UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2013 MAY 15 PM 3:46
MC

Charles J. Fleischmann
(Full Name)

(Daytime Telephone)

(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representatives	State: TN District: 03	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $350 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

IPO--	Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Yes ☐ No ☑
Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes ☐ No ☑

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Charles J. Fleischmann

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than $200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds. For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling $5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left. For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.	BLOCK B **Year-End Value of Asset** Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold during the reporting year and is included only because it generated income, the value should be "None." * This column is for assets held solely by your spouse or dependent child.	BLOCK C **Type of Income** Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	BLOCK D **Amount of Income** For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated. * This column is for income generated by assets held solely by your spouse or dependent child.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	Bank of America accounts - cash	$50,001 - $100,000	None	NONE	
JT	Benjamin Edwards accounts - see Attachment 1	$500,001 - $1,000,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$50,001 - $100,000	S(part)
JT	CapitalMark Bank accounts - cash	$250,001 - $500,000	INTEREST	$2,501 - $5,000	
JT	Congressional Federal Bank Account - cash	$1,001 - $15,000	None	NONE	
JT	Cornerstone Bank Accounts - cash	$100,001 - $250,000	INTEREST	$1,001 - $2,500	
JT	First Bank Savings - cash	$50,001 - $100,000	INTEREST	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Charles J. Fleischmann

JT	First TN Bank accounts - cash	$250,001 - $500,000	INTEREST	$1,001 - $2,500	
JT	Interest in Fleischmann and Fleischmann Attorneys	None	Other: Proceeds from Sale of Business Interest	$15,001 - $50,000	S
JT	Northwest Georgia Bank accounts - cash	$250,001 - $500,000	INTEREST	$1,001 - $2,500	
JT	Regions Bank accounts - cash	$50,001 - $100,000	INTEREST	$1,001 - $2,500	

SCHEDULE IV - TRANSACTIONS

Name Charles J. Fleischmann

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded $1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.
Capital Gains — if a sales transaction resulted in a capital gain in excess of $200, check the "capital gains" box and disclose this income on Schedule III.
*** This column is for assets solely held by your spouse or dependent child.**

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of $200?	Date	Amount of Transaction
JT	Benjamin Edwards accounts - see Attachments 1, 2 & 4	S(part)	Yes	Various	$250,001 - $500,000
JT	Interest in Fleischmann and Fleischmann Attorneys	S	Yes	01/03/11	$15,001 - $50,000

ATTACHMENT 1

Charles J. Fleischmann
December 31, 2012

Note: During 2012, Charles J. Fleischmann transferred all of his investments originally held through Wells Fargo to Benjamin Edwards & Co. The detail of these investments is included on the account description and supporting detail.

Summary of Brokerage Accounts Held Through Benjamin Edwards & Co.

Account #	Value	Dividends and Interest	Capital Gain Distributions	Gain/(Loss) on Investment Sale	Total Income	Proceeds from Investment Sales**	
*****3890	453,745.32	16,383.61	-	(13,779.30)	2,604.31	152,730.54	*Detail on Attachment 2*
*****9133	183,907.38	20.00	81,456.69	-	81,476.69	81,456.69	*Detail on Attachment 3*
*****5005	68,962.26	2,356.49	125.08	3,436.47	5,918.04	34,705.00	*Detail on Attachment 4*
*****4483	205,400.71	201.09	-	-	201.09	-	*Detail on Attachment 5*
*****0595	-	52.00	-	-	52.00	-	*Detail on Attachment 6*
Totals	912,015.67	19,013.19	81,581.77	(10,342.83)	90,252.13	268,892.23	

**Gross sales proceeds from transactions reported on Schedule IV
***Account -0595 was fully transferred to account -3890 during January 2012

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

SEP Account Statement

Account Number: [redacted]
Statement Period: 01/01/2012 - 01/31/2012

SEP FBO CHARLES J FLEISCHMANN
PERSHING LLC AS CUSTODIAN
[redacted]

Your Financial Consultant:
WPETTY / JPETTY / SSHOLL SPLIT
(423) 668-5411

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	$149,355.10	$149,355.10
Cash Deposits	436,004.81	436,004.81
Cash Withdrawals	-153,382.28	-153,382.28
Dividends/Interest	1.69	1.69
Fees	-803.17	-803.17
Change in Account Value	4,828.66	4,828.66
Ending Account Value	$436,004.81	$436,004.81
Estimated Annual Income	$1.69	

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds, and FDIC Deposits[1]	16,453.22	16,453.22	436,004.81	100%
Mutual Funds	132,901.88	132,901.88	0.00	0%
Account Total	**$149,355.10**	**$149,355.10**	**$436,004.81**	**100%**

Your Account is 100% invested in Cash, Money Funds, and FDIC Deposits.

1 Cash in your account includes FDIC insured bank deposits.
FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation, up to allowable limits

ATTACHMENT 2

[redacted]

Additional Information

	This Period	Year-to-Date
Securities Bought and Sold	$137,730.54	$137,730.54

Summary of Gains and Losses

	Realized This Period	Realized Year-to-Date	Unrealized
Short-Term Gain/Loss	52.49	52.49	0.00
Long-Term Gain/Loss	-20,073.80	-20,073.80	0.00
Net Gain/Loss	**-20,021.31**	**-20,021.31**	0.00

This summary excludes transactions where cost basis information is not available.

Client Service Information

Your Financial Consultant: S26
WPETTY / JPETTY / SSHOLL SPLIT
345 FRAZIER
SUITE 205
CHATTANOOGA TN 37405-4121

Contact Information
Telephone Number: (423) 668-5411
Fax Number: (423) 668-5412

Investment Objective: MODERATE GROWTH
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Year-End Fair Market Value (12/31/2011): **$149,355.10.**
This information will be furnished to the Internal Revenue Service.
Your fair market value may change based on transaction or asset valuation adjustments made after 12/31/2011.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

Client Account Beneficiary Information

Beneficiary Name	Primary /Contingent	Relationship to Account Holder(s)	Allocation Percent
[redacted]	PRIMARY	SPOUSE	100.00

Disclosures and Other Information:

The beneficiary information displayed is a summary of information you have provided and should accurately reflect the most recent beneficiary information received. An agreement you executed to designate any beneficiaries displayed controls distribution to such parties. If differences exist between information here, and the signed beneficiary agreement, the agreement will control. Please contact your Financial Consultant to update your beneficiary information if necessary or to review more detailed information concerning your designations.

FDIC Insured Bank Deposits

Sweep FDIC Insured Bank Deposits

DEUTSCHE BANK INS DEPOSIT E
Account Number: [redacted] Activity Ending: 01/31/12

Date	Activity Type	Description	Amount	Balance
12/31/11	Opening Balance		16,453.22	16,453.22
01/05/12	Deposit	DEPOSIT	36.96	16,490.18
01/06/12	Withdrawal	WITHDRAWAL	-840.13	15,650.05
01/13/12	Deposit	INTEREST CREDITED	1.35	15,651.40

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

SEP
Account Statement

Statement Period: 01/01/2012 - 01/31/2012

FDIC Insured Bank Deposits *(continued)*

Date	Activity Type	Description	Amount	Balance
Sweep FDIC Insured Bank Deposits *(continued)*				
DEUTSCHE BANK INS DEPOSIT E *(continued)*				15,651.40
01/13/12		APY 0.1%		15,651.40
01/17/12		Citizens Bank		15,651.40
01/17/12		A/O 01/17 $15651.40		24,668.55
01/19/12	Deposit	DEPOSIT	9,017.15	-0.34
01/23/12	Withdrawal	WITHDRAWAL	-24,668.89	0.00
01/23/12	Deposit	INTEREST POSTED	0.34	436,003.67
01/25/12	Deposit	DEPOSIT	436,003.67	436,004.81
01/26/12	Deposit	DEPOSIT	1.14	$436,004.81
01/31/12	Closing Balance			
Total FDIC Insured Bank Deposits				**$436,004.81**

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Note: For the period from December 31, 2010 through December 31, 2012 the FDIC provides unlimited insurance coverage on certain non interest bearing bank accounts. If your account is in such a program it will be stated in your Message section.

Portfolio Holdings

Quantity	Description	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-day Yield
Cash, Money Funds, and FDIC Deposits 100.00% of Portfolio						
FDIC Insured Bank Deposits						
436,004.810	DEUTSCHE BANK INS DEPOSIT E	16,453.22	436,004.81	7.95	1.69	N/A
Total FDIC Insured Bank Deposits		$16,453.22	$436,004.81	$7.95	$1.69	
Total Cash, Money Funds, and FDIC Deposits		$16,453.22	$436,004.81	$7.95	$1.69	

ATTACHMENT 2

Description	Market Value	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$436,004.81	$0.00	$1.69

Portfolio Holdings *(continued)*

Disclosures and Other Information

Pricing - This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices have been obtained from quotation services, which we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Trades Not Settled

Settlement Date	Trade Date	Activity Type	Description	Quantity	Price	Accrued Interest	Settlement Amount
02/03/12	01/31/12	Buy	FIRST EAGLE GLOBAL FUND CLASS A 200351650 SALES LOAD=0.00% UNSOLICITED ORDER HOLD PERS REV $	1,064.056	46.9900	0.00	-50,000.00
02/03/12	01/31/12	Buy	IVY HIGH INCOME FUND CLASS A 200081797 SALES LOAD=0.00% UNSOLICITED ORDER HLD-RNVST CAPGN ONLY	6,127.451	8.1600	0.00	-50,000.00
02/03/12	01/31/12	Buy	IVY ASSET STRATEGY FUND CLASS A 200382422 SALES LOAD=0.00% UNSOLICITED ORDER HLD-RNVST CAPGN ONLY	2,064.410	24.2200	0.00	-50,000.00
02/03/12	01/31/12	Buy	IVY ASSET STRATEGY NEW OPPORTUNITIES FUND CLASS A 200017917 SALES LOAD=0.00% SOLICITED ORDER HLD-RNVST CAPGN ONLY	2,901.354	10.3400	0.00	-30,000.00
02/01/12	01/31/12	Buy	LOOMIS SAYLES BOND FUND RETAIL CLASS NEPP003890 UNSOLICITED ORDER HLD-RNVST CAPGN ONLY	3,472.222	14.4000	0.00	-50,000.00
02/03/12	01/31/12	Buy	NUVEEN TACTICAL MRKT OPPORTUNITIES FUND CLASS A SALES LOAD=0.00% UNSOLICITED ORDER HLD-RNVST CAPGN ONLY	4,496.403	11.1200	0.00	-50,000.00

ATTACHMENT 2

Trades Not Settled *(continued)*

Settlement Date	Trade Date	Activity Type	Description	Quantity	Price	Accrued Interest	Settlement Amount
02/03/12	01/31/12	Buy	PIMCO ALL ASSET ALL AUTHORITY FUND CLASS D NEPP003890 UNSOLICITED ORDER HLD-RNVST CAPGN ONLY	2,859.867	10.4900	0.00	-30,000.00
02/03/12	01/31/12	Buy	PIMCO GLOBAL MULTI ASSET FUND CLASS D NEPP003890 UNSOLICITED ORDER HOLD PERS REV $	2,240.143	11.1600	0.00	-25,000.00
02/03/12	01/31/12	Buy	TEMPLETON GLOBAL BOND FUND ADVISOR CLASS 200275885 NEPP003890 UNSOLICITED ORDER HLD-RNVST CAPGN ONLY	1,927.525	12.9700	0.00	-25,000.00
Total Amount of Trades Not Settled							-$360,000.00

Schedule of Realized Gains and Losses Current Period

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term								
01/17/12	12/28/11*	SELL First In First Out	EUROPACIFIC GROWTH FUND CLASS A	AEPGX	25.455	894.99	919.41	24.42
01/17/12	12/28/11*	SELL First In First Out	MUNDER GROWTH OPPORTUNITIES	MNNYX	0.225	5.84	6.11	0.27
01/17/12	12/28/11*	SELL First In First Out	MUNDER GROWTH OPPORTUNITIES	MNNYX	15.965	414.95	433.61	18.66
01/17/12	12/28/11*	SELL First In First Out	SMALL-CAP WORLD FUND CLASS A	SMCWX	6.707	222.94	232.08	9.14
Total Short Term						$1,538.72	$1,591.21	$52.49
Long Term								
01/17/12	Various*	SELL First In First Out	13EUROPACIFIC GROWTH FUND CLASS A	AEPGX	251.182	7,714.48	9,072.69	1,358.21

ATTACHMENT 2

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Schedule of Realized Gains and Losses Current Period *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Long Term *(continued)*								
01/17/12	11/25/96 *	SELL First In First Out	[13]EUROPACIFIC GROWTH FUND CLASS A	AEPGX	641.026	18,000.00	23,153.86	5,153.86
01/17/12	12/18/00 *	SELL First In First Out	[13]EUROPACIFIC GROWTH FUND CLASS A	AEPGX	151.469	5,000.00	5,471.06	471.06
01/17/12	12/21/01 *	SELL First In First Out	[13]EUROPACIFIC GROWTH FUND CLASS A	AEPGX	25.758	683.61	930.38	246.77
01/17/12	12/23/02 *	SELL First In First Out	[13]EUROPACIFIC GROWTH FUND CLASS A	AEPGX	11.336	258.80	409.46	150.66
01/17/12	12/22/03 *	SELL First In First Out	[13]EUROPACIFIC GROWTH FUND CLASS A	AEPGX	11.415	335.04	412.31	77.27
01/17/12	12/22/04 *	SELL First In First Out	[13]EUROPACIFIC GROWTH FUND CLASS A	AEPGX	16.179	555.92	584.39	28.47
01/17/12	12/29/05 *	SELL First In First Out	[13]EUROPACIFIC GROWTH FUND CLASS A	AEPGX	35.897	1,467.48	1,296.60	-170.88
01/17/12	12/29/05 *	SELL First In First Out	[13]EUROPACIFIC GROWTH FUND CLASS A	AEPGX	19.494	796.91	704.12	-92.79
01/17/12	12/28/06 *	SELL First In First Out	[13]EUROPACIFIC GROWTH FUND CLASS A	AEPGX	53.794	2,471.82	1,943.04	-528.78
01/17/12	12/28/06 *	SELL First In First Out	[13]EUROPACIFIC GROWTH FUND CLASS A	AEPGX	19.527	897.26	705.32	-191.94
01/17/12	12/28/06 *	SELL First In First Out	[13]EUROPACIFIC GROWTH FUND CLASS A	AEPGX	14.867	683.12	537.00	-146.12
01/17/12	12/14/07 *	SELL First In First Out	[13]EUROPACIFIC GROWTH FUND CLASS A	AEPGX	87.025	4,547.06	3,143.34	-1,403.72
01/17/12	12/14/07 *	SELL First In First Out	[13]EUROPACIFIC GROWTH FUND CLASS A	AEPGX	24.104	1,259.46	870.64	-388.82
01/17/12	12/24/08 *	SELL First In First Out	[13]EUROPACIFIC GROWTH FUND CLASS A	AEPGX	69.996	1,890.58	2,528.26	637.68

ATTACHMENT 2

Schedule of Realized Gains and Losses Current Period *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Long Term *(continued)*								
01/17/12	12/24/08*	SELL First In First Out	[13]EUROPACIFIC GROWTH FUND CLASS A	AEPGX	39.212	1,059.11	1,416.34	357.23
01/17/12	12/28/09*	SELL First In First Out	[13]EUROPACIFIC GROWTH FUND CLASS A	AEPGX	23.836	915.76	860.96	-54.80
01/17/12	12/28/10*	SELL First In First Out	[13]EUROPACIFIC GROWTH FUND CLASS A	AEPGX	20.820	852.79	752.02	-100.77
01/17/12	03/28/00*	SELL First In First Out	[13]MUNDER GROWTH OPPORTUNITIES	MNNYX	240.031	32,000.00	6,519.24	-25,480.76
01/17/12	12/18/00*	SELL First In First Out	[13]MUNDER GROWTH OPPORTUNITIES	MNNYX	75.780	5,000.00	2,058.19	-2,941.81
01/17/12	Please Provide*	SELL First In First Out	[2]SMALL-CAP WORLD FUND CLASS A	SMCWX	190.989	Please Provide	6,608.22	N/A
01/17/12	Various*	SELL First In First Out	[13]SMALL-CAP WORLD FUND CLASS A	SMCWX	140.417	3,651.21	4,858.43	1,207.22
01/17/12	12/17/01*	SELL First In First Out	[13]SMALL-CAP WORLD FUND CLASS A	SMCWX	0.577	12.92	19.96	7.04
01/17/12	12/15/03*	SELL First In First Out	[13]SMALL-CAP WORLD FUND CLASS A	SMCWX	0.381	9.83	13.18	3.35
01/17/12	12/13/04*	SELL First In First Out	[13]SMALL-CAP WORLD FUND CLASS A	SMCWX	3.468	103.43	119.99	16.56
01/17/12	04/26/05*	SELL First In First Out	[13]SMALL-CAP WORLD FUND CLASS A	SMCWX	1,272.669	[illegible]0,000.00	44,034.34	4,034.34

ATTACHMENT 2

[redacted]

Schedule of Realized Gains and Losses Current Period *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Long Term *(continued)*								
01/17/12	01/03/06 *	SELL First In First Out	13SMALL-CAP WORLD FUND CLASS A	SMCWX	18.677	659.49	646.22	-13.27
01/17/12	01/03/06 *	SELL First In First Out	13SMALL-CAP WORLD FUND CLASS A	SMCWX	30.976	1,093.78	1,071.77	-22.01
01/17/12	01/02/07 *	SELL First In First Out	13SMALL-CAP WORLD FUND CLASS A	SMCWX	138.010	5,398.95	4,775.15	-623.80
01/17/12	01/02/07 *	SELL First In First Out	13SMALL-CAP WORLD FUND CLASS A	SMCWX	29.501	1,154.08	1,020.73	-133.35
01/17/12	01/02/07 *	SELL First In First Out	13SMALL-CAP WORLD FUND CLASS A	SMCWX	14.878	582.01	514.78	-67.23
01/17/12	12/28/07 *	SELL First In First Out	13SMALL-CAP WORLD FUND CLASS A	SMCWX	187.611	7,669.54	6,491.34	-1,178.20
01/17/12	12/28/07 *	SELL First In First Out	13SMALL-CAP WORLD FUND CLASS A	SMCWX	34.127	1,395.13	1,180.79	-214.34
01/17/12	12/29/09 *	SELL First In First Out	13SMALL-CAP WORLD FUND CLASS A	SMCWX	12.828	404.21	443.85	39.64
01/17/12	12/29/10 *	SELL First In First Out	13SMALL-CAP WORLD FUND CLASS A	SMCWX	28.074	1,081.13	971.36	-109.77
Total Long Term						$149,604.91	$136,139.33	-$20,073.80
Total Short Term and Long Term						$151,143.63	$137,730.54	-$20,021.31

* Noncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L.110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

ATTACHMENT 2

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

SEP
Account Statement

Statement Period: 01/01/2012 - 01/31/2012

Schedule of Realized Gains and Losses Current Period *(continued)*

This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for noncovered securities. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. In addition, corporate action events may require adjustments to your original cost basis. Return of capital information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable.

Adjustments to cost basis can be made after year-end, in particular, for return of capital adjustments, but may also include adjustments for corporate action events. Therefore there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports, including your 1099-B or online displays you may have available to you.

When you report your cost basis on your tax return, it should be verified using all of your own records. In particular, there may be other adjustments which you need to make, but are not required to be made by Pershing as it relates to H.R. 1424. You should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

2 Realized gains and losses are not reported for securities for which cost basis or proceeds are not available.

13 The cost basis of this security has been provided to us by the delivering firm or transferring agent and Pershing makes no representation as to the accuracy of this information.

Please refer to the Client Service Information Section in your brokerage account statement for your account's existing tax-lot disposition method. The disposition method is the method which you have selected to use in the disposal of each tax lot of the securities held in your account. If you do not select a method, your account will be defaulted to First In, First Out (FIFO). Your account's selected tax lot method will be used to determine the cost basis for calculating gain and/or loss, unless another method was selected at the time of the security disposal, and this may be reported on the IRS Form 1099-B.

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Securities		
Securities Sold	137,730.54	137,730.54
Total Securities	**$137,730.54**	**$137,730.54**
Cash		
Deposits	436,004.81	436,004.81
Total Cash	**$436,004.81**	**$436,004.81**
Additional Transactions		
Fees	36.96	36.96
Dividends and Interest	1.69	1.69
FDIC Insured Bank Deposits	25,508.68	25,508.68
Total Additional Transactions	**$25,547.33**	**$25,547.33**
Total Credits	**$599,282.68**	**$599,282.68**

Debits	This Period	Year-to-Date
Securities		
Securities Bought	0.00	0.00
Total Securities	**$0.00**	**$0.00**
Cash		
Withdrawals	-153,382.28	-153,382.28
Total Cash	**-$153,382.28**	**-$153,382.28**
Additional Transactions		
Fees	-840.13	-840.13
Dividends and Interest	0.00	0.00
FDIC Insured Bank Deposits	-445,060.27	-445,060.27
Total Additional Transactions	**-$445,900.40**	**-$445,900.40**
Total Debits	**-$599,282.68**	**-$599,282.68**

ATTACHMENT 2

[redacted]

Transactions in Date Sequence

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
01/04/12		ASSET BASED FEE USD999997	FEE ADJUSTMENT FOR D EP/WD				36.96	USD
01/05/12		FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-36.96	USD
01/06/12		FDIC INSURED BANK WITHDRAWAL USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				840.13	USD
01/06/12		ASSET BASED FEE USD999997	QUARTERLY FEE 1/1-3/ 31				-840.13	USD
01/13/12		FDIC INSURED BANK DEPOSITS INTEREST REINVESTED 250990041	DEUTSCHE BANK INS INTEREST REINVESTED				-1.35	USD
01/13/12		FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED				1.35	USD
01/18/12	01/17/12	SOLD MNNYX	MUNDER GROWTH OPPORTUNITIES CLASS Y 008250418 UNSOLICITED ORDER	-332.001	27.1600		9,017.15	USD
01/19/12		FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-9,017.15	USD
01/20/12	01/17/12	SOLD AEPGX	EUROPACIFIC GROWTH FUND CLASS A UNSOLICITED ORDER	-1,542.392	36.1200		55,711.20	USD
01/20/12	01/17/12	SOLD SMCWX	SMALL-CAP WORLD FUND CLASS A UNSOLICITED ORDER	-2,109.890	34.6000		73,002.19	USD
01/23/12		FDIC INSURED BANK WITHDRAWAL USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E FULL PRINCIPAL REDEEMED				24,668.55	USD
01/23/12		YOUR ASSET TRANSFERRED USD999997	TO A/C [redacted] BENJAMIN F EDWARDS &				-24,668.70	USD
01/23/12		FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED INCOME REDEEMED				0.34	USD
01/24/12		YOUR ASSET TRANSFERRED USD999997	TO A/C [redacted] BENJAMIN F EDWARDS &				-128,713.58	USD
01/25/12		FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-436,003.67	USD
01/25/12		YOUR ASSET TRANSFERRED USD999997	FROM A/C EMP-010595 BENJAMIN F EDWARDS &				436,003.67	USD
01/26/12		FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-1.14	USD

ATTACHMENT 2

Transactions in Date Sequence *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
01/26/12		YOUR ASSET TRANSFERRED USD999997	FROM A/C [redacted] BENJAMIN F EDWARDS &				1.14	USD
Total Value of all Transactions						**$0.00**	**$0.00**	

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Interest Income		
FDIC Insured Bank Deposits	1.69	1.69
Total Dividends, Interest, Income and Expenses	**$1.69**	**$1.69**

Messages

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).
YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET FUND AND FDIC INSURED BANK RATES AND BANK LISTS." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEBSITE.

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For more information about the advisory programs offered by Benjamin F. Edwards & Co. and our role as an investment adviser, please see our Form ADV on our website : www.benjaminfedwardsco.com/adv, or call our Home Office at (314) 726-1600 and we will mail you a free copy.

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[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

SEP
Account Statement

SEP FBO CHARLES J FLEISCHMANN
PERSHING LLC AS CUSTODIAN
[redacted]

Your Financial Consultant:
WPETTY / JPETTY / SSHOLL SPLIT
(423) 668-5411

Account Number: [redacted]
Statement Period: 02/01/2012 - 02/29/2012

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	$436,004.81	$149,355.10
Cash Deposits	0.00	436,004.81
Cash Withdrawals	0.00	-153,382.28
Dividends/Interest	542.71	544.40
Fees	0.00	-803.17
Change in Account Value	11,160.43	15,989.09
Ending Account Value	$447,707.95	$447,707.95
Estimated Annual Income	$13,672.37	

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds, and FDIC Deposits[1]	16,453.22	436,004.81	26,547.52	6%
Mutual Funds	132,901.88	0.00	421,160.43	94%
Account Total (Pie Chart)	$149,355.10	$436,004.81	$447,707.95	100%

[redacted]

1 Cash in your account includes FDIC insured bank deposits.
FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation, up to allowable limits

Asset Allocation percentages are rounded to the nearest whole percentage.
Pie Chart allocation excludes all asset classes which net to a liability.

ATTACHMENT 2

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Additional Information

	This Period	Year-to-Date
Securities Bought and Sold	-$410,000.00	-$272,269.46

Summary of Gains and Losses

	Realized This Period	Realized Year-to-Date	Unrealized
Short-Term Gain/Loss	0.00	52.49	11,160.43
Long-Term Gain/Loss	0.00	-20,073.80	0.00
Net Gain/Loss	**0.00**	**-20,021.31**	**11,160.43**

This summary excludes transactions where cost basis information is not available.

Client Service Information

Your Financial Consultant: S26
WPETTY / JPETTY / SSHOLL SPLIT
345 FRAZIER
SUITE 205
CHATTANOOGA TN 37405-4121

Contact Information
Telephone Number: (423) 668-5411
Fax Number: (423) 668-5412

Investment Objective: MODERATE GROWTH
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Year-End Fair Market Value (12/31/2011): **$149,355.10.**
This information will be furnished to the Internal Revenue Service.
Your fair market value may change based on transaction or asset valuation adjustments made after 12/31/2011.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

Client Account Beneficiary Information

Beneficiary Name	Primary /Contingent	Relationship to Account Holder(s)	Allocation Percent
[redacted]	PRIMARY	SPOUSE	100.00

Disclosures and Other Information:

The beneficiary information displayed is a summary of information you have provided and should accurately reflect the most recent beneficiary information received. An agreement you executed to designate any beneficiaries displayed controls distribution to such parties. If differences exist between information here, and the signed beneficiary agreement, the agreement will control. Please contact your Financial Consultant to update your beneficiary information if necessary or to review more detailed information concerning your designations.

FDIC Insured Bank Deposits

Sweep FDIC Insured Bank Deposits

DEUTSCHE BANK INS DEPOSIT E
Account Number: [redacted] Activity Ending: 02/29/12

Date	Activity Type	Description	Amount	Balance
02/01/12	Opening Balance		436,004.81	436,004.81
02/01/12	Withdrawal	WITHDRAWAL	-50,000.00	386,004.81
02/03/12	Withdrawal	WITHDRAWAL	-360,000.00	26,004.81
02/15/12	Deposit	INTEREST CREDITED	10.83	26,015.64

ATTACHMENT 2

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

SEP
Account Statement

Statement Period: 02/01/2012 - 02/29/2012

FDIC Insured Bank Deposits *(continued)*

Date	Activity Type	Description	Amount	Balance
Sweep FDIC Insured Bank Deposits *(continued)*				
DEUTSCHE BANK INS DEPOSIT E *(continued)*				
02/15/12		APY 0.1%		26,015.64
02/16/12		Citizens Bank		26,015.64
02/16/12		A/O 02/16 $26015.64		26,015.64
02/21/12	Deposit	DEPOSIT	101.39	26,117.03
02/24/12	Deposit	DEPOSIT	204.17	26,321.20
02/29/12	Closing Balance			$26,321.20
Total FDIC Insured Bank Deposits				**$26,321.20**

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Note: For the period from December 31, 2010 through December 31, 2012 the FDIC provides unlimited insurance coverage on certain non interest bearing bank accounts. If your account is in such a program it will be stated in your Message section.

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 6.00% of Portfolio									
Cash Balance				0.00	226.32				
FDIC Insured Bank Deposits									
DEUTSCHE BANK INS DEPOSIT E									
26,321.200	02/01/12	[redacted]	02/29/12	436,004.81	26,321.20	0.95	12.52	N/A	N/A
Total FDIC Insured Bank Deposits				**$436,004.81**	**$26,321.20**	**$0.95**	**$12.52**		
Total Cash, Money Funds, and FDIC Deposits				**$436,004.81**	**$26,547.52**	**$0.95**	**$12.52**		

ATTACHMENT 2

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds 94.00% of Portfolio								
Mutual Funds								
BLACKROCK GLOBAL ALLOCATION FUND INC CLASS A CUSIP: 09251T103 Open End Fund Dividend Option: Reinvest; Capital Gains Option: Reinvest			*Security Identifier:* MDLOX					
2,624.672	01/31/12 *	19.0500	50,000.00	19.6100	51,469.82	1,469.82	911.53	1.77%
FIRST EAGLE GLOBAL FUND CLASS A CUSIP: 32008F507 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* SGENX					
1,064.056	01/31/12 *	46.9900	50,000.00	48.7900	51,915.29	1,915.29	559.69	1.07%
IVY HIGH INCOME FUND CLASS A CUSIP: 466000668 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* WHIAX					
6,127.451	01/31/12 *	8.1600	50,000.00	8.3000	50,857.84	857.84	4,022.23	7.90%
IVY ASSET STRATEGY FUND CLASS A CUSIP: 466000759 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* WASAX					
2,064.410	01/31/12 *	24.2200	50,000.00	25.4100	52,456.66	2,456.66	578.86	1.10%
IVY ASSET STRATEGY NEW OPPORTUNITIES FUND CLASS A CUSIP: 466001732 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* INOAX					
2,901.354	01/31/12 *	10.3400	30,000.00	10.8800	31,566.73	1,566.73		
LOOMIS SAYLES BOND FUND RETAIL CLASS CUSIP: 543495832 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* LSBRX					
3,472.222	01/31/12 *	14.4000	50,000.00	14.6700	50,937.50	937.50	2,851.73	5.59%
NUVEEN TACTICAL MRKT OPPORTUNITIES FUND CLASS A CUSIP: 670690221 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* NTMAX					

ATTACHMENT 2

[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

SEP
Account Statement

Statement Period: 02/01/2012 - 02/29/2012

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds *(continued)*								
Mutual Funds *(continued)*								
NUVEEN TACTICAL MRKT OPPORTUNITIES *(continued)*								
4,496.403	01/31/12 *	11.1200	50,000.00	11.1600	50,179.86	179.86		
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS D			*Security Identifier:* PAUDX					
CUSIP: 72200Q190								
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Reinvest								
2,859.867	01/31/12 *	10.4900	30,000.00	10.7300	30,686.37	686.37	2,340.02	7.62%
PIMCO GLOBAL MULTI ASSET FUND CLASS D			*Security Identifier:* PGMDX					
CUSIP: 72201P308								
Open End Fund								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
2,240.143	01/31/12 *	11.1600	25,000.00	11.3800	25,492.83	492.83	1,174.52	4.60%
TEMPLETON GLOBAL BOND FUND ADVISOR CLASS			*Security Identifier:* TGBAX					
CUSIP: 880208400								
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Reinvest								
1,927.525	01/31/12 *	12.9700	25,000.00	13.2800	25,597.53	597.53	1,221.27	4.77%
Total Mutual Funds			$410,000.00		$421,160.43	$11,160.43	$13,659.85	
Total Mutual Funds			$410,000.00		$421,160.43	$11,160.43	$13,659.85	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$436,547.52	$447,707.95	$11,160.43	$0.00	$13,672.37

ATTACHMENT 2

[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

SEP
Account Statement

Statement Period: 02/01/2012 - 02/29/2012

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Securities		
Securities Sold	0.00	137,730.54
Total Securities	**$0.00**	**$137,730.54**
Cash		
Deposits	0.00	436,004.81
Total Cash	**$0.00**	**$436,004.81**
Additional Transactions		
Fees	0.00	36.96
Dividends and Interest	542.71	544.40
FDIC Insured Bank Deposits	410,000.00	435,508.68
Total Additional Transactions	**$410,542.71**	**$436,090.04**
Total Credits	**$410,542.71**	**$1,009,825.39**

Debits	This Period	Year-to-Date
Securities		
Securities Bought	-410,000.00	-410,000.00
Total Securities	**-$410,000.00**	**-$410,000.00**
Cash		
Withdrawals	0.00	-153,382.28
Total Cash	**$0.00**	**-$153,382.28**
Additional Transactions		
Fees	0.00	-840.13
Dividends and Interest	0.00	0.00
FDIC Insured Bank Deposits	-316.39	-445,376.66
Total Additional Transactions	**-$316.39**	**-$446,216.79**
Total Debits	**-$410,316.39**	**-$1,009,599.07**

Transactions in Date Sequence

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
02/01/12	01/31/12	PURCHASED LSBRX	LOOMIS SAYLES BOND FUND RETAIL CLASS NEPP003890 UNSOLICITED ORDER HLD-RNVST CAPGN ONLY	3,472.222	14.4000		-50,000.00	USD
02/01/12		FDIC INSURED BANK WITHDRAWAL USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				50,000.00	USD
02/03/12	01/31/12	PURCHASED MDLOX	BLACKROCK GLOBAL ALLOCATION FUND INC CLASS A 200094509 SALES LOAD = 0.00% HOLD PERS REV $ UNSOLICITED ORDER	2,624.672	19.0500		-50,000.00	USD
02/03/12	01/31/12	PURCHASED SGENX	FIRST EAGLE GLOBAL FUND CLASS A 200351650 SALES LOAD=0.00% UNSOLICITED ORDER HOLD PERS REV $	1,064.056	46.9900		-50,000.00	USD
02/03/12	01/31/12	PURCHASED WHIAX	IVY HIGH INCOME FUND CLASS A 200081797 SALES LOAD=0.00% UNSOLICITED ORDER HLD-RNVST CAPGN ONLY	6,127.451	8.1600		-50,000.00	USD
02/03/12	01/31/12	PURCHASED WASAX	IVY ASSET STRATEGY FUND CLASS A 200382492 SALES LOAD=0.00% UNSOLICITED ORDER HLD-RNVST CAPGN ONLY	2,064.410	24.2200		-50,000.00	USD

ATTACHMENT 2

[redacted]

Transactions in Date Sequence *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
02/03/12	01/31/12	PURCHASED INOAX	IVY ASSET STRATEGY NEW OPPORTUNITIES FUND CLASS A 200017917 SALES LOAD=0.00% SOLICITED ORDER HLD-RNVST CAPGN ONLY	2,901.354	10.3400		-30,000.00	USD
02/03/12	01/31/12	PURCHASED NTMAX	NUVEEN TACTICAL MRKT OPPORTUNITIES FUND CLASS A SALES LOAD=0.00% UNSOLICITED ORDER HLD-RNVST CAPGN ONLY	4,496.403	11.1200		-50,000.00	USD
02/03/12	01/31/12	PURCHASED PAUDX	PIMCO ALL ASSET ALL AUTHORITY FUND CLASS D NEPP003890 UNSOLICITED ORDER HLD-RNVST CAPGN ONLY	2,859.867	10.4900		-30,000.00	USD
02/03/12	01/31/12	PURCHASED PGMDX	PIMCO GLOBAL MULTI ASSET FUND CLASS D NEPP003890 UNSOLICITED ORDER HOLD PERS REV $	2,240.143	11.1600		-25,000.00	USD
02/03/12	01/31/12	PURCHASED TGBAX	TEMPLETON GLOBAL BOND FUND ADVISOR CLASS 200275885 NEPP003890 UNSOLICITED ORDER HLD-RNVST CAPGN ONLY	1,927.525	12.9700		-25,000.00	USD
02/03/12		FDIC INSURED BANK WITHDRAWAL USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				360,000.00	USD
02/15/12		FDIC INSURED BANK DEPOSITS INTEREST REINVESTED 250990041	DEUTSCHE BANK INS INTEREST REINVESTED				-10.83	USD
02/15/12		FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED				10.83	USD
02/17/12		CASH DIVIDEND RECEIVED TGBAX	TEMPLETON GLOBAL BOND FUND ADVISOR CLASS 1927.5250 SHRS RD 02/14 PD 02/17/12				101.39	USD
02/21/12		FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-101.39	USD
02/23/12		CASH DIVIDEND RECEIVED LSBRX	LOOMIS SAYLES BOND FUND RETAIL CLASS 3472.2220 SHRS RD 02/21 PD 02/23/12				204.17	USD
02/24/12		FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-204.17	USD
02/29/12		CASH DIVIDEND RECEIVED WHIAX	IVY HIGH INCOME FUND CLASS A FOR ACCRUAL PERIOD ENDING 02/27/12				226.32	USD
Total Value of all Transactions						$0.00	$226.32	

The price and quantity displayed may have been rounded.

ATTACHMENT 2

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Dividend Income		
Equities	531.88	531.88

[redacted]

SEP
Account Statement

Statement Period: 02/01/2012 - 02/29/2012

Income and Expense Summary *(continued)*

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Interest Income		
FDIC Insured Bank Deposits	10.83	12.52
Total Dividends, Interest, Income and Expenses	**$542.71**	**$544.40**

Messages

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).
YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET FUND AND FDIC INSURED BANK RATES AND BANK LISTS." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEBSITE.

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For more information about the advisory programs offered by Benjamin F. Edwards & Co. and our role as an investment adviser, please see our Form ADV on our website : www.benjaminfedwardsco.com/adv, or call our Home Office at (314) 726-1600 and we will mail you a free copy.

Important Arbitration Disclosures and Important Arbitration Agreement

__Important Arbitration Disclosures__

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.

ATTACHMENT 2

[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

SEP
Account Statement

Account Number: [redacted]
Statement Period: 03/01/2012 - 03/31/2012

SEP FBO CHARLES J FLEISCHMANN
PERSHING LLC AS CUSTODIAN
[redacted]

Your Financial Consultant:
WARD PETTY AND JOE PETTY
(423) 668-5411

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	$447,707.95	$149,355.10
Cash Deposits	0.00	436,004.81
Cash Withdrawals	0.00	-153,382.28
Dividends/Interest	862.49	1,406.89
Fees	0.00	-803.17
Change in Account Value	-1,630.46	14,358.63
Ending Account Value	$446,939.98	$446,939.98
Estimated Annual Income	$13,686.41	

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds, and FDIC Deposits[1]	16,453.22	26,547.52	27,410.01	6%
Mutual Funds	132,901.88	421,160.43	419,529.97	94%
Account Total (Pie Chart)	**$149,355.10**	**$447,707.95**	**$446,939.98**	**100%**

Asset Allocation percentages are rounded to the nearest whole percentage.
Pie Chart allocation excludes all asset classes which net to a liability.

1 **Cash in your account includes FDIC insured bank deposits.**
FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation, up to allowable limits

ATTACHMENT 2

[redacted]

Additional Information

	This Period	Year-to-Date
Securities Bought and Sold	$0.00	-$272,269.46

Summary of Gains and Losses

	Realized This Period	Realized Year-to-Date	Unrealized
Short-Term Gain/Loss	0.00	52.49	9,529.97
Long-Term Gain/Loss	0.00	-20,073.80	0.00
Net Gain/Loss	**0.00**	**-20,021.31**	**9,529.97**

This summary excludes transactions where cost basis information is not available.

Client Service Information

Your Financial Consultant: S38

WARD PETTY AND JOE PETTY
345 FRAZIER
SUITE 205
CHATTANOOGA TN 37405-4121

Contact Information

Telephone Number: (423) 668-5411
Fax Number: (423) 668-5412

Investment Objective: MODERATE GROWTH
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Year-End Fair Market Value (12/31/2011): $149,355.10.
This information will be furnished to the Internal Revenue Service.
Your fair market value may change based on transaction or asset valuation adjustments made after 12/31/2011.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

Client Account Beneficiary Information

Beneficiary Name	Primary /Contingent	Relationship to Account Holder(s)	Allocation Percent
[redacted]	PRIMARY	SPOUSE	100.00

Disclosures and Other Information:

The beneficiary information displayed is a summary of information you have provided and should accurately reflect the most recent beneficiary information received. An agreement you executed to designate any beneficiaries displayed controls distribution to such parties. If differences exist between information here, and the signed beneficiary agreement, the agreement will control. Please contact your Financial Consultant to update your beneficiary information if necessary or to review more detailed information concerning your designations.

FDIC Insured Bank Deposits

Sweep FDIC Insured Bank Deposits

DEUTSCHE BANK INS DEPOSIT E

Account Number: [redacted] Activity Ending: 03/30/12

Date	Activity Type	Description	Amount	Balance
03/01/12	Opening Balance		26,321.20	26,321.20
03/01/12	Deposit	DEPOSIT	226.32	26,547.52
03/15/12	Deposit	INTEREST CREDITED	1.99	26,549.51
03/15/12		APY 0.1%		26,549.51

ATTACHMENT 2

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

SEP
Account Statement

Statement Period: 03/01/2012 - 03/31/2012

FDIC Insured Bank Deposits *(continued)*

Date	Activity Type	Description	Amount	Balance
Sweep FDIC Insured Bank Deposits *(continued)*				
DEUTSCHE BANK INS DEPOSIT E *(continued)*				
03/16/12		Citizens Bank		26,549.51
03/16/12		A/O 03/16 $26549.51		26,549.51
03/20/12	Deposit	DEPOSIT	101.77	26,651.28
03/26/12	Deposit	DEPOSIT	254.47	26,905.75
03/27/12	Deposit	DEPOSIT	206.25	27,112.00
03/30/12	Deposit	DEPOSIT	298.01	27,410.01
03/30/12	**Closing Balance**			**$27,410.01**
Total FDIC Insured Bank Deposits				**$27,410.01**

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Note: For the period from December 31, 2010 through December 31, 2012 the FDIC provides unlimited insurance coverage on certain non interest bearing bank accounts. If your account is in such a program it will be stated in your Message section.

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 6.00% of Portfolio									
Cash Balance				226.32	0.00				
FDIC Insured Bank Deposits									
DEUTSCHE BANK INS DEPOSIT E									
27,410.010	03/01/12	[redacted]	03/30/12	26,321.20	27,410.01	1.19	14.51	N/A	N/A
Total FDIC Insured Bank Deposits				**$26,321.20**	**$27,410.01**	**$1.19**	**$14.51**		
Total Cash, Money Funds, and FDIC Deposits				**$26,547.52**	**$27,410.01**	**$1.19**	**$14.51**		

ATTACHMENT 2

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds 94.00% of Portfolio								
Mutual Funds								
BLACKROCK GLOBAL ALLOCATION FUND INC CLASS A CUSIP: 09251T103 Open End Fund Dividend Option: Reinvest; Capital Gains Option: Reinvest			*Security Identifier:* MDLOX					
2,624.672	01/31/12 *	19.0500	50,000.00	19.6300	51,522.31	1,522.31	911.53	1.76%
FIRST EAGLE GLOBAL FUND CLASS A CUSIP: 32008F507 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* SGENX					
1,064.056	01/31/12 *	46.9900	50,000.00	49.0100	52,149.39	2,149.39	559.69	1.07%
IVY HIGH INCOME FUND CLASS A CUSIP: 466000668 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* WHIAX					
6,127.451	01/31/12 *	8.1600	50,000.00	8.3000	50,857.84	857.84	4,011.83	7.88%
IVY ASSET STRATEGY FUND CLASS A CUSIP: 466000759 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* WASAX					
2,064.410	01/31/12 *	24.2200	50,000.00	25.4400	52,518.59	2,518.59	578.86	1.10%
IVY ASSET STRATEGY NEW OPPORTUNITIES FUND CLASS A CUSIP: 466001732 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* INOAX					
2,901.354	01/31/12 *	10.3400	30,000.00	10.7700	31,247.58	1,247.58		
LOOMIS SAYLES BOND FUND RETAIL CLASS CUSIP: 543495832 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* LSBRX					
3,472.222	01/31/12 *	14.4000	50,000.00	14.6100	50,729.16	729.16	2,841.31	5.60%
NUVEEN TACTICAL MRKT OPPORTUNITIES FUND CLASS A CUSIP: 670690221 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* NTMAX					

ATTACHMENT 2

[redacted]

SEP
Account Statement

Statement Period: 03/01/2012 - 03/31/2012

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds *(continued)*								
Mutual Funds *(continued)*								
NUVEEN TACTICAL MRKT OPPORTUNITIES *(continued)*								
4,496.403	01/31/12 *	11.1200	50,000.00	11.0900	49,865.11	-134.89		
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS D			*Security Identifier:* PAUDX					
CUSIP: 72200Q190								
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Reinvest								
2,859.867	01/31/12 *	10.4900	30,000.00	10.5400	30,143.00	143.00	2,414.61	8.01%
PIMCO GLOBAL MULTI ASSET FUND CLASS D			*Security Identifier:* PGMDX					
CUSIP: 72201P308								
Open End Fund								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
2,240.143	01/31/12 *	11.1600	25,000.00	11.2700	25,246.41	246.41	1,132.99	4.48%
TEMPLETON GLOBAL BOND FUND ADVISOR CLASS			*Security Identifier:* TGBAX					
CUSIP: 880208400								
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Reinvest								
1,927.525	01/31/12 *	12.9700	25,000.00	13.1000	25,250.58	250.58	1,221.08	4.83%
Total Mutual Funds			**$410,000.00**		**$419,529.97**	**$9,529.97**	**$13,671.90**	
Total Mutual Funds			**$410,000.00**		**$419,529.97**	**$9,529.97**	**$13,671.90**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$437,410.01	$446,939.98	$9,529.97	$0.00	$13,686.41

ATTACHMENT 2

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

SEP Account Statement

Statement Period: 03/01/2012 - 03/31/2012

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Securities		
Securities Sold	0.00	137,730.54
Total Securities	$0.00	$137,730.54
Cash		
Deposits	0.00	436,004.81
Total Cash	$0.00	$436,004.81
Additional Transactions		
Fees	0.00	36.96
Dividends and Interest	862.49	1,406.89
FDIC Insured Bank Deposits	0.00	435,508.68
Total Additional Transactions	$862.49	$436,852.53
Total Credits	$862.49	$1,010,687.88

Debits	This Period	Year-to-Date
Securities		
Securities Bought	0.00	-410,000.00
Total Securities	$0.00	-$410,000.00
Cash		
Withdrawals	0.00	-153,382.28
Total Cash	$0.00	-$153,382.28
Additional Transactions		
Fees	0.00	-840.13
Dividends and Interest	0.00	0.00
FDIC Insured Bank Deposits	-1,088.81	-446,465.47
Total Additional Transactions	-$1,088.81	-$447,305.60
Total Debits	-$1,088.81	-$1,010,687.88

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
03/01/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-226.32	USD
03/15/12	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED 250990041	DEUTSCHE BANK INS INTEREST REINVESTED				-1.99	USD
03/15/12	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED				1.99	USD
03/19/12	CASH DIVIDEND RECEIVED TGBAX	TEMPLETON GLOBAL BOND FUND ADVISOR CLASS 1927.5250 SHRS RD 03/14 PD 03/19/12				101.77	USD
03/20/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-101.77	USD
03/23/12	CASH DIVIDEND RECEIVED PAUDX	PIMCO ALL ASSET ALL AUTHORITY FUND CLASS D 2859.8670 SHRS RD 03/21 PD 03/22/12				254.47	USD

ATTACHMENT 2

[redacted]

Transactions in Date Sequence *(continued)*

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
03/26/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-254.47	USD
03/26/12	CASH DIVIDEND RECEIVED LSBRX	LOOMIS SAYLES BOND FUND RETAIL CLASS 3472.2220 SHRS RD 03/22 PD 03/26/12				206.25	USD
03/27/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-206.25	USD
03/29/12	CASH DIVIDEND RECEIVED WHIAX	IVY HIGH INCOME FUND CLASS A FOR ACCRUAL PERIOD ENDING 03/27/12				298.01	USD
03/30/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-298.01	USD
Total Value of all Transactions					**$0.00**	**-$226.32**	

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Dividend Income		
Equities	860.50	1,392.38
Interest Income		
FDIC Insured Bank Deposits	1.99	14.51
Total Dividends, Interest, Income and Expenses	**$862.49**	**$1,406.89**

Messages

Pershing has been advised by your financial organization that it does not receive payment for directing order flow. See the Terms and Conditions section of your brokerage account statement for a description of Pershing's payment for order flow practices.

For additional information regarding order-routing practices and the venues to which your financial organization's orders are routed, you may visit www.orderroutingdisclosure.com.

Upon written request to your financial organization, you may obtain the identity of the venue to which your orders were routed for the six months prior to your request and the time of transactions that may have resulted from such orders.

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[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

SEP
Account Statement

Account Number: [redacted]
Statement Period: 04/01/2012 - 04/30/2012

SEP FBO CHARLES J FLEISCHMANN
PERSHING LLC AS CUSTODIAN
[redacted]

Your Financial Consultant:
WARD PETTY AND JOE PETTY
(423) 668-5411

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	$446,939.98	$149,355.10
Cash Deposits	0.00	436,004.81
Cash Withdrawals	0.00	-153,382.28
Dividends/Interest	305.12	1,712.01
Fees	-1,204.41	-2,007.58
Change in Account Value	73.98	14,432.61
Ending Account Value	$446,114.67	$446,114.67
Estimated Annual Income	$13,612.57	

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds, and FDIC Deposits[1]	16,453.22	27,410.01	26,510.72	6%
Mutual Funds	132,901.88	419,529.97	419,603.95	94%
Account Total (Pie Chart)	**$149,355.10**	**$446,939.98**	**$446,114.67**	**100%**

1 **Cash in your account includes FDIC insured bank deposits.**
FDIC insured bank deposits are *not* securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation, up to allowable limits

Asset Allocation percentages are rounded to the nearest whole percentage.
Pie Chart allocation excludes all asset classes which net to a liability.

ATTACHMENT 2

[redacted]

Additional Information

	This Period	Year-to-Date
Securities Bought and Sold	$0.00	-$272,269.46

Summary of Gains and Losses

	Realized This Period	Realized Year-to-Date	Unrealized
Short-Term Gain/Loss	0.00	52.49	9,603.95
Long-Term Gain/Loss	0.00	-20,073.80	0.00
Net Gain/Loss	**0.00**	**-20,021.31**	**9,603.95**

This summary excludes transactions where cost basis information is not available.

Client Service Information

Your Financial Consultant: S38
WARD PETTY AND JOE PETTY
345 FRAZIER
SUITE 205
CHATTANOOGA TN 37405-4121

Contact Information
Telephone Number: (423) 668-5411
Fax Number: (423) 668-5412

Investment Objective: MODERATE GROWTH
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Year-End Fair Market Value (12/31/2011): $149,355.10.
This information will be furnished to the Internal Revenue Service.
Your fair market value may change based on transaction or asset valuation adjustments made after 12/31/2011.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

Client Account Beneficiary Information

Beneficiary Name	Primary /Contingent	Relationship to Account Holder(s)	Allocation Percent
[redacted]	PRIMARY	SPOUSE	100.00

Disclosures and Other Information:
The beneficiary information displayed is a summary of information you have provided and should accurately reflect the most recent beneficiary information received. An agreement you executed to designate any beneficiaries displayed controls distribution to such parties. If differences exist between information here, and the signed beneficiary agreement, the agreement will control. Please contact your Financial Consultant to update your beneficiary information if necessary or to review more detailed information concerning your designations.

FDIC Insured Bank Deposits

Sweep FDIC Insured Bank Deposits

DEUTSCHE BANK INS DEPOSIT E
Account Number: [redacted] Activity Ending: 04/30/12

Date	Activity Type	Description	Amount	Balance
03/31/12	Opening Balance		27,410.01	27,410.01
04/05/12	Withdrawal	WITHDRAWAL	-1,257.02	26,152.99
04/11/12	Deposit	DEPOSIT	52.61	26,205.60
04/13/12	Deposit	INTEREST CREDITED	2.15	26,207.75

ATTACHMENT 2

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

SEP
Account Statement

Statement Period: 04/01/2012 - 04/30/2012

FDIC Insured Bank Deposits *(continued)*

Date	Activity Type	Description	Amount	Balance
Sweep FDIC Insured Bank Deposits *(continued)*				
DEUTSCHE BANK INS DEPOSIT E *(continued)*				
04/13/12		APY 0.1%		26,207.75
04/16/12		Citizens Bank		26,207.75
04/16/12		A/O 04/16 $26207.75		26,207.75
04/19/12	Deposit	DEPOSIT	101.58	26,309.33
04/25/12	Deposit	DEPOSIT	201.39	26,510.72
04/30/12	**Closing Balance**			**$26,510.72**
Total FDIC Insured Bank Deposits				**$26,510.72**

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Note: For the period from December 31, 2010 through December 31, 2012 the FDIC provides unlimited insurance coverage on certain non interest bearing bank accounts. If your account is in such a program it will be stated in your Message section.

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 6.00% of Portfolio									
FDIC Insured Bank Deposits									
DEUTSCHE BANK INS DEPOSIT E									
26,510.720	03/31/12	[redacted]	04/30/12	27,410.01	26,510.72	1.03	16.66	N/A	N/A
Total FDIC Insured Bank Deposits				**$27,410.01**	**$26,510.72**	**$1.03**	**$16.66**		
Total Cash, Money Funds, and FDIC Deposits				**$27,410.01**	**$26,510.72**	**$1.03**	**$16.66**		

ATTACHMENT 2

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds 94.00% of Portfolio								
Mutual Funds								
BLACKROCK GLOBAL ALLOCATION FUND INC CLASS A CUSIP: 09251T103 Open End Fund Dividend Option: Reinvest; Capital Gains Option: Reinvest			*Security Identifier:* MDLOX					
2,624.672	01/31/12 *	19.0500	50,000.00	19.3900	50,892.39	892.39	911.53	1.79%
FIRST EAGLE GLOBAL FUND CLASS A CUSIP: 32008F507 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* SGENX					
1,064.056	01/31/12 *	46.9900	50,000.00	48.2800	51,372.62	1,372.62	559.69	1.08%
IVY HIGH INCOME FUND CLASS A CUSIP: 466000668 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* WHIAX					
6,127.451	01/31/12 *	8.1600	50,000.00	8.3600	51,225.49	1,225.49	3,938.11	7.68%
IVY ASSET STRATEGY FUND CLASS A CUSIP: 466000759 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* WASAX					
2,064.410	01/31/12 *	24.2200	50,000.00	25.6800	53,014.05	3,014.05	578.86	1.09%
IVY ASSET STRATEGY NEW OPPORTUNITIES FUND CLASS A CUSIP: 466001732 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* INOAX					
2,901.354	01/31/12 *	10.3400	30,000.00	10.7700	31,247.58	1,247.58		
LOOMIS SAYLES BOND FUND RETAIL CLASS CUSIP: 543495832 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* LSBRX					
3,472.222	01/31/12 *	14.4000	50,000.00	14.6500	50,868.05	868.05	2,839.23	5.58%
NUVEEN TACTICAL MRKT OPPORTUNITIES FUND CLASS A CUSIP: 670690221 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* NTMAX					

ATTACHMENT 2

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds *(continued)*								
Mutual Funds *(continued)*								
NUVEEN TACTICAL MRKT OPPORTUNITIES *(continued)*								
4,496.403	01/31/12 *	11.1200	50,000.00	11.1500	50,134.89	134.89		
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS D			*Security Identifier:* PAUDX					
CUSIP: 72200Q190								
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Reinvest								
2,859.867	01/31/12 *	10.4900	30,000.00	10.6400	30,428.99	428.99	2,414.61	7.93%
PIMCO GLOBAL MULTI ASSET FUND CLASS D			*Security Identifier:* PGMDX					
CUSIP: 72201P308								
Open End Fund								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
2,240.143	01/31/12 *	11.1600	25,000.00	11.2700	25,246.41	246.41	1,132.99	4.48%
TEMPLETON GLOBAL BOND FUND ADVISOR CLASS			*Security Identifier:* TGBAX					
CUSIP: 880208400								
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Reinvest								
1,927.525	01/31/12 *	12.9700	25,000.00	13.0600	25,173.48	173.48	1,220.89	4.84%
Total Mutual Funds			$410,000.00		$419,603.95	$9,603.95	$13,595.91	
Total Mutual Funds			$410,000.00		$419,603.95	$9,603.95	$13,595.91	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$436,510.72	$446,114.67	$9,603.95	$0.00	$13,612.57

ATTACHMENT 2

[redacted]

Portfolio Holdings *(continued)*

* Noncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L.110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered " noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

Disclosures and Other Information

Pricing - This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices have been obtained from quotation services, which we believe to be reliable: however, we cannot guarantee their accuracy. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Activity Summary (All amounts shown are in base currency USD)

ATTACHMENT 2

Credits	This Period	Year-to-Date	Debits	This Period	Year-to-Date
Securities			**Securities**		
Securities Sold	0.00	137,730.54	Securities Bought	0.00	-410,000.00
Total Securities	**$0.00**	**$137,730.54**	**Total Securities**	**$0.00**	**-$410,000.00**

[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

SEP Account Statement

Statement Period: 04/01/2012 - 04/30/2012

Activity Summary *(continued)*

Credits	This Period	Year-to-Date
Cash		
Deposits	0.00	436,004.81
Total Cash	**$0.00**	**$436,004.81**
Additional Transactions		
Fees	52.61	89.57
Dividends and Interest	305.12	1,712.01
FDIC Insured Bank Deposits	1,257.02	436,765.70
Total Additional Transactions	**$1,614.75**	**$438,567.28**
Total Credits	**$1,614.75**	**$1,012,302.63**

Debits	This Period	Year-to-Date
Cash		
Withdrawals	0.00	-153,382.28
Total Cash	**$0.00**	**-$153,382.28**
Additional Transactions		
Fees	-1,257.02	-2,097.15
Dividends and Interest	0.00	0.00
FDIC Insured Bank Deposits	-357.73	-446,823.20
Total Additional Transactions	**-$1,614.75**	**-$448,920.35**
Total Debits	**-$1,614.75**	**-$1,012,302.63**

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
04/05/12	FDIC INSURED BANK WITHDRAWAL USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				1,257.02	USD
04/05/12	ASSET BASED FEE USD999997	QUARTERLY FEE 4/1-6/ 30				-1,257.02	USD
04/10/12	ASSET BASED FEE USD999997	FEE ADJUSTMENT FOR D EP/WD				52.61	USD
04/11/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-52.61	USD
04/13/12	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED 250990041	DEUTSCHE BANK INS INTEREST REINVESTED				-2.15	USD
04/13/12	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED				2.15	USD
04/18/12	CASH DIVIDEND RECEIVED TGBAX	TEMPLETON GLOBAL BOND FUND ADVISOR CLASS 1927.525 SHRS RD 04/13 PD 04/18/12				101.58	USD
04/19/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-101.58	USD

ATTACHMENT 2

[redacted]

Transactions in Date Sequence *(continued)*

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
04/24/12	CASH DIVIDEND RECEIVED LSBRX	LOOMIS SAYLES BOND FUND RETAIL CLASS 3472.2220 SHRS RD 04/20 PD 04/24/12				201.39	USD
04/25/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-201.39	USD
Total Value of all Transactions					**$0.00**	**$0.00**	

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Dividend Income		
Equities	302.97	1,695.35
Interest Income		
FDIC Insured Bank Deposits	2.15	16.66
Total Dividends, Interest, Income and Expenses	**$305.12**	**$1,712.01**

Messages

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).
YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET FUND AND FDIC INSURED BANK RATES AND BANK LISTS." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEBSITE.

