2012	8/10/2012	☐	Sale	HON	-38	\$59.24	\$2,250.96
Pam's IRA				HONEYWELL INTL INC DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
	8/7/2012	8/10/2012	☐	Purchase	MU	203	\$6.82	-\$1,384.46
Pam's IRA				MICRON TECHNOLOGY INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A AGENT				
	8/7/2012	8/10/2012	☐	Sale	EMR	-42	\$50.27	\$2,111.27
Pam's IRA				EMERSON ELEC CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
	8/7/2012	8/10/2012	☐	Sale	JNJ	-33	\$68.51	\$2,260.66
Pam's IRA				JOHNSON AND JOHNSON COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
	8/7/2012	8/10/2012	☐	Sale	ITW	-38	\$56.22	\$2,136.25
Pam's IRA				ILLINOIS TOOL WORKS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
	8/7/2012	8/10/2012	☐	Purchase	OEF	100	\$64.71	-\$6,471.40

Pam's IRA	8/6/2012	8/9/2012	IShares S&P 100 Index Fund per the PIA Agreement executed 100% Agency Product Description Encl Actual Prices, Remuneration and the Capacity in which ML acted are available upon request. ML acted as Agent Sale	ANF	-71	\$29.91	\$2,123.43
Pam's IRA	7/25/2012	7/30/2012	ABERCROMBIE & FITCH CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	ANF	29	\$35.47	-\$1,028.74
Pam's IRA	7/25/2012	7/30/2012	ABERCROMBIE & FITCH CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	CAT	12	\$83.14	-\$997.72
Pam's IRA	7/27/2012	7/30/2012	CALL CHK 00021.000 CHESAPEAKE ENERGY OKLA EXP 10-20-12 OPEN TRAN CHK OCT 21.00000	CHK1	-1	\$0.90	\$90.03
Pam's IRA	7/27/2012	7/30/2012	PUT CHK 00016.000 CHESAPEAKE ENERGY OKLA EXP 10-20-12 OPEN TRAN CHK OCT 16.00000	CHK1	1	\$1.30	-\$130.08
Pam's IRA	7/20/2012	7/25/2012	ABERCROMBIE & FITCH CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	ANF	42	\$36.47	-\$1,531.64
Pam's IRA	7/20/2012	7/25/2012	COVENTRY HEALTH CARE INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	CVH	48	\$32.52	-\$1,560.91
Pam's IRA	7/20/2012	7/25/2012	ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale	APC	-36	\$71.54	\$2,575.24

Pam's IRA				ANADARKO PETE CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS Sale	ABT	-7	\$65.31	\$457.19
Pam's IRA	7/13/2012	7/18/2012	☐	ABBOTT LABS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS AGENT Purchase				
Pam's IRA	7/13/2012	7/18/2012	☐	SECTOR SPDR CONSMRS STPL PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED Purchase	XLP	25	\$35.28	-\$881.89
Pam's IRA	7/13/2012	7/16/2012	☐	SCOUT MID CAP FUND CL RETAIL FRAC SHR QUANTITY .163 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION. SEE THE PROSPECTUS Sale	UMBM	311	\$13.13	-\$4,085.57
Pam's IRA	7/13/2012	7/16/2012	☐	DELAWARE SMID CAP GROWTH FUND INSTL CL FRAC SHR QUANTITY .444 PER THE PIA AGREEMENT THIS SALE CONSTITUTES A REDEMPTION. Purchase	DFDI	-151	\$27.50	\$4,164.71
Pam's IRA	7/6/2012	7/11/2012	☐	CATERPILLAR INC DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS Purchase	CAT	18	\$84.35	-\$1,518.32
Pam's IRA	7/6/2012	7/11/2012	☐	SALESFORCE COM INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS Sale	CRM	11	\$135.47	-\$1,490.16
	7/6/2012	7/11/2012	☐		HES	-46	\$43.16	\$1,985.44

HESS CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT

GD	8	\$65.42	-\$523.36
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WMT	-8	\$70.98	\$567.84
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OXY	24	\$84.93	-\$2,038.24
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F	-224	\$9.54	\$2,136.95
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SNDK	-75	\$36.50	\$2,737.55
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AZO	3	\$357.84	-\$1,073.52
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BBBY	-35	\$61.40	\$2,149.06
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UNP	-18	\$109.50	\$1,970.96
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Pam's IRA	6/8/2012	6/13/2012	UNION PACIFIC CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale	CHRW	-17	\$57.20	\$972.38
Pam's IRA	6/8/2012	6/13/2012	C.H. ROBINSON WORLDWIDE, INC. NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT Sale				
Pam's IRA	6/8/2012	6/13/2012	CONAGRA FOODS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	CAG	-81	\$24.90	\$2,016.86
Pam's IRA	6/8/2012	6/13/2012	CHESAPEAKE ENERGY OKLA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	CHK	53	\$17.88	-\$947.56
Pam's IRA	6/8/2012	6/13/2012	TIFFANY & CO NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	TIF	17	\$55.30	-\$940.03
Pam's IRA	6/8/2012	6/13/2012	BED BATH & BEYOND INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A Purchase	BBBY	-7	\$70.85	\$495.95
Pam's IRA	6/1/2012	6/6/2012	SECTOR SPDR CONSUMERS STPL PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED Sale	XLP	93	\$33.42	-\$3,108.07
	6/1/2012	6/6/2012		KMX	-79	\$27.60	\$2,180.37

Pam's IRA

Pam's IRA

Pam's IRA

Pam's IRA

Pam's IRA

Pam's IRA

Pam's IRA

Pam's IRA

Pam's IRA

6/1/2012 6/6/2012 ☐

6/1/2012 6/6/2012 ☐

6/1/2012 6/6/2012 ☐

5/29/2012 6/1/2012 ☐

5/25/2012 5/31/2012 ☐

5/18/2012 5/23/2012 ☐

5/18/2012 5/23/2012 ☐

5/18/2012 5/23/2012 ☐

CARMAX INC PER THE PIA AGREEMENT EXECUTED
100% AGENCY ACTUAL PRICES, REMUNERATION AND
THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE
UPON REQUEST. ML ACTED AS AGENT

Home Depot Inc per the PIA Agreement executed
100% Agency Actual Prices, Remuneration and
the Capacity in which ML acted are available
upon request. ML acted as agent

Vanguard Financials ETF per the PIA Agreement
executed 100% Agency Actual Prices,
Remuneration and the Capacity in which ML
acted are available upon request. ML acted as
Purchase

Tiffany & Co New per the PIA Agreement
executed 100% Agency Actual Prices,
Remuneration and the Capacity in which ML
acted are available upon request. ML acted as
Purchase

Chesapeake Energy OKLA per the PIA Agreement
executed 100% Agency Actual Prices,
Remuneration and the Capacity in which ML
acted are available upon request. ML acted as
Purchase

Sandisk Corp Inc per the PIA Agreement
executed 100% Agency Actual Prices,
Remuneration and the Capacity in which ML
acted are available upon request. We make a
Purchase

Paychex Inc per the PIA Agreement executed
100% Agency Actual Prices, Remuneration and
the Capacity in which ML acted are available
upon request. We make a MKT in issue ML acted
Purchase

Union Pacific Corp per the PIA Agreement
executed 100% Agency Actual Prices,
Remuneration and the Capacity in which ML
acted are available upon request. ML acted as
Purchase

HD -46 \$48.85 \$2,247.05

VFH -101 \$28.99 \$2,927.57

TIF 19 \$54.48 -\$1,035.05

CHK 61 \$16.78 -\$1,023.43

SNDK 31 \$33.25 -\$1,030.66

PAYX 32 \$29.70 -\$950.48

UNP 8 \$109.14 -\$873.16

ADP 18 \$52.14 -\$938.46

AUTOMATIC DATA PROC PER THE PIA AGREEMENT

5/18/2012 5/23/2012 

AUTOMATIC DATA PROC PER THE PIA AGREEMENT
EXECUTED 100% AGENCY ACTUAL PRICES,
REMUNERATION AND THE CAPACITY IN WHICH ML
ACTED ARE AVAILABLE UPON REOUEST. WE MAKE A
Sale
VANGUARD FINANCIALS ETF PER THE PIA AGREEMENT

VFH	-106	\$29.48	\$3,125.21
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5/14/2012 5/17/2012 

VANGUARD FINANCIALS ETF PER THE PIA AGREEMENT
EXECUTED 100% AGENCY ACTUAL PRICES,
REMUNERATION AND THE CAPACITY IN WHICH ML
ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS
Purchase
SANDISK CORP INC PER THE PIA AGREEMENT

SNDK	44	\$35.58	-\$1,565.70
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5/11/2012 5/16/2012 

SANDISK CORP INC PER THE PIA AGREEMENT
EXECUTED 100% AGENCY ACTUAL PRICES,
REMUNERATION AND THE CAPACITY IN WHICH ML
ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A
Sale
MARATHON PETROLEUM CORP PER THE PIA AGREEMENT

MPC	-61	\$37.70	\$2,299.92
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5/11/2012 5/16/2012 

MARATHON PETROLEUM CORP PER THE PIA AGREEMENT
EXECUTED 100% AGENCY ACTUAL PRICES,
REMUNERATION AND THE CAPACITY IN WHICH ML
ACTED ARE AVAILABLE UPON REUEST. ML ACTED AS
Purchase
VALERO ENERGY CORP NEW PER THE PIA AGREEMENT

VLO	114	\$22.80	-\$2,599.62
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5/7/2012 5/10/2012

VALERO ENERGY CORP NEW PER THE PIA AGREEMENT/ EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase

MEI	77	\$34.40	-\$2,648.48
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5/7/2012 5/10/2012

GOOGLE INC CL A PER THE PIA AGREEMENT EXECUTED
100% AGENCY ACTUAL PRICES, REMUNERATION AND
THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE
UPON REQUEST. ML ACTED AS AGENT
Sale

GOOG	-3	\$603.23	\$1,809.66
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5/7/2012 5/10/2012

GOOGLE INC. LT A PER THE PIA AGREEMENT, EXECUTED
100% AGENCY ACTUAL PRICES, REMUNERATION AND
THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE
UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED
Purchase
AMAZON COM INC COM PER THE PIA AGREEMENT
EXECUTED 100% AGENCY ACTUAL PRICES

AMZN	7	\$224.90	-\$1,574.30
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5/4/2012 5/9/2012

EXECUTED 100% AGENCY ACTUAL PRICES,
REMUNERATION AND THE CAPACITY IN WHICH ML
ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A
Purchase

GIS	27	\$38.62	-\$1,042.74
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Pam's IRA

5/4/2012	5/9/2012	 GENERAL MILLS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT Purchase
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CAG	40	\$25.95	-\$1,038.14
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Pam's IRA

5/4/2012	5/9/2012	 Purchase
5/4/2012	5/9/2012	 CONAGRA FOODS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase

CHRW	17	\$60.74	-\$1,032.52
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Pam's IRA

5/4/2012	5/9/2012	 Purchase C.H. ROBINSON WORLDWIDE, INC. NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT Sale
5/3/2012	5/8/2012	

TDC	-8	\$76.66	\$613.23
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Pam's IRA

5/3/2012	5/8/2012	 Sale TERADATA CORP DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS Purchase
5/1/2012	5/4/2012	

APC	4	\$75.38	-\$301.50
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Pam's IRA

5/1/2012	5/4/2012	Purchase ANADARKO PETE CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale
5/1/2012	5/4/2012	

KMB	-29	\$78.40	\$2,273.52
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Pam's IRA

5/1/2012	5/4/2012		Sale
4/26/2012	5/1/2012		KIMBERLY CLARK PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABE UPON REOUEST. ML ACTED AS AGENT Sale

CHK	-148	\$18.21	\$2,695.70
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Pam's IRA

4/26/2012	5/1/2012	☒	Sale	CHESAPEAKE ENERGY OKLA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase
4/19/2012	4/24/2012	☒		Purchase

CHK	38	\$17.95	-\$682.12
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Pam's IRA

4/19/2012	4/24/2012	☒	Purchase CHESAPEAKE ENERGY OKLA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS
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NAME	DATE	DESCRIPTION	ACCOUNT	AMOUNT	BALANCE
Pam's IRA	4/5/2012	Purchase	HES	5	\$58.80
Pam's IRA	4/11/2012	HESS CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT			-\$294.01
Pam's IRA	4/5/2012	Sale	AIG	-15	\$32.34
Pam's IRA	4/11/2012	AMERICAN INTERNATIONAL GROUP INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT			\$485.02
Pam's IRA	4/5/2012	Purchase	GIS	28	\$39.00
Pam's IRA	4/11/2012	GENERAL MILLS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT			-\$1,092.00
Pam's IRA	4/5/2012	Purchase	ADP	20	\$55.46
Pam's IRA	4/11/2012	AUTOMATIC DATA PROC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A			-\$1,109.15
Pam's IRA	4/5/2012	Purchase	CAG	41	\$26.45
Pam's IRA	4/11/2012	CONAGRA FOODS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS			\$2,786.13
Pam's IRA	4/5/2012	Sale	ANF	-55	\$50.66
Pam's IRA	4/11/2012	ABERCROMBIE & FITCH CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS			-\$1,099.30
Pam's IRA	4/5/2012	Purchase	PAYX	35	\$31.41
Pam's IRA	4/11/2012	PAYCHEX INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED			\$2,720.34
Pam's IRA	4/4/2012	Sale	DFS	-82	\$33.18
Pam's IRA	4/10/2012	DISCOVER FINL SVCS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS			-\$2,720.34

Pam's IRA	3/30/2012	4/4/2012		DFS	-12	\$32.86	\$394.34
			Sale DISCOVER FINL SVCS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS Sale				
Pam's IRA	3/23/2012	3/28/2012	CARMAX INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS AGENT Sale	KMX	-9	\$33.79	\$304.11
Pam's IRA	3/16/2012	3/21/2012	ISHARES S&P SMALLCAP 600 INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS Purchase	IJR	-26	\$76.05	\$1,977.26
Pam's IRA	3/16/2012	3/21/2012	JOHNSON AND JOHNSON COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS Purchase	JNJ	3	\$65.00	-\$194.99
Pam's IRA	3/16/2012	3/19/2012	JAMES SMALL CAP FUND FRAC SHR QUANTITY .125 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR Sale	JASC	89	\$25.00	-\$2,228.13
Pam's IRA	3/6/2012	3/9/2012	MICROSOFT CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. WE MAKE A MKT IN ISSUE ML ACTED Sale	MSFT	-23	\$31.84	\$732.25
Pam's IRA	3/6/2012	3/9/2012	ISHARES MSCI EMERGING MKTS INDEX FD PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS Sale	EEM	-36	\$42.75	\$1,538.98
	3/5/2012	3/8/2012	NE	-72	\$39.81	\$2,866.34	

Pam's IRA	3/5/2012	3/8/2012	NOBLE CORP NAMEN-AKT PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale	DGX	-43	\$57.73	\$2,482.32
Pam's IRA	3/5/2012	3/8/2012	QUEST DIAGNOSTICS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	AZO	4	\$384.14	-\$1,536.57
Pam's IRA	3/5/2012	3/8/2012	AUTOZONE INC NEVADA COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	BA	36	\$74.16	-\$2,669.73
Pam's IRA	3/5/2012	3/8/2012	BOEING COMPANY PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT Purchase	CHK	110	\$24.25	-\$2,666.97
Pam's IRA	3/2/2012	3/7/2012	CHESAPEAKE ENERGY OKLA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale	PEP	-31	\$62.49	\$1,937.06
Pam's IRA	3/2/2012	3/7/2012	PEPSICO INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT Purchase	RTN	21	\$51.28	-\$1,076.79
Pam's IRA	3/2/2012	3/7/2012	RAYTHEON CO DELAWARE NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	UNP	10	\$110.85	-\$1,108.50
Pam's IRA	3/1/2012	3/6/2012	UNION PACIFIC CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale	WAG	-61	\$32.66	\$1,992.22

Pam's IRA	2/22/2012	2/27/2012	DELL	-152	\$17.08	\$2,596.14
WALGREEN CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT						
Pam's IRA	2/22/2012	2/27/2012	ANF	16	\$48.32	-\$773.15
DELL INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS Purchase						
Pam's IRA	2/24/2012	2/27/2012	JASC	84	\$25.39	-\$2,141.95
ABERCROMBIE & FITCH CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase						
Pam's IRA	2/17/2012	2/23/2012	ITW	-19	\$73.45	\$1,395.57
JAMES SMALL CAP FUND FRAC SHR QUANTITY .362 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR Sale						
Pam's IRA	2/17/2012	2/23/2012	MPC	-7	\$44.00	\$308.02
ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDX F PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON Sale						
Pam's IRA	2/17/2012	2/23/2012	TDC	-5	\$62.46	\$312.30
MARATHON PETROLEUM CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale						
Pam's IRA	2/17/2012	2/23/2012	HES	-5	\$64.88	\$324.39
TERADATA CORP DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale						
Pam's IRA	2/17/2012	2/23/2012				
HESS CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT						

Pam's IRA	2/17/2012	2/23/2012	Purchase CARMAX INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT Purchase	KMX	6	\$30.23	-\$181.39
Pam's IRA	2/10/2012	2/15/2012	ISHARES S&P 100 INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT Purchase	OEF	2	\$60.67	-\$121.34
Pam's IRA	2/3/2012	2/8/2012	ABERCROMBIE & FITCH CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	ANF	39	\$41.01	-\$1,599.42
Pam's IRA	2/3/2012	2/8/2012	ABBOTT LABS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT Purchase	ABT	3	\$55.03	-\$165.10
Pam's IRA	2/2/2012	2/7/2012	EXXON MOBIL CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale	XOM	25	\$83.71	-\$2,092.65
Pam's IRA	2/2/2012	2/7/2012	PROCTER & GAMBLE CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	PG	-30	\$63.30	\$1,899.08
Pam's IRA	2/2/2012	2/7/2012	ILLINOIS TOOL WORKS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale	ITW	38	\$54.87	-\$2,084.89
?	2/2/2012	2/7/2012		CSX	-94	\$22.77	\$2,139.97

Pam's IRA

2/2/2012 2/7/2012

VFC	-14	\$130.89	\$1,832.41
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CSX CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT

Pam's IRA

2/2/2012 2/7/2012

KO	3	\$67.84	-\$203.52
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V F CORPORATION PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS AGENT

Pam's IRA

1/30/2012 2/2/2012

F	-34	\$12.28	\$417.51
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COCA COLA COM PER THE PIA AGREEMENT EXECUTED
100% AGENCY ACTUAL PRICES, REMUNERATION AND
THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE
UPON REOUEST. ML ACTED AS AGENT

Pam's IRA

1/30/2012 2/2/2012 

XLP	7	\$32.08	-\$224.56
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FORD MOTOR CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT

Pam's IRA

1/27/2012 2/1/2012 

IAU	-217	\$16.90	\$3,667.23
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SECTOR SPDR CONSUMERS STPL PER THE PIA
AGREEMENT EXECUTED 100% AGENCY PROSPECTUS
ENCLOSED OR UNDER SEPARATE COVER ACTUAL
PRICES, REMUNERATION AND THE CAPACITY IN WHICH
ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED

