

**UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT**

Form A
For use by Members, officers, and employees

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HAND DELIVERED

Name: Steven Horsford

Daytime Telephone: _____

2013 MAY 15 PM 4:14

MW

(Office Use Only)

Filer Status	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>Nevada</u>	District: <u>04</u>	<input type="checkbox"/> Officer or Employee	Employing Office: _____
Report Type	<input checked="" type="checkbox"/> Annual (May 15, 2013)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Termination Date: _____	

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

IPO—Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.

Yes No

SCHEDULE I — EARNED INCOME

Name

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.
 Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

Source	Type	Amount
Keene State	Approved Teaching Fee	\$6,000
State of Maryland	Legislative Pension	\$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	\$1,000
Ontario County Board of Education	Spouse Salary	NA
Culinary Academy of Las Vegas	Previous Employer/Servicemember	\$190,840
Board of Regents	Spouse Salary	N/A
CSU Eastbay	Spouse Speech	N/A
Alameda County Office of Education	Spouse Speech	N/A

For payments to charity in lieu of honoraria, use Schedule II.

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SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics. A green envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Washington, DC XYZ Magazine	Speech Article	Feb. 2, 2012 Aug. 13, 2012	\$2,000 \$500
N/A			

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name **Steven Horsford**

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BLOCK A		BLOCK B													BLOCK C								BLOCK D												BLOCK E			
Asset and/or Income Source		Value of Asset													Type of Income								Amount of Income												Transaction			
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans), provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.</p>		<p>Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."</p> <p>* This column is for assets held solely by your spouse or dependent child.</p>													<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>								<p>For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p> <p>* This column is for income generated by assets held solely by your spouse or dependent child.</p>												<p>Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>			
		SP, DC, JT	SP, DC, JT	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	S (partial)	
	Examples:																																					
	SP																																					
	Simon & Schuster																					Royalties																
	1st Bank of Paducah, KY Accounts																																					
JT	Facebook Inc Com CIA				X																																P	
JT	GSV Cap Corp Com				X																																P	
JT	Fidelity Independence				X																																	
JT	Amana Mutual Fund				X																																	
JT	Janus Forty Class A				X																																	
JT	Pimco Commodity Real Ret				X																																	

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

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SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income								BLOCK D Amount of Income												BLOCK E Transaction	
		A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI		XII
JT	John Hancock Bal. Cl. A																																		
JT	Ivy Asset Strategy Cl. A															X																			
S	Pinedo Floating Income Cl. A																				X														
JT	Invesco Leaders Fund Cl. C															X																			
JT	American Century Her. Cl. A															X																			
JT	Oppenheimer Intl Bond A															X																			S
JT	Prudential Jennison Notes															X																			S
JT	Janus Contrarian Class T															X																			S
JT	Ishares Tr Pse Xinhua															X																			S
JT	Ishares Inc Msci Brazil															X																			S
JT	Inland American															X																			S
JT	Retail Properties of America															X																			S

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SCHEDULE IV—TRANSACTIONS

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. **if only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT SP	Asset	Type of Transaction			Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction														
		PURCHASE	SALE	EXCHANGE			A \$1,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)				
SP	Example: Mega Corporation Common Stock (partial sale)		X			10-12-12		X													
JT	Prudential Jennison Nat Resources A		X			5-10-12	X														
JT	Janus Contrarian Fund Class T		X			5-10-12	X														
JT	Openheimer Int'l Bond Class A		X			5-10-12	X														
JT	Ishares Inc. MSCI Brazil Index Fund		X			5-10-12	X														
JT	Ishares Tr Ffse Xinhua Hk China 35 Ind.		X			5-10-12	X														
JT	GSV Cap. Corp. Com		X			5-10-12	X														
JT	Bldr's Emerging Mkts 50 ADR Index Fd		X			5-10-12	X														
JT	Facebook Inc. Com		X			5-18-12	X														

SCHEDULE V— LIABILITIES

Name

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. *This column is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred Mo/Year	Type of Liability	Amount of Liability											
				A \$10,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Spouse/DC Liability Over \$1,000,000*	
Example:	First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main St., Dover, DE				X								
	N/A														

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than \$350 received by you, your spouse, or a dependent child from any source during the year. **Exclude:** Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$140 or less need not be added towards the \$350 disclosure threshold. **Note:** The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Ethics)	\$375
N/A		

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Name

Steven Horsford

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than \$350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. §7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	City of Departure—Destination— City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
<i>Examples:</i> Chicago Chamber of Commerce Roycroft Corporation	Mar. 2 Aug. 6-11	DC—Chicago—DC DC—Los Angeles—Cleveland	N Y	N Y	N Y	None 2 Days
N/A						