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MESSAGE REGARDING ROLLED-UP TAX LOTS

IN RESPONSE TO CLIENT REQUESTS TO SHORTEN STATEMENTS, YOUR TAX LOTS ARE NOW ROLLED UP INTO ONE TO FOUR LINES FOR EACH SECURITY. ONE LINE WILL INCLUDE "NONCOVERED SHARES", ANOTHER LINE "COVERED SHARES", A THIRD LINE FOR "UNALLOCATED SHARES" (SHARES WHERE COST HAS NOT BEEN PROVIDED), AND A FOURTH LINE FOR SHARES THAT WERE GIFTED. NONCOVERED SHARE AND COVERED SHARE DEFINITIONS CAN BE FOUND IN THE FOOTNOTES UNDER HOLDINGS. YOU COULD HAVE ONE LINE OR TWO OR MORE LINES FOR EACH SECURITY.

ATTACHMENT 2

[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS *for* GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

SEP Account Statement

Account Number: [redacted]
Statement Period: 05/01/2012 - 05/31/2012

SEP FBO CHARLES J FLEISCHMANN
PERSHING LLC AS CUSTODIAN
[redacted]

Your Financial Consultant:
WARD PETTY AND JOE PETTY
(423) 668-5411

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	**$446,114.67**	**$149,355.10**
Cash Deposits	90,023.68	526,028.49
Cash Withdrawals	0.00	-153,382.28
Dividends/Interest	958.16	2,670.17
Fees	0.00	-2,007.58
Change in Account Value	-20,973.62	-6,541.01
Ending Account Value	$516,122.89	$516,122.89
Estimated Annual Income	$12,429.02	

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds, and FDIC Deposits[1]	16,453.22	26,510.72	102,492.56	20%
Mutual Funds	132,901.88	419,603.95	413,630.33	80%
Account Total (Pie Chart)	**$149,355.10**	**$446,114.67**	**$516,122.89**	**100%**

Asset Allocation percentages are rounded to the nearest whole percentage.

Pie Chart allocation excludes all asset classes which net to a liability.

1 **Cash in your account includes FDIC insured bank deposits.**
FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation, up to allowable limits

ATTACHMENT 2

[redacted]

Additional Information

	This Period	Year-to-Date
Securities Bought and Sold	-$15,000.00	-$287,269.46

Summary of Gains and Losses

	Realized		
	This Period	Year-to-Date	Unrealized
Short-Term Gain/Loss	-366.21	-313.72	-11,003.46
Long-Term Gain/Loss	0.00	-20,073.80	0.00
Net Gain/Loss	**-366.21**	**-20,387.52**	**-11,003.46**

This summary excludes transactions where cost basis information is not available.

Client Service Information

Your Financial Consultant: S38
WARD PETTY AND JOE PETTY
345 FRAZIER
SUITE 205
CHATTANOOGA TN 37405-4121

Contact Information
Telephone Number: (423) 668-5411
Fax Number: (423) 668-5412

Investment Objective: MODERATE GROWTH
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Year-End Fair Market Value (12/31/2011): $149,355.10.
This information will be furnished to the Internal Revenue Service.
Your fair market value may change based on transaction or asset valuation adjustments made after 12/31/2011.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

Client Account Beneficiary Information

Beneficiary Name	Primary /Contingent	Relationship to Account Holder(s)	Allocation Percent
[redacted]	PRIMARY	SPOUSE	100.00

Disclosures and Other Information:
The beneficiary information displayed is a summary of information you have provided and should accurately reflect the most recent beneficiary information received. An agreement you executed to designate any beneficiaries displayed controls distribution to such parties. If differences exist between information here, and the signed beneficiary agreement, the agreement will control. Please contact your Financial Consultant to update your beneficiary information if necessary or to review more detailed information concerning your designations.

FDIC Insured Bank Deposits

Sweep FDIC Insured Bank Deposits

DEUTSCHE BANK INS DEPOSIT E
Account Number: [redacted] Activity Ending: 05/31/12

Date	Activity Type	Description	Amount	Balance
05/01/12	Opening Balance		26,510.72	26,510.72
05/02/12	Deposit	DEPOSIT	353.83	26,864.55
05/10/12	Deposit	DEPOSIT	90,023.68	116,888.23
05/15/12	Deposit	INTEREST CREDITED	3.48	116,891.71

ATTACHMENT 2

[redacted]

SEP
Account Statement

Statement Period: 05/01/2012 - 05/31/2012

FDIC Insured Bank Deposits *(continued)*

Date	Activity Type	Description	Amount	Balance
Sweep FDIC Insured Bank Deposits *(continued)*				
DEUTSCHE BANK INS DEPOSIT E *(continued)*				
05/15/12		APY 0.1%		116,891.71
05/16/12		Citizens Bank		116,891.71
05/16/12		A/O 05/16 $116891.71		116,891.71
05/18/12	Deposit	DEPOSIT	101.77	116,993.48
05/25/12	Deposit	DEPOSIT	208.68	117,202.16
05/30/12	Withdrawal	WITHDRAWAL	-15,000.00	102,202.16
05/31/12	Deposit	DEPOSIT	290.40	102,492.56
05/31/12	**Closing Balance**			**$102,492.56**
Total FDIC Insured Bank Deposits				**$102,492.56**

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Note: For the period from December 31, 2010 through December 31, 2012 the FDIC provides unlimited insurance coverage on certain non interest bearing bank accounts. If your account is in such a program it will be stated in your Message section.

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 20.00% of Portfolio									
FDIC Insured Bank Deposits									
DEUTSCHE BANK INS DEPOSIT E									
102,492.560	05/01/12	[redacted]	05/31/12	26,510.72	102,492.56	4.80	20.14	N/A	N/A
Total FDIC Insured Bank Deposits				**$26,510.72**	**$102,492.56**	**$4.80**	**$20.14**		
Total Cash, Money Funds, and FDIC Deposits				**$26,510.72**	**$102,492.56**	**$4.80**	**$20.14**		

ATTACHMENT 2

[redacted]

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds 80.00% of Portfolio								
Mutual Funds								
BLACKROCK GLOBAL ALLOCATION FUND INC CLASS A CUSIP: 09251T103 Open End Fund Dividend Option: Reinvest; Capital Gains Option: Reinvest			*Security Identifier:* MDLOX					
2,624.672	01/31/12 *	19.0500	50,000.00	18.3000	48,031.50	-1,968.50	911.53	1.89%
FIRST EAGLE GLOBAL FUND CLASS A CUSIP: 32008F507 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* SGENX					
1,064.056	01/31/12 *	46.9900	50,000.00	45.1800	48,074.05	-1,925.95	559.69	1.16%
IVY HIGH INCOME FUND CLASS A CUSIP: 466000668 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* WHIAX					
6,127.451	01/31/12 *	8.1600	50,000.00	8.2400	50,490.20	490.20	3,875.84	7.67%
IVY ASSET STRATEGY FUND CLASS A CUSIP: 466000759 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* WASAX					
2,064.410	01/31/12 *	24.2200	50,000.00	23.3700	48,245.26	-1,754.74	578.86	1.19%
IVY ASSET STRATEGY NEW OPPORTUNITIES FUND CLASS A CUSIP: 466001732 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* INOAX					
2,901.354	01/31/12 *	10.3400	30,000.00	9.5700	27,765.96	-2,234.04		
LOOMIS SAYLES BOND FUND RETAIL CLASS CUSIP: 543495832 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* LSBRX					
3,472.222	01/31/12 *	14.4000	50,000.00	14.2000	49,305.55	-694.45	2,831.94	5.74%
MARSICO FLEXIBLE CAPITAL FUND CUSIP: 573012507 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* MFCFX					
2,074.689	05/29/12 *	14.4600	30,000.00	14.2600	29,585.07	-414.93	119.70	0.40%

ATTACHMENT 2

[redacted]

SEP
Account Statement

Statement Period: 05/01/2012 - 05/31/2012

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds *(continued)*								
Mutual Funds *(continued)*								
NUVEEN TACTICAL MRKT OPPORTUNITIES FUND CLASS A CUSIP: 670690221 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* NTMAX					
4,496.403	01/31/12 *	11.1200	50,000.00	11.1600	50,179.86	179.86		
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS D CUSIP: 72200Q190 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* PAUDX					
1,395.023	01/31/12 *	10.4900	14,633.79	10.1800	14,201.33	-432.46	1,177.83	8.29%
PIMCO GLOBAL MULTI ASSET FUND CLASS D CUSIP: 72201P308 Open End Fund Dividend Option: Reinvest; Capital Gains Option: Reinvest			*Security Identifier:* PGMDX					
2,240.143	01/31/12 *	11.1600	25,000.00	10.7500	24,081.54	-918.46	1,132.99	4.70%
TEMPLETON GLOBAL BOND FUND ADVISOR CLASS CUSIP: 880208400 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* TGBAX					
1,927.525	01/31/12 *	12.9700	25,000.00	12.2800	23,670.01	-1,329.99	1,220.50	5.15%
Total Mutual Funds			$424,633.79		$413,630.33	-$11,003.46	$12,408.88	
Total Mutual Funds			$424,633.79		$413,630.33	-$11,003.46	$12,408.88	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$527,126.35	$516,122.89	-$11,003.46	$0.00	$12,429.02

ATTACHMENT 2

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

SEP
Account Statement

Statement Period: 05/01/2012 - 05/31/2012

Schedule of Realized Gains and Losses Current Period

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term								
05/29/12	01/31/12 *	SELL First In First Out	PIMCO ALL ASSET ALL AUTHORITY FUND	PAUDX	1,464.844	15,366.21	15,000.00	-366.21
Total Short Term						**$15,366.21**	**$15,000.00**	**-$366.21**
Total Short Term and Long Term						**$15,366.21**	**$15,000.00**	**-$366.21**

* Noncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L.110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for noncovered securities. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. In addition, corporate action events may require adjustments to your original cost basis. Return of capital information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable.

Adjustments to cost basis can be made after year-end, in particular, for return of capital adjustments, but may also include adjustments for corporate action events. Therefore there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports, including your 1099-B or online displays you may have available to you.

When you report your cost basis on your tax return, it should be verified using all of your own records. In particular, there may be other adjustments which you need to make, but are not required to be made by Pershing as it relates to H.R. 1424. You should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

Please refer to the Client Service Information Section in your brokerage account statement for your account's existing tax-lot disposition method. The disposition method is the method which you have selected to use in the disposal of each tax lot of the securities held in your account. If you do not select a method, your account will be defaulted to First In First Out (FIFO). Your account's selected tax lot method will be used to determine the cost basis for calculating gain and/or loss, unless another method has selected at the time of the security disposal, and this may be reported on the IRS Form 1099-B.

ATTACHMENT 2

[redacted]

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Securities		
Securities Sold	15,000.00	152,730.54
Total Securities	**$15,000.00**	**$152,730.54**
Cash		
Deposits	90,023.68	526,028.49
Total Cash	**$90,023.68**	**$526,028.49**
Additional Transactions		
Fees	0.00	89.57
Dividends and Interest	958.16	2,670.17
FDIC Insured Bank Deposits	15,000.00	451,765.70
Total Additional Transactions	**$15,958.16**	**$454,525.44**
Total Credits	**$120,981.84**	**$1,133,284.47**

Debits	This Period	Year-to-Date
Securities		
Securities Bought	-30,000.00	-440,000.00
Total Securities	**-$30,000.00**	**-$440,000.00**
Cash		
Withdrawals	0.00	-153,382.28
Total Cash	**$0.00**	**-$153,382.28**
Additional Transactions		
Fees	0.00	-2,097.15
Dividends and Interest	0.00	0.00
FDIC Insured Bank Deposits	-90,981.84	-537,805.04
Total Additional Transactions	**-$90,981.84**	**-$539,902.19**
Total Debits	**-$120,981.84**	**-$1,133,284.47**

Transactions in Date Sequence

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
05/01/12		CASH DIVIDEND RECEIVED WHIAX	IVY HIGH INCOME FUND CLASS A FOR ACCRUAL PERIOD ENDING 04/27/12				353.83	USD
05/02/12		FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-353.83	USD
05/10/12		FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-90,023.68	USD
05/10/12		YOUR ASSET TRANSFERRED USD999997	ACAT BALANCE 0141 FIRST CLEARING, LLC A/C [redacted]				90,023.68	USD
05/15/12		FDIC INSURED BANK DEPOSITS INTEREST REINVESTED 250990041	DEUTSCHE BANK INS INTEREST REINVESTED				-3.48	USD
05/15/12		FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED				3.48	USD
05/17/12		CASH DIVIDEND RECEIVED TGBAX	TEMPLETON GLOBAL BOND FUND ADVISOR CLASS 1927.5250 SHRS RD 05/14 PD 05/17/12				101.77	USD
05/18/12		FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-101.77	USD
05/24/12		CASH DIVIDEND RECEIVED LSBRX	LOOMIS SAYLES BOND FUND RETAIL CLASS [illegible] SHRS RD 05/22 PD 05/24/12				208.68	USD
05/25/12		FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-208.68	USD

ATTACHMENT 2

[redacted]

SEP
Account Statement

Statement Period: 05/01/2012 - 05/31/2012

Transactions in Date Sequence *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
05/30/12	05/29/12	PURCHASED MFCFX	MARSICO FLEXIBLE CAPITAL FUND 321500089865 NEPP003890 HLD-RNVST CAPGN ONLY UNSOLICITED ORDER	2,074.689	14.4600		-30,000.00	USD
05/30/12	05/29/12	SOLD PAUDX	PIMCO ALL ASSET ALL AUTHORITY FUND CLASS D UNSOLICITED ORDER	-1,464.844	10.2400		15,000.00	USD
05/30/12		FDIC INSURED BANK WITHDRAWAL USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				15,000.00	USD
05/30/12		CASH DIVIDEND RECEIVED WHIAX	IVY HIGH INCOME FUND CLASS A FOR ACCRUAL PERIOD ENDING 05/25/12				290.40	USD
05/31/12		FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-290.40	USD
Total Value of all Transactions						**$0.00**	**$0.00**	

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Dividend Income		
Equities	954.68	2,650.03
Interest Income		
FDIC Insured Bank Deposits	3.48	20.14
Total Dividends, Interest, Income and Expenses	**$958.16**	**$2,670.17**

Messages

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).
YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET FUND AND FDIC INSURED BANK RATES AND BANK LISTS." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEBSITE.

Benjamin F Edwards & Co. is updating its client profile information to better serve your needs and to comply with new regulatory requirements (FINRA Rule 2111). As a result, your financial consultant may request up-to-date information regarding your goals, objectives, and financial information.

ATTACHMENT 2

Go Paperless!

When you choose electronic delivery of brokerage account statements and trade confirmations, there is no more searching through a file cabinet or shoebox to find what you're looking for as everything you need

[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

SEP Account Statement

Account Number: [redacted]
Statement Period: 06/01/2012 - 06/30/2012

SEP FBO CHARLES J FLEISCHMANN
PERSHING LLC AS CUSTODIAN
[redacted]

Your Financial Consultant:
WARD PETTY AND JOE PETTY
(423) 668-5411

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	$516,122.89	$149,355.10
Cash Deposits	0.00	526,028.49
Cash Withdrawals	0.00	-153,382.28
Dividends/Interest	689.40	3,359.57
Fees	0.00	-2,007.58
Change in Account Value	8,717.10	2,176.09
Ending Account Value	$525,529.39	$525,529.39
Estimated Annual Income	$12,221.37	

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds, and FDIC Deposits[1]	16,453.22	102,492.56	103,181.96	20%
Mutual Funds	132,901.88	413,630.33	422,347.43	80%
Account Total (Pie Chart)	$149,355.10	$516,122.89	$525,529.39	100%

1 **Cash in your account includes FDIC insured bank deposits.**
FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation, up to allowable limits

Asset Allocation percentages are rounded to the nearest whole percentage.
Pie Chart allocation excludes all asset classes which net to a liability.

ATTACHMENT 2

[redacted]

Additional Information

	This Period	Year-to-Date
Securities Bought and Sold	$0.00	-$287,269.46

Summary of Gains and Losses

	Realized This Period	Realized Year-to-Date	Unrealized
Short-Term Gain/Loss	0.00	-313.72	-2,286.36
Long-Term Gain/Loss	0.00	-20,073.80	0.00
Net Gain/Loss	**0.00**	**-20,387.52**	**-2,286.36**

This summary excludes transactions where cost basis information is not available.

Client Service Information

Your Financial Consultant: S38
WARD PETTY AND JOE PETTY
345 FRAZIER
SUITE 205
CHATTANOOGA TN 37405-4121

Contact Information
Telephone Number: (423) 668-5411
Fax Number: (423) 668-5412

Investment Objective: MODERATE GROWTH
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Year-End Fair Market Value (12/31/2011): $149,355.10.
This information will be furnished to the Internal Revenue Service.
Your fair market value may change based on transaction or asset valuation adjustments made after 12/31/2011.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

Client Account Beneficiary Information

Beneficiary Name	Primary /Contingent	Relationship to Account Holder(s)	Allocation Percent
[redacted]	PRIMARY	SPOUSE	100.00

Disclosures and Other Information:

The beneficiary information displayed is a summary of information you have provided and should accurately reflect the most recent beneficiary information received. An agreement you executed to designate any beneficiaries displayed controls distribution to such parties. If differences exist between information here, and the signed beneficiary agreement, the agreement will control. Please contact your Financial Consultant to update your beneficiary information if necessary or to review more detailed information concerning your designations.

FDIC Insured Bank Deposits

Sweep FDIC Insured Bank Deposits

DEUTSCHE BANK INS DEPOSIT E
Account Number: [redacted] Activity Ending: 06/29/12

Date	Activity Type	Description	Amount	Balance
06/01/12	Opening Balance		102,492.56	102,492.56
06/15/12	Deposit	INTEREST CREDITED	9.70	102,502.26
06/15/12		APY 0.11%		102,502.26
06/18/12		Citizens Bank		102,502.26

ATTACHMENT 2

FDIC Insured Bank Deposits *(continued)*

Date	Activity Type	Description	Amount	Balance
Sweep FDIC Insured Bank Deposits *(continued)*				
DEUTSCHE BANK INS DEPOSIT E *(continued)*				
06/18/12		A/O 06/18 $102502.26		102,502.26
06/20/12	Deposit	DEPOSIT	101.20	102,603.46
06/25/12	Deposit	DEPOSIT	67.39	102,670.85
06/26/12	Deposit	DEPOSIT	196.53	102,867.38
06/29/12	Closing Balance			$102,867.38
Total FDIC Insured Bank Deposits				**$102,867.38**

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Note: For the period from December 31, 2010 through December 31, 2012 the FDIC provides unlimited insurance coverage on certain non interest bearing bank accounts. If your account is in such a program it will be stated in your Message section.

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 20.00% of Portfolio									
Cash Balance				0.00	314.58				
FDIC Insured Bank Deposits									
DEUTSCHE BANK INS DEPOSIT E									
102,867.380	06/01/12	[redacted]	06/29/12	102,492.56	102,867.38	4.12	29.84	N/A	N/A
Total FDIC Insured Bank Deposits				$102,492.56	$102,867.38	$4.12	$29.84		
Total Cash, Money Funds, and FDIC Deposits				$102,492.56	$103,181.96	$4.12	$29.84		

ATTACHMENT 2

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds 80.00% of Portfolio								
Mutual Funds								
BLACKROCK GLOBAL ALLOCATION FUND INC CLASS A CUSIP: 09251T103 Open End Fund Dividend Option: Reinvest; Capital Gains Option: Reinvest			*Security Identifier:* MDLOX					
2,624.672	01/31/12 *	19.0500	50,000.00	18.7900	49,317.59	-682.41	911.53	1.84%
FIRST EAGLE GLOBAL FUND CLASS A CUSIP: 32008F507 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* SGENX					
1,064.056	01/31/12 *	46.9900	50,000.00	47.3200	50,351.13	351.13	559.69	1.11%
IVY HIGH INCOME FUND CLASS A CUSIP: 466000668 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* WHIAX					
6,127.451	01/31/12 *	8.1600	50,000.00	8.3200	50,980.39	980.39	3,878.89	7.60%
IVY ASSET STRATEGY FUND CLASS A CUSIP: 466000759 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* WASAX					
2,064.410	01/31/12 *	24.2200	50,000.00	23.6900	48,905.87	-1,094.13	578.86	1.18%
IVY ASSET STRATEGY NEW OPPORTUNITIES FUND CLASS A CUSIP: 466001732 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* INOAX					
2,901.354	01/31/12 *	10.3400	30,000.00	9.8200	28,491.30	-1,508.70		
LOOMIS SAYLES BOND FUND RETAIL CLASS CUSIP: 543495832 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* LSBRX					
3,472.222	01/31/12 *	14.4000	50,000.00	14.4300	50,104.16	104.16	2,826.04	5.64%
MARSICO FLEXIBLE CAPITAL FUND CUSIP: 573012507 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* MFC[illegible]					
2,074.689	05/29/12 *	14.4600	30,000.00	14.4200	29,917.02	-82.98	119.70	0.40%

ATTACHMENT 2

[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

SEP
Account Statement

Statement Period: 06/01/2012 - 06/30/2012

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds *(continued)*								
Mutual Funds *(continued)*								
NUVEEN TACTICAL MRKT OPPORTUNITIES FUND CLASS A CUSIP: 670690221 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* NTMAX					
4,496.403	01/31/12*	11.1200	50,000.00	11.2400	50,539.57	539.57		
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS D CUSIP: 72200Q190 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* PAUDX					
1,395.023	01/31/12*	10.4900	14,633.79	10.4400	14,564.04	-69.75	1,095.66	7.52%
PIMCO GLOBAL MULTI ASSET FUND CLASS D CUSIP: 72201P308 Open End Fund Dividend Option: Reinvest; Capital Gains Option: Reinvest			*Security Identifier:* PGMDX					
2,240.143	01/31/12*	11.1600	25,000.00	10.9300	24,484.76	-515.24	1,001.43	4.09%
TEMPLETON GLOBAL BOND FUND ADVISOR CLASS CUSIP: 880208400 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* TGBAX					
1,927.525	01/31/12*	12.9700	25,000.00	12.8100	24,691.60	-308.40	1,219.73	4.93%
Total Mutual Funds			$424,633.79		$422,347.43	-$2,286.36	$12,191.53	
Total Mutual Funds			$424,633.79		$422,347.43	-$2,286.36	$12,191.53	

ATTACHMENT 2

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$527,815.75	$525,529.39	-$2,286.36	$0.00	$12,221.37

[redacted]

Portfolio Holdings *(continued)*

* Noncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L.110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered " noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

Disclosures and Other Information

Pricing - This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices have been obtained from quotation services, which we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Activity Summary (All amounts shown are in base currency USD)

ATTACHMENT 2

Credits	This Period	Year-to-Date	Debits	This Period	Year-to-Date
Securities			**Securities**		
Securities Sold	0.00	152,730.54	Securities Bought	0.00	-440,000.00
Total Securities	**$0.00**	**$152,730.54**	**Total Securities**	**$0.00**	**-$440,000.00**

[redacted]

SEP
Account Statement

Statement Period: 06/01/2012 - 06/30/2012

Activity Summary *(continued)*

Credits	This Period	Year-to-Date
Cash		
Deposits	0.00	526,028.49
Total Cash	**$0.00**	**$526,028.49**
Additional Transactions		
Fees	0.00	89.57
Dividends and Interest	689.40	3,359.57
FDIC Insured Bank Deposits	0.00	451,765.70
Total Additional Transactions	**$689.40**	**$455,214.84**
Total Credits	**$689.40**	**$1,133,973.87**

Debits	This Period	Year-to-Date
Cash		
Withdrawals	0.00	-153,382.28
Total Cash	**$0.00**	**-$153,382.28**
Additional Transactions		
Fees	0.00	-2,097.15
Dividends and Interest	0.00	0.00
FDIC Insured Bank Deposits	-374.82	-538,179.86
Total Additional Transactions	**-$374.82**	**-$540,277.01**
Total Debits	**-$374.82**	**-$1,133,659.29**

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
06/15/12	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED 250990041	DEUTSCHE BANK INS INTEREST REINVESTED				-9.70	USD
06/15/12	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED				9.70	USD
06/19/12	CASH DIVIDEND RECEIVED TGBAX	TEMPLETON GLOBAL BOND FUND ADVISOR CLASS 1927.5250 SHRS RD 06/14 PD 06/19/12				101.20	USD
06/20/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-101.20	USD
06/22/12	CASH DIVIDEND RECEIVED PAUDX	PIMCO ALL ASSET ALL AUTHORITY FUND CLASS D 1395.0230 SHRS RD 06/20 PD 06/21/12				67.39	USD
06/25/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-67.39	USD
06/25/12	CASH DIVIDEND RECEIVED LSBRX	LOOMIS SAYLES BOND FUND RETAIL CLASS 3472.2020 SHRS RD 06/21 PD 06/25/12				196.53	USD
06/26/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-196.53	USD

ATTACHMENT 2

[redacted]

Transactions in Date Sequence *(continued)*

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
06/29/12	CASH DIVIDEND RECEIVED WHIAX	IVY HIGH INCOME FUND CLASS A FOR ACCRUAL PERIOD ENDING 06/27/12				314.58	USD
Total Value of all Transactions					**$0.00**	**$314.58**	

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Dividend Income		
Equities	679.70	3,329.73
Interest Income		
FDIC Insured Bank Deposits	9.70	29.84
Total Dividends, Interest, Income and Expenses	**$689.40**	**$3,359.57**

Messages

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).
YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET FUND AND FDIC INSURED BANK RATES AND BANK LISTS." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEBSITE.

Although a money market mutual fund seeks to preserve the value of your investment at $1 per share, it is possible to lose money by investing in a money market mutual fund. Please see the money market mutual fund's prospectus or contact your investment professional for additional information.

Benjamin F Edwards & Co. is updating its client profile information to better serve your needs and to comply with new regulatory requirements (FINRA Rule 2111). As a result, your financial consultant may request up-to-date information regarding your goals, objectives, and financial information.

Go Paperless!

When you choose electronic delivery of brokerage account statements and trade confirmations, there is no more searching through a file cabinet or shoebox to find what you're looking for as everything you need is located in one secure, online location. Switching your document delivery preferences takes just a moment and provides a level of convenience you're sure to appreciate.

If you currently have online access to your accounts through NetExchange Client, you can sign up for e-delivery of your statements and trade confirmations, as well as Mutual Fund, ETF and UIT prospectus, by clicking on the Go Paperless button when you log in. If you do not have on-line access, please contact your financial consultant and the process can be expedited for you.

If you don't desire on-line access to your accounts, you can still receive your confirmations and statements via email by logging on to www.myedocumentsuite.com to register and choose electronic delivery, or contact your financial consultant for assistance.

Make the move to paperless today!

MESSAGE REGARDING ROLLED-UP TAX LOTS

ATTACHMENT 2

IN RESPONSE TO CLIENT REQUESTS TO SHORTEN STATEMENTS, YOUR TAX LOTS ARE NOW ROLLED UP INTO ONE TO FOUR LINES FOR EACH SECURITY. ONE LINE WILL INCLUDE "NONCOVERED SHARES", ANOTHER LINE "COVERED SHARES", A THIRD LINE FOR "UNALLOCATED SHARES" (SHARES WHERE COST HAS NOT BEEN PROVIDED), AND A FOURTH LINE FOR

[redacted]

GENERAL INFORMATION

1. ANY FREE CREDIT BALANCE CARRIED FOR YOUR ACCOUNT REPRESENTS FUNDS PAYABLE UPON DEMAND WHICH, ALTHOUGH PROPERLY ACCOUNTED FOR ON PERSHING'S BOOKS OF RECORD, ARE NOT SEGREGATED AND MAY BE USED IN THE CONDUCT OF ITS BUSINESS.
2. ALL ORDERS AND TRANSACTIONS SHALL BE SOLELY FOR YOUR ACCOUNT AND RISK SHALL BE SUBJECT TO THE CONSTITUTION, RULES, REGULATIONS, CUSTOMS, USAGES, RULINGS AND INTERPRETATIONS OF THE EXCHANGE OR MARKET AND THE CLEARING FACILITY, IF ANY, WHERE THE TRANSACTIONS ARE EXECUTED AND/OR SETTLED, OR IF APPLICABLE, OF THE FINANCIAL INDUSTRY REGULATORY AUTHORITY AND TO ALL APPLICABLE LAWS AND REGULATIONS.
3. WHENEVER YOU ARE INDEBTED TO PERSHING LLC ("PERSHING") FOR ANY AMOUNT, ALL SECURITIES HELD BY IT FOR YOU IN ANY ACCOUNT IN WHICH YOU HAVE ANY INTEREST SHALL SECURE ALL YOUR LIABILITIES TO PERSHING, AND PERSHING MAY IN ITS DISCRETION AT ANY TIME, WITHOUT TENDER, DEMAND OR NOTICE TO YOU, CLOSE OR REDUCE ANY OR ALL OF YOUR ACCOUNTS BY PUBLIC OR PRIVATE SALE OR PURCHASE OR BOTH OF ALL OR ANY SECURITIES CARRIED IN SUCH ACCOUNTS; ANY BALANCE REMAINING DUE PERSHING TO BE PROMPTLY PAID BY YOU.
4. WHENEVER YOU ARE INDEBTED TO PERSHING FOR ANY AMOUNT, ALL SECURITIES CARRIED FOR YOUR ACCOUNT ARE OR MAY BE, WITHOUT FURTHER NOTICE TO YOU, LOANED OR PLEDGED BY PERSHING, EITHER SEPARATELY OR UNDER CIRCUMSTANCES WHICH WILL PERMIT THE COMMINGLING THEREOF, WITH OTHER SECURITIES FOR ANY AMOUNT LESS THAN, EQUAL TO OR GREATER THAN YOUR LIABILITIES TO PERSHING, BUT NOT UNDER CIRCUMSTANCES FOR AN AMOUNT PROHIBITED BY LAW.
5. TITLE TO SECURITIES SOLD TO YOU, WHERE PERSHING HAS ACTED AS PRINCIPAL, SHALL REMAIN WITH PERSHING UNTIL THE ENTIRE PURCHASE PRICE IS RECEIVED OR UNTIL THE SETTLEMENT DATE, WHICHEVER IS LATER.
6. YOU MAY HAVE RECEIVED CONFIRMATIONS FOR TRANSACTIONS WHICH DO NOT APPEAR ON YOUR STATEMENT. IF SO, THE TRANSACTIONS WILL APPEAR ON YOUR NEXT PERIODIC STATEMENT. SUCH TRANSACTIONS MUST BE CONSIDERED BY YOU WHEN COMPUTING THE VALUE OF YOUR ACCOUNT. THIS IS ESPECIALLY TRUE IF YOU HAVE WRITTEN OPTIONS WHICH HAVE BEEN EXERCISED.
7. IF YOU MAINTAIN A MARGIN ACCOUNT, THIS IS A COMBINED STATEMENT OF YOUR GENERAL ACCOUNT AND A SPECIAL MEMORANDUM ACCOUNT MAINTAINED FOR YOU UNDER REGULATION T OF THE BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM. THE PERMANENT RECORD OF THE SEPARATE ACCOUNT AS REQUIRED BY REGULATION T IS AVAILABLE FOR YOUR INSPECTION UPON REQUEST.
8. INTEREST CHARGED ON DEBIT BALANCES IN YOUR ACCOUNT APPEARS ON THE STATEMENT. THE RATE OF INTEREST AND PERIOD COVERED ARE INDICATED. THE RATE MAY CHANGE FROM TIME TO TIME DUE TO FLUCTUATIONS IN MONEY RATES OR OTHER REASONS. INTEREST IS COMPUTED AS DESCRIBED IN MATERIAL PREVIOUSLY FURNISHED TO YOU. PLEASE CONTACT YOUR FINANCIAL INSTITUTION IF YOU DESIRE ADDITIONAL COPIES.
9. DIVIDENDS, INTEREST AND OTHER DISTRIBUTIONS SHOWN ON THIS STATEMENT WERE CLASSIFIED AS TAXABLE OR NONTAXABLE BASED ON CERTAIN INFORMATION KNOWN AS OF THE DISTRIBUTION DATE. THIS CLASSIFICATION IS SUBJECT TO CHANGE AND IS SOLELY INTENDED FOR USE AS GENERAL INFORMATION. AFTER YEAR END, PERSHING IS REQUIRED TO PROVIDE TAX INFORMATION TO THE INTERNAL REVENUE SERVICE AND OTHER GOVERNMENTAL AUTHORITIES. AT THAT TIME PERSHING WILL PROVIDE THAT INFORMATION ON THE ANNUAL TAX INFORMATION STATEMENT TO YOU; USE THAT STATEMENT TO PREPARE YOUR TAX FILINGS. THE TAX STATEMENT ALSO INCLUDES OTHER USEFUL INFORMATION TO ASSIST IN ACCUMULATING THE DATA TO PREPARE YOUR TAX RETURNS.
10. PERSHING DOES NOT PROVIDE TAX, INVESTMENT OR LEGAL ADVISORY SERVICES AND NO ONE ASSOCIATED WITH PERSHING IS AUTHORIZED TO RENDER SUCH ADVICE. DO NOT RELY UPON ANY SUCH ADVICE, IF GIVEN. INVESTORS ARE ENCOURAGED TO CONSULT THEIR TAX ADVISORS TO DETERMINE THE APPROPRIATE TAX TREATMENT OF THEIR BUSINESS.
11. PERSHING MAY TRADE FOR ITS OWN ACCOUNT AS A MARKET MAKER, SPECIALIST, ODD LOT DEALER, BLOCK POSITIONER, ARBITRAGEUR OR INVESTOR. CONSEQUENTLY, AT THE TIME OF ANY TRANSACTION
YOU MAY MAKE, PERSHING MAY HAVE A POSITION IN SUCH SECURITIES, WHICH POSITION MAY BE PARTIALLY OR COMPLETELY HEDGED.
12. IF AVERAGE PRICE TRANSACTION IS INDICATED ON THE FRONT OF THIS STATEMENT YOUR FINANCIAL INSTITUTION OR PERSHING MAY HAVE ACTED AS PRINCIPAL, AGENT OR BOTH. DETAILS AVAILABLE UPON REQUEST.
13. A FINANCIAL STATEMENT OF PERSHING IS AVAILABLE FOR YOUR PERSONAL INSPECTION AT PERSHING'S OFFICES. A COPY OF IT WILL BE MAILED UPON YOUR WRITTEN REQUEST OR YOU CAN VIEW IT ONLINE AT WWW.PERSHING.COM.
14. THIS STATEMENT SHOULD BE RETAINED FOR YOUR RECORDS.

THE ROLE OF PERSHING

PERSHING CARRIES YOUR ACCOUNT AS CLEARING BROKER PURSUANT TO A CLEARING AGREEMENT WITH YOUR FINANCIAL INSTITUTION. PERSHING MAY ACCEPT FROM YOUR FINANCIAL INSTITUTION WITHOUT INQUIRY OR INVESTIGATION (I) ORDERS FOR THE PURCHASE AND SALE OF SECURITIES AND OTHER PROPERTY AND (II) ANY OTHER INSTRUCTIONS CONCERNING YOUR ACCOUNT. PERSHING IS NOT RESPONSIBLE OR LIABLE FOR ANY ACTS OR OMISSIONS OF YOUR FINANCIAL INSTITUTION OR ITS EMPLOYEES AND IT DOES NOT SUPERVISE THEM. PERSHING PROVIDES NO INVESTMENT ADVICE NOR DOES IT ASSESS THE SUITABILITY OF ANY TRANSACTION OR ORDER. PERSHING ACTS AS THE AGENT OF YOUR FINANCIAL INSTITUTION AND YOU AGREE THAT YOU WILL NOT HOLD PERSHING OR ANY PERSON CONTROLLING OR UNDER COMMON CONTROL WITH IT LIABLE FOR ANY INVESTMENT LOSSES INCURRED BY YOU.

PERSHING PERFORMS SEVERAL KEY FUNCTIONS AT THE DIRECTION OF YOUR FINANCIAL INSTITUTION. IT ACTS AS CUSTODIAN FOR FUNDS AND SECURITIES YOU MAY DEPOSIT WITH IT DIRECTLY OR THROUGH YOUR FINANCIAL INSTITUTION OR THAT IT RECEIVES AS THE RESULT OF SECURITIES TRANSACTIONS IT PROCESSES.

YOUR FINANCIAL INSTITUTION IS RESPONSIBLE FOR ADHERENCE TO THE SECURITIES LAWS, REGULATIONS AND RULES WHICH APPLY TO IT REGARDING ITS OWN OPERATIONS AND THE SUPERVISION OF YOUR ACCOUNT, ITS SALES REPRESENTATIVES AND OTHER PERSONNEL. YOUR FINANCIAL INSTITUTION IS ALSO RESPONSIBLE FOR APPROVING THE OPENING OF ACCOUNTS AND OBTAINING ACCOUNT DOCUMENTS; THE ACCEPTANCE AND, IN CERTAIN INSTANCES, EXECUTION OF SECURITIES ORDERS; THE ASSESSMENT OF THE SUITABILITY OF THOSE TRANSACTIONS, WHERE APPLICABLE; THE RENDERING OF INVESTMENT ADVICE, IF ANY, TO YOU AND IN GENERAL, FOR THE ONGOING RELATIONSHIP THAT IT HAS WITH YOU.

INQUIRIES CONCERNING THE POSITIONS AND BALANCES IN YOUR ACCOUNT MAY BE DIRECTED TO THE PERSHING CUSTOMER SERVICE DEPARTMENT AT (201) 413-3333. ALL OTHER INQUIRIES REGARDING YOUR ACCOUNT OR ACTIVITY SHOULD BE DIRECTED TO YOUR FINANCIAL INSTITUTION. YOUR FINANCIAL ORGANIZATION'S CONTACT INFORMATION CAN BE FOUND ON THE FIRST PAGE OF THIS STATEMENT.

FOR A DESCRIPTION OF OTHER FUNCTIONS PERFORMED BY PERSHING PLEASE CONSULT THE DISCLOSURE STATEMENT PROVIDED TO YOU UPON THE OPENING OF YOUR ACCOUNT. THIS NOTICE IS NOT MEANT AS A DEFINITIVE ENUMERATION OF EVERY POSSIBLE CIRCUMSTANCE, BUT AS A GENERAL DISCLOSURE. IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE OR IF YOU WOULD LIKE ADDITIONAL COPIES OF THE DISCLOSURE STATEMENT, PLEASE CONTACT YOUR FINANCIAL INSTITUTION.

PERSHING IS A MEMBER OF THE SECURITIES INVESTOR PROTECTION CORPORATION (SIPC®). PLEASE NOTE THAT SIPC DOES NOT PROTECT AGAINST LOSS DUE TO MARKET FLUCTUATION. IN ADDITION TO SIPC PROTECTION, PERSHING PROVIDES COVERAGE IN EXCESS OF SIPC LIMITS. FOR MORE DETAILED INFORMATION PLEASE VISIT: WWW.PERSHING.COM/STRENGTH_STABILITY.HTML

THIS STATEMENT WILL BE DEEMED CONCLUSIVE. YOU ARE ADVISED TO REPORT ANY INACCURACY OR DISCREPANCY (INCLUDING UNAUTHORIZED TRADING), TO YOUR FINANCIAL ORGANIZATION AND PERSHING, WITHIN TEN DAYS AFTER RECEIPT OF THIS STATEMENT. PLEASE BE ADVISED THAT ANY ORAL COMMUNICATION SHOULD BE RE-CONFIRMED IN WRITING TO FURTHER PROTECT YOUR RIGHTS, INCLUDING YOUR RIGHTS UNDER THE SECURITIES INVESTOR PROTECTION ACT.

YOUR FINANCIAL ORGANIZATION'S CONTACT INFORMATION CAN BE FOUND ON THE FIRST PAGE OF THIS STATEMENT. PERSHING'S CONTACT INFORMATION IS AS FOLLOWS: PERSHING LLC; LEGAL DEPARTMENT; ONE PERSHING PLAZA; JERSEY CITY, NEW JERSEY 07399; (201) 413-3330. ERRORS AND OMISSIONS EXCEPTED.

PAYMENT FOR ORDER FLOW AND ORDER ROUTING POLICIES DISCLOSURES [REGULATION NMS—RULE 607(A)(1)-(2)]

PERSHING SENDS CERTAIN EQUITY ORDERS TO EXCHANGES, ELECTRONIC COMMUNICATION NETWORKS, OR BROKER-DEALERS DURING NORMAL BUSINESS HOURS AND DURING EXTENDED TRADING SESSIONS. CERTAIN OF THESE VENUES PROVIDE PAYMENTS TO PERSHING OR CHARGE ACCESS FEES TO PERSHING DEPENDING UPON THE CHARACTERISTICS OF THE ORDER AND ANY SUBSEQUENT EXECUTION. IN ADDITION PERSHING MAY EXECUTE CERTAIN EQUITY ORDERS AS PRINCIPAL. THE DETAILS OF THESE PAYMENTS AND FEES ARE AVAILABLE UPON WRITTEN REQUEST. PERSHING RECEIVES PAYMENTS FOR DIRECTING LISTED OPTIONS ORDER FLOW TO CERTAIN OPTION EXCHANGES. COMPENSATION IS GENERALLY IN THE FORM OF A PER OPTION CONTRACT CASH PAYMENT.

BEST EXECUTION: NOTWITHSTANDING THE PREVIOUS PARAGRAPH REGARDING PAYMENT FOR ORDER FLOW, PERSHING SELECTS CERTAIN MARKET CENTERS TO PROVIDE EXECUTION OF OVER-THE-COUNTER AND EXCHANGE-LISTED SECURITIES TRANSACTIONS WHICH AGREE TO ACCEPT ORDERS, TRANSMITTED ELECTRONICALLY UP TO A SPECIFIED SIZE, AND TO EXECUTE THEM AT OR BETTER THAN THE NATIONAL BEST BID OR OFFER (NBBO). ON CERTAIN LARGER ORDERS, OR IF THE DESIGNATED MARKET CENTERS DO NOT MAKE A MARKET IN THE SUBJECT SECURITY, PERSHING DIRECTLY CONTACTS MARKET CENTERS TO OBTAIN AN EXECUTION. THE DESIGNATED MARKET CENTERS TO WHICH ORDERS ARE AUTOMATICALLY ROUTED ARE SELECTED BASED ON THE CONSISTENT HIGH QUALITY OF THEIR EXECUTIONS IN ONE OR MORE MARKET SEGMENTS AND THEIR ABILITY TO PROVIDE OPPORTUNITIES FOR EXECUTIONS AT PRICES SUPERIOR TO THE NBBO. PERSHING ALSO REGULARLY REVIEWS REPORTS FOR QUALITY OF EXECUTION PURPOSES.

ATTACHMENT 2

IF ANY OF THE ABOVE TERMS AND CONDITIONS ARE UNACCEPTABLE TO YOU, PLEASE NOTIFY PERSHING IMMEDIATELY IN WRITING BY CERTIFIED MAIL TO ONE PERSHING PLAZA, JERSEY CITY, NJ 07399, ATTN: LEGAL DEPT

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

SEP
Account Statement

SEP FBO CHARLES J FLEISCHMANN
PERSHING LLC AS CUSTODIAN
[redacted]

Your Financial Consultant:
WARD PETTY AND JOE PETTY
(423) 668-5411

Account Number: [redacted]
Statement Period: 07/01/2012 - 07/31/2012

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	$525,529.39	$149,355.10
Cash Deposits	0.00	526,028.49
Cash Withdrawals	-100,000.00	-253,382.28
Dividends/Interest	977.77	4,337.34
Fees	-1,622.74	-3,630.32
Change in Account Value	3,824.62	6,000.71
Ending Account Value	$428,709.04	$428,709.04
Estimated Annual Income	$12,169.90	

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds, and FDIC Deposits[1]	16,453.22	103,181.96	2,209.65	1%
Mutual Funds	132,901.88	422,347.43	426,499.39	99%
Account Total	$149,355.10	$525,529.39	$428,709.04	100%

Asset Allocation percentages are rounded to the nearest whole percentage.

[1] Cash in your account includes FDIC insured bank deposits.
FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation, up to allowable limits

ATTACHMENT 2

[redacted]

Additional Information

	This Period	Year-to-Date
Securities Bought and Sold	-$327.34	-$287,596.80

Summary of Gains and Losses

	Realized This Period	Realized Year-to-Date	Unrealized
Short-Term Gain/Loss	0.00	-313.72	1,538.26
Long-Term Gain/Loss	0.00	-20,073.80	0.00
Net Gain/Loss	**0.00**	**-20,387.52**	**1,538.26**

This summary excludes transactions where cost basis information is not available.

Client Service Information

Your Financial Consultant: S38
WARD PETTY AND JOE PETTY
345 FRAZIER
SUITE 205
CHATTANOOGA TN 37405-4121

Contact Information
Telephone Number: (423) 668-5411
Fax Number: (423) 668-5412

Investment Objective: MODERATE GROWTH
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Year-End Fair Market Value (12/31/2011): $149,355.10.
This information will be furnished to the Internal Revenue Service.
Your fair market value may change based on transaction or asset valuation adjustments made after 12/31/2011.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

Client Account Beneficiary Information

Beneficiary Name	Primary /Contingent	Relationship to Account Holder(s)	Allocation Percent
[redacted]	PRIMARY	SPOUSE	100.00

Disclosures and Other Information:
The beneficiary information displayed is a summary of information you have provided and should accurately reflect the most recent beneficiary information received. An agreement you executed to designate any beneficiaries displayed controls distribution to such parties. If differences exist between information here, and the signed beneficiary agreement, the agreement will control. Please contact your Financial Consultant to update your beneficiary information if necessary or to review more detailed information concerning your designations.

FDIC Insured Bank Deposits

Sweep FDIC Insured Bank Deposits

DEUTSCHE BANK INS DEPOSIT E
Account Number [redacted] Activity Ending: 07/31/12

Date	Activity Type	Description	Amount	Balance
06/30/12	Opening Balance		102,867.38	102,867.38
07/02/12	Deposit	DEPOSIT	314.58	103,181.96
07/03/12	Withdrawal	WITHDRAWAL	-144.68	103,037.28
07/09/12	Withdrawal	WITHDRAWAL	-1,478.06	101,559.22

ATTACHMENT 2

[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS *for* GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

SEP
Account Statement

Statement Period: 07/01/2012 - 07/31/2012

FDIC Insured Bank Deposits *(continued)*

Date	Activity Type	Description	Amount	Balance
Sweep FDIC Insured Bank Deposits *(continued)*				
DEUTSCHE BANK INS DEPOSIT E *(continued)*				
07/13/12	Deposit	INTEREST CREDITED	8.22	101,567.44
07/13/12		APY 0.1%		101,567.44
07/16/12		Citizens Bank		101,567.44
07/16/12		A/O 07/16 $101567.44		101,567.44
07/19/12	Deposit	DEPOSIT	101.58	101,669.02
07/24/12	Withdrawal	WITHDRAWAL	-100,000.00	1,669.02
07/25/12	Deposit	DEPOSIT	206.25	1,875.27
07/31/12	**Closing Balance**			**$1,875.27**
Total FDIC Insured Bank Deposits				**$1,875.27**

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Note: For the period from December 31, 2010 through December 31, 2012 the FDIC provides unlimited insurance coverage on certain non interest bearing bank accounts. If your account is in such a program it will be stated in your Message section.

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 1.00% of Portfolio									
Cash Balance				314.58	334.38				
FDIC Insured Bank Deposits									
DEUTSCHE BANK INS DEPOSIT E									
1,875.270	06/30/12	[redacted]	07/31/12	102,867.38	1,875.27	2.37	38.06	N/A	N/A
Total FDIC Insured Bank Deposits				**$102,867.38**	**$1,875.27**	**$2.37**	**$38.06**		
Total Cash, Money Funds, and FDIC Deposits				**$103[illegible]81.96**	**$[illegible]209.65**	**$2[illegible]7**	**$38.06**		

ATTACHMENT 2

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds 99.00% of Portfolio								
Mutual Funds								
BLACKROCK GLOBAL ALLOCATION FUND INC CLASS A CUSIP: 09251T103 Open End Fund Dividend Option: Reinvest; Capital Gains Option: Reinvest			*Security Identifier:* MDLOX					
Total Noncovered 2,642.047	Multiple*,1	19.0490	50,327.34	18.8500	49,802.59	-524.75	838.55	1.68%
FIRST EAGLE GLOBAL FUND CLASS A CUSIP: 32008F507 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* SGENX					
1,064.056	01/31/12*	46.9900	50,000.00	47.4600	50,500.10	500.10	559.69	1.10%
IVY HIGH INCOME FUND CLASS A CUSIP: 466000668 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* WHIAX					
6,127.451	01/31/12*	8.1600	50,000.00	8.3900	51,409.31	1,409.31	3,893.11	7.57%
IVY ASSET STRATEGY FUND CLASS A CUSIP: 466000759 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* WASAX					
2,064.410	01/31/12*	24.2200	50,000.00	23.9200	49,380.69	-619.31	578.86	1.17%
IVY ASSET STRATEGY NEW OPPORTUNITIES FUND CLASS A CUSIP: 466001732 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* INOAX					
2,901.354	01/31/12*	10.3400	30,000.00	9.7100	28,172.15	-1,827.85		
LOOMIS SAYLES BOND FUND RETAIL CLASS CUSIP: 543495832 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* LSBRX					
3,472.222	01/31/12*	14.4000	50,000.00	14.5600	[illegible]	[illegible]	2,825.69	5.58%
MARSICO FLEXIBLE CAPITAL FUND CUSIP: 573012507 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* [illegible]					

ATTACHMENT 2

[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

SEP
Account Statement

Statement Period: 07/01/2012 - 07/31/2012

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds *(continued)*								
Mutual Funds *(continued)*								
MARSICO FLEXIBLE CAPITAL FUND *(continued)*								
2,074.689	05/29/12 *	14.4600	30,000.00	14.8300	30,767.64	767.64	119.70	0.38%
NUVEEN TACTICAL MRKT OPPORTUNITIES FUND CLASS A			*Security Identifier:* NTMAX					
CUSIP: 670690221								
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Reinvest								
4,496.403	01/31/12 *	11.1200	50,000.00	11.3100	50,854.32	854.32		
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS D			*Security Identifier:* PAUDX					
CUSIP: 72200Q190								
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Reinvest								
1,395.023	01/31/12 *	10.4900	14,633.79	10.7400	14,982.55	348.76	1,095.66	7.31%
PIMCO GLOBAL MULTI ASSET FUND CLASS D			*Security Identifier:* PGMDX					
CUSIP: 72201P308								
Open End Fund								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
2,240.143	01/31/12 *	11.1600	25,000.00	11.0900	24,843.19	-156.81	1,001.43	4.03%
TEMPLETON GLOBAL BOND FUND ADVISOR CLASS			*Security Identifier:* TGBAX					
CUSIP: 880208400								
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Reinvest								
1,927.525	01/31/12 *	12.9700	25,000.00	13.0900	25,231.30	231.30	1,219.15	4.83%
Total Mutual Funds			$424,961.13		$426,499.39	$1,538.26	$12,131.84	
Total Mutual Funds			$424,961.13		$426,499.39	$1,538.26	$12,131.84	

ATTACHMENT 2

[redacted]

Portfolio Holdings *(continued)*

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$427,170.78	$428,709.04	$1,538.26	$0.00	$12,169.90

* Noncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L.110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered " noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

† This line is an aggregation of shares in this position, representing shares acquired at different times and/or dates. This summary is a sum of these shares and does not represent cost basis calculated using the average cost accounting method.

Disclosures and Other Information

Pricing - This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices have been obtained from quotation services, which we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

ATTACHMENT 2

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

SEP
Account Statement

Statement Period: 07/01/2012 - 07/31/2012

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Securities		
Securities Sold	0.00	152,730.54
Total Securities	**$0.00**	**$152,730.54**
Cash		
Deposits	0.00	526,028.49
Total Cash	**$0.00**	**$526,028.49**
Additional Transactions		
Fees	0.00	89.57
Dividends and Interest	977.77	4,337.34
FDIC Insured Bank Deposits	101,622.74	553,388.44
Total Additional Transactions	**$102,600.51**	**$557,815.35**
Total Credits	**$102,600.51**	**$1,236,574.38**

Debits	This Period	Year-to-Date
Securities		
Securities Bought	-327.34	-440,327.34
Total Securities	**-$327.34**	**-$440,327.34**
Cash		
Withdrawals	-100,000.00	-253,382.28
Total Cash	**-$100,000.00**	**-$253,382.28**
Additional Transactions		
Fees	-1,622.74	-3,719.89
Dividends and Interest	0.00	0.00
FDIC Insured Bank Deposits	-630.63	-538,810.49
Total Additional Transactions	**-$2,253.37**	**-$542,530.38**
Total Debits	**-$102,580.71**	**-$1,236,240.00**

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
07/02/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-314.58	USD
07/03/12	FDIC INSURED BANK WITHDRAWAL USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				144.68	USD
07/03/12	ASSET BASED FEE USD999997	FEE ADJUSTMENT FOR D EP/WD				-144.68	USD
07/09/12	FDIC INSURED BANK WITHDRAWAL USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				1,478.06	USD
07/09/12	ASSET BASED FEE USD999997	QUARTERLY FEE 7/1-9/ 30				-1,478.06	USD
07/13/12	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED 250990041	DEUTSCHE BANK INS INTEREST REINVESTED				-8.22	USD

ATTACHMENT 2

[redacted]

Transactions in Date Sequence *(continued)*

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
07/13/12	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED				8.22	USD
07/18/12	CASH DIVIDEND RECEIVED TGBAX	TEMPLETON GLOBAL BOND FUND ADVISOR CLASS 1927.5250 SHRS RD 07/13 PD 07/18/12				101.58	USD
07/19/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-101.58	USD
07/23/12	CASH DIVIDEND RECEIVED MDLOX	BLACKROCK GLOBAL ALLOCATION FUND INC CLASS A 2624.6720 SHRS RD 07/18 PD 07/20/12				327.34	USD
07/23/12	REINVEST CASH INCOME MDLOX	BLACKROCK GLOBAL ALLOCATION FUND INC CLASS A 2624.6720 SHRS SHRS PURCH. AT $18.84000 RD 07/18 PD 07/20/12	17.375			-327.34	USD
07/24/12	FDIC INSURED BANK WITHDRAWAL USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				100,000.00	USD
07/24/12	RETIREMENT ACCOUNT TRANSFER USD999997	TO EBR-299133-1				-100,000.00	USD
07/24/12	CASH DIVIDEND RECEIVED LSBRX	LOOMIS SAYLES BOND FUND RETAIL CLASS 3472.2220 SHRS RD 07/20 PD 07/24/12				206.25	USD
07/25/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-206.25	USD
07/31/12	CASH DIVIDEND RECEIVED WHIAX	IVY HIGH INCOME FUND CLASS A FOR ACCRUAL PERIOD ENDING 07/27/12				334.38	USD
Total Value of all Transactions					**$0.00**	**$19.80**	

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Dividend Income		
Equities	969.55	4,299.28
Interest Income		
FDIC Insured Bank Deposits	8.22	38.06
Total Dividends, Interest, Income and Expenses	**$977.77**	**$4,337.34**

Messages

ATTACHMENT 2

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).
YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET FUND AND FDIC INSURED BANK RATES AND BANK LISTS." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEBSITE.

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

SEP Account Statement

Account Number: [redacted]
Statement Period: 08/01/2012 - 08/31/2012

SEP FBO CHARLES J FLEISCHMANN
PERSHING LLC AS CUSTODIAN
[redacted]

Your Financial Consultant:
WARD PETTY AND JOE PETTY
(423) 668-5411

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	$428,709.04	$149,355.10
Cash Deposits	0.00	526,028.49
Cash Withdrawals	-100.00	-253,482.28
Dividends/Interest	623.05	4,960.39
Fees	0.00	-3,630.32
Change in Account Value	6,767.83	12,768.54
Ending Account Value	$435,999.92	$435,999.92
Estimated Annual Income	$12,123.68	

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds, and FDIC Deposits[1]	16,453.22	2,209.65	2,732.70	1%
Mutual Funds	132,901.88	426,499.39	433,267.22	99%
Account Total	$149,355.10	$428,709.04	$435,999.92	100%

Asset Allocation percentages are rounded to the nearest whole percentage.

1 Cash in your account includes FDIC insured bank deposits.
FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation, up to allowable limits

ATTACHMENT 2

[redacted]

Additional Information

	This Period	Year-to-Date
Securities Bought and Sold	$0.00	-$287,596.80

Summary of Gains and Losses

	Realized This Period	Realized Year-to-Date	Unrealized
Short-Term Gain/Loss	0.00	-313.72	8,306.09
Long-Term Gain/Loss	0.00	-20,073.80	0.00
Net Gain/Loss	**0.00**	**-20,387.52**	**8,306.09**

This summary excludes transactions where cost basis information is not available.

Client Service Information

Your Financial Consultant: S38
WARD PETTY AND JOE PETTY
345 FRAZIER
SUITE 205
CHATTANOOGA TN 37405-4121

Contact Information
Telephone Number: (423) 668-5411
Fax Number: (423) 668-5412

Investment Objective: MODERATE GROWTH
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Year-End Fair Market Value (12/31/2011): **$149,355.10.**
This information will be furnished to the Internal Revenue Service.
Your fair market value may change based on transaction or asset valuation adjustments made after 12/31/2011.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

Client Account Beneficiary Information

Beneficiary Name	Primary /Contingent	Relationship to Account Holder(s)	Allocation Percent
[redacted]	PRIMARY	SPOUSE	100.00

Disclosures and Other Information:

The beneficiary information displayed is a summary of information you have provided and should accurately reflect the most recent beneficiary information received. An agreement you executed to designate any beneficiaries displayed controls distribution to such parties. If differences exist between information here, and the signed beneficiary agreement, the agreement will control. Please contact your Financial Consultant to update your beneficiary information if necessary or to review more detailed information concerning your designations.