Pam's IRA

1/27/2012	2/1/2012	↓
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MPC	-9	\$37.57	\$338.08
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ISHARES GOLD TR PER THE PIA AGREEMENT EXECUTED
100% AGENCY ACTUAL PRICES, REMUNERATION AND
THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE
UPON REQUEST. ML ACTED AS AGENT

Pam's IRA

1/27/2012	2/1/2012	2/1/2012
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TDC	6	\$53.53	-\$321.19
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MARATHON PETROLEUM CORP PER THE PIA AGREEMENT
EXECUTED 100% AGENCY ACTUAL PRICES,
REMUNERATION AND THE CAPACITY IN WHICH ML
ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS

Pam's IRA

TERADATA CORP DEL PER THE PIA AGREEMENT
EXECUTED 100% AGENCY ACTUAL PRICES,
REMUNERATION AND THE CAPACITY IN WHICH ML
ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS

Pam's IRA	1/27/2012	2/1/2012	1/27/2012	2/1/2012	NE	-7	\$35.47	\$248.31
Pam's IRA	1/27/2012	2/1/2012	1/27/2012	2/1/2012	HES	6	\$55.29	-\$331.74
Pam's IRA	1/27/2012	2/1/2012	1/27/2012	2/1/2012	FLR	6	\$57.16	-\$342.98
Pam's IRA	1/27/2012	2/1/2012	1/27/2012	2/1/2012	DGX	-6	\$58.97	\$353.81
Pam's IRA	1/27/2012	2/1/2012	1/27/2012	2/1/2012	KMX	4	\$31.26	-\$125.02
Pam's IRA	1/27/2012	2/1/2012	1/27/2012	2/1/2012	IYW	-44	\$68.81	\$3,027.61
Pam's IRA	1/27/2012	2/1/2012	1/27/2012	2/1/2012	KYN	-18	\$30.92	\$556.59
Pam's IRA	1/26/2012	1/31/2012	1/26/2012	1/31/2012	IJH	-18	\$94.27	\$1,696.86

Account	Date	Description	Code	Quantity	Price	Amount	Balance
Pam's IRA	1/26/2012	1/31/2012	NTG	5	\$26.15	-\$130.75	
		Purchase TORTOISE MLP FD INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase					
Pam's IRA	1/26/2012	1/31/2012	DIR	49	\$73.15	-\$3,584.35	
		ISHARES S&P SMALLCAP 600 INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT Sale					
Pam's IRA	1/26/2012	1/31/2012	KYN	-15	\$31.41	\$471.17	
		KAYNE ANDERSON MLP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Journal Entry					
Pam's IRA	1/27/2012	1/30/2012	SGOI	1		\$0.00	
		FIRST EAGLE OVERSEAS CL I FULL SHARE ACCUM SHARE VALUE \$21.64					
Pam's IRA	1/27/2012	1/30/2012	DFDI	74	\$27.09	-\$2,008.78	
		Purchase DELAWARE SMID CAP GROWTH FUND INSTL CL FRAC SHR QUANTITY .152 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION. SEE THE PROSPECTUS					
Pam's IRA	1/27/2012	1/30/2012	OIGY	150	\$27.08	-\$4,079.57	
		Purchase OPENHEIMER INTERNATL GROWTH FD CL Y FRAC SHR QUANTITY .649 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION. SEE THE PROSPECTUS					
Pam's IRA	1/27/2012	1/30/2012	SGOI	53	\$21.70	-\$1,154.85	
		Purchase FIRST EAGLE OVERSEAS CL I FRAC SHR QUANTITY .219 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION. SEE THE PROSPECTUS					
729-45374	1/27/2012	1/27/2012	PENN	-1		\$0.00	
		Journal Entry					

Pam's IRA	1/26/2012	1/27/2012	PENNSYLVANIA MUTUAL FD FULL SHARE ACCUM SHARE X VALUE \$11.61 Sale PENNSYLVANIA MUTUAL FD FRAC SHR QUANTITY .281 PER THE PIA AGREEMENT THIS SALE CONSTITUTES A REDEMPTION.	PENN	-171	\$11.58	\$1,983.43
Pam's IRA	1/23/2012	1/26/2012	Sale LINCOLN NTL CORP IND NPV PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS Sale	LNC	-108	\$23.00	\$2,483.90
Pam's IRA	1/23/2012	1/26/2012	MORGAN STANLEY PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS AGENT Purchase	MS	-10	\$18.44	\$184.39
Pam's IRA	1/23/2012	1/26/2012	BED BATH & BEYOND INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. WE MAKE A Purchase	BBBY	20	\$61.51	-\$1,230.30
Pam's IRA	1/23/2012	1/26/2012	AMERICAN INTERNATIONAL GROUP INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS Purchase	AIG	46	\$25.46	-\$1,171.35
Pam's IRA	1/23/2012	1/26/2012	DELL INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. WE MAKE A MKT IN ISSUE ML ACTED AS Purchase	DELL	66	\$16.80	-\$1,108.85
Pam's IRA	1/23/2012	1/26/2012	CARMAX INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS AGENT Purchase	KMX	38	\$32.81	-\$1,246.63
Pam's IRA	1/23/2012	1/26/2012	Purchase	DFS	44	\$27.28	-\$1,200.17

Pam's IRA	1/20/2012	1/25/2012	DISCOVER FINL SVCS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale	PAYX	-70	\$32.40	\$2,268.22
Pam's IRA	1/20/2012	1/25/2012	PAYCHEX INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED Sale	COF	-58	\$45.40	\$2,633.19
Pam's IRA	1/20/2012	1/25/2012	CAPITAL ONE FINL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT Purchase	WAG	61	\$33.23	-\$2,027.04
Pam's IRA	1/20/2012	1/25/2012	WALGREEN CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT Sale	ADP	-39	\$56.77	\$2,214.12
Pam's IRA	1/23/2012	1/25/2012	AUTOMATC DATA PROC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A Sale	MRO	-100	\$29.00	\$2,899.94
Pam's IRA	1/23/2012	1/25/2012	MARATHON OIL CORP A/C CALL ZS9W3 MRO PER THE PIA AGREEMENT AS OF 01/20 UNSOLICITED ORDER Sale	MS	-100	\$17.50	\$1,749.97
Pam's IRA	1/23/2012	1/24/2012	MORGAN STANLEY A/C CALL ZLRA7 MS PER THE PIA AGREEMENT AS OF 01/20 UNSOLICITED ORDER Purchase	DFDI	77	\$26.69	-\$2,062.92
Pam's IRA	1/23/2012	1/24/2012	DELAWARE SMID CAP GROWTH FUND INSTL CL FRAC SHR QUANTITY .292 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION. SEE THE PROSPECTUS Exerc Options	MS12	1		\$0.00
Pam's IRA	1/23/2012	1/24/2012	CALL MS 00017.500 MORGAN STANLEY EXP 01-21-12 VS 01900801 UNIT OAK BATCH # = 00567061223	21A1	7.5		

Pam's IRA	1/23/2012	Exerc Options	MROI	1	\$0.00
Pam's IRA	1/18/2012	1/23/2012	MSFT	-6	\$28.14 \$168.81
Pam's IRA	1/20/2012	1/23/2012	OIGY	76	\$26.71 -\$2,039.07
Pam's IRA	1/20/2012	1/23/2012	OEFL	1	\$0.55 -\$55.00
Pam's IRA	1/13/2012	1/19/2012	IHI	-83	\$59.60 \$4,946.71
Pam's IRA	1/13/2012	1/19/2012	JPM	-106	\$35.36 \$3,747.91
Pam's IRA	1/13/2012	1/19/2012	OEFL	67	\$58.27 -\$3,904.21
Pam's IRA	1/13/2012	1/19/2012	VFH	133	\$28.94 -\$3,848.78

Pam's IRA	1/6/2012	1/11/2012	Purchase TORTOISE MLP FD INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	NTG	16	\$26.00	-\$416.00
Pam's IRA	1/5/2012	1/10/2012	AMERICAN INTERNATIONAL GROUP INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	AIG	54	\$23.71	-\$1,280.58
Pam's IRA	1/5/2012	1/10/2012	ANADARKO PETE CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	APC	17	\$79.50	-\$1,351.50
Pam's IRA	1/5/2012	1/10/2012	BED BATH & BEYOND INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	BBBY	22	\$59.30	-\$1,304.64
Pam's IRA	1/5/2012	1/10/2012	DELL INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A Purchase	DELL	86	\$15.06	-\$1,294.91
Pam's IRA	1/5/2012	1/10/2012	VALERO ENERGY CORP NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale	VLO	-55	\$19.55	\$1,075.04
Pam's IRA	1/5/2012	1/10/2012	AMERICAN TOWER REIT INC (HLDG CO) SHS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale	AMT	-21	\$59.17	\$1,242.64
Pam's IRA	1/5/2012	1/10/2012	MARATHON PETROLEUM CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	MPC	40	\$31.99	-\$1,279.66

Pam's IRA	1/4/2012	1/9/2012	Sale EXXON MOBIL CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	XOM	-25	\$85.62	\$2,140.54
Pam's IRA	1/4/2012	1/9/2012	V F CORPORATION PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT Purchase	VFC	14	\$134.98	-\$1,889.66
Pam's IRA	1/4/2012	1/9/2012	HOME DEPOT INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT Purchase	HD	46	\$42.75	-\$1,966.50
Pam's IRA	1/4/2012	1/9/2012	DOVER CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT Sale	DOV	-36	\$58.30	\$2,098.82
Pam's IRA	1/3/2012	1/3/2012	AMERICAN TOWER CORP CL A PAY DATE 01/03/2012 Exchange	###	-21		\$0.00
Pam's IRA	1/3/2012	1/3/2012	AMERICAN TOWER REIT INC (HLDG CO) SHS (HLDG CO) Exchange	AMT	21		\$0.00
Pam's IRA	12/28/2011	1/3/2012	SHS PAY DATE 01/03/2012 Sale	IWM	-33	\$73.67	\$2,431.05
Pam's IRA	12/28/2011	1/3/2012	ISHARES TR RUSSELL 2000 INDEX FD PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	IJR	35	\$68.47	-\$2,396.55
Pam's IRA	12/28/2011	1/3/2012	ISHARES S&P SMALLCAP 600 INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				

DAVID PHILLIP ROE

SCHEDULE 3

Online at: www.mymerrill.com

Account Number:

24-Hour Assistance: (800) MERRILL

MLPF&S CUST FPO
DR DAVID PHILIP ROE IRRA
FBO DR DAVID PHILIP ROE
2 N CROSSBOW LN
JOHNSON CITY TN 37604-3639

Net Portfolio Value:

\$321,436.48

Your Financial Advisor:
SMITH/CONKIN/HAUSMAN
206 PRINCETON RD SUITE NO 1
JOHNSON CITY TN 37601
1-423-282-5191

Alt Investments

December 01, 2012 - December 31, 2012

ASSETS		December 31	November 30
Cash/Money Accounts		20,944.45	20,943.21
Fixed Income		-	-
Equities		121,502.00	119,964.00
Mutual Funds		-	-
Options		-	-
Other		-	-
Alternative Investments		178,980.03	178,519.49
Subtotal (Long Portfolio)		321,436.48	319,426.70
TOTAL ASSETS		\$321,436.48	\$319,426.70
LIABILITIES			
Debit Balance		-	-
TOTAL LIABILITIES		-	-
NET PORTFOLIO VALUE		\$321,436.48	\$319,426.70

CASH FLOW		This Statement	Year to Date
Operating Cash/Money Accounts		\$20,943.21	
CREDITS			
Funds Received		-	-
Electronic Transfers		-	-
Other Credits		-	-
Subtotal		-	30,000.00
DEBITS			
Electronic Transfers		-	-
Other Debits		-	-
Subtotal		-	(30,000.00)
Net Cash Flow		-	-
Dividends/Interest Income		1.24	15.55
Security Purchases/Debits		-	(159,999.52)
Security Sales/Credits		-	163,492.76
Closing Cash/Money Accounts		\$20,944.45	
Securities You Transferred In/Out		-	-

Merrill Lynch Wealth Management makes available products and services offered by Merrill Lynch, Pierce, Fenner & Smith Incorporated (MLPF&S) and other subsidiaries of Bank of America Corporation. MLPF&S is a registered broker-dealer, Member Securities Investor Protection Corporation (SIPC) and a wholly owned subsidiary of Bank of America Corporation. Investment products: ☐ Are Not FDIC Insured ☐ Are Not Bank Guaranteed ☐ May Lose Value

Alt Investments

Account Number:

24-Hour Assistance: (800) MERRILL

ACCOUNT INVESTMENT OBJECTIVE

December 01, 2012 - December 31, 2012

TOTAL RETURN: Objective is to strike a balance between fixed-income instruments for current income and equities for growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s).

YOUR RETIREMENT ACCOUNT ASSETS

CASH/MONEY ACCOUNTS		Total	Estimated	Estimated	Estimated	Est. Annual
Description	Quantity	Cost Basis	Market Price	Market Value	Annual Income	Yield%
CASH	0.78	0.78		.78		
FIA CARD SERVICES NA RASP	20,943.67	20,943.67	1.0000	20,943.67	15	.07
TOTAL		20,944.45		20,944.45	15	.07

EQUITIES		Unit		Total		Estimated		Estimated		Unrealized		Estimated Current	
Description	Symbol	Acquired	Quantity	Cost Basis	Cost Basis	Market Price	Market Price	Market Value	Market Value	Gain/(Loss)	Annual Income	Yield%	
BASKET CLIRN ISSUER BAC	MLGSV 07/27/12		6,000	10.0000	60,000.00	10.5670		63,402.00		3,402.00			
CAP 29.5% SV 100													
DUE 07/25/14 BUF 10%													
DIA MITTS ISSUER BAC	MLGFI 11/11/11		5,000	9.8910	49,455.35	11.6200		58,100.00		8,644.65			
CAP 47.69% SV 10060.06													
DUE 09/01/2015 PROT 100%													

ALTERNATIVE INVESTMENTS		Unit		Total		Estimated		Estimated		Estimated	
Description	Acquired	Quantity	Cost Basis	Market Price	Market Price	Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%		
ELEMENTS - ROGERS TR	09/24/08	2,007	10.9859	22,048.84	8.6200	17,300.34	(4,748.50)				
SV=4126.81 - ROGERS TR											
DUE OCTOBER 24, 2022											
ELEMENTS - ROGERS TR	09/24/08	301	10.9797	3,304.89	8.6200	2,594.62	(710.27)				
Subtotal		2,308		25,353.73		19,894.96	(5,458.77)				
SKYBRIDGE MULTIAVISER	09/01/12	41	1,207.1639	49,493.72	1,257.6560	51,563.90	2,070.18				
(.4190 FRACTIONAL SHARE)	09/01/12		1,207.1599	505.80	1,257.6560	526.96	21.16				
HEDGE FUND PORTFOLIOS LLC											

Alt Investments

Account Number:

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2012 - December 31, 2012

ALTERNATIVE INVESTMENTS (continued)									
Description	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
EST MKT PRICE AS OF 11/30/12									
Subtotal		41,4190		49,999.52		52,090.86	2,091.34		
THE ENDOWMENT	01/01/07	909	55.1040	50,089.57	55.4426	50,397.32	307.75		
TEI FUND LP	EST MKT PRICE AS OF 11/30/12								
THE ENDOWMENT	11/01/08	1,021	48.9421	49,969.93	55.4426	56,606.89	6,636.96		
Subtotal		1,930		100,059.50		107,004.21	6,944.71		
TOTAL				175,412.75		178,980.03	3,577.28		
LONG PORTFOLIO									
			Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%	
TOTAL			305,812.55	321,436.48	15,623.93				14

Account	Trade Date	Settle Date	Type	Description	Symb	Quantity	Price	Amount
Alt Investments	11/6/2012	11/6/2012	☐	Sale	73H7	-905	\$55.84	\$50,532.76
				THE ENDOWMENT TEI FUND LP TRADE AS OF 09/30/12	8999			
				REDEMPTION UNSOLICITED ORDER	9			
Alt Investments	9/24/2012	9/24/2012	☐	Trade Correctn	8EKC	-50,000	\$1.00	\$50,000.00
				SKYBRIDGE MULTI-ADVISOR HEDGE FUND PORTFOLIOS	4999			
				LLC CLASS WI TRADE AS OF 08/29/12 ACCOUNT	3			
				SETTLES 08/29/12 WHEN ISSUE TRANSACTION CXL				
Alt Investments	9/24/2012	9/24/2012	☐	Purchase	8EJP5	41	\$1,195.09	-\$49,999.52
				SKYBRIDGE MULTI-ADVISOR HEDGE FUND PORTFOLIOS	9995			
				LLC TRADE AS OF 09/01/12 PENDING SPONSOR				
				APPROVAL FRAC SHR QUANTITY .419				
Alt Investments	8/29/2012	8/29/2012	☐	Purchase	8EKC	50,000	\$1.00	-\$50,000.00
				SKYBRIDGE MULTI-ADVISOR HEDGE FUND PORTFOLIOS	4999			
				LLC CLASS WI WHEN ISSUE TRANSACTION CASH	3			
				TRADE				
Alt Investments	7/27/2012	8/2/2012	☐	Purchase	MLGS	6,000	\$10.00	-\$60,000.00
				BASKET CLIRN ISSUER BAC CAP 29.5% SV 100 DUE	V			
				07/25/14 BUF 10%				
Alt Investments	7/27/2012	7/27/2012	☐	Redeemed	0605	-5,000		\$62,960.00
				SP500 CLIRN ISSUER BAC CAP 25.92% SV 1101.53 DUE	2K35			
				07/27/12 BUF 10% CAP 25.92% SV 1101.53 DUE	6			
				07/27/12 BUF 10% PAY DATE 07/27/2012				

DAVID PHILLIP ROE

SCHEDULE 4

Online at: www.mymerrill.com

Account Number: [REDACTED]

24-Hour Assistance: (800) MERRILL

MLPF&S CUST FPO
DR DAVID PHILIP ROE IRRA
FBO DR DAVID PHILIP ROE
2 N CROSSBOW LN
JOHNSON CITY TN 37604-3639

Net Portfolio Value: **\$1,486,425.40**

Your Financial Advisor:
SMITH/CONKIN/HAUSMAN
206 PRINCETON RD SUITE NO 1
JOHNSON CITY TN 37601
1-423-282-5191

PIA

This account is enrolled in the Personal Investment Advisory Program

December 01, 2012 - December 31, 2012

ASSETS

	December 31	November 30
Cash/Money Accounts	156,278.45	126,005.52
Fixed Income	193,411.60	193,909.65
Equities	267,440.66	326,239.49
Mutual Funds	867,392.14	836,166.17
Options	-	-
Other	-	-
<i>Subtotal (Long Portfolio)</i>	<i>1,484,522.85</i>	<i>1,482,320.83</i>
Estimated Accrued Interest	2,097.55	2,144.84
TOTAL ASSETS	\$1,486,620.40	\$1,484,465.67

LIABILITIES

Debit Balance	-	-
Short Market Value	(195.00)	(522.00)
TOTAL LIABILITIES	(195.00)	(522.00)
NET PORTFOLIO VALUE	\$1,486,425.40	\$1,483,943.67