SCHEDULE VIII—POSITIONS

Name

Steven Horsford

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
<i>ATTA</i>	
<i>Commission Member</i>	<i>Nevada Dept of Taxation Mining Oversight + Accountability Comm - uncompensated</i>
<i>Board Member</i>	<i>Nevada Urban League - uncompensated</i>

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	<i>NONE</i>	

TO: House Ethics Committee
FROM: Congressman Steven A Horsford
RE: Attachment for Financial Disclosure Statement for 2012
DATE: May 15, 2013

Please see the attachment that list the portfolios for the two separate 529 accounts listed under Schedule III (Assets and Unearned Income).

The underlying assets for the two DC 529 College Access accounts are as follows:

Account #1: 7-10 Years Old Growth, Class A Investments

U.S. Large-Cap Equity

- Allianz NFJ Dividend Value Fund
- Allianz RCM Disciplined Equity Fund
- Franklin Templeton Mutual Shares
- TIAA_CREF S&P 500 Index Fund

U.S. Mid-Cap Equity

- Allianz NFJ Mid Cap Value Fund

U.S. Small-Cap Equity

- Allianz AGIC Opportunity Fund
- Dryfus/Boston Company Small/Mid Cap Growth Fund
- Royce Value Fund
- RS Value Fund

International Equity

- Allianz AGIC International Growth Opportunities Fund
- Allianz AGIC International Managed Volatility Fund
- Allianz NFJ International Value Fund
- Thornburg International Value Fund
- TIAA-CREF International Equity Index Fund
- Allianz NFJ Global Dividend Value Fund
- ING Global Real Estate Fund

Multi Asset Class

- Allianz AGIC Income & Growth Fund

Commodity-Related

- Allianz RCM Global Commodity Equity Fund
- PIMCO CommoditiesPLUS Strategy Fund

Fixed Income

- PIMCO Floating Income Fund
- PIMCO Foreign Bond Fund (U.S. Dollar Hedged)
- PIMCO Income Fund
- PIMCO Real Return Fund
- PIMCO Total Return Fund

Account #2: 11-14 Years Old Growth and Income, Class A Investments

U.S. Large-Cap Equity

- Allianz NFJ Dividend Value Fund
- Allianz RCM Disciplined Equity Fund
- Franklin Templeton Mutual Shares Fund
- TIAA-CREF S&P 500 Index Fund

U.S. Mid-Cap Equity

- Allianz NFJ Mid Cap Value Fund

U.S. Small-Cap Equity

- Allianz AGIC Opportunity Fund
- Dryfus/Boston Company Small/Mid Cap Growth Fund
- Royce Value Fund
- RS Value Fund

International Equity

- Allianz AGIC International Growth Opportunities Fund
- Allianz AGIC International Managed Volatility Fund
- Allianz NFJ International Value Fund
- Thornburg International Value Fund

Global Equity

- Allianz NFJ Global Dividend Value
- ING Global Real Estate Fund

Multi Asset Class

- Allianz AGIC Income & Growth Fund

Commodity-Related

- Allianz RCM Global Commodity Equity Fund
- PIMCO CommoditiesPLUS Strategy Fund

Fixed Income

- PIMCO Floating Income Fund
- PIMCO Foreign Bond Fund (U.S. Dollar Hedged)
- PIMCO Income Fund
- PIMCO Real Return Fund
- PIMCO Short-Term Fund
- PIMCO Total Return Fund

The identities of all assets are itemized below, complete with fund manager, including the manager of the money market funds:

1. Money Mkt Fund – (FPRXX) – managed by Fidelity
2. Amana Trust Income (AMANX) – managed by Nicholas Kaiser
3. American Century Heritage A (ATHAX) – managed by David Hollond
4. Fidelity Independence (FDFFX) – managed by Robert Bertelson
5. Ivy Asset Strategy A (WASAX) – managed by Michael Avery
6. JHancock Balanced A (SVBAX) – managed by Roger Hamilton
7. Janus Forty A (JDCAX) – managed by Ron Sachs
8. Pimco Commodity Real Ret Strat A (PCRAX) – managed by Mihir Worah
9. Facebook (FB) – publicly traded stock so does not have a fund manager
10. GSV Cap Corp (GSVC) – publicly traded stock so does not have a fund manager
11. Invesco Leaders Fund Cl C (VLFCX) – managed by Mark Ahnrud
12. Pimco Floating Income Fund Cl A (PFIAX) - managed by Curtis Mewbourne

I own the following American Century fund:

1. American Century Heritage Class A (ATHAX)