FDIC Insured Bank Deposits

Sweep FDIC Insured Bank Deposits

DEUTSCHE BANK INS DEPOSIT E
Account Number: [redacted] Activity Ending: 08/31/12

ATTACHMENT 2

Date	Activity Type	Description	Amount	Balance
08/01/12	Opening Balance		1,875.27	1,875.27
08/01/12	Deposit	DEPOSIT	334.38	2,209.65
08/06/12	Withdrawal	WITHDRAWAL	-100.00	2,109.65
08/15/12	Deposit	INTEREST CREDITED	2.46	2,112.11

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

SEP
Account Statement

Statement Period: 08/01/2012 - 08/31/2012

FDIC Insured Bank Deposits *(continued)*

Date	Activity Type	Description	Amount	Balance
Sweep FDIC Insured Bank Deposits *(continued)*				
DEUTSCHE BANK INS DEPOSIT E *(continued)*				
08/15/12		APY 0.1%		2,112.11
08/16/12		Citizens Bank		2,112.11
08/16/12		A/O 08/16 $2112.11		2,112.11
08/20/12	Deposit	DEPOSIT	101.77	2,213.88
08/27/12	Deposit	DEPOSIT	219.44	2,433.32
08/30/12	Deposit	DEPOSIT	299.38	2,732.70
08/31/12	Closing Balance			$2,732.70
Total FDIC Insured Bank Deposits				$2,732.70

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Note: For the period from December 31, 2010 through December 31, 2012 the FDIC provides unlimited insurance coverage on certain non interest bearing bank accounts. If your account is in such a program it will be stated in your Message section.

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 1.00% of Portfolio									
Cash Balance				334.38	0.00				
FDIC Insured Bank Deposits									
DEUTSCHE BANK INS DEPOSIT E									
2,732.700	08/01/12	[redacted]	08/31/12	1,875.27	2,732.70	0.13	40.52	N/A	N/A
Total FDIC Insured Bank Deposits				$1,875.27	$2,732.70	$0.13	$40.52		
Total Cash, Money Funds, and FDIC Deposits				$2,209.65	$2,732.70	$0.13	$40.52		

ATTACHMENT 2

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds 99.00% of Portfolio								
Mutual Funds								
BLACKROCK GLOBAL ALLOCATION FUND INC CLASS A		*Security Identifier:* MDLOX						
CUSIP: 09251T103								
Open End Fund								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
Total Noncovered 2,642.047	Multiple*,Y	19.0490	50,327.34	19.1800	50,674.46	347.12	838.55	1.65%
FIRST EAGLE GLOBAL FUND CLASS A		*Security Identifier:* SGENX						
CUSIP: 32008F507								
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Reinvest								
1,064.056	01/31/12*	46.9900	50,000.00	48.3800	51,479.03	1,479.03	559.69	1.08%
IVY HIGH INCOME FUND CLASS A		*Security Identifier:* WHIAX						
CUSIP: 466000668								
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Reinvest								
6,127.451	01/31/12*	8.1600	50,000.00	8.4400	51,715.69	1,715.69	3,842.19	7.42%
IVY ASSET STRATEGY FUND CLASS A		*Security Identifier:* WASAX						
CUSIP: 466000759								
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Reinvest								
2,064.410	01/31/12*	24.2200	50,000.00	24.6200	50,825.77	825.77	578.86	1.13%
IVY ASSET STRATEGY NEW OPPORTUNITIES FUND CLASS A		*Security Identifier:* INOAX						
CUSIP: 466001732								
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Reinvest								
2,901.354	01/31/12*	10.3400	30,000.00	10.0500	29,158.61	-841.39		
LOOMIS SAYLES BOND FUND RETAIL CLASS		*Security Identifier:* LSBRX						
CUSIP: 543495832								
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Reinvest								
3,472.222	01/31/12*	14.[illegible]000	50,000.00	14.7100	51,0[illegible]	1,0[illegible]	2,828.12	5.53%
MARSICO FLEXIBLE CAPITAL FUND		*Security Identifier:* [illegible]						
CUSIP: 573012507								
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Reinvest								

ATTACHMENT 2

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

SEP
Account Statement

Statement Period: 08/01/2012 - 08/31/2012

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds *(continued)*								
Mutual Funds *(continued)*								
MARSICO FLEXIBLE CAPITAL FUND *(continued)*								
2,074.689	05/29/12 *	14.4600	30,000.00	15.3300	31,804.98	1,804.98	119.70	0.37%
NUVEEN TACTICAL MRKT OPPORTUNITIES FUND CLASS A CUSIP: 670690221 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* NTMAX					
4,496.403	01/31/12 *	11.1200	50,000.00	11.3000	50,809.35	809.35		
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS D CUSIP: 72200Q190 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* PAUDX					
1,395.023	01/31/12 *	10.4900	14,633.79	10.9100	15,219.70	585.91	1,095.66	7.19%
PIMCO GLOBAL MULTI ASSET FUND CLASS D CUSIP: 72201P308 Open End Fund Dividend Option: Reinvest; Capital Gains Option: Reinvest			*Security Identifier:* PGMDX					
2,240.143	01/31/12 *	11.1600	25,000.00	11.2900	25,291.21	291.21	1,001.43	3.95%
TEMPLETON GLOBAL BOND FUND ADVISOR CLASS CUSIP: 880208400 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* TGBAX					
1,927.525	01/31/12 *	12.9700	25,000.00	13.0800	25,212.03	212.03	1,218.96	4.83%
Total Mutual Funds			**$424,961.13**		**$433,267.22**	**$8,306.09**	**$12,083.16**	
Total Mutual Funds			**$424,961.13**		**$433,267.22**	**$8,306.09**	**$12,083.16**	

ATTACHMENT 2

[redacted]

Portfolio Holdings *(continued)*

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$427,693.83	$435,999.92	$8,306.09	$0.00	$12,123.68

* Noncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L.110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered " noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

Y This line is an aggregation of shares in this position, representing shares acquired at different times and/or dates. This summary is a sum of these shares and does not represent cost basis calculated using the average cost accounting method.

Disclosures and Other Information

Pricing - This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices have been obtained from quotation services, which we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

ATTACHMENT 2

[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS *for* GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

SEP Account Statement

Statement Period: 08/01/2012 - 08/31/2012

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Securities		
Securities Sold	0.00	152,730.54
Total Securities	**$0.00**	**$152,730.54**
Cash		
Deposits	0.00	526,028.49
Total Cash	**$0.00**	**$526,028.49**
Additional Transactions		
Fees	0.00	89.57
Dividends and Interest	623.05	4,960.39
FDIC Insured Bank Deposits	100.00	553,488.44
Total Additional Transactions	**$723.05**	**$558,538.40**
Total Credits	**$723.05**	**$1,237,297.43**

Debits	This Period	Year-to-Date
Securities		
Securities Bought	0.00	-440,327.34
Total Securities	**$0.00**	**-$440,327.34**
Cash		
Withdrawals	-100.00	-253,482.28
Total Cash	**-$100.00**	**-$253,482.28**
Additional Transactions		
Fees	0.00	-3,719.89
Dividends and Interest	0.00	0.00
FDIC Insured Bank Deposits	-957.43	-539,767.92
Total Additional Transactions	**-$957.43**	**-$543,487.81**
Total Debits	**-$1,057.43**	**-$1,237,297.43**

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
08/01/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-334.38	USD
08/06/12	FDIC INSURED BANK WITHDRAWAL USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				100.00	USD
08/06/12	RETIREMENT ACCOUNT TRANSFER USD999997	TO[redacted]				-100.00	USD
08/15/12	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED 250990041	DEUTSCHE BANK INS INTEREST REINVESTED				-2.46	USD
08/15/12	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED				2.46	USD

ATTACHMENT 2

[redacted]

Transactions in Date Sequence *(continued)*

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
08/17/12	CASH DIVIDEND RECEIVED TGBAX	TEMPLETON GLOBAL BOND FUND ADVISOR CLASS 1927.5250 SHRS RD 08/14 PD 08/17/12				101.77	USD
08/20/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-101.77	USD
08/24/12	CASH DIVIDEND RECEIVED LSBRX	LOOMIS SAYLES BOND FUND RETAIL CLASS 3472.2220 SHRS RD 08/22 PD 08/24/12				219.44	USD
08/27/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-219.44	USD
08/29/12	CASH DIVIDEND RECEIVED WHIAX	IVY HIGH INCOME FUND CLASS A FOR ACCRUAL PERIOD ENDING 08/27/12				299.38	USD
08/30/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-299.38	USD
Total Value of all Transactions					$0.00	-$334.38	

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Dividend Income		
Equities	620.59	4,919.87
Interest Income		
FDIC Insured Bank Deposits	2.46	40.52
Total Dividends, Interest, Income and Expenses	$623.05	$4,960.39

Messages

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).
YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET FUND AND FDIC INSURED BANK RATES AND BANK LISTS." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEBSITE.

Although a money market mutual fund seeks to preserve the value of your investment at $1 per share, it is possible to lose money by investing in a money market mutual fund. Please see the money market mutual fund's prospectus or contact your investment professional for additional information.

Go Paperless!

When you choose electronic delivery of brokerage account statements and trade confirmations, there is no more searching through a file cabinet or shoebox to find what you're looking for as everything you need is located in one secure, online location. Switching your document delivery preferences takes just a moment and provides a level of convenience you're sure to appreciate.

If you currently have online access to your accounts through NetExchange Client, you can sign up for e-delivery of your statements and trade confirmations, as well as Mutual Fund, ETF and UIT prospectus, by clicking on the Go Paperless button when you log in. If you do not have on-line access, please contact your financial consultant and the process can be expedited for you.

If you don't desire on-line access to your accounts, you can still receive your confirmations and statements via email by logging on to www.mydocumentsuite.com to register and choose electronic delivery, or contact your financial consultant for assistance.

ATTACHMENT 2

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

SEP
Account Statement

SEP FBO CHARLES J FLEISCHMANN
PERSHING LLC AS CUSTODIAN
[redacted]

Your Financial Consultant:
WARD PETTY AND JOE PETTY
(423) 668-5411

Account Number: [redacted]
Statement Period: 09/01/2012 - 09/30/2012

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	$435,999.92	$149,355.10
Cash Deposits	0.00	526,028.49
Cash Withdrawals	0.00	-253,482.28
Dividends/Interest	392.16	5,352.55
Fees	0.00	-3,630.32
Change in Account Value	8,502.47	21,271.01
Ending Account Value	$444,894.55	$444,894.55
Estimated Annual Income	$11,896.92	

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds, and FDIC Deposits[1]	16,453.22	2,732.70	3,106.76	1%
Mutual Funds	132,901.88	433,267.22	441,787.79	99%
Account Total	$149,355.10	$435,999.92	$444,894.55	100%

Asset Allocation percentages are rounded to the nearest whole percentage.

1 Cash in your account includes FDIC insured bank deposits.
FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation, up to allowable limits.

ATTACHMENT 2

[redacted]

Additional Information

	This Period	Year-to-Date
Securities Bought and Sold	-$18.10	-$287,614.90

Summary of Gains and Losses

	Realized This Period	Realized Year-to-Date	Unrealized
Short-Term Gain/Loss	0.00	-313.72	16,808.56
Long-Term Gain/Loss	0.00	-20,073.80	0.00
Net Gain/Loss	**0.00**	**-20,387.52**	**16,808.56**

This summary excludes transactions where cost basis information is not available.

Client Service Information

Your Financial Consultant: S38
WARD PETTY AND JOE PETTY
345 FRAZIER
SUITE 205
CHATTANOOGA TN 37405-4121

Contact Information
Telephone Number: (423) 668-5411
Fax Number: (423) 668-5412

Investment Objective: MODERATE GROWTH
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Year-End Fair Market Value (12/31/2011): $149,355.10.
This information will be furnished to the Internal Revenue Service.
Your fair market value may change based on transaction or asset valuation adjustments made after 12/31/2011.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

Client Account Beneficiary Information

Beneficiary Name	Primary /Contingent	Relationship to Account Holder(s)	Allocation Percent
[redacted]	PRIMARY	SPOUSE	100.00

Disclosures and Other Information:

The beneficiary information displayed is a summary of information you have provided and should accurately reflect the most recent beneficiary information received. An agreement you executed to designate any beneficiaries displayed controls distribution to such parties. If differences exist between information here, and the signed beneficiary agreement, the agreement will control. Please contact your Financial Consultant to update your beneficiary information if necessary or to review more detailed information concerning your designations.

FDIC Insured Bank Deposits

Sweep FDIC Insured Bank Deposits

DEUTSCHE BANK INS DEPOSIT E
Account Number: [redacted] Activity Ending: 09/28/12

Date	Activity Type	Description	Amount	Balance
09/01/12	Opening Balance		2,732.70	2,732.70
09/14/12	Deposit	INTEREST CREDITED	0.22	2,732.92
09/14/12		APY 0.1%		2,732.92
09/17/12		Citizens Bank		2,732.92

ATTACHMENT 2

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

SEP
Account Statement

Statement Period: 09/01/2012 - 09/30/2012

FDIC Insured Bank Deposits *(continued)*

Date	Activity Type	Description	Amount	Balance
Sweep FDIC Insured Bank Deposits *(continued)*				
DEUTSCHE BANK INS DEPOSIT E *(continued)*				
09/17/12		A/O 09/17 $2732.92		2,732.92
09/20/12	Deposit	DEPOSIT	82.31	2,815.23
09/24/12	Deposit	DEPOSIT	110.63	2,925.86
09/25/12	Deposit	DEPOSIT	180.90	3,106.76
09/28/12	Closing Balance			$3,106.76
Total FDIC Insured Bank Deposits				$3,106.76

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Note: For the period from December 31, 2010 through December 31, 2012 the FDIC provides unlimited insurance coverage on certain non interest bearing bank accounts. If your account is in such a program it will be stated in your Message section.

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 1.00% of Portfolio									
FDIC Insured Bank Deposits									
DEUTSCHE BANK INS DEPOSIT E									
3,106.760	09/01/12	[redacted]	09/28/12	2,732.70	3,106.76	0.11	40.74	N/A	N/A
Total FDIC Insured Bank Deposits				$2,732.70	$3,106.76	$0.11	$40.74		
Total Cash, Money Funds, and FDIC Deposits				$2,732.70	$3,106.76	$0.11	$40.74		

ATTACHMENT 2

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds 99.00% of Portfolio								
Mutual Funds								
BLACKROCK GLOBAL ALLOCATION FUND INC CLASS A CUSIP: 09251T103 Open End Fund Dividend Option: Reinvest; Capital Gains Option: Reinvest			*Security Identifier:* MDLOX					
Total Noncovered 2,642.047	Multiple*,Y	19.0490	50,327.34	19.5700	51,704.86	1,377.52	838.55	1.62%
FIRST EAGLE GLOBAL FUND CLASS A CUSIP: 32008F507 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* SGENX					
1,064.056	01/31/12 *	46.9900	50,000.00	49.5400	52,713.33	2,713.33	559.69	1.06%
IVY HIGH INCOME FUND CLASS A CUSIP: 466000668 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* WHIAX					
6,127.451	01/31/12 *	8.1600	50,000.00	8.5200	52,205.88	2,205.88	3,813.65	7.30%
IVY ASSET STRATEGY FUND CLASS A CUSIP: 466000759 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* WASAX					
2,064.410	01/31/12 *	24.2200	50,000.00	25.3600	52,353.44	2,353.44	578.86	1.10%
IVY ASSET STRATEGY NEW OPPORTUNITIES FUND CLASS A CUSIP: 466001732 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* INOAX					
2,901.354	01/31/12 *	10.3400	30,000.00	10.5200	30,522.24	522.24		
LOOMIS SAYLES BOND FUND RETAIL CLASS CUSIP: 543495832 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* LSBRX					
3,472.222	01/31/12 *	14.4000	50,000.00	[illegible]	[illegible]	[illegible]	2,813.19	5.42%
MARSICO FLEXIBLE CAPITAL FUND CUSIP: 573012507 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* [illegible]					

ATTACHMENT 2

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds *(continued)*								
Mutual Funds *(continued)*								
MARSICO FLEXIBLE CAPITAL FUND *(continued)*								
2,074.689	05/29/12 *	14.4600	30,000.00	15.7900	32,759.34	2,759.34	119.70	0.36%
NUVEEN TACTICAL MRKT OPPORTUNITIES FUND CLASS A CUSIP: 670690221 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* NTMAX					
4,496.403	01/31/12 *	11.1200	50,000.00	11.2800	50,719.43	719.43		
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS D CUSIP: 72200Q190 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* PAUDX					
1,395.023	01/31/12 *	10.4900	14,633.79	11.0800	15,456.86	823.07	1,049.94	6.79%
PIMCO GLOBAL MULTI ASSET FUND CLASS D CUSIP: 72201P308 Open End Fund Dividend Option: Reinvest; Capital Gains Option: Reinvest			*Security Identifier:* PGMDX					
Total Noncovered 2,241.711	Multiple *,1	11.1600	25,018.10	11.5000	25,779.68	761.58	883.11	3.42%
TEMPLETON GLOBAL BOND FUND ADVISOR CLASS CUSIP: 880208400 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* TGBAX					
1,927.525	01/31/12 *	12.9700	25,000.00	13.3500	25,732.46	732.46	1,199.49	4.66%
Total Mutual Funds			$424,979.23		$441,787.79	$16,808.56	$11,856.18	
Total Mutual Funds			$424,979.23		$441,787.79	$16,808.56	$11,856.18	

ATTACHMENT 2

[redacted]

Portfolio Holdings *(continued)*

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$428,085.99	$444,894.55	$16,808.56	$0.00	$11,896.92

* Noncovered under the cost basis rules as defined below.
Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L.110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered " noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

Y This line is an aggregation of shares in this position, representing shares acquired at different times and/or dates. This summary is a sum of these shares and does not represent cost basis calculated using the average cost accounting method.

Disclosures and Other Information

Pricing - This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices have been obtained from quotation services, which we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

ATTACHMENT 2

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

SEP
Account Statement

Statement Period: 09/01/2012 - 09/30/2012

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Securities		
Securities Sold	0.00	152,730.54
Total Securities	**$0.00**	**$152,730.54**
Cash		
Deposits	0.00	526,028.49
Total Cash	**$0.00**	**$526,028.49**
Additional Transactions		
Fees	0.00	89.57
Dividends and Interest	392.16	5,352.55
FDIC Insured Bank Deposits	0.00	553,488.44
Total Additional Transactions	**$392.16**	**$558,930.56**
Total Credits	**$392.16**	**$1,237,689.59**

Debits	This Period	Year-to-Date
Securities		
Securities Bought	-18.10	-440,345.44
Total Securities	**-$18.10**	**-$440,345.44**
Cash		
Withdrawals	0.00	-253,482.28
Total Cash	**$0.00**	**-$253,482.28**
Additional Transactions		
Fees	0.00	-3,719.89
Dividends and Interest	0.00	0.00
FDIC Insured Bank Deposits	-374.06	-540,141.98
Total Additional Transactions	**-$374.06**	**-$543,861.87**
Total Debits	**-$392.16**	**-$1,237,689.59**

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
09/14/12	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED 250990041	DEUTSCHE BANK INS INTEREST REINVESTED				-0.22	USD
09/14/12	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED				0.22	USD
09/19/12	CASH DIVIDEND RECEIVED TGBAX	TEMPLETON GLOBAL BOND FUND ADVISOR CLASS 1927.5250 SHRS RD 09/14 PD 09/19/12				82.31	USD
09/20/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-82.31	USD
09/21/12	CASH DIVIDEND RECEIVED PAUDX	PIMCO ALL ASSET ALL AUTHORITY FUND CLASS D 1395.0230 SHRS RD 09/19 PD 09/20/12				110.63	USD
09/21/12	CASH DIVIDEND RECEIVED PGMDX	PIMCO GLOBAL MULTI ASSET FUND CLASS D 2240.1420 SHRS RD 09/19 PD 09/20/12				18.10	USD

ATTACHMENT 2

[redacted]

Transactions in Date Sequence *(continued)*

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
09/21/12	REINVEST CASH INCOME PGMDX	PIMCO GLOBAL MULTI ASSET FUND CLASS D 2240.1430 SHRS SHRS PURCH. AT $11.54000 RD 09/19 PD 09/20/12	1.568			-18.10	USD
09/24/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-110.63	USD
09/24/12	CASH DIVIDEND RECEIVED LSBRX	LOOMIS SAYLES BOND FUND RETAIL CLASS 3472.2220 SHRS RD 09/20 PD 09/24/12				180.90	USD
09/25/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-180.90	USD
Total Value of all Transactions					$0.00	$0.00	

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Dividend Income		
Equities	391.94	5,311.81
Interest Income		
FDIC Insured Bank Deposits	0.22	40.74
Total Dividends, Interest, Income and Expenses	$392.16	$5,352.55

Messages

Pursuant to The Securities Exchange Act of 1934, Pershing LLC (Pershing),a BNY Mellon company, provides individual investors with certain financial information on a semi-annual basis.

Pershing's June Statement of Financial Condition is now available. On June 30, 2012, Pershing's net capital of $1.5 billion was 12.9% of aggregate debit balances and exceeded the minimum requirements by $1.3 billion.

Pershing is also required to provide the most recent financial information as of this statement mailing. In accordance with this requirement, note that on July 31, 2012, Pershing's net capital of $1.5 billion was 13.83% of aggregate debit balances and exceeded the minimum requirement by $1.3 billion.

A copy of the June 30, 2012, Statement of Financial Condition is available at www.pershing.com/statement_of_financial_condition.html. You may also request a free, printed copy by calling (888) 860-8510 or (201) 413-4200, option #5.

If you have questions, contact your Account Manager or contact your Customer Service team and select option 5 for General Customer Service.

<u>FEDERAL AND STATE TAX WITHHOLDING FOR RETIREMENT ACCOUNTS</u> - You may at any time designate or change the federal and state income tax withholding election for distributions from your individual retirement account or qualified retirement plan by contacting your investment professional or financial organization. Please note that if you do not have enough federal or state income tax withheld, you may be responsible for payment of estimated taxes and penalties that may apply.

ATTACHMENT 2

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).
YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET FUND AND

[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

SEP Account Statement

SEP FBO CHARLES J FLEISCHMANN
PERSHING LLC AS CUSTODIAN
[redacted]

Your Financial Consultant:
WARD PETTY AND JOE PETTY
(423) 668-5411

Account Number: [redacted]
Statement Period: 10/01/2012 - 10/31/2012

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	$444,894.55	$149,355.10
Cash Deposits	0.00	526,028.49
Cash Withdrawals	0.00	-253,482.28
Dividends/Interest	605.47	5,958.02
Fees	-1,040.15	-4,670.47
Change in Account Value	-1,313.92	19,957.09
Ending Account Value	$443,145.95	$443,145.95
Estimated Annual Income	$11,919.63	

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds, and FDIC Deposits[1]	16,453.22	3,106.76	2,672.08	1%
Mutual Funds	132,901.88	441,787.79	440,473.87	99%
Account Total	$149,355.10	$444,894.55	$443,145.95	100%

Asset Allocation percentages are rounded to the nearest whole percentage.

1 **Cash in your account includes FDIC insured bank deposits.**
FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation, up to allowable limits

ATTACHMENT 2

[redacted]

Additional Information

	This Period	Year-to-Date
Securities Bought and Sold	$0.00	-$287,614.90

Summary of Gains and Losses

	Realized This Period	Realized Year-to-Date	Unrealized
Short-Term Gain/Loss	0.00	-313.72	15,494.64
Long-Term Gain/Loss	0.00	-20,073.80	0.00
Net Gain/Loss	**0.00**	**-20,387.52**	**15,494.64**

This summary excludes transactions where cost basis information is not available.

Client Service Information

Your Financial Consultant: S38
WARD PETTY AND JOE PETTY
345 FRAZIER
SUITE 205
CHATTANOOGA TN 37405-4121

Contact Information
Telephone Number: (423) 668-5411
Fax Number: (423) 668-5412

Investment Objective: MODERATE GROWTH
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Year-End Fair Market Value (12/31/2011): **$149,355.10.**
This information will be furnished to the Internal Revenue Service.
Your fair market value may change based on transaction or asset valuation adjustments made after 12/31/2011.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

Client Account Beneficiary Information

Beneficiary Name	Primary /Contingent	Relationship to Account Holder(s)	Allocation Percent
[redacted]	PRIMARY	SPOUSE	100.00

Disclosures and Other Information:

The beneficiary information displayed is a summary of information you have provided and should accurately reflect the most recent beneficiary information received. An agreement you executed to designate any beneficiaries displayed controls distribution to such parties. If differences exist between information here, and the signed beneficiary agreement, the agreement will control. Please contact your Financial Consultant to update your beneficiary information if necessary or to review more detailed information concerning your designations.

FDIC Insured Bank Deposits

Sweep FDIC Insured Bank Deposits

DEUTSCHE BANK INS DEPOSIT E
Account Number: [redacted] Activity Ending: 10/31/12

ATTACHMENT 2

Date	Activity Type	Description	Amount	Balance
09/29/12	Opening Balance		3,106.76	3,106.76
10/02/12	Deposit	DEPOSIT	313.89	3,420.65
10/03/12	Deposit	DEPOSIT	211.11	3,631.76
10/05/12	Withdrawal	WITHDRAWAL	-1,251.26	2,380.50

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

SEP
Account Statement

Statement Period: 10/01/2012 - 10/31/2012

FDIC Insured Bank Deposits *(continued)*

Date	Activity Type	Description	Amount	Balance
Sweep FDIC Insured Bank Deposits *(continued)*				
DEUTSCHE BANK INS DEPOSIT E *(continued)*				
10/15/12	Deposit	INTEREST CREDITED	0.21	2,380.71
10/15/12		APY 0.1%		2,380.71
10/16/12		Citizens Bank		2,380.71
10/16/12		A/O 10/16 $2380.71		2,380.71
10/18/12	Deposit	DEPOSIT	82.69	2,463.40
10/24/12	Deposit	DEPOSIT	208.68	2,672.08
10/31/12	Closing Balance			$2,672.08
Total FDIC Insured Bank Deposits				$2,672.08

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Note: For the period from December 31, 2010 through December 31, 2012 the FDIC provides unlimited insurance coverage on certain non interest bearing bank accounts. If your account is in such a program it will be stated in your Message section.

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 1.00% of Portfolio									
FDIC Insured Bank Deposits									
DEUTSCHE BANK INS DEPOSIT E									
2,672.080	09/29/12	[redacted]	10/31/12	3,106.76	2,672.08	0.11	40.95	N/A	N/A
Total FDIC Insured Bank Deposits				$3,106.76	$2,672.08	$0.11	$40.95		
Total Cash, Money Funds, and FDIC Deposits				$3,106.76	$2,672.08	$0.11	$40.95		

ATTACHMENT 2

[redacted]

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds 99.00% of Portfolio								
Mutual Funds								
BLACKROCK GLOBAL ALLOCATION FUND INC CLASS A CUSIP: 09251T103 Open End Fund Dividend Option: Reinvest; Capital Gains Option: Reinvest			*Security Identifier:* MDLOX					
Total Noncovered 2,642.047	Multiple*,†	19.0490	50,327.34	19.4300	51,334.97	1,007.63	838.55	1.63%
FIRST EAGLE GLOBAL FUND CLASS A CUSIP: 32008F507 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* SGENX					
1,064.056	01/31/12*	46.9900	50,000.00	49.2400	52,394.12	2,394.12	559.69	1.06%
IVY HIGH INCOME FUND CLASS A CUSIP: 466000668 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* WHIAX					
6,127.451	01/31/12*	8.1600	50,000.00	8.5300	52,267.16	2,267.16	3,852.26	7.37%
IVY ASSET STRATEGY FUND CLASS A CUSIP: 466000759 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* WASAX					
2,064.410	01/31/12*	24.2200	50,000.00	25.3000	52,229.57	2,229.57	578.86	1.10%
IVY ASSET STRATEGY NEW OPPORTUNITIES FUND CLASS A CUSIP: 466001732 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* INOAX					
2,901.354	01/31/12*	10.3400	30,000.00	10.4700	30,377.18	377.18		
LOOMIS SAYLES BOND FUND RETAIL CLASS CUSIP: 543495832 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* LSBRX					
3,472.222	01/31/12*	14.4000	50,000.00	14.9700	[illegible]	1,979.16	2,815.97	5.41%
MARSICO FLEXIBLE CAPITAL FUND CUSIP: 573012507 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			*Security Identifier:* MFC[illegible]X					

ATTACHMENT 2

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds *(continued)*								
Mutual Funds *(continued)*								
MARSICO FLEXIBLE CAPITAL FUND *(continued)*								
2,074.689	05/29/12 *	14.4600	30,000.00	15.5400	32,240.67	2,240.67	119.70	0.37%
NUVEEN TACTICAL MRKT OPPORTUNITIES FUND CLASS A CUSIP: 670690221 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest		*Security Identifier:* NTMAX *Estimated Price as of Date:* 10/26/12						
4,496.403	01/31/12 *	11.1200	50,000.00	11.2800	50,719.43	719.43		
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS D CUSIP: 72200Q190 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest		*Security Identifier:* PAUDX						
1,395.023	01/31/12 *	10.4900	14,633.79	11.1400	15,540.56	906.77	1,049.94	6.75%
PIMCO GLOBAL MULTI ASSET FUND CLASS D CUSIP: 72201P308 Open End Fund Dividend Option: Reinvest; Capital Gains Option: Reinvest		*Security Identifier:* PGMDX						
Total Noncovered 2,241.711	Multiple *,Y	11.1600	25,018.10	11.3600	25,465.84	447.74	883.11	3.46%
TEMPLETON GLOBAL BOND FUND ADVISOR CLASS CUSIP: 880208400 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest		*Security Identifier:* TGBAX						
1,927.525	01/31/12 *	12.9700	25,000.00	13.4500	25,925.21	925.21	1,180.60	4.55%
Total Mutual Funds			$424,979.23		$440,473.87	$15,494.64	$11,878.68	
Total Mutual Funds			$424,979.23		$440,473.87	$15,494.64	$11,878.68	

ATTACHMENT 2

[redacted]

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$427,651.31	$443,145.95	$15,494.64	$0.00	$11,919.63

* Noncovered under the cost basis rules as defined below.
Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L.110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered " noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

ϒ This line is an aggregation of shares in this position, representing shares acquired at different times and/or dates. This summary is a sum of these shares and does not represent cost basis calculated using the average cost accounting method.

Disclosures and Other Information

Pricing - This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices have been obtained from quotation services, which we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

ATTACHMENT 2

[redacted]

BENJAMIN F. EDWARDS & Co.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

SEP Account Statement

Statement Period: 10/01/2012 - 10/31/2012

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Securities		
Securities Sold	0.00	152,730.54
Total Securities	$0.00	$152,730.54
Cash		
Deposits	0.00	526,028.49
Total Cash	$0.00	$526,028.49
Additional Transactions		
Fees	211.11	300.68
Dividends and Interest	605.47	5,958.02
FDIC Insured Bank Deposits	1,251.26	554,739.70
Total Additional Transactions	$2,067.84	$560,998.40
Total Credits	$2,067.84	$1,239,757.43

Debits	This Period	Year-to-Date
Securities		
Securities Bought	0.00	-440,345.44
Total Securities	$0.00	-$440,345.44
Cash		
Withdrawals	0.00	-253,482.28
Total Cash	$0.00	-$253,482.28
Additional Transactions		
Fees	-1,251.26	-4,971.15
Dividends and Interest	0.00	0.00
FDIC Insured Bank Deposits	-816.58	-540,958.56
Total Additional Transactions	-$2,067.84	-$545,929.71
Total Debits	-$2,067.84	-$1,239,757.43

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
10/01/12	CASH DIVIDEND RECEIVED WHIAX	IVY HIGH INCOME FUND CLASS A FOR ACCRUAL PERIOD ENDING 09/27/12				313.89	USD
10/02/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-313.89	USD
10/02/12	ASSET BASED FEE USD999997	FEE ADJUSTMENT FOR D EP/WD				211.11	USD
10/03/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-211.11	USD
10/05/12	FDIC INSURED BANK WITHDRAWAL USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				1,251.26	USD
10/05/12	ASSET BASED FEE USD999997	QUARTERLY FEE 10/1-1 2/31				-1,251.26	USD
10/15/12	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED 250990041	DEUTSCHE BANK INS INTEREST REINVESTED				-0.21	USD

ATTACHMENT 2

[redacted]

Transactions in Date Sequence *(continued)*

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
10/15/12	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED				0.21	USD
10/17/12	CASH DIVIDEND RECEIVED TGBAX	TEMPLETON GLOBAL BOND FUND ADVISOR CLASS 1927.5250 SHRS RD 10/12 PD 10/17/12				82.69	USD
10/18/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-82.69	USD
10/23/12	CASH DIVIDEND RECEIVED LSBRX	LOOMIS SAYLES BOND FUND RETAIL CLASS 3472.2220 SHRS RD 10/19 PD 10/23/12				208.68	USD
10/24/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-208.68	USD
Total Value of all Transactions					**$0.00**	**$0.00**	

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Dividend Income		
Equities	605.26	5,917.07
Interest Income		
FDIC Insured Bank Deposits	0.21	40.95
Total Dividends, Interest, Income and Expenses	**$605.47**	**$5,958.02**

Messages

Although a money market mutual fund seeks to preserve the value of your investment at $1 per share, it is possible to lose money by investing in a money market mutual fund. Please see the money market mutual fund's prospectus or contact your investment professional for additional information.

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).
YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET FUND AND FDIC INSURED BANK RATES AND BANK LISTS." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEBSITE.

Benjamin F. Edwards & Co. is updating its client profile information to better serve your needs and to comply with new regulatory requirements (FINRA Rule 2111). As a result, your financial consultant may request up-to-date information regarding your goals, objectives and financial information.

Go Paperless!

When you choose electronic delivery of brokerage account statements and trade confirmations, there is no more searching through a file cabinet or shoebox to find what you're looking for as everything you need is located in one secure, online location. Switching your document delivery preferences takes just a moment and provides a level of convenience you're sure to appreciate.

If you currently have online access to your accounts through NetExchange Client, you can sign up for e-delivery of your statements and trade confirmations, as well as Mutual Fund, ETF and UIT prospectus, by clicking on the Go Paperless button when you log in. If you do not have on-line access, please contact your financial consultant and the process can be expedited for you.

ATTACHMENT 2

Messages *(continued)*

If you don't desire on-line access to your accounts, you can still receive your confirmations and statements via email by logging on to www.myedocumentsuite.com to register and choose electronic delivery, or contact your financial consultant for assistance.

Make the move to paperless today!

MESSAGE REGARDING ROLLED-UP TAX LOTS

IN RESPONSE TO CLIENT REQUESTS TO SHORTEN STATEMENTS, YOUR TAX LOTS ARE NOW ROLLED UP INTO ONE TO FOUR LINES FOR EACH SECURITY. ONE LINE WILL INCLUDE "NONCOVERED SHARES", ANOTHER LINE "COVERED SHARES", A THIRD LINE FOR "UNALLOCATED SHARES" (SHARES WHERE COST HAS NOT BEEN PROVIDED), AND A FOURTH LINE FOR SHARES THAT WERE GIFTED. NONCOVERED SHARE AND COVERED SHARE DEFINITIONS CAN BE FOUND IN THE FOOTNOTES UNDER HOLDINGS. YOU COULD HAVE ONE LINE OR TWO OR MORE LINES FOR EACH SECURITY.

YOUR TAX LOTS ARE STILL MAINTAINED AND YOU MAY STILL RECEIVE STATEMENTS THAT REFLECT THE LOTS IF YOU SO CHOOSE. IF YOU WOULD LIKE TO RECEIVE THE DETAILS OF YOUR TAX LOTS, CONTACT YOUR FINANCIAL CONSULTANT.

For more information about the advisory programs offered by Benjamin F. Edwards & Co. and our role as an investment adviser, please see our Form ADV on our website : www.benjaminfedwardsco.com/adv, or call our Home Office at (314) 726-1600 and we will mail you a free copy.

Important Arbitration Disclosures and Important Arbitration Agreement

Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until: (i) the class certification is denied; (ii) the class is decertified; or (iii) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

ATTACHMENT 2

Pershing's contact information is as follows: Pershing LLC; Legal Department; One Pershing Plaza; Jersey City, New Jersey 07399; (201) 413-3330.

[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

SEP
Account Statement

SEP FBO CHARLES J FLEISCHMANN
PERSHING LLC AS CUSTODIAN
[redacted]

Your Financial Consultant:
WARD PETTY AND JOE PETTY
(423) 668-5411

Account Number: [redacted]
Statement Period: 11/01/2012 - 11/30/2012

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	$443,145.95	$149,355.10
Cash Deposits	0.00	526,028.49
Cash Withdrawals	0.00	-253,482.28
Dividends/Interest	1,002.72	6,960.74
Fees	0.00	-4,670.47
Change in Account Value	2,227.27	22,184.36
Ending Account Value	$446,375.94	$446,375.94
Estimated Annual Income	$11,890.68	

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds, and Bank Deposits[1]	16,453.22	2,672.08	3,674.80	1%
Mutual Funds	132,901.88	440,473.87	442,701.14	99%
Account Total	**$149,355.10**	**$443,145.95**	**$446,375.94**	**100%**

Asset Allocation percentages are rounded to the nearest whole percentage.

1 The Bank Deposits in your account are FDIC insured bank deposits.
FDIC insured bank deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). These bank deposits are covered by the Federal Deposit Insurance Corporation (FDIC), up to allowable limits.

ATTACHMENT 2

[redacted]

Additional Information

	This Period	Year-to-Date
Securities Bought and Sold	$0.00	-$287,614.90

Summary of Gains and Losses

	Realized This Period	Realized Year-to-Date	Unrealized
Short-Term Gain/Loss	0.00	-313.72	17,721.91
Long-Term Gain/Loss	0.00	-20,073.80	0.00
Net Gain/Loss	**0.00**	**-20,387.52**	**17,721.91**

This summary excludes transactions where cost basis information is not available.

Client Service Information

Your Financial Consultant: S38

WARD PETTY AND JOE PETTY
345 FRAZIER
SUITE 205
CHATTANOOGA TN 37405-4121

Contact Information
Telephone Number: (423) 668-5411
Fax Number: (423) 668-5412

Investment Objective: MODERATE GROWTH
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Year-End Fair Market Value (12/31/2011): $149,355.10.
This information will be furnished to the Internal Revenue Service.
Your fair market value may change based on transaction or asset valuation adjustments made after 12/31/2011.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

Client Account Beneficiary Information

Beneficiary Name	Primary /Contingent	Relationship to Account Holder(s)	Allocation Percent
[redacted]	PRIMARY	SPOUSE	100.00

Disclosures and Other Information:

The beneficiary information displayed is a summary of information you have provided and should accurately reflect the most recent beneficiary information received. An agreement you executed to designate any beneficiaries displayed controls distribution to such parties. If differences exist between information here, and the signed beneficiary agreement, the agreement will control. Please contact your Financial Consultant to update your beneficiary information if necessary or to review more detailed information concerning your designations.

FDIC Insured Bank Deposits

Sweep FDIC Insured Bank Deposits

DEUTSCHE BANK INS DEPOSIT E
Account Number: [redacted] Activity Ending: 11/30/12

Date	Activity Type	Description	Amount	Balance
11/01/12	Opening Balance		2,672.08	2,672.08
11/02/12	Deposit	DEPOSIT	371.17	3,043.25
11/15/12	Deposit	INTEREST CREDITED APY 0.1%	0.22	3,043.47

ATTACHMENT 2

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

SEP
Account Statement

Statement Period: 11/01/2012 - 11/30/2012

FDIC Insured Bank Deposits *(continued)*

Date	Activity Type	Description	Amount	Balance
Sweep FDIC Insured Bank Deposits *(continued)*				
DEUTSCHE BANK INS DEPOSIT E *(continued)*				
11/16/12		Citizens Bank A/O 11/16 $3043.47		3,043.47
11/20/12	Deposit	DEPOSIT	82.50	3,125.97
11/23/12	Deposit	DEPOSIT	194.44	3,320.41
11/30/12	Deposit	DEPOSIT	354.39	3,674.80
11/30/12	**Closing Balance**			**$3,674.80**
Total FDIC Insured Bank Deposits				**$3,674.80**

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Note: For the period from December 31, 2010 through December 31, 2012 the FDIC provides unlimited insurance coverage on certain non interest bearing bank accounts. If your account is in such a program it will be stated in your Message section.

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and Bank Deposits 1.00% of Portfolio									
FDIC Insured Bank Deposits									
DEUTSCHE BANK INS DEPOSIT E									
3,674.800	11/01/12	[redacted]	11/30/12	2,672.08	3,674.80	0.15	41.17	N/A	N/A
Total FDIC Insured Bank Deposits				**$2,672.08**	**$3,674.80**	**$0.15**	**$41.17**		
Total Cash, Money Funds, and Bank Deposits				**$2,672.08**	**$3,674.80**	**$0.15**	**$41.17**		

ATTACHMENT 2

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds 99.00% of Portfolio								
Mutual Funds								
BLACKROCK GLOBAL ALLOCATION FUND INC CLASS A CUSIP: 09251T103 Open End Fund Dividend Option: Reinvest; Capital Gains Option: Reinvest			**Security Identifier:** MDLOX					
Total Noncovered 2,642.047	Multiple*,Y	19.0490	50,327.34	19.5400	51,625.60	1,298.26	838.55	1.62%
FIRST EAGLE GLOBAL FUND CLASS A CUSIP: 32008F507 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			**Security Identifier:** SGENX					
1,064.056	01/31/12*	46.9900	50,000.00	49.5200	52,692.05	2,692.05	559.69	1.06%
IVY HIGH INCOME FUND CLASS A CUSIP: 466000668 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			**Security Identifier:** WHIAX					
6,127.451	01/31/12*	8.1600	50,000.00	8.5700	52,512.26	2,512.26	3,874.12	7.37%
IVY ASSET STRATEGY FUND CLASS A CUSIP: 466000759 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			**Security Identifier:** WASAX					
2,064.410	01/31/12*	24.2200	50,000.00	25.8400	53,344.35	3,344.35	578.86	1.08%
IVY ASSET STRATEGY NEW OPPORTUNITIES FUND CLASS A CUSIP: 466001732 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			**Security Identifier:** INOAX					
2,901.354	01/31/12*	10.3400	30,000.00	10.3500	30,029.01	29.01		
LOOMIS SAYLES BOND FUND RETAIL CLASS CUSIP: 543495832 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			**Security Identifier:** LSBRX					
3,472.222	01/31/12*	14.4[illegible]	50,000.00	15.0200	52,1[illegible]	2,[illegible]	2,784.02	5.33%
MARSICO FLEXIBLE CAPITAL FUND CUSIP: 573012507 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			**Security Identifier:** MCFX					

ATTACHMENT 2

BENJAMIN F. EDWARDS & CO.
INVESTMENTS *for* GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

SEP
Account Statement

Statement Period: 11/01/2012 - 11/30/2012

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds *(continued)*								
Mutual Funds *(continued)*								
MARSICO FLEXIBLE CAPITAL FUND *(continued)*								
2,074.689	05/29/12*	14.4600	30,000.00	15.5800	32,323.66	2,323.66	119.70	0.37%
NUVEEN TACTICAL MRKT OPPORTUNITIES FUND CLASS A CUSIP: 670690221 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			Security Identifier: NTMAX					
4,496.403	01/31/12*	11.1200	50,000.00	11.2500	50,584.53	584.53		
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS D CUSIP: 72200Q190 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			Security Identifier: PAUDX					
1,395.023	01/31/12*	10.4900	14,633.79	11.2400	15,680.06	1,046.27	1,049.94	6.69%
PIMCO GLOBAL MULTI ASSET FUND CLASS D CUSIP: 72201P308 Open End Fund Dividend Option: Reinvest; Capital Gains Option: Reinvest			Security Identifier: PGMDX					
Total Noncovered 2,241.711	Multiple*,Y	11.1600	25,018.10	11.4200	25,600.34	582.24	883.11	3.44%
TEMPLETON GLOBAL BOND FUND ADVISOR CLASS CUSIP: 880208400 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest			Security Identifier: TGBAX					
1,927.525	01/31/12*	12.9700	25,000.00	13.5700	26,156.51	1,156.51	1,161.52	4.44%
Total Mutual Funds			$424,979.23		$442,701.14	$17,721.91	$11,849.51	
Total Mutual Funds			$424,979.23		$442,701.14	$17,721.91	$11,849.51	

ATTACHMENT 2

Portfolio Holdings *(continued)*

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$428,654.03	$446,375.94	$17,721.91	$0.00	$11,890.68

* Noncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L.110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered " noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

† This line is an aggregation of shares in this position, representing shares acquired at different times and/or dates. This summary is a sum of these shares and does not represent cost basis calculated using the average cost accounting method.

Disclosures and Other Information

Pricing

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices have been obtained from quotation services, which we believe to be reliable. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange.

Reinvestment

The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. Upon written request to your financial institution, information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow.

Option Disclosure

Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions

Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

ATTACHMENT 2

Proxy Vote

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

SEP
Account Statement

Statement Period: 11/01/2012 - 11/30/2012

Portfolio Holdings *(continued)*

Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Securities		
Securities Sold	0.00	152,730.54
Total Securities	**$0.00**	**$152,730.54**
Additional Transactions		
Dividends and Interest	1,002.72	6,960.74
Fees	0.00	300.68
Total Additional Transactions	**$1,002.72**	**$7,261.42**
Cash		
Deposits	0.00	526,028.49
Total Cash	**$0.00**	**$526,028.49**
Money Market and Bank Deposits		
FDIC Insured Bank Deposits	0.00	554,739.70
Total Money Market and Bank Deposits	**$0.00**	**$554,739.70**
Total Credits	**$1,002.72**	**$1,240,760.15**

Debits	This Period	Year-to-Date
Securities		
Securities Bought	0.00	-440,345.44
Total Securities	**$0.00**	**-$440,345.44**
Additional Transactions		
Dividends and Interest	0.00	0.00
Fees	0.00	-4,971.15
Total Additional Transactions	**$0.00**	**-$4,971.15**
Cash		
Withdrawals	0.00	-253,482.28
Total Cash	**$0.00**	**-$253,482.28**
Money Market and Bank Deposits		
FDIC Insured Bank Deposits	-1,002.72	-541,961.28
Total Money Market and Bank Deposits	**-$1,002.72**	**-$541,961.28**
Total Debits	**-$1,002.72**	**-$1,240,760.15**

Transactions in Date Sequence

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
11/01/12		CASH DIVIDEND RECEIVED WHIAX	IVY HIGH INCOME FUND CLASS A FOR ACCRUAL PERIOD ENDING 10/26/12				371.17	USD
11/02/12		FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-371.17	USD
11/16/12	11/15/12	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED 250990041	DEUTSCHE BANK INS INTEREST REINVESTED				-0.22	USD

ATTACHMENT 2

[redacted]

Transactions in Date Sequence *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
11/16/12	11/15/12	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED				0.22	USD
11/19/12		CASH DIVIDEND RECEIVED TGBAX	TEMPLETON GLOBAL BOND FUND ADVISOR CLASS 1927.5250 SHRS RD 11/14 PD 11/19/12				82.50	USD
11/20/12		FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-82.50	USD
11/21/12		CASH DIVIDEND RECEIVED LSBRX	LOOMIS SAYLES BOND FUND RETAIL CLASS 3472.2220 SHRS RD 11/19 PD 11/21/12				194.44	USD
11/23/12		FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-194.44	USD
11/29/12		CASH DIVIDEND RECEIVED WHIAX	IVY HIGH INCOME FUND CLASS A FOR ACCRUAL PERIOD ENDING 11/27/12				354.39	USD
11/30/12		FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-354.39	USD
Total Value of Transactions						**$0.00**	**$0.00**	

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Dividend Income		
Equities	1,002.50	6,919.57
Interest Income		
FDIC Insured Bank Deposits	0.22	41.17
Total Dividends, Interest, Income and Expenses	**$1,002.72**	**$6,960.74**

Messages

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).
YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET FUND AND FDIC INSURED BANK RATES AND BANK LISTS." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEBSITE.

Although a money market mutual fund seeks to preserve the value of your investment at $1 per share, it is possible to lose money by investing in a money market mutual fund. Please see the money market mutual fund's prospectus or contact your investment professional for additional information.

Benjamin F. Edwards & Co. is updating its client profile information to better serve your needs and to comply with new regulatory requirements (FINRA Rule 2111). As a result, your financial consultant may request up-to-date information regarding your goals, objectives and financial information.

Go Paperless!

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When you choose electronic delivery of brokerage account statements and trade confirmations, there is no more searching through a file cabinet or shoebox to find what you're looking for as everything you need is located in one secure, online location. Switching your document delivery preferences takes just a moment and provides a level of convenience you're sure to appreciate.

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

SEP
Account Statement

SEP FBO CHARLES J FLEISCHMANN
PERSHING LLC AS CUSTODIAN
[redacted]

Account Number: [redacted]
Statement Period: 12/01/2012 - 12/31/2012

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	$446,375.94	$149,355.10
Cash Deposits	0.00	526,028.49
Cash Withdrawals	0.00	-253,482.28
Dividends/Interest	9,422.87	16,383.61
Fees	0.00	-4,670.47
Change in Account Value	-2,053.49	20,130.87
Ending Account Value	$453,745.32	$453,745.32
Estimated Annual Income	$12,154.66	

Your Financial Consultant:
WARD PETTY AND JOE PETTY
(423) 668-5411

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds, and Bank Deposits[1]	16,453.22	3,674.80	7,942.39	2%
Mutual Funds	132,901.88	442,701.14	445,802.93	98%
Account Total	**$149,355.10**	**$446,375.94**	**$453,745.32**	**100%**

Asset Allocation percentages are rounded to the nearest whole percentage.

1 **The Bank Deposits in your account are FDIC insured bank deposits.** FDIC insured bank deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). These bank deposits are covered by the Federal Deposit Insurance Corporation (FDIC), up to allowable limits.

ATTACHMENT 2

[redacted]

Additional Information

	This Period	Year-to-Date
Securities Bought and Sold	-$5,155.28	-$292,770.18

Summary of Gains and Losses

	Realized		
	This Period	Year-to-Date	Unrealized
Short-Term Gain/Loss	0.00	-313.72	7,433.16
Long-Term Gain/Loss	0.00	-20,073.80	8,235.26
Net Gain/Loss	**0.00**	**-20,387.52**	**15,668.42**

This summary excludes transactions where cost basis information is not available.

Client Service Information

Your Financial Consultant: S38
WARD PETTY AND JOE PETTY
345 FRAZIER
SUITE 205
CHATTANOOGA TN 37405-4121

Contact Information
Telephone Number: (423) 668-5411
Fax Number: (423) 668-5412

Investment Objective: MODERATE GROWTH
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Year-End Fair Market Value (12/31/2012): **$453,745.32.**
This information will be furnished to the Internal Revenue Service.
Your fair market value may change based on transaction or asset valuation adjustments made after 12/31/2012.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

Client Account Beneficiary Information

Beneficiary Name	Primary /Contingent	Relationship to Account Holder(s)	Allocation Percent
[redacted]	PRIMARY	SPOUSE	100.00

Disclosures and Other Information:

The beneficiary information displayed is a summary of information you have provided and should accurately reflect the most recent beneficiary information received. An agreement you executed to designate any beneficiaries displayed controls distribution to such parties. If differences exist between information here, and the signed beneficiary agreement, the agreement will control. Please contact your Financial Consultant to update your beneficiary information if necessary or to review more detailed information concerning your designations.

FDIC Insured Bank Deposits

Sweep FDIC Insured Bank Deposits

DEUTSCHE BANK INS DEPOSIT E
Account Number: [redacted] Activity Ending: 12/31/12

Date	Activity Type	Description	Amount	Balance
12/01/12	Opening Balance		3,674.80	3,674.80
12/14/12	Deposit	INTEREST CREDITED APY 01%	0.28	3,675.08
12/17/12		Citizens Bank		3,675.08

ATTACHMENT 2

[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

SEP
Account Statement

Statement Period: 12/01/2012 - 12/31/2012

FDIC Insured Bank Deposits *(continued)*

Date	Activity Type	Description	Amount	Balance
Sweep FDIC Insured Bank Deposits *(continued)*				
DEUTSCHE BANK INS DEPOSIT E *(continued)*				
		A/O 12/17 $3675.08		
12/18/12	Deposit	DEPOSIT	2,111.80	5,786.88
12/19/12	Deposit	DEPOSIT	681.25	6,468.13
12/20/12	Deposit	DEPOSIT	495.57	6,963.70
12/26/12	Deposit	DEPOSIT	196.68	7,160.38
12/31/12	Deposit	DEPOSIT	451.09	7,611.47
12/31/12	Closing Balance			$7,611.47
Total FDIC Insured Bank Deposits				$7,611.47

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Note: For the period from December 31, 2010 through December 31, 2012 the FDIC provides unlimited insurance coverage on certain non interest bearing bank accounts. If your account is in such a program it will be stated in your Message section.

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and Bank Deposits 2.00% of Portfolio									
Cash Balance				0.00	330.92				
FDIC Insured Bank Deposits									
DEUTSCHE BANK INS DEPOSIT E									
7,611.470	12/01/12	[redacted]	12/31/12	3,674.80	7,611.47	0.28	41.45	N/A	N/A
Total FDIC Insured Bank Deposits				$3,674.80	$7,611.47	$0.28	$41.45		
Total Cash, Money Funds, and Bank Deposits				$3,674.80	$7,942.39	$0.28	$41.45		

ATTACHMENT 2

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds 98.00% of Portfolio								
Mutual Funds								
BLACKROCK GLOBAL ALLOCATION FUND INC CLASS A			Security Identifier: MDLOX					
CUSIP: 09251T103								
Open End Fund								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
Total Noncovered 2,656.378	Multiple*,Y	19.0520	50,609.95	19.7400	52,436.90	1,826.95	615.44	1.17%
FIRST EAGLE GLOBAL FUND CLASS A			Security Identifier: SGENX					
CUSIP: 32008F507								
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Reinvest								
Total Noncovered 1,099.798	Multiple*,Y	47.0220	51,714.19	48.5900	53,439.19	1,725.00	563.09	1.05%
IVY HIGH INCOME FUND CLASS A			Security Identifier: WHIAX					
CUSIP: 466000668								
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Reinvest								
Total Noncovered 6,201.912	Multiple*,Y	8.1650	50,635.90	8.5400	52,964.33	2,328.43	3,921.20	7.40%
IVY ASSET STRATEGY FUND CLASS A			Security Identifier: WASAX					
CUSIP: 466000759								
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Reinvest								
2,064.410	01/31/12*	24.2200	50,000.00	25.8800	53,426.93	3,426.93	1,386.25	2.59%
IVY ASSET STRATEGY NEW OPPORTUNITIES FUND CLASS A			Security Identifier: INOAX					
CUSIP: 466001732								
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Reinvest								
2,901.354	01/31/12*	10.3400	30,000.00	10.6500	30,899.42	899.42		
LOOMIS SAYLES BOND FUND RETAIL CLASS			Security Identifier: LSBRX					
CUSIP: 543495832								
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Reinvest								
3,472.222	01/31/12*	14.4000	50,000.00	15.0600	52,291.66	2,291.66	2,872.22	5.49%

ATTACHMENT 2

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds *(continued)*								
Mutual Funds *(continued)*								
MARSICO FLEXIBLE CAPITAL FUND			**Security Identifier: MFCFX**					
CUSIP: 573012507								
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Reinvest								
Total Noncovered 2,187.550	Multiple*,Y	14.4790	31,672.61	14.8700	32,528.87	856.26	207.37	0.63%
NUVEEN TACTICAL MRKT OPPORTUNITIES FUND CLASS A			**Security Identifier: NTMAX**					
CUSIP: 670690221								
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Reinvest								
Total Noncovered 4,508.396	Multiple*,Y	11.1200	50,134.44	11.1600	50,313.70	179.26	330.91	0.65%
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS D			**Security Identifier: PAUDX**					
CUSIP: 72200Q190								
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Reinvest								
1,395.023	01/31/12*	10.4900	14,633.79	11.0400	15,401.05	767.26	753.25	4.89%
PIMCO GLOBAL MULTI ASSET FUND CLASS D			**Security Identifier: PGMDX**					
CUSIP: 72201P308								
Open End Fund								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
Total Noncovered 2,275.408	Multiple*,Y	11.1640	25,402.86	11.4500	26,053.42	650.56	305.99	1.17%

ATTACHMENT 2

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds *(continued)*								
Mutual Funds *(continued)*								
TEMPLETON GLOBAL BOND FUND ADVISOR CLASS CUSIP: 880208400 Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest		Security Identifier: TGBAX						
Total Noncovered 1,952.583	Multiple*,†	12.9730	25,330.77	13.3400	26,047.46	716.69	1,157.49	4.44%
Total Mutual Funds			**$430,134.51**		**$445,802.93**	**$15,668.42**	**$12,113.21**	
Total Mutual Funds			**$430,134.51**		**$445,802.93**	**$15,668.42**	**$12,113.21**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	**$438,076.90**	**$453,745.32**	**$15,668.42**	**$0.00**	**$12,154.66**

* Noncovered under the cost basis rules as defined below.
Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L.110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered " noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

† This line is an aggregation of shares in this position, representing shares acquired at different times and/or dates. This summary is a sum of these shares and does not represent cost basis calculated using the average cost accounting method.

Disclosures and Other Information

Pricing

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices have been obtained from quotation services, which we believe to be reliable. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange.

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BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

SEP
Account Statement

Statement Period: 12/01/2012 - 12/31/2012

Portfolio Holdings *(continued)*

Reinvestment
The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. Upon written request to your financial institution, information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow.