CASH FLOW

	This Statement	Year to Date
Opening Cash/Money Accounts	\$126,005.52	
CREDITS		
Funds Received	-	-
Electronic Transfers	-	-
Other Credits	33.50	30,319.46
<i>Subtotal</i>	<i>33.50</i>	<i>30,319.46</i>
DEBITS		
Electronic Transfers	(10,000.00)	(167,427.11)
Other Debits	(10,000.00)	(167,427.11)
<i>Subtotal</i>	<i>(\$9,966.50)</i>	<i>(\$137,107.65)</i>
Net Cash Flow	14,491.14	47,076.09
Dividends/Interest Income	(8,738.54)	(14,866.56)
Dividend Reinvestments	(56,797.64)	(877,788.01)
Security Purchases/Debits	91,284.47	1,055,160.88
Closing Cash/Money Accounts	\$156,278.45	
Securities You Transferred In/Out	129.05	600.29

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PIA

Account Number: [REDACTED]

24-Hour Assistance: (800) MERRILL

ACCOUNT INVESTMENT OBJECTIVE

December 01, 2012 - December 31, 2012

TOTAL RETURN: Objective is to strike a balance between fixed-income instruments for current income and equities for growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

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ITEMS FOR ATTENTION

Security	Message	Date	Security	Message	Date
CALL STZ 00037.500	Option Expiring	01/19/13	MORGAN STANLEY		03/01/13
GENERAL ELECTRIC COMPANY	Maturing	02/01/13		Maturing	

YOUR RETIREMENT ACCOUNT ASSETS

CASH/MONEY ACCOUNTS		Total	Estimated	Estimated	Estimated	Estimated	Est. Annual
Description	Quantity	Cost Basis	Market Price	Market Value	Market Value	Annual Income	Yield%
CASH	9,162.12	9,162.12		9,162.12			
FIA CARD SERVICES NA RASP	147,116.33	147,116.33	1.0000	147,116.33		147	.10
TOTAL		156,278.45		156,278.45		147	.10

GOVERNMENT AND AGENCY SECURITIES ¹		Adjusted/Total	Estimated	Estimated	Unrealized	Estimated	Estimated	Estimated
Description	Quantity	Cost Basis	Market Price	Market Value	Gain/(Loss)	Accrued Interest	Annual Income	Current Yield%
FEDERAL HOME LOAN BANK BONDS 03.625% OCT 18 2013 MOODY'S: AAA S&P: AA+ CUSIP: 3133XSAE8	10,000	10,277.71	102.7170	10,271.70	(6.01)	73.51	363	3.52
FEDERAL HOME LOAN BANK BONDS 04.125% MAR 13 2015 MOODY'S: AAA S&P: AA+ CUSIP: 3133XQBC5	25,000	27,082.85	108.0720	27,018.00	(64.85)	309.38	1,032	3.81

PIA

Account Number: [REDACTED]

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2012 - December 31, 2012

GOVERNMENT AND AGENCY SECURITIES ¹ (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
FEDERAL HOME LOAN BANK BONDS 03.500% DEC 09 2016	12/08/09	10,000	10,143.10	110.7980	11,079.80	936.70	21.39	350	3.15
MOODY'S: AAA S&P: AA+ CUSIP: 3133XVRJ2									
TOTAL		45,000	47,503.66		48,369.50	865.84	404.28	1,745	3.61
CORPORATE BONDS									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
GENERAL ELECTRIC COMPANY GLB 05.000% FEB 01 2013	05/19/08	25,000	25,785.75	100.3810	25,095.25	(690.50)	520.83	1,250	4.98
MOODY'S: AA3 S&P: AA+ CUSIP: 369604AY9									
MORGAN STANLEY GLB 05.300% MAR 01 2013	10/19/11	25,000	25,212.25	100.5460	25,136.50	(75.75)	441.67	1,325	5.27
MOODY'S: BAA1 S&P: A- CUSIP: 617446HR3									
E.I. DU PONT DE NEMOURS GLB 04.875% APR 30 2014	05/19/08	25,000	25,367.25	105.6450	26,411.25	1,044.00	203.13	1,219	4.61
MOODY'S: A2 S&P: A CUSIP: 263534BN8									
PROCTER & GAMBLE CO GLB 04.850% DEC 15 2015	05/22/08	25,000	25,830.00	112.0920	28,023.00	2,193.00	53.89	1,213	4.32
MOODY'S: AA3 S&P: AA- CUSIP: 742718BZ1									
GOLDMAN SACHS GROUP INC GLB 05.750% OCT 01 2016	06/18/10	10,000	10,505.80	113.7860	11,378.60	872.80	143.75	575	5.05
MOODY'S: A3 S&P: A- CUSIP: 38141GER1									
JPMORGAN CHASE & CO 04.950% MAR 25 2020	05/26/11	25,000	26,082.00	115.9900	28,997.50	2,915.50	330.00	1,238	4.26
MOODY'S: A2 S&P: A CUSIP: 46625HHQ6									
TOTAL		135,000	138,783.05		145,042.10	6,259.05	1,693.27	6,820	4.70

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

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PIA

Account Number: [REDACTED]

24-Hour Assistance: (800) MERRILL

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2012 - December 31, 2012

EQUITIES	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income	Current Yield%
AMAZON COM INC COM	AMZN	05/07/12	30	224.9000	6,747.00	250.8700	7,526.10	779.10		
APACHE CORP	APA	10/03/12	78	86.2198	6,725.15	78.5000	6,123.00	(602.15)	54	.86
		11/01/12	56	81.9448	4,588.91	78.5000	4,396.00	(192.91)	39	.86
Subtotal			134		11,314.06		10,519.00	(795.06)	93	.86
AUTOMATIC DATA PROC	ADP	04/05/12	67	55.4576	3,715.66	56.9300	3,814.31	98.65	117	3.05
		05/18/12	85	52.1368	4,431.63	56.9300	4,839.05	407.42	148	3.05
Subtotal			152		8,147.29		8,653.36	506.07	265	3.05
AUTOZONE INC NEVADA COM	AZO	03/05/12	18	384.1416	6,914.55	354.4300	6,379.74	(534.81)		
		06/27/12	12	357.8400	4,294.08	354.4300	4,253.16	(40.92)		
Subtotal			30		11,208.63		10,632.90	(575.73)		
C.H. ROBINSON WORLDWIDE, INC. NEW	CHRW	11/16/12	149	59.0838	8,803.50	63.2200	9,419.78	616.28	209	2.21
CAPITAL ONE FINL	COF	09/07/12	101	57.1500	5,772.15	57.9300	5,850.93	78.78	21	.34
		09/14/12	89	59.2000	5,268.80	57.9300	5,155.77	(113.03)	18	.34
Subtotal			190		11,040.95		11,006.70	(34.25)	39	.34
CENTURYLINK INC SHS	CTL	06/03/11	265	41.5358	11,006.99	39.1200	10,366.80	(640.19)	769	7.41
CHESAPEAKE ENERGY OKLA	CHK	05/29/12	263	16.7774	4,412.48	16.6200	4,371.06	(41.42)	93	2.10
		06/08/12	235	17.8785	4,201.45	16.6200	3,905.70	(295.75)	83	2.10
		07/20/12	2	17.3100	34.62	16.6200	33.24	(1.38)	1	2.10
Subtotal			500		8,648.55		8,310.00	(338.55)	177	2.10
COCA COLA COM	KO	08/10/11	225	32.6976	7,356.98	36.2500	8,156.25	799.27	230	2.81
CONSTELLATION BRANDS INC	STZ	10/03/12	16	34.3475	549.56	35.3900	566.24	16.68		
		10/12/12	85	34.6968	2,949.23	35.3900	3,008.15	58.92		
		11/16/12	223	33.8608	7,550.96	35.3900	7,891.97	341.01		
Subtotal			324		11,049.75		11,466.36	416.61		
EATON CORP PLC	ETN	12/04/12	197	51.9055	10,225.40	54.1800	10,673.46	448.06	300	2.80

PIA

Account Number: [REDACTED]

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2012 - December 31, 2012

EQUITIES (continued)											
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%	
EXXON MOBIL CORP COM	XOM	02/02/12	101	83.7060	8,454.31	86.5500	8,741.55	287.24	231	2.63	
		11/16/12	27	85.4100	2,306.07	86.5500	2,336.85	30.78	62	2.63	
Subtotal			128		10,760.38		11,078.40	318.02	293	2.63	
FLUOR CORP NEW DEL COM	FLR	09/16/11	185	60.7837	11,245.00	58.7400	10,866.90	(378.10)	119	1.08	
		01/27/12	14	57.1628	800.28	58.7400	822.36	22.08	9	1.08	
Subtotal			199		12,045.28		11,689.26	(356.02)	128	1.08	
GENL DYNAMICS CORP COM	GD	09/07/11	145	61.5700	8,927.65	69.2700	10,044.15	1,116.50	296	2.94	
		07/06/12	25	65.4196	1,635.49	69.2700	1,731.75	96.26	51	2.94	
Subtotal			170		10,563.14		11,775.90	1,212.76	347	2.94	
JACOBS ENGN GRP INC DELA	JEC	12/09/10	83	42.2000	3,502.60	42.5700	3,533.31	30.71	41.40		
		12/10/10	178	42.3374	7,536.06	42.5700	7,577.46	41.40	72.11		
Subtotal			261		11,038.66		11,110.77	72.11			
LINEAR TECHNOLOGY CORP	LITC	11/16/12	281	31.0818	8,733.99	34.3000	9,638.30	904.31	293	3.03	
MARATHON PETROLEUM CORP	MPC	12/07/12	187	59.6496	11,154.49	63.0000	11,781.00	626.51	262	2.22	
MCDONALDS CORP COM	MCD	09/22/11	100	85.5573	8,555.73	88.2100	8,821.00	265.27	308	3.49	
MICRON TECHNOLOGY INC	MU	08/07/12	862	6.8200	5,878.84	6.3400	5,465.08	(413.76)			
		09/14/12	808	6.6994	5,413.12	6.3400	5,122.72	(290.40)			
		10/05/12	204	5.9796	1,219.84	6.3400	1,293.36	73.52			
Subtotal			1,874		12,511.80		11,881.16	(630.64)			
NEWS CORP CL A	NWSA	08/07/12	246	23.7787	5,849.58	25.5100	6,275.46	425.88	42	.66	
		08/20/12	236	22.9657	5,419.91	25.5100	6,020.36	600.45	41	.66	
Subtotal			482		11,269.49		12,295.82	1,026.33	83	.66	
O'REILLY AUTOMOTIVE INC	ORLY	11/05/12	75	88.4606	6,634.55	89.4200	6,706.50	71.95			
		11/16/12	47	90.1159	4,235.45	89.4200	4,202.74	(32.71)			
Subtotal			122		10,870.00		10,909.24	39.24			

PIA

Account Number: [REDACTED]

24-Hour Assistance: (800) MERRILL

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2012 - December 31, 2012

EQUITIES (continued)												
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income	Current Yield%		
PAYCHEX INC	PAYX	04/05/12	122	31.4085	3,831.84	31.1000	3,794.20	(37.64)	162	4.24		
		05/18/12	149	29.7026	4,425.69	31.1000	4,633.90	208.21	197	4.24		
Subtotal			271		8,257.53		8,428.10	170.57	359	4.24		
TERADATA CORP DEL	TDC	09/15/11	69	51.1969	3,532.59	61.8900	4,270.41	737.82				
		10/07/11	69	53.9160	3,720.21	61.8900	4,270.41	550.20				
		01/27/12	12	53.5316	642.38	61.8900	742.68	100.30				
		11/29/12	37	59.8700	2,215.19	61.8900	2,289.93	74.74				
Subtotal			187		10,110.37		11,573.43	1,463.06				
WATSON PHARMACEUTICALS	WPI	10/10/12	76	86.9172	6,605.71	86.0000	6,536.00	(69.71)				
		11/02/12	36	87.6383	3,154.98	86.0000	3,096.00	(58.98)				
Subtotal			112		9,760.69		9,632.00	(128.69)				
WELLS FARGO & CO NEW DEL	WFC	08/07/12	171	34.2698	5,860.15	34.1800	5,844.78	(15.37)	151	2.57		
		09/07/12	148	34.9500	5,172.60	34.1800	5,058.64	(113.96)	131	2.57		
Subtotal			319		11,032.75		10,903.42	(129.33)	282	2.57		
3M COMPANY	MMM	11/02/12	36	89.3855	3,217.88	92.8500	3,342.60	124.72	85	2.54		
		11/07/12	12	89.5266	1,074.32	92.8500	1,114.20	39.88	29	2.54		
		11/16/12	51	88.9566	4,536.79	92.8500	4,735.35	198.56	121	2.54		
Subtotal			99		8,828.99		9,192.15	363.16	235	2.54		
TOTAL					261,042.39		267,440.66	6,398.27	4,672	1.79		
MUTUAL FUNDS/CLOSED END FUNDS/UIT												
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%				
AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2	8	90.88	11.3600	90.88			90	7	7.21			
SYMBOL: AHFX Initial Purchase: REINV												
Fixed Income 100%												
.5300 Fractional Share												
		6.02	11.3600	6.02								
BLACKROCK INFLATION	2,647	28,871.05	12.0700	31,949.29	3,078.24	25,155	6,793	572	1.78			

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Account Number: [REDACTED]

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2012 - December 31, 2012

MUTUAL FUNDS/CLOSED END FUNDS/UIT		(continued)		Total	Estimated	Estimated	Unrealized	Total Client	Cumulative	Estimated
Description	Quantity	Cost Basis	Market Price	Market Value	Gain/(Loss)	Investment	Return (\$)	Income	Yield%	
PROTECTED BD FD INST CL										
SYMBOL: BPRX	Initial Purchase: 08/20/09									
Fixed Income 100%										
.2200 Fractional Share		2.66	12.0700	2.66				1	1.78	
CALAMOS HIGH INCOME FUND										
CL I										
SYMBOL: CIHYX	Initial Purchase: 09/21/12									
Fixed Income 100%										
.9290 Fractional Share		9.27	9.8600	9.16	(0.11)			1	5.88	
CALAMOS EVOLVING WORLD										
GROWTH FUND CL I										
SYMBOL: CNWIX	Initial Purchase: 10/05/12									
Equity 100%										
.0240 Fractional Share		0.32	13.1200	.31	(0.01)				.23	
DOUBLELINE TOTAL RETURN										
BOND FUND CL I										
SYMBOL: DBLTX	Initial Purchase: 09/21/12									
Fixed Income 100%										
.6960 Fractional Share		7.96	11.3300	7.89	(0.07)			1	6.04	
EMERGING GLOBAL SHARES										
DOW JONES										
SYMBOL: ECON	Initial Purchase: 08/15/11									
Equity 100%										
567		12,690.07	26.6400	15,104.88	2,414.81	12,690	2,414	61	.39	
FIRST EAGLE										
OVERSEAS CL I										
SYMBOL: SGOIX	Initial Purchase: 07/28/08									
3,198		66,603.33	22.3600	71,507.28	4,903.95	51,792	19,714	988	1.38	

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Account Number: [REDACTED]

24-Hour Assistance: (800) MERRILL

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2012 - December 31, 2012

MUTUAL FUNDS/CLOSED END FUNDS/UIT	(continued)	Total	Estimated	Estimated	Unrealized	Total Client	Cumulative	Estimated
Description	Quantity	Cost Basis	Market Price	Market Value	Gain/(Loss)	Investment	Investment Return (\$)	Annual Current Income Yield%
Equity 100%								
.8110 Fractional Share		17.84	22.3600	18.13	.29			1 1.38
GUGGENHEIM BULLETSHARES	706	14,881.99	22.2200	15,687.32	805.33	14,881	805	356 2.26
2016 CORPORATE BOND ETF								
SYMBOL: BSCG Initial Purchase: 09/16/10								
Fixed Income 100%								
GUGGENHEIM BULLETSHARES	713	15,657.48	22.8200	16,270.66	613.18	15,657	613	418 2.56
2017 CORPORATE BOND ETF								
SYMBOL: BSCH Initial Purchase: 03/16/12								
Fixed Income 100%								
GUGGENHEIM BULLETSHARES	475	12,231.25	26.4800	12,578.00	346.75	12,231	346	591 4.69
2014 H Y CORP								
SYMBOL: BSJE Initial Purchase: 06/01/11								
Fixed Income 100%								
GUGGENHEIM BULLETSHARES	1,088	27,960.06	26.6000	28,940.80	980.74	27,960	980	1,466 5.06
2015 H Y CORP								
SYMBOL: BSJF Initial Purchase: 06/14/11								
Fixed Income 100%								
ISHARES CORE S&P MIDCAP	480	42,852.03	101.7000	48,816.00	5,963.97	42,852	5,963	697 1.42
ETF								
SYMBOL: IJH Initial Purchase: 11/16/10								
Equity 100%								
ISHARES CORE S&P SMALL	461	34,442.69	78.1000	36,004.10	1,561.41	34,442	1,561	596 1.65
CAP ETF								
SYMBOL: IJR Initial Purchase: 12/28/11								

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Account Number: [REDACTED]

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2012 - December 31, 2012

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (%)	Estimated Annual Current Income Yield%
ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDEX F SYMBOL: IYW Initial Purchase: 06/04/10 Equity 100%	700	37,179.47	70.7200	49,504.00	12,324.53	37,179	12.324	467 .94
ISHARES S&P 100 INDEX FUND SYMBOL: OEF Initial Purchase: 10/19/11 Equity 100%	1,565	92,591.25	64.6900	101,239.85	8,648.60	92,591	8.648	2,201 2.17
JAMES SMALL CAP FUND SYMBOL: JASCX Initial Purchase: 02/24/12 Equity 100%	748	18,843.80	24.6500	18,438.20	(405.60)	18,843	(405)	383 2.07
KAYNE ANDERSON MLP SYMBOL: KYN Initial Purchase: 10/07/10 Equity 100%	369	9,990.65	29.4700	10,874.43	883.78	9,990	883	812 7.46
OPPENHEIMER INTERNATIONAL BOND FD Y SYMBOL: OIBYX Initial Purchase: 07/08/08 Fixed Income 100%	5,167	33,238.96	6.5800	33,898.86	759.90	26,639	7,359	1,359 3.99
OPPENHEIMER DEVELOPING MARKETS FD CL Y SYMBOL: ODVYX Initial Purchase: 10/05/12 Equity 100%	393	13,420.95	34.8800	13,707.84	286.89	13,420	286	98 .71
		2.24	6.5800	2.24				1 3.99

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Account Number: [REDACTED]