Option Disclosure
Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions
Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote
Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Schedule of Realized Gains and Losses Year-to-Date

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term								
01/17/12	12/28/11 *	SELL First In First Out	EUROPACIFIC GROWTH FUND CLASS A	AEPGX	25.455	894.99	919.41	24.42
01/17/12	12/28/11 *	SELL First In First Out	MUNDER GROWTH OPPORTUNITIES	MNNYX	0.225	5.84	6.11	0.27
01/17/12	12/28/11 *	SELL First In First Out	MUNDER GROWTH OPPORTUNITIES	MNNYX	15.965	414.95	433.61	18.66
01/17/12	12/28/11 *	SELL First In First Out	SMALL-CAP WORLD FUND CLASS A	SMCWX	5.707	222.94	232.08	9.14

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Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term *(continued)*								
05/29/12	01/31/12 *	SELL First In First Out	PIMCO ALL ASSET ALL AUTHORITY FUND	PAUDX	1,464.844	15,366.21	15,000.00	-366.21
Total Short Term						**$16,904.93**	**$16,591.21**	**-$313.72**
Long Term								
01/17/12	Various*	SELL First In First Out	13EUROPACIFIC GROWTH FUND CLASS A	AEPGX	251.182	7,714.48	9,072.69	1,358.21
01/17/12	11/25/96 *	SELL First In First Out	13EUROPACIFIC GROWTH FUND CLASS A	AEPGX	641.026	18,000.00	23,153.86	5,153.86
01/17/12	12/18/00 *	SELL First In First Out	13EUROPACIFIC GROWTH FUND CLASS A	AEPGX	151.469	5,000.00	5,471.06	471.06
01/17/12	12/21/01 *	SELL First In First Out	13EUROPACIFIC GROWTH FUND CLASS A	AEPGX	25.758	683.61	930.38	246.77
01/17/12	12/23/02 *	SELL First In First Out	13EUROPACIFIC GROWTH FUND CLASS A	AEPGX	11.336	258.80	409.46	150.66
01/17/12	12/22/03 *	SELL First In First Out	13EUROPACIFIC GROWTH FUND CLASS A	AEPGX	11.415	335.04	412.31	77.27
01/17/12	12/22/04 *	SELL First In First Out	13EUROPACIFIC GROWTH FUND CLASS A	AEPGX	16.179	555.92	584.39	28.47
01/17/12	12/29/05 *	SELL First In First Out	13EUROPACIFIC GROWTH FUND CLASS A	AEPGX	35.897	1,467.48	1,296.60	-170.88
01/17/12	12/29/05 *	SELL First In First Out	13EUROPACIFIC GROWTH FUND CLASS A	AEPGX	19.494	796.91	704.12	-92.79
01/17/12	12/28/06 *	SELL First In First Out	13EUROPACIFIC GROWTH FUND CLASS A	AEPGX	53.794	2,471.82	1,943.04	-528.78
01/17/12	12/28/06 *	SELL First In First Out	13EUROPACIFIC GROWTH FUND CLASS A	AEPGX	19.527	897.26	705.32	-191.94
01/17/12	12/28/06 *	SELL First In First Out	13EUROPACIFIC GROWTH FUND CLASS A	AEPGX	14.867	683.12	537.00	-146.12

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BENJAMIN F. EDWARDS & CO.
INVESTMENTS *for* GENERATIONS
One North Brentwood Blvd., Suite 850
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Account Statement

Statement Period: 12/01/2012 - 12/31/2012

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Long Term *(continued)*								
01/17/12	12/14/07 *	SELL First In First Out	[13]EUROPACIFIC GROWTH FUND CLASS A	AEPGX	87.025	4,547.06	3,143.34	-1,403.72
01/17/12	12/14/07 *	SELL First In First Out	[13]EUROPACIFIC GROWTH FUND CLASS A	AEPGX	24.104	1,259.46	870.64	-388.82
01/17/12	12/24/08 *	SELL First In First Out	[13]EUROPACIFIC GROWTH FUND CLASS A	AEPGX	69.996	1,890.58	2,528.26	637.68
01/17/12	12/24/08 *	SELL First In First Out	[13]EUROPACIFIC GROWTH FUND CLASS A	AEPGX	39.212	1,059.11	1,416.34	357.23
01/17/12	12/28/09 *	SELL First In First Out	[13]EUROPACIFIC GROWTH FUND CLASS A	AEPGX	23.836	915.76	860.96	-54.80
01/17/12	12/28/10 *	SELL First In First Out	[13]EUROPACIFIC GROWTH FUND CLASS A	AEPGX	20.820	852.79	752.02	-100.77
01/17/12	03/28/00 *	SELL First In First Out	[13]MUNDER GROWTH OPPORTUNITIES	MNNYX	240.031	32,000.00	6,519.24	-25,480.76
01/17/12	12/18/00 *	SELL First In First Out	[13]MUNDER GROWTH OPPORTUNITIES	MNNYX	75.780	5,000.00	2,058.19	-2,941.81
01/17/12	Please Provide *	SELL First In First Out	[2]SMALL-CAP WORLD FUND CLASS A	SMCWX	190.989	Please Provide	6,608.22	N/A
01/17/12	Various *	SELL First In First Out	[13]SMALL-CAP WORLD FUND CLASS A	SMCWX	140.417	3,651.21	4,858.43	1,207.22
01/17/12	12/17/01 *	SELL First In First Out	[13]SMALL-CAP WORLD FUND CLASS A	SMCWX	0.57	12.92	19.96	7.04

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Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Long Term *(continued)*								
01/17/12	12/15/03 *	SELL First In First Out	[13]SMALL-CAP WORLD FUND CLASS A	SMCWX	0.381	9.83	13.18	3.35
01/17/12	12/13/04 *	SELL First In First Out	[13]SMALL-CAP WORLD FUND CLASS A	SMCWX	3.468	103.43	119.99	16.56
01/17/12	04/26/05 *	SELL First In First Out	[13]SMALL-CAP WORLD FUND CLASS A	SMCWX	1,272.669	40,000.00	44,034.34	4,034.34
01/17/12	01/03/06 *	SELL First In First Out	[13]SMALL-CAP WORLD FUND CLASS A	SMCWX	18.677	659.49	646.22	-13.27
01/17/12	01/03/06 *	SELL First In First Out	[13]SMALL-CAP WORLD FUND CLASS A	SMCWX	30.976	1,093.78	1,071.77	-22.01
01/17/12	01/02/07 *	SELL First In First Out	[13]SMALL-CAP WORLD FUND CLASS A	SMCWX	138.010	5,398.95	4,775.15	-623.80
01/17/12	01/02/07 *	SELL First In First Out	[13]SMALL-CAP WORLD FUND CLASS A	SMCWX	29.501	1,154.08	1,020.73	-133.35
01/17/12	01/02/07 *	SELL First In First Out	[13]SMALL-CAP WORLD FUND CLASS A	SMCWX	14.878	582.01	514.78	-67.23
01/17/12	12/28/07 *	SELL First In First Out	[13]SMALL-CAP WORLD FUND CLASS A	SMCWX	187.611	7,669.54	6,491.34	-1,178.20
01/17/12	12/28/07 *	SELL First In First Out	[13]SMALL-CAP WORLD FUND CLASS A	SMCWX	34.127	1,395.13	1,180.79	-214.34
01/17/12	12/29/09 *	SELL First In First Out	[13]SMALL-CAP WORLD FUND CLASS A	SMCWX	12.828	404.21	443.85	39.64
01/17/12	12/29/10 *	SELL First In First Out	[13]SMALL-CAP WORLD FUND CLASS A	SMCWX	28.074	1,081.13	971.36	-109.77
Total Long Term						$149,604.91	$136,139.33	-$20,073.80
Total Short Term and Long Term						$16[illegible],509.84	$152,730.54	-$20,387.52

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BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
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SEP
Account Statement

Statement Period: 12/01/2012 - 12/31/2012

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

* Noncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L.110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for noncovered securities. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. In addition, corporate action events may require adjustments to your original cost basis. Return of capital information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable.

Adjustments to cost basis can be made after year-end, in particular, for return of capital adjustments, but may also include adjustments for corporate action events. Therefore there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports, including your 1099-B or online displays you may have available to you.

When you report your cost basis on your tax return, it should be verified using all of your own records. In particular, there may be other adjustments which you need to make, but are not required to be made by Pershing as it relates to H.R. 1424. You should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

2 Realized gains and losses are not reported for securities for which cost basis or proceeds are not available.

13 The cost basis of this security has been provided to us by the delivering firm or transferring agent and Pershing makes no representation as to the accuracy of this information.

Please refer to the Client Service Information Section in your brokerage account statement for your account's existing tax-lot disposition method. The disposition method is the method which you have selected to use in the disposal of each tax lot of the securities held in your account. If you do not select a method, your account will be defaulted to First In, First Out (FIFO). Your account's selected tax lot method will be used to determine the cost basis for calculating gain and/or loss, unless another method was selected at the time of the security disposal, and this may be reported on the IRS Form 1099-B.

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Securities		
Securities Sold	0.00	152,730.54
Total Securities	$0.00	$152,730.54

Debits	This Period	Year-to-Date
Securities		
Securities Bought	-5,155.28	-445,500.72
Total Securities	-$5,155.28	-$445,500.72

ATTACHMENT 2

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Activity Summary *(continued)*

Credits	This Period	Year-to-Date
Additional Transactions		
Dividends and Interest	4,834.65	11,795.39
Distributions	4,588.22	4,588.22
Fees	0.00	300.68
Total Additional Transactions	**$9,422.87**	**$16,684.29**
Cash		
Deposits	0.00	526,028.49
Total Cash	**$0.00**	**$526,028.49**
Money Market and Bank Deposits		
FDIC Insured Bank Deposits	0.00	554,739.70
Total Money Market and Bank Deposits	**$0.00**	**$554,739.70**
Total Credits	**$9,422.87**	**$1,250,183.02**

Debits	This Period	Year-to-Date
Additional Transactions		
Dividends and Interest	0.00	0.00
Distributions	0.00	0.00
Fees	0.00	-4,971.15
Total Additional Transactions	**$0.00**	**-$4,971.15**
Cash		
Withdrawals	0.00	-253,482.28
Total Cash	**$0.00**	**-$253,482.28**
Money Market and Bank Deposits		
FDIC Insured Bank Deposits	-3,936.67	-545,897.95
Total Money Market and Bank Deposits	**-$3,936.67**	**-$545,897.95**
Total Debits	**-$9,091.95**	**-$1,249,852.10**

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
12/13/12	LONG TERM CAPITAL GAIN DISTRIBUTION PGMDX	PIMCO GLOBAL MULTI ASSET FUND CLASS D 2241.7110 SHRS RD 12/11 PD 12/12/12				100.31	USD
12/13/12	REINVEST CASH INCOME PGMDX	PIMCO GLOBAL MULTI ASSET FUND CLASS D 2241.7110 SHRS SHRS PURCH. AT $11.50000 RD 12/11 PD 12/12/12	8.723			-100.31	USD
12/14/12	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED 250990041	DEUTSCHE BANK INS INTEREST REINVESTED				-0.28	USD
12/14/12	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED				0.28	USD
12/17/12	LONG TERM CAPITAL GAIN DISTRIBUTION SGENX	FIRST EAGLE GLOBAL FUND CLASS A 1064.0560 SHRS RD 12/12 PD 12/14/12				1,435.41	USD
12/17/12	CASH DIVIDEND RECEIVED SGENX	FIRST EAGLE GLOBAL FUND CLASS A 1064.0560 SHRS RD 12/12 PD 12/14/12				544.80	USD
12/17/12	SHORT TERM CAPITAL GAIN DISTRIBUTION SGENX	FIRST EAGLE GLOBAL FUND CLASS A 1064.0560 SHRS RD 12/12 PD 12/14/12				278.78	USD
12/17/12	REINVEST CASH INCOME SGENX	FIRST EAGLE GLOBAL FUND CLASS A 1064.0560 SHRS SHRS PURCH. AT $47.96000 RD 12/12 PD 12/14/12	[illegible]813			-278.78	USD

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BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

SEP
Account Statement

Statement Period: 12/01/2012 - 12/31/2012

Transactions in Date Sequence *(continued)*

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
12/17/12	REINVEST CASH INCOME SGENX	FIRST EAGLE GLOBAL FUND CLASS A 1064.0560 SHRS SHRS PURCH. AT $47.96000 RD 12/12 PD 12/14/12	29.929			-1,435.41	USD
12/17/12	LONG TERM CAPITAL GAIN DISTRIBUTION WHIAX	IVY HIGH INCOME FUND CLASS A 6127.4510 SHRS RD 12/13 PD 12/13/12				147.30	USD
12/17/12	SHORT TERM CAPITAL GAIN DISTRIBUTION WHIAX	IVY HIGH INCOME FUND CLASS A 6127.4510 SHRS RD 12/13 PD 12/13/12				488.60	USD
12/17/12	REINVEST CASH INCOME WHIAX	IVY HIGH INCOME FUND CLASS A 6127.4510 SHRS SHRS PURCH. AT $8.54000 RD 12/13 PD 12/13/12	17.248			-147.30	USD
12/17/12	REINVEST CASH INCOME WHIAX	IVY HIGH INCOME FUND CLASS A 6127.4510 SHRS SHRS PURCH. AT $8.54000 RD 12/13 PD 12/13/12	57.213			-488.60	USD
12/17/12	CASH DIVIDEND RECEIVED WASAX	IVY ASSET STRATEGY FUND CLASS A 2064.4100 SHRS RD 12/12 PD 12/13/12				1,386.25	USD
12/17/12	CASH DIVIDEND RECEIVED INOAX	IVY ASSET STRATEGY NEW OPPORTUNITIES FUND CLASS A 2901.3540 SHRS RD 12/12 PD 12/13/12				180.75	USD
12/17/12	LONG TERM CAPITAL GAIN DISTRIBUTION NTMAX	NUVEEN TACTICAL MRKT OPPORTUNITIES FUND CLASS A 4496.4030 SHRS RD 12/13 PD 12/17/12				52.16	USD
12/17/12	SHORT TERM CAPITAL GAIN DISTRIBUTION NTMAX	NUVEEN TACTICAL MRKT OPPORTUNITIES FUND CLASS A 4496.4030 SHRS RD 12/13 PD 12/17/12				82.28	USD
12/17/12	REINVEST CASH INCOME NTMAX	NUVEEN TACTICAL MRKT OPPORTUNITIES FUND CLASS A 4496.4030 SHRS SHRS PURCH. AT $11.21000 RD 12/13 PD 12/17/12	7.340			-82.28	USD
12/17/12	REINVEST CASH INCOME NTMAX	NUVEEN TACTICAL MRKT OPPORTUNITIES FUND CLASS A 4496.4030 SHRS SHRS PURCH. AT $11.21000 RD 12/13 PD 12/17/12	4.653			-52.16	USD
12/18/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-2,111.80	USD
12/18/12	CASH DIVIDEND RECEIVED LSBRX	LOOMIS SAYLES BOND FUND RETAIL CLASS 3[illegible]72.[illegible]220 SHRS RD 12/14 PD 12/18/12				681.25	USD
12/18/12	LONG TERM CAPITAL GAIN DISTRIBUTION TGBAX	TEMPLETON GLOBAL BOND FUND ADVISOR CLASS 1927.5250 SHRS RD 12/14 PD 12/19/12				327.49	USD

ATTACHMENT 2

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Transactions in Date Sequence *(continued)*

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
12/18/12	SHORT TERM CAPITAL GAIN DISTRIBUTION TGBAX	TEMPLETON GLOBAL BOND FUND ADVISOR CLASS 1927.5250 SHRS RD 12/14 PD 12/19/12				3.28	USD
12/18/12	REINVEST CASH INCOME TGBAX	TEMPLETON GLOBAL BOND FUND ADVISOR CLASS 1927.5250 SHRS SHRS PURCH. AT $13.20000 RD 12/14 PD 12/19/12	0.248			-3.28	USD
12/18/12	REINVEST CASH INCOME TGBAX	TEMPLETON GLOBAL BOND FUND ADVISOR CLASS 1927.5250 SHRS SHRS PURCH. AT $13.20000 RD 12/14 PD 12/19/12	24.810			-327.49	USD
12/19/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-681.25	USD
12/19/12	CASH DIVIDEND RECEIVED TGBAX	TEMPLETON GLOBAL BOND FUND ADVISOR CLASS 1927.5250 SHRS RD 12/14 PD 12/19/12				495.57	USD
12/20/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-495.57	USD
12/20/12	CASH DIVIDEND RECEIVED MDLOX	BLACKROCK GLOBAL ALLOCATION FUND INC CLASS A 2642.0470 SHRS RD 12/18 PD 12/20/12				282.61	USD
12/20/12	REINVEST CASH INCOME MDLOX	BLACKROCK GLOBAL ALLOCATION FUND INC CLASS A 2642.0470 SHRS SHRS PURCH. AT $19.72000 RD 12/18 PD 12/20/12	14.331			-282.61	USD
12/24/12	LONG TERM CAPITAL GAIN DISTRIBUTION MFCFX	MARSICO FLEXIBLE CAPITAL FUND 2074.6890 SHRS RD 12/20 PD 12/21/12				1,282.57	USD
12/24/12	CASH DIVIDEND RECEIVED MFCFX	MARSICO FLEXIBLE CAPITAL FUND 2074.6890 SHRS RD 12/20 PD 12/21/12				196.68	USD
12/24/12	SHORT TERM CAPITAL GAIN DISTRIBUTION MFCFX	MARSICO FLEXIBLE CAPITAL FUND 2074.6890 SHRS RD 12/20 PD 12/21/12				390.04	USD
12/24/12	REINVEST CASH INCOME MFCFX	MARSICO FLEXIBLE CAPITAL FUND 2074.6890 SHRS SHRS PURCH. AT $14.82000 RD 12/20 PD 12/21/12	26.318			-390.04	USD
12/24/12	REINVEST CASH INCOME MFCFX	MARSICO FLEXIBLE CAPITAL FUND 2074.6890 SHRS SHRS PURCH. AT $14.82000 RD 12/20 PD 12/21/12	86.543			-1,282.57	USD
12/26/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-196.68	USD
12/28/12	CASH DIVIDEND RECEIVED PAUDX	PIMCO ALL ASSET ALL AUTHORITY FUND CLASS D 1395.0230 SHRS RD 12/26 PD 12/27/12				451.09	USD
12/28/12	CASH DIVIDEND RECEIVED PGMDX	PIMCO GLOBAL MULTI ASSET FUND CLASS D 2250.4340 SHRS RD 12/26 PD 12/27/12				284.45	USD
12/28/12	REINVEST CASH INCOME PGMDX	PIMCO GLOBAL MULTI ASSET FUND CLASS D 2250.4340 SHRS SHRS PURCH. AT $11.39000 RD 12/26 PD 12/27/12	[illegible]			-284.45	USD
12/31/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-451.09	USD

ATTACHMENT 2

Transactions in Date Sequence *(continued)*

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
12/31/12	CASH DIVIDEND RECEIVED NTMAX	NUVEEN TACTICAL MRKT OPPORTUNITIES FUND CLASS A 4508.3960 SHRS RD 12/27 PD 12/31/12				330.92	USD
Total Value of Transactions					$0.00	$330.92	

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Dividend Income		
Equities	4,834.37	11,753.94
Interest Income		
FDIC Insured Bank Deposits	0.28	41.45
Total Dividends, Interest, Income and Expenses	**$4,834.65**	**$11,795.39**
Distributions		
Long - Term Capital Gain Distributions	3,345.24	3,345.24
Short - Term Capital Gain Distributions	1,242.98	1,242.98
Total Distributions	**$4,588.22**	**$4,588.22**

Messages

Although a money market mutual fund seeks to preserve the value of your investment at $1 per share, it is possible to lose money by investing in a money market mutual fund. Please see the money market mutual fund's prospectus or contact your investment professional for additional information.

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).
YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET FUND AND FDIC INSURED BANK RATES AND BANK LISTS." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEBSITE.

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BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
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SEP
Account Statement

Account Number: [redacted]
Statement Period: 01/01/2012 - 03/31/2012

SEP FBO CHARLES J FLEISCHMANN
PERSHING LLC AS CUSTODIAN
[redacted]

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	$79,389.48	$79,389.48
Change in Account Value	1,655.60	1,655.60
Ending Account Value	$81,045.08	$81,045.08

Your Financial Consultant:
WARD PETTY AND JOE PETTY
(423) 668-5411

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Alternative Investments	79,389.48	79,389.48	81,045.08	100%
Account Total	$79,389.48	$79,389.48	$81,045.08	100%

Your Account is 100% invested in Alternative Investments.

ATTACHMENT 3

[redacted]

Client Service Information

Your Financial Consultant: S38	Contact Information	
WARD PETTY AND JOE PETTY 345 FRAZIER SUITE 205 CHATTANOOGA TN 37405-4121	Telephone Number: (423) 668-5411 Fax Number: (423) 668-5412	
Investment Objective: MODERATE GROWTH Risk Exposure: NONE SPECIFIED		If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Year-End Fair Market Value (12/31/2011): $79,389.48.
This information will be furnished to the Internal Revenue Service.
Your fair market value may change based on transaction or asset valuation adjustments made after 12/31/2011.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

Client Account Beneficiary Information

Beneficiary Name	Primary /Contingent	Relationship to Account Holder(s)	Allocation Percent
[redacted]	PRIMARY	SPOUSE	100.00

Disclosures and Other Information:

The beneficiary information displayed is a summary of information you have provided and should accurately reflect the most recent beneficiary information received. An agreement you executed to designate any beneficiaries displayed controls distribution to such parties. If differences exist between information here, and the signed beneficiary agreement, the agreement will control. Please contact your Financial Consultant to update your beneficiary information if necessary or to review more detailed information concerning your designations.

Portfolio Holdings

Quantity	Description	Market Price	Estimated Value
Alternative Investments 100.00% of Portfolio			
17.785	FUTURES PORTFOLIO FD CLASS A SHARES LIMITED PARTNERSHIP *Valuation Date:* 03/26/12 *Valuation Code:* A, H, C *Security Identifier:* 360990196	4,556.9600	81,045.08
Total Alternative Investments			$81,045.08

Valuation Codes:

A = This is an estimate of the investors' interest in the net assets of the program.
C = The source of this information is the management of the program.
H = The method of valuation is based solely on program management's estimate of the value of the programs net assets with no independent confirmation.

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[redacted]

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Saint Louis, MO 63105 314-726-1600

SEP

Account Statement

Statement Period: 01/01/2012 - 03/31/2012

Portfolio Holdings *(continued)*

Description	Market Value	Accrued Interest
Total Portfolio Holdings	**$81,045.08**	**$0.00**

The estimated values, where indicated of Alternative Investments, including limited partnerships, real estate investment trusts (REITs), direct participation programs (DPPs), hedge funds, fund of funds, private equity, real estate and managed future have been provided by the management of the Alternative Investment, generally through an intermediary. The values are not guaranteed, provided for informational purposes only and are intended to reflect an estimate of the interest in the Alternative Investment represented by the units or shares described above. Alternative Investment securities are generally illiquid, and the value may not be realized when you seek to liquidate the security. Please note the estimated values for Alternative Investments, which are provided by the management of the Alternative Investment, may not reflect recent activity or current values and do not reflect an independent evaluation of the Alternative Investment.

Where no value is indicated, please note that:

- Alternative Investment securities are generally illiquid
- The value of the security may be different from its purchase price
- Accurate valuation information is not available

Disclosures and Other Information

Pricing - This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices have been obtained from quotation services, which we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will

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One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

SEP
Account Statement

SEP FBO CHARLES J FLEISCHMANN
PERSHING LLC AS CUSTODIAN
[redacted]

Account Number: [redacted]
Statement Period: 04/01/2012 - 06/30/2012

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	$81,045.08	$79,389.48
Change in Account Value	2,429.59	4,085.19
Ending Account Value	$83,474.67	$83,474.67

Your Financial Consultant:
WARD PETTY AND JOE PETTY
(423) 668-5411

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Alternative Investments	79,389.48	81,045.08	83,474.67	100%
Account Total	$79,389.48	$81,045.08	$83,474.67	100%

Your Account is 100% invested in Alternative Investments.

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[redacted]

Client Service Information

Your Financial Consultant: S38	Contact Information
WARD PETTY AND JOE PETTY 345 FRAZIER SUITE 205 CHATTANOOGA TN 37405-4121	Telephone Number: (423) 668-5411 Fax Number: (423) 668-5412

Investment Objective: MODERATE GROWTH
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Year-End Fair Market Value (12/31/2011): $79,389.48.
This information will be furnished to the Internal Revenue Service.
Your fair market value may change based on transaction or asset valuation adjustments made after 12/31/2011.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

Client Account Beneficiary Information

Beneficiary Name	Primary /Contingent	Relationship to Account Holder(s)	Allocation Percent
[redacted]	PRIMARY	SPOUSE	100.00

Disclosures and Other Information:

The beneficiary information displayed is a summary of information you have provided and should accurately reflect the most recent beneficiary information received. An agreement you executed to designate any beneficiaries displayed controls distribution to such parties. If differences exist between information here, and the signed beneficiary agreement, the agreement will control. Please contact your Financial Consultant to update your beneficiary information if necessary or to review more detailed information concerning your designations.

Portfolio Holdings

Quantity	Description	Market Price	Estimated Value
Alternative Investments 100.00% of Portfolio			
17.785	FUTURES PORTFOLIO FD CLASS A SHARES LIMITED PARTNERSHIP *Valuation Date:* 06/22/12 *Valuation Code:* A, H, C *Security Identifier:* 360990196	4,693.5700	83,474.67
Total Alternative Investments			$83,474.67

Valuation Codes:

A = This is an estimate of the investors' interest in the net assets of the program.
C = The source of this information is the management of the program.
H = The method of valuation is based solely on program management's estimate of the value of the programs net assets with no independent confirmation.

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[redacted]

SEP

Account Statement

Statement Period: 04/01/2012 - 06/30/2012

Portfolio Holdings *(continued)*

Description	Market Value	Accrued Interest
Total Portfolio Holdings	$83,474.67	$0.00

The estimated values, where indicated of Alternative Investments, including limited partnerships, real estate investment trusts (REITs), direct participation programs (DPPs), hedge funds, fund of funds, private equity, real estate and managed future have been provided by the management of the Alternative Investment, generally through an intermediary. The values are not guaranteed, provided for informational purposes only and are intended to reflect an estimate of the interest in the Alternative Investment represented by the units or shares described above. Alternative Investment securities are generally illiquid, and the value may not be realized when you seek to liquidate the security. Please note the estimated values for Alternative Investments, which are provided by the management of the Alternative Investment, may not reflect recent activity or current values and do not reflect an independent evaluation of the Alternative Investment.

Where no value is indicated, please note that:

- Alternative Investment securities are generally illiquid
- The value of the security may be different from its purchase price
- Accurate valuation information is not available

Disclosures and Other Information

Pricing - This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices have been obtained from quotation services, which we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

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Saint Louis, MO 63105 314-726-1600

[redacted]

SEP Account Statement

SEP FBO CHARLES J FLEISCHMANN
PERSHING LLC AS CUSTODIAN
[redacted]

Account Number: [redacted]
Statement Period: 07/01/2012 - 07/31/2012

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	$83,474.67	$79,389.48
Cash Deposits	100,000.00	100,000.00
Cash Withdrawals	-100,000.00	-100,000.00
Dividends/Interest	0.54	0.54
Fees	-100.00	-100.00
Change in Account Value	95,531.90	99,617.09
Ending Account Value	$178,907.11	$178,907.11
Estimated Annual Income	$0.54	

Your Financial Consultant:
WARD PETTY AND JOE PETTY
(423) 668-5411

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Alternative Investments	79,389.48	83,474.67	179,006.57	100%
Cash, Money Funds, and FDIC Deposits	0.00	0.00	-99.46	0%
Account Total	$79,389.48	$83,474.67	$178,907.11	100%

Your Account is 100% invested in Alternative Investments.

See page 2 of this statement for important information regarding the Asset Allocation section.

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[redacted]

Asset Allocation Disclosure and Footnotes

NOTE: Unpriced securities in your account may cause the total brokerage account assets to be understated.

Client Service Information

Your Financial Consultant: S38

WARD PETTY AND JOE PETTY
345 FRAZIER
SUITE 205
CHATTANOOGA TN 37405-4121

Contact Information
Telephone Number: (423) 668-5411
Fax Number: (423) 668-5412

Investment Objective: MODERATE GROWTH
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Year-End Fair Market Value (12/31/2011): $79,389.48.
This information will be furnished to the Internal Revenue Service.
Your fair market value may change based on transaction or asset valuation adjustments made after 12/31/2011.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

Client Account Beneficiary Information

Beneficiary Name	Primary /Contingent	Relationship to Account Holder(s)	Allocation Percent
[redacted]	PRIMARY	SPOUSE	100.00

Disclosures and Other Information:

The beneficiary information displayed is a summary of information you have provided and should accurately reflect the most recent beneficiary information received. An agreement you executed to designate any beneficiaries displayed controls distribution to such parties. If differences exist between information here, and the signed beneficiary agreement, the agreement will control. Please contact your Financial Consultant to update your beneficiary information if necessary or to review more detailed information concerning your designations.

FDIC Insured Bank Deposits

Sweep FDIC Insured Bank Deposits

DEUTSCHE BANK INS DEPOSIT E
Account Number: EBR299133 Activity Ending: 07/31/12

Date	Activity Type	Description	Amount	Balance
06/30/12	Opening Balance		0.00	0.00
07/25/12	Deposit	DEPOSIT	100,000.00	100,000.00

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INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

SEP
Account Statement

Statement Period: 07/01/2012 - 07/31/2012

FDIC Insured Bank Deposits *(continued)*

Date	Activity Type	Description	Amount	Balance
Sweep FDIC Insured Bank Deposits *(continued)*				
DEUTSCHE BANK INS DEPOSIT E *(continued)*				
07/27/12	Withdrawal	WITHDRAWAL	-100,000.54	-0.54
07/27/12	Deposit	INTEREST POSTED	0.54	0.00
07/31/12	Closing Balance			$0.00
Total FDIC Insured Bank Deposits				**$0.00**

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Note: For the period from December 31, 2010 through December 31, 2012 the FDIC provides unlimited insurance coverage on certain non interest bearing bank accounts. If your account is in such a program it will be stated in your Message section.

Portfolio Holdings

Quantity	Description	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-day Yield
	Cash, Money Funds, and FDIC Deposits 0.00% of Portfolio					
	Cash Balance	0.00	-99.46			
	FDIC Insured Bank Deposits					
	DEUTSCHE BANK INS DEPOSIT E	0.00	0.00	0.00	0.54	N/A
	Total FDIC Insured Bank Deposits	$0.00	$0.00	$0.00	$0.54	
	Total Cash, Money Funds, and FDIC Deposits	**$0.00**	**-$99.46**	**$0.00**	**$0.54**	

Quantity	Description	Market Price	Estimated Value
	Alternative Investments 100.00% of Portfolio		
17.785	FUTURES PORTFOLIO FD CLASS B SHARES LIMITED PARTNERSHIP *Valuation Date:* 07/24/12 *Valuation Code:* A, H, [illegible] *Security Identifier:* 360990[illegible]96	4,442.8400	79,006.97

ATTACHMENT 3

[redacted]

Portfolio Holdings *(continued)*

Quantity	Description	Market Price	Estimated Value
Alternative Investments *(continued)*			
100,000.000	TOWERHILL LONG SHORT EQUITY LLC *Valuation Date:* 07/27/12 *Valuation Code:* B, V, C *Security Identifier:* 891LP1239	N/A	100,000.00
Total Alternative Investments			$179,006.57

Valuation Codes:

A = This is an estimate of the investors' interest in the net assets of the program.
B = This is an estimate of the value of the security.
C = The source of this information is the management of the program.
H = The method of valuation is based solely on program management's estimate of the value of the program's net assets with no independent confirmation.
V = Value indicated reflects derived unit value to accommodate estimated unit value greater than $9,999.99, or unique estimated value. Please contact your investment professional or financial organization for additional information.

Description	Market Value	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$178,907.11	$0.00	$0.54

The estimated values, where indicated of Alternative Investments, including limited partnerships, real estate investment trusts (REITs), direct participation programs (DPPs), hedge funds, fund of funds, private equity, real estate and managed future have been provided by the management of the Alternative Investment, generally through an intermediary. The values are not guaranteed, provided for informational purposes only and are intended to reflect an estimate of the interest in the Alternative Investment represented by the units or shares described above. Alternative Investment securities are generally illiquid, and the value may not be realized when you seek to liquidate the security. Please note the estimated values for Alternative Investments, which are provided by the management of the Alternative Investment, may not reflect recent activity or current values and do not reflect an independent evaluation of the Alternative Investment.

Where no value is indicated, please note that:

- Alternative Investment securities are generally illiquid
- The value of the security may be different from its purchase price
- Accurate valuation information is not available

Disclosures and Other Information

Pricing - This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices have been obtained from quotation services, which we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the

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Saint Louis, MO 63105 314-726-1600

SEP
Account Statement

Statement Period: 07/01/2012 - 07/31/2012

Portfolio Holdings *(continued)*

name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Cash		
Deposits	100,000.00	100,000.00
Total Cash	**$100,000.00**	**$100,000.00**
Additional Transactions		
Fees	0.00	0.00
Dividends and Interest	0.54	0.54
FDIC Insured Bank Deposits	100,000.00	100,000.00
Total Additional Transactions	**$100,000.54**	**$100,000.54**
Total Credits	**$200,000.54**	**$200,000.54**

Debits	This Period	Year-to-Date
Cash		
Withdrawals	-100,000.00	-100,000.00
Total Cash	**-$100,000.00**	**-$100,000.00**
Additional Transactions		
Fees	-100.00	-100.00
Dividends and Interest	0.00	0.00
FDIC Insured Bank Deposits	-100,000.00	-100,000.00
Total Additional Transactions	**-$100,100.00**	**-$100,100.00**
Total Debits	**-$200,100.00**	**-$200,100.00**

ATTACHMENT 3

[redacted]

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
07/24/12	RETIREMENT ACCOUNT TRANSFER USD999997	FRM EPP-003890-1				100,000.00	USD
07/25/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-100,000.00	USD
07/27/12	PRIVATE PURCHASE USD999997	THRD-PARTY4012702129 TOWERHILL				-100,000.00	USD
07/27/12	FDIC INSURED BANK WITHDRAWAL USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E FULL PRINCIPAL REDEEMED				100,000.00	USD
07/27/12	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED INCOME REDEEMED				0.54	USD
07/27/12	ADMINISTRATIVE FEE 891LP1239	TOWERHILL LONG SHORT EQUITY LLC PURCHASE				-50.00	USD
07/27/12	PRIVATE PURCHASE 891LP1239	TOWERHILL LONG SHORT EQUITY LLC PURCHASE	100,000.000			0.00	USD
07/30/12	ADMINISTRATIVE FEE 360990196	FUTURES PORTFOLIO FD CLASS A SHARES LIMITED PARTNERSHIP LIQUIDATION PROCESSING FEE				-50.00	USD
Total Value of all Transactions					$0.00	-$99.46	

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Interest Income		
FDIC Insured Bank Deposits	0.54	0.54
Total Dividends, Interest, Income and Expenses	$0.54	$0.54

Messages

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).
YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET FUND AND FDIC INSURED BANK RATES AND BANK LISTS." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEBSITE.

Although a money market mutual fund seeks to preserve the value of your investment at $1 per share, it is possible to lose money by investing in a money market mutual fund. Please see the money market mutual fund's prospectus or contact your investment professional for additional information.

Go Paperless!

When you choose electronic delivery of brokerage account statements and trade confirmations, there is no more searching through a file cabinet or shoebox to find what you're looking for as everything you need is located in one secure, online location. Switching your document delivery preferences takes just a moment and provides a level of convenience you're sure to appreciate.

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SEP
Account Statement

SEP FBO CHARLES J FLEISCHMANN
PERSHING LLC AS CUSTODIAN
[redacted]

Account Number: [redacted]
Statement Period: 08/01/2012 - 08/31/2012

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	$178,907.11	$79,389.48
Cash Deposits	100.00	100,100.00
Cash Withdrawals	0.00	-100,000.00
Dividends/Interest	0.00	0.54
Fees	0.00	-100.00
Change in Account Value	3,841.01	103,458.10
Ending Account Value	$182,848.12	$182,848.12
Estimated Annual Income	$0.54	

Your Financial Consultant:
WARD PETTY AND JOE PETTY
(423) 668-5411

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds, and FDIC Deposits[1]	0.00	-99.46	0.54	1%
Alternative Investments	79,389.48	179,006.57	182,847.58	99%
Account Total	**$79,389.48**	**$178,907.11**	**$182,848.12**	**100%**

Asset Allocation percentages are rounded to the nearest whole percentage.

1 Cash in your account includes FDIC insured bank deposits.
FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation, up to allowable limits

See page 2 of this statement for important information regarding the Asset Allocation section.

ATTACHMENT 3

[redacted]

Asset Allocation Disclosure and Footnotes

NOTE: Unpriced securities in your account may cause the total brokerage account assets to be understated.

Client Service Information

Your Financial Consultant: S38

WARD PETTY AND JOE PETTY
345 FRAZIER
SUITE 205
CHATTANOOGA TN 37405-4121

Contact Information

Telephone Number: (423) 668-5411
Fax Number: (423) 668-5412

Investment Objective: MODERATE GROWTH
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Year-End Fair Market Value (12/31/2011): $79,389.48.
This information will be furnished to the Internal Revenue Service.
Your fair market value may change based on transaction or asset valuation adjustments made after 12/31/2011.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

Client Account Beneficiary Information

Beneficiary Name	Primary /Contingent	Relationship to Account Holder(s)	Allocation Percent
[redacted]	PRIMARY	SPOUSE	100.00

Disclosures and Other Information:

The beneficiary information displayed is a summary of information you have provided and should accurately reflect the most recent beneficiary information received. An agreement you executed to designate any beneficiaries displayed controls distribution to such parties. If differences exist between information here, and the signed beneficiary agreement, the agreement will control. Please contact your Financial Consultant to update your beneficiary information if necessary or to review more detailed information concerning your designations.

FDIC Insured Bank Deposits

Date	Activity Type	Description	Amount	Balance
Sweep FDIC Insured Bank Deposits				
DEUTSCHE BANK INS DEPOSIT E				
Account Number: EBR299133	Activity Ending: 08/31/12			
08/01/12	Opening Balance		0.00	0.00
08/07/12	Deposit	DEPOSIT	0.54	0.54

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[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

SEP
Account Statement

Statement Period: 08/01/2012 - 08/31/2012

FDIC Insured Bank Deposits *(continued)*

Date	Activity Type	Description	Amount	Balance
Sweep FDIC Insured Bank Deposits *(continued)*				
DEUTSCHE BANK INS DEPOSIT E *(continued)*				
08/16/12		Citizens Bank		0.54
08/16/12		A/O 08/16 $0.54		0.54
08/31/12	Closing Balance			$0.54
Total FDIC Insured Bank Deposits				$0.54

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Note: For the period from December 31, 2010 through December 31, 2012 the FDIC provides unlimited insurance coverage on certain non interest bearing bank accounts. If your account is in such a program it will be stated in your Message section.

Portfolio Holdings

Quantity	Description	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-day Yield
Cash, Money Funds, and FDIC Deposits 1.00% of Portfolio						
Cash Balance		-99.46	0.00			
FDIC Insured Bank Deposits						
0.540	DEUTSCHE BANK INS DEPOSIT E	0.00	0.54	0.00	0.54	N/A
Total FDIC Insured Bank Deposits		$0.00	$0.54	$0.00	$0.54	
Total Cash, Money Funds, and FDIC Deposits		-$99.46	$0.54	$0.00	$0.54	

Quantity	Description	Market Price	Estimated Value
Alternative Investments 99.00% of Portfolio			
17.785	FUTURES PORTFOLIO FD [redacted] LIMITED PARTNERSHIP *Valuation Date:* 08/23/12 [redacted] *Code:* A, H, [redacted] *Security Identifier:* 360990[redacted]96	[redacted],658.8100	[redacted]

ATTACHMENT 3

Portfolio Holdings *(continued)*

Quantity	Description	Market Price	Estimated Value
Alternative Investments *(continued)*			
100,000.000	TOWERHILL LONG SHORT EQUITY LLC *Valuation Date:* 08/28/12 *Valuation Code:* B, V, C *Security Identifier:* 891LP1239	N/A	100,000.00
Total Alternative Investments			$182,847.58

Valuation Codes:

A = This is an estimate of the investors' interest in the net assets of the program.
B = This is an estimate of the value of the security.
C = The source of this information is the management of the program.
H = The method of valuation is based solely on program management's estimate of the value of the program's net assets with no independent confirmation.
V = Value indicated reflects derived unit value to accommodate estimated unit value greater than $9,999.99, or unique estimated value. Please contact your investment professional or financial organization for additional information.

Description	Market Value	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$182,848.12	$0.00	$0.54

The estimated values, where indicated of Alternative Investments, including limited partnerships, real estate investment trusts (REITs), direct participation programs (DPPs), hedge funds, fund of funds, private equity, real estate and managed future have been provided by the management of the Alternative Investment, generally through an intermediary. The values are not guaranteed, provided for informational purposes only and are intended to reflect an estimate of the interest in the Alternative Investment represented by the units or shares described above. Alternative Investment securities are generally illiquid, and the value may not be realized when you seek to liquidate the security. Please note the estimated values for Alternative Investments, which are provided by the management of the Alternative Investment, may not reflect recent activity or current values and do not reflect an independent evaluation of the Alternative Investment.

Where no value is indicated, please note that:

- Alternative Investment securities are generally illiquid
- The value of the security may be different from its purchase price
- Accurate valuation information is not available

Disclosures and Other Information

Pricing - This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices have been obtained from quotation services, which we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the

[redacted]

SEP
Account Statement

Statement Period: 08/01/2012 - 08/31/2012

Portfolio Holdings *(continued)*

name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Cash		
Deposits	100.00	100,100.00
Total Cash	**$100.00**	**$100,100.00**
Additional Transactions		
Fees	0.00	0.00
Dividends and Interest	0.00	0.54
FDIC Insured Bank Deposits	0.00	100,000.00
Total Additional Transactions	**$0.00**	**$100,000.54**
Total Credits	**$100.00**	**$200,100.54**

Debits	This Period	Year-to-Date
Cash		
Withdrawals	0.00	-100,000.00
Total Cash	**$0.00**	**-$100,000.00**
Additional Transactions		
Fees	0.00	-100.00
Dividends and Interest	0.00	0.00
FDIC Insured Bank Deposits	-0.54	-100,000.54
Total Additional Transactions	**-$0.54**	**-$100,100.54**
Total Debits	**-$0.54**	**-$200,100.54**

ATTACHMENT 3

[redacted]

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
08/06/12	RETIREMENT ACCOUNT TRANSFER USD999997	FRM EPP-003890-1				100.00	USD
08/07/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-0.54	USD
Total Value of all Transactions					$0.00	$99.46	

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Interest Income		
FDIC Insured Bank Deposits	0.00	0.54
Total Dividends, Interest, Income and Expenses	$0.00	$0.54

Messages

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).
YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET FUND AND FDIC INSURED BANK RATES AND BANK LISTS." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEBSITE.

Although a money market mutual fund seeks to preserve the value of your investment at $1 per share, it is possible to lose money by investing in a money market mutual fund. Please see the money market mutual fund's prospectus or contact your investment professional for additional information.

Go Paperless!

When you choose electronic delivery of brokerage account statements and trade confirmations, there is no more searching through a file cabinet or shoebox to find what you're looking for as everything you need is located in one secure, online location. Switching your document delivery preferences takes just a moment and provides a level of convenience you're sure to appreciate.

If you currently have online access to your accounts through NetExchange Client, you can sign up for e-delivery of your statements and trade confirmations, as well as Mutual Fund, ETF and UIT prospectus, by clicking on the Go Paperless button when you log in. If you do not have on-line access, please contact your financial consultant and the process can be expedited for you.

If you don't desire on-line access to your accounts, you can still receive your confirmations and statements via email by logging on to www.myedocumentsuite.com to register and choose electronic delivery, or contact your financial consultant for assistance.

Make the move to paperless today!

Benjamin F Edwards & Co. is updating its client profile information to better serve your needs and to comply with new regulatory requirements (FINRA Rule 2111). As a result, your financial consultant may request up-to-date information regarding your goals, objectives, and financial information.

ATTACHMENT 3

MESSAGE REGARDING ROLLED-UP TAX LOTS

IN RESPONSE TO CLIENT REQUESTS TO SHORTEN STATEMENTS, YOUR TAX LOTS ARE NOW ROLLED UP INTO ONE TO FOUR LINES FOR EACH SECURITY. ONE LINE WILL INCLUDE "NONCOVERED SHARES", ANOTHER LINE "COVERED SHARES", A THIRD LINE FOR "UNALLOCATED SHARES" (SHARES WHERE COST HAS NOT BEEN PROVIDED), AND A FOURTH LINE FOR

[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

SEP
Account Statement

Account Number: [redacted]
Statement Period: 09/01/2012 - 09/30/2012

SEP FBO CHARLES J FLEISCHMANN
PERSHING LLC AS CUSTODIAN
[redacted]

Your Financial Consultant:
WARD PETTY AND JOE PETTY
(423) 668-5411

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	$182,848.12	$79,389.48
Cash Deposits	0.00	100,100.00
Cash Withdrawals	0.00	-100,000.00
Dividends/Interest	0.00	0.54
Fees	0.00	-100.00
Change in Account Value	-1,390.89	102,067.21
Ending Account Value	$181,457.23	$181,457.23
Estimated Annual Income	$0.54	

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds, and FDIC Deposits[1]	0.00	0.54	81,457.23	45%
Alternative Investments	79,389.48	182,847.58	100,000.00	55%
Account Total (Pie Chart)	$79,389.48	$182,848.12	$181,457.23	100%

1 Cash in your account includes FDIC insured bank deposits.
FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation, up to allowable limits
See page 2 of this statement for important information regarding the Asset Allocation section.

Asset Allocation percentages are rounded to the nearest whole percentage.
Pie Chart allocation excludes all asset classes which net to a liability.

ATTACHMENT 3

[redacted]

Asset Allocation Disclosure and Footnotes

NOTE: Unpriced securities in your account may cause the total brokerage account assets to be understated.

Additional Information

	This Period	Year-to-Date
Principal Payments	$81,456.69	$81,456.69

Client Service Information

Your Financial Consultant: S38
WARD PETTY AND JOE PETTY
345 FRAZIER
SUITE 205
CHATTANOOGA TN 37405-4121

Contact Information
Telephone Number: (423) 668-5411
Fax Number: (423) 668-5412

Investment Objective: MODERATE GROWTH
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Year-End Fair Market Value (12/31/2011): **$79,389.48.**
This information will be furnished to the Internal Revenue Service.
Your fair market value may change based on transaction or asset valuation adjustments made after 12/31/2011.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

Client Account Beneficiary Information

Beneficiary Name	Primary /Contingent	Relationship to Account Holder(s)	Allocation Percent
[redacted]	PRIMARY	SPOUSE	100.00

Disclosures and Other Information:

The beneficiary information displayed is a summary of information you have provided and should accurately reflect the most recent beneficiary information received. An agreement you executed to designate any beneficiaries displayed controls distribution to such parties. If differences exist between information here, and the signed beneficiary agreement, the agreement will control. Please contact your Financial Consultant to update your beneficiary information if necessary or to review more detailed information concerning your designations.

FDIC Insured Bank Deposits

Date	Activity Type	Description	Amount	Balance
Sweep FDIC Insured Bank Deposits				
DEUTSCHE BANK INS DEPOSIT E				
Account Number: EBR299133	Activity Ending: 09/28/12			
09/01/12	Opening Balance			0.54
09/17/12		Citizens Bank	0.54	0.54

ATTACHMENT 3

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

SEP
Account Statement

Statement Period: 09/01/2012 - 09/30/2012

FDIC Insured Bank Deposits *(continued)*

Date	Activity Type	Description	Amount	Balance
Sweep FDIC Insured Bank Deposits *(continued)*				
DEUTSCHE BANK INS DEPOSIT E *(continued)*				
09/17/12		A/O 09/17 $0.54		0.54
09/20/12	Deposit	DEPOSIT	81,456.69	81,457.23
09/28/12	Closing Balance			$81,457.23
Total FDIC Insured Bank Deposits				$81,457.23

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Note: For the period from December 31, 2010 through December 31, 2012 the FDIC provides unlimited insurance coverage on certain non interest bearing bank accounts. If your account is in such a program it will be stated in your Message section.

Portfolio Holdings

Quantity	Description	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-day Yield
Cash, Money Funds, and FDIC Deposits 45.00% of Portfolio						
FDIC Insured Bank Deposits						
81,457.230	DEUTSCHE BANK INS DEPOSIT E	0.54	81,457.23	2.33	0.54	N/A
Total FDIC Insured Bank Deposits		$0.54	$81,457.23	$2.33	$0.54	
Total Cash, Money Funds, and FDIC Deposits		$0.54	$81,457.23	$2.33	$0.54	

Quantity	Description	Market Price	Estimated Value
Alternative Investments 55.00% of Portfolio			
100,000.000	TOWERHILL LONG SHORT EQUITY LLC	N/A	100,000.00
	Valuation Date: 09/26/12 *Valuation Code:* B, V, G		

ATTACHMENT 3

[redacted]

Portfolio Holdings *(continued)*

Quantity	Description	Market Price	Estimated Value
Alternative Investments *(continued)*			
	Security Identifier: 891LP1239		
Total Alternative Investments			$100,000.00

Valuation Codes:

B = This is an estimate of the value of the security.
C = The source of this information is the management of the program.
V = Value indicated reflects derived unit value to accommodate estimated unit value greater than $9,999.99, or unique estimated value. Please contact your investment professional or financial organization for additional information.

Description	Market Value	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$181,457.23	$0.00	$0.54

The estimated values, where indicated of Alternative Investments, including limited partnerships, real estate investment trusts (REITs), direct participation programs (DPPs), hedge funds, fund of funds, private equity, real estate and managed future have been provided by the management of the Alternative Investment, generally through an intermediary. The values are not guaranteed, provided for informational purposes only and are intended to reflect an estimate of the interest in the Alternative Investment represented by the units or shares described above. Alternative Investment securities are generally illiquid, and the value may not be realized when you seek to liquidate the security. Please note the estimated values for Alternative Investments, which are provided by the management of the Alternative Investment, may not reflect recent activity or current values and do not reflect an independent evaluation of the Alternative Investment.

Where no value is indicated, please note that:

- Alternative Investment securities are generally illiquid
- The value of the security may be different from its purchase price
- Accurate valuation information is not available

Disclosures and Other Information

Pricing - This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices have been obtained from quotation services, which we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A

Portfolio Holdings *(continued)*

summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Schedule of Realized Gains and Losses Current Period

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term								
09/20/12	Please Provide*	RDMG First In First Out	2FUTURES PORTFOLIO FD CLASS A SHARES	360990196	17,785	Please Provide	81,456.69	N/A
Total Short Term						$0.00	$81,456.69	$0.00
Total Short Term and Long Term						$0.00	$81,456.69	$0.00

* Noncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L.110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

ATTACHMENT 3

[redacted]

Schedule of Realized Gains and Losses Current Period *(continued)*

This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for noncovered securities. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. In addition, corporate action events may require adjustments to your original cost basis. Return of capital information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable.

Adjustments to cost basis can be made after year-end, in particular, for return of capital adjustments, but may also include adjustments for corporate action events. Therefore there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports, including your 1099-B or online displays you may have available to you.

When you report your cost basis on your tax return, it should be verified using all of your own records. In particular, there may be other adjustments which you need to make, but are not required to be made by Pershing as it relates to H.R. 1424. You should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

2 Realized gains and losses are not reported for securities for which cost basis or proceeds are not available.

Please refer to the Client Service Information Section in your brokerage account statement for your account's existing tax-lot disposition method. The disposition method is the method which you have selected to use in the disposal of each tax lot of the securities held in your account. If you do not select a method, your account will be defaulted to First In, First Out (FIFO). Your account's selected tax lot method will be used to determine the cost basis for calculating gain and/or loss, unless another method was selected at the time of the security disposal, and this may be reported on the IRS Form 1099-B.

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Cash		
Deposits	0.00	100,100.00
Total Cash	**$0.00**	**$100,100.00**
Additional Transactions		
Fees	0.00	0.00
Distributions	81,456.69	81,456.69
Dividends and Interest	0.00	0.54
FDIC Insured Bank Deposits	0.00	100,000.00
Total Additional Transactions	**$81,456.69**	**$181,457.23**
Total Credits	**$81,456.69**	**$281,557.23**

Debits	This Period	Year-to-Date
Cash		
Withdrawals	0.00	-100,000.00
Total Cash	**$0.00**	**-$100,000.00**
Additional Transactions		
Fees	0.00	-100.00
Distributions	0.00	0.00
Dividends and Interest	0.00	0.00
FDIC Insured Bank Deposits	-81,456.69	-181,457.23
Total Additional Transactions	**-$81,456.69**	**-$181,557.23**
Total Debits	**-$81,456.69**	**-$281,557.23**

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
09/19/12	SECURITY REDEEMED USD999997	FUTURES SP CK 5662				81,456.69	USD
09/20/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-81,456.69	USD
09/20/12	SECURITY REDEEMED 360990196	FUTURES PORTFOLIO FD CLASS A SHARES	-17.785			0.00	USD

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BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

SEP Account Statement

Statement Period: 09/01/2012 - 09/30/2012

Transactions in Date Sequence *(continued)*

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
09/28/12	SECURITY REDEEMED 360990196	FUTURES PORTFOLIO FD CLASS A SHARES LIMITED PARTNERSHIP				0.00	USD
Total Value of all Transactions					$0.00	$0.00	

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Interest Income		
FDIC Insured Bank Deposits	0.00	0.54
Total Dividends, Interest, Income and Expenses	$0.00	$0.54

Messages

Pursuant to The Securities Exchange Act of 1934, Pershing LLC (Pershing),a BNY Mellon company, provides individual investors with certain financial information on a semi-annual basis.

Pershing's June Statement of Financial Condition is now available. On June 30, 2012, Pershing's net capital of $1.5 billion was 12.9% of aggregate debit balances and exceeded the minimum requirements by $1.3 billion.

Pershing is also required to provide the most recent financial information as of this statement mailing. In accordance with this requirement, note that on July 31, 2012, Pershing's net capital of $1.5 billion was 13.83% of aggregate debit balances and exceeded the minimum requirement by $1.3 billion.

A copy of the June 30, 2012, Statement of Financial Condition is available at www.pershing.com/statement_of_financial_condition.html. You may also request a free, printed copy by calling (888) 860-8510 or (201) 413-4200, option #5.

If you have questions, contact your Account Manager or contact your Customer Service team and select option 5 for General Customer Service.

FEDERAL AND STATE TAX WITHHOLDING FOR RETIREMENT ACCOUNTS - You may at any time designate or change the federal and state income tax withholding election for distributions from your individual retirement account or qualified retirement plan by contacting your investment professional or financial organization. Please note that if you do not have enough federal or state income tax withheld, you may be responsible for payment of estimated taxes and penalties that may apply.

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).
YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET FUND AND FDIC INSURED BANK RATES AND BANK LISTS." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEBSITE.

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

SEP Account Statement

Account Number: [redacted]
Statement Period: 10/01/2012 - 10/31/2012

SEP FBO CHARLES J FLEISCHMANN
PERSHING LLC AS CUSTODIAN
[redacted]

Your Financial Consultant:
WARD PETTY AND JOE PETTY
(423) 668-5411

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	$181,457.23	$79,389.48
Cash Deposits	0.00	100,100.00
Cash Withdrawals	0.00	-100,000.00
Dividends/Interest	5.51	6.05
Fees	0.00	-100.00
Change in Account Value	1,973.23	104,040.44
Ending Account Value	$183,435.97	$183,435.97
Estimated Annual Income	$6.05	

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds, and FDIC Deposits[1]	0.00	81,457.23	81,462.74	44%
Alternative Investments	79,389.48	100,000.00	101,973.23	56%
Account Total (Pie Chart)	**$79,389.48**	**$181,457.23**	**$183,435.97**	**100%**

1 Cash in your account includes FDIC insured bank deposits.
FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation, up to allowable limits

See page 2 of this statement for important information regarding the Asset Allocation section.

Asset Allocation percentages are rounded to the nearest whole percentage.

Pie Chart allocation excludes all asset classes which net to a liability.

ATTACHMENT 3

[redacted]

Asset Allocation Disclosure and Footnotes

NOTE: Unpriced securities in your account may cause the total brokerage account assets to be understated.

Additional Information

	This Period	Year-to-Date
Principal Payments	$0.00	$81,456.69

Client Service Information

Your Financial Consultant: S38	Contact Information
WARD PETTY AND JOE PETTY 345 FRAZIER SUITE 205 CHATTANOOGA TN 37405-4121	Telephone Number: (423) 668-5411 Fax Number: (423) 668-5412

Investment Objective: MODERATE GROWTH
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Year-End Fair Market Value (12/31/2011): $79,389.48.
This information will be furnished to the Internal Revenue Service.
Your fair market value may change based on transaction or asset valuation adjustments made after 12/31/2011.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

Client Account Beneficiary Information

Beneficiary Name	Primary /Contingent	Relationship to Account Holder(s)	Allocation Percent
[redacted]	PRIMARY	SPOUSE	100.00

Disclosures and Other Information:

The beneficiary information displayed is a summary of information you have provided and should accurately reflect the most recent beneficiary information received. An agreement you executed to designate any beneficiaries displayed controls distribution to such parties. If differences exist between information here, and the signed beneficiary agreement, the agreement will control. Please contact your Financial Consultant to update your beneficiary information if necessary or to review more detailed information concerning your designations.

FDIC Insured Bank Deposits

Sweep FDIC Insured Bank Deposits

DEUTSCHE BANK INS DEPOSIT E
Account Number: EBR299133 Activity Ending: 10/31/12

Date	Activity Type	Description	Amount	Balance
09/29/12	Opening Balance		81,457.23	81,457.23
10/15/12	Deposit	INTEREST CREDITED	5.51	81,462.74
10/15/12		APY 0.[illegible]%		81,462.74

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BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

SEP
Account Statement

Statement Period: 10/01/2012 - 10/31/2012

FDIC Insured Bank Deposits *(continued)*

Date	Activity Type	Description	Amount	Balance
Sweep FDIC Insured Bank Deposits *(continued)*				
DEUTSCHE BANK INS DEPOSIT E *(continued)*				
10/16/12		Citizens Bank		81,462.74
10/16/12		A/O 10/16 $81462.74		81,462.74
10/31/12	Closing Balance			$81,462.74
Total FDIC Insured Bank Deposits				$81,462.74

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Note: For the period from December 31, 2010 through December 31, 2012 the FDIC provides unlimited insurance coverage on certain non interest bearing bank accounts. If your account is in such a program it will be stated in your Message section.

Portfolio Holdings

Quantity	Description	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-day Yield
Cash, Money Funds, and FDIC Deposits 44.00% of Portfolio						
FDIC Insured Bank Deposits						
81,462.740	DEUTSCHE BANK INS DEPOSIT E	81,457.23	81,462.74	3.39	6.05	N/A
Total FDIC Insured Bank Deposits		$81,457.23	$81,462.74	$3.39	$6.05	
Total Cash, Money Funds, and FDIC Deposits		$81,457.23	$81,462.74	$3.39	$6.05	

Quantity	Description	Market Price	Estimated Value
Alternative Investments 56.00% of Portfolio			
100,000.000	TOWERHILL LONG SHORT EQUITY LLC	N/A	101,973.23
	Valuation Date: 10/26/12 *Valuation Code:* B, V, C		

ATTACHMENT 3

[redacted]

Portfolio Holdings *(continued)*

Quantity	Description	Market Price	Estimated Value
Alternative Investments *(continued)*			
	Security Identifier: 891LP1239		
Total Alternative Investments			$101,973.23

Valuation Codes:

B = This is an estimate of the value of the security.
C = The source of this information is the management of the program.
V = Value indicated reflects derived unit value to accommodate estimated unit value greater than $9,999.99, or unique estimated value. Please contact your investment professional or financial organization for additional information.

Description	Market Value	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$183,435.97	$0.00	$6.05

The estimated values, where indicated of Alternative Investments, including limited partnerships, real estate investment trusts (REITs), direct participation programs (DPPs), hedge funds, fund of funds, private equity, real estate and managed future have been provided by the management of the Alternative Investment, generally through an intermediary. The values are not guaranteed, provided for informational purposes only and are intended to reflect an estimate of the interest in the Alternative Investment represented by the units or shares described above. Alternative Investment securities are generally illiquid, and the value may not be realized when you seek to liquidate the security. Please note the estimated values for Alternative Investments, which are provided by the management of the Alternative Investment, may not reflect recent activity or current values and do not reflect an independent evaluation of the Alternative Investment.

Where no value is indicated, please note that:

- Alternative Investment securities are generally illiquid
- The value of the security may be different from its purchase price
- Accurate valuation information is not available

Disclosures and Other Information

Pricing - This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices have been obtained from quotation services, which we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A

Portfolio Holdings *(continued)*

summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Cash		
Deposits	0.00	100,100.00
Total Cash	**$0.00**	**$100,100.00**
Additional Transactions		
Fees	0.00	0.00
Distributions	0.00	81,456.69
Dividends and Interest	5.51	6.05
FDIC Insured Bank Deposits	0.00	100,000.00
Total Additional Transactions	**$5.51**	**$181,462.74**
Total Credits	**$5.51**	**$281,562.74**

Debits	This Period	Year-to-Date
Cash		
Withdrawals	0.00	-100,000.00
Total Cash	**$0.00**	**-$100,000.00**
Additional Transactions		
Fees	0.00	-100.00
Distributions	0.00	0.00
Dividends and Interest	0.00	0.00
FDIC Insured Bank Deposits	-5.51	-181,462.74
Total Additional Transactions	**-$5.51**	**-$181,562.74**
Total Debits	**-$5.51**	**-$281,562.74**

ATTACHMENT 3

[redacted]

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
10/15/12	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED 250990041	DEUTSCHE BANK INS INTEREST REINVESTED				-5.51	USD
10/15/12	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED				5.51	USD
Total Value of all Transactions					$0.00	$0.00	

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Interest Income		
FDIC Insured Bank Deposits	5.51	6.05
Total Dividends, Interest, Income and Expenses	$5.51	$6.05

Messages

Although a money market mutual fund seeks to preserve the value of your investment at $1 per share, it is possible to lose money by investing in a money market mutual fund. Please see the money market mutual fund's prospectus or contact your investment professional for additional information.

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).
YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET FUND AND FDIC INSURED BANK RATES AND BANK LISTS." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEBSITE.

Benjamin F. Edwards & Co. is updating its client profile information to better serve your needs and to comply with new regulatory requirements (FINRA Rule 2111). As a result, your financial consultant may request up-to-date information regarding your goals, objectives and financial information.

Go Paperless!

When you choose electronic delivery of brokerage account statements and trade confirmations, there is no more searching through a file cabinet or shoebox to find what you're looking for as everything you need is located in one secure, online location. Switching your document delivery preferences takes just a moment and provides a level of convenience you're sure to appreciate.

If you currently have online access to your accounts through NetExchange Client, you can sign up for e-delivery of your statements and trade confirmations, as well as Mutual Fund, ETF and UIT prospectus, by clicking on the Go Paperless button when you log in. If you do not have on-line access, please contact your financial consultant and the process can be expedited for you.

If you don't desire on-line access to your accounts, you can still receive your confirmations and statements via email by logging on to www.myedocumentsuite.com to register and choose electronic delivery, or contact your financial consultant for assistance.

Make the move to paperless today!

ATTACHMENT 3

MESSAGE REGARDING ROLLED-UP TAX LOTS

BENJAMIN F. EDWARDS & CO.
INVESTMENTS *for* GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

SEP
Account Statement

Account Number: [redacted]
Statement Period: 11/01/2012 - 11/30/2012

SEP FBO CHARLES J FLEISCHMANN
PERSHING LLC AS CUSTODIAN
[redacted]

Your Financial Consultant:
WARD PETTY AND JOE PETTY
(423) 668-5411

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	**$183,435.97**	**$79,389.48**
Cash Deposits	0.00	100,100.00
Cash Withdrawals	0.00	-100,000.00
Dividends/Interest	6.57	12.62
Fees	0.00	-100.00
Change in Account Value	790.32	104,830.76
Ending Account Value	$184,232.86	$184,232.86
Estimated Annual Income	$12.62	

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds, and Bank Deposits[1]	0.00	81,462.74	81,469.31	44%
Alternative Investments	79,389.48	101,973.23	102,763.55	56%
Account Total (Pie Chart)	**$79,389.48**	**$183,435.97**	**$184,232.86**	**100%**

Asset Allocation percentages are rounded to the nearest whole percentage.
Pie Chart allocation only includes products that are of positive value.

1 The Bank Deposits in your account are FDIC insured bank deposits.
FDIC insured bank deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). These bank deposits are covered by the Federal Deposit Insurance Corporation (FDIC), up to allowable limits.
See page 2 of this statement for important information regarding the Asset Allocation section.

ATTACHMENT 3

[redacted]

Asset Allocation Disclosure and Footnotes

Note: Unpriced securities are not included in the Total Account Value.

Additional Information

	This Period	Year-to-Date
Principal Payments	$0.00	$81,456.69

Client Service Information

Your Financial Consultant: S38
WARD PETTY AND JOE PETTY
345 FRAZIER
SUITE 205
CHATTANOOGA TN 37405-4121

Contact Information
Telephone Number: (423) 668-5411
Fax Number: (423) 668-5412

Investment Objective: MODERATE GROWTH
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Year-End Fair Market Value (12/31/2011): $79,389.48.
This information will be furnished to the Internal Revenue Service.
Your fair market value may change based on transaction or asset valuation adjustments made after 12/31/2011.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

Client Account Beneficiary Information

Beneficiary Name	Primary /Contingent	Relationship to Account Holder(s)	Allocation Percent
[redacted]	PRIMARY	SPOUSE	100.00

Disclosures and Other Information:

The beneficiary information displayed is a summary of information you have provided and should accurately reflect the most recent beneficiary information received. An agreement you executed to designate any beneficiaries displayed controls distribution to such parties. If differences exist between information here, and the signed beneficiary agreement, the agreement will control. Please contact your Financial Consultant to update your beneficiary information if necessary or to review more detailed information concerning your designations.

FDIC Insured Bank Deposits

Date	Activity Type	Description	Amount	Balance
Sweep FDIC Insured Bank Deposits				
DEUTSCHE BANK INS DEPOSIT E				
Account Number: EBR299133	Activity Ending: 11/30/12			
11/01/12	Opening Balance		81,462.74	81,462.74
11/15/12	Deposit	INTEREST CREDITED APY 0.1%	6.57	81,469.31

ATTACHMENT 3

[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS *for* GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

SEP
Account Statement

Statement Period: 11/01/2012 - 11/30/2012

FDIC Insured Bank Deposits *(continued)*

Date	Activity Type	Description	Amount	Balance
Sweep FDIC Insured Bank Deposits *(continued)*				
DEUTSCHE BANK INS DEPOSIT E *(continued)*				
11/16/12		Citizens Bank A/O 11/16 $81469.31		81,469.31
11/30/12	Closing Balance			$81,469.31
Total FDIC Insured Bank Deposits				$81,469.31

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Note: For the period from December 31, 2010 through December 31, 2012 the FDIC provides unlimited insurance coverage on certain non interest bearing bank accounts. If your account is in such a program it will be stated in your Message section.

Portfolio Holdings

Quantity	Description	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-day Yield
Cash, Money Funds, and Bank Deposits 44.00% of Portfolio						
FDIC Insured Bank Deposits						
81,469.310	DEUTSCHE BANK INS DEPOSIT E	81,462.74	81,469.31	3.60	12.62	N/A
Total FDIC Insured Bank Deposits		$81,462.74	$81,469.31	$3.60	$12.62	
Total Cash, Money Funds, and Bank Deposits		$81,462.74	$81,469.31	$3.60	$12.62	

Quantity	Description	Market Price	Estimated Value
Alternative Investments 56.00% of Portfolio			
100,000.000	TOWERHILL LONG SHORT EQUITY LLC *Valuation Date:* 11/27/12 *Valuation Code:* B, V, C	N/A	102,763.55

ATTACHMENT 3

[redacted]

Portfolio Holdings *(continued)*

Quantity	Description	Market Price	Estimated Value
Alternative Investments *(continued)*			
	Security Identifier: 891LP1239		
Total Alternative Investments			$102,763.55

Valuation Codes:

B = This is an estimate of the value of the security.
C = The source of this information is the management of the program.
V = Value indicated reflects derived unit value to accommodate estimated unit value greater than $9,999.99, or unique estimated value. Please contact your investment professional or financial organization for additional information.

Description	Market Value	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$184,232.86	$0.00	$12.62

The estimated values, where indicated of Alternative Investments, including limited partnerships, real estate investment trusts (REITs), direct participation programs (DPPs), hedge funds, fund of funds, private equity, real estate and managed future have been provided by the management of the Alternative Investment, generally through an intermediary. The values are not guaranteed, provided for informational purposes only and are intended to reflect an estimate of the interest in the Alternative Investment represented by the units or shares described above. Alternative Investment securities are generally illiquid, and the value may not be realized when you seek to liquidate the security. Please note the estimated values for Alternative Investments, which are provided by the management of the Alternative Investment, may not reflect recent activity or current values and do not reflect an independent evaluation of the Alternative Investment.

Where no value is indicated, please note that:

- Alternative Investment securities are generally illiquid
- The value of the security may be different from its purchase price
- Accurate valuation information is not available

Disclosures and Other Information

Pricing
This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices have been obtained from quotation services, which we believe to be reliable. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange.

Reinvestment
The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. Upon written request to your financial institution information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow.

ATTACHMENT 3

Portfolio Holdings *(continued)*

Option Disclosure
Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions
Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote
Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Additional Transactions		
Dividends and Interest	6.57	12.62
Distributions	0.00	81,456.69
Fees	0.00	0.00
Total Additional Transactions	**$6.57**	**$81,469.31**
Cash		
Deposits	0.00	100,100.00
Total Cash	**$0.00**	**$100,100.00**
Money Market and Bank Deposits		
FDIC Insured Bank Deposits	0.00	100,000.00
Total Money Market and Bank Deposits	**$0.00**	**$100,000.00**
Total Credits	**$6.57**	**$281,569.31**

Debits	This Period	Year-to-Date
Additional Transactions		
Dividends and Interest	0.00	0.00
Distributions	0.00	0.00
Fees	0.00	-100.00
Total Additional Transactions	**$0.00**	**-$100.00**
Cash		
Withdrawals	0.00	-100,000.00
Total Cash	**$0.00**	**-$100,000.00**
Money Market and Bank Deposits		
FDIC Insured Bank Deposits	-6.57	-181,469.31
Total Money Market and Bank Deposits	**-$6.57**	**-$181,469.31**
Total Debits	**-$6.57**	**-$281,569.31**

ATTACHMENT 3

[redacted]

Transactions in Date Sequence

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
11/16/12	11/15/12	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED 250990041	DEUTSCHE BANK INS INTEREST REINVESTED				-6.57	USD
11/16/12	11/15/12	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED				6.57	USD
Total Value of Transactions						$0.00	$0.00	

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Interest Income		
FDIC Insured Bank Deposits	6.57	12.62
Total Dividends, Interest, Income and Expenses	$6.57	$12.62

Messages

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).
YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET FUND AND FDIC INSURED BANK RATES AND BANK LISTS." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEBSITE.

Although a money market mutual fund seeks to preserve the value of your investment at $1 per share, it is possible to lose money by investing in a money market mutual fund. Please see the money market mutual fund's prospectus or contact your investment professional for additional information.

Benjamin F. Edwards & Co. is updating its client profile information to better serve your needs and to comply with new regulatory requirements (FINRA Rule 2111). As a result, your financial consultant may request up-to-date information regarding your goals, objectives and financial information.

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If you currently have online access to your accounts through NetExchange Client, you can sign up for e-delivery of your statements and trade confirmations, as well as Mutual Fund, ETF and UIT prospectus, by clicking on the Go Paperless button when you log in. If you do not have on-line access, please contact your financial consultant and the process can be expedited for you.

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MESSAGE REGARDING ROLLED-UP TAX LOTS

BENJAMIN F. EDWARDS & CO.
INVESTMENTS *for* GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

SEP Account Statement

Account Number: [redacted]
Statement Period: 12/01/2012 - 12/31/2012

SEP FBO CHARLES J FLEISCHMANN
PERSHING LLC AS CUSTODIAN
[redacted]

Your Financial Consultant:
WARD PETTY AND JOE PETTY
(423) 668-5411

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	$184,232.86	$79,389.48
Cash Deposits	0.00	100,100.00
Cash Withdrawals	0.00	-100,000.00
Dividends/Interest	6.57	19.19
Fees	0.00	-100.00
Change in Account Value	-332.05	104,498.71
Ending Account Value	$183,907.38	$183,907.38
Estimated Annual Income	$19.19	

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds, and Bank Deposits[1]	0.00	81,469.31	81,475.88	44%
Alternative Investments	79,389.48	102,763.55	102,431.50	56%
Account Total (Pie Chart)	$79,389.48	$184,232.86	$183,907.38	100%

Asset Allocation percentages are rounded to the nearest whole percentage.

Pie Chart allocation only includes products that are of positive value.

1 The Bank Deposits in your account are FDIC insured bank deposits.
FDIC insured bank deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). These bank deposits are covered by the Federal Deposit Insurance Corporation (FDIC), up to allowable limits.
See page 2 of this statement for important information regarding the Asset Allocation section.

ATTACHMENT 3

[redacted]

Asset Allocation Disclosure and Footnotes

Note: Unpriced securities are not included in the Total Account Value.

Additional Information

	This Period	Year-to-Date
Principal Payments	$0.00	$81,456.69

Client Service Information

Your Financial Consultant: S38	Contact Information
WARD PETTY AND JOE PETTY 345 FRAZIER SUITE 205 CHATTANOOGA TN 37405-4121	Telephone Number: (423) 668-5411 Fax Number: (423) 668-5412

Investment Objective: MODERATE GROWTH
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Year-End Fair Market Value (12/31/2012): **$183,907.38.**
This information will be furnished to the Internal Revenue Service.
Your fair market value may change based on transaction or asset valuation adjustments made after 12/31/2012.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

Client Account Beneficiary Information

Beneficiary Name	Primary /Contingent	Relationship to Account Holder(s)	Allocation Percent
[redacted]	PRIMARY	SPOUSE	100.00

Disclosures and Other Information:

The beneficiary information displayed is a summary of information you have provided and should accurately reflect the most recent beneficiary information received. An agreement you executed to designate any beneficiaries displayed controls distribution to such parties. If differences exist between information here, and the signed beneficiary agreement, the agreement will control. Please contact your Financial Consultant to update your beneficiary information if necessary or to review more detailed information concerning your designations.

FDIC Insured Bank Deposits

Sweep FDIC Insured Bank Deposits

DEUTSCHE BANK INS DEPOSIT E
Account Number: EBR299133 Activity Ending: 12/31/12

Date	Activity Type	Description	Amount	Balance
12/01/12	Opening Balance		81,469.31	81,469.31
12/14/12	Deposit	INTEREST CREDITED APY 0.[illegible]%	6.57	81,475.88

ATTACHMENT 3

FDIC Insured Bank Deposits *(continued)*

Date	Activity Type	Description	Amount	Balance
Sweep FDIC Insured Bank Deposits *(continued)*				
DEUTSCHE BANK INS DEPOSIT E *(continued)*				
12/17/12		Citizens Bank A/O 12/17 $81475.88		81,475.88
12/31/12	Closing Balance			$81,475.88
Total FDIC Insured Bank Deposits				$81,475.88

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Note: For the period from December 31, 2010 through December 31, 2012 the FDIC provides unlimited insurance coverage on certain non interest bearing bank accounts. If your account is in such a program it will be stated in your Message section.

Portfolio Holdings

Quantity	Description	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-day Yield
Cash, Money Funds, and Bank Deposits 44.00% of Portfolio						
FDIC Insured Bank Deposits						
81,475.880	DEUTSCHE BANK INS DEPOSIT E	81,469.31	81,475.88	3.39	19.19	N/A
Total FDIC Insured Bank Deposits		$81,469.31	$81,475.88	$3.39	$19.19	
Total Cash, Money Funds, and Bank Deposits		$81,469.31	$81,475.88	$3.39	$19.19	

Quantity	Description	Market Price	Estimated Value
Alternative Investments 56.00% of Portfolio			
100,000.000	TOWERHILL LONG SHORT EQUITY LLC	N/A	102,431.50
	Valuation Date: 12/27/12 *Valuation Code:* B, V, D		

ATTACHMENT 3

Portfolio Holdings *(continued)*

Quantity	Description	Market Price	Estimated Value
	Alternative Investments *(continued)*		
	Security Identifier: 891LP1239		
	Total Alternative Investments		$102,431.50

Valuation Codes:

B = This is an estimate of the value of the security.
C = The source of this information is the management of the program.
V = Value indicated reflects derived unit value to accommodate estimated unit value greater than $9,999.99, or unique estimated value. Please contact your investment professional or financial organization for additional information.

Description	Market Value	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$183,907.38	$0.00	$19.19

The estimated values, where indicated of Alternative Investments, including limited partnerships, real estate investment trusts (REITs), direct participation programs (DPPs), hedge funds, fund of funds, private equity, real estate and managed future have been provided by the management of the Alternative Investment, generally through an intermediary. The values are not guaranteed, provided for informational purposes only and are intended to reflect an estimate of the interest in the Alternative Investment represented by the units or shares described above. Alternative Investment securities are generally illiquid, and the value may not be realized when you seek to liquidate the security. Please note the estimated values for Alternative Investments, which are provided by the management of the Alternative Investment, may not reflect recent activity or current values and do not reflect an independent evaluation of the Alternative Investment.

Where no value is indicated, please note that:

- Alternative Investment securities are generally illiquid
- The value of the security may be different from its purchase price
- Accurate valuation information is not available

Disclosures and Other Information

Pricing

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices have been obtained from quotation services, which we believe to be reliable. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange.

Reinvestment

The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. Upon written request to your financial institution, information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow.

ATTACHMENT 3

[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

SEP
Account Statement

Statement Period: 12/01/2012 - 12/31/2012

Portfolio Holdings *(continued)*

Option Disclosure
Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

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Proxy Vote
Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Schedule of Realized Gains and Losses Year-to-Date

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term								
09/20/12	Please Provide*	RDMG First In First Out	2FUTURES PORTFOLIO FD CLASS A SHARES	360990196	17.785	Please Provide	81,456.69	N/A
09/28/12	Please Provide*	RDMG First In First Out	2FUTURES PORTFOLIO FD CLASS A SHARES	360990196		Please Provide	0.00	N/A
Total Short Term						$0.00	$81,456.69	$0.00
Total Short Term and Long Term						$0.00	$81,456.69	$0.00

ATTACHMENT 3

[redacted]

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

* Noncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L.110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for noncovered securities. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. In addition, corporate action events may require adjustments to your original cost basis. Return of capital information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable.

Adjustments to cost basis can be made after year-end, in particular, for return of capital adjustments, but may also include adjustments for corporate action events. Therefore there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports, including your 1099-B or online displays you may have available to you.

When you report your cost basis on your tax return, it should be verified using all of your own records. In particular, there may be other adjustments which you need to make, but are not required to be made by Pershing as it relates to H.R. 1424. You should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

[2] Realized gains and losses are not reported for securities for which cost basis or proceeds are not available.

Please refer to the Client Service Information Section in your brokerage account statement for your account's existing tax-lot disposition method. The disposition method is the method which you have selected to use in the disposal of each tax lot of the securities held in your account. If you do not select a method, your account will be defaulted to First In, First Out (FIFO). Your account's selected tax lot method will be used to determine the cost basis for calculating gain and/or loss, unless another method was selected at the time of the security disposal, and this may be reported on the IRS Form 1099-B.

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date	Debits	This Period	Year-to-Date
Additional Transactions			**Additional Transactions**		
Dividends and Interest	6.57	19.19	Dividends and Interest	0.00	0.00
Distributions	0.00	81,456.69	Distributions	0.00	0.00
Fees	0.00	0.00	Fees	0.00	-100.00
Total Additional Transactions	**$6.57**	**$81,475.88**	**Total Additional Transactions**	**$0.00**	**-$100.00**
Cash			**Cash**		
Deposits	0.00	100,100.00	Withdrawals	0.00	-100,000.00
Total Cash	**$0.00**	**$100,100.00**	**Total Cash**	**$0.00**	**-$100,000.00**
Money Market and Bank Deposits			**Money Market and Bank Deposits**		
FDIC Insured Bank Deposits	0.00	100,000.00	FDIC Insured Bank Deposits	-6.57	-181,475.88
Total Money Market and Bank Deposits	**$0.00**	**$100,000.00**	**Total Money Market and Bank Deposits**	**-$6.57**	**-$181,475.88**
Total Credits	**$6.57**	**$281,575.88**	**Total Debits**	**-$6.57**	**-$281,575.88**

ATTACHMENT 3

Account Number: [redacted]
SEP FBO CHARLES J FLEISCHMANN

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

SEP Account Statement

Statement Period: 12/01/2012 - 12/31/2012

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
12/14/12	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED 250990041	DEUTSCHE BANK INS INTEREST REINVESTED				-6.57	USD
12/14/12	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED				6.57	USD
Total Value of Transactions					$0.00	$0.00	

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Interest Income		
FDIC Insured Bank Deposits	6.57	19.19
Total Dividends, Interest, Income and Expenses	$6.57	$19.19

Messages

Although a money market mutual fund seeks to preserve the value of your investment at $1 per share, it is possible to lose money by investing in a money market mutual fund. Please see the money market mutual fund's prospectus or contact your investment professional for additional information.

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BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

CHARLES J FLEISCHMANN
[redacted]

Your Financial Consultant:
WPETTY / JPETTY / SSHOLL SPLIT
(423) 668-5411

Account Number: [redacted]
Statement Period: 01/01/2012 - 01/31/2012

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	$63,216.92	$63,216.92
Dividends/Interest	158.56	158.56
Fees	-355.60	-355.60
Change in Account Value	2,240.74	2,240.74
Ending Account Value	$65,260.62	$65,260.62
Estimated Annual Income	$2,489.87	

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds, and FDIC Deposits[1]	1,200.95	1,200.95	1,256.59	2%
Exchange-Traded Products	62,015.97	62,015.97	64,004.03	98%
Account Total	$63,216.92	$63,216.92	$65,260.62	100%

Asset Allocation percentages are rounded to the nearest whole percentage.

1 Cash in your account includes FDIC insured bank deposits.
FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation, up to allowable limits

ATTACHMENT 4

[redacted]

Additional Information

	This Period	Year-to-Date
Securities Bought and Sold	$252.68	$252.68

Summary of Gains and Losses

	Realized This Period	Realized Year-to-Date	Unrealized
Short-Term Gain/Loss	669.45	669.45	4,479.13
Long-Term Gain/Loss	1,328.45	1,328.45	527.96
Net Gain/Loss	**1,997.90**	**1,997.90**	**5,007.09**

This summary excludes transactions where cost basis information is not available.

Client Service Information

Your Financial Consultant: S26
WPETTY / JPETTY / SSHOLL SPLIT
345 FRAZIER
SUITE 205
CHATTANOOGA TN 37405-4121

Contact Information
Telephone Number: (423) 668-5411
Fax Number: (423) 668-5412

Investment Objective: MODERATE GROWTH
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

FDIC Insured Bank Deposits

Sweep FDIC Insured Bank Deposits

DEUTSCHE BANK INS DEPOSIT E
Account Number: [redacted] Activity Ending: 01/31/12

Date	Activity Type	Description	Amount	Balance
12/31/11	Opening Balance		1,139.96	1,139.96
01/03/12	Deposit	DEPOSIT	60.99	1,200.95
01/05/12	Deposit	DEPOSIT	62.40	1,263.35
01/06/12	Withdrawal	WITHDRAWAL	-259.53	1,003.82
01/10/12	Deposit	DEPOSIT	252.68	1,256.50
01/13/12	Deposit	INTEREST CREDITED	0.09	1,256.59
01/13/12		APY 0.1%		1,256.59
01/17/12		Citizens Bank		1,256.59
01/17/12		A/O 01/17 $1256.59		1,256.59
01/31/12	**Closing Balance**			**$1,256.59**

Total FDIC Insured Bank Deposits **$1,256.59**

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Note: For the period from December 31, 2010 through December 31, 2012 the FDIC provides unlimited insurance coverage of certain non interest bearing bank accounts. If your account is in such a program it will be stated in your Message section.

ATTACHMENT 4

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Statement Period: 01/01/2012 - 01/31/2012

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits ***2.00% of Portfolio***									
Cash Balance				60.99	0.00				
FDIC Insured Bank Deposits									
DEUTSCHE BANK INS DEPOSIT E									
1,256.590	12/31/11	ETF005005	01/31/12	1,139.96	1,256.59	0.05	0.09	N/A	N/A
Total FDIC Insured Bank Deposits				**$1,139.96**	**$1,256.59**	**$0.05**	**$0.09**		
Total Cash, Money Funds, and FDIC Deposits				**$1,200.95**	**$1,256.59**	**$0.05**	**$0.09**		

Quantity	Acquisition Date	Unit Cost		Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products 98.00% of Portfolio									
Exchange-Traded Products									
BARCLAYS BK PLC IPATH INDEX LKD TO DOW JONES UBS COMMODITY INDEX TOTAL RETURN CUSIP: 06738C778 Dividend Option: Cash; Capital Gains Option: Cash			*Security Identifier:* DJP						
62.000	09/29/11 *	43.1100		2,672.82	43.0000	2,666.00	-6.82		
BARCLAYS BK PLC IPATH DOW JONES UBS GRAINS TOTAL RETURN SUB INDEX ETN CUSIP: 06739H305 Dividend Option: Cash; Capital Gains Option: Cash			*Security Identifier:* JJG						
20.000	10/04/11 *	42.4750		849.49	44.9600	899.20	49.71		
ISHARES COMEX GOLD TR ISHARES CUSIP: 464285105 Dividend Option: Cash; Capital Gains Option: Cash			*Security Identifier:* IAU						
31.000	09/29/11 *	15.8600		[illegible]91.66	[illegible]6.9800	[illegible].38	34[illegible]		
27.000	10/04/11 *	15.7700		[illegible]25.7[illegible]	[illegible]	[illegible]	32[illegible]7		
58.000	**Total Noncovered**			[illegible]		[illegible].84	67[illegible]9		
58.000	**Total**			**$917.45**		**$[illegible].84**	**$67.39**	**$0.00**	

ATTACHMENT 4

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
ISHARES TR IBOXX USD INVT GRADE CORP BD FD			*Security Identifier:* LQD					
CUSIP: 464287242								
Dividend Option: Cash; Capital Gains Option: Cash								
8.000	04/01/11 *	108.0990	3864.79	116.2000	929.60	64.81	39.95	4.29%
15.000	09/29/11 *	112.1000	1,681.50	116.2000	1,743.00	61.50	74.91	4.29%
23.000	**Total Noncovered**		**2,546.29**		**2,672.60**	**126.31**	**114.86**	
15.000	01/04/12	113.5900	1,703.85	116.2000	1,743.00	39.15	74.92	4.29%
15.000	**Total Covered**		**1,703.85**		**1,743.00**	**39.15**	**74.92**	
38.000	**Total**		**$4,250.14**		**$4,415.60**	**$165.46**	**$189.78**	
ISHARES TR BARCLAYS 20+ TREAS BD FD			*Security Identifier:* TLT					
CUSIP: 464287432								
Dividend Option: Cash; Capital Gains Option: Cash								
19.000	01/04/12	118.1900	2,245.61	120.8500	2,296.15	50.54	75.94	3.30%
ISHARES TR BARCLAYS 7-10 YR TREAS BD FD			*Security Identifier:* IEF					
CUSIP: 464287440								
Dividend Option: Cash; Capital Gains Option: Cash								
10.000	09/29/11 *	104.2300	1,042.30	106.4800	1,064.80	22.50	26.94	2.53%
ISHARES TR DOW JONES U S UTILS SECTOR INDEX FD			*Security Identifier:* IDU					
CUSIP: 464287697								
Dividend Option: Cash; Capital Gains Option: Cash								
7.000	09/29/11 *	82.6300	578.41	85.3800	597.66	19.25	20.29	3.39%
11.000	10/04/11 *	77.8750	856.63	85.3800	939.18	82.55	31.88	3.39%
18.000	**Total Noncovered**		**1,435.04**		**1,536.84**	**101.80**	**52.17**	
18.000	**Total**		**$1,435.04**		**$1,536.84**	**$101.80**	**$52.17**	
ISHARES TR DOW JONES U S TECHNOLOGY SECTOR			*Security Identifier:* IYW					
CUSIP: 464287721								
Dividend Option: Cash; Capital Gains Option: Cash								
59.000	01/04/12	65.0500	3,837.96	69.1900	4,082.21	244.25	22.89	0.56%
ISHARES TR DOW JONES U S FINL SECTOR INDEX FD			*Security Identifier:* IYF					
CUSIP: 464287788								
Dividend Option: Cash; Capital Gains Option: Cash								
36.000	01/04/12	49.9290	1,79[illegible].45	52.5200	[illegible],890.[illegible]	93.27	29.65	1.56%

ATTACHMENT 4

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Statement Period: 01/01/2012 - 01/31/2012

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
ISHARES TR IBOXX $ HIGH YIELD CORP BD FD		*Security Identifier:* HYG						
CUSIP: 464288513								
Dividend Option: Cash; Capital Gains Option: Cash								
49.000	09/29/11 *	85.0000	4,165.00	90.7400	4,446.26	281.26	329.25	7.40%
38.000	10/04/11 *	79.7600	3,030.88	90.7400	3,448.12	417.24	255.33	7.40%
87.000	**Total Noncovered**		**7,195.88**		**7,894.38**	**698.50**	**584.58**	
19.000	01/04/12	89.4580	1,699.70	90.7400	1,724.06	24.36	127.67	7.40%
19.000	**Total Covered**		**1,699.70**		**1,724.06**	**24.36**	**127.67**	
106.000	**Total**		**$8,895.58**		**$9,618.44**	**$722.86**	**$712.25**	
ISHARES TR BARCLAYS MBS BD FD		*Security Identifier:* MBB						
CUSIP: 464288588								
Dividend Option: Cash; Capital Gains Option: Cash								
14.000	09/29/11 *	108.1100	1,513.54	108.3700	1,517.18	3.64	50.41	3.32%
14.000	**Total Noncovered**		**1,513.54**		**1,517.18**	**3.64**	**50.41**	
3.000	01/04/12	108.0400	324.12	108.3700	325.11	0.99	10.80	3.32%
3.000	**Total Covered**		**324.12**		**325.11**	**0.99**	**10.80**	
17.000	**Total**		**$1,837.66**		**$1,842.29**	**$4.63**	**$61.21**	
SPDR SER TR BARCLAYS CAP HIGH YIELD BD ETF		*Security Identifier:* JNK						
CUSIP: 78464A417								
Dividend Option: Cash; Capital Gains Option: Cash								
113.000	09/29/11 *	37.1200	4,194.56	39.4700	4,460.11	265.55	334.60	7.50%
86.000	10/04/11 *	35.0960	3,018.29	39.4700	3,394.42	376.13	254.65	7.50%
199.000	**Total Noncovered**		**7,212.85**		**7,854.53**	**641.68**	**589.25**	
48.000	01/04/12	38.5710	1,851.43	39.4700	1,894.56	43.13	142.13	7.50%
48.000	**Total Covered**		[illegible]		[illegible]	[illegible]	**142.13**	
247.000	**Total**		[illegible]		[illegible]	[illegible]	**$731.38**	

ATTACHMENT 4

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
SELECT SECTOR SPDR FD MATERIALS			*Security Identifier:* XLB					
CUSIP: 81369Y100								
Dividend Option: Cash; Capital Gains Option: Cash								
7.000	10/01/09 *	30.2270	³211.59	37.1800	260.26	48.67	5.16	1.98%
4.000	09/29/11 *	32.8780	131.51	37.1800	148.72	17.21	2.95	1.98%
			733 day(s) added to your holding period as a result of a wash sale.					
7.000	09/29/11 *	31.1400	217.98	37.1800	260.26	42.28	5.16	1.98%
18.000	**Total Noncovered**		**561.08**		**669.24**	**108.16**	**13.27**	
18.000	**Total**		**$561.08**		**$669.24**	**$108.16**	**$13.27**	
SELECT SECTOR SPDR FD HEALTH CARE			*Security Identifier:* XLV					
CUSIP: 81369Y209								
Dividend Option: Cash; Capital Gains Option: Cash								
8.000	10/01/10 *	30.4710	¹³243.77	35.8000	286.40	42.63	5.45	1.90%
8.000	07/01/11 *	35.7880	³286.30	35.8000	286.40	0.10	5.45	1.90%
66.000	09/29/11 *	32.4100	2,139.06	35.8000	2,362.80	223.74	44.93	1.90%
4.000	10/04/11 *	30.4200	121.68	35.8000	143.20	21.52	2.71	1.90%
86.000	**Total Noncovered**		**2,790.81**		**3,078.80**	**287.99**	**58.54**	
86.000	**Total**		**$2,790.81**		**$3,078.80**	**$287.99**	**$58.54**	
SECTOR SPDR TR SHS BEN INT CONSUMER STAPLES			*Security Identifier:* XLP					
CUSIP: 81369Y308								
Dividend Option: Cash; Capital Gains Option: Cash								
4.000	01/05/09 *	24.1950	¹³96.78	32.0300	128.12	31.34	3.54	2.76%
15.000	10/01/10 *	27.9190	¹³418.79	32.0300	480.45	61.66	13.29	2.76%
10.000	07/01/11 *	31.3790	³313.79	32.0300	320.30	6.51	8.86	2.76%
37.000	09/29/11 *	30.0500	1,111.85	32.0300	1,185.11	73.26	32.77	2.76%
25.000	10/04/11 *	28.9050	722.63	32.0300	800.75	78.12	22.14	2.76%
91.000	**Total Noncovered**		**2,663.84**		**2,914.73**	**250.89**	**80.60**	
91.000	**Total**		**$2,663.84**		**$2,914.73**	**$250.89**	**$80.60**	
SELECT SECTOR SPDR TR CONSUMER DISCRETIONARY FORMERLY CYCLICAL/ TRANSN TO 06/24/02			*Security Identifier:* XLY					
CUSIP: 81369Y407								
Dividend Option: Cash; Capital Gains Option: Cash								
3.000	01/05/09 *	22.4600	[illegible]7.3[illegible]	[illegible]1.3200	[illegible]3.96	56.[illegible]8	1.82	1.46%
9.000	10/01/10 *	3[illegible].4690	¹³30[illegible].22	[illegible]1.3200	3[illegible]	70.[illegible]6	5.46	1.46%
26.000	09/29/11 *	36.8300	957.58	41.3200	1,074.32	116.74	15.76	1.46%

ATTACHMENT 4

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Statement Period: 01/01/2012 - 01/31/2012

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
SELECT SECTOR SPDR TR CONSUMER *(continued)*								
38.000	Total Noncovered		1,326.18		1,570.16	243.98	23.04	
38.000	Total		$1,326.18		$1,570.16	$243.98	$23.04	
SELECT SECTOR SPDR TR ENERGY		*Security Identifier:* XLE						
CUSIP: 81369Y506								
Dividend Option: Cash; Capital Gains Option: Cash								
6.000	10/01/10 *	56.7250	13 340.35	70.6900	424.14	83.79	6.37	1.50%
38.000	09/29/11 *	61.2500	2,327.50	70.6900	2,686.22	358.72	40.32	1.50%
44.000	Total Noncovered		2,667.85		3,110.36	442.51	46.69	
44.000	Total		$2,667.85		$3,110.36	$442.51	$46.69	
SECTOR SPDR TR SHS BEN INT INDUSTRIAL		*Security Identifier:* XLI						
CUSIP: 81369Y704								
Dividend Option: Cash; Capital Gains Option: Cash								
6.000	04/03/09 *	19.7970	13 118.78	36.2200	217.32	98.54	4.36	2.00%
7.000	10/01/10 *	31.3500	13 219.45	36.2200	253.54	34.09	5.09	2.00%
30.000	09/29/11 *	30.4300	912.90	36.2200	1,086.60	173.70	21.83	2.00%
43.000	Total Noncovered		1,251.13		1,557.46	306.33	31.28	
43.000	Total		$1,251.13		$1,557.46	$306.33	$31.28	
VANGUARD INDEX FDS REIT ETF		*Security Identifier:* VNQ						
CUSIP: 922908553								
Dividend Option: Cash; Capital Gains Option: Cash								
92.000	09/29/11 *	52.4400	4,824.48	61.7000	5,676.40	851.92	188.60	3.32%
3.000	10/04/11 *	47.8200	143.46	61.7000	185.10	41.64	6.15	3.32%
95.000	Total Noncovered		4,967.94		5,861.50	893.56	194.75	
68.000	01/04/12	57.6810	3,922.33	61.7000	4,195.60	273.27	139.40	3.32%

ATTACHMENT 4

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*									
Exchange-Traded Products *(continued)*									
VANGUARD INDEX FDS REIT ETF *(continued)*									
68.000	Total Covered		3,922.33		4,195.60	273.27		139.40	
163.000	Total		$8,890.27		$10,057.10	$1,166.83		$334.15	
Total Exchange-Traded Products			$58,996.94		$64,004.03	$5,007.09		$2,489.78	
Total Exchange-Traded Products			$58,996.94		$64,004.03	$5,007.09		$2,489.78	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$60,253.53	$65,260.62	$5,007.09	$0.00	$2,489.87

* Noncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L.110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered " noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

3 The cost basis of this security has been provided to us by you or your introducing firm and Pershing makes no representation as to the accuracy of this information.

13 The cost basis of this security has been provided to us by the delivering firm or transferring agent and Pershing makes no representation as to the accuracy of this information.

Disclosures and Other Information

Pricing - This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices have been obtained from quotation services, which we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Portfolio Holdings *(continued)*

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Exchange-Traded Products - Exchange-traded products may include any combination of exchange-traded funds, exchange-traded notes, and other exchange-traded products.

Schedule of Realized Gains and Losses Current Period

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term								
01/04/12	09/29/11 *	SELL First In First Out	BARCLAYS BK PLC IPATH INDEX LKD TO	DJP	45.000	1,939.95	1,943.55	3.60
01/04/12	10/04/11 *	SELL First In First Out	BARCLAYS BK PLC IPATH DOW JONES UBS	JJG	3.000	127.42	136.34	8.92
01/04/12	09/29/11 *	SELL First In First Out	ISHARES COMEX GOLD TR ISHARES	IAU	26.000	412.36	409.21	-3.15
01/04/12	09/29/11 *	SELL First In First Out	ISHARES TR BARCLAYS 7-10 YR TREAS BD FD	IEF	7.000	729.61	731.66	2.05
01/04/12	09/29/11 *	SELL First In First Out	ISHARES TR RUSSELL 2000 VALUE INDEX FD	IWN	12.000	705.72	799.56	93.84

ATTACHMENT 4

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Schedule of Realized Gains and Losses Current Period *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term *(continued)*								
01/04/12	09/29/11 *	SELL First In First Out	ISHARES TR RUSSELL 2000 GROWTH INDEX FD	IWO	38.000	2,923.34	3,228.20	304.86
01/04/12	10/04/11 *	SELL First In First Out	ISHARES TR RUSSELL 2000 GROWTH INDEX FD	IWO	2.000	140.88	169.91	29.03
01/04/12	09/29/11 *	SELL First In First Out	ISHARES TR DOW JONES U S UTILS SECTOR	IDU	2.000	165.26	173.52	8.26
01/04/12	09/29/11 *	SELL First In First Out	SECTOR SPDR TR SHS BEN INT FINANCIAL	XLF	62.000	757.64	823.98	66.34
01/04/12	04/01/11 *	SELL First In First Out	SECTOR SPDR TR SHS BEN INT TECHNOLOGY	XLK	13.000	338.94	336.00	-2.94
01/04/12	09/29/11 *	SELL First In First Out	SECTOR SPDR TR SHS BEN INT TECHNOLOGY	XLK	81.000	1,993.41	2,093.56	100.15
01/04/12	10/04/11 *	SELL First In First Out	SECTOR SPDR TR SHS BEN INT TECHNOLOGY	XLK	21.000	484.29	542.78	58.49
Total Short Term						**$10,718.82**	**$11,388.27**	**$669.45**
Long Term								
01/04/12	10/01/09 *	SELL First In First Out	13SELECT SECTOR SPDR FD MATERIALS	XLB	3.000	90.68	103.95	13.27
01/04/12	04/03/09 *	SELL First In First Out	13SELECT SECTOR SPDR FD HEALTH CARE	XLV	15.000	358.62	524.10	165.48
01/04/12	10/01/10 *	SELL First In First Out	13SELECT SECTOR SPDR FD HEALTH CARE	XLV	7.000	213.29	244.58	31.29
01/04/12	07/02/07 *	SELL First In First Out	13SECTOR SPDR TR SHS BEN INT CONSUMER	XLP	11.000	299.90	355.52	55.62
01/04/12	01/05/09 *	SELL First In First Out	13SECTOR SPDR TR SHS BEN INT CONSUMER	XLP	3.000	72.58	96.96	24.38
01/04/12	01/05/09 *	SELL First In First Out	13SELECT SECTOR SPDR TR CONSUMER	XLY	13.000	291.97	515.97	224.00

ATTACHMENT 4

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Saint Louis, MO 63105 314-726-1600

[redacted]

Statement Period: 01/01/2012 - 01/31/2012

Schedule of Realized Gains and Losses Current Period *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Long Term *(continued)*								
01/04/12	01/05/09 *	SELL First In First Out	13SELECT SECTOR SPDR TR ENERGY	XLE	6.000	308.26	426.66	118.40
01/04/12	04/03/09 *	SELL First In First Out	13SELECT SECTOR SPDR TR ENERGY	XLE	4.000	182.56	284.44	101.88
01/04/12	10/01/10 *	SELL First In First Out	13SELECT SECTOR SPDR TR ENERGY	XLE	1.000	56.72	71.11	14.39
01/04/12	01/05/09 *	SELL First In First Out	13SECTOR SPDR TR SHS BEN INT FINANCIAL	XLF	26.000	327.48	345.54	18.06
01/04/12	10/01/10 *	SELL First In First Out	13SECTOR SPDR TR SHS BEN INT FINANCIAL	XLF	41.000	593.03	544.89	-48.14
01/04/12	09/29/11 *	SELL First In First Out	SECTOR SPDR TR SHS BEN INT FINANCIAL 2 day(s) added to your holding period as a result of a wash sale.	XLF	48.000	656.91	637.92	-18.99
01/04/12	04/03/09 *	SELL First In First Out	13SECTOR SPDR TR SHS BEN INT INDUSTRIAL	XLI	12.000	237.57	415.20	177.63
01/04/12	01/05/09 *	SELL First In First Out	13SECTOR SPDR TR SHS BEN INT TECHNOLOGY	XLK	28.000	445.90	723.70	277.80
01/04/12	04/03/09 *	SELL First In First Out	13SECTOR SPDR TR SHS BEN INT TECHNOLOGY	XLK	11.000	183.27	284.31	101.04
01/04/12	10/01/10 *	SELL First In First Out	13SECTOR SPDR TR SHS BEN INT TECHNOLOGY	XLK	26.000	599.67	672.01	72.34
Total Long Term						$[illegible],918.41	$6,246.86	$1,328.45
Total Short Term and Long Term						$1[illegible],637.23	$17,635.13	$1,997.90

ATTACHMENT 4

[redacted]

Schedule of Realized Gains and Losses Current Period *(continued)*

* *Noncovered under the cost basis rules as defined below.*

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L.110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered " noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for noncovered securities. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. In addition, corporate action events may require adjustments to your original cost basis. Return of capital information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable.

Adjustments to cost basis can be made after year-end, in particular, for return of capital adjustments, but may also include adjustments for corporate action events. Therefore there *may* be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports, including your 1099-B or online displays you may have available to you.

When you report *your cost basis on your tax return, it should be verified using all of your own records.* In particular, there may be other adjustments which you need to make, but are not required to be made by Pershing as it relates to H.R. 1424. You should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

13 The cost basis of this security has been provided to us by the delivering firm or transferring agent and Pershing makes no representation as to the accuracy of this information.

Please refer to the Client Service Information Section in your brokerage account statement *for your account's existing tax-lot disposition method.* The disposition method is the method which you have selected to use in the disposal of each tax lot of the securities held in your account. If you do not select a method, your account will be defaulted to First In, First Out (FIFO). Your account's selected tax lot method will be used to determine the cost basis for calculating gain and/or loss, unless another method was selected at the time of the security disposal, and this may be reported on the IRS Form 1099-B.

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Securities		
Securities Sold	17,635.13	17,635.13
Total Securities	**$17,635.13**	**$17,635.13**
Additional Transactions		
Fees	0.00	0.00
Distributions	52.55	52.55
Dividends and Interest	106.01	106.01
FDIC Insured Bank Deposits	259.53	259.53
Total Additional Transactions	**$418.09**	**$418.09**
Total Credits	**$18,053.22**	**$18,053.22**

Debits	This Period	Year-to-Date
Securities		
Securities Bought	-17,382.45	-17,382.45
Total Securities	**-$17,382.45**	**-$17,382.45**
Additional Transactions		
Fees	-355.60	-355.60
Distributions	0.00	0.00
Dividends and Interest	0.00	0.00
FDIC Insured Bank Deposits	-376.16	-376.16
Total Additional Transactions	**-$731.76**	**-$731.76**
Total Debits	**-$18,114.21**	**-$18,114.21**

ATTACHMENT 4

BENJAMIN F. EDWARDS & Co.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Statement Period: 01/01/2012 - 01/31/2012

Transactions in Date Sequence

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
01/03/12		FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-60.99	USD
01/04/12		CASH DIVIDEND RECEIVED LQD	23 SHRS ISHARES TR IBOXX USD INVT GRADE CORP BD FD RD 12/29 PD 01/04/12				8.92	USD
01/04/12		CASH DIVIDEND RECEIVED IEF	17 SHRS ISHARES TR BARCLAYS 7-10 YR TREAS BD FD RD 12/29 PD 01/04/12				3.22	USD
01/04/12		CASH DIVIDEND RECEIVED HYG	87 SHRS ISHARES TR IBOXX $ HIGH YIELD CORP BD FD RD 12/29 PD 01/04/12				46.52	USD
01/04/12		CASH DIVIDEND RECEIVED MBB	14 SHRS ISHARES TR BARCLAYS MBS BD FD RD 12/29 PD 01/04/12				3.74	USD
01/05/12		FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-62.40	USD
01/06/12		FDIC INSURED BANK WITHDRAWAL USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				259.53	USD
01/06/12		ASSET BASED FEE USD999997	QUARTERLY FEE 1/1-3/ 31				-355.60	USD
01/06/12		LONG TERM CAPITAL GAIN DISTRIBUTION JNK	199 SHRS SPDR SER TR BARCLAYS CAP HIGH YIELD BD ETF RD 12/30 PD 01/06/12				52.55	USD
01/06/12		CASH DIVIDEND RECEIVED JNK	199 SHRS SPDR SER TR BARCLAYS CAP HIGH YIELD BD ETF RD 12/30 PD 01/06/12				43.52	USD
01/09/12	01/04/12	SOLD DJP	BARCLAYS BK PLC IPATH INDEX LKD TO DOW JONES UBS COMMODITY INDEX TOTAL RETURN AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-45.000	43.1899		1,943.55	USD
01/09/12	01/04/12	SOLD JJG	BARCLAYS BK PLC IPATH DOW JONES UBS GRAINS TOTAL RETURN SUB INDEX ETN AVERAGE UNIT PRICE *TRANSACTION YOUR BROKER ACTED AS AGENT*	-3.000	45.4459		136.34	USD
01/09/12	01/04/12	SOLD IAU	ISHARES COMEX GOLD TR ISHARES AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-26.000	15.7387		409.21	USD
01/09/12	01/04/12	PURCHASED LQD	ISHARES TR IBOXX USD INVT GRADE CORP BD FD AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	1[illegible].000	[illegible]3.5900		-1,703.85	USD
01/09/12	01/04/12	PURCHASED TLT	ISHARES TR BARCLAYS 20+ TREAS BD FD AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	19.000	118.1900		-2,245.61	USD

ATTACHMENT 4

[redacted]

Transactions in Date Sequence *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
01/09/12	01/04/12	SOLD IEF	ISHARES TR BARCLAYS 7-10 YR TREAS BD FD AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-7.000	104.5231		731.66	USD
01/09/12	01/04/12	SOLD IWN	ISHARES TR RUSSELL 2000 VALUE INDEX FD AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-12.000	66.6300		799.56	USD
01/09/12	01/04/12	SOLD IWO	ISHARES TR RUSSELL 2000 GROWTH INDEX FD AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-40.000	84.9528		3,398.11	USD
01/09/12	01/04/12	SOLD IDU	ISHARES TR DOW JONES U S UTILS SECTOR INDEX FD AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-2.000	86.7600		173.52	USD
01/09/12	01/04/12	PURCHASED IYW	ISHARES TR DOW JONES U S TECHNOLOGY SECTOR AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	59.000	65.0502		-3,837.96	USD
01/09/12	01/04/12	PURCHASED IYF	ISHARES TR DOW JONES U S FINL SECTOR INDEX FD AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	36.000	49.9292		-1,797.45	USD
01/09/12	01/04/12	PURCHASED HYG	ISHARES TR IBOXX $ HIGH YIELD CORP BD FD AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	19.000	89.4579		-1,699.70	USD
01/09/12	01/04/12	PURCHASED MBB	ISHARES TR BARCLAYS MBS BD FD AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	3.000	108.0391		-324.12	USD
01/09/12	01/04/12	PURCHASED JNK	SPDR SER TR BARCLAYS CAP HIGH YIELD BD ETF AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	48.000	38.5713		-1,851.43	USD
01/09/12	01/04/12	SOLD XLB	SELECT SECTOR SPDR FD MATERIALS AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-3.000	34.6510		103.95	USD
01/09/12	01/04/12	SOLD XLV	SELECT SECTOR SPDR FD HEALTH CARE AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-22.000	34.9400		768.68	USD
01/09/12	01/04/12	SOLD XLP	SECTOR SPDR TR SHS BEN INT CONSUMER STAPLES AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-14.000	32.3200		452.48	USD
01/09/12	01/04/12	SOLD XLY	SELECT SECTOR SPDR TR CONSUMER DISCRETIONARY FORMERLY CYCLICAL/ TRANSN TO 06/24/02 AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-13.000	39.6900		515.97	USD
01/09/12	01/04/12	SOLD XLE	SELECT SECTOR SPDR TR ENERGY AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-[illegible]000	[illegible]104		782.21	USD
01/09/12	01/04/12	SOLD XLF	SECTOR SPDR TR SHS BEN INT FINANCIAL AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-17[illegible]000	[illegible]3.2900		2,352.33	USD

ATTACHMENT 4

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BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

Statement Period: 01/01/2012 - 01/31/2012

Transactions in Date Sequence *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
01/09/12	01/04/12	SOLD XLI	SECTOR SPDR TR SHS BEN INT INDUSTRIAL AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-12.000	34.6000		415.20	USD
01/09/12	01/04/12	SOLD XLK	SECTOR SPDR TR SHS BEN INT TECHNOLOGY AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-180.000	25.8464		4,652.36	USD
01/09/12	01/04/12	PURCHASED VNQ	VANGUARD INDEX FDS REIT ETF AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	68.000	57.6813		-3,922.33	USD
01/10/12		FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-252.68	USD
01/13/12		FDIC INSURED BANK DEPOSITS INTEREST REINVESTED 250990041	DEUTSCHE BANK INS INTEREST REINVESTED				-0.09	USD
01/13/12		FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED				0.09	USD
Total Value of all Transactions						$0.00	-$60.99	

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period Taxable	Current Period Non Taxable	Year-to-Date Taxable	Year-to-Date Non Taxable
Dividend Income				
Equities	105.92	0.00	105.92	0.00
Interest Income				
FDIC Insured Bank Deposits	0.09	0.00	0.09	0.00
Total Dividends, Interest, Income and Expenses	$106.01	$0.00	$106.01	$0.00
Distributions				
Long - Term Capital Gain Distributions	52.55	0.00	52.55	0.00
Total Distributions	$52.55	$0.00	$52.55	$0.00

ATTACHMENT 4

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BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

CHARLES J FLEISCHMANN
[redacted]

Your Financial Consultant:
WPETTY / JPETTY / SSHOLL SPLIT
(423) 668-5411

Account Number: [redacted]
Statement Period: 02/01/2012 - 02/29/2012

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	$65,260.62	$63,216.92
Dividends/Interest	134.22	292.78
Fees	0.00	-355.60
Change in Account Value	1,072.42	3,313.16
Ending Account Value	$66,467.26	$66,467.26
Estimated Annual Income	$2,469.02	

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds, and FDIC Deposits[1]	1,200.95	1,256.59	1,390.81	2%
Exchange-Traded Products	62,015.97	64,004.03	65,076.45	98%
Account Total	$63,216.92	$65,260.62	$66,467.26	100%

Asset Allocation percentages are rounded to the nearest whole percentage.

1 Cash in your account includes FDIC insured bank deposits.
FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation, up to allowable limits

ATTACHMENT 4

[redacted]

Additional Information

	This Period	Year-to-Date
Securities Bought and Sold	$0.00	$252.68

Summary of Gains and Losses

	Realized This Period	Realized Year-to-Date	Unrealized
Short-Term Gain/Loss	0.00	669.45	5,466.47
Long-Term Gain/Loss	0.00	1,328.45	613.04
Net Gain/Loss	**0.00**	**1,997.90**	**6,079.51**

This summary excludes transactions where cost basis information is not available.

Client Service Information

Your Financial Consultant: S26
WPETTY / JPETTY / SSHOLL SPLIT
345 FRAZIER
SUITE 205
CHATTANOOGA TN 37405-4121

Contact Information
Telephone Number: (423) 668-5411
Fax Number: (423) 668-5412

Investment Objective: MODERATE GROWTH
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

FDIC Insured Bank Deposits

Sweep FDIC Insured Bank Deposits

DEUTSCHE BANK INS DEPOSIT E
Account Number [redacted] Activity Ending: 02/29/12

Date	Activity Type	Description	Amount	Balance
02/01/12	Opening Balance		1,256.59	1,256.59
02/08/12	Deposit	DEPOSIT	80.87	1,337.46
02/10/12	Deposit	DEPOSIT	53.25	1,390.71
02/15/12	Deposit	INTEREST CREDITED	0.10	1,390.81
02/15/12		APY 0.1%		1,390.81
02/16/12		Citizens Bank		1,390.81
02/16/12		A/O 02/16 $1390.81		1,390.81
02/29/12	**Closing Balance**			**$1,390.81**

Total FDIC Insured Bank Deposits **$1,390.81**

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Note: For the period from December 31, 2010 through December 31, 2012 the FDIC provides unlimited insurance coverage of certain non-interest bearing bank accounts. If your account is in such a program it will be stated in your Message section.