24-Hour Assistance: (800) MERRILL

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2012 - December 31, 2012

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued)		Total	Estimated	Estimated	Unrealized	Total Client	Cumulative	Estimated
Description	Quantity	Cost Basis	Market Price	Market Value	Gain/(Loss)	Investment	Investment Return (\$)	Annual Current Income Yield%
.0110 Fractional Share		0.38	34.8800	.38				.71
OPPENHEIMER INTERNATL GROWTH FD CL Y SYMBOL: OIGYX Initial Purchase: 01/20/12 Equity 100% .7800 Fractional Share	1.470	41,132.87	30.7100	45,143.70	4,010.83	41,132	4,010	653 1.44
PIMCO TOTAL RETURN FUND CL P SYMBOL: PTPPX Initial Purchase: 05/21/10 Fixed Income 100% .9630 Fractional Share	1.172	13,037.45	11.2400	13,173.28	135.83	11,029	2,143	414 3.14
POWERSHARES BUILD AMERIC BOND ETF SYMBOL: BAB Initial Purchase: 02/12/10 Fixed Income 100%	1.051	26,978.38	30.2400	31,782.24	4,803.86	26,978	4,803	1,547 4.86
PRINCIPAL PREFERRED SECURITIES FUND CL P SYMBOL: PSPX Initial Purchase: 03/16/12 Fixed Income 100% .3510 Fractional Share	940	9,390.60	10.4800	9,851.20	460.60	9,390	460	553 5.61
RIDGEMORTH SEIX FLOATING RATE HI INC FD CL I SYMBOL: SAMBX Initial Purchase: 12/26/12 Fixed Income 100% .7930 Fractional Share	988	8,872.24	8.9800	8,872.24		8,872		433 4.87
		7.12	8.9800	7.12				1 4.87

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Account Number: [REDACTED]

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2012 - December 31, 2012

MUTUAL FUNDS/CLOSED END FUNDS/UIT		(continued)		Total		Estimated		Estimated		Unrealized		Total Client		Cumulative		Estimated	
Description	Quantity	Cost Basis	Market Price	Market Price	Market Value	Gain/(Loss)	Investment	Investment	Return (\$)	Annual Current	Yield%	Investment	Return (\$)	Annual Current	Yield%	Investment	Return (\$)
ROYCE PENNSYLVANIA MUTUAL FUND CL INVESTMENT	2,520	23,170.65	11.5000	28,980.00	5,809.35	19,867	9,112	288	.99								
SYMBOL: PENMX Initial Purchase: 07/22/09																	
Equity 100%																	
.9200 Fractional Share		10.34	11.5000	10.58	.24											1	.99
RS FLOATING RATE FUND CL Y	1,276	13,217.86	10.2700	13,104.52	(113.34)	11,901	1,202	725	5.53								
SYMBOL: RSFYX Initial Purchase: 02/18/11																	
Fixed Income 100%																	
.0600 Fractional Share		0.61	10.2700	.62	.01											1	5.53
RS LOW DURATION BOND FD CL Y	1,489	15,218.93	10.3200	15,366.48	147.55	15,218	147	327	2.12								
SYMBOL: RSDYX Initial Purchase: 11/16/11																	
Fixed Income 100%																	
.8890 Fractional Share		9.09	10.3200	9.17	.08											1	2.12
S&P US PFD STK INDEX FD ISHARES	239	9,273.19	39.6200	9,469.18	195.99	9,273	195	570	6.01								
SYMBOL: PTF Initial Purchase: 02/22/12																	
Fixed Income 100%																	
SCOUT MID CAP FUND CL RETAIL	1,345	17,659.85	13.6700	18,386.15	726.30	17,659	726	144	.78								
SYMBOL: UMBMX Initial Purchase: 07/13/12																	
Equity 100%																	
.5520 Fractional Share		7.25	13.6700	7.55	.30											1	.78
SECTOR SPDR CONSUMERS STPL	1,064	34,905.34	34.9000	37,133.60	2,228.26	34,905	2,228	1,135	3.05								
SYMBOL: XLP Initial Purchase: 12/09/11																	

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Account Number: [REDACTED]

24-Hour Assistance: (800) MERRILL

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2012 - December 31, 2012

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued)		Total	Estimated	Estimated	Unrealized	Total Client	Cumulative	Estimated
Description	Quantity	Cost Basis	Market Price	Market Value	Gain/(Loss)	Investment	Investment Return (\$)	Annual Current Income Yield%
Equity 100%								
SPDR DB INTL GOV INFL	307	18,249.24	63.5400	19,506.78	1,257.54	18,249	1,257	483 2.47
SYMBOL: WIP Initial Purchase: 05/19/08								
Fixed Income 100%								
TCW TOTAL RETURN	1,997	20,165.64	10.2900	20,549.13	383.49	16,747	3,801	1,213 5.89
BOND FD CL I								
SYMBOL: TGLMX Initial Purchase: 06/29/10								
Fixed Income 100%								
.9780 Fractional Share		9.44	10.2900	9.45	.01			1 5.89
TEMPLETON GLBL BOND FD	2,492	31,501.47	13.3400	33,243.28	1,741.81	27,404	5,838	1,476 4.43
ADV CL								
SYMBOL: TGBAX Initial Purchase: 06/30/09								
Fixed Income 100%								
.8570 Fractional Share		11.31	13.3400	11.43	.12			1 4.43
VANGUARD DIVIDEND	301	18,014.94	59.5700	17,930.57	(84.37)	18,014	(84)	425 2.36
APPRECIATION ETF								
SYMBOL: VIG Initial Purchase: 12/21/12								
Equity 100%								
Subtotal (Fixed Income)				341,230.48				
Subtotal (Equities)				526,161.66				

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Account Number: [REDACTED]

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YOUR RETIREMENT ACCOUNT LIABILITIES

December 01, 2012 - December 31, 2012

SHORTS (continued) Description	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
TOTAL				239.99		195.00	44.99		

Account	Trade Date	Settle Date	Ty	Description	Symb	Quantity	Price	Amount
PIA		12/31/2012	☐	Divd Reinv TCW TOTAL RETURN BOND FD CL 1 AGENT REINV AMOUNT \$89.51 REINV PRICE \$10.29000 QUANTITY BOT 8.6990 AS OF 12/28	TGLM	8		\$0.00
PIA		12/31/2012	☐	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$103.69 REINV PRICE \$6.58000 QUANTITY BOT 15.7580 AS OF 12/28	OIBY	15		\$0.00
PIA		12/31/2012	☐	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$103.69 REINV PRICE \$6.58000 QUANTITY BOT 15.7580 AS OF 12/28	OIBY	15		\$0.00
PIA		12/31/2012	☐	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$204.34 REINV PRICE \$6.58000 QUANTITY BOT 31.0550 AS OF 12/28	OIBY	31		\$0.00
PIA		12/31/2012	☐	Divd Reinv ROYCE PENNSYLVANIA MUTUAL FUND CL INVESTMENT AGENT REINV AMOUNT \$97.23 REINV PRICE \$11.27000 QUANTITY BOT 8.6270 AS OF 12/28	PENN	8		\$0.00
PIA		12/31/2012	☐	Journal Entry OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE \$6.58	OIBY	1		\$0.00
PIA		12/31/2012	☐	Journal Entry OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE \$6.58	OIBY	1		\$0.00
PIA		12/26/2012	☐	Sale MEDTRONIC INC COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Divd Reinv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT \$132.70 REINV PRICE \$11.24000 QUANTITY BOT 11.8060 AS OF 12/27	MDT	-216	\$41.51	\$8,965.44
PIA		12/28/2012	☐	Divd Reinv AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$96.90 REINV PRICE \$11.36000 QUANTITY BOT 8.5300 AS OF 12/27	PTTPX	11		\$0.00
PIA		12/28/2012	☐	Divd Reinv AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$96.90 REINV PRICE \$11.36000 QUANTITY BOT 8.5300 AS OF 12/27	AHIFX	8		\$0.00

PLA	Date	Description	Symbol	Quantity	Price	Amount
PLA	12/26/2012	Purchase RIDGEWORTH SEIX FLOATING RATE HI INC FD CL I FRAC SHR QUANTITY .793 FUND SUBJECT TO RED FEE. PER ADVISORY AGREEMENT. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE Sale	SAMB	988	\$8.98	-\$8,879.36
PLA	12/21/2012	CHEVRON CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	CVX	-79	\$109.22	\$8,628.44
PLA	12/21/2012	VANGUARD DIVIDEND APPRECIATION ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. Sale	VIG	301	\$59.85	-\$18,014.94
PLA	12/21/2012	MICROSOFT CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A Sale	MSFT	-292	\$27.19	\$7,938.10
PLA	12/24/2012	AMERICAN INTERNATIONAL GROUP INC TRADE AS OF 12/21/12 A/C CALL 04BV5 AIG PER ADVISORY AGREEMENT. UNSOLICITED ORDER Sale	AIG	-300	\$34.00	\$10,199.77
PLA	12/24/2012	HONEYWELL INTL INC DEL TRADE AS OF 12/21/12 A/C CALL 00IE4 HON PER ADVISORY AGREEMENT. Divd Reinv	HON	-100	\$62.50	\$6,249.86
PLA	12/26/2012	BLACKROCK INFLATION PROTECTED BD FD INST CL AGENT REINV AMOUNT \$386.72 REINV PRICE \$12.10000 QUANTITY BOT 31.9600 AS OF 12/21 Divd Reinv	BPRIX	31		\$0.00
PLA	12/26/2012	BLACKROCK INFLATION PROTECTED BD FD INST CL AGENT REINV AMOUNT \$131.57 REINV PRICE \$12.10000 QUANTITY BOT 10.8740 AS OF 12/21 Journal Entry	BPRIX	10		\$0.00
PLA	12/26/2012		BPRIX	1		\$0.00

PIA	Date	Description	Code	Value	Balance
PIA	12/26/2012	BLACKROCK INFLATION PROTECTED BD FD INST CL FULL SHARE ACCUM SHARE VALUE \$12.10	BPRX	1	\$0.00
PIA	12/20/2012	Journal Entry BLACKROCK INFLATION PROTECTED BD FD INST CL FULL SHARE ACCUM SHARE VALUE \$12.10	HON	-48	\$63.86 \$3,065.01
PIA	12/24/2012	HONEYWELL INTL INC DEL PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale	AHIFX	-1,486	\$11.36 \$16,886.95
PIA	12/24/2012	AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 FRAC SHR QUANTITY .527 PER ADVISORY AGREEMENT. THIS SALE CONSTITUTES A REDEMPTION. Expired Options	MDT1	2	\$0.00
PIA	12/24/2012	CALL MDT 00043.000 MEDTRONIC INC COM EXP 12-22- 12 VS 01900004 UNIT OAK BATCH # = 00567007524	3		
PIA	12/24/2012	Exerc Options CALL AIG 00034.000 AMERICAN INTERNATIONAL EXP 12-22-12 VS 01900801 UNIT OAK BATCH # =	AIG12	3	\$0.00
PIA	12/24/2012	Exerc Options CALL HON 00062.500 HONEYWELL INTL INC DEL EXP 12-22-12 22-12 VS 01900801 UNIT OAK BATCH # =	HON1	1	\$0.00
PIA	12/19/2012	2.5 Sale AMERICAN INTERNATIONAL GROUP INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED Sale	2.5		
PIA	12/18/2012	2.5 BAXTER INTERNTL INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale	BAX	-134	\$66.65 \$8,931.07
PIA	12/18/2012	ABT ABBOTT LABS PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT Purchase	ABT	-135	\$65.46 \$8,836.89
PIA	12/18/2012	12/21/2012 12/18/2012 12/21/2012 Purchase	12R	110	\$78.13 -\$8,594.30

PIA			ISHARES CORE S&P SMALL CAP ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	TGBA	7		\$0.00
	12/20/2012		Divd Reinv	X			
			TEMPLETON GBL BOND FD ADV CL AGENT REINV AMOUNT \$105.23 REINV PRICE \$13.20000 QUANTITY BOT 7.9720 AS OF 12/17				
PIA		12/20/2012	Divd Reinv	TGBA	31		\$0.00
			TEMPLETON GBL BOND FD ADV CL AGENT REINV AMOUNT \$416.76 REINV PRICE \$13.20000 QUANTITY BOT 31.5730 AS OF 12/17	X			
PIA		12/20/2012	Divd Reinv	TGBA			\$0.00
			TMPLTN GBL BD FD ADV CL AGENT REINV AMOUNT \$4.17 REINV PRICE \$13.20000 QUANTITY BOT .3160 AS	X			
PIA		12/20/2012	Journal Entry	TGBA	1		\$0.00
			TEMPLETON GBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE \$13.28	X			
PIA		12/20/2012	Journal Entry	TGBA	1		\$0.00
			TEMPLETON GBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE \$13.28	X			
PIA		12/14/2012	Purchase	IJR	104	\$76.34	-\$7,939.36
	12/19/2012		ISHARES CORE S&P SMALL CAP ETF PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
			Divd Reinv	SGOI	42		\$0.00
PIA		12/17/2012	FIRST EAGLE OVERSEAS CL I AGENT REINV AMOUNT \$936.78 REINV PRICE \$22.00000 QUANTITY BOT	X			
			Divd Reinv	SGOI	110		\$0.00
PIA		12/17/2012	FIRST EAGLE OVERSEAS CL I AGENT REINV AMOUNT \$2425.33 REINV PRICE \$22.00000 QUANTITY BOT 110.2420 AS OF 12/13	X			
			Divd Reinv	SGOI	14		\$0.00
PIA		12/17/2012	FIRST EAGLE OVERSEAS CL I AGENT REINV AMOUNT \$315.29 REINV PRICE \$22.00000 QUANTITY BOT	X			
			Journal Entry	SGOI	1		\$0.00

PIA	DATE	DESCRIPTION	SYMBOL	QUANTITY	PRICE	AMOUNT
PIA	12/13/2012	FIRST EAGLE OVERSEAS CL I FULL SHARE ACCUM SHARE VALUE \$22.09	X			
PIA	12/13/2012	Divd Reinv	PTTPX	11		\$0.00
PIA	12/13/2012	PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT \$128.92 REINV PRICE \$11.36000 QUANTITY BOT 11.3490 AS OF 12/12	PTTPX	15		\$0.00
PIA	12/13/2012	Divd Reinv	PTTPX	15		\$0.00
PIA	12/13/2012	PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT \$175.64 REINV PRICE \$11.36000 QUANTITY BOT 15.4610 AS OF 12/12	PTTPX	1		\$0.00
PIA	12/13/2012	Journal Entry	PTTPX	1		\$0.00
PIA	12/7/2012	PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE \$11.35	FCX	-297	\$32.23	\$9,572.99
PIA	12/7/2012	Sale FREEPRT-MCM/RAN CPR & GLD PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED	FCX	-297	\$32.23	\$9,572.99
PIA	12/7/2012	Purchase MARATHON PETROLEUM CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED	MPC	187	\$59.65	-\$11,154.49
PIA	12/7/2012	CALL HON 00062.500 HONEYWELL INTL INC DEL EXP 12-22-22L6	HON1	-1	\$0.45	\$44.99
PIA	12/7/2012	22-12 OPEN TRAN HON DEC 62.50000	MDT1	-2	\$0.27	\$53.99
PIA	12/7/2012	Sale CALL MDT 00043.000 MEDTRONIC INC COM EXP 12-22-22L4	MDT1	-2	\$0.27	\$53.99
PIA	12/7/2012	12 OPEN TRAN MDT DEC 43.00000	3			
PIA	12/7/2012	Divd Reinv	PENN	24		\$0.00
PIA	12/7/2012	ROYCE PENNSYLVANIA MUTUAL FUND CL INVESTMENT AGENT REINV AMOUNT \$269.25 REINV PRICE \$11.16000 QUANTITY BOT 24.1260 AS OF 12/06	PENN	129		\$0.00
PIA	12/7/2012	Divd Reinv	PENN	129		\$0.00
PIA	12/7/2012	ROYCE PENNSYLVANIA MUTUAL FUND CL INVESTMENT AGENT REINV AMOUNT \$144.07 REINV PRICE \$11.16000 QUANTITY BOT 129.3970 AS OF 12/06	PENN	7		\$0.00
PIA	12/7/2012	Divd Reinv	PENN	7		\$0.00

PIA				ROYCE PENNSYLVANIA MUTUAL FUND CL INVESTMENT	X			
				AGENT REINV AMOUNT \$80.89 REINV PRICE \$11.16000				
				QUANTITY BOT 7.2480 AS OF 12/06				
		12/7/2012		Journal Entry	PENN	1		\$0.00
PIA				ROYCE PENNSYLVANIA MUTUAL FUND CL INVESTMENT	X			
				FULL SHARE ACCUM SHARE VALUE \$11.17				
		12/5/2012	12/6/2012	Sale	LFRFX	-4	\$9.37	\$38.26
PIA				LORD ABBETT FLOATING RATE FUND CL F FRAC SHR				
				QUANTITY .083 PER ADVISORY AGREEMENT. THIS SALE				
				CONSTITUTES A REDEMPTION.				
				Sale				
		11/29/2012	12/4/2012	BAXTER INTERNTL INC PER ADVISORY AGREEMENT.	BAX	-17	\$66.26	\$1,126.39
PIA				EXECUTED 100% AGENCY ACTUAL PRICES.				
				REMUNERATION AND THE CAPACITY IN WHICH ML				
				ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS				
				Purchase				
		11/29/2012	12/4/2012	TERADATA CORP DEL PER ADVISORY AGREEMENT.	TDC	37	\$59.87	-\$2,215.19
PIA				EXECUTED 100% AGENCY ACTUAL PRICES.				
				REMUNERATION AND THE CAPACITY IN WHICH ML				
				ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS				
				Divd Reinv	TGLM	8		\$0.00
		12/3/2012		TCW TOTAL RETURN BOND FD CL 1 AGENT REINV	X			
				AMOUNT \$89.13 REINV PRICE \$10.29000 QUANTITY				
				BOT 8.6620 AS OF 11/30				
				Divd Reinv	RSFY	5		\$0.00
		12/3/2012		RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT	X			
				\$56.97 REINV PRICE \$10.23000 QUANTITY BOT 5.5690				
				Divd Reinv	BPRIX	11		\$0.00
		12/3/2012		BLACKROCK INFLATION PROTECTED BD FD INST CL				
				AGENT REINV AMOUNT \$136.88 REINV PRICE				
				\$12.33000 QUANTITY BOT 11.1010 AS OF 11/30				
				Divd Reinv	OIBY	17		\$0.00
		12/3/2012		OPPENHEIMER INTERNATIONAL BOND FD Y AGENT	X			
				REINV AMOUNT \$116.10 REINV PRICE \$6.57000				
				QUANTITY BOT 17.6710 AS OF 11/30				
				Divd Reinv	LFRFX	4		\$0.00
		12/3/2012		LORD ABBETT FLOATING RATE FUND CL F AGENT REINV				
				AMOUNT \$38.22 REINV PRICE \$9.36000 QUANTITY BOT				
				4.0830 AS OF 11/30				
		12/3/2012		Divd Reinv	PTTPX	3		\$0.00