ATTACHMENT 4

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BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

Statement Period: 02/01/2012 - 02/29/2012

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 2.00% of Portfolio									
FDIC Insured Bank Deposits									
DEUTSCHE BANK INS DEPOSIT E									
1,390.810	02/01/12	ETF005005	02/29/12	1,256.59	1,390.81	0.05	0.19	N/A	N/A
Total FDIC Insured Bank Deposits				$1,256.59	$1,390.81	$0.05	$0.19		
Total Cash, Money Funds, and FDIC Deposits				$1,256.59	$1,390.81	$0.05	$0.19		

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products 98.00% of Portfolio								
Exchange-Traded Products								
BARCLAYS BK PLC IPATH INDEX LKD TO DOW JONES UBS COMMODITY INDEX TOTAL RETURN CUSIP: 06738C778 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* DJP						
62.000	09/29/11 *	43.1100	2,672.82	44.2200	2,741.64	68.82		
BARCLAYS BK PLC IPATH DOW JONES UBS GRAINS TOTAL RETURN SUB INDEX ETN CUSIP: 06739H305 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* JJG						
20.000	10/04/11 *	42.4750	849.49	46.6500	933.00	83.51		
ISHARES COMEX GOLD TR ISHARES CUSIP: 464285105 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* IAU						
31.000	09/29/11 *	15.8600	491.66	16.4800	510.88	19.22		
27.000	10/04/11 *	15.7700	425.79	16.4800	444.96	19.17		
58.000	Total Noncovered		[illegible]17.45		9[illegible]5.84	38[illegible]9		
58.000	Total		$[illegible]7.45		$[illegible]	$38[illegible]9	$0.00	

ATTACHMENT 4

Account Number: [redacted]
CHARLES J FLEISCHMANN

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
ISHARES TR IBOXX USD INVT GRADE CORP BD FD			*Security Identifier:* LQD					
CUSIP: 464287242								
Dividend Option: Cash; Capital Gains Option: Cash								
8.000	04/01/11 *	108.0990	864.79	117.7000	941.60	76.81	39.67	4.21%
15.000	09/29/11 *	112.1000	1,681.50	117.7000	1,765.50	84.00	74.38	4.21%
23.000	**Total Noncovered**		**2,546.29**		**2,707.10**	**160.81**	**114.05**	
15.000	01/04/12	113.5900	1,703.85	117.7000	1,765.50	61.65	74.37	4.21%
15.000	**Total Covered**		**1,703.85**		**1,765.50**	**61.65**	**74.37**	
38.000	**Total**		**$4,250.14**		**$4,472.60**	**$222.46**	**$188.42**	
ISHARES TR BARCLAYS 20+ TREAS BD FD			*Security Identifier:* TLT					
CUSIP: 464287432								
Dividend Option: Cash; Capital Gains Option: Cash								
19.000	01/04/12	118.1900	2,245.61	117.4300	2,231.17	-14.44	75.35	3.37%
ISHARES TR BARCLAYS 7-10 YR TREAS BD FD			*Security Identifier:* IEF					
CUSIP: 464287440								
Dividend Option: Cash; Capital Gains Option: Cash								
10.000	09/29/11 *	104.2300	1,042.30	105.1200	1,051.20	8.90	26.49	2.52%
ISHARES TR DOW JONES U S UTILS SECTOR INDEX FD			*Security Identifier:* IDU					
CUSIP: 464287697								
Dividend Option: Cash; Capital Gains Option: Cash								
7.000	09/29/11 *	82.6300	578.41	85.9000	601.30	22.89	20.29	3.37%
11.000	10/04/11 *	77.8750	856.63	85.9000	944.90	88.27	31.88	3.37%
18.000	**Total Noncovered**		**1,435.04**		**1,546.20**	**111.16**	**52.17**	
18.000	**Total**		**$1,435.04**		**$1,546.20**	**$111.16**	**$52.17**	
ISHARES TR DOW JONES U S TECHNOLOGY SECTOR			*Security Identifier:* IYW					
CUSIP: 464287721								
Dividend Option: Cash; Capital Gains Option: Cash								
59.000	01/04/12	65.0500	3,837.96	74.1700	4,376.03	538.07	22.89	0.52%
ISHARES TR DOW JONES U S FINL SECTOR INDEX FD			*Security Identifier:* IYF					
CUSIP: 464287788								
Dividend Option: Cash; Capital Gains Option: Cash								
36.000	01/04/12	49.9290	1,79[illegible]45	55.0800	1,9[illegible]	185[illegible]3	29.65	1.49%

ATTACHMENT 4

Account Number: [redacted]
CHARLES J FLEISCHMANN

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Statement Period: 02/01/2012 - 02/29/2012

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
ISHARES TR IBOXX $ HIGH YIELD CORP BD FD			*Security Identifier:* HYG					
CUSIP: 464288513								
Dividend Option: Cash; Capital Gains Option: Cash								
49.000	09/29/11 '	85.0000	4,165.00	92.1260	4,514.18	349.18	325.24	7.20%
38.000	10/04/11 '	79.7600	3,030.88	92.1260	3,500.79	469.91	252.23	7.20%
87.000	**Total Noncovered**		**7,195.88**		**8,014.97**	**819.09**	**577.47**	
19.000	01/04/12	89.4580	1,699.70	92.1260	1,750.39	50.69	126.12	7.20%
19.000	**Total Covered**		**1,699.70**		**1,750.39**	**50.69**	**126.12**	
106.000	**Total**		**$8,895.58**		**$9,765.36**	**$869.78**	**$703.59**	
ISHARES TR BARCLAYS MBS BD FD			*Security Identifier:* MBB					
CUSIP: 464288588								
Dividend Option: Cash; Capital Gains Option: Cash								
14.000	09/29/11 '	108.1100	1,513.54	108.1900	1,514.66	1.12	49.65	3.27%
14.000	**Total Noncovered**		**1,513.54**		**1,514.66**	**1.12**	**49.65**	
3.000	01/04/12	108.0400	324.12	108.1900	324.57	0.45	10.64	3.27%
3.000	**Total Covered**		**324.12**		**324.57**	**0.45**	**10.64**	
17.000	**Total**		**$1,837.66**		**$1,839.23**	**$1.57**	**$60.29**	
SPDR SER TR BARCLAYS CAP HIGH YIELD BD ETF			*Security Identifier:* JNK					
CUSIP: 78464A417								
Dividend Option: Cash; Capital Gains Option: Cash								
113.000	09/29/11 '	37.1200	4,194.56	40.0900	4,530.17	335.61	330.50	7.29%
86.000	10/04/11 '	35.0960	3,018.29	40.0900	3,447.74	429.45	251.53	7.29%
199.000	**Total Noncovered**		**7,212.85**		**7,977.91**	**765.06**	**582.03**	
48.000	01/04/12	38.5710	1,851.43	40.0900	1,924.32	72.89	140.38	7.29%
48.000	**Total Covered**		**1,851.4[illegible]**		**1,9[illegible].32**	**72.[illegible]9**	**140.38**	
247.000	**Total**		**$9,0[illegible]4.2[illegible]**		**$[illegible]**	**$837.[illegible]5**	**$722.41**	

ATTACHMENT 4

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
SELECT SECTOR SPDR TR MATLS			*Security Identifier:* XLB					
CUSIP: 81369Y100								
Dividend Option: Cash; Capital Gains Option: Cash								
7.000	10/01/09 *	30.2270	[3]211.59	36.9700	258.79	47.20	5.16	1.99%
4.000	09/29/11 *	32.8780	131.51	36.9700	147.88	16.37	2.95	1.99%
		733 day(s) added to your holding period as a result of a wash sale.						
7.000	09/29/11 *	31.1400	217.98	36.9700	258.79	40.81	5.16	1.99%
18.000	**Total Noncovered**		**561.08**		**665.46**	**104.38**	**13.27**	
18.000	**Total**		**$561.08**		**$665.46**	**$104.38**	**$13.27**	
SELECT SECTOR SPDR TR HEALTH CARE			*Security Identifier:* XLV					
CUSIP: 81369Y209								
Dividend Option: Cash; Capital Gains Option: Cash								
8.000	10/01/10 *	30.4710	[13]243.77	36.1800	289.44	45.67	5.45	1.88%
8.000	07/01/11 *	35.7880	[3]286.30	36.1800	289.44	3.14	5.45	1.88%
66.000	09/29/11 *	32.4100	2,139.06	36.1800	2,387.88	248.82	44.93	1.88%
4.000	10/04/11 *	30.4200	121.68	36.1800	144.72	23.04	2.71	1.88%
86.000	**Total Noncovered**		**2,790.81**		**3,111.48**	**320.67**	**58.54**	
86.000	**Total**		**$2,790.81**		**$3,111.48**	**$320.67**	**$58.54**	
SELECT SECTOR SPDR TR CONSUMER STAPLES			*Security Identifier:* XLP					
CUSIP: 81369Y308								
Dividend Option: Cash; Capital Gains Option: Cash								
4.000	01/05/09 *	24.1950	[13]96.78	33.2300	132.92	36.14	3.54	2.66%
15.000	10/01/10 *	27.9190	[13]418.79	33.2300	498.45	79.66	13.29	2.66%
10.000	07/01/11 *	31.3790	[3]313.79	33.2300	332.30	18.51	8.86	2.66%
37.000	09/29/11 *	30.0500	1,111.85	33.2300	1,229.51	117.66	32.77	2.66%
25.000	10/04/11 *	28.9050	722.63	33.2300	830.75	108.12	22.14	2.66%
91.000	**Total Noncovered**		**2,663.84**		**3,023.93**	**360.09**	**80.60**	
91.000	**Total**		**$2,663.84**		**$3,023.93**	**$360.09**	**$80.60**	
SELECT SECTOR SPDR TR CONSUMER DISCRETIONARY TRANSN TO 06/24/02			*Security Identifier:* XLY					
CUSIP: 81369Y407								
Dividend Option: Cash; Capital Gains Option: Cash								
3.000	01/05/09 *	22.4600	[13]67.38	43.1900	[illegible]	[illegible]	1.82	1.40%
9.000	10/01/10 *	33.4690	[13]301.22	43.1900	388.71	87.49	5.46	1.40%
26.000	09/29/11 *	36.8300	957.58	43.1900	1,122.94	165.36	15.76	1.40%
38.000	**Total Noncovered**		**1,326.18**		**1,641.22**	**315.04**	**23.04**	
38.000	**Total**		**$1,326.18**		**$1,641.22**	**$315.04**	**$23.04**	

ATTACHMENT 4

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
SELECT SECTOR SPDR TR ENERGY			*Security Identifier:* XLE					
CUSIP: 81369Y506								
Dividend Option: Cash; Capital Gains Option: Cash								
6.000	10/01/10 *	56.7250	[13]340.35	74.8800	449.28	108.93	6.37	1.41%
38.000	09/29/11 *	61.2500	2,327.50	74.8800	2,845.44	517.94	40.32	1.41%
44.000	Total Noncovered		2,667.85		3,294.72	626.87	46.69	
44.000	Total		$2,667.85		$3,294.72	$626.87	$46.69	
SELECT SECTOR SPDR TR INDL			*Security Identifier:* XLI					
CUSIP: 81369Y704								
Dividend Option: Cash; Capital Gains Option: Cash								
6.000	04/03/09 * *	19.7970	[13]118.78	37.2300	223.38	104.60	4.36	1.95%
7.000	10/01/10 *	31.3500	[13]219.45	37.2300	260.61	41.16	5.09	1.95%
30.000	09/29/11 *	30.4300	912.90	37.2300	1,116.90	204.00	21.83	1.95%
43.000	Total Noncovered		1,251.13		1,600.89	349.76	31.28	
43.000	Total		$1,251.13		$1,600.89	$349.76	$31.28	
VANGUARD INDEX FDS VANGUARD REIT ETF			*Security Identifier:* VNQ					
CUSIP: 922908553								
Dividend Option: Cash; Capital Gains Option: Cash								
92.000	09/29/11 *	52.4400	4,824.48	60.9900	5,611.08	786.60	188.60	3.36%
3.000	10/04/11 *	47.8200	143.46	60.9900	182.97	39.51	6.15	3.36%
95.000	Total Noncovered		4,967.94		5,794.05	826.11	194.75	
68.000	01/04/12	57.6810	3,922.33	60.9900	4,147.32	224.99	139.40	3.36%
68.000	Total Covered		3,922.33		4,147.32	224.99	139.40	
163.000	Total		$8,890.27		$9,941.37	$1,051.10	$334.15	
Total Exchange-Traded Products			$58,996.94		$65,076.45	$6,079.51	$2,468.83	
Total Exchange-Traded Products			$58,996.94		$65,076.45	$6,079.51	$2,468.83	

ATTACHMENT 4

[redacted]

Portfolio Holdings *(continued)*

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$60,387.75	$66,467.26	$6,079.51	$0.00	$2,469.02

* Noncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L.110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered " noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

3 The cost basis of this security has been provided to us by you or your introducing firm and Pershing makes no representation as to the accuracy of this information.

13 The cost basis of this security has been provided to us by the delivering firm or transferring agent and Pershing makes no representation as to the accuracy of this information.

Disclosures and Other Information

Pricing - This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices have been obtained from quotation services, which we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

ATTACHMENT 4

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Portfolio Holdings *(continued)*

Exchange-Traded Products - Exchange-traded products may include any combination of exchange-traded funds, exchange-traded notes, and other exchange-traded products.

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Securities		
Securities Sold	0.00	17,635.13
Total Securities	$0.00	$17,635.13
Additional Transactions		
Fees	0.00	0.00
Distributions	0.00	52.55
Dividends and Interest	134.22	240.23
FDIC Insured Bank Deposits	0.00	259.53
Total Additional Transactions	$134.22	$552.31
Total Credits	$134.22	$18,187.44

Debits	This Period	Year-to-Date
Securities		
Securities Bought	0.00	-17,382.45
Total Securities	$0.00	-$17,382.45
Additional Transactions		
Fees	0.00	-355.60
Distributions	0.00	0.00
Dividends and Interest	0.00	0.00
FDIC Insured Bank Deposits	-134.22	-510.38
Total Additional Transactions	-$134.22	-$865.98
Total Debits	-$134.22	-$18,248.43

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
02/07/12	CASH DIVIDEND RECEIVED LQD	38 SHRS ISHARES TR IBOXX USD INVT GRADE CORP BD FD RD 02/03 PD 02/07/12				14.87	USD
02/07/12	CASH DIVIDEND RECEIVED TLT	19 SHRS ISHARES TR BARCLAYS 20+ TREAS BD FD RD 02/03 PD 02/07/12				5.49	USD
02/07/12	CASH DIVIDEND RECEIVED IEF	10 SHRS ISHARES TR BARCLAYS 7-10 YR TREAS BD FD RD 02/03 PD 02/07/12				1.91	USD
02/07/12	CASH DIVIDEND RECEIVED HYG	106 SHRS ISHARES TR IBOXX $ HIGH YIELD CORP BD FD RD 02/03 PD 02/07/12				53.72	USD
02/07/12	CASH DIVIDEND RECEIVED MBB	[illegible] SHRS ISHARES TR BARCLAYS MBS BD FD RD 02/03 PD 02/07/12				4.88	USD
02/08/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-80.87	USD

ATTACHMENT 4

[redacted]

Transactions in Date Sequence *(continued)*

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
02/09/12	CASH DIVIDEND RECEIVED JNK	247 SHRS SPDR SER TR BARCLAYS CAP HIGH YIELD BD ETF RD 02/03 PD 02/09/12				53.25	USD
02/10/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-53.25	USD
02/15/12	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED 250990041	DEUTSCHE BANK INS INTEREST REINVESTED				-0.10	USD
02/15/12	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED				0.10	USD
Total Value of all Transactions					**$0.00**	**$0.00**	

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period		Year-to-Date	
	Taxable	Non Taxable	Taxable	Non Taxable
Dividend Income				
Equities	134.12	0.00	240.04	0.00
Interest Income				
FDIC Insured Bank Deposits	0.10	0.00	0.19	0.00
Total Dividends, Interest, Income and Expenses	**$134.22**	**$0.00**	**$240.23**	**$0.00**
Distributions				
Long - Term Capital Gain Distributions	0.00	0.00	52.55	0.00
Total Distributions	**$0.00**	**$0.00**	**$52.55**	**$0.00**

Messages

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).
YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET FUND AND FDIC INSURED BANK RATES AND BANK LISTS." *PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEBSITE.*

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ATTACHMENT 4

BENJAMIN F. EDWARDS & Co.
INVESTMENTS *for* GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Account Number: [redacted]
Statement Period: 03/01/2012 - 03/31/2012

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	**$66,467.26**	**$63,216.92**
Dividends/Interest	310.83	603.61
Fees	0.00	-355.60
Change in Account Value	277.30	3,590.46
Ending Account Value	$67,055.39	$67,055.39
Estimated Annual Income	**$2,483.89**	

CHARLES J FLEISCHMANN
[redacted]

Your Financial Consultant:
WARD PETTY AND JOE PETTY
(423) 668-5411

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds, and FDIC Deposits[1]	1,200.95	1,390.81	1,701.64	3%
Exchange-Traded Products	62,015.97	65,076.45	65,353.75	97%
Account Total (Pie Chart)	**$63,216.92**	**$66,467.26**	**$67,055.39**	**100%**

1 **Cash in your account includes FDIC insured bank deposits.**
FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation, up to allowable limits

Asset Allocation percentages are rounded to the nearest whole percentage.
Pie Chart allocation excludes all asset classes which net to a liability.

ATTACHMENT 4

[redacted]

Additional Information

	This Period	Year-to-Date
Securities Bought and Sold	$0.00	$252.68

Summary of Gains and Losses

	Realized		
	This Period	Year-to-Date	Unrealized
Short-Term Gain/Loss	0.00	669.45	5,709.75
Long-Term Gain/Loss	0.00	1,328.45	647.06
Net Gain/Loss	0.00	1,997.90	6,356.81

This summary excludes transactions where cost basis information is not available.

Client Service Information

Your Financial Consultant: S38	Contact Information
WARD PETTY AND JOE PETTY 345 FRAZIER SUITE 205 CHATTANOOGA TN 37405-4121	Telephone Number: (423) 668-5411 Fax Number: (423) 668-5412

Investment Objective: MODERATE GROWTH
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: *FIRST IN FIRST OUT*
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

FDIC Insured Bank Deposits

Sweep FDIC Insured Bank Deposits

DEUTSCHE BANK INS DEPOSIT E
Account Number: [redacted] Activity Ending: 03/30/12

Date	Activity Type	Description	Amount	Balance
03/01/12	Opening Balance		1,390.81	1,390.81
03/08/12	Deposit	DEPOSIT	84.13	1,474.94
03/12/12	Deposit	DEPOSIT	58.23	1,533.17
03/15/12	Deposit	*INTEREST CREDITED*	0.11	1,533.28
03/15/12		APY 0.1%		1,533.28
03/16/12		Citizens Bank		1,533.28
03/16/12		*A/O 03/16 $1533.28*		1,533.28
03/29/12	Deposit	DEPOSIT	60.13	1,593.41
03/30/12	**Closing Balance**			**$1,593.41**

Total FDIC Insured Bank Deposits $1,593.41

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Note: For the period from December 31, 2010 through December 31, 2012 the FDIC provides unlimited insurance coverage on certain non interest bearing bank accounts. If your account is in such a program it will be stated in your Message section.

ATTACHMENT 4

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Statement Period: 03/01/2012 - 03/31/2012

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 3.00% of Portfolio									
Cash Balance				0.00	108.23				
FDIC Insured Bank Deposits									
DEUTSCHE BANK INS DEPOSIT E									
1,593.410	03/01/12	ETF005005	03/30/12	1,390.81	1,593.41	0.07	0.30	N/A	N/A
Total FDIC Insured Bank Deposits				$1,390.81	$1,593.41	$0.07	$0.30		
Total Cash, Money Funds, and FDIC Deposits				$1,390.81	$1,701.64	$0.07	$0.30		

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products 97.00% of Portfolio								
Exchange-Traded Products								
BARCLAYS BK PLC IPATH INDEX LKD TO *DOW JONES UBS COMMODITY INDEX* TOTAL RETURN CUSIP: 06738C778 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* DJP						
62.000	09/29/11 *	43.1100	2,672.82	42.3200	2,623.84	-48.98		
BARCLAYS BK PLC IPATH DOW JONES UBS GRAINS TOTAL RETURN SUB INDEX ETN CUSIP: 06739H305 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* JJG						
20.000	10/04/11 *	42.4750	849.49	47.4100	948.20	98.71		
ISHARES COMEX GOLD TR ISHARES CUSIP: 464285105 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* IAU						
31.000	09/29/11 *	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]		
27.000	10/04/11 *	15.7700	[illegible]	[illegible]	[illegible]	[illegible]		
58.000	Total Noncovered		[illegible]		[illegible]	[illegible]		
58.000	Total		$917.45		$943.66	$26.21	$0.00	

ATTACHMENT 4

Account Number: [redacted]
CHARLES J FLEISCHMANN

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
ISHARES TR IBOXX USD INVT GRADE CORP BD FD		*Security Identifier:* LQD						
CUSIP: 464287242								
Dividend Option: Cash; Capital Gains Option: Cash								
8.000	04/01/11 *	108.0990	864.79	115.6300	925.04	60.25	39.43	4.26%
15.000	09/29/11 *	112.1000	1,681.50	115.6300	1,734.45	52.95	73.94	4.26%
23.000	Total Noncovered		2,546.29		2,659.49	113.20	113.37	
15.000	01/04/12	113.5900	1,703.85	115.6300	1,734.45	30.60	73.94	4.26%
15.000	Total Covered		1,703.85		1,734.45	30.60	73.94	
38.000	Total		$4,250.14		$4,393.94	$143.80	$187.31	
ISHARES TR BARCLAYS 20+ TREAS BD FD		*Security Identifier:* TLT						
CUSIP: 464287432								
Dividend Option: Cash; Capital Gains Option: Cash								
19.000	01/04/12	118.1900	2,245.61	112.2000	2,131.80	-113.81	74.78	3.50%
ISHARES TR BARCLAYS 7-10 YR TREAS BD FD		*Security Identifier:* IEF						
CUSIP: 464287440								
Dividend Option: Cash; Capital Gains Option: Cash								
10.000	09/29/11 *	104.2300	1,042.30	103.2800	1,032.80	-9.50	26.02	2.51%
ISHARES TR DOW JONES U S UTILS SECTOR INDEX FD		*Security Identifier:* IDU						
CUSIP: 464287697								
Dividend Option: Cash; Capital Gains Option: Cash								
7.000	09/29/11 *	82.6300	578.41	86.1600	603.12	24.71	20.63	3.42%
11.000	10/04/11 *	77.8750	856.63	86.1600	947.76	91.13	32.42	3.42%
18.000	Total Noncovered		1,435.04		1,550.88	115.84	53.05	
18.000	Total		$1,435.04		$1,550.88	$115.84	$53.05	
ISHARES TR DOW JONES U S TECHNOLOGY SECTOR		*Security Identifier:* IYW						
CUSIP: 464287721								
Dividend Option: Cash; Capital Gains Option: Cash								
59.000	01/04/12	65.0500	3,837.96	77.8100	4,590.79	752.83	25.02	0.54%
ISHARES TR DOW JONES U S FINL SECTOR INDEX FD		*Security Identifier:* IYF						
CUSIP: 464287788								
Dividend Option: Cash; Capital Gains Option: Cash								
36.000	01/04/12	49.9290	1,79[illegible].45	58.5200	2,1[illegible]	309.[illegible]7	30.79	1.46%

ATTACHMENT 4

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Statement Period: 03/01/2012 - 03/31/2012

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
ISHARES TR IBOXX $ HIGH YIELD CORP BD FD		*Security Identifier:* HYG						
CUSIP: 464288513								
Dividend Option: Cash; Capital Gains Option: Cash								
49.000	09/29/11 *	85.0000	4,165.00	90.7185	4,445.21	280.21	323.18	7.27%
38.000	10/04/11 *	79.7600	3,030.88	90.7185	3,447.30	416.42	250.63	7.27%
87.000	**Total Noncovered**		**7,195.88**		**7,892.51**	**696.63**	**573.81**	
19.000	01/04/12	89.4580	1,699.70	90.7185	1,723.65	23.95	125.32	7.27%
19.000	**Total Covered**		**1,699.70**		**1,723.65**	**23.95**	**125.32**	
106.000	**Total**		**$8,895.58**		**$9,616.16**	**$720.58**	**$699.13**	
ISHARES TR BARCLAYS MBS BD FD		*Security Identifier:* MBB						
CUSIP: 464288588								
Dividend Option: Cash; Capital Gains Option: Cash								
14.000	09/29/11 *	108.1100	1,513.54	107.9500	1,511.30	-2.24	49.35	3.26%
14.000	**Total Noncovered**		**1,513.54**		**1,511.30**	**-2.24**	**49.35**	
3.000	01/04/12	108.0400	324.12	107.9500	323.85	-0.27	10.58	3.26%
3.000	**Total Covered**		**324.12**		**323.85**	**-0.27**	**10.58**	
17.000	**Total**		**$1,837.66**		**$1,835.15**	**-$2.51**	**$59.93**	
SPDR SER TR BARCLAYS CAP HIGH YIELD BD ETF		*Security Identifier:* JNK						
CUSIP: 78464A417								
Dividend Option: Cash; Capital Gains Option: Cash								
113.000	09/29/11 *	37.1200	4,194.56	39.3700	4,448.81	254.25	328.29	7.37%
86.000	10/04/11 *	35.0960	3,018.29	39.3700	3,385.82	367.53	249.85	7.37%
199.000	**Total Noncovered**		**7,212.85**		**7,834.63**	**621.78**	**578.14**	
48.000	01/04/12	38.5710	1,851.43	39.3700	1,889.76	38.33	139.46	7.37%
48.000	**Total Covered**		[illegible]		[illegible]	[illegible]	**139.46**	
247.000	**Total**		[illegible]		[illegible]	[illegible]	**$717.60**	

ATTACHMENT 4

[redacted]

Portfolio Holdings *(continued)*

Exchange-Traded Products *(continued)*

Exchange-Traded Products *(continued)*

SELECT SECTOR SPDR TR MATLS — *Security Identifier:* XLB
CUSIP: 81369Y100
Dividend Option: Cash; Capital Gains Option: Cash

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
7.000	10/01/09 *	30.2270	[3]211.59	36.9700	258.79	47.20	5.28	2.04%
4.000	09/29/11 *	32.8780	131.51	36.9700	147.88	16.37	3.02	2.04%
			733 day(s) added to your holding period as a result of a wash sale.					
7.000	09/29/11 *	31.1400	217.98	36.9700	258.79	40.81	5.28	2.04%
18.000	**Total Noncovered**		**561.08**		**665.46**	**104.38**	**13.58**	
18.000	**Total**		**$561.08**		**$665.46**	**$104.38**	**$13.58**	

SELECT SECTOR SPDR TR HEALTH CARE — *Security Identifier:* XLV
CUSIP: 81369Y209
Dividend Option: Cash; Capital Gains Option: Cash

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
8.000	10/01/10 *	30.4710	[13]243.77	37.6100	300.88	57.11	5.78	1.91%
8.000	07/01/11 *	35.7880	[3]286.30	37.6100	300.88	14.58	5.78	1.91%
66.000	09/29/11 *	32.4100	2,139.06	37.6100	2,482.26	343.20	47.65	1.91%
4.000	10/04/11 *	30.4200	121.68	37.6100	150.44	28.76	2.88	1.91%
86.000	**Total Noncovered**		**2,790.81**		**3,234.46**	**443.65**	**62.09**	
86.000	**Total**		**$2,790.81**		**$3,234.46**	**$443.65**	**$62.09**	

SELECT SECTOR SPDR TR CONSUMER STAPLES — *Security Identifier:* XLP
CUSIP: 81369Y308
Dividend Option: Cash; Capital Gains Option: Cash

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
4.000	01/05/09 *	24.1950	[1]396.78	34.0800	136.32	39.54	3.60	2.64%
15.000	10/01/10 *	27.9190	[13]418.79	34.0800	511.20	92.41	13.50	2.64%
10.000	07/01/11 *	31.3790	[3]313.79	34.0800	340.80	27.01	9.00	2.64%
37.000	09/29/11 *	30.0500	1,111.85	34.0800	1,260.96	149.11	33.29	2.64%
25.000	10/04/11 *	28.9050	722.63	34.0800	852.00	129.37	22.49	2.64%
91.000	**Total Noncovered**		**2,663.84**		**3,101.28**	**437.44**	**81.88**	
91.000	**Total**		**$2,663.84**		**$3,101.28**	**$437.44**	**$81.88**	

SELECT SECTOR SPDR TR CONSUMER DISCRETIONARY TRANSN TO 06/24/02 — *Security Identifier:* XLY
CUSIP: 81369Y407
Dividend Option: Cash; Capital Gains Option: Cash

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
3.000	01/05/09 *	[illegible]	[illegible]67.38	[illegible]	[illegible]	[illegible]	1.85	1.36%
9.000	10/01/10 *	33.469[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	5.56	1.36%
26.000	09/29/11 *	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	16.05	1.36%
38.000	**Total Noncovered**		**1,326.18**		[illegible]	**387.24**	**23.46**	
38.000	**Total**		**$1,326.18**		**$1,713.42**	**$387.24**	**$23.46**	

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BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Statement Period: 03/01/2012 - 03/31/2012

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
SELECT SECTOR SPDR TR ENERGY			*Security Identifier:* XLE					
CUSIP: 81369Y506								
Dividend Option: Cash; Capital Gains Option: Cash								
6.000	10/01/10 *	56.7250	[13]340.35	71.7500	430.50	90.15	6.55	1.52%
38.000	09/29/11 *	61.2500	2,327.50	71.7500	2,726.50	399.00	41.45	1.52%
44.000	Total Noncovered		2,667.85		3,157.00	489.15	48.00	
44.000	Total		$2,667.85		$3,157.00	$489.15	$48.00	
SELECT SECTOR SPDR TR INDL			*Security Identifier:* XLI					
CUSIP: 81369Y704								
Dividend Option: Cash; Capital Gains Option: Cash								
6.000	04/03/09 *	19.7970	[13]118.78	37.4150	224.49	105.71	4.48	1.99%
7.000	10/01/10 *	31.3500	[13]219.45	37.4150	261.91	42.46	5.23	1.99%
30.000	09/29/11 *	30.4300	912.90	37.4150	1,122.45	209.55	22.42	1.99%
43.000	Total Noncovered		1,251.13		1,608.85	357.72	32.13	
43.000	Total		$1,251.13		$1,608.85	$357.72	$32.13	
VANGUARD INDEX FDS VANGUARD REIT ETF			*Security Identifier:* VNQ					
CUSIP: 922908553								
Dividend Option: Cash; Capital Gains Option: Cash								
92.000	09/29/11 *	52.4400	4,824.48	63.6500	5,855.80	1,031.32	196.88	3.36%
3.000	10/04/11 *	47.8200	143.46	63.6500	190.95	47.49	6.42	3.36%
95.000	Total Noncovered		4,967.94		6,046.75	1,078.81	203.30	
68.000	01/04/12	57.6810	3,922.33	63.6500	4,328.20	405.87	145.52	3.36%
68.000	Total Covered		3,922.33		4,328.20	405.87	145.52	
163.000	Total		$8,890.27		$10,374.95	$1,484.68	$348.82	
Total Exchange-Traded Products			$58,996.94		$65,353.75	$6,356.81	$2,483.59	
Total Exchange-Traded Products			$5[illegible]996.9[illegible]		$[illegible]3.75	$[illegible],356[illegible]1	$2,483.59	

ATTACHMENT 4

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Account Number [redacted]
CHARLES J FLEISCHMANN

[redacted]

Portfolio Holdings *(continued)*

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$60,698.58	$67,055.39	$6,356.81	$0.00	$2,483.89

* Noncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L.110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered " noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

[3] The cost basis of this security has been provided to us by you or your introducing firm and Pershing makes no representation as to the accuracy of this information.

[13] The cost basis of this security has been provided to us by the delivering firm or transferring agent and Pershing makes no representation as to the accuracy of this information.

Disclosures and Other Information

Pricing - This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices have been obtained from quotation services, which we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

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[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

Statement Period: 03/01/2012 - 03/31/2012

Portfolio Holdings *(continued)*

Exchange-Traded Products - Exchange-traded products may include any combination of exchange-traded funds, exchange-traded notes, and other exchange-traded products.

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Securities		
Securities Sold	0.00	17,635.13
Total Securities	**$0.00**	**$17,635.13**
Additional Transactions		
Fees	0.00	0.00
Distributions	0.00	52.55
Dividends and Interest	310.83	551.06
FDIC Insured Bank Deposits	0.00	259.53
Total Additional Transactions	**$310.83**	**$863.14**
Total Credits	**$310.83**	**$18,498.27**

Debits	This Period	Year-to-Date
Securities		
Securities Bought	0.00	-17,382.45
Total Securities	**$0.00**	**-$17,382.45**
Additional Transactions		
Fees	0.00	-355.60
Distributions	0.00	0.00
Dividends and Interest	0.00	0.00
FDIC Insured Bank Deposits	-202.60	-712.98
Total Additional Transactions	**-$202.60**	**-$1,068.58**
Total Debits	**-$202.60**	**-$18,451.03**

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
03/07/12	CASH DIVIDEND RECEIVED LQD	38 SHRS ISHARES TR IBOXX USD INVT GRADE CORP BD FD RD 03/05 PD 03/07/12				15.31	USD
03/07/12	CASH DIVIDEND RECEIVED TLT	19 SHRS ISHARES TR BARCLAYS 20+ TREAS BD FD RD 03/05 PD 03/07/12				5.07	USD
03/07/12	CASH DIVIDEND RECEIVED IEF	10 SHRS ISHARES TR BARCLAYS 7-10 YR TREAS BD FD RD 03/05 PD 03/07/12				1.70	USD
03/07/12	CASH DIVIDEND RECEIVED HYG	106 SHRS ISHARES TR IBOXX $ HIGH YIELD CORP BD FD RD 03/05 PD 03/07/12				56.89	USD
03/07/12	CASH DIVIDEND RECEIVED MBB	77 SHRS ISHARES TR BARCLAYS MBS BD FD RD 03/05 PD 03/07/12				5.16	USD
03/08/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-84.13	USD

ATTACHMENT 4

[redacted]

Transactions in Date Sequence *(continued)*

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
03/09/12	CASH DIVIDEND RECEIVED JNK	247 SHRS SPDR SER TR BARCLAYS CAP HIGH YIELD BD ETF RD 03/05 PD 03/09/12				58.23	USD
03/12/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-58.23	USD
03/15/12	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED 250990041	DEUTSCHE BANK INS INTEREST REINVESTED				-0.11	USD
03/15/12	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED				0.11	USD
03/28/12	CASH DIVIDEND RECEIVED XLB	18 SHRS SELECT SECTOR SPDR TR MATLS RD 03/20 PD 03/28/12				2.54	USD
03/28/12	CASH DIVIDEND RECEIVED XLV	86 SHRS SELECT SECTOR SPDR TR HEALTH CARE RD 03/20 PD 03/28/12				16.04	USD
03/28/12	CASH DIVIDEND RECEIVED XLP	91 SHRS SELECT SECTOR SPDR TR CONSUMER STAPLES RD 03/20 PD 03/28/12				16.22	USD
03/28/12	CASH DIVIDEND RECEIVED XLY	38 SHRS SELECT SECTOR SPDR TR CONSUMER DISCRETIONARY TRANSN TO 06/24/02 RD 03/20 PD 03/28/12				5.21	USD
03/28/12	CASH DIVIDEND RECEIVED XLE	44 SHRS SELECT SECTOR SPDR TR ENERGY RD 03/20 PD 03/28/12				12.52	USD
03/28/12	CASH DIVIDEND RECEIVED XLI	43 SHRS SELECT SECTOR SPDR TR INDL RD 03/20 PD 03/28/12				7.60	USD
03/29/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-60.13	USD
03/30/12	CASH DIVIDEND RECEIVED IDU	18 SHRS ISHARES TR DOW JONES U S UTILS SECTOR INDEX FD RD 03/28 PD 03/30/12				14.13	USD
03/30/12	CASH DIVIDEND RECEIVED IYW	59 SHRS ISHARES TR DOW JONES U S TECHNOLOGY SECTOR RD 03/28 PD 03/30/12				5.96	USD
03/30/12	CASH DIVIDEND RECEIVED IYF	36 SHRS ISHARES TR DOW JONES U S FINL SECTOR INDEX FD RD 03/28 PD 03/30/12				6.31	USD
03/30/12	CASH DIVIDEND RECEIVED VNQ	163 SHRS VANGUARD INDEX FDS VANGUARD REIT ETF RD 03/28 PD 03/30/12				81.83	USD
Total Value of all Transactions					**$0.00**	**$108.23**	

The price and quantity displayed may have been rounded.

ATTACHMENT 4

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Statement Period: 03/01/2012 - 03/31/2012

Income and Expense Summary

	Current Period Taxable	Current Period Non Taxable	Year-to-Date Taxable	Year-to-Date Non Taxable
Dividend Income				
Equities	310.72	0.00	550.76	0.00
Interest Income				
FDIC Insured Bank Deposits	0.11	0.00	0.30	0.00
Total Dividends, Interest, Income and Expenses	$310.83	$0.00	$551.06	$0.00
Distributions				
Long - Term Capital Gain Distributions	0.00	0.00	52.55	0.00
Total Distributions	$0.00	$0.00	$52.55	$0.00

Messages

Pershing has been advised by your financial organization that it does not receive payment for directing order flow. See the Terms and Conditions section of your brokerage account statement for a description of Pershing's payment for order flow practices.

For additional information regarding order-routing practices and the venues to which your financial organization's orders are routed, you may visit www.orderroutingdisclosure.com.

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Saint Louis, MO 63105 314-726-1600

[redacted]

CHARLES J FLEISCHMANN
[redacted]

Account Number: [redacted]
Statement Period: 04/01/2012 - 04/30/2012

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	$67,055.39	$63,216.92
Dividends/Interest	143.57	747.18
Fees	-377.19	-732.79
Change in Account Value	205.33	3,795.79
Ending Account Value	$67,027.10	$67,027.10
Estimated Annual Income	$2,446.86	

Your Financial Consultant:
WARD PETTY AND JOE PETTY
(423) 668-5411

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds, and FDIC Deposits[1]	1,200.95	1,701.64	1,136.08	2%
Exchange-Traded Products	62,015.97	65,353.75	65,891.02	98%
Account Total	**$63,216.92**	**$67,055.39**	**$67,027.10**	**100%**

Asset Allocation percentages are rounded to the nearest whole percentage.

1 Cash in your account includes FDIC insured bank deposits.
FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation, up to allowable limits

ATTACHMENT 4

[redacted]

Additional Information

	This Period	Year-to-Date
Securities Bought and Sold	-$331.94	-$79.26

Summary of Gains and Losses

	Realized		
	This Period	Year-to-Date	Unrealized
Short-Term Gain/Loss	570.91	1,240.36	912.70
Long-Term Gain/Loss	316.18	1,644.63	4,762.35
Net Gain/Loss	**887.09**	**2,884.99**	**5,675.05**

This summary excludes transactions where cost basis information is not available.

Client Service Information

Your Financial Consultant: S38

WARD PETTY AND JOE PETTY
345 FRAZIER
SUITE 205
CHATTANOOGA TN 37405-4121

Contact Information
Telephone Number: (423) 668-5411
Fax Number: (423) 668-5412

Investment Objective: MODERATE GROWTH
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

FDIC Insured Bank Deposits

Sweep FDIC Insured Bank Deposits

DEUTSCHE BANK INS DEPOSIT E
Account Number: [redacted] Activity Ending: 04/30/12

Date	Activity Type	Description	Amount	Balance
03/31/12	Opening Balance		1,593.41	1,593.41
04/02/12	Deposit	DEPOSIT	108.23	1,701.64
04/05/12	Withdrawal	WITHDRAWAL	-377.19	1,324.45
04/09/12	Withdrawal	WITHDRAWAL	-248.22	1,076.23
04/12/12	Deposit	DEPOSIT	59.73	1,135.96
04/13/12	Deposit	INTEREST CREDITED	0.12	1,136.08
04/13/12		APY 0.1%		1,136.08
04/16/12		Citizens Bank		1,136.08
04/16/12		A/O 04/16 $1136.08		1,136.08
04/30/12	**Closing Balance**			**$1,136.08**

Total FDIC Insured Bank Deposits **$1,136.08**

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Note: For the period from December 31, 2010 through December 31, 2012 the FDIC provides unlimited insurance coverage on certain non interest bearing bank accounts. If your account is in such a program it will be stated in your Message section.

ATTACHMENT 4

Account Number: [redacted]
CHARLES J FLEISCHMANN

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Statement Period: 04/01/2012 - 04/30/2012

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 2.00% of Portfolio									
Cash Balance				108.23	0.00				
FDIC Insured Bank Deposits									
DEUTSCHE BANK INS DEPOSIT E									
1,136.080	03/31/12	ETF005005	04/30/12	1,593.41	1,136.08	0.04	0.42	N/A	N/A
Total FDIC Insured Bank Deposits				$1,593.41	$1,136.08	$0.04	$0.42		
Total Cash, Money Funds, and FDIC Deposits				$1,701.64	$1,136.08	$0.04	$0.42		

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products 98.00% of Portfolio								
Exchange-Traded Products								
BARCLAYS BK PLC IPATH INDEX LKD TO DOW JONES UBS COMMODITY INDEX TOTAL RETURN CUSIP: 06738C778 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* DJP						
Total Noncovered 62.000	09/29/11 *	43.1100	2,672.82	42.1200	2,611.44	-61.38		
Total Covered 3.000	04/03/12	42.6800	128.04	42.1200	126.36	-1.68		
65.000	Total		$2,800.86		$2,737.80	-$63.06	$0.00	
ISHARES COMEX GOLD TR ISHARES CUSIP: 464285105 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* IAU						
Total Noncovered 58.000	Multiple *, Y	15.8180	917.45	16.2300	941.34	23.89		
Total Covered 57.000	04/03/12	16.010[illegible]	912.5[illegible]	[illegible]6.2300	9[illegible]5.11	12[illegible]5		
115.000	Total		$1,83[illegible].0[illegible]		$[illegible]8[illegible]6.45	$36.[illegible]4	$0.00	

ATTACHMENT 4

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
ISHARES INC MSCI BRAZIL FREE INDEX FD CUSIP: 464286400 *Dividend Option: Cash; Capital Gains Option: Cash*			*Security Identifier: EWZ*					
10.000	04/03/12	64.5000	645.00	60.2550	602.55	-42.45	15.05	2.49%
ISHARES TR IBOXX USD INVT GRADE CORP BD FD CUSIP: 464287242 Dividend Option: Cash; Capital Gains Option: Cash			*Security Identifier: LQD*					
Total Noncovered 12.000	09/29/11 *	112.1000	1,345.20	116.4800	1,397.76	52.56	58.77	4.20%
Total Covered 15.000	*01/04/12*	*113.5900*	*1,703.85*	*116.4800*	*1,747.20*	43.35	73.47	4.20%
27.000	**Total**		**$3,049.05**		**$3,144.96**	**$95.91**	**$132.24**	
ISHARES TR BARCLAYS 7-10 YR TREAS BD FD CUSIP: 464287440 Dividend Option: Cash; Capital Gains Option: Cash			*Security Identifier: IEF*					
7.000	09/29/11 *	104.2300	729.61	105.6900	739.83	10.22	17.72	2.39%
ISHARES TR RUSSELL 2000 VALUE INDEX FD CUSIP: 464287630 Dividend Option: Cash; Capital Gains Option: Cash			*Security Identifier: IWN*					
18.000	04/03/12	73.2100	1,317.78	71.8600	1,293.48	-24.30	24.94	1.92%
ISHARES TR RUSSELL 2000 GROWTH INDEX FD CUSIP: 464287648 Dividend Option: Cash; Capital Gains Option: Cash			*Security Identifier: IWO*					
13.000	04/03/12	95.8250	1,245.73	93.7000	1,218.10	-27.63	8.66	0.71%
ISHARES TR DOW JONES U S UTILS SECTOR INDEX FD CUSIP: 464287697 Dividend Option: Cash; Capital Gains Option: Cash			*Security Identifier: IDU*					
8.000	10/04/11 *	77.8750	623.00	87.5400	700.32	77.32	23.57	3.36%
ISHARES TR DOW JONES U S TECHNOLOGY SECTOR CUSIP: 464287721 Dividend Option: Cash; Capital Gains Option: Cash			*Security Identifier: IYW*					
36.000	01/04/12	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	15.27	0.56%

ATTACHMENT 4

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Statement Period: 04/01/2012 - 04/30/2012

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
ISHARES TR DOW JONES U S FINL SECTOR INDEX FD CUSIP: 464287788 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* IYF						
Total Covered 53.000	Multiple[Y]	52.6840	2,792.27	57.7600	3,061.28	269.01	45.33	1.48%
ISHARES TR IBOXX $ HIGH YIELD CORP BD FD CUSIP: 464288513 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* HYG						
Total Noncovered 87.000	Multiple[*,Y]	82.7110	7,195.88	91.2000	7,934.40	738.52	571.68	7.20%
Total Covered 24.000	Multiple[Y]	89.6390	2,151.34	91.2000	2,188.80	37.46	157.71	7.20%
111.000	Total		$9,347.22		$10,123.20	$775.98	$729.39	
ISHARES TR BARCLAYS MBS BD FD CUSIP: 464288588 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* MBB						
Total Noncovered 10.000	09/29/11 *	108.1100	1,081.10	108.2900	1,082.90	1.80	34.35	3.17%
Total Covered 3.000	01/04/12	108.0400	324.12	108.2900	324.87	0.75	10.31	3.17%
13.000	Total		$1,405.22		$1,407.77	$2.55	$44.66	
ISHARES TR BARCLAYS INTER CR BD FD CUSIP: 464288638 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* CIU						
6.000	04/03/12	108.4380	650.63	109.2800	[illegible]	[illegible]	23.13	3.52%
ISHARES TR UTILITIES SECTOR BD FD CUSIP: 464298184 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* [illegible]						
20.000	04/03/12	49.6110	992.22	50.4040	1,008.08	15.86	45.17	4.48%

ATTACHMENT 4

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
POWERSHARES EXCHANGE-TRADED FD TR II S&P SMALLCAP ENERGY PORT CUSIP: 73937B704 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* PSCE						
17.000	04/03/12	37.2920	633.97	36.4600	619.82	-14.15	0.37	0.06%
POWERSHARES EXCHANGE-TRADED FD TR II S&P SMALLCAP FINANCIALS PORT CUSIP: 73937B803 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* PSCF						
22.000	04/03/12	30.0950	662.08	29.9599	659.12	-2.96	12.08	1.83%
POWERSHARES EXCHANGE-TRADED FD TR II S&P SMALLCAP HEALTH CARE PORT CUSIP: 73937B886 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* PSCH						
18.000	04/03/12	35.5630	640.14	34.7000	624.60	-15.54	5.74	0.92%
SPDR SER TR BARCLAYS CAP HIGH YIELD BD ETF CUSIP: 78464A417 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* JNK						
Total Noncovered 199.000	Multiple*,Y	36.2450	7,212.85	39.7300	7,906.27	693.42	574.04	7.26%
Total Covered 58.000	MultipleY	38.6880	2,243.93	39.7300	2,304.34	60.41	167.31	7.26%
257.000	**Total**		**$9,456.78**		**$10,210.61**	**$753.83**	**$741.35**	
SELECT SECTOR SPDR TR MATLS CUSIP: 81369Y100 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* XLB						
Total Noncovered 18.000	Multiple*,Y	31.1710	3,561.08	36.6700	660.06	98.98	13.58	2.05%
		733 day(s) added to your holding period as a result of a wash sale.						
SELECT SECTOR SPDR TR HEALTH CARE CUSIP: 81369Y209 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* XLV						
Total Noncovered 72.000	Multiple*,Y	32.3930	3,12[illegible]332.31	[illegible]7.5100	[illegible]	[illegible]	51.99	1.92%
SELECT SECTOR SPDR TR CONSUMER STAPLES CUSIP: 81369Y308 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* XLP						

ATTACHMENT 4

[redacted]

Statement Period: 04/01/2012 - 04/30/2012

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
SELECT SECTOR SPDR TR CONSUMER STAPLES *(continued)*								
Total Noncovered 28.000	Multiple*,Y	29.0280	812.78	34.1800	957.04	144.26	25.19	2.63%
SELECT SECTOR SPDR TR CONSUMER DISCRETIONARY TRANSN TO 06/24/02 CUSIP: 81369Y407 Dividend Option: Cash; Capital Gains Option: Cash			*Security Identifier:* XLY					
Total Noncovered 38.000	Multiple*,Y	34.8990	[13]1,326.18	45.6100	1,733.18	407.00	23.46	1.35%
Total Covered 5.000	04/03/12	45.0100	225.05	45.6100	228.05	3.00	3.09	1.35%
43.000	Total		$1,551.23		$1,961.23	$410.00	$26.55	
SELECT SECTOR SPDR TR ENERGY CUSIP: 81369Y506 Dividend Option: Cash; Capital Gains Option: Cash			*Security Identifier:* XLE					
Total Noncovered 39.000	Multiple*,Y	61.1340	[12,13]2,384.23	71.2400	2,778.36	394.13	42.54	1.53%
SELECT SECTOR SPDR TR INDL CUSIP: 81369Y704 Dividend Option: Cash; Capital Gains Option: Cash			*Security Identifier:* XLI					
Total Noncovered 43.000	Multiple*,Y	29.0960	[13]1,251.13	37.0100	1,591.43	340.30	32.13	2.01%
Total Covered 6.000	04/03/12	37.2680	223.61	37.0100	222.06	-1.55	4.49	2.01%
49.000	Total		$1,474.74		$1,813.49	$338.75	$36.62	
VANGUARD INTL EQUITY INDEX FDS MSCI EMERGING MKTS ETF CUSIP: 922042858 Dividend Option: Cash; Capital Gains Option: Cash			*Security Identifier:* VWO					
30.000	04/03/12	43.6050	1,308.15	42.5550	1,27[illegible]	-31.50	27.18	2.12%

ATTACHMENT 4

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
VANGUARD INDEX FDS VANGUARD REIT ETF CUSIP: 922908553 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* VNQ						
Total Noncovered 90.000	Multiple*,ϒ	52.2860	4,705.74	65.4700	5,892.30	1,186.56	192.60	3.26%
Total Covered 68.000	01/04/12	57.6810	3,922.33	65.4700	4,451.96	529.63	145.52	3.26%
158.000	**Total**		**$8,628.07**		**$10,344.26**	**$1,716.19**	**$338.12**	
Total Exchange-Traded Products			**$60,215.97**		**$65,891.02**	**$5,675.05**	**$2,446.44**	
Total Exchange-Traded Products			**$60,215.97**		**$65,891.02**	**$5,675.05**	**$2,446.44**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$61,352.05	$67,027.10	$5,675.05	$0.00	$2,446.86

* Noncovered under the cost basis rules as defined below.
Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L.110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

3 Either all or a portion of the cost basis of this security has been provided to us by you or your introducing firm and Pershing makes no representation as to the accuracy of this information.

12 Pershing has received updated cost basis information for either all or some of the shares for this security, therefore cost basis provided on previous client brokerage statements may differ from the new cost basis reported in this section.

13 Either all or a portion of the cost basis of this security has been provided to us by the delivering firm or transferring agent and Pershing makes no representation as to the accuracy of this information.

ϒ This line is an aggregation of shares in this position, representing shares acquired at different times and/or dates. This summary is a sum of these shares and does not represent cost basis calculated using the average cost accounting method.

‡ The cost basis and holding period has been adjusted for a portion of this position due to a wash sale event.

Disclosures and Other Information

Pricing - This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices have been obtained from quotation services, which we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results.

ATTACHMENT 4

Portfolio Holdings *(continued)*

These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Exchange-Traded Products - Exchange-traded products may include any combination of exchange-traded funds, exchange-traded notes, and other exchange-traded products.

Schedule of Realized Gains and Losses Current Period

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term								
04/03/12	10/04/11 *	SELL First In First Out	BARCLAYS BK PLC IPATH DOW JONES UBS	JJG	20.000	849.49	956.68	107.19
04/03/12	09/29/11 *	SELL First In First Out	ISHARES TR IBOXX USD INVT GRADE CORP BD	LQD	3.000	336.30	344.86	8.56

ATTACHMENT 4

[redacted]

Schedule of Realized Gains and Losses Current Period *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term *(continued)*								
04/03/12	01/04/12	SELL First In First Out	ISHARES TR BARCLAYS 20+ TREAS BD FD	TLT	19.000	2,245.61	2,125.25	-120.36
04/03/12	09/29/11 *	SELL First In First Out	ISHARES TR BARCLAYS 7-10 YR TREAS BD FD	IEF	3.000	312.69	309.09	-3.60
04/03/12	09/29/11 *	SELL First In First Out	ISHARES TR DOW JONES U S UTILS SECTOR	IDU	7.000	578.41	602.74	24.33
04/03/12	10/04/11 *	SELL First In First Out	ISHARES TR DOW JONES U S UTILS SECTOR	IDU	3.000	233.63	258.31	24.68
04/03/12	01/04/12	SELL First In First Out	ISHARES TR DOW JONES U S TECHNOLOGY	IYW	23.000	1,496.15	1,798.21	302.06
04/03/12	09/29/11 *	SELL First In First Out	ISHARES TR BARCLAYS MBS BD FD	MBB	4.000	432.44	430.72	-1.72
04/03/12	07/01/11 *	SELL First In First Out	SELECT SECTOR SPDR TR HEALTH CARE	XLV	6.000	214.73	225.48	10.75
04/03/12	07/01/11 *	SELL First In First Out	SELECT SECTOR SPDR TR CONSUMER STAPLES	XLP	10.000	313.79	340.51	26.72
04/03/12	09/29/11 *	SELL First In First Out	SELECT SECTOR SPDR TR CONSUMER STAPLES	XLP	34.000	1,021.70	1,157.74	136.04
04/03/12	09/29/11 *	SELL First In First Out	VANGUARD INDEX FDS VANGUARD REIT ETF	VNQ	5.000	262.20	318.46	56.26
Total Short Term						**$8,297.14**	**$8,868.05**	**$570.91**
Long Term								
04/03/12	04/01/11 *	SELL First In First Out	ISHARES TR IBOXX USD INVT GRADE CORP BD	LQD	8.000	864.79	919.63	54.84
04/03/12	10/01/10 *	SELL First In First Out	[13] SELECT SECTOR SPDR TR HEALTH CARE	XLV	8.000	243.77	300.64	56.87
04/03/12	01/05/09 *	SELL First In First Out	[13] SELECT SECTOR SPDR TR CONSUMER STAPLES	XLP	4.000	96.78	136.20	39.42

ATTACHMENT 4

Account Number: [redacted]
CHARLES J FLEISCHMANN

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Statement Period: 04/01/2012 - 04/30/2012

Schedule of Realized Gains and Losses Current Period *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Long Term *(continued)*								
04/03/12	10/01/10 *	SELL First In First Out	13SELECT SECTOR SPDR TR CONSUMER STAPLES	XLP	15.000	418.79	510.76	91.97
04/03/12	10/01/10 *	SELL First In First Out	13SELECT SECTOR SPDR TR ENERGY	XLE	5.000	283.62	356.70	73.08
Total Long Term						$1,907.75	$2,223.93	$316.18
Total Short Term and Long Term						$10,204.89	$11,091.98	$887.09

* Noncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L.110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for noncovered securities. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. In addition, corporate action events may require adjustments to your original cost basis. Return of capital information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable.

Adjustments to cost basis can be made after year-end, in particular, for return of capital adjustments, but may also include adjustments for corporate action events. Therefore there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports, including your 1099-B or online displays you may have available to you.

When you report your cost basis on your tax return, it should be verified using all of your own records. In particular, there may be other adjustments which you need to make, but are not required to be made by Pershing as it relates to H.R. 1424. You should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

ATTACHMENT 4

[redacted]

Schedule of Realized Gains and Losses Current Period *(continued)*

13 The cost basis of this security has been provided to us by the delivering firm or transferring agent and Pershing makes no representation as to the accuracy of this information.

Please refer to the Client Service Information Section in your brokerage account statement for your account's existing tax-lot disposition method. The disposition method is the method which you have selected to use in the disposal of each tax lot of the securities held in your account. If you do not select a method, your account will be defaulted to First In, First Out (FIFO). Your account's selected tax lot method will be used to determine the cost basis for calculating gain and/or loss, unless another method was selected at the time of the security disposal, and this may be reported on the IRS Form 1099-B.

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Securities		
Securities Sold	11,091.98	28,727.11
Total Securities	$11,091.98	$28,727.11
Additional Transactions		
Fees	0.00	0.00
Distributions	0.00	52.55
Dividends and Interest	143.57	694.63
FDIC Insured Bank Deposits	625.41	884.94
Total Additional Transactions	$768.98	$1,632.12
Total Credits	$11,860.96	$30,359.23

Debits	This Period	Year-to-Date
Securities		
Securities Bought	-11,423.92	-28,806.37
Total Securities	-$11,423.92	-$28,806.37
Additional Transactions		
Fees	-377.19	-732.79
Distributions	0.00	0.00
Dividends and Interest	0.00	0.00
FDIC Insured Bank Deposits	-168.08	-881.06
Total Additional Transactions	-$545.27	-$1,613.85
Total Debits	-$11,969.19	-$30,420.22

Transactions in Date Sequence

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
04/02/12		FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-108.23	USD
04/05/12		FDIC INSURED BANK WITHDRAWAL USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				377.19	USD
04/05/12		ASSET BASED FEE USD999997	QUARTERLY FEE 4/1-6/ 30				-377.19	USD
04/09/12	04/03/12	PURCHASED DJP	BARCLAYS BK PLC IPATH INDEX LKD TO DOW JONES UBS COMMODITY INDEX TOTAL RETURN AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	3.000	42.6800		-128.04	USD
04/09/12	04/03/12	SOLD JJG	BARCLAYS BK PLC IPATH DOW JONES UBS GRAINS TOTAL RETURN SUB INDEX ETN AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-20.000	47.8338		956.68	USD
04/09/12	04/03/12	PURCHASED IAU	ISHARES COMEX GOLD TR ISHARES AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	57.000	16.0099		-912.56	USD
04/09/12	04/03/12	PURCHASED EWZ	ISHARES INC MSCI BRAZIL FREE INDEXED AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	10.000	64.5000		-645.00	USD

ATTACHMENT 4

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BENJAMIN F. EDWARDS & Co.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

Statement Period: 04/01/2012 - 04/30/2012

Transactions in Date Sequence *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
04/09/12	04/03/12	SOLD LQD	ISHARES TR IBOXX USD INVT GRADE CORP BD FD AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-11.000	114.9536		1,264.49	USD
04/09/12	04/03/12	SOLD TLT	ISHARES TR BARCLAYS 20+ TREAS BD FD AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-19.000	111.8552		2,125.25	USD
04/09/12	04/03/12	SOLD IEF	ISHARES TR BARCLAYS 7-10 YR TREAS BD FD AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-3.000	103.0303		309.09	USD
04/09/12	04/03/12	PURCHASED IWN	ISHARES TR RUSSELL 2000 VALUE INDEX FD AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	18.000	73.2100		-1,317.78	USD
04/09/12	04/03/12	PURCHASED IWO	ISHARES TR RUSSELL 2000 GROWTH INDEX FD AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	13.000	95.8252		-1,245.73	USD
04/09/12	04/03/12	SOLD IDU	ISHARES TR DOW JONES U S UTILS SECTOR INDEX FD AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-10.000	86.1051		861.05	USD
04/09/12	04/03/12	SOLD IYW	ISHARES TR DOW JONES U S TECHNOLOGY SECTOR AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-23.000	78.1829		1,798.21	USD
04/09/12	04/03/12	PURCHASED IYF	ISHARES TR DOW JONES U S FINL SECTOR INDEX FD AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	17.000	58.5186		-994.82	USD
04/09/12	04/03/12	PURCHASED HYG	ISHARES TR IBOXX $ HIGH YIELD CORP BD FD AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	5.000	90.3288		-451.64	USD
04/09/12	04/03/12	SOLD MBB	ISHARES TR BARCLAYS MBS BD FD AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-4.000	107.6800		430.72	USD
04/09/12	04/03/12	PURCHASED CIU	ISHARES TR BARCLAYS INTER CR BD FD AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	6.000	108.4375		-650.63	USD
04/09/12	04/03/12	PURCHASED AMPS	ISHARES TR UTIL[illegible] SECTOR BD FD AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	[illegible]	99.6111		-992.22	USD

ATTACHMENT 4

[redacted]

Transactions in Date Sequence *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
04/09/12	04/03/12	PURCHASED PSCE	POWERSHARES EXCHANGE-TRADED FD TR II S&P SMALLCAP ENERGY PORT AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	17.000	37.2923		-633.97	USD
04/09/12	04/03/12	PURCHASED PSCF	POWERSHARES EXCHANGE-TRADED FD TR II S&P SMALLCAP FINANCIALS PORT AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	22.000	30.0946		-662.08	USD
04/09/12	04/03/12	PURCHASED PSCH	POWERSHARES EXCHANGE-TRADED FD TR II S&P SMALLCAP HEALTH CARE PORT AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	18.000	35.5631		-640.14	USD
04/09/12	04/03/12	PURCHASED JNK	SPDR SER TR BARCLAYS CAP HIGH YIELD BD ETF AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	10.000	39.2499		-392.50	USD
04/09/12	04/03/12	SOLD XLV	SELECT SECTOR SPDR TR HEALTH CARE AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-14.000	37.5800		526.12	USD
04/09/12	04/03/12	SOLD XLP	SELECT SECTOR SPDR TR CONSUMER STAPLES AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-63.000	34.0610		2,145.21	USD
04/09/12	04/03/12	PURCHASED XLY	SELECT SECTOR SPDR TR CONSUMER DISCRETIONARY TRANSN TO 06/24/02 AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	5.000	45.0100		-225.05	USD
04/09/12	04/03/12	SOLD XLE	SELECT SECTOR SPDR TR ENERGY AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-5.000	71.3400		356.70	USD
04/09/12	04/03/12	PURCHASED XLI	SELECT SECTOR SPDR TR INDL AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	6.000	37.2679		-223.61	USD
04/09/12	04/03/12	PURCHASED VWO	VANGUARD INTL EQUITY INDEX FDS MSCI EMERGING MKTS ETF AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	30.000	43.6051		-1,308.15	USD
04/09/12	04/03/12	SOLD VNQ	VANGUARD INDEX FDS VANGUARD REIT ETF AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-5.000	63.6910		318.46	USD
04/09/12		FDIC INSURED BANK WITHDRAWAL USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				248.22	USD
04/09/12		CASH DIVIDEND RECEIVED LQD	38 SHRS ISHARES TR IBOXX USD INVT GRADE CORP BD FD RD 04/04 PD 04/09/12				15.10	USD
04/09/12		CASH DIVIDEND RECEIVED TLT	19 SHRS ISHARES TR BARCLAYS 20+ TREAS BD FD RD 04/04 PD 04/09/12				5.51	USD
04/09/12		CASH DIVIDEND RECEIVED IEF	10 SHRS ISHARES TR BARCLAYS 7-10 YR TREAS BD FD RD 04/04 PD 04/09/12				1.77	USD
04/09/12		CASH DIVIDEND RECEIVED HYG	106 SHRS ISHARES TR IBOXX $ HIGH YIELD CORP BD FD RD 04/04 PD 04/09/12				57.78	USD

ATTACHMENT 4

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Statement Period: 04/01/2012 - 04/30/2012

Transactions in Date Sequence *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
04/09/12		CASH DIVIDEND RECEIVED MBB	17 SHRS ISHARES TR BARCLAYS MBS BD FD RD 04/04 PD 04/09/12				3.56	USD
04/11/12		CASH DIVIDEND RECEIVED JNK	247 SHRS SPDR SER TR BARCLAYS CAP HIGH YIELD BD ETF RD 04/04 PD 04/11/12				59.73	USD
04/12/12		FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-59.73	USD
04/13/12		FDIC INSURED BANK DEPOSITS INTEREST REINVESTED 250990041	DEUTSCHE BANK INS INTEREST REINVESTED				-0.12	USD
04/13/12		FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED				0.12	USD
Total Value of all Transactions						$0.00	-$108.23	

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period		Year-to-Date	
	Taxable	Non Taxable	Taxable	Non Taxable
Dividend Income				
Equities	143.45	0.00	694.21	0.00
Interest Income				
FDIC Insured Bank Deposits	0.12	0.00	0.42	0.00
Total Dividends, Interest, Income and Expenses	$143.57	$0.00	$694.63	$0.00
Distributions				
Long - Term Capital Gain Distributions	0.00	0.00	52.55	0.00
Total Distributions	$0.00	$0.00	$52.55	$0.00

ATTACHMENT 4

Messages

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).