PIA	12/3/2012	Exchange	###	-197	\$0.00
PIA	12/3/2012	EATON CORP PAY DATE 12/03/2012	ETN	197	\$0.00
PIA	12/3/2012	EATON CORP PLC PAY DATE 12/03/2012	TGLM	1	\$0.00
PIA	12/3/2012	Journal Entry	X		
PIA	12/3/2012	TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM	X		
PIA	12/3/2012	SHARE VALUE \$10.29	RSFY	1	\$0.00
PIA	12/3/2012	Journal Entry	X		
PIA	11/29/2012	RS FLOATING RATE FUND CL Y FULL SHARE ACCUM	AIG12	-3	\$0.39
PIA	11/29/2012	Share	22L34		\$116.99
PIA	11/29/2012	CALL AIG 00034.000 AMERICAN INTERNATIONAL EXP	AHIFX	9	\$0.00
PIA	11/29/2012	12-22-12 OPEN TRAN AIG DEC 34.00000			
PIA	11/29/2012	Divd Reinv			
PIA	11/27/2012	AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2	LFRFX	-1,043	\$9.35
PIA	11/27/2012	AGENT REINV AMOUNT \$104.11 REINV PRICE			\$9,756.74
PIA	11/27/2012	\$11.24000 QUANTITY BOT 9.2620 AS OF 11/28			
PIA	11/27/2012	Sale			
PIA	11/23/2012	LORD ABBETT FLOATING RATE FUND CL F FRAC SHR	STZ1	-3	\$0.80
PIA	11/23/2012	QUANTITY .502 PER ADVISORY AGREEMENT. THIS SALE	319A		\$239.99
PIA	11/23/2012	CONSTITUTES A REDEMPTION.	37.5		
PIA	11/16/2012	Sale	LTTC	281	\$31.08
PIA	11/16/2012	CALL STZ 00037.500 CONSTELLATION BRANDS INC EXP			-\$8,733.99
PIA	11/16/2012	01-19-13 OPEN TRAN STZ JAN 37.50000			
PIA	11/16/2012	Purchase			
PIA	11/16/2012	LINEAR TECHNOLOGY CORP PER ADVISORY	FCX	72	\$36.75
PIA	11/16/2012	AGREEMENT. EXECUTED 100% AGENCY ACTUAL			-\$2,646.26
PIA	11/16/2012	PRICES, REMUNERATION AND THE CAPACITY IN WHICH			
PIA	11/16/2012	ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A			
PIA	11/16/2012	Purchase			
PIA	11/16/2012	FREEPRT-MCMRAN CPR & GLD PER ADVISORY	XOM	27	\$85.41
PIA	11/16/2012	AGREEMENT. EXECUTED 100% AGENCY ACTUAL			-\$2,306.07
PIA	11/16/2012	PRICES, REMUNERATION AND THE CAPACITY IN WHICH			
PIA	11/16/2012	ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED			
PIA	11/16/2012	Purchase			

PIA	11/16/2012 11/21/2012	EXXON MOBIL CORP COM PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	ONLY	47	\$90.12	-\$4,235.45
PIA	11/16/2012 11/21/2012	O'REILLY AUTOMOTIVE INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A Purchase	STZ	223	\$33.86	-\$7,550.96
PIA	11/16/2012 11/21/2012	CONSTELLATION BRANDS INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED Purchase	CHRW	149	\$59.08	-\$8,303.50
PIA	11/16/2012 11/21/2012	C.H. ROBINSON WORLDWIDE, INC. NEW PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT Purchase	MMM	51	\$88.96	-\$4,536.79
PIA	11/16/2012 11/21/2012	3M COMPANY PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT Divd Reinv	TGBA	7		\$0.00
PIA	11/20/2012	TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT \$104.65 REINV PRICE \$13.39000 QUANTITY BOT 7.8160 AS OF 11/15 Sale	OE1	-7	\$3.10	\$2,169.95
PIA	11/16/2012 11/19/2012	PUT OEF 00065.000 ISHARES S&P 100 INDEX EXP 11-17-12 CLOSE TRAN OEF NOV 65.00000	65			
PIA	11/9/2012 11/15/2012	Sale BOEING COMPANY PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	BA	-157	\$71.25	\$11,186.00
PIA	11/12/2012 11/15/2012		OEF	107	\$63.41	-\$6,784.85

PIA	Date	Description	Code	Value	Cost	Gain/Loss
PIA	11/9/2012	11/13/2012	COF1	1	\$0.05	-\$5.00
PIA	11/7/2012	11/13/2012	217K			
PIA	11/7/2012	11/13/2012	62.5			
PIA	11/7/2012	11/13/2012	MMM	12	\$89.53	-\$1,074.32
PIA	11/7/2012	11/13/2012	FCX	55	\$39.16	-\$2,153.65
PIA	11/5/2012	11/8/2012	PNC	-176	\$58.15	\$10,234.47
PIA	11/7/2012	11/8/2012	AIG12	3	\$0.05	-\$15.00
PIA	11/5/2012	11/8/2012	22L39			
PIA	11/5/2012	11/8/2012	ONLY	75	\$88.46	-\$6,634.55
PIA	11/2/2012	11/7/2012	WPI	36	\$87.64	-\$3,154.98
PIA	11/2/2012	11/7/2012	MMM	36	\$89.39	-\$3,217.88

PIA				3M COMPANY PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	NSC	-67	\$61.66	\$4,130.99
PIA	11/1/2012	11/6/2012	☐	NORFOLK SOUTHERN CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
PIA	11/1/2012	11/6/2012	☐	SALE	APD	-105	\$78.06	\$8,195.75
PIA	11/1/2012	11/6/2012	☐	AIR PRODUCTS&CHEM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	UTX	-111	\$79.02	\$8,770.47
PIA	11/1/2012	11/6/2012	☐	UNITED TECHS CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	APA	56	\$81.94	-\$4,588.91
PIA	11/1/2012	11/6/2012	☐	PURCHASE				
PIA	11/1/2012	11/6/2012	☐	APACHE CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	NTG	-94	\$26.20	\$2,462.74
PIA	10/31/2012	11/5/2012	☐	SALE				
PIA				TORTOISE MLP FD INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	RSFY	5		\$0.00
PIA		11/2/2012	☐	DIVID REINV	OIBY	17		\$0.00
PIA		11/2/2012	☐	\$55.66 REINV PRICE \$10.24000 QUANTITY BOT 5.4360				
PIA		11/2/2012	☐	OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$116.26 REINV PRICE \$6.55000				
PIA		11/2/2012	☐	QUANTITY BOT 17.7500 AS OF 10/31	OIBY	1		\$0.00
PIA		11/2/2012	☐	JOURNAL ENTRY				
PIA	11/1/2012	11/2/2012	☐	OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE	AIQ12	-3	\$0.34	\$101.99
PIA				ACCUM SHARE VALUE \$6.54				

PIA	11/1/2012	CALL AIG 00039.000 AMERICAN INTERNATIONAL EXP 12-22-12 OPEN TRAN AIG DEC 39.00000	22L39		
PIA	11/1/2012	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT \$98.55 REINV PRICE \$10.27000 QUANTITY BOT 9.5960 AS OF 10/31	TGLM X	9	\$0.00
PIA	11/1/2012	Divd Reinv BLACKROCK INFLATION PROTECTED BD FD INST CL AGENT REINV AMOUNT \$35.81 REINV PRICE \$12.32000 QUANTITY BOT 2.9070 AS OF 10/31	BPRIX	2	\$0.00
PIA	11/1/2012	Divd Reinv LORD ABBETT FLOATING RATE FUND CL F AGENT REINV AMOUNT \$43.62 REINV PRICE \$9.37000 QUANTITY BOT 4.6550 AS OF 10/31	LFRFX	4	\$0.00
PIA	11/1/2012	Divd Reinv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT \$35.91 REINV PRICE \$11.59000 QUANTITY BOT 3.0980 AS OF 10/31	PTTPX	3	\$0.00
PIA	11/1/2012	Journal Entry TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE \$10.26	TGLM X	1	\$0.00
PIA	11/1/2012	Journal Entry BLACKROCK INFLATION PROTECTED BD FD INST CL FULL SHARE ACCUM SHARE VALUE \$12.30	BPRIX	1	\$0.00
PIA	11/1/2012	Journal Entry LORD ABBETT FLOATING RATE FUND CL F FULL SHARE ACCUM SHARE VALUE \$9.37	LFRFX	1	\$0.00
PIA	10/26/2012	Sale TORTOISE MLP FD INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Divd Reinv AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$88.47 REINV PRICE \$11.25000 QUANTITY BOT 7.8640 AS OF 10/26	NTG	-114	\$26.13 \$2,978.53
PIA	10/29/2012	Divd Reinv AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$88.47 REINV PRICE \$11.25000 QUANTITY BOT 7.8640 AS OF 10/26	AHIFX	7	\$0.00
PIA	10/29/2012	Journal Entry AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 FULL SHARE ACCUM SHARE VALUE \$11.25	AHIFX	1	\$0.00
PIA	10/26/2012	Purchase FULL SHARE ACCUM SHARE VALUE \$11.25	OIGY	255	\$29.52 -\$7,537.28

PIA	Date	Description	Symbol	Quantity	Price	Total
PIA	10/24/2012	OPENHEIMER INTERNATL GROWTH FD CL Y FRAC SHR QUANTITY .328 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION. SEE THE PROSPECTUS	NTG	-55	\$26.31	\$1,446.88
PIA	10/25/2012	TORTOISE MLP FD INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	TDCL	1	\$0.10	-\$10.00
PIA	10/23/2012	CALL TDC 00085.000 TERADATA CORP DEL EXP 11-17- 12 CLOSE TRAN TDC NOV 85.00000 Sale	NTG	-177	\$26.25	\$4,646.15
PIA	10/22/2012	TORTOISE MLP FD INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Expired Options	CHK1	-5		\$0.00
PIA	10/18/2012	PUT CHK 00016.000 CHESAPEAKE ENERGY OKLA EXP 10-220V 20-12 VS 01900005 UNIT OAK BATCH # = Divd Reinv	TGBA	7		\$0.00
PIA	10/18/2012	TEMPLETON GBL BOND FD ADV CL AGENT REINV AMOUNT \$104.56 REINV PRICE \$13.41000 QUANTITY BOT 7.7970 AS OF 10/15 Journal Entry	TGBA	1		\$0.00
PIA	10/12/2012	TEMPLETON GBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE \$13.48 Purchase	NSC	67	\$66.60	-\$4,462.49
PIA	10/12/2012	NORFOLK SOUTHERN CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	STZ	85	\$34.70	-\$2,949.23
PIA	10/12/2012	CONSTELLATION BRANDS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	PNC	72	\$62.37	-\$4,490.40

PIA	Date	Description	Symbol	Quantity	Price	Total
PIA	10/12/2012 10/17/2012	PNC FINCL SERVICES GROUP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	PNC	91	\$62.46	-\$5,683.76
PIA	10/10/2012 10/15/2012	WATSON PHARMACEUTICALS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	WPI	76	\$86.92	-\$6,505.71
PIA	10/9/2012 10/12/2012	NETFLIX COM INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale	NFLX	-59	\$68.00	\$4,011.93
PIA	10/5/2012 10/11/2012	ISHARES MSCI EMERGING MKTS INDEX FD PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED Sale	EEM	-529	\$42.25	\$22,349.96
PIA	10/5/2012 10/11/2012	MICRON TECHNOLOGY INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	MU	204	\$5.98	-\$1,219.84
PIA	10/4/2012 10/10/2012	NETFLIX COM INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A Purchase	NFLX	50	\$66.74	-\$3,336.85
PIA	10/3/2012 10/9/2012	DEERE CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED Sale	DE	-143	\$81.76	\$11,691.73
PIA	10/3/2012 10/9/2012	FCX	FCX	170	\$39.36	-\$6,691.51

PIA	Date	Description	Code	Quantity	Price	Amount
PIA	10/5/2012	CALAMOS EVOLVING WORLD GROWTH FUND CL I FRAC	X			
		SHR QUANTITY .024 FUND SUBJECT TO RED FEE. PER				
		THE PIA AGREEMENT PROSPECTUS ENCLOSED OR				
		UNDER SEPARATE COVER ON SELLING YOUR SHARES/				
		UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER				
		FEES. FOR INFORMATION. SEE THE PROSPECTUS				
		Purchase				
		OPENHEIMER DEVELOPING MARKETS FD CL Y TRAC	ODVY	393	\$34.15	-\$13,421.33
		SHR QUANTITY .011 FUND SUBJECT TO RED FEE. PER	X			
		THE PIA AGREEMENT PROSPECTUS ENCLOSED OR				
		UNDER SEPARATE COVER ON SELLING YOUR SHARES/				
		UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER				
		FEES. FOR INFORMATION. SEE THE PROSPECTUS				
		Sale				
		METLIFE INC COM PER THE PIA AGREEMENT EXECUTED	MET	-332	\$34.30	\$11,388.17
		100% AGENCY ACTUAL PRICES, REMUNERATION AND				
		THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE				
		UPON REQUEST. ML ACTED AS AGENT				
		Purchase				
		HONEYWELL INTL INC DEL PER THE PIA AGREEMENT	HON	148	\$60.35	-\$8,931.80
		EXECUTED 100% AGENCY ACTUAL PRICES,				
		REMUNERATION AND THE CAPACITY IN WHICH ML				
		ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS				
		Purchase				
		CALL DE 00090.000 DEERE CO EXP 12-22-12 CLOSE	DE12	1	\$0.74	-\$74.00
		TRAN DE DEC 90.00000	22L90			
		Purchase				
		CALL VLO 00034.000 VALERO ENERGY CORP NEW EXP	VLO1	3	\$0.92	-\$276.00
		12-22-12 CLOSE TRAN VLO DEC 34.00000	22L3			
		Sale				
		RAYTHEON CO DELAWARE NEW PER THE PIA	RTN	-79	\$55.47	\$4,381.97
		AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES,				
		REMUNERATION AND THE CAPACITY IN WHICH ML				
		ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS				
		Divd Reinv				
		PIMCO TOTAL RETURN FUND CL P AGENT REINV	PTPX	2		\$0.00
		AMOUNT \$24.22 REINV PRICE \$11.58000 QUANTITY				
		BOT 2.0920 AS OF 09/28				
		Journal Entry	PTPX	1		\$0.00

[illegible]

PIA	9/21/2012	9/24/2012	DBLT	1,050	\$11.43	\$12,009.45
PIA	9/18/2012	9/21/2012	OXY	-105	\$89.90	\$9,438.85
PIA	9/14/2012	9/19/2012	TGBA	7		\$0.00
PIA	9/19/2012	9/20/2012	TGBA	1		\$0.00
PIA	9/14/2012	9/19/2012	DE12	-1	\$0.85	\$84.99
PIA	9/18/2012	9/19/2012	COF	89	\$59.20	-\$5,268.80
PIA	9/14/2012	9/19/2012	MU	808	\$6.70	-\$5,413.12
PIA	9/18/2012	9/19/2012	OE11	-14	\$0.15	\$209.99

CALAMOS HIGH INCOME FUND CL I FRAC SHR
 QUANTITY .929 FUND SUBJECT TO RED FEE. PER THE
 PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER
 SEPARATE COVER ON SELLING YOUR SHARES/ UNITS,
 YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES.
 FOR INFORMATION, SEE THE PROSPECTUS
 Purchase
 DOUBLETLINE TOTAL RETURN BOND FUND CL I FRAC
 SHR QUANTITY .696 FUND SUBJECT TO RED FEE. PER
 THE PIA AGREEMENT PROSPECTUS ENCLOSED OR
 UNDER SEPARATE COVER ON SELLING YOUR SHARES/
 UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER
 FEES. FOR INFORMATION, SEE THE PROSPECTUS
 Sale
 OCCIDENTAL PETE CORP CAL PER THE PIA AGREEMENT
 EXECUTED 100% AGENCY ACTUAL PRICES,
 REMUNERATION AND THE CAPACITY IN WHICH ML
 ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS
 Divd Reinv
 TEMPLETON GLBL BOND FD ADV CL AGENT REINV
 AMOUNT \$103.74 REINV PRICE \$13.33000 QUANTITY
 BOT 7.7820 AS OF 09/17
 Journal Entry
 TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM X
 SHARE VALUE \$13.32
 Sale
 CALL DE 00090.000 DEERE CO EXP 12-22-12 OPEN
 TRAN DE DEC 90.00000
 Purchase
 CAPITAL ONE FINL PER THE PIA AGREEMENT EXECUTED
 100% AGENCY ACTUAL PRICES, REMUNERATION AND
 THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE
 UPON REQUEST. ML ACTED AS AGENT
 Purchase
 MICRON TECHNOLOGY INC PER THE PIA AGREEMENT
 EXECUTED 100% AGENCY ACTUAL PRICES,
 REMUNERATION AND THE CAPACITY IN WHICH ML
 ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A
 Sale

PIA	9/18/2012	9/19/2012	217W	60	7	\$0.80	-\$560.00
PUT OEF 00060.000 ISHARES S&P 100 INDEX EXP 11-17-12 CLOSE TRAN OEF NOV 60.00000							
Purchase							
PUT OEF 00065.000 ISHARES S&P 100 INDEX EXP 11-17-12 OPEN TRAN OEF NOV 65.00000							
Purchase							
OPENHEIMER INTERNATIONAL BROUGHT TO FD CL Y FRAG SHR QUANTITY .362 FUND SUBJECT TO REF FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION. SEE THE PROSPECTUS							
Purchase							
CAPITAL ONE FINL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS AGENT							
Purchase							
WELLS FARGO & CO NEW DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS AGENT							
Purchase							
CALL AIG 00035.000 AMERICAN INTERNATIONAL EXP 09-22-12 CLOSE TRAN AIG SEP 35.00000							
Sale							
SALESFORCE COM INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS AGENT							
Sale							
TIFFANY & CO NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS AGENT							
Sale							
KIMBERLY CLARK PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS AGENT							
Sale							

PIA	8/24/2012	8/27/2012	AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2	VLO1	-3	\$0.63	\$188.99
PIA	8/20/2012	8/23/2012	CALL VLO 00034.000 VALERO ENERGY CORP NEW EXP 12-22-12 OPEN TRAN VLO DEC 34.000000	222L3			
PIA	8/20/2012	8/23/2012	COVENTRY HEALTH CARE INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS SALE	CVH	-206	\$41.33	\$8,513.77
PIA	8/20/2012	8/23/2012	SALESFORCE COM INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS PURCHASE	CRM	13	\$145.68	-\$1,893.84
PIA	8/20/2012	8/23/2012	RAYTHEON CO DELAWARE NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS SALE	RTN	-13	\$56.50	\$734.48
PIA	8/20/2012	8/23/2012	CATERPILLAR INC DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS SALE	CAT	-9	\$90.10	\$810.88
PIA	8/20/2012	8/23/2012	EMERGING GLOBAL SHARES DOW JONES PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS SALE	ECON	-35	\$23.46	\$821.08
PIA	8/20/2012	8/23/2012	NEWS CORP CL A PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED SALE	NWSA	236	\$22.97	-\$5,419.91
PIA	8/20/2012	8/23/2012	PAYCHEX INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED SALE	PAYX	-26	\$32.74	\$851.22

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PIA	8/7/2012	8/10/2012	WAL-MART STORES INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	KMB	110	\$31.79	-\$8,997.40
PIA	8/7/2012	8/10/2012	KIMBERLY CLARK PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT Purchase	WFC	171	\$34.27	-\$5,860.17
PIA	8/7/2012	8/10/2012	WELLS FARGO & CO NEW DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	NWCA	213	\$23.78	-\$5,949.59
PIA	8/7/2012	8/10/2012	NEWS CORP CL A PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED Sale	HON	-170	\$59.24	\$10,070.08
PIA	8/7/2012	8/10/2012	HONEYWELL INTL INC DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	MU	862	\$6.82	-\$5,878.84
PIA	8/7/2012	8/10/2012	MICRON TECHNOLOGY INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A Sale	EMR	-203	\$50.27	\$10,204.50
PIA	8/7/2012	8/10/2012	EMERSON ELEC CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT Sale	JNJ	-145	\$68.51	\$9,933.19
PIA	8/7/2012	8/10/2012	JOHNSON AND JOHNSON COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale	ITW	-168	\$56.22	\$9,444.46

PIA	Date	Description	Code	Quantity	Price	Amount
PIA	8/7/2012	ILLINOIS TOOL WORKS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS	OEFF	391	\$64.71	-\$25,303.17
PIA	8/10/2012	Purchase ISHARES S&P 100 INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS	ANF	-10	\$29.91	\$299.07
PIA	8/6/2012	Sale ABERCROMBIE & FITCH CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS	ANF1	-3	\$1.64	\$492.35
PIA	8/3/2012	CALL ANF 00029.000 ABERCROMBIE & FITCH CO EXP 08-218H	29			
PIA	8/2/2012	18-12 OPEN TRAN ANF AUG 29.00000	ANF1	3	\$0.22	-\$66.00
PIA	8/3/2012	Purchase CALL ANF 00040.000 ABERCROMBIE & FITCH CO EXP 09-22214	0			
PIA	8/1/2012	22-12 CLOSE TRAN ANF SEP 40.00000	TGLM	9		\$0.00
PIA	8/1/2012	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT \$97.10 REINV PRICE \$10.03000 QUANTITY BOT 9.6810 AS OF 07/31	X			
PIA	8/1/2012	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT \$57.42 REINV PRICE \$10.12000 QUANTITY BOT 5.6740	RSFY	5		\$0.00
PIA	8/1/2012	Divd Reinv BR INF PTD BD FD INST CL AGENT REINV AMOUNT \$3.28 REINV PRICE \$12.21000 QUANTITY BOT .2690 AS	BPRIX			\$0.00
PIA	8/1/2012	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$105.84 REINV PRICE \$6.44000	OIBY	16		\$0.00
PIA	8/1/2012	QUANTITY BOT 16.4350 AS OF 07/31	X			
PIA	8/1/2012	Divd Reinv LORD ABBETT FLOATING RATE FUND CL F AGENT REINV AMOUNT \$40.77 REINV PRICE \$9.22000 QUANTITY BOT 4.4220 AS OF 07/31	LFRFX	4		\$0.00
PIA	8/1/2012	Divd Reinv	PTTPX	2		\$0.00

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PIA	7/20/2012	7/25/2012	APC	-153	\$71.54	\$10,944.79
COVENTRY HEALTH CARE INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale						
ANADARKO PETE CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase						
CHESAPEAKE ENERGY OKLA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Divd Reinv	7/20/2012	7/25/2012	CIK	2	\$17.31	\$34.62
TEMPLETON GBL BOND FD ADV CL AGENT REINV AMOUNT \$127.01 REINV PRICE \$12.87000 QUANTITY BOT 9.8690 AS OF 07/16						
Sale						
ABBOTT LABS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT Purchase	7/13/2012	7/18/2012	ABT	-34	\$65.31	\$2,220.63
SECTOR SPDR CONSUMRS STPL PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT Purchase	7/13/2012	7/18/2012	XLP	93	\$35.28	-\$3,280.62
SCOUT MID CAP FUND CL RETAIL FRAC SHR QUANTITY .552 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION. SEE THE PROSPECTUS	7/13/2012	7/16/2012	UMB	1,345	\$13.13	-\$17,667.10
Sale						
DELAWARE SMID CAP GROWTH FUND INSTL CL FRAC SHR QUANTITY .396 PER THE PIA AGREEMENT THIS SALE CONSTITUTES A REDEMPTION.	7/13/2012	7/16/2012	DFD	-671	\$27.50	\$18,463.39

PIA	7/2/2012	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT \$96.61 REINV PRICE \$9.86000 QUANTITY BOT 9.7980 AS OF 06/29	TGLM X	9	\$0.00
PIA	7/2/2012	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT \$55.80 REINV PRICE \$10.07000 QUANTITY BOT 5.5410	RSFY X	5	\$0.00
PIA	7/2/2012	Divd Reinv BLACKROCK INFLATION PROTECTED BD FD INST CL AGENT REINV AMOUNT \$95.63 REINV PRICE \$11.93000 QUANTITY BOT 7.9760 AS OF 06/29	BPRIX	7	\$0.00
PIA	7/2/2012	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$112.45 REINV PRICE \$6.30000 QUANTITY BOT 17.8490 AS OF 06/29	OIBY X	17	\$0.00
PIA	7/2/2012	Divd Reinv LORD ABBETT FLOATING RATE FUND CL F AGENT REINV AMOUNT \$40.59 REINV PRICE \$9.17000 QUANTITY BOT 4.4260 AS OF 06/29	LFRFX	4	\$0.00
PIA	7/2/2012	Divd Reinv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT \$34.36 REINV PRICE \$11.30000 QUANTITY BOT 3.0410 AS OF 06/29	PTTPX	3	\$0.00
PIA	7/2/2012	Journal Entry TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE \$9.89	TGLM X	1	\$0.00
PIA	7/2/2012	Journal Entry RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE \$10.08	RSFY X	1	\$0.00
PIA	7/2/2012	Journal Entry BLACKROCK INFLATION PROTECTED BD FD INST CL FULL SHARE ACCUM SHARE VALUE \$12.06	BPRIX	1	\$0.00
PIA	7/2/2012	Journal Entry OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE \$6.32	OIBY X	1	\$0.00
PIA	7/2/2012	Journal Entry LORD ABBETT FLOATING RATE FUND CL F FULL SHARE ACCUM SHARE VALUE \$9.18	LFRFX	1	\$0.00
PIA	6/27/2012	Purchase	AZO	12	\$357.84 - \$4,294.08

PIA	Date	Description	Symbol	Quantity	Price	Value
PIA	6/29/2012	AUTOZONE INC NEVADA COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Divd Reinv	AHIFX	10		\$0.00
PIA	6/22/2012	AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL FZ AGENT REINV AMOUNT \$110.44 REINV PRICE \$10.86000 QUANTITY BOT 10.1690 AS OF 06/28 Sale	BBBY	-155	\$61.40	\$9,517.29
PIA	6/21/2012	BED BATH & BEYOND INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A Purchase	BBBY	1	\$0.03	-\$3.30
PIA	6/20/2012	CALL BBY 00080.000 BFD BATH & BEYOND INC EXP 07 21-12 CLOSE TRAN BBY JUL 80.00000	G80			
PIA	6/20/2012	Divd Reinv TEMPLETON GBL BOND FD ADV CL AGENT REINV AMOUNT \$126.00 REINV PRICE \$12.54000 QUANTITY BOT 10.0480 AS OF 06/15	TGBA	10		\$0.00
PIA	6/20/2012	Journal Entry TEMPLETON GBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE \$12.65	TGBA	1		\$0.00
PIA	6/15/2012	Redeemed FEDERAL HOME LOAN BANK CALLABLE BONDS 03.125% JUN 15 2018 CALLABLE BONDS 03.125% JUN 15 2018 PAY DATE 06/15/2012	3133	-25,000		\$25,000.00
PIA	6/13/2012	UNION PACIFIC CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale	UNP	-81	\$109.50	\$8,869.30
PIA	6/8/2012	C.H. ROBINSON WORLDWIDE, INC. NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT Sale	CHRW	-75	\$57.20	\$4,289.90
PIA	6/8/2012		CAG	-352	\$24.90	\$8,764.64

PIA	6/8/2012	6/13/2012	CONAGRA FOODS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	CHK	235	\$17.88	-\$4,201.45
PIA	6/8/2012	6/13/2012	CHESAPEAKE ENERGY OKLA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	TIF	79	\$55.30	-\$4,368.37
PIA	6/8/2012	6/13/2012	TIFFANY & CO NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale	BBBY	-30	\$70.85	\$2,125.50
PIA	6/8/2012	6/13/2012	BED BATH & BEYOND INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A Purchase	XLP	404	\$33.42	-\$13,501.72
PIA	6/1/2012	6/6/2012	SECTOR SPDR CONSUMERS STPL PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED Sale	KMX	-344	\$27.60	\$9,494.29
PIA	6/1/2012	6/6/2012	CARMAX INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT Sale	HD	-208	\$48.85	\$10,160.57
PIA	6/1/2012	6/6/2012	HOME DEPOT INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT Sale	VFH	-446	\$28.99	\$12,927.69
PIA	6/1/2012	6/6/2012	VANGUARD FINANCIALS ETF PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS				

PIA	6/1/2012	6/6/2012	Purchase TIFFANY & CO NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale	TIF	80	\$54.48	-\$4,358.11
PIA	6/1/2012	6/4/2012	INVERSCO CONVERTIBLE SECURITIES FUND CL Y FRAC SHR QUANTITY .803 PER THE PIA AGREEMENT THIS SALE CONSTITUTES A REDEMPTION.	CNSD	-457	\$19.14	\$8,762.35
PIA	6/1/2012	6/1/2012	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT \$96.13 REINV PRICE \$9.88000 QUANTITY BOT 9.7300 AS OF 05/31	TGLM	9		\$0.00
PIA	6/1/2012	6/1/2012	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT \$60.13 REINV PRICE \$10.02000 QUANTITY BOT 6.0010	RSFY	6		\$0.00
PIA	6/1/2012	6/1/2012	Divd Reinv BLACKROCK INFLATION PROTECTED BD FD INST CL AGENT REINV AMOUNT \$220.68 REINV PRICE \$12.06000 QUANTITY BOT 18.2990 AS OF 05/31	BPRIX	18		\$0.00
PIA	6/1/2012	6/1/2012	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$112.41 REINV PRICE \$6.19000 QUANTITY BOT 18.1600 AS OF 05/31	OIBY	18		\$0.00
PIA	6/1/2012	6/1/2012	Divd Reinv LORD ABBETT FLOATING RATE FUND CL F AGENT REINV AMOUNT \$43.75 REINV PRICE \$9.15000 QUANTITY BOT 4.7810 AS OF 05/31	LFRFX	4		\$0.00
PIA	6/1/2012	6/1/2012	Divd Reinv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT \$38.53 REINV PRICE \$11.28000 QUANTITY BOT 3.4160 AS OF 05/31	PTTPX	3		\$0.00
PIA	6/1/2012	6/1/2012	Journal Entry TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE \$9.89	TGLM	1		\$0.00
PIA	6/1/2012	6/1/2012	Journal Entry BLACKROCK INFLATION PROTECTED BD FD INST CL FULL SHARE ACCUM SHARE VALUE \$12.14	BPRIX	1		\$0.00
PIA	5/29/2012	6/1/2012	Purchase	CHK	263	\$16.78	-\$4,412.48

PIA	5/25/2012	5/31/2012	CHESAPEAKE ENERGY OKLA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	SNDK	140	\$33.25	-\$4,654.53
PIA	5/29/2012 <td>5/30/2012</td> <td>SANDISK CORP INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A Sale</td> <td>AGI12 18H3 4</td> <td>-3</td> <td>\$0.30</td> <td>\$179.05</td>	5/30/2012	SANDISK CORP INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A Sale	AGI12 18H3 4	-3	\$0.30	\$179.05
PIA	5/29/2012 <td>5/30/2012</td> <td>CALL AIG 00034.000 AMERICAN INTERNATIONAL EXP 08-18-12 OPEN TRAN AIG AUG 34.00000</td> <td>BBBY</td> <td>-1</td> <td>\$1.08</td> <td>\$107.99</td>	5/30/2012	CALL AIG 00034.000 AMERICAN INTERNATIONAL EXP 08-18-12 OPEN TRAN AIG AUG 34.00000	BBBY	-1	\$1.08	\$107.99
PIA	5/25/2012	5/25/2012	CALL BBY 00080.000 BED BATH & BEYOND INC EXP 07-1221 21-12 OPEN TRAN BBY JUL 30.00000 Divd Reinv	BBBY 300 AHIFX	8		\$0.00
PIA	5/18/2012 <td>5/23/2012</td> <td>AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$87.54 REINV PRICE \$10.80000 QUANTITY BOT 8.1060 AS OF 05/24 Purchase</td> <td>PAYX</td> <td>149</td> <td>\$29.70</td> <td>-\$4,425.69</td>	5/23/2012	AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$87.54 REINV PRICE \$10.80000 QUANTITY BOT 8.1060 AS OF 05/24 Purchase	PAYX	149	\$29.70	-\$4,425.69
PIA	5/18/2012 <td>5/23/2012</td> <td>PAYCHEX INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED Purchase</td> <td>UNP</td> <td>38</td> <td>\$109.14</td> <td>-\$4,147.51</td>	5/23/2012	PAYCHEX INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED Purchase	UNP	38	\$109.14	-\$4,147.51
PIA	5/18/2012 <td>5/23/2012</td> <td>UNION PACIFIC CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase</td> <td>ADP</td> <td>85</td> <td>\$52.14</td> <td>-\$4,431.63</td>	5/23/2012	UNION PACIFIC CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	ADP	85	\$52.14	-\$4,431.63
PIA	5/18/2012 <td>5/23/2012</td> <td>AUTOMATIC DATA PROC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A Sale</td> <td>VFH</td> <td>-484</td> <td>\$29.48</td> <td>\$14,269.84</td>	5/23/2012	AUTOMATIC DATA PROC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A Sale	VFH	-484	\$29.48	\$14,269.84
PIA	5/18/2012 <td>5/18/2012</td> <td>VANGUARD FINANCIALS ETF PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Divd Reinv</td> <td>TGBA</td> <td>10</td> <td></td> <td>\$0.00</td>	5/18/2012	VANGUARD FINANCIALS ETF PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Divd Reinv	TGBA	10		\$0.00

PIA	5/14/2012	5/17/2012	TEMPLETON GBL BOND FD ADV CL AGENT REINV AMOUNT \$126.19 REINV PRICE \$12.59000 QUANTITY BOT 10.0230 AS OF 05/15	X
PIA	5/11/2012	5/16/2012	Purchase SANDISK CORP INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A Sale MARATHON PETROLEUM CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase VALERO ENERGY CORP NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase METLIFE INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT Sale GOOGLE INC CL A PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED Purchase AMAZON COM INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A Purchase GENERAL MILLS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT Purchase	SNDK
PIA	5/11/2012	5/16/2012	MPC	190
PIA	5/11/2012	5/16/2012	VLO	495
PIA	5/7/2012	5/10/2012	MET	332
PIA	5/7/2012	5/10/2012	GOOG	-20
PIA	5/7/2012	5/10/2012	AMZN	30
PIA	5/4/2012	5/9/2012	GIS	116
PIA	5/4/2012	5/9/2012	CAG	176

PIA	5/4/2012	5/9/2012	CHRW	75	\$60.74	-\$4,555.21
PIA	5/3/2012	5/8/2012	TDC	-37	\$76.66	\$2,836.20
PIA	5/1/2012	5/4/2012	APC	13	\$75.38	-\$979.88
PIA	5/1/2012	5/4/2012	KMB	-139	\$78.40	\$10,897.21
PIA	5/1/2012	5/1/2012	TGLM	10		\$0.00
PIA	5/1/2012	5/1/2012	RSFY	5		\$0.00
PIA	5/1/2012	5/1/2012	BPRX	5		\$0.00
PIA	5/1/2012	5/1/2012	OIBY	16		\$0.00

CONAGRA FOODS INC PER THE PIA AGREEMENT
EXECUTED 100% AGENCY ACTUAL PRICES,
REMUNERATION AND THE CAPACITY IN WHICH ML
ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS
Purchase
C.H. ROBINSON WORLDWIDE, INC. NEW PER THE PIA
AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES,
REMUNERATION AND THE CAPACITY IN WHICH ML
ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A
ML IN ISSUE ML ACTED AS AGENT
Sale
TERADATA CORP DEL PER THE PIA AGREEMENT
EXECUTED 100% AGENCY ACTUAL PRICES,
REMUNERATION AND THE CAPACITY IN WHICH ML
ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS
Purchase
ANADARKO PETE CORP PER THE PIA AGREEMENT
EXECUTED 100% AGENCY ACTUAL PRICES,
REMUNERATION AND THE CAPACITY IN WHICH ML
ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS
Sale
KIMBERLY CLARK PER THE PIA AGREEMENT EXECUTED
100% AGENCY ACTUAL PRICES, REMUNERATION AND
THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE
UPON REQUEST. ML ACTED AS AGENT
Divd Reinv
TCW TOTAL RETURN BOND FD CL I AGENT REINV
AMOUNT \$100.40 REINV PRICE \$9.87000 QUANTITY
BOT 10.1720 AS OF 04/30
Divd Reinv
RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT
\$59.43 REINV PRICE \$10.17000 QUANTITY BOT 5.8440
Divd Reinv
BLACKROCK INFLATION PROTECTED BD FD INST CL
AGENT REINV AMOUNT \$62.42 REINV PRICE \$11.99000
QUANTITY BOT 5.2060 AS OF 04/30
Divd Reinv
OPPENHEIMER INTERNATIONAL BOND FD Y AGENT
REINV AMOUNT \$102.66 REINV PRICE \$6.38000
QUANTITY BOT 16.0910 AS OF 04/30

PIA	5/1/2012	Divd Reinv LORD ABBETT FLOATING RATE FUND CL F AGENT REINV AMOUNT \$41.89 REINV PRICE \$9.27000 QUANTITY BOT 4.5190 AS OF 04/30	LFRFX	4	\$0.00
PIA	5/1/2012	Divd Reinv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT \$34.57 REINV PRICE \$11.22000 QUANTITY BOT 3.0810 AS OF 04/30	PTTPX	3	\$0.00
PIA	5/1/2012	Journal Entry RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE \$10.17	RSFY	1	\$0.00
PIA	5/1/2012	Journal Entry LORD ABBETT FLOATING RATE FUND CL F FULL SHARE ACCUM SHARE VALUE \$9.28	LFRFX	1	\$0.00
PIA	5/1/2012	Sale CHESAPEAKE ENERGY OKLA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS Divd Reinv	CHXK	637	\$18.21 \$11,502.41
PIA	4/30/2012	AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$92.70 REINV PRICE \$11.03000 QUANTITY BOT 8.4040 AS OF 04/27	AHIFX	8	\$0.00
PIA	4/30/2012	Journal Entry AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 FULL SHARE ACCUM SHARE VALUE \$11.04	AHIFX	1	\$0.00
PIA	4/19/2012	Purchase CHESAPEAKE ENERGY OKLA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS Divd Reinv	CHK	158	\$17.95 -\$2,836.16
PIA	4/19/2012	TEMPLETON GBL BOND FD ADV CL AGENT REINV AMOUNT \$125.44 REINV PRICE \$12.93000 QUANTITY BOT 9.7010 AS OF 04/16	TGBA	9	\$0.00
PIA	4/5/2012	Purchase HESS CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS AGENT	HES	18	\$58.80 -\$1,058.42