[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS *for* GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

Account Number: [redacted]
Statement Period: 05/01/2012 - 05/31/2012

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	$67,027.10	$63,216.92
Dividends/Interest	138.12	885.30
Fees	0.00	-732.79
Change in Account Value	-3,299.43	496.36
Ending Account Value	$63,865.79	$63,865.79
Estimated Annual Income	$2,428.81	

CHARLES J FLEISCHMANN
[redacted]

Your Financial Consultant:
WARD PETTY AND JOE PETTY
(423) 668-5411

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds, and FDIC Deposits[1]	1,200.95	1,136.08	1,274.20	2%
Exchange-Traded Products	62,015.97	65,891.02	62,591.59	98%
Account Total	**$63,216.92**	**$67,027.10**	**$63,865.79**	**100%**

Asset Allocation percentages are rounded to the nearest whole percentage.

[1] **Cash in your account includes FDIC insured bank deposits.**
FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation, up to allowable limits

ATTACHMENT 4

[redacted]

Additional Information

	This Period	Year-to-Date
Securities Bought and Sold	$0.00	-$79.26

Summary of Gains and Losses

	Realized		
	This Period	Year-to-Date	Unrealized
Short-Term Gain/Loss	0.00	1,240.36	-356.33
Long-Term Gain/Loss	0.00	1,644.63	2,731.95
Net Gain/Loss	**0.00**	**2,884.99**	**2,375.62**

This summary excludes transactions where cost basis information is not available.

Client Service Information

Your Financial Consultant: S38
WARD PETTY AND JOE PETTY
345 FRAZIER
SUITE 205
CHATTANOOGA TN 37405-4121

Contact Information
Telephone Number: (423) 668-5411
Fax Number: (423) 668-5412

Investment Objective: MODERATE GROWTH
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

FDIC Insured Bank Deposits

Sweep FDIC Insured Bank Deposits

DEUTSCHE BANK INS DEPOSIT E
Account Number [redacted] Activity Ending: 05/31/12

Date	Activity Type	Description	Amount	Balance
05/01/12	Opening Balance		1,136.08	1,136.08
05/08/12	Deposit	DEPOSIT	74.73	1,210.81
05/10/12	Deposit	DEPOSIT	63.30	1,274.11
05/15/12	Deposit	INTEREST CREDITED	0.09	1,274.20
05/15/12		APY 0.1%		1,274.20
05/16/12		Citizens Bank		1,274.20
05/16/12		A/O 05/16 $1274.20		1,274.20
05/31/12	**Closing Balance**			**$1,274.20**

Total FDIC Insured Bank Deposits **$1,274.20**

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Note: For the period from December 31, 2010 through December 31, 2012 the FDIC provides unlimited insurance coverage of certain non-interest bearing bank accounts. If your account is in such a program it will be stated in your Message section.

ATTACHMENT 4

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Statement Period: 05/01/2012 - 05/31/2012

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 2.00% of Portfolio									
FDIC Insured Bank Deposits									
DEUTSCHE BANK INS DEPOSIT E									
1,274.200	05/01/12	ETF005005	05/31/12	1,136.08	1,274.20	0.05	0.51	N/A	N/A
Total FDIC Insured Bank Deposits				$1,136.08	$1,274.20	$0.05	$0.51		
Total Cash, Money Funds, and FDIC Deposits				$1,136.08	$1,274.20	$0.05	$0.51		

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products 98.00% of Portfolio								
Exchange-Traded Products								
BARCLAYS BK PLC IPATH INDEX LKD TO DOW JONES UBS COMMODITY INDEX TOTAL RETURN CUSIP: 06738C778 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* DJP						
Total Noncovered 62.000	09/29/11 *	43.1100	2,672.82	38.0200	2,357.24	-315.58		
Total Covered 3.000	04/03/12	42.6800	128.04	38.0200	114.06	-13.98		
65.000	**Total**		**$2,800.86**		**$2,471.30**	**-$329.56**	**$0.00**	
ISHARES COMEX GOLD TR ISHARES CUSIP: 464285105 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* IAU						
Total Noncovered 58.000	Multiple *,Y	15.8180	917.45	15.2100	882.18	-35.27		
Total Covered 57.000	04/03/12	16.0100	912.56	15.2100	866.97	-45.59		
115.000	**Total**		**$1,830.0[illegible]**		**$[illegible],7[illegible]9.15**	**-$80.[illegible]6**	**$0.00**	

ATTACHMENT 4

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
ISHARES INC MSCI BRAZIL FREE INDEX FD			*Security Identifier:* EWZ					
CUSIP: 464286400								
Dividend Option: Cash; Capital Gains Option: Cash								
10.000	04/03/12	64.5000	645.00	52.0400	520.40	-124.60	15.05	2.89%
ISHARES TR IBOXX USD INVT GRADE CORP BD FD			*Security Identifier:* LQD					
CUSIP: 464287242								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Noncovered 12.000	09/29/11 *	112.1000	1,345.20	116.9700	1,403.64	58.44	58.32	4.15%
Total Covered 15.000	01/04/12	113.5900	1,703.85	116.9700	1,754.55	50.70	72.89	4.15%
27.000	Total		$3,049.05		$3,158.19	$109.14	$131.21	
ISHARES TR BARCLAYS 7-10 YR TREAS BD FD			*Security Identifier:* IEF					
CUSIP: 464287440								
Dividend Option: Cash; Capital Gains Option: Cash								
7.000	09/29/11 *	104.2300	729.61	108.5500	759.85	30.24	17.20	2.26%
ISHARES TR RUSSELL 2000 VALUE INDEX FD			*Security Identifier:* IWN					
CUSIP: 464287630								
Dividend Option: Cash; Capital Gains Option: Cash								
18.000	04/03/12	73.2100	1,317.78	67.4900	1,214.82	-102.96	24.94	2.05%
ISHARES TR RUSSELL 2000 GROWTH INDEX FD			*Security Identifier:* IWO					
CUSIP: 464287648								
Dividend Option: Cash; Capital Gains Option: Cash								
13.000	04/03/12	95.8250	1,245.73	87.0700	1,131.91	-113.82	8.66	0.76%
ISHARES TR DOW JONES U S UTILS SECTOR INDEX FD			*Security Identifier:* IDU					
CUSIP: 464287697								
Dividend Option: Cash; Capital Gains Option: Cash								
8.000	10/04/11 *	77.8750	623.00	87.4612	699.69	76.69	23.57	3.36%
ISHARES TR DOW JONES U S TECHNOLOGY SECTOR			*Security Identifier:* IYW					
CUSIP: 464287721								
Dividend Option: Cash; Capital Gains Option: Cash								
36.000	01/04/12	65.0500	2,3[illegible]1.8[illegible]	6[illegible].6500	2,5[illegible]7.40	165.[illegible]9	15.27	0.60%

ATTACHMENT 4

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
ISHARES TR DOW JONES U S FINL SECTOR INDEX FD CUSIP: 464287788 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* IYF						
Total Covered 53.000	Multiple^Y	52.6840	2,792.27	52.9400	2,805.82	13.55	45.33	1.61%
ISHARES TR IBOXX $ HIGH YIELD CORP BD FD CUSIP: 464288513 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* HYG						
Total Noncovered 87.000	Multiple*,Y	82.7110	7,195.88	87.7700	7,635.99	440.11	566.10	7.41%
Total Covered 24.000	Multiple^Y	89.6390	2,151.34	87.7700	2,106.48	-44.86	156.17	7.41%
111.000	**Total**		**$9,347.22**		**$9,742.47**	**$395.25**	**$722.27**	
ISHARES TR BARCLAYS MBS BD FD CUSIP: 464288588 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* MBB						
Total Noncovered 10.000	09/29/11 *	108.1100	1,081.10	108.4600	1,084.60	3.50	33.05	3.04%
Total Covered 3.000	01/04/12	108.0400	324.12	108.4600	325.38	1.26	9.91	3.04%
13.000	**Total**		**$1,405.22**		**$1,409.98**	**$4.76**	**$42.96**	
ISHARES TR BARCLAYS INTER CR BD FD CUSIP: 464288638 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* CIU						
6.000	04/03/12	108.4380	650.63	109.1000	654.60	3.97	22.83	3.48%
ISHARES TR UTILITIES SECTOR BD FD CUSIP: 46429B184 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* AMPS						
20.000	04/03/12	49.6110	992.22	51.2092	1,024.18	31.96	37.70	3.68%

ATTACHMENT 4

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
POWERSHARES EXCHANGE-TRADED FD TR II S&P SMALLCAP ENERGY PORT CUSIP: 73937B704 Dividend Option: Cash; Capital Gains Option: Cash			*Security Identifier:* PSCE					
17.000	04/03/12	37.2920	633.97	30.3000	515.10	-118.87	0.37	0.07%
POWERSHARES EXCHANGE-TRADED FD TR II S&P SMALLCAP FINANCIALS PORT CUSIP: 73937B803 Dividend Option: Cash; Capital Gains Option: Cash			*Security Identifier:* PSCF					
22.000	04/03/12	30.0950	662.08	28.4500	625.90	-36.18	12.08	1.93%
POWERSHARES EXCHANGE-TRADED FD TR II S&P SMALLCAP HEALTH CARE PORT CUSIP: 73937B886 Dividend Option: Cash; Capital Gains Option: Cash			*Security Identifier:* PSCH					
18.000	04/03/12	35.5630	640.14	33.1500	596.70	-43.44	5.74	0.96%
SPDR SER TR BARCLAYS CAP HIGH YIELD BD ETF CUSIP: 78464A417 Dividend Option: Cash; Capital Gains Option: Cash			*Security Identifier:* JNK					
Total Noncovered 199.000	Multiple*,Y	36.2450	7,212.85	38.1100	7,583.89	371.04	574.04	7.56%
Total Covered 58.000	MultipleY	38.6880	2,243.93	38.1100	2,210.38	-33.55	167.31	7.56%
257.000	**Total**		**$9,456.78**		**$9,794.27**	**$337.49**	**$741.35**	
SELECT SECTOR SPDR TR MATLS CUSIP: 81369Y100 Dividend Option: Cash; Capital Gains Option: Cash			*Security Identifier:* XLB					
Total Noncovered 18.000	Multiple*,Y	31.1710	561.08	33.8200	608.76	47.68	13.58	2.23%
		733 day(s) added to your holding period as a result of a wash sale.						
SELECT SECTOR SPDR TR HEALTH CARE CUSIP: 81369Y209 Dividend Option: Cash; Capital Gains Option: Cash			*Security Identifier:* XLV					
Total Noncovered 72.000	Multiple*,Y	32.5930	[illegible]332.31	[illegible]6.1500	[illegible]2,602.80	270.[illegible]	51.99	1.99%
SELECT SECTOR SPDR TR CONSUMER STAPLES CUSIP: 81369Y308 Dividend Option: Cash; Capital Gains Option: Cash			*Security Identifier:* XLP					

ATTACHMENT 4

[redacted]

Statement Period: 05/01/2012 - 05/31/2012

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
SELECT SECTOR SPDR TR CONSUMER STAPLES *(continued)*								
Total Noncovered 28.000	Multiple*,Y	29.0280	812.78	33.7800	945.84	133.06	25.19	2.66%
SELECT SECTOR SPDR TR CONSUMER DISCRETIONARY TRANSN TO 06/24/02 *CUSIP: 81369Y407* Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier: XLY*						
Total Noncovered 38.000	Multiple*,Y	34.8990	[13]1,326.18	43.1000	1,637.80	311.62	23.46	1.43%
Total Covered 5.000	04/03/12	45.0100	225.05	43.1000	215.50	-9.55	3.09	1.43%
43.000	Total		$1,551.23		$1,853.30	$302.07	$26.55	
SELECT SECTOR SPDR TR ENERGY CUSIP: 81369Y506 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier: XLE*						
Total Noncovered 39.000	Multiple*,Y	61.1340	[13]2,384.23	63.6300	2,481.57	97.34	42.54	1.71%
SELECT SECTOR SPDR TR INDL CUSIP: 81369Y704 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier: XLI*						
Total Noncovered 43.000	Multiple*,Y	29.0960	[13]1,251.13	34.6700	1,490.81	239.68	32.13	2.15%
Total Covered 6.000	04/03/12	37.2680	223.61	34.6700	208.02	-15.59	4.49	2.15%
49.000	Total		$1,474.74		$1,698.83	$224.09	$36.62	
VANGUARD INTL EQUITY INDEX FDS MSCI EMERGING MKTS ETF CUSIP: 922042858 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier: VWO*						
30.000	04/03/12	43.6050	1,308.15	38.0200	1,140.60	-167.55	27.18	2.38%

ATTACHMENT 4

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
VANGUARD INDEX FDS VANGUARD REIT ETF		*Security Identifier:* VNQ						
CUSIP: 922908553								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Noncovered 90.000	Multiple*,Y	52.2860	4,705.74	62.5200	5,626.80	921.06	192.60	3.42%
Total Covered 68.000	01/04/12	57.6810	3,922.33	62.5200	4,251.36	329.03	145.52	3.42%
158.000	**Total**		**$8,628.07**		**$9,878.16**	**$1,250.09**	**$338.12**	
Total Exchange-Traded Products			**$60,215.97**		**$62,591.59**	**$2,375.62**	**$2,428.30**	
Total Exchange-Traded Products			**$60,215.97**		**$62,591.59**	**$2,375.62**	**$2,428.30**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	**$61,490.17**	**$63,865.79**	**$2,375.62**	**$0.00**	**$2,428.81**

* *Noncovered under the cost basis rules as defined below.*

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L.110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered " noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after *January 1, 2011*
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

³ Either all or a portion of the cost basis of this security has been provided to us by you or your introducing firm and Pershing makes no representation as to the accuracy of this information.

¹³ *Either all or a portion of the cost basis of this security has been provided to us by the delivering firm or transferring agent and Pershing makes no representation as to the accuracy of this information.*

Y This line is an aggregation of shares in this position, representing shares acquired at different times and/or dates. This summary is a sum of these shares and does not represent cost basis calculated using the *average cost accounting method.*

‡ The cost basis and holding period has been adjusted for a portion of this position due to a wash sale event.

Disclosures and Other Information

Pricing - This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices have been obtained from quotation services, which we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. *These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy.* Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that *the position*

[redacted]

Statement Period: 05/01/2012 - 05/31/2012

Portfolio Holdings *(continued)*

quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Exchange-Traded Products - Exchange-traded products may include any combination of exchange-traded funds, exchange-traded notes, and other exchange-traded products.

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Securities		
Securities Sold	0.00	28,727.11
Total Securities	**$0.00**	**$28,727.11**
Additional Transactions		
Fees	0.00	0.00
Distributions	0.00	52.55
Dividends and Interest	138.12	832.75
FDIC Insured Bank Deposits	0.00	884.94
Total Additional Transactions	**$138.12**	**$1,770.24**

Debits	This Period	Year-to-Date
Securities		
Securities Bought	0.00	-28,806.37
Total Securities	**$0.00**	**-$28,806.37**
Additional Transactions		
Fees	0.00	-732.79
Distributions	0.00	0.00
Dividends and Interest	0.00	0.00
FDIC Insured Bank Deposits	-138.12	-1,019.18
Total Additional Transactions	**-$138.12**	**-$1,751.97**

ATTACHMENT 4

[redacted]

Activity Summary *(continued)*

Credits	This Period	Year-to-Date
Total Credits	$138.12	$30,497.35

Debits	This Period	Year-to-Date
Total Debits	-$138.12	-$30,558.34

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
05/07/12	CASH DIVIDEND RECEIVED LQD	27 SHRS ISHARES TR IBOXX USD INVT GRADE CORP BD FD RD 05/03 PD 05/07/12				10.51	USD
05/07/12	CASH DIVIDEND RECEIVED IEF	7 SHRS ISHARES TR BARCLAYS 7-10 YR TREAS BD FD RD 05/03 PD 05/07/12				1.18	USD
05/07/12	CASH DIVIDEND RECEIVED HYG	111 SHRS ISHARES TR IBOXX $ HIGH YIELD CORP BD FD RD 05/03 PD 05/07/12				56.48	USD
05/07/12	CASH DIVIDEND RECEIVED MBB	13 SHRS ISHARES TR BARCLAYS MBS BD FD RD 05/03 PD 05/07/12				2.26	USD
05/07/12	CASH DIVIDEND RECEIVED CIU	6 SHRS ISHARES TR BARCLAYS INTER CR BD FD RD 05/03 PD 05/07/12				1.78	USD
05/07/12	CASH DIVIDEND RECEIVED AMPS	20 SHRS ISHARES TR UTILITIES SECTOR BD FD RD 05/03 PD 05/07/12				2.52	USD
05/08/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-74.73	USD
05/09/12	CASH DIVIDEND RECEIVED JNK	257 SHRS SPDR SER TR BARCLAYS CAP HIGH YIELD BD ETF RD 05/03 PD 05/09/12				63.30	USD
05/10/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-63.30	USD
05/15/12	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED 250990041	DEUTSCHE BANK INS INTEREST REINVESTED				-0.09	USD
05/15/12	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED				0.09	USD
Total Value of all Transactions					$0.00	$0.00	

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period Taxable	Current Period Non Taxable	Year-to-Date Taxable	Year-to-Date Non Taxable
Dividend Income				
Equities	38.03	0.00	832.24	0.00

ATTACHMENT 4

[redacted]

Statement Period: 05/01/2012 - 05/31/2012

Income and Expense Summary *(continued)*

	Current Period Taxable	Current Period Non Taxable	Year-to-Date Taxable	Year-to-Date Non Taxable
Interest Income				
FDIC Insured Bank Deposits	0.09	0.00	0.51	0.00
Total Dividends, Interest, Income and Expenses	**$138.12**	**$0.00**	**$832.75**	**$0.00**
Distributions				
Long - Term Capital Gain Distributions	0.00	0.00	52.55	0.00
Total Distributions	**$0.00**	**$0.00**	**$52.55**	**$0.00**

Messages

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).
YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET FUND AND FDIC INSURED BANK RATES AND BANK LISTS." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEBSITE.

Benjamin F Edwards & Co. is updating its client profile information to better serve your needs and to comply with new regulatory requirements (FINRA Rule 2111). As a result, your financial consultant may request up-to-date information regarding your goals, objectives, and financial information.

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MESSAGE REGARDING ROLLED-UP TAX LOTS

IN RESPONSE TO CLIENT REQUESTS TO SHORTEN STATEMENTS, YOUR TAX LOTS ARE NOW ROLLED UP INTO ONE TO FOUR LINES FOR EACH SECURITY. ONE LINE WILL INCLUDE "NONCOVERED SHARES", ANOTHER LINE "COVERED SHARES", A THIRD LINE FOR "UNALLOCATED SHARES" (SHARES WHERE COST HAS NOT BEEN PROVIDED), AND A FOURTH LINE FOR SHARES THAT WERE GIFTED. NONCOVERED SHARE AND COVERED SHARE DEFINITIONS CAN BE FOUND IN THE FOOTNOTES UNDER HOLDINGS. YOU COULD HAVE ONE LINE OR TWO OR MORE LINES FOR EACH SECURITY.

ATTACHMENT 4

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Account Number: [redacted]
Statement Period: 06/01/2012 - 06/30/2012

CHARLES J FLEISCHMANN
[redacted]

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	$63,865.79	$63,216.92
Dividends/Interest	309.12	1,194.42
Fees	0.00	-732.79
Change in Account Value	2,230.56	2,726.92
Ending Account Value	$66,405.47	$66,405.47
Estimated Annual Income	$2,433.16	

Your Financial Consultant:
WARD PETTY AND JOE PETTY
(423) 668-5411

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds, and FDIC Deposits1	1,200.95	1,274.20	1,583.32	2%
Exchange-Traded Products	62,015.97	62,591.59	64,822.15	98%
Account Total	$63,216.92	$63,865.79	$66,405.47	100%

Asset Allocation percentages are rounded to the nearest whole percentage.

1 ***Cash in your account includes FDIC insured bank deposits.***
FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the *Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation, up to allowable limits*

ATTACHMENT 4

[redacted]

Additional Information

	This Period	Year-to-Date
Securities Bought and Sold	$0.00	-$79.26

Summary of Gains and Losses

	Realized		
	This Period	Year-to-Date	Unrealized
Short-Term Gain/Loss	0.00	1,240.36	366.90
Long-Term Gain/Loss	0.00	1,644.63	4,239.28
Net Gain/Loss	**0.00**	**2,884.99**	**4,606.18**

This summary excludes transactions where cost basis information is not available.

Client Service Information

Your Financial Consultant: S38
WARD PETTY AND JOE PETTY
345 FRAZIER
SUITE 205
CHATTANOOGA TN 37405-4121

Contact Information
Telephone Number: (423) 668-5411
Fax Number: (423) 668-5412

Investment Objective: MODERATE GROWTH
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

FDIC Insured Bank Deposits

Sweep FDIC Insured Bank Deposits

DEUTSCHE BANK INS DEPOSIT E
Account Number [redacted] Activity Ending: 06/29/12

Date	Activity Type	Description	Amount	Balance
06/01/12	Opening Balance		1,274.20	1,274.20
06/08/12	Deposit	DEPOSIT	78.79	1,352.99
06/12/12	Deposit	DEPOSIT	60.87	1,413.86
06/15/12	Deposit	INTEREST CREDITED	0.12	1,413.98
06/15/12		APY 0.11%		1,413.98
06/18/12		Citizens Bank		1,413.98
06/18/12		A/O 06/18 $1413.98		1,413.98
06/26/12	Deposit	DEPOSIT	23.51	1,437.49
06/28/12	Deposit	DEPOSIT	50.90	1,488.39

ATTACHMENT 4

[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

Statement Period: 06/01/2012 - 06/30/2012

FDIC Insured Bank Deposits *(continued)*

Date	Activity Type	Description	Amount	Balance
Sweep FDIC Insured Bank Deposits *(continued)*				
DEUTSCHE BANK INS DEPOSIT E *(continued)*				
06/29/12	Deposit	DEPOSIT	10.58	1,498.97
06/29/12	Closing Balance			$1,498.97
Total FDIC Insured Bank Deposits				$1,498.97

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Note: For the period from December 31, 2010 through December 31, 2012 the FDIC provides unlimited insurance coverage on certain non interest bearing bank accounts. If your account is in such a program it will be stated in your Message section.

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 2.00% of Portfolio									
Cash Balance				0.00	84.35				
FDIC Insured Bank Deposits									
DEUTSCHE BANK INS DEPOSIT E 1,498.970	06/01/12	ETF005005	06/29/12	1,274.20	1,498.97	0.06	0.63	N/A	N/A
Total FDIC Insured Bank Deposits				$1,274.20	$1,498.97	$0.06	$0.63		
Total Cash, Money Funds, and FDIC Deposits				$1,274.20	$1,583.32	$0.06	$0.63		

ATTACHMENT 4

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products 98.00% of Portfolio								
Exchange-Traded Products								
BARCLAYS BK PLC IPATH INDEX LKD TO DOW JONES UBS COMMODITY INDEX TOTAL RETURN CUSIP: 06738C778 *Dividend Option: Cash; Capital Gains Option: Cash*		*Security Identifier:* DJP						
Total Noncovered 62.000	09/29/11 *	43.1100	2,672.82	40.1800	2,491.16	-181.66		
Total Covered 3.000	04/03/12	42.6800	128.04	40.1800	120.54	-7.50		
65.000	**Total**		**$2,800.86**		**$2,611.70**	**-$189.16**	**$0.00**	
ISHARES COMEX GOLD TR ISHARES CUSIP: 464285105 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* IAU						
Total Noncovered 58.000	Multiple *,1	15.8180	917.45	15.5600	902.48	-14.97		
Total Covered 57.000	04/03/12	16.0100	912.56	15.5600	886.92	-25.64		
115.000	**Total**		**$1,830.01**		**$1,789.40**	**-$40.61**	**$0.00**	
ISHARES INC MSCI BRAZIL FREE INDEX FD CUSIP: 464286400 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* EWZ						
10.000	04/03/12	64.5000	645.00	51.6950	516.95	-128.05	14.79	2.86%
ISHARES TR IBOXX USD INVT GRADE CORP BD FD CUSIP: 464287242 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* LQD						
Total Noncovered 12.000	09/29/11 *	112.1000	1,345.20	117.5900	1,411.08	65.88	57.96	4.10%
Total Covered 15.000	01/04/12	113.5900	1,703.85	117.5900	1,763.85	60.00	72.45	4.10%
27.000	**Total**		**$3,049.05**		**$3,174.93**	**$125.88**	**$130.41**	
ISHARES TR BARCLAYS 7-10 YR TREAS BD FD CUSIP: 464287440 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* IEF						
7.000	09/29/11 *	104.2300	729.6[illegible]	107.9300	755.51	25.90	16.69	2.20%
ISHARES TR RUSSELL 2000 VALUE INDEX FD CUSIP: 464287630 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* IWN						

ATTACHMENT 4

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Statement Period: 06/01/2012 - 06/30/2012

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
ISHARES TR RUSSELL 2000 VALUE INDEX FD *(continued)*								
18.000	04/03/12	73.2100	1,317.78	70.3900	1,267.02	-50.76	26.34	2.07%
ISHARES TR RUSSELL 2000 GROWTH INDEX FD		*Security Identifier:* IWO						
CUSIP: 464287648								
Dividend Option: Cash; Capital Gains Option: Cash								
13.000	04/03/12	95.8250	1,245.73	91.4700	1,189.11	-56.62	9.80	0.82%
ISHARES TR DOW JONES U S UTILS SECTOR INDEX FD		*Security Identifier:* IDU						
CUSIP: 464287697								
Dividend Option: Cash; Capital Gains Option: Cash								
8.000	10/04/11	77.8750	623.00	90.0500	720.40	97.40	23.75	3.29%
ISHARES TR DOW JONES U S TECHNOLOGY SECTOR		*Security Identifier:* IYW						
CUSIP: 464287721								
Dividend Option: Cash; Capital Gains Option: Cash								
36.000	01/04/12	65.0500	2,341.81	71.2300	2,564.28	222.47	15.67	0.61%
ISHARES TR DOW JONES U S FINL SECTOR INDEX FD		*Security Identifier:* IYF						
CUSIP: 464287788								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Covered 53.000	Multiple^Y	52.6840	2,792.27	55.2800	2,929.84	137.57	49.21	1.67%
ISHARES TR IBOXX $ HIGH YIELD CORP BD FD		*Security Identifier:* HYG						
CUSIP: 464288513								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Noncovered 87.000	Multiple*,Y	82.7110	[illegible]95.88	[illegible]1.2900	[illegible]2.23	746[illegible]5	564.06	7.10%
Total Covered 24.000	Multiple^Y	[illegible]9.6390	2,1[illegible]1.3[illegible]	[illegible]1.2900	2,1[illegible]0.96	39[illegible]2	155.60	7.10%
111.000	Total		$9,347.22		$10,133.19	$785.97	$719.66	

ATTACHMENT 4

Account Number: [redacted]
CHARLES J FLEISCHMANN

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
ISHARES TR BARCLAYS MBS BD FD			*Security Identifier:* MBB					
CUSIP: 464288588								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Noncovered 10.000	09/29/11 *	108.1100	1,081.10	108.4400	1,084.40	3.30	31.97	2.94%
Total Covered 3.000	01/04/12	108.0400	324.12	108.4400	325.32	1.20	9.59	2.94%
13.000	**Total**		**$1,405.22**		**$1,409.72**	**$4.50**	**$41.56**	
ISHARES TR BARCLAYS INTER CR BD FD			*Security Identifier:* CIU					
CUSIP: 464288638								
Dividend Option: Cash; Capital Gains Option: Cash								
6.000	04/03/12	108.4380	650.63	109.3200	655.92	5.29	22.58	3.44%
ISHARES TR UTILITIES SECTOR BD FD			*Security Identifier:* AMPS					
CUSIP: 46429B184								
Dividend Option: Cash; Capital Gains Option: Cash								
20.000	04/03/12	49.6110	992.22	51.3400	1,026.80	34.58	35.44	3.45%
POWERSHARES EXCHANGE-TRADED FD			*Security Identifier:* PSCE					
TR II S&P SMALLCAP ENERGY PORT								
CUSIP: 73937B704								
Dividend Option: Cash; Capital Gains Option: Cash								
17.000	04/03/12	37.2920	633.97	31.7100	539.07	-94.90	0.37	0.06%
POWERSHARES EXCHANGE-TRADED FD			*Security Identifier:* PSCF					
TR II S&P SMALLCAP FINANCIALS PORT								
CUSIP: 73937B803								
Dividend Option: Cash; Capital Gains Option: Cash								
22.000	04/03/12	30.0950	662.08	29.7027	653.46	-8.62	13.62	2.06%
POWERSHARES EXCHANGE-TRADED FD			*Security Identifier:* PSCH					
TR II S&P SMALLCAP HEALTH CARE PORT								
CUSIP: 73937B886								
Dividend Option: Cash; Capital Gains Option: Cash								
18.000	04/03/12	35.5630	640.14	35.7696	643.85	3.71	5.74	0.89%
SPDR SER TR BARCLAYS CAP HIGH YIELD BD ETF			*Security Identifier:* JNK					
CUSIP: 78464A417								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Noncovered 199.000	Multiple *,Y	36.2450	7,212.85	39.4600	7,852.54	639.69	571.20	7.27%

ATTACHMENT 4

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
SPDR SER TR BARCLAYS CAP HIGH YIELD BD *(continued)*								
Total Covered 58.000	Multiple[Y]	38.6880	2,243.93	39.4600	2,288.68	44.75	166.48	7.27%
257.000	Total		$9,456.78		$10,141.22	$684.44	$737.68	
SELECT SECTOR SPDR TR MATLS		*Security Identifier: XLB*						
CUSIP: 81369Y100								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Noncovered 18.000	Multiple*,Y	31.1710	[3,1]561.08	35.2900	635.22	74.14	13.22	2.08%
		733 day(s) added to your holding period as a result of a wash sale.						
SELECT SECTOR SPDR TR HEALTH CARE		*Security Identifier: XLV*						
CUSIP: 81369Y209								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Noncovered 72.000	Multiple*,Y	32.3930	[3]2,332.31	38.0050	2,736.36	404.05	54.06	1.97%
SELECT SECTOR SPDR TR CONSUMER STAPLES		*Security Identifier: XLP*						
CUSIP: 81369Y308								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Noncovered 28.000	Multiple*,Y	29.0280	812.78	34.7700	973.56	160.78	25.71	2.64%
SELECT SECTOR SPDR TR CONSUMER DISCRETIONARY TRANSN TO 06/24/02		*Security Identifier: XLY*						
CUSIP: 81369Y407								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Noncovered 38.000	Multiple*,Y	34.8990	[13]1,326.18	43.7800	1,663.64	337.46	24.17	1.45%
Total Covered 5.000	04/03/12	45.0[illegible]	[illegible]225.05	[illegible]3.7800	2[illegible]890	[illegible]	3.18	1.45%
43.000	Total		$[illegible]551.23		$[illegible]2.54	$331.[illegible]1	$27.35	

ATTACHMENT 4

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
SELECT SECTOR SPDR TR ENERGY CUSIP: 81369Y506 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* XLE						
Total Noncovered 39.000	Multiple*,Y	61.1340	[1]32,384.23	66.3700	2,588.43	204.20	44.36	1.71%
SELECT SECTOR SPDR TR INDL CUSIP: 81369Y704 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* XLI						
Total Noncovered 43.000	Multiple*,Y	29.0960	[1]31,251.13	35.6700	1,533.81	282.68	31.65	2.06%
Total Covered 6.000	04/03/12	37.2680	223.61	35.6700	214.02	-9.59	4.41	2.06%
49.000	Total		$1,474.74		$1,747.83	$273.09	$36.06	
VANGUARD INTL EQUITY INDEX FDS MSCI EMERGING MKTS ETF CUSIP: 922042858 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* VWO						
30.000	04/03/12	43.6050	1,308.15	39.9300	1,197.90	-110.25	27.18	2.26%
VANGUARD INDEX FDS VANGUARD REIT ETF CUSIP: 922908553 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* VNQ						
Total Noncovered 90.000	Multiple*,Y	52.2860	4,705.74	65.4300	5,888.70	1,182.96	194.40	3.30%
Total Covered 68.000	01/04/12	57.6810	3,922.33	65.4300	4,449.24	526.91	146.88	3.30%
158.000	Total		$8,628.07		$10,337.94	$1,709.87	$341.28	
Total Exchange-Traded Products			$60,215.97		$64,822.15	$4,606.18	$2,432.53	
Total Exchange-Traded Products			$60,215.97		$64,822.15	$4,606.18	$2,432.53	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$61,799.29	$66,405.47	$4,606.18	$0.00	$2,433.16

ATTACHMENT 4

[redacted]

Portfolio Holdings *(continued)*

banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Exchange-Traded Products - Exchange-traded products may include any combination of exchange-traded funds, exchange-traded notes, and other exchange-traded products.

Cash Not Yet Received

Security	Record Date	Payable Date	Quantity Held	Rate	Amount of Payment	Dividend Option
Dividends						
ISHARES TR RUSSELL 2000 VALUE INDEX FD	06/28/12	07/02/12	18.000	0.447044	8.05	Cash
ISHARES TR RUSSELL 2000 GROWTH INDEX FD	06/28/12	07/02/12	13.000	0.193371	2.51	Cash
Total Cash Not Yet Received					**$10.56**	

The above information has been obtained from sources we believe to be reliable. *All items are subject to receipt. We make no representation as to accuracy or completeness.* Cash Not Yet Received is not reflected as an asset in your account until it is actually received.

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Securities		
Securities Sold	0.00	28,727.11
Total Securities	**$0.00**	**$28,727.11**
Additional Transactions		
Fees	0.00	0.00
Distributions	0.00	52.55
Dividends and Interest	309.12	1,141.87
FDIC Insured Bank Deposits	0.00	884.94
Total Additional Transactions	**$309.12**	**$2,079.36**
Total Credits	**$309.12**	**$30,806.47**

Debits	This Period	Year-to-Date
Securities		
Securities Bought	0.00	-28,806.37
Total Securities	**$0.00**	**-$28,806.37**
Additional Transactions		
Fees	0.00	-732.79
Distributions	0.00	0.00
Dividends and Interest	0.00	0.00
FDIC Insured Bank Deposits	-224.77	-1,243.95
Total Additional Transactions	**-$224.77**	**-$1,976.74**
Total Debits	**-$224.77**	**-$30,783.11**

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
06/07/12	CASH DIVIDEND RECEIVED LQD	27 SHRS ISHARES TR IBOXX USD INVT GRADE CORP BD FD RD 06/05 PD 06/07/12				10.68	USD

ATTACHMENT 4

[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

Statement Period: 06/01/2012 - 06/30/2012

Transactions in Date Sequence *(continued)*

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
06/07/12	CASH DIVIDEND RECEIVED IEF	7 SHRS ISHARES TR BARCLAYS 7-10 YR TREAS BD FD RD 06/05 PD 06/07/12				1.20	USD
06/07/12	CASH DIVIDEND RECEIVED HYG	111 SHRS ISHARES TR IBOXX $ HIGH YIELD CORP BD FD RD 06/05 PD 06/07/12				60.18	USD
06/07/12	CASH DIVIDEND RECEIVED MBB	13 SHRS ISHARES TR BARCLAYS MBS BD FD RD 06/05 PD 06/07/12				2.34	USD
06/07/12	CASH DIVIDEND RECEIVED CIU	6 SHRS ISHARES TR BARCLAYS INTER CR BD FD RD 06/05 PD 06/07/12				1.81	USD
06/07/12	CASH DIVIDEND RECEIVED AMPS	20 SHRS ISHARES TR UTILITIES SECTOR BD FD RD 06/05 PD 06/07/12				2.58	USD
06/08/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-78.79	USD
06/11/12	CASH DIVIDEND RECEIVED JNK	257 SHRS SPDR SER TR BARCLAYS CAP HIGH YIELD BD ETF RD 06/05 PD 06/11/12				60.87	USD
06/12/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-60.87	USD
06/15/12	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED 250990041	DEUTSCHE BANK INS INTEREST REINVESTED				-0.12	USD
06/15/12	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED				0.12	USD
06/25/12	CASH DIVIDEND RECEIVED IDU	8 SHRS ISHARES TR DOW JONES U S UTILS SECTOR INDEX FD RD 06/21 PD 06/25/12				6.12	USD
06/25/12	CASH DIVIDEND RECEIVED IYW	36 SHRS ISHARES TR DOW JONES U S TECHNOLOGY SECTOR RD 06/21 PD 06/25/12				4.43	USD
06/25/12	CASH DIVIDEND RECEIVED IYF	53 SHRS ISHARES TR DOW JONES U S FINL SECTOR INDEX FD RD 06/21 PD 06/25/12				12.96	USD
06/26/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-23.51	USD
06/27/12	CASH DIVIDEND RECEIVED XLB	18 SHRS SELECT SECTOR SPDR TR MATLS RD 06/19 PD 06/27/12				3.33	USD

ATTACHMENT 4

Transactions in Date Sequence *(continued)*

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
06/27/12	CASH DIVIDEND RECEIVED XLV	72 SHRS SELECT SECTOR SPDR TR HEALTH CARE RD 06/19 PD 06/27/12				14.30	USD
06/27/12	CASH DIVIDEND RECEIVED XLP	28 SHRS SELECT SECTOR SPDR TR CONSUMER STAPLES RD 06/19 PD 06/27/12				6.59	USD
06/27/12	CASH DIVIDEND RECEIVED XLY	43 SHRS SELECT SECTOR SPDR TR CONSUMER DISCRETIONARY TRANSN TO 06/24/02 RD 06/19 PD 06/27/12				6.53	USD
06/27/12	CASH DIVIDEND RECEIVED XLE	39 SHRS SELECT SECTOR SPDR TR ENERGY RD 06/19 PD 06/27/12				12.13	USD
06/27/12	CASH DIVIDEND RECEIVED XLI	49 SHRS SELECT SECTOR SPDR TR INDL RD 06/19 PD 06/27/12				8.02	USD
06/28/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-50.90	USD
06/28/12	CASH DIVIDEND RECEIVED EWZ	10 SHRS ISHARES INC MSCI BRAZIL FREE INDEX FD RD 06/25 PD 06/28/12				10.58	USD
06/29/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-10.58	USD
06/29/12	CASH DIVIDEND RECEIVED PSCF	22 SHRS POWERSHARES EXCHANGE-TRADED FD TR II S&P SMALLCAP FINANCIALS PORT RD 06/19 PD 06/29/12				2.35	USD
06/29/12	CASH DIVIDEND RECEIVED VNQ	158 SHRS VANGUARD INDEX FDS VANGUARD REIT ETF RD 06/27 PD 06/29/12				82.00	USD
Total Value of all Transactions					$0.00	$84.35	

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period		Year-to-Date	
	Taxable	Non Taxable	Taxable	Non Taxable
Dividend Income				
Equities	309.00	0.00	1,141.24	0.00
Interest Income				
FDIC Insured Bank Deposits	0.12	0.00	0.63	0.00
Total Dividends, Interest, Income and Expenses	$309.12	$0.00	$1,141.87	$0.00
Distributions				
Long - Term Capital Gain Distributions	0.00	0.00	52.55	0.00
Total Distributions	$0.00	$0.00	$52.55	$0.00

ATTACHMENT 4

Messages

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).

[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

Account Number: [redacted]
Statement Period: 07/01/2012 - 07/31/2012

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	$66,405.47	$63,216.92
Dividends/Interest	147.30	1,341.72
Fees	-373.53	-1,106.32
Change in Account Value	845.22	3,572.14
Ending Account Value	$67,024.46	$67,024.46
Estimated Annual Income	$2,273.00	

CHARLES J FLEISCHMANN
[redacted]

Your Financial Consultant:
WARD PETTY AND JOE PETTY
(423) 668-5411

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds, and FDIC Deposits[1]	1,200.95	1,583.32	1,205.78	2%
Exchange-Traded Products	62,015.97	64,822.15	65,818.68	98%
Account Total	$63,216.92	$66,405.47	$67,024.46	100%

Asset Allocation percentages are rounded to the nearest whole percentage.

1 Cash in your account includes FDIC insured bank deposits.
FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation, up to allowable limits

ATTACHMENT 4

[redacted]

Additional Information

	This Period	Year-to-Date
Securities Bought and Sold	-$151.31	-$230.57

Summary of Gains and Losses

	Realized		
	This Period	Year-to-Date	Unrealized
Short-Term Gain/Loss	322.33	1,562.69	1,056.39
Long-Term Gain/Loss	0.00	1,644.63	4,072.68
Net Gain/Loss	**322.33**	**3,207.32**	**5,129.07**

This summary excludes transactions where cost basis information is not available.

Client Service Information

Your Financial Consultant: S38
WARD PETTY AND JOE PETTY
345 FRAZIER
SUITE 205
CHATTANOOGA TN 37405-4121

Contact Information
Telephone Number: (423) 668-5411
Fax Number: (423) 668-5412

Investment Objective: MODERATE GROWTH
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

FDIC Insured Bank Deposits

Sweep FDIC Insured Bank Deposits

DEUTSCHE BANK INS DEPOSIT E
Account Number: [redacted] Activity Ending: 07/31/12

Date	Activity Type	Description	Amount	Balance
06/30/12	Opening Balance		1,498.97	1,498.97
07/02/12	Deposit	DEPOSIT	84.35	1,583.32
07/03/12	Deposit	DEPOSIT	10.56	1,593.88
07/09/12	Withdrawal	WITHDRAWAL	-297.46	1,296.42
07/10/12	Withdrawal	WITHDRAWAL	-151.31	1,145.11
07/12/12	Deposit	DEPOSIT	60.56	1,205.67
07/13/12	Deposit	INTEREST CREDITED	0.11	1,205.78
07/13/12		APY 0.1%		1,205.78
07/16/12		Citizens Bank		1,205.78

ATTACHMENT 4

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

Statement Period: 07/01/2012 - 07/31/2012

FDIC Insured Bank Deposits *(continued)*

Date	Activity Type	Description	Amount	Balance
Sweep FDIC Insured Bank Deposits *(continued)*				
DEUTSCHE BANK INS DEPOSIT E *(continued)*				
07/16/12		A/O 07/16 $1205.78		1,205.78
07/31/12	**Closing Balance**			**$1,205.78**
Total FDIC Insured Bank Deposits				**$1,205.78**

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Note: For the period from December 31, 2010 through December 31, 2012 the FDIC provides unlimited insurance coverage on certain non interest bearing bank accounts. If your account is in such a program it will be stated in your Message section.

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 2.00% of Portfolio									
Cash Balance				84.35	0.00				
FDIC Insured Bank Deposits									
DEUTSCHE BANK INS DEPOSIT E									
1,205.780	06/30/12	ETF005005	07/31/12	1,498.97	1,205.78	0.06	0.74	N/A	N/A
Total FDIC Insured Bank Deposits				$1,498.97	$1,205.78	$0.06	$0.74		
Total Cash, Money Funds, and FDIC Deposits				$1,583.32	$1,205.78	$0.06	$0.74		

ATTACHMENT 4

[redacted]

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products 98.00% of Portfolio								
Exchange-Traded Products								
BARCLAYS BK PLC IPATH INDEX LKD TO DOW JONES UBS COMMODITY INDEX TOTAL RETURN CUSIP: 06738C778 Dividend Option: Cash; Capital Gains Option: Cash	*Security Identifier:* DJP							
Total Noncovered 62.000	09/29/11 *	43.1100	2,672.82	42.9300	2,661.66	-11.16		
Total Covered 15.000	Multiple˟	41.6830	625.24	42.9300	643.95	18.71		
77.000	Total		$3,298.06		$3,305.61	$7.55	$0.00	
ISHARES COMEX GOLD TR ISHARES CUSIP: 464285105 Dividend Option: Cash; Capital Gains Option: Cash	*Security Identifier:* IAU							
Total Noncovered 58.000	Multiple*,˟	15.8180	917.45	15.7200	911.76	-5.69		
Total Covered 77.000	Multiple˟	15.9190	1,225.76	15.7200	1,210.44	-15.32		
135.000	Total		$2,143.21		$2,122.20	-$21.01	$0.00	
ISHARES INC MSCI BRAZIL FREE INDEX FD CUSIP: 464286400 Dividend Option: Cash; Capital Gains Option: Cash	*Security Identifier:* EWZ							
Total Covered 12.000	Multiple˟	62.5000	750.00	52.4500	629.40	-120.60	17.75	2.82%
ISHARES TR IBOXX USD INVT GRADE CORP BD FD CUSIP: 464287242 Dividend Option: Cash; Capital Gains Option: Cash	*Security Identifier:* LQD							
Total Noncovered 11.000	09/29/11 *	112.1000	1,233.10	121.2800	1,334.08	100.98	52.76	3.95%
Total Covered 15.000	01/04/12	113.5900	1,703.85	121.2800	1,819.20	115.35	71.94	3.95%
26.000	Total		$2,936.95		$3,153.28	$216.33	$124.70	
ISHARES TR BARCLAYS 7-10 YR TREAS BD FD CUSIP: 464287440 Dividend Option: Cash; Capital Gains Option: Cash	*Security Identifier:* IEF							
6.000	09/29/11 *	104.2300	625.38	109.2800	655.68	30.30	13.88	2.11%

ATTACHMENT 4

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
ISHARES TR RUSSELL 2000 VALUE INDEX FD CUSIP: 464287630 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* IWN						
Total Covered 23.000	Multiple[Y]	72.8750	1,676.13	69.5100	1,598.73	-77.40	33.65	2.10%
ISHARES TR RUSSELL 2000 GROWTH INDEX FD CUSIP: 464287648 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* IWO						
Total Covered 17.000	Multiple[Y]	95.2470	1,619.20	89.7800	1,526.26	-92.94	12.81	0.83%
ISHARES TR DOW JONES U S UTILS SECTOR INDEX FD CUSIP: 464287697 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* IDU						
8.000	10/04/11 *	77.8750	623.00	92.6600	741.28	118.28	23.75	3.20%
ISHARES TR DOW JONES U S TECHNOLOGY SECTOR CUSIP: 464287721 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* IYW						
Total Covered 52.000	Multiple[Y]	67.3080	3,500.01	71.5200	3,719.04	219.03	22.64	0.60%
ISHARES TR DOW JONES U S FINL SECTOR INDEX FD CUSIP: 464287788 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* IYF						
Total Covered 34.000	Multiple[Y]	54.2240	1,843.62	55.3600	1,882.24	38.62	31.57	1.67%

ATTACHMENT 4

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
ISHARES TR IBOXX $ HIGH YIELD CORP BD FD CUSIP: 464288513 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* HYG						
Total Noncovered 76.000	Multiple*,Y	82.3800	6,260.88	91.7000	6,969.20	708.32	487.90	7.00%
Total Covered 24.000	Multiple[Y]	89.6390	2,151.34	91.7000	2,200.80	49.46	154.08	7.00%
100.000	**Total**		**$8,412.22**		**$9,170.00**	**$757.78**	**$641.98**	
ISHARES TR BARCLAYS MBS BD FD CUSIP: 464288588 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* MBB						
Total Noncovered 9.000	09/29/11 *	108.1100	972.99	109.0500	981.45	8.46	27.59	2.81%
Total Covered 3.000	01/04/12	108.0400	324.12	109.0500	327.15	3.03	9.19	2.81%
12.000	**Total**		**$1,297.11**		**$1,308.60**	**$11.49**	**$36.78**	
ISHARES TR BARCLAYS INTER CR BD FD CUSIP: 464288638 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* CIU						
6.000	04/03/12	108.4380	650.63	110.6481	663.89	13.26	22.26	3.35%
ISHARES TR UTILITIES SECTOR BD FD CUSIP: 46429B184 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* AMPS						
20.000	04/03/12	49.6110	992.22	52.9958	1,059.92	67.70	34.53	3.25%
POWERSHARES EXCHANGE-TRADED FD TR II S&P SMALLCAP ENERGY PORT CUSIP: 73937B704 *Dividend Option: Cash; Capital Gains Option: Cash*		*Security Identifier:* PSCE						
Total Covered 20.000	Multiple[Y]	36.6130	732.25	31.8305	636.61	-95.64	0.44	0.06%
POWERSHARES EXCHANGE-TRADED FD TR II S&P SMALLCAP HEALTH CARE PORT CUSIP: 73937B886 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* PSCH						
18.000	04/03/12	35.5630	640.14	34.6402	623.52	-16.62	5.74	0.92%

ATTACHMENT 4

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Statement Period: 07/01/2012 - 07/31/2012

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
SPDR SER TR BARCLAYS CAP HIGH YIELD BD ETF		*Security Identifier:* JNK						
CUSIP: 78464A417								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Noncovered 172.000	Multiple*,Y	36.1080	6,210.61	39.8800	6,859.36	648.75	490.44	7.15%
Total Covered 58.000	MultipleY	38.6880	2,243.93	39.8800	2,313.04	69.11	165.39	7.15%
230.000	Total		$8,454.54		$9,172.40	$717.86	$655.83	
SELECT SECTOR SPDR TR MATLS		*Security Identifier:* XLB						
CUSIP: 81369Y100								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Noncovered 18.000	Multiple*,Y	31.1710	1,561.08	34.8400	627.12	66.04	13.22	2.10%
		733 day(s) added to your holding period as a result of a wash sale.						
SELECT SECTOR SPDR TR HEALTH CARE		*Security Identifier:* XLV						
CUSIP: 81369Y209								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Noncovered 72.000	Multiple*,Y	32.3930	2,332.31	38.3900	2,764.08	431.77	54.05	1.95%
Total Covered 5.000	07/05/12	37.8600	189.30	38.3900	191.95	2.65	3.76	1.95%
77.000	Total		$2,521.61		$2,956.03	$434.42	$57.81	
SELECT SECTOR SPDR TR CONSUMER STAPLES		*Security Identifier:* XLP						
CUSIP: 81369Y308								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Noncovered 28.000	Multiple*,Y	29.0280	812.78	35.6300	[illegible]	[illegible]	25.71	2.57%
Total Covered 12.000	07/05/12	[illegible]	[illegible]	35.6300	[illegible]	[illegible]	11.02	2.57%
40.000	Total		$1,232.17		$1,425.20	$193.03	$36.73	

ATTACHMENT 4

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
SELECT SECTOR SPDR TR CONSUMER DISCRETIONARY TRANSN TO 06/24/02 CUSIP: 81369Y407 Dividend Option: Cash; Capital Gains Option: Cash			*Security Identifier:* XLY					
Total Noncovered 38.000	Multiple*,Y	34.8990	13 1,326.18	43.5400	1,654.52	328.34	24.16	1.46%
Total Covered 9.000	Multiple Y	44.4500	400.05	43.5400	391.86	-8.19	5.73	1.46%
47.000	Total		$1,726.23		$2,046.38	$320.15	$29.89	
SELECT SECTOR SPDR TR ENERGY CUSIP: 81369Y506 Dividend Option: Cash; Capital Gains Option: Cash			*Security Identifier:* XLE					
Total Noncovered 39.000	Multiple*,Y	61.1340	13 2,384.23	69.6500	2,716.35	332.12	44.36	1.63%
SELECT SECTOR SPDR TR INDL CUSIP: 81369Y704 Dividend Option: Cash; Capital Gains Option: Cash			*Security Identifier:* XLI					
Total Noncovered 43.000	Multiple*,Y	29.0960	13 1,251.13	35.8100	1,539.83	288.70	31.65	2.05%
Total Covered 30.000	Multiple Y	35.8860	1,076.57	35.8100	1,074.30	-2.27	22.08	2.05%
73.000	Total		$2,327.70		$2,614.13	$286.43	$53.73	
VANGUARD INTL EQUITY INDEX FDS MSCI EMERGING MKTS ETF CUSIP: 922042858 Dividend Option: Cash; Capital Gains Option: Cash			*Security Identifier:* VWO					
Total Covered 33.000	Multiple Y	43.2880	1,428.49	40.0100	1,320.33	-108.16	29.89	2.26%
VANGUARD INDEX FDS VANGUARD REIT ETF CUSIP: 922908553 Dividend Option: Cash; Capital Gains Option: Cash			*Security Identifier:* VNQ					
Total Noncovered 84.000	Multiple*,Y	52.2750	4,391.10	66.7400	5,606.16	1,215.06	181.44	3.23%

ATTACHMENT 4

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

Statement Period: 07/01/2012 - 07/31/2012

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
VANGUARD INDEX FDS VANGUARD REIT ETF *(continued)*								
Total Covered 68.000	01/04/12	57.6810	3,922.33	66.7400	4,538.32	615.99	146.88	3.23%
152.000	Total		$8,313.43		$10,144.48	$1,831.05	$328.32	
Total Exchange-Traded Products			$60,689.61		$65,818.68	$5,129.07	$2,272.26	
Total Exchange-Traded Products			$60,689.61		$65,818.68	$5,129.07	$2,272.26	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$61,895.39	$67,024.46	$5,129.07	$0.00	$2,273.00

* Noncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L.110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered " noncovered," under the new cost basis reporting rules, and marked or denoted as such. *All other securities in this section are securities which are "covered" under the new cost basis reporting rules.* Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- *Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later,* as determined by the Secretary of the Treasury.

[3] Either all or a portion of the cost basis of this security has been provided to us by you or your introducing firm and Pershing makes no representation as to the accuracy of this information.

[13] Either all or a portion of the cost basis of this security has been provided to us by the delivering firm or transferring agent and Pershing makes no representation as to the accuracy of this information.

[Y] This line is an aggregation of shares in this position, representing shares acquired at different times and/or dates. This summary is a sum of these shares and does not represent cost basis calculated using the average cost accounting method.

‡ The cost basis and holding period has been adjusted for a portion of this position due to a wash sale event.

ATTACHMENT 4

Disclosures and Other Information

Pricing - This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices have been obtained from quotation services, which we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

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Portfolio Holdings *(continued)*

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar *corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary* banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Exchange-Traded Products - Exchange-traded products may include any combination of exchange-traded funds, exchange-traded notes, and other exchange-traded products.

Schedule of Realized Gains and Losses Current Period

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term								
07/05/12	09/29/11 *	SELL First In First Out	ISHARES TR IBOXX USD INVT GRADE CORP BD	LQD	1.000	112.10	118.20	6.10
07/05/12	09/29/11 *	SELL First In First Out	ISHARES TR BARCLAYS 7-10 YR TREAS BD FD	IEF	1.000	104.23	108.34	4.11
07/05/12	01/04/12	SELL First In First Out	ISHARES TR DOW JONES U S FINL SECTOR	IYF	19.000	948.65	1,052.74	104.09
07/05/12	09/29/11 *	SELL First In First Out	ISHARES TR IBOXX $HIGH YIELD CORP BD	HYG	11.000	935.00	998.03	63.03

ATTACHMENT 4

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INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

Statement Period: 07/01/2012 - 07/31/2012

Schedule of Realized Gains and Losses Current Period *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term *(continued)*								
07/05/12	09/29/11 *	SELL First In First Out	ISHARES TR BARCLAYS MBS BD FD	MBB	1.000	108.11	108.44	0.33
07/05/12	04/03/12	SELL First In First Out	POWERSHARES EXCHANGE-TRADED FD	PSCF	22.000	662.08	666.41	4.33
07/05/12	09/29/11 *	SELL First In First Out	SPDR SER TR BARCLAYS CAP HIGH YIELD BD	JNK	27.000	1,002.24	1,060.67	58.43
07/05/12	09/29/11 *	SELL First In First Out	VANGUARD INDEX FDS VANGUARD REIT ETF	VNQ	6.000	314.64	396.55	81.91
Total Short Term						$4,187.05	$4,509.38	$322.33
Total Short Term and Long Term						$4,187.05	$4,509.38	$322.33

* Noncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L.110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for noncovered securities. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. In addition, corporate action events may require adjustments to your original cost basis. Return of capital information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable.

Adjustments to cost basis can be made after year-end, in particular, for return of capital adjustments, but may also include adjustments for corporate action events. Therefore there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports, including your 1099-B or online display you may have available to you.

ATTACHMENT 4

Schedule of Realized Gains and Losses Current Period *(continued)*

When you report your cost basis on your tax return, it should be verified using all of your own records. In particular, there may be other adjustments which you need to make, but are not required to be made by Pershing as it relates to H.R. 1424. You should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

Please refer to the Client Service Information Section in your brokerage account statement for your account's existing tax-lot disposition method. The disposition method is the method which you have selected to use in the disposal of each tax lot of the securities held in your account. If you do not select a method, your account will be defaulted to First In, First Out (FIFO). Your account's selected tax lot method will be used to determine the cost basis for calculating gain and/or loss, unless another method was selected at the time of the security disposal, and this may be reported on the IRS Form 1099-B.