PIA	4/5/2012	4/11/2012	4/11/2012	Sale	AIQ	-81	\$32.34	\$2,619.08
PIA	4/5/2012	4/11/2012	4/11/2012	AMERICAN INTERNATIONAL GROUP INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GIS	120	\$39.00	-\$4,680.00
PIA	4/5/2012	4/11/2012	4/11/2012	GENERAL MILLS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ADP	84	\$55.46	-\$4,658.44
PIA	4/5/2012	4/11/2012	4/11/2012	CONAGRA FOODS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CAG	176	\$26.45	-\$4,655.18
PIA	4/5/2012	4/11/2012	4/11/2012	ABERCROMBIE & FITCH CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ANF	-242	\$50.66	\$12,258.97
PIA	4/5/2012	4/11/2012	4/11/2012	PAYCHEX INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	PAYX	148	\$31.41	-\$4,648.46
PIA	4/4/2012	4/10/2012	4/10/2012	DISCOVER FINL SVCS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DFS	-354	\$33.18	\$11,743.90
PIA	3/30/2012	4/4/2012	4/4/2012	DISCOVER FINL SVCS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DFS	-63	\$32.86	\$2,070.29

PIA	4/2/2012	Divd Reinv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT \$104.60 REINV PRICE \$9.85000 QUANTITY BOT 10.6190 AS OF 03/30	TGLM X	10	\$0.00
PIA	4/2/2012	Divd Reinv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT \$61.85 REINV PRICE \$10.13000 QUANTITY BOT 6.1000	RSFY X	6	\$0.00
PIA	4/2/2012	Divd Reinv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$107.50 REINV PRICE \$6.33000 QUANTITY BOT 16.9970 AS OF 03/30	OIBY X	16	\$0.00
PIA	4/2/2012	Divd Reinv SUNAM SR FLTG RATE CL A AGENT REINV AMOUNT \$.05 REINV PRICE \$8.13000 QUANTITY BOT .0060 AS OF	SASF X		\$0.00
PIA	4/2/2012	Divd Reinv LORD ABBETT FLOATING RATE FUND CL F AGENT REINV AMOUNT \$44.58 REINV PRICE \$9.24000 QUANTITY BOT 4.8250 AS OF 03/30	IFRFY X	4	\$0.00
PIA	4/2/2012	Divd Reinv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT \$37.23 REINV PRICE \$11.09000 QUANTITY BOT 3.3570 AS OF 03/30	PTTPX X	3	\$0.00
PIA	4/2/2012	Redeemed MORGAN ST DEAN WITTER GLB 06.600% APR 01 2012 GLB 06.600% APR 01 2012 PAY DATE 04/02/2012	6174 46HC	-6,000	\$6,000.00
PIA	4/2/2012	Journal Entry TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE \$9.86	TGLM X	1	\$0.00
PIA	4/2/2012	Journal Entry OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE \$6.34	OIBY X	1	\$0.00
PIA	4/2/2012	Journal Entry LORD ABBETT FLOATING RATE FUND CL F FULL SHARE ACCUM SHARE VALUE \$9.24	LFRFX X	1	\$0.00
PIA	3/29/2012	Divd Reinv AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$93.24 REINV PRICE \$11.08000 QUANTITY BOT 8.4150 AS OF 03/28	AHIFX X	8	\$0.00
PIA	3/23/2012	3/28/2012 Sale	KMX X	-41	\$33.79 \$1,385.40

[illegible]

PIA				MICROSOFT CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED					
PIA	3/6/2012	3/9/2012	☐	Sale ISHARES MSCI EMERGING MKTS INDEX FD PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS	EEM	-285	\$42.75	\$12,183.59	
PIA	3/5/2012	3/8/2012	☐	Sale NOBLE CORP NAMEN-AKT PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS	NT	319	\$30.81	\$12,399.00	
PIA	3/5/2012	3/8/2012	☐	Sale QUEST DIAGNOSTICS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS	DCX	192	\$57.73	\$11,083.84	
PIA	3/5/2012	3/8/2012	☐	Purchase AUTOZONE INC NEVADA COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS	AZO	18	\$384.14	-\$6,914.55	
PIA	3/5/2012	3/8/2012	☐	Purchase BOEING COMPANY PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS	BA	157	\$74.16	-\$11,642.98	
PIA	3/5/2012	3/8/2012	☐	Purchase CHESAPEAKE ENERGY OKLA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS	CHK	479	\$24.25	-\$11,613.45	
PIA	3/2/2012	3/7/2012	☐	Sale PEPSICO INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS	PEP	-146	\$62.49	\$9,122.91	
PIA	3/2/2012	3/7/2012	☐	Purchase RTN	RTN	92	\$51.28	-\$4,717.36	

PIA	3/2/2012	3/7/2012	UNP	43	\$110.85	-\$4,766.55
PIA	3/1/2012 <td>3/6/2012 <td>WAG</td> <td>274</td> <td>477.66</td> <td>\$9,948.69</td> </td>	3/6/2012 <td>WAG</td> <td>274</td> <td>477.66</td> <td>\$9,948.69</td>	WAG	274	477.66	\$9,948.69
PIA	3/1/2012 <td>3/1/2012 <td>TCIM</td> <td>10</td> <td></td> <td>\$0.00</td> </td>	3/1/2012 <td>TCIM</td> <td>10</td> <td></td> <td>\$0.00</td>	TCIM	10		\$0.00
PIA	3/1/2012 <td>3/1/2012 <td>RSFY</td> <td>6</td> <td></td> <td>\$0.00</td> </td>	3/1/2012 <td>RSFY</td> <td>6</td> <td></td> <td>\$0.00</td>	RSFY	6		\$0.00
PIA	3/1/2012 <td>3/1/2012 <td>OIBY</td> <td>13</td> <td></td> <td>\$0.00</td> </td>	3/1/2012 <td>OIBY</td> <td>13</td> <td></td> <td>\$0.00</td>	OIBY	13		\$0.00
PIA	3/1/2012 <td>3/1/2012 <td>SASF</td> <td>3</td> <td></td> <td>\$0.00</td> </td>	3/1/2012 <td>SASF</td> <td>3</td> <td></td> <td>\$0.00</td>	SASF	3		\$0.00
PIA	3/1/2012 <td>3/1/2012 <td>LFRFX</td> <td>4</td> <td></td> <td>\$0.00</td> </td>	3/1/2012 <td>LFRFX</td> <td>4</td> <td></td> <td>\$0.00</td>	LFRFX	4		\$0.00
PIA	3/1/2012 <td>3/1/2012 <td>PTTPX</td> <td>2</td> <td></td> <td>\$0.00</td> </td>	3/1/2012 <td>PTTPX</td> <td>2</td> <td></td> <td>\$0.00</td>	PTTPX	2		\$0.00
PIA	3/1/2012 <td>3/1/2012 <td></td> <td></td> <td>4282</td> <td>-25,000</td> </td>	3/1/2012 <td></td> <td></td> <td>4282</td> <td>-25,000</td>			4282	-25,000
						\$25,000.00

PIA	3/1/2012	Journal Entry	PTTPX	1		\$0.00
		PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM				
		SHARE VALUE \$11.11				
	2/29/2012	Divd Reinv	AHIFX	9		\$0.00
PIA		AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F3				
		AGENT REINV AMOUNT \$103.86 REINV PRICE				
		\$11.10000 QUANTITY DOT 9.3570 AS OF 02/28				
	2/24/2012	Sale	SASF	-1,137	\$8.09	\$9,202.50
PIA	2/29/2012	SUNAMERICA SENIOR FLOATING RATE FUND CL A FRAC	X			
		SHR QUANTITY .515 PER THE PIA AGREEMENT THIS				
		SALE CONSTITUTES A REDEMPTION.				
PIA	2/22/2012	Sale	DELL	-678	\$17.08	\$11,580.17
	2/27/2012	DELL INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS				
PIA	2/22/2012	Purchase	PFF	239	\$38.80	-\$9,273.19
	2/27/2012	S&P US PFD STK INDEX FD ISHARES PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED				
PIA	2/22/2012	Purchase	ANF	72	\$48.32	-\$3,479.19
	2/27/2012	ABERCROMBIE & FITCH CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS				
PIA	2/24/2012	Purchase	JASC	368	\$25.39	-\$9,362.00
	2/27/2012	JAMES SMALL CAP FUND FRAC SHR QUANTITY .728	X			
		FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A				
		SALES CHARGE AND/OR OTHER FEES. FOR				
PIA	2/17/2012	Sale	IYW	-96	\$73.45	\$7,051.33
	2/23/2012	ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDX F PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON				
	2/17/2012	Sale	MPC	-37	\$44.00	\$1,628.14

PIA				MARATHON PETROLEUM CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale	TDC	-25	\$62.46	\$1,561.51
PIA	2/17/2012	2/23/2012	☐	TERADATA CORP DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale				
PIA	2/17/2012	2/23/2012	☐	HESS CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HESS	20	\$64.88	\$1,297.55
PIA	2/17/2012	2/23/2012	☐	CARMAX INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CVX	37	\$70.23	\$1,119.55
PIA		2/21/2012	☐	Divd Reinv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT \$73.42 REINV PRICE \$13.12000 QUANTITY BOT 5.5960 AS OF 02/15	TGBA	5		\$0.00
PIA		2/21/2012	☐	Journal Entry TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE \$13.20	TGBA	1		\$0.00
PIA	2/10/2012	2/15/2012	☐	Sale ISHARES S&P 100 INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	OEF	-10	\$60.67	\$606.73
PIA	2/3/2012	2/8/2012	☐	ABERCROMBIE & FITCH CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale	ANF	170	\$41.01	-\$6,971.82
	2/3/2012	2/8/2012	☐		ABT	-2	\$55.03	\$110.05

PIA	2/2/2012	2/7/2012	Journal Entry	SASF	1	\$0.00
PIA	2/2/2012	2/7/2012	ABBOTT LABS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	X		
PIA	2/2/2012	2/7/2012	SUNAMERICA SENIOR FLOATING RATE FUND CL A FULL SHARE ACCUM SHARE VALUE \$8.09	CIU	3	\$108.29
PIA	2/2/2012	2/7/2012	IShares BARCLAYS INTERME PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT			
PIA	2/2/2012	2/7/2012	EXXON MOBIL CORP COM TEN THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XOM	110	\$83.71
PIA	2/2/2012	2/7/2012	PROCTER & GAMBLE CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	PG	-148	\$63.30
PIA	2/2/2012	2/7/2012	ILLINOIS TOOL WORKS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ITW	168	\$54.87
PIA	2/2/2012	2/7/2012	CSX CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CSX	-459	\$22.77
PIA	2/2/2012	2/7/2012	V F CORPORATION PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VFC	-66	\$130.89
PIA	2/2/2012	2/7/2012	BSCG PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	BSCG	29	\$21.68

[illegible]

PIA				TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT \$119.31 REINV PRICE \$9.75000 QUANTITY BOT 12.2370 AS OF 01/31	X			
	2/1/2012	☐		Divd Reinv				
PIA				RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT \$69.74 REINV PRICE \$10.02000 QUANTITY BOT 6.9600	RSFY	6		\$0.00
	2/1/2012	☐		Divd Reinv				
PIA				OPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$98.15 REINV PRICE \$6.37000	OIBY	15		\$0.00
	2/1/2012	☐		QUANTITY BOT 15.4080 AS OF 01/31				
PIA				Divd Reinv				
	2/1/2012	☐		SUNAMERICA SENIOR FLOATING RATE FUND CL A AGENT REINV AMOUNT \$33.25 REINV PRICE \$8.07000	SASF	4		\$0.00
	2/1/2012	☐		QUANTITY BOT 4.1200 AS OF 01/31				
PIA				Divd Reinv				
	2/1/2012	☐		LORD ABBETT FLOATING RATE FUND CL F AGENT REINV AMOUNT \$43.29 REINV PRICE \$9.17000 QUANTITY BOT 4.7210 AS OF 01/31	LFRFX	4		\$0.00
PIA				Divd Reinv				
	2/1/2012	☐		PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT \$32.14 REINV PRICE \$11.12000 QUANTITY BOT 2.8900 AS OF 01/31	PTTPX	2		\$0.00
PIA				Journal Entry				
	2/1/2012	☐		RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE \$10.04	RSFY	1		\$0.00
PIA				Journal Entry				
	2/1/2012	☐		OPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE \$6.40	OIBY	1		\$0.00
PIA				Journal Entry				
	2/1/2012	☐		LORD ABBETT FLOATING RATE FUND CL F FULL SHARE ACCUM SHARE VALUE \$9.16	LFRFX	1		\$0.00
PIA				Journal Entry				
	2/1/2012	☐		PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE \$11.11	PTTPX	1		\$0.00
PIA				Sale				
	1/27/2012	☐		ISHARES GOLD TR PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IAU	-1,047	\$16.90	\$17,693.96
PIA				Purchase				
	1/27/2012	☐			BAB	31	\$28.75	-\$891.33

PIA	1/27/2012	2/1/2012	☐	Sale DEERE CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DE	-13	\$87.35	\$1,135.59
PIA	1/27/2012	2/1/2012	☐	Sale KAYNE ANDERSON MLP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Journal Entry	KYN	-88	\$30.92	\$2,721.09
PIA	1/31/2012		☐	OPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE \$6.37	OIBY	-1		\$0.00
PIA	1/26/2012	1/31/2012	☐	Sale ISHARES TRUST S&P MIDCAP 400 INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED Purchase	IDH	-100	\$94.27	\$9,426.97
PIA	1/26/2012	1/31/2012	☐	TORTOISE MLP FD INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	NTG	10	\$26.15	-\$261.51
PIA	1/26/2012	1/31/2012	☐	ISHARES S&P SMALLCAP 600 INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT Purchase	IDR	213	\$73.15	-\$15,580.95
PIA	1/30/2012	1/31/2012	☐	TEMPLETON GBL BOND FD ADV CL FRAC SHR QUANTITY .319 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION. SEE THE PROSPECTUS	TGBA	8	\$12.95	-\$107.73
PIA	1/30/2012	1/31/2012	☐	Sale OPENHEIMER INTERNATIONAL BOND FD Y FRAC SHR QUANTITY .599 PER THE PIA AGREEMENT THIS SALE CONSTITUTES A REDEMPTION.	OIBY	-519	\$6.36	\$3,304.65

PIA	1/26/2012	1/31/2012	☐	Sale KAYNE ANDERSON MLP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Divd Reinv	KYN	-79	\$31.41	\$2,481.50
PIA	1/30/2012	☐		AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$97.49 REINV PRICE \$10.94000 QUANTITY BOT 8.9110 AS OF 01/27	AHIFX	8		\$0.00
PIA	1/30/2012	☐		Journal Entry DELAWARE SMID CAP GROWTH FUND INSTL CL FULL SHARE ACCUM SHARE VALUE \$26.92	DFD1	1		\$0.00
PIA	1/30/2012	☐		Journal Entry OPENHEIMER INTERNATL GROWTH FD CL Y FULL SHARE ACCUM SHARE VALUE \$26.67	OIGY	1		\$0.00
PIA	1/30/2012	☐		Journal Entry AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 FULL SHARE ACCUM SHARE VALUE \$10.92	AHIFX	1		\$0.00
PIA	1/27/2012	1/30/2012	☐	Purchase BLACKROCK INFLATION PROTECTED BD FD INSTL CL FRAC SHR QUANTITY .076 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION. SEE THE PROSPECTUS	BPRIX	39	\$11.84	-\$462.66
PIA	1/27/2012	1/30/2012	☐	Purchase DELAWARE SMID CAP GROWTH FUND INSTL CL FRAC SHR QUANTITY .936 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION. SEE THE PROSPECTUS	DFDI	326	\$27.09	-\$8,856.70
PIA	1/27/2012	1/30/2012	☐	Purchase OPENHEIMER INTERNATL GROWTH FD CL Y FRAC SHR QUANTITY .881 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION. SEE THE PROSPECTUS	OIGY	665	\$27.08	-\$18,032.06
	1/26/2012	1/27/2012	☐	Sale FOR INFORMATION. SEE THE PROSPECTUS	PENN	-854	\$11.58	\$9,893.71

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PIA	1/20/2012	1/25/2012	1/25/2012	COF	-265	\$45.40	\$12,030.96
PIA	1/20/2012	1/25/2012	1/25/2012	WAG	274	\$33.23	-\$9,105.05
PIA	1/20/2012	1/25/2012	1/25/2012	ADP	-188	\$56.77	\$10,573.20
PIA	1/20/2012	1/25/2012	1/25/2012	MRO	-400	\$29.00	\$11,599.78
PIA	1/23/2012	1/25/2012	1/25/2012	MS	-500	\$17.50	\$8,749.83
PIA	1/23/2012	1/24/2012	1/24/2012	DFDI	344	\$26.69	-\$9,193.64
PIA	1/18/2012	1/23/2012	1/23/2012	GOOG	5	\$626.25	-\$3,131.23
PIA	1/23/2012	1/23/2012	1/23/2012	MS12	5		\$0.00
PIA	1/23/2012	1/23/2012	1/23/2012	21A1	7.5		
PIA	1/23/2012	1/23/2012	1/23/2012	MRO1	4		\$0.00
PIA	1/23/2012	1/23/2012	1/23/2012	221A	29		

PIA	1/18/2012	1/23/2012	MSFT	-35	\$78.14	\$984.74
PIA	1/20/2012 <td>1/23/2012 <td>OTCY <td>349 <td>\$20.74 <td>-\$9,092.94</td> </td></td></td></td>	1/23/2012 <td>OTCY <td>349 <td>\$20.74 <td>-\$9,092.94</td> </td></td></td>	OTCY <td>349 <td>\$20.74 <td>-\$9,092.94</td> </td></td>	349 <td>\$20.74 <td>-\$9,092.94</td> </td>	\$20.74 <td>-\$9,092.94</td>	-\$9,092.94
PIA	1/20/2012 <td>1/23/2012 <td>X</td> <td></td> <td></td> <td></td> </td>	1/23/2012 <td>X</td> <td></td> <td></td> <td></td>	X			
PIA	1/20/2012 <td>1/23/2012 <td>OEFL</td> <td>8</td> <td>\$0.55</td> <td>-\$440.00</td> </td>	1/23/2012 <td>OEFL</td> <td>8</td> <td>\$0.55</td> <td>-\$440.00</td>	OEFL	8	\$0.55	-\$440.00
PIA	1/20/2012 <td>1/23/2012 <td>221A</td> <td></td> <td></td> <td></td> </td>	1/23/2012 <td>221A</td> <td></td> <td></td> <td></td>	221A			
PIA	1/20/2012 <td>1/23/2012 <td>59</td> <td></td> <td></td> <td></td> </td>	1/23/2012 <td>59</td> <td></td> <td></td> <td></td>	59			
PIA	1/20/2012 <td>1/23/2012 <td>TGBA</td> <td>5</td> <td></td> <td>\$0.00</td> </td>	1/23/2012 <td>TGBA</td> <td>5</td> <td></td> <td>\$0.00</td>	TGBA	5		\$0.00
PIA	1/20/2012 <td>1/23/2012 <td>X</td> <td></td> <td></td> <td></td> </td>	1/23/2012 <td>X</td> <td></td> <td></td> <td></td>	X			
PIA	1/20/2012 <td>1/23/2012 <td>TGBA</td> <td>1</td> <td></td> <td>\$0.00</td> </td>	1/23/2012 <td>TGBA</td> <td>1</td> <td></td> <td>\$0.00</td>	TGBA	1		\$0.00
PIA	1/13/2012 <td>1/19/2012 <td>IHI</td> <td>-381</td> <td>\$59.60</td> <td>\$22,707.16</td> </td>	1/19/2012 <td>IHI</td> <td>-381</td> <td>\$59.60</td> <td>\$22,707.16</td>	IHI	-381	\$59.60	\$22,707.16
PIA	1/13/2012 <td>1/19/2012 <td>JPM</td> <td>-504</td> <td>\$35.36</td> <td>\$17,820.24</td> </td>	1/19/2012 <td>JPM</td> <td>-504</td> <td>\$35.36</td> <td>\$17,820.24</td>	JPM	-504	\$35.36	\$17,820.24
PIA	1/13/2012 <td>1/19/2012 <td>OEFL</td> <td>277</td> <td>\$58.27</td> <td>-\$16,141.29</td> </td>	1/19/2012 <td>OEFL</td> <td>277</td> <td>\$58.27</td> <td>-\$16,141.29</td>	OEFL	277	\$58.27	-\$16,141.29

PIA				ISHARES S&P 100 INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT Purchase	VFH	590	\$28.94	-\$17,073.54
PIA	1/13/2012	1/19/2012	1/13/2012	VANGUARD FINANCIAL FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Sale				
PIA	1/13/2012	1/19/2012	1/13/2012	MARATHON OIL CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	MRO	-53	\$30.40	\$1,611.17
PIA	1/13/2012	1/17/2012	1/13/2012	CALL HES 00057.500 HESS CORP EXP 01-21-12 CLOSE TRAN HES JAN 57.50000	HES1 221A	2	\$0.58	-\$116.00
PIA	1/6/2012	1/11/2012	1/6/2012	TORTOISE MLP FD INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	NTG	72	\$26.00	-\$1,872.00
PIA	1/5/2012	1/10/2012	1/5/2012	AMERICAN INTERNATIONAL GROUP INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	AIG	242	\$23.71	-\$5,738.91
PIA	1/5/2012	1/10/2012	1/5/2012	ANADARKO PETE CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	APC	70	\$79.50	-\$5,565.00
PIA	1/5/2012	1/10/2012	1/5/2012	BED BATH & BEYOND INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS Purchase	BBBY	98	\$59.30	-\$5,811.56
PIA	1/5/2012	1/10/2012	1/5/2012	DELL	DELL	386	\$15.06	-\$5,812.04

PIA				DELL INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. WE MAKE A MKT IN ISSUE ML ACTED AS Sale	VLO	-252	\$19.55	\$4,925.65
PIA	1/5/2012	1/10/2012	1/10/2012	VALERO ENERGY CORP NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS Sale				
PIA	1/5/2012	1/10/2012	1/10/2012	AMERICAN TOWER REIT INC (HLDG CO) SHS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS Purchase	AMT	-96	\$59.17	\$5,680.60
PIA	1/5/2012	1/10/2012	1/10/2012	MARATHON PETROLEUM CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS Purchase	MPG	180	\$31.99	-\$5,758.49
PIA	1/4/2012	1/9/2012	1/9/2012	EXXON MOBIL CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS Sale	XOM	-124	\$85.62	\$10,617.09
PIA	1/4/2012	1/9/2012	1/9/2012	V F CORPORATION PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS Purchase	VFC	66	\$134.98	-\$8,908.38
PIA	1/4/2012	1/9/2012	1/9/2012	HOME DEPOT INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS AGENT Purchase	HD	208	\$42.75	-\$8,892.00
PIA	1/4/2012	1/9/2012	1/9/2012	DOVER CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REOUEST. ML ACTED AS AGENT Sale	DOV	-172	\$58.30	\$10,027.70
	1/3/2012			Divd Reinv	RSFY	6		\$0.00

PIA				RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT	X				
				\$68.33 REINV PRICE \$9.86000 QUANTITY BOT 6.9300					
PIA		1/3/2012	1/3/2012	Divd Reinv	BPRIX			\$0.00	
				BR INF PTD BD FD INST CL AGENT REINV AMOUNT					
PIA		1/3/2012	1/3/2012	\$1.81 REINV PRICE \$11.68000 QUANTITY BOT 1550 AS	SASF	2		\$0.00	
				Divd Reinv					
PIA		1/3/2012	1/3/2012	SUNAMERICA SENIOR FLOATING RATE FUND CL Y					
				AGENT REINV AMOUNT \$23.55 REINV PRICE \$7.96000					
PIA		1/3/2012	1/3/2012	QUANTITY BOT 2.9590 AS OF 12/30	LFREFX	4		\$0.00	
				Divd Reinv					
PIA		1/3/2012	1/3/2012	LORD ABBETT FLOATING RATE FUND CL F AGENT REINV					
				AMOUNT \$43.11 REINV PRICE \$9.00000 QUANTITY BOT					
PIA		1/3/2012	1/3/2012	4.7900 AS OF 12/30	PTTPX	3		\$0.00	
				Divd Reinv					
PIA		1/3/2012	1/3/2012	PIMCO TOTAL RETURN FUND CL F AGENT REINV					
				AMOUNT \$33.76 REINV PRICE \$10.87000 QUANTITY					
PIA		1/3/2012	1/3/2012	BOT 3.1060 AS OF 12/30	###	-96		\$0.00	
				Exchange					
PIA		1/3/2012	1/3/2012	AMERICAN TOWER CORP CL A PAY DATE 01/03/2012	AMT	96		\$0.00	
				Exchange					
PIA		1/3/2012	1/3/2012	AMERICAN TOWER REIT INC (HLDG CO) SHS (HLDG CO)					
				SHS PAY DATE 01/03/2012					
PIA		1/3/2012	1/3/2012	Journal Entry	RSFY	1		\$0.00	
				RS FLOATING RATE FUND CL Y FULL SHARE ACCUM	X				
PIA		1/3/2012	1/3/2012	SHARE VALUE \$9.88	SASF	1		\$0.00	
				Journal Entry					
PIA		1/3/2012	1/3/2012	SUNAMERICA SENIOR FLOATING RATE FUND CL A FULL	X				
				SHARE ACCUM SHARE VALUE \$7.97					
PIA		1/3/2012	1/3/2012	Journal Entry	LFREFX	1		\$0.00	
				LORD ABBETT FLOATING RATE FUND CL F FULL SHARE					
PIA		1/3/2012	1/3/2012	ACCUM SHARE VALUE \$9.02	IWM	-149	\$73.67	\$10,976.58	
				Sale					
PIA		1/3/2012	1/3/2012	ISHARES TR RUSSELL 2000 INDEX FD PER THE PIA					
				AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES,					
PIA		1/3/2012	1/3/2012	REMUNERATION AND THE CAPACITY IN WHICH ML					
				ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS					
PIA		1/3/2012	1/3/2012	Purchase	IUR	160	\$68.47	-\$10,955.65	

PIA

ISHARES S&P SMALLCAP 600 INDEX FUND PER THE PIA
AGREEMENT EXECUTED 100% AGENCY PRODUCT
DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION
AND THE CAPACITY IN WHICH ML ACTED ARE
AVAILABLE UPON REQUEST. ML ACTED AS AGENT

DAVID PHILLIP ROE

SCHEDULE 5



State Of Franklin Healthcare
Associates, PLLC 401(k)

DAVID P ROE
2 NORTH CROSSBOW
JOHNSON CITY, TN 37604-

Retirement Savings Statement

Customer Service: (800) 294-4015
Fidelity Investments Institutional Operations
Company, Inc.
82 Devonshire Street
Boston, MA 02109

Your Account Summary

Statement Period: 12/31/2012 to 12/31/2012

Beginning Balance	\$374,565.24
Change In Market Value	\$4,167.99
Ending Balance	\$378,733.23
Additional Information	
Vested Balance	\$378,733.23
Dividends & Interest	\$52.28

Your Personal Rate of Return

This Period	1.1%
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Your Personal Rate of Return is calculated with a time-weighted formula, widely used by financial analysts to calculate investment earnings. It reflects the results of your investment selections as well as any activity in the plan account(s) shown. There are other Personal Rate of Return formulas used that may yield different results. Remember that past performance is no guarantee of future results.

Your Asset Allocation

Statement Period: 12/31/2012 to 12/31/2012

 Asset Allocation Chart

Your account is allocated among the asset classes specified above as of 12/31/2012. Percentages and totals may not be exact due to rounding.

Market Value of Your Account

Statement Period: 12/31/2012 to 12/31/2012

Displayed in this section is the value of your account for the statement period, in both shares and dollars.

<i>Investment</i>	Shares as of 12/30/2012	Shares as of 12/31/2012	Price as of 12/30/2012	Price as of 12/31/2012	Market Value as of 12/30/2012	Market Value as of 12/31/2012
Stock Investments					\$266,512.33	\$272,739.09
Large Cap						
Blkrk Cap Appr I	3,585.209	3,585.209	\$24.11	\$24.80	\$86,439.39	\$88,196.15
Mainstay MAP I	74.359	74.359	\$34.83	\$35.34	\$2,589.92	\$2,627.84
Sptn 500 Index ADV	1,794.489	1,794.489	\$49.64	\$50.49	\$89,078.44	\$90,603.75
Mid-Cap						
FA Mid Cap II I	104.868	104.868	\$17.37	\$17.70	\$1,821.52	\$1,858.13
Small Cap						
Sptn SM Cap Idx ADV	237.837	237.837	\$11.90	\$12.15	\$2,830.26	\$2,889.72
International						
FA Intl Discovery I	2,621.608	2,621.608	\$32.71	\$33.02	\$85,752.80	\$86,565.50
Bond Investments					\$58,914.60	\$58,855.42
Income						
FA GOV Income Inst	5,552.743	5,557.641	\$10.61	\$10.59	\$58,914.60	\$58,855.42
Short-Term Investments					\$47,138.31	\$47,138.72
FID Rel Govt MM	47,138.310	47,138.720	\$1.00	\$1.00	\$47,138.31	\$47,138.72
Account Totals					\$374,565.24	\$378,733.23

Remember that a dividend payment to fund shareholders reduces the share price of the fund, so a decrease in the share price for the statement period does not necessarily reflect lower fund performance.

Please refer to NetBenefits and other Plan Information, such as your SPD, for a description of your right to direct investments under the Plan. For information on any plan restrictions on those rights, please contact your benefits office.

To help achieve long-term retirement security, you should give careful consideration to the benefits of a well-balanced and diversified investment portfolio. Spreading your assets among different types of investments can help you achieve a favorable rate of return, while minimizing your overall risk of losing money. This is because market or other economic conditions that cause one category of assets, or one particular security, to perform very well often cause another asset category, or another particular security, to perform poorly. If you invest more than 20% of your retirement savings in any one company or industry, your savings may not be properly diversified. Although diversification is not a guarantee against loss, it is an effective strategy to help you manage investment risk.

In deciding how to invest your retirement savings, you should take into account all of your assets, including any retirement savings outside of the Plan. No single approach is right for everyone because, among other factors, individuals have different financial goals, different time horizons for meeting their goals, and different tolerances for risk. It is also important to periodically review your investment portfolio, your investment objectives, and the investment options under the Plan to help ensure that your retirement savings will meet your retirement goals. Visit the Department of Labor website <http://www.dol.gov/ebsa/investing.html> for information on individual investing and diversification.

Employer contributions under this Plan may be integrated with Social Security contributions made on your behalf by your employer. This is often called "permitted disparity". See your Summary Plan description for more details.

Some of the administrative services performed for the Plan were underwritten from the total operating expenses of the Plan's investment options.

Your Contribution Elections as of

As of 06/12/2013

This section displays the funds in which your future contributions will be invested.

Your Current Investment Elections as of 06/12/2013**Source Group 1**

Includes: EMPLOYEE DEFERRAL, EMPLOYER MATCH, EMPLOYER DISCRETIONARY, QUALIFIED DISCRETIONARY, ROLLOVER, AFTER TAX ROLLOVER, PRIOR PROFIT SHARING, SAFE HARBOR NON-ELECTIVE, EMPLOYEE DOLLAR DEFERRAL, ROTH DEFERRAL, ROTH ROLLOVER, ROTH DOLLAR DEFERRAL, ROTH IN-PLAN CONVERSION

Any change to the source group below will apply across all the sources within the source group. Plan rules require that all sources within a source group be invested in the same investment options with the same percentages.

EMPLOYEE DEFERRAL

Investment Option	Current %
Stock Investments	
LARGE CAP	
BLKRK CAP APPR I	25%
SPTN 500 INDEX ADV	25%
INTERNATIONAL	
FA INTL DISCOVERY I	20%
Bond Investments	
INCOME	
FA GOV INCOME INST	10%
Short-Term Investments	
FID RET GOVT MM	20%
Total	100%

EMPLOYER MATCH

Investment Option	Current %
Stock Investments	
LARGE CAP	
BLKRK CAP APPR I	25%
SPTN 500 INDEX ADV	25%
INTERNATIONAL	
FA INTL DISCOVERY I	20%
Bond Investments	
INCOME	

FA GOV INCOME INST	10%
--------------------	-----

Short-Term Investments

FID RET GOVT MM	20%
-----------------	-----

Total	100%
--------------	-------------

EMPLOYER DISCRETIONARY

Investment Option	Current %
-------------------	-----------

Stock Investments

LARGE CAP

BLKRK CAP APPR I	25%
------------------	-----

SPTN 500 INDEX ADV	25%
--------------------	-----

INTERNATIONAL

FA INTL DISCOVERY I	20%
---------------------	-----

Bond Investments

INCOME

FA GOV INCOME INST	10%
--------------------	-----

Short-Term Investments

FID RET GOVT MM	20%
-----------------	-----

Total	100%
--------------	-------------

QUALIFIED DISCRETIONARY

Investment Option	Current %
-------------------	-----------

Stock Investments

LARGE CAP

BLKRK CAP APPR I	25%
------------------	-----

SPTN 500 INDEX ADV	25%
--------------------	-----

INTERNATIONAL

FA INTL DISCOVERY I	20%
---------------------	-----

Bond Investments

INCOME

FA GOV INCOME INST	10%
--------------------	-----

Short-Term Investments

FID RET GOVT MM	20%
-----------------	-----

Total	100%
--------------	-------------

ROLLOVER

Investment Option	Current %
Stock Investments	
LARGE CAP	
BLKRK CAP APPR I	25%
SPTN 500 INDEX ADV	25%
INTERNATIONAL	
FA INTL DISCOVERY I	20%
Bond Investments	
INCOME	
FA GOV INCOME INST	10%
Short-Term Investments	
FID RET GOVT MM	20%
Total	100%

AFTER TAX ROLLOVER

Investment Option	Current %
Stock Investments	
LARGE CAP	
BLKRK CAP APPR I	25%
SPTN 500 INDEX ADV	25%
INTERNATIONAL	
FA INTL DISCOVERY I	20%
Bond Investments	
INCOME	
FA GOV INCOME INST	10%
Short-Term Investments	
FID RET GOVT MM	20%
Total	100%

PRIOR PROFIT SHARING

Investment Option	Current %
Stock Investments	
LARGE CAP	
BLKRK CAP APPR I	25%
SPTN 500 INDEX ADV	25%
INTERNATIONAL	

FA INTL DISCOVERY I	20%
---------------------	-----

Bond Investments

INCOME

FA GOV INCOME INST	10%
--------------------	-----

Short-Term Investments

FID RET GOVT MM	20%
-----------------	-----

Total	100%
--------------	-------------

SAFE HARBOR NON-ELECTIVE

Investment Option	Current %
--------------------------	------------------

Stock Investments

LARGE CAP

BLKRK CAP APPR I	25%
------------------	-----

SPTN 500 INDEX ADV	25%
--------------------	-----

INTERNATIONAL

FA INTL DISCOVERY I	20%
---------------------	-----

Bond Investments

INCOME

FA GOV INCOME INST	10%
--------------------	-----

Short-Term Investments

FID RET GOVT MM	20%
-----------------	-----

Total	100%
--------------	-------------

EMPLOYEE DOLLAR DEFERRAL

Investment Option	Current %
--------------------------	------------------

Blended Investments

FA FREEDOM INC I	100%
------------------	------

Total	100%
--------------	-------------

ROTH DEFERRAL

Investment Option	Current %
--------------------------	------------------

Stock Investments

LARGE CAP

BLKRK CAP APPR I	25%
------------------	-----

SPTN 500 INDEX ADV	25%
--------------------	-----

INTERNATIONAL
FA INTL DISCOVERY I 20%

Bond Investments

INCOME
FA GOV INCOME INST 10%

Short-Term Investments

FID RET GOVT MM 20%

Total 100%

ROTH ROLLOVER

Investment Option Current %

Stock Investments

LARGE CAP
BLKRK CAP APPR I 25%
SPTN 500 INDEX ADV 25%
INTERNATIONAL
FA INTL DISCOVERY I 20%

Bond Investments

INCOME
FA GOV INCOME INST 10%

Short-Term Investments

FID RET GOVT MM 20%

Total 100%

ROTH DOLLAR DEFERRAL

Investment Option Current %

Stock Investments

LARGE CAP
BLKRK CAP APPR I 25%
SPTN 500 INDEX ADV 25%
INTERNATIONAL
FA INTL DISCOVERY I 20%

Bond Investments

INCOME
FA GOV INCOME INST 10%

Short-Term Investments

FID RET GOVT MM 20%

Total 100%**ROTH IN-PLAN CONVERSION****Investment Option** **Current %****Stock Investments**

LARGE CAP

BLKRK CAP APPR I 25%

SPTN 500 INDEX ADV 25%

INTERNATIONAL

FA INTL DISCOVERY I 20%

Bond Investments

INCOME

FA GOV INCOME INST 10%

Short-Term investments

FID RET GOVT MM 20%

Total 100%**Your Contribution Summary**

Statement Period: 12/31/2012 to 12/31/2012

Contributions	Employee Deferral	Employer Safe Harbor Discretionary	Non-Elective
Period to date	\$0.00	\$0.00	\$0.00
Vested Percent	100%	100%	100%
Total Account Balance	\$158,857.44	\$206,435.66	\$13,440.13
Total Vested Balance	\$158,857.44	\$206,435.66	\$13,440.13

Your Account Activity

Statement Period: 12/31/2012 to 12/31/2012

Use this section as a summary of transactions that occurred in your account during the statement period.

Detailed Transaction History

Activity	Blkrk Cap Appr I	Mainstay MAP I	FID Ret Govt MM	FA Mid Cap II I
Beginning Balance	\$86,439.39	\$2,589.92	\$47,138.31	\$1,821.52
Change In Market Value	\$1,756.76	\$37.92	\$0.41	\$34.61
Ending Balance	\$88,196.15	\$2,627.84	\$47,138.72	\$1,856.13
Dividends & Interest	\$0.00	\$0.00	\$0.41	\$0.00
Activity	FA Intl Discovery	Sptn 500 Index	FA GOV Income	Sptn SM Cap Idx

	I	ADV	Inst	ADV
Beginning Balance	\$85,752.80	\$89,078.44	\$58,914.60	\$2,830.26
Change in Market Value	\$812.70	\$1,525.31	-\$59.18	\$59.46
Ending Balance	\$86,565.50	\$90,603.75	\$58,855.42	\$2,889.72
Dividends & Interest	\$0.00	\$0.00	\$51.87	\$0.00
Activity	Total			
Beginning Balance	\$374,565.24			
Change in Market Value	\$4,167.99			
Ending Balance	\$378,733.23			
Dividends & Interest	\$52.28			

Questions? Call (800) 294-4015

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IA=1 AT=2 NB=4 UM=1 SZ=3