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Securities		
Securities Sold	4,509.38	33,236.49
Total Securities	**$4,509.38**	**$33,236.49**
Additional Transactions		
Fees	0.00	0.00
Distributions	0.00	52.55
Dividends and Interest	147.30	1,289.17
FDIC Insured Bank Deposits	448.77	1,333.71
Total Additional Transactions	**$596.07**	**$2,675.43**
Total Credits	**$5,105.45**	**$35,911.92**

Debits	This Period	Year-to-Date
Securities		
Securities Bought	-4,660.69	-33,467.06
Total Securities	**-$4,660.69**	**-$33,467.06**
Additional Transactions		
Fees	-373.53	-1,106.32
Distributions	0.00	0.00
Dividends and Interest	0.00	0.00
FDIC Insured Bank Deposits	-155.58	-1,399.53
Total Additional Transactions	**-$529.11**	**-$2,505.85**
Total Debits	**-$5,189.80**	**-$35,972.91**

Transactions in Date Sequence

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
07/02/12		FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-84.35	USD
07/02/12		CASH DIVIDEND RECEIVED IWN	18 SHRS ISHARES TR RUSSELL 2000 VALUE INDEX FD RD 06/28 PD 07/02/12				8.05	USD
07/02/12		CASH DIVIDEND RECEIVED IWO	13 SHRS ISHARES TR RUSSELL 2000 GROWTH INDEX FD RD 06/28 PD 07/02/12				2.51	USD
07/03/12		FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-10.56	USD
07/09/12		FDIC INSURED BANK WITHDRAWAL USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				297.46	USD
07/09/12		ASSET BASED FEE USD999997	QUARTERLY FEE 7/1-9/ 30				-373.53	USD
07/09/12		CASH DIVIDEND RECEIVED LQD	27 SHRS ISHARES TR IBOXX USD INVT GRADE CORP BD FD RD 07/05 PD 07/09/12				10.60	USD

ATTACHMENT 4

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[redacted]

Statement Period: 07/01/2012 - 07/31/2012

Transactions in Date Sequence *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
07/09/12		CASH DIVIDEND RECEIVED IEF	7 SHRS ISHARES TR BARCLAYS 7-10 YR TREAS BD FD RD 07/05 PD 07/09/12				1.12	USD
07/09/12		CASH DIVIDEND RECEIVED HYG	111 SHRS ISHARES TR IBOXX $ HIGH YIELD CORP BD FD RD 07/05 PD 07/09/12				57.49	USD
07/09/12		CASH DIVIDEND RECEIVED MBB	13 SHRS ISHARES TR BARCLAYS MBS BD FD RD 07/05 PD 07/09/12				2.46	USD
07/09/12		CASH DIVIDEND RECEIVED CIU	6 SHRS ISHARES TR BARCLAYS INTER CR BD FD RD 07/05 PD 07/09/12				1.75	USD
07/09/12		CASH DIVIDEND RECEIVED AMPS	20 SHRS ISHARES TR UTILITIES SECTOR BD FD RD 07/05 PD 07/09/12				2.65	USD
07/10/12	07/05/12	PURCHASED DJP	BARCLAYS BK PLC IPATH INDEX LKD TO DOW JONES UBS COMMODITY INDEX TOTAL RETURN AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	12.000	41.4337		-497.20	USD
07/10/12	07/05/12	PURCHASED IAU	ISHARES COMEX GOLD TR ISHARES AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	20.000	15.6600		-313.20	USD
07/10/12	07/05/12	PURCHASED EWZ	ISHARES INC MSCI BRAZIL FREE INDEX FD AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	2.000	52.4993		-105.00	USD
07/10/12	07/05/12	SOLD LQD	ISHARES TR IBOXX USD INVT GRADE CORP BD FD AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-1.000	118.1972		118.20	USD
07/10/12	07/05/12	SOLD IEF	ISHARES TR BARCLAYS 7-10 YR TREAS BD FD AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-1.000	108.3402		108.34	USD
07/10/12	07/05/12	PURCHASED IWN	ISHARES TR RUSSELL 2000 VALUE INDEX FD AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	5.000	71.6697		-358.35	USD
07/10/12	07/05/12	PURCHASED IWO	ISHARES TR RUSSELL 2000 GROWTH INDEX FD AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	4.000	93.3682		-373.47	USD
07/10/12	07/05/12	PURCHASED IYW	ISHARES TR DOW JONES U S TECHNOLOGY SECTOR AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	1[illegible].000	[illegible]878		-1,158.20	USD

ATTACHMENT 4

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Transactions in Date Sequence *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
07/10/12	07/05/12	SOLD IYF	ISHARES TR DOW JONES U S FINL SECTOR INDEX FD AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-19.000	55.4075		1,052.74	USD
07/10/12	07/05/12	SOLD HYG	ISHARES TR IBOXX $ HIGH YIELD CORP BD FD AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-11.000	90.7303		998.03	USD
07/10/12	07/05/12	SOLD MBB	ISHARES TR BARCLAYS MBS BD FD AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-1.000	108.4414		108.44	USD
07/10/12	07/05/12	PURCHASED PSCE	POWERSHARES EXCHANGE-TRADED FD TR II S&P SMALLCAP ENERGY PORT AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	3.000	32.7588		-98.28	USD
07/10/12	07/05/12	SOLD PSCF	POWERSHARES EXCHANGE-TRADED FD TR II S&P SMALLCAP FINANCIALS PORT AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-22.000	30.2914		666.41	USD
07/10/12	07/05/12	SOLD JNK	SPDR SER TR BARCLAYS CAP HIGH YIELD BD ETF AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-27.000	39.2839		1,060.67	USD
07/10/12	07/05/12	PURCHASED XLV	SELECT SECTOR SPDR TR HEALTH CARE AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	5.000	37.8599		-189.30	USD
07/10/12	07/05/12	PURCHASED XLP	SELECT SECTOR SPDR TR CONSUMER STAPLES AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	12.000	34.9488		-419.39	USD
07/10/12	07/05/12	PURCHASED XLY	SELECT SECTOR SPDR TR CONSUMER DISCRETIONARY TRANSN TO 06/24/02 AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	4.000	43.7493		-175.00	USD
07/10/12	07/05/12	PURCHASED XLI	SELECT SECTOR SPDR TR INDL AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	24.000	35.5398		-852.96	USD
07/10/12	07/05/12	PURCHASED VWO	VANGUARD INTL EQUITY INDEX FDS MSCI EMERGING MKTS ETF AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	3.000	40.1149		-120.34	USD
07/10/12	07/05/12	SOLD VNQ	VANGUARD INDEX FDS VANGUARD REIT ETF AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-6.000	66.0910		396.55	USD
07/10/12		FDIC INSURED BANK WITHDRAWAL USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				151.31	USD
07/11/12		CASH DIVIDEND RECEIVED JNK	257 SHRS SPDR SER TR BARCLAYS CAP HIGH YIELD BD ETF RD:07/05 PD:07/11/12				60.56	USD
07/12/12		FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-60.56	USD

ATTACHMENT 4

[redacted]

Statement Period: 07/01/2012 - 07/31/2012

Transactions in Date Sequence *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
07/13/12		FDIC INSURED BANK DEPOSITS INTEREST REINVESTED 250990041	DEUTSCHE BANK INS INTEREST REINVESTED				-0.11	USD
07/13/12		FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED				0.11	USD
Total Value of all Transactions						$0.00	-$84.35	

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period Taxable	Current Period Non Taxable	Year-to-Date Taxable	Year-to-Date Non Taxable
Dividend Income				
Equities	147.19	0.00	1,288.43	0.00
Interest Income				
FDIC Insured Bank Deposits	0.11	0.00	0.74	0.00
Total Dividends, Interest, Income and Expenses	$147.30	$0.00	$1,289.17	$0.00
Distributions				
Long - Term Capital Gain Distributions	0.00	0.00	52.55	0.00
Total Distributions	$0.00	$0.00	$52.55	$0.00

Messages

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).
YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET FUND AND FDIC INSURED BANK RATES AND BANK LISTS." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEBSITE.

Although a money market mutual fund seeks to preserve the value of your investment at $1 per share, it is possible to lose money by investing in a money market mutual fund. Please see the money market mutual fund's prospectus or contact your investment professional for additional information.

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[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

Account Number [redacted]
Statement Period: 08/01/2012 - 08/31/2012

CHARLES J FLEISCHMANN
[redacted]

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	$67,024.46	$63,216.92
Dividends/Interest	120.54	1,462.26
Fees	0.00	-1,106.32
Change in Account Value	887.77	4,459.91
Ending Account Value	$68,032.77	$68,032.77
Estimated Annual Income	$2,252.73	

Your Financial Consultant:
WARD PETTY AND JOE PETTY
(423) 668-5411

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds, and FDIC Deposits[1]	1,200.95	1,205.78	1,326.32	2%
Exchange-Traded Products	62,015.97	65,818.68	66,706.45	98%
Account Total	$63,216.92	$67,024.46	$68,032.77	100%

Asset Allocation percentages are rounded to the nearest whole percentage.

1 **Cash in your account includes FDIC insured bank deposits.**
FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation, up to allowable limits

ATTACHMENT 4

[redacted]

Additional Information

	This Period	Year-to-Date
Securities Bought and Sold	$0.00	-$230.57

Summary of Gains and Losses

	Realized This Period	Realized Year-to-Date	Unrealized
Short-Term Gain/Loss	0.00	1,562.69	1,071.85
Long-Term Gain/Loss	0.00	1,644.63	4,944.99
Net Gain/Loss	**0.00**	**3,207.32**	**6,016.84**

This summary excludes transactions where cost basis information is not available.

Client Service Information

Your Financial Consultant: S38	Contact Information
WARD PETTY AND JOE PETTY 345 FRAZIER SUITE 205 CHATTANOOGA TN 37405-4121	Telephone Number: (423) 668-5411 Fax Number: (423) 668-5412

Investment Objective: MODERATE GROWTH
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

FDIC Insured Bank Deposits

Sweep FDIC Insured Bank Deposits

DEUTSCHE BANK INS DEPOSIT E
Account Number [redacted] Activity Ending: 08/31/12

Date	Activity Type	Description	Amount	Balance
08/01/12	Opening Balance		1,205.78	1,205.78
08/08/12	Deposit	DEPOSIT	67.62	1,273.40
08/10/12	Deposit	DEPOSIT	52.81	1,326.21
08/15/12	Deposit	INTEREST CREDITED	0.11	1,326.32
08/15/12		APY 0.1%		1,326.32
08/16/12		Citizens Bank		1,326.32
08/16/12		A/O 08/16 $1326.32		1,326.32
08/31/12	**Closing Balance**			**$1,326.32**

Total FDIC Insured Bank Deposits **$1,326.32**

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Note: For the period from December 31, 2010 through December 31, 2012 the FDIC provides unlimited insurance coverage of certain non-interest bearing bank accounts. If your account is in such a program it will be stated in your Message section.

ATTACHMENT 4

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

Statement Period: 08/01/2012 - 08/31/2012

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 2.00% of Portfolio									
FDIC Insured Bank Deposits									
DEUTSCHE BANK INS DEPOSIT E									
1,326.320	08/01/12	ETF005005	08/31/12	1,205.78	1,326.32	0.07	0.85	N/A	N/A
Total FDIC Insured Bank Deposits				$1,205.78	$1,326.32	$0.07	$0.85		
Total Cash, Money Funds, and FDIC Deposits				$1,205.78	$1,326.32	$0.07	$0.85		

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products 98.00% of Portfolio								
Exchange-Traded Products								
BARCLAYS BK PLC IPATH INDEX LKD TO DOW JONES UBS COMMODITY INDEX TOTAL RETURN CUSIP: 06738C778 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* DJP						
Total Noncovered 62.000	09/29/11 *	43.1100	2,672.82	43.5300	2,698.86	26.04		
Total Covered 15.000	Multiple†	41.6830	625.24	43.5300	652.95	27.71		
77.000	Total		$3,298.06		$3,351.81	$53.75	$0.00	
ISHARES COMEX GOLD TR ISHARES CUSIP: 464285105 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* IAU						
Total Noncovered 58.000	Multiple*,†	15.8180	917.45	16.4800	955.84	38.39		
Total Covered 77.000	Multiple†	15.9190	1,225.76	16.4800	1,268.96	43.20		
135.000	Total		$2,143.2[illegible]		$2,2[illegible]4.80	$81.59	$0.00	

ATTACHMENT 4

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
ISHARES INC MSCI BRAZIL FREE INDEX FD CUSIP: 464286400 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* EWZ						
Total Covered 12.000	Multiple[Y]	62.5000	750.00	52.7200	632.64	-117.36	17.75	2.80%
ISHARES TR IBOXX USD INVT GRADE CORP BD FD CUSIP: 464287242 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* LQD						
Total Noncovered 11.000	09/29/11 *	112.1000	1,233.10	120.8300	1,329.13	96.03	52.36	3.93%
Total Covered 15.000	01/04/12	113.5900	1,703.85	120.8300	1,812.45	108.60	71.40	3.93%
26.000	**Total**		**$2,936.95**		**$3,141.58**	**$204.63**	**$123.76**	
ISHARES TR BARCLAYS 7-10 YR TREAS BD FD CUSIP: 464287440 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* IEF						
6.000	09/29/11 *	104.2300	625.38	108.9900	653.94	28.56	13.43	2.05%
ISHARES TR RUSSELL 2000 VALUE INDEX FD CUSIP: 464287630 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* IWN						
Total Covered 23.000	Multiple[Y]	72.8750	1,676.13	71.9100	1,653.93	-22.20	33.65	2.03%
ISHARES TR RUSSELL 2000 GROWTH INDEX FD CUSIP: 464287648 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* IWO						
Total Covered 17.000	Multiple[Y]	95.2470	1,619.20	92.9900	1,580.83	-38.37	12.81	0.81%
ISHARES TR DOW JONES U S UTILS SECTOR INDEX FD CUSIP: 464287697 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* IDU						
8.000	10/04/11 *	77.8750	623.00	89.0000	712.00	89.00	23.75	3.33%
ISHARES TR DOW JONES U S TECHNOLOGY SECTOR CUSIP: 464287721 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* IYW						

ATTACHMENT 4

[redacted]

Statement Period: 08/01/2012 - 08/31/2012

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
ISHARES TR DOW JONES U S TECHNOLOGY *(continued)*								
Total Covered 52.000	Multiple'	67.3080	3,500.01	75.3900	3,920.28	420.27	22.64	0.57%
ISHARES TR DOW JONES U S FINL SECTOR INDEX FD CUSIP: 464287788 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* IYF						
Total Covered 34.000	Multiple'	54.2240	1,843.62	56.9400	1,935.96	92.34	31.57	1.63%
ISHARES TR IBOXX $ HIGH YIELD CORP BD FD CUSIP: 464288513 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* HYG						
Total Noncovered 76.000	Multiple*,'	82.3800	6,260.88	92.3300	7,017.08	756.20	484.40	6.90%
Total Covered 24.000	Multiple'	89.6390	2,151.34	92.3300	2,215.92	64.58	152.97	6.90%
100.000	**Total**		**$8,412.22**		**$9,233.00**	**$820.78**	**$637.37**	
ISHARES TR BARCLAYS MBS BD FD CUSIP: 464288588 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* MBB						
Total Noncovered 9.000	09/29/11 *	108.1100	972.99	109.0300	981.27	8.28	25.94	2.64%
Total Covered 3.000	01/04/12	108.0400	324.12	109.0300	327.09	2.97	8.65	2.64%
12.000	**Total**		**$1,297.11**		**$1,308.36**	**$11.25**	**$34.59**	
ISHARES TR BARCLAYS INTER CR BD FD CUSIP: 464288638 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* CIU						
6.000	04/03/12	108.4380	650.63	111.1200	666.72	16.09	22.01	3.30%

ATTACHMENT 4

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
ISHARES TR UTILITIES SECTOR BD FD		*Security Identifier:* AMPS						
CUSIP: 46429B184								
Dividend Option: Cash; Capital Gains Option: Cash								
20.000	04/03/12	49.6110	992.22	52.5081	1,050.16	57.94	33.91	3.22%
POWERSHARES EXCHANGE-TRADED FD		*Security Identifier:* PSCE						
TR II S&P SMALLCAP ENERGY PORT								
CUSIP: 73937B704								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Covered 20.000	Multiple[Y]	36.6130	732.25	32.7001	654.00	-78.25	0.44	0.06%
POWERSHARES EXCHANGE-TRADED FD		*Security Identifier:* PSCH						
TR II S&P SMALLCAP HEALTH CARE PORT								
CUSIP: 73937B886								
Dividend Option: Cash; Capital Gains Option: Cash								
18.000	04/03/12	35.5630	640.14	36.2300	652.14	12.00	5.74	0.88%
SPDR SER TR BARCLAYS CAP HIGH YIELD BD ETF		*Security Identifier:* JNK						
CUSIP: 78464A417								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Noncovered 172.000	Multiple*,Y	36.1080	6,210.61	40.1400	6,904.08	693.47	481.98	6.98%
Total Covered 58.000	Multiple[Y]	38.6880	2,243.93	40.1400	2,328.12	84.19	162.53	6.98%
230.000	**Total**		**$8,454.54**		**$9,232.20**	**$777.66**	**$644.51**	
SELECT SECTOR SPDR TR MATLS		*Security Identifier:* XLB						
CUSIP: 81369Y100								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Noncovered 18.000	Multiple*,Y	31.1710	3,561.08	35.6500	641.70	80.62	13.22	2.06%
		733 day(s) added to your holding period as a result of a wash sale.						
SELECT SECTOR SPDR TR HEALTH CARE		*Security Identifier:* XLV						
CUSIP: 81369Y209								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Noncovered 72.000	Multiple*,Y	32.5930	2,332.31	38.8400	2,796.48	464.17	54.05	1.93%
Total Covered 5.000	07/05/12	37.8600	189.30	38.8400	194.20	4.90	3.76	1.93%
77.000	**Total**		**$2,521.61**		**$2,990.68**	**$469.07**	**$57.81**	

ATTACHMENT 4

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Statement Period: 08/01/2012 - 08/31/2012

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
SELECT SECTOR SPDR TR CONSUMER STAPLES		*Security Identifier: XLP*						
CUSIP: 81369Y308								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Noncovered 28.000	Multiple*,Y	29.0280	812.78	35.4600	992.88	180.10	25.71	2.59%
Total Covered 12.000	07/05/12	34.9490	419.39	35.4600	425.52	6.13	11.02	2.59%
40.000	**Total**		**$1,232.17**		**$1,418.40**	**$186.23**	**$36.73**	
SELECT SECTOR SPDR TR CONSUMER DISCRETIONARY TRANSN TO 06/24/02		*Security Identifier: XLY*						
CUSIP: 81369Y407								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Noncovered 38.000	Multiple*,Y	34.8990	[13]1,326.18	45.5500	1,730.90	404.72	24.16	1.39%
Total Covered 9.000	Multiple[Y]	44.4500	400.05	45.5500	409.95	9.90	5.73	1.39%
47.000	**Total**		**$1,726.23**		**$2,140.85**	**$414.62**	**$29.89**	
SELECT SECTOR SPDR TR ENERGY		*Security Identifier: XLE*						
CUSIP: 81369Y506								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Noncovered 39.000	Multiple*,Y	61.1340	[13]2,384.23	71.5300	2,789.67	405.44	44.36	1.59%
SELECT SECTOR SPDR TR INDL		*Security Identifier: XLI*						
CUSIP: 81369Y704								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Noncovered 43.000	Multiple*,Y	29.0960	[13]1,251.13	36.3600	1,563.48	312.35	31.65	2.02%
Total Covered 30.000	Multiple[Y]	35.8860	1,076.57	36.3600	1,090.80	14.23	22.08	2.02%
73.000	**Total**		**$2,327.70**		**$2,654.28**	**$326.58**	**$53.73**	

ATTACHMENT 4

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
VANGUARD INTL EQUITY INDEX FDS MSCI EMERGING MKTS ETF CUSIP: 922042858 Dividend Option: Cash; Capital Gains Option: Cash			*Security Identifier:* VWO					
Total Covered 33.000	Multiple⁷	43.2880	1,428.49	40.1080	1,323.56	-104.93	29.89	2.25%
VANGUARD INDEX FDS VANGUARD REIT ETF CUSIP: 922908553 Dividend Option: Cash; Capital Gains Option: Cash			*Security Identifier:* VNQ					
Total Noncovered 84.000	Multiple*,7	52.2750	4,391.10	66.7300	5,605.32	1,214.22	181.44	3.23%
Total Covered 68.000	01/04/12	57.6810	3,922.33	66.7300	4,537.64	615.31	146.88	3.23%
152.000	Total		$8,313.43		$10,142.96	$1,829.53	$328.32	
Total Exchange-Traded Products			$60,689.61		$66,706.45	$6,016.84	$2,251.88	
Total Exchange-Traded Products			$60,689.61		$66,706.45	$6,016.84	$2,251.88	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$62,015.93	$68,032.77	$6,016.84	$0.00	$2,252.73

* Noncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally *not subject to the new cost basis reporting* rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L.110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered " noncovered," under the new cost basis reporting rules, and marked or denoted as such. *All other securities in* this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the *new cost basis reporting* rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis *reporting rules and* the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after *January* 1, 2012
- Other securities, principally *debt securities and* options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

3 Either all or a portion of the cost basis of this security has been provided to us by you or your introducing firm and Pershing makes no representation as to the accuracy of this information.

13 Either all or a portion of the cost basis of this security has been provided to us by the delivering firm or transferring agent and Pershing makes no representation as to the accuracy of this information.

7 This line is an aggregation of shares in this position representing shares acquired at different times and/or dates. This summary is a sum of these shares and does not represent cost basis calculated using the average cost accounting method.

‡ The cost basis and holding period has been adjusted for a portion of this position due to a wash sale event.

ATTACHMENT 4

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Statement Period: 08/01/2012 - 08/31/2012

Portfolio Holdings *(continued)*

Disclosures and Other Information

Pricing - This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices have been obtained from quotation services, which we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Exchange-Traded Products - Exchange-traded products may include any combination of exchange-traded funds, exchange-traded notes, and other exchange-traded products.

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date	Debits	This Period	Year-to-Date
Securities			**Securities**		
Securities Sold	0.00	33,236.49	Securities Bought	0.00	-33,467.06
Total Securities	$0.00	$33,236.49	**Total Securities**	$0.00	-$33,467.06

ATTACHMENT 4

[redacted]

Activity Summary *(continued)*

Credits	This Period	Year-to-Date
Additional Transactions		
Fees	0.00	0.00
Distributions	0.00	52.55
Dividends and Interest	120.54	1,409.71
FDIC Insured Bank Deposits	0.00	1,333.71
Total Additional Transactions	**$120.54**	**$2,795.97**
Total Credits	**$120.54**	**$36,032.46**

Debits	This Period	Year-to-Date
Additional Transactions		
Fees	0.00	-1,106.32
Distributions	0.00	0.00
Dividends and Interest	0.00	0.00
FDIC Insured Bank Deposits	-120.54	-1,520.07
Total Additional Transactions	**-$120.54**	**-$2,626.39**
Total Debits	**-$120.54**	**-$36,093.45**

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
08/07/12	CASH DIVIDEND RECEIVED LQD	26 SHRS ISHARES TR IBOXX USD INVT GRADE CORP BD FD RD 08/03 PD 08/07/12				10.00	USD
08/07/12	CASH DIVIDEND RECEIVED IEF	6 SHRS ISHARES TR BARCLAYS 7-10 YR TREAS BD FD RD 08/03 PD 08/07/12				0.97	USD
08/07/12	CASH DIVIDEND RECEIVED HYG	100 SHRS ISHARES TR IBOXX $ HIGH YIELD CORP BD FD RD 08/03 PD 08/07/12				50.47	USD
08/07/12	CASH DIVIDEND RECEIVED MBB	12 SHRS ISHARES TR BARCLAYS MBS BD FD RD 08/03 PD 08/07/12				1.85	USD
08/07/12	CASH DIVIDEND RECEIVED CIU	6 SHRS ISHARES TR BARCLAYS INTER CR BD FD RD 08/03 PD 08/07/12				1.71	USD
08/07/12	CASH DIVIDEND RECEIVED AMPS	20 SHRS ISHARES TR UTILITIES SECTOR BD FD RD 08/03 PD 08/07/12				2.62	USD
08/08/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-67.62	USD
08/09/12	CASH DIVIDEND RECEIVED JNK	230 SHRS SPDR SER TR BARCLAYS CAP HIGH YIELD BD ETF RD 08/03 PD 08/09/12				52.81	USD
08/10/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-52.81	USD
08/15/12	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED 250990041	DEUTSCHE BANK INS INTEREST REINVESTED				-0.11	USD
08/15/12	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED				0.11	USD
Total Value of all Transactions					**$0.00**	**$0.00**	

The price and quantity displayed may have been rounded.

ATTACHMENT 4

[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS *for* GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

Statement Period: 08/01/2012 - 08/31/2012

Income and Expense Summary

	Current Period Taxable	Current Period Non Taxable	Year-to-Date Taxable	Year-to-Date Non Taxable
Dividend Income				
Equities	120.43	0.00	1,408.86	0.00
Interest Income				
FDIC Insured Bank Deposits	*0.11*	*0.00*	0.85	0.00
Total Dividends, Interest, Income and Expenses	**$120.54**	**$0.00**	**$1,409.71**	**$0.00**
Distributions				
Long - Term Capital Gain Distributions	0.00	0.00	52.55	0.00
Total Distributions	**$0.00**	**$0.00**	**$52.55**	**$0.00**

Messages

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).
YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET FUND AND FDIC INSURED BANK RATES AND BANK LISTS." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEBSITE.

Although a money market mutual fund seeks to preserve the value of your investment at $1 per share, it is possible to lose money by investing in a money market mutual fund. Please see the money market mutual fund's prospectus or contact your investment professional for additional information.

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If you currently have online access to your accounts through NetExchange Client, you can sign up for e-delivery of your statements and trade confirmations, as well as Mutual Fund, ETF and UIT prospectus, by *clicking on the Go Paperless button when you log in. If you do not have on-line access,* please contact your financial consultant and the process can be expedited for you.

If you don't desire on-line access to your accounts, you can still receive your confirmations and statements via email by logging on to www.myedocumentsuite.com to register and choose electronic delivery, or contact your financial consultant for assistance.

Make the move to paperless today!

Benjamin F Edwards & Co. is updating its client profile information to better serve your needs and to comply with new regulatory requirements (FINRA Rule 2111). As a result, your financial consultant may request up-to-date information regarding your goals, objectives, and financial information.

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MESSAGE REGARDING ROLLED-UP TAX LOTS

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

CHARLES J FLEISCHMANN
[redacted]

Your Financial Consultant:
WARD PETTY AND JOE PETTY
(423) 668-5411

Account Number: [redacted]
Statement Period: 09/01/2012 - 09/30/2012

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	$68,032.77	$63,216.92
Dividends/Interest	228.59	1,690.85
Fees	0.00	-1,106.32
Change in Account Value	485.01	4,944.92
Ending Account Value	$68,746.37	$68,746.37
Estimated Annual Income	$2,285.70	

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds, and FDIC Deposits[1]	1,200.95	1,326.32	1,554.91	2%
Exchange-Traded Products	62,015.97	66,706.45	67,191.46	98%
Account Total	**$63,216.92**	**$68,032.77**	**$68,746.37**	**100%**

Asset Allocation percentages are rounded to the nearest whole percentage.

1 Cash in your account includes FDIC insured bank deposits.
FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation, up to allowable limits

ATTACHMENT 4

[redacted]

Additional Information

	This Period	Year-to-Date
Securities Bought and Sold	$0.00	-$230.57

Summary of Gains and Losses

	Realized This Period	Realized Year-to-Date	Unrealized
Short-Term Gain/Loss	0.00	1,562.69	832.29
Long-Term Gain/Loss	0.00	1,644.63	5,669.56
Net Gain/Loss	**0.00**	**3,207.32**	**6,501.85**

This summary excludes transactions where cost basis information is not available.

Client Service Information

Your Financial Consultant: S38

WARD PETTY AND JOE PETTY
345 FRAZIER
SUITE 205
CHATTANOOGA TN 37405-4121

Contact Information
Telephone Number: (423) 668-5411
Fax Number: (423) 668-5412

Investment Objective: MODERATE GROWTH
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Default Tax Lot Disposition Method for Mutual Funds : *FIRST IN FIRST OUT*
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

FDIC Insured Bank Deposits

Sweep FDIC Insured Bank Deposits

DEUTSCHE BANK INS DEPOSIT E
Account Number: [redacted] Activity Ending: 09/28/12

Date	Activity Type	Description	Amount	Balance
09/01/12	Opening Balance		1,326.32	1,326.32
09/11/12	Deposit	DEPOSIT	67.52	1,393.84
09/13/12	Deposit	DEPOSIT	51.23	1,445.07
09/14/12	Deposit	INTEREST CREDITED	0.12	1,445.19
09/14/12		APY 0.1%		1,445.19
09/17/12		Citizens Bank		1,445.19
09/17/12		A/O 09/17 $1445.19		1,445.19
09/28/12	**Closing Balance**			**$1,445.19**

Total FDIC Insured Bank Deposits **$1,445.19**

The FDIC Insured Bank Deposits are not securities and are not covered by the *Securities Investor Protection Corporation* (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance *Corporation* (FDIC) up to $250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Note: For the period from December 31, 2010 through December 31, 2012 the FDIC provides unlimited insurance coverage on certain non-interest bearing bank accounts. If your account is in such a program it will be stated in your Message section.

ATTACHMENT 4

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Statement Period: 09/01/2012 - 09/30/2012

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 2.00% of Portfolio									
Cash Balance				0.00	109.72				
FDIC Insured Bank Deposits									
DEUTSCHE BANK INS DEPOSIT E									
1,445.190	09/01/12	ETF005005	09/28/12	1,326.32	1,445.19	0.05	0.97	N/A	N/A
Total FDIC Insured Bank Deposits				$1,326.32	$1,445.19	$0.05	$0.97		
Total Cash, Money Funds, and FDIC Deposits				$1,326.32	$1,554.91	$0.05	$0.97		

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products 98.00% of Portfolio								
Exchange-Traded Products								
BARCLAYS BK PLC IPATH INDEX LKD TO DOW JONES UBS COMMODITY INDEX TOTAL RETURN	*Security Identifier:* DJP							
CUSIP: 06738C778								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Noncovered 62.000	09/29/11 *	43.1100	2,672.82	44.2100	2,741.02	68.20		
Total Covered 15.000	Multiple ¥	41.6830	625.24	44.2100	663.15	37.91		
77.000	Total		$3,298.06		$3,404.17	$106.11	$0.00	
ISHARES COMEX GOLD TR ISHARES	*Security Identifier:* IAU							
CUSIP: 464285105								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Noncovered 58.000	Multiple *,¥	15.8180	917.45	17.2700	1,001.66	84.21		
Total Covered 77.000	Multiple ¥	15.9190	1,225.7[illegible]	17.2700	1,3[illegible]9.79	104.[illegible]3		
135.000	Total		$2,143.2[illegible]		$2,3[illegible]1.45	$188.24	$0.00	

ATTACHMENT 4

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
ISHARES INC MSCI BRAZIL FREE INDEX FD CUSIP: 464286400 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* EWZ						
Total Covered 12.000	Multiple¥	62.5000	750.00	54.0600	648.72	-101.28	17.75	2.73%
ISHARES TR IBOXX USD INVT GRADE CORP BD FD CUSIP: 464287242 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* LQD						
Total Noncovered 11.000	09/29/11 ˚	112.1000	1,233.10	121.7700	1,339.47	106.37	52.05	3.88%
Total Covered 15.000	01/04/12	113.5900	1,703.85	121.7700	1,826.55	122.70	70.98	3.88%
26.000	**Total**		**$2,936.95**		**$3,166.02**	**$229.07**	**$123.03**	
ISHARES TR BARCLAYS 7-10 YR TREAS BD FD CUSIP: 464287440 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* IEF						
6.000	09/29/11 ˚	104.2300	625.38	108.4600	650.76	25.38	12.96	1.99%
ISHARES TR RUSSELL 2000 VALUE INDEX FD CUSIP: 464287630 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* IWN						
Total Covered 23.000	Multiple¥	72.8750	1,676.13	73.9400	1,700.62	24.49	35.52	2.08%
ISHARES TR RUSSELL 2000 GROWTH INDEX FD CUSIP: 464287648 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* IWO						
Total Covered 17.000	Multiple¥	95.2470	1,619.20	95.6100	1,625.37	6.17	14.19	0.87%
ISHARES TR DOW JONES U S UTILS SECTOR INDEX FD CUSIP: 464287697 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* IDU						
8.000	10/04/11 ˚	77.8750	623.00	89.5000	716.00	93.00	24.13	3.37%
ISHARES TR DOW JONES U S TECHNOLOGY SECTOR CUSIP: 464287721 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* IYW						

ATTACHMENT 4

Account Number: [redacted]
CHARLES J FLEISCHMANN

[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

Statement Period: 09/01/2012 - 09/30/2012

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
ISHARES TR DOW JONES U S TECHNOLOGY *(continued)*								
Total Covered	Multiple[Y]	67.3080	3,500.01	75.8700	3,945.24	445.23	26.15	0.66%
52.000								
ISHARES TR DOW JONES U S FINL SECTOR INDEX FD		*Security Identifier:* IYF						
CUSIP: 464287788								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Covered	Multiple[Y]	54.2240	1,843.62	58.3100	1,982.54	138.92	32.62	1.64%
34.000								
ISHARES TR IBOXX $ HIGH YIELD CORP BD FD		*Security Identifier:* HYG						
CUSIP: 464288513								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Noncovered	Multiple*,Y	82.3800	6,260.88	92.3000	7,014.80	753.92	480.22	6.84%
76.000								
Total Covered	Multiple[Y]	89.6390	2,151.34	92.3000	2,215.20	63.86	151.65	6.84%
24.000								
100.000	Total		$8,412.22		$9,230.00	$817.78	$631.87	
ISHARES TR BARCLAYS MBS BD FD		*Security Identifier:* MBB						
CUSIP: 464288588								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Noncovered	09/29/11 *	108.1100	972.99	109.1600	982.44	9.45	24.49	2.49%
9.000								
Total Covered	01/04/12	108.0400	324.12	109.1600	327.48	3.36	8.16	2.49%
3.000								
12.000	Total		$1,297.11		$1,309.92	$12.81	$32.65	
ISHARES TR BARCLAYS INTER CR BD FD		*Security Identifier:* CIU						
CUSIP: 464288638								
Dividend Option: Cash; Capital Gains Option: Cash								
6.000	04/03/12	108.4380	650.63	111.4300	668.58	17.95	21.81	3.26%

ATTACHMENT 4

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
ISHARES TR UTILITIES SECTOR BD FD CUSIP: 46429B184 Dividend Option: Cash; Capital Gains Option: Cash			*Security Identifier:* AMPS					
20.000	04/03/12	49.6110	992.22	52.4750	1,049.50	57.28	33.54	3.19%
POWERSHARES EXCHANGE-TRADED FD TR II S&P SMALLCAP ENERGY PORT CUSIP: 73937B704 Dividend Option: Cash; Capital Gains Option: Cash			*Security Identifier:* PSCE					
Total Covered 20.000	Multiple[Y]	36.6130	732.25	34.3500	687.00	-45.25	0.44	0.06%
POWERSHARES EXCHANGE-TRADED FD TR II S&P SMALLCAP HEALTH CARE PORT CUSIP: 73937B886 Dividend Option: Cash; Capital Gains Option: Cash			*Security Identifier:* PSCH					
18.000	04/03/12	35.5630	640.14	36.7100	660.78	20.64	5.74	0.86%
SPDR SER TR BARCLAYS CAP HIGH YIELD BD ETF CUSIP: 78464A417 Dividend Option: Cash; Capital Gains Option: Cash			*Security Identifier:* JNK					
Total Noncovered 172.000	Multiple*,Y	36.1080	6,210.61	40.2100	6,916.12	705.51	481.98	6.96%
Total Covered 58.000	Multiple[Y]	38.6880	2,243.93	40.2100	2,332.18	88.25	162.53	6.96%
230.000	**Total**		**$8,454.54**		**$9,248.30**	**$793.76**	**$644.51**	
SELECT SECTOR SPDR TR MATLS CUSIP: 81369Y100 Dividend Option: Cash; Capital Gains Option: Cash			*Security Identifier:* XLB					
Total Noncovered 18.000	Multiple*,Y	31.1710	3,561.08	36.8000	662.40	101.32	13.47	2.03%
		733 day(s) added to your holding period as a result of a wash sale.						
SELECT SECTOR SPDR TR HEALTH CARE CUSIP: 81369Y209 Dividend Option: Cash; Capital Gains Option: Cash			*Security Identifier:* XLV					
Total Noncovered 72.000	Multiple*,Y	32.593[illegible]	[illegible]332.31	[illegible]0.1200	[illegible]2,8[illegible]	55[illegible]	55.58	1.92%
Total Covered 5.000	07/05/12	[illegible]7.8600	1[illegible]9.3[illegible]	[illegible]0.1200	2[illegible]0.60	11[illegible]0	3.86	1.92%
77.000	**Total**		**$2,521.61**		**$3,089.24**	**$567.63**	**$59.44**	

ATTACHMENT 4

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Statement Period: 09/01/2012 - 09/30/2012

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
SELECT SECTOR SPDR TR CONSUMER STAPLES CUSIP: 81369Y308 Dividend Option: Cash; Capital Gains Option: Cash			*Security Identifier:* XLP					
Total Noncovered 28.000	Multiple*,Y	29.0280	812.78	35.8250	1,003.11	190.33	26.71	2.66%
Total Covered 12.000	07/05/12	34.9490	419.39	35.8250	429.89	10.50	11.45	2.66%
40.000	**Total**		**$1,232.17**		**$1,433.00**	**$200.83**	**$38.16**	
SELECT SECTOR SPDR TR CONSUMER DISCRETIONARY TRANSN TO 06/24/02 CUSIP: 81369Y407 Dividend Option: Cash; Capital Gains Option: Cash			*Security Identifier:* XLY					
Total Noncovered 38.000	Multiple*,Y	34.8990	[13]1,326.18	46.7900	1,778.02	451.84	25.62	1.44%
Total Covered 9.000	MultipleY	44.4500	400.05	46.7900	421.11	21.06	6.06	1.44%
47.000	**Total**		**$1,726.23**		**$2,199.13**	**$472.90**	**$31.68**	
SELECT SECTOR SPDR TR ENERGY CUSIP: 81369Y506 Dividend Option: Cash; Capital Gains Option: Cash			*Security Identifier:* XLE					
Total Noncovered 39.000	Multiple*,Y	61.1340	[13]2,384.23	73.4350	2,863.97	479.74	47.06	1.64%
SELECT SECTOR SPDR TR INDL CUSIP: 81369Y704 Dividend Option: Cash; Capital Gains Option: Cash			*Security Identifier:* XLI					
Total Noncovered 43.000	Multiple*,Y	29.0960	[13]1,251.13	36.5300	1,570.79	319.66	34.30	2.18%
Total Covered 30.000	MultipleY	35.8860	1,076.5[illegible]	[illegible]	1,0[illegible]5.90	19.33	23.92	2.18%
73.000	**Total**		**$2,327.70**		**$2,6[illegible]**	**$338.99**	**$58.22**	

ATTACHMENT 4

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*									
Exchange-Traded Products *(continued)*									
VANGUARD INTL EQUITY INDEX FDS MSCI EMERGING MKTS ETF			*Security Identifier:* VWO						
CUSIP: 922042858									
Dividend Option: Cash; Capital Gains Option: Cash									
Total Covered 33.000	Multiple[ϒ]	43.2880	1,428.49	41.7200	1,376.76	-51.73		47.22	3.43%
VANGUARD INDEX FDS VANGUARD REIT ETF			*Security Identifier:* VNQ						
CUSIP: 922908553									
Dividend Option: Cash; Capital Gains Option: Cash									
Total Noncovered 84.000	Multiple[*,ϒ]	52.2750	4,391.10	64.9691	5,457.41	1,066.31		183.78	3.36%
Total Covered 68.000	01/04/12	57.6810	3,922.33	64.9691	4,417.89	495.56		148.79	3.36%
152.000	Total		$8,313.43		$9,875.30	$1,561.87		$332.57	
Total Exchange-Traded Products			$60,689.61		$67,191.46	$6,501.85		$2,284.73	
Total Exchange-Traded Products			$60,689.61		$67,191.46	$6,501.85		$2,284.73	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$62,244.52	$68,746.37	$6,501.85	$0.00	$2,285.70

* Noncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L.110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered " noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

[3] Either all or a portion of the cost basis of this security has been provided to us by you or your introducing firm and Pershing makes no representation as to the accuracy of this information.

[13] Either all or a portion of the cost basis of this security has been provided to us by the delivering firm or transferring agent and Pershing makes no representation as to the accuracy of this information.

[ϒ] This line is an aggregation of shares in this position, representing shares acquired at different times and/or dates. This summary is a sum of these shares and does not represent cost basis calculated using the average cost accounting method.

[‡] The cost basis and holding period has been adjusted for a portion of this position due to a wash sale event.

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INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
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[redacted]

Statement Period: 09/01/2012 - 09/30/2012

Portfolio Holdings *(continued)*

Disclosures and Other Information

Pricing - This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. *The market prices have been obtained from quotation services*, which we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to *www.pershing.com/business_continuity.html* for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Exchange-Traded Products - Exchange-traded products may include any combination of exchange-traded funds, exchange-traded notes, and other exchange-traded products.

Cash Not Yet Received

Security	Record Date	Payable Date	Quantity Held	Rate	Amount of Payment	Dividend Option
Dividends						
ISHARES TR DOW JONES U S UTILS SECTOR INDEX FD	09/27/12	10/01/12	8.000	0.792158	6.34	Cash

ATTACHMENT 4

B0083863CSF30010 PAR-02 ROLL

Account Number [redacted]
CHARLES J FLEISCHMANN

[redacted]

Cash Not Yet Received *(continued)*

Security	Record Date	Payable Date	Quantity Held	Rate	Amount of Payment	Dividend Option
Dividends *(continued)*						
ISHARES TR DOW JONES U S TECHNOLOGY SECTOR	09/27/12	10/01/12	52.000	0.168697	8.77	Cash
ISHARES TR DOW JONES U S FINL SECTOR INDEX FD	09/27/12	10/01/12	34.000	0.239393	8.14	Cash
SELECT SECTOR SPDR TR MATLS	09/25/12	10/03/12	18.000	0.197130	3.55	Cash
SELECT SECTOR SPDR TR HEALTH CARE	09/25/12	10/03/12	77.000	0.198500	15.28	Cash
SELECT SECTOR SPDR TR CONSUMER STAPLES	09/25/12	10/03/12	40.000	0.254910	10.20	Cash
SELECT SECTOR SPDR TR CONSUMER DISCRETIONARY	09/25/12	10/03/12	47.000	0.165780	7.79	Cash
SELECT SECTOR SPDR TR ENERGY	09/25/12	10/03/12	39.000	0.333690	13.01	Cash
SELECT SECTOR SPDR TR INDL	09/25/12	10/03/12	73.000	0.239600	17.49	Cash
Total Cash Not Yet Received					**$90.57**	

The above information has been obtained from sources we believe to be reliable. All items are subject to receipt. We make no representation as to accuracy or completeness. Cash Not Yet Received is not reflected as an asset in your account until it is actually received.

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Securities		
Securities Sold	0.00	33,236.49
Total Securities	**$0.00**	**$33,236.49**
Additional Transactions		
Fees	0.00	0.00
Distributions	0.00	52.55
Dividends and Interest	228.59	1,638.30
FDIC Insured Bank Deposits	0.00	1,333.71
Total Additional Transactions	**$228.59**	**$3,024.56**
Total Credits	**$228.59**	**$36,261.05**

Debits	This Period	Year-to-Date
Securities		
Securities Bought	0.00	-33,467.06
Total Securities	**$0.00**	**-$33,467.06**
Additional Transactions		
Fees	0.00	-1,106.32
Distributions	0.00	0.00
Dividends and Interest	0.00	0.00
FDIC Insured Bank Deposits	-118.87	-1,638.94
Total Additional Transactions	**-$118.87**	**-$2,745.26**
Total Debits	**-$118.87**	**-$36,212.32**

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
09/10/12	CASH DIVIDEND RECEIVED LQD	26 SHRS ISHARES TR IBOXX USD INVT GRADE CORP BD FD RD 09/06 PD 09/10/12				10.00	USD
09/10/12	CASH DIVIDEND RECEIVED IEF	[illegible] SHRS ISHARES TR BARCLAYS 7-10 YR TREAS BD FD RD 09/06 PD 09/10/12				0.90	USD
09/10/12	CASH DIVIDEND RECEIVED HYG	100 SHRS ISHARES TR IBOXX $ HIGH YIELD CORP BD FD RD 09/06 PD 09/10/12				50.83	USD

ATTACHMENT 4

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Statement Period: 09/01/2012 - 09/30/2012

Transactions in Date Sequence *(continued)*

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
09/10/12	CASH DIVIDEND RECEIVED MBB	12 SHRS ISHARES TR BARCLAYS MBS BD FD RD 09/06 PD 09/10/12				1.44	USD
09/10/12	CASH DIVIDEND RECEIVED CIU	6 SHRS ISHARES TR BARCLAYS INTER CR BD FD RD 09/06 PD 09/10/12				1.71	USD
09/10/12	CASH DIVIDEND RECEIVED AMPS	20 SHRS ISHARES TR UTILITIES SECTOR BD FD RD 09/06 PD 09/10/12				2.64	USD
09/11/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-67.52	USD
09/12/12	CASH DIVIDEND RECEIVED JNK	230 SHRS SPDR SER TR BARCLAYS CAP HIGH YIELD BD ETF RD 09/06 PD 09/12/12				51.23	USD
09/13/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-51.23	USD
09/14/12	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED 250990041	DEUTSCHE BANK INS INTEREST REINVESTED				-0.12	USD
09/14/12	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED				0.12	USD
09/28/12	CASH DIVIDEND RECEIVED IWN	23 SHRS ISHARES TR RUSSELL 2000 VALUE INDEX FD RD 09/26 PD 09/28/12				8.47	USD
09/28/12	CASH DIVIDEND RECEIVED IWO	17 SHRS ISHARES TR RUSSELL 2000 GROWTH INDEX FD RD 09/26 PD 09/28/12				4.12	USD
09/28/12	CASH DIVIDEND RECEIVED VWO	33 SHRS VANGUARD INTL EQUITY INDEX FDS MSCI EMERGING MKTS ETF RD 09/26 PD 09/28/12				17.33	USD
09/28/12	CASH DIVIDEND RECEIVED VNQ	152 SHRS VANGUARD INDEX FDS VANGUARD REIT ETF RD 09/26 PD 09/28/12				79.80	USD
Total Value of all Transactions					$0.00	$109.72	

The price and quantity displayed may have been rounded.

ATTACHMENT 4

[redacted]

Income and Expense Summary

	Current Period Taxable	Current Period Non Taxable	Year-to-Date Taxable	Year-to-Date Non Taxable
Dividend Income				
Equities	228.47	0.00	1,637.33	0.00
Interest Income				
FDIC Insured Bank Deposits	0.12	0.00	0.97	0.00
Total Dividends, Interest, Income and Expenses	**$228.59**	**$0.00**	**$1,638.30**	**$0.00**
Distributions				
Long - Term Capital Gain Distributions	0.00	0.00	52.55	0.00
Total Distributions	**$0.00**	**$0.00**	**$52.55**	**$0.00**

Messages

Pursuant to The Securities Exchange Act of 1934, Pershing LLC (Pershing),a BNY Mellon company, provides individual investors with certain financial information on a semi-annual basis.

Pershing's June Statement of Financial Condition is now available. On June 30, 2012, Pershing's net capital of $1.5 billion was 12.9% of aggregate debit balances and exceeded the minimum requirements by $1.3 billion.

Pershing is also required to provide the most recent financial information as of this statement mailing. In accordance with this requirement, note that on July 31, 2012, Pershing's net capital of $1.5 billion was 13.83% of aggregate debit balances and exceeded the minimum requirement by $1.3 billion.

A copy of the June 30, 2012, Statement of Financial Condition is available at www.pershing.com/statement_of_financial_condition.html. You may also request a free, printed copy by calling (888) 860-8510 or (201) 413-4200, option #5.

If you have questions, contact your Account Manager or contact your Customer Service team and select option 5 for General Customer Service.

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).
YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET FUND AND FDIC INSURED BANK RATES AND BANK LISTS." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEBSITE.

Although a money market mutual fund seeks to preserve the value of your investment at $1 per share, it is possible to lose money by investing in a money market mutual fund. Please see the money market mutual fund's prospectus or contact your investment professional for additional information.

Benjamin F. Edwards & Co. is updating its client profile information to better serve your needs and to comply with new regulatory requirements (FINRA Rule 2111). As a result, your financial consultant may request up-to-date information regarding your goals, objectives and financial information.

Go Paperless!

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If you currently have online access to your accounts through NetExchange Client, you can sign up for e-delivery of your statements and trade confirmations, as well as Mutual Fund, ETF and UIT prospectus, by clicking on the Go Paperless button when you log in. If you do not have on-line access, please contact your financial consultant and the process can be expedited for you.

If you don't desire on-line access to your accounts, you can still receive your confirmations and statements via email by logging onto www.mydocumentsuite.com to register and choose electronic delivery, or contact your financial consultant for assistance.

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Account Number [redacted]
Statement Period: 10/01/2012 - 10/31/2012

CHARLES J FLEISCHMANN
[redacted]

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	$68,746.37	$63,216.92
Dividends/Interest	206.94	1,897.79
Fees	-386.70	-1,493.02
Change in Account Value	-692.35	4,252.57
Ending Account Value	$67,874.26	$67,874.26
Estimated Annual Income	$2,312.56	

Your Financial Consultant:
WARD PETTY AND JOE PETTY
(423) 668-5411

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds, and FDIC Deposits[1]	1,200.95	1,554.91	1,095.79	2%
Exchange-Traded Products	62,015.97	67,191.46	66,778.47	98%
Account Total	$63,216.92	$68,746.37	$67,874.26	100%

Asset Allocation percentages are rounded to the nearest whole percentage.

1 **Cash in your account includes FDIC insured bank deposits.**
FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation, up to allowable limits

ATTACHMENT 4

[redacted]

Additional Information

	This Period	Year-to-Date
Securities Bought and Sold	-$279.36	-$509.93

Summary of Gains and Losses

	Realized This Period	Realized Year-to-Date	Unrealized
Short-Term Gain/Loss	116.16	1,678.85	231.11
Long-Term Gain/Loss	112.99	1,757.62	5,349.24
Net Gain/Loss	**229.15**	**3,436.47**	**5,580.35**

This summary excludes transactions where cost basis information is not available.

Client Service Information

Your Financial Consultant: S38	Contact Information
WARD PETTY AND JOE PETTY 345 FRAZIER SUITE 205 CHATTANOOGA TN 37405-4121	**Telephone Number:** (423) 668-5411 **Fax Number:** (423) 668-5412

Investment Objective: MODERATE GROWTH
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

FDIC Insured Bank Deposits

Sweep FDIC Insured Bank Deposits

DEUTSCHE BANK INS DEPOSIT E
Account Number [redacted] Activity Ending: 10/31/12

Date	Activity Type	Description	Amount	Balance
09/29/12	Opening Balance		1,445.19	1,445.19
10/01/12	Deposit	DEPOSIT	109.72	1,554.91
10/02/12	Deposit	DEPOSIT	23.25	1,578.16
10/05/12	Withdrawal	WITHDRAWAL	-533.50	1,044.66
10/10/12	Deposit	DEPOSIT	51.03	1,095.69
10/15/12	Deposit	INTEREST CREDITED	0.10	1,095.79
10/15/12		APY 0.1%		1,095.79
10/16/12		Citizens Bank		1,095.79
10/16/12		A/O 10/16 $1095.79		1,095.79
10/31/12	**Closing Balance**			**$1,095.79**

Total FDIC Insured Bank Deposits **$1,095.79**

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Note: For the period from December 31, 2010 through December 31, 2012 the FDIC provides unlimited insurance coverage on certain non interest bearing bank accounts. If your account is in such a program it will be stated in your Message section.

ATTACHMENT 4

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BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
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Statement Period: 10/01/2012 - 10/31/2012

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 2.00% of Portfolio									
Cash Balance				109.72	0.00				
FDIC Insured Bank Deposits									
DEUTSCHE BANK INS DEPOSIT E									
1,095.790	09/29/12	ETF005005	10/31/12	1,445.19	1,095.79	0.05	1.07	N/A	N/A
Total FDIC Insured Bank Deposits				$1,445.19	$1,095.79	$0.05	$1.07		
Total Cash, Money Funds, and FDIC Deposits				$1,554.91	$1,095.79	$0.05	$1.07		

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products 98.00% of Portfolio								
Exchange-Traded Products								
BARCLAYS BK PLC IPATH INDEX LKD TO DOW JONES UBS COMMODITY INDEX TOTAL RETURN CUSIP: 06738C778 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* DJP						
Total Noncovered 59.000	09/29/11 *	43.1100	2,543.49	42.3500	2,498.65	-44.84		
Total Covered 15.000	Multiple Y	41.6830	625.24	42.3500	635.25	10.01		
74.000	Total		$3,168.73		$3,133.90	-$34.83	$0.00	
ISHARES COMEX GOLD TR ISHARES CUSIP: 464285105 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* IAU						
Total Noncovered 51.000	Multiple *, Y	15.8120	806.43	16.7600	854.76	48.33		
Total Covered 77.000	Multiple Y	15.919[illegible]	1,[illegible]25.7[illegible]	[illegible]6.7600	1,2[illegible]0.52	64[illegible]6		
128.000	Total		$2,03[illegible].19		$2,1[illegible]5.28	$113.[illegible]9	$0.00	

ATTACHMENT 4

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
ISHARES INC MSCI BRAZIL FREE INDEX FD CUSIP: 464286400 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* EWZ						
Total Covered 12.000	Multiple[Y]	62.5000	750.00	53.3500	640.20	-109.80	17.75	2.77%
ISHARES TR IBOXX USD INVT GRADE CORP BD FD CUSIP: 464287242 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* LQD						
Total Noncovered 10.000	09/29/11 *	112.1000	1,121.00	123.0200	1,230.20	109.20	46.98	3.81%
Total Covered 15.000	01/04/12	113.5900	1,703.85	123.0200	1,845.30	141.45	70.47	3.81%
25.000	**Total**		**$2,824.85**		**$3,075.50**	**$250.65**	**$117.45**	
ISHARES TR BARCLAYS 7-10 YR TREAS BD FD CUSIP: 464287440 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* IEF						
6.000	09/29/11 *	104.2300	625.38	107.8900	647.34	21.96	12.53	1.93%
ISHARES TR RUSSELL 2000 VALUE INDEX FD CUSIP: 464287630 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* IWN						
Total Covered 23.000	Multiple[Y]	72.8750	1,676.13	72.8200	1,674.86	-1.27	35.52	2.12%
ISHARES TR RUSSELL 2000 GROWTH INDEX FD CUSIP: 464287648 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* IWO						
Total Covered 18.000	Multiple[Y]	95.2750	1,714.95	92.5300	1,665.54	-49.41	15.02	0.90%
ISHARES TR DOW JONES U S UTILS SECTOR INDEX FD CUSIP: 464287697 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* IDU						
8.000	10/04/11 *	77.8750	623.00	90.4900	723.92	100.92	24.13	3.33%
ISHARES TR DOW JONES U S TECHNOLOGY SECTOR CUSIP: 464287721 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* IYW						

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Saint Louis, MO 63105 314-726-1600

[redacted]

Statement Period: 10/01/2012 - 10/31/2012

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
ISHARES TR DOW JONES U S TECHNOLOGY *(continued)*								
Total Covered 41.000	Multiple[Y]	67.9140	2,784.46	70.2900	2,881.89	97.43	20.62	0.71%
ISHARES TR DOW JONES U S FINL SECTOR INDEX FD		*Security Identifier:* IYF						
CUSIP: 464287788								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Covered 43.000	Multiple[Y]	55.1440	2,371.20	59.0300	2,538.29	167.09	41.25	1.62%
ISHARES TR IBOXX $ HIGH YIELD CORP BD FD		*Security Identifier:* HYG						
CUSIP: 464288513								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Noncovered 76.000	Multiple*,Y	82.3800	6,260.88	92.5923	7,037.02	776.14	476.18	6.76%
Total Covered 26.000	Multiple[Y]	89.8120	2,335.10	92.5923	2,407.40	72.30	162.90	6.76%
102.000	Total		$8,595.98		$9,444.42	$848.44	$639.08	
ISHARES TR BARCLAYS MBS BD FD		*Security Identifier:* MBB						
CUSIP: 464288588								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Noncovered 9.000	09/29/11*	108.1100	972.99	108.7800	979.02	6.03	23.04	2.35%
Total Covered 4.000	Multiple[Y]	108.2750	433.10	108.7800	435.12	2.02	10.24	2.35%
13.000	Total		$1,406.09		$1,414.14	$8.05	$33.28	
ISHARES TR BARCLAYS INTER CR BD FD		*Security Identifier:* CIU						
CUSIP: 464288638								
Dividend Option: Cash; Capital Gains Option: Cash								
6.000	04/03/12	108.4380	650.63	111.9600	671.76	21.13	21.54	3.20%

ATTACHMENT 4

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
ISHARES TR UTILITIES SECTOR BD FD CUSIP: 464298184 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* AMPS						
20.000	04/03/12	49.6110	992.22	53.6090	1,072.18	79.96	33.34	3.10%
POWERSHARES EXCHANGE-TRADED FD TR II S&P SMALLCAP ENERGY PORT CUSIP: 73937B704 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* PSCE						
Total Covered 20.000	Multiple[Y]	36.6130	732.25	32.5880	651.76	-80.49	0.44	0.06%
POWERSHARES EXCHANGE-TRADED FD TR II S&P SMALLCAP HEALTH CARE PORT CUSIP: 73937B886 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* PSCH						
18.000	04/03/12	35.5630	640.14	34.2440	616.39	-23.75	5.74	0.93%
SPDR SER TR BARCLAYS HIGH YIELD BD ETF CUSIP: 78464A417 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* JNK						
Total Noncovered 172.000	Multiple*,Y	36.1080	6,210.61	40.3398	6,938.44	727.83	479.96	6.91%
Total Covered 61.000	Multiple[Y]	38.7530	2,363.93	40.3398	2,460.73	96.80	170.23	6.91%
233.000	**Total**		**$8,574.54**		**$9,399.17**	**$824.63**	**$650.19**	
SELECT SECTOR SPDR TR MATLS CUSIP: 81369Y100 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* XLB						
Total Noncovered 18.000	Multiple*,Y	31.1710	3,561.08	36.0302	648.54	87.46	13.47	2.07%
		733 day(s) added to your holding period as a result of a wash sale.						
SELECT SECTOR SPDR TR HEALTH CARE CUSIP: 81369Y209 *Dividend Option: Cash; Capital Gains Option: Cash*		*Security Identifier:* XLV						
Total Noncovered 69.000	Multiple*,Y	32.2950	2,228.33	40.0289	2,762.00	533.67	53.26	1.92%
Total Covered 5.000	07/05/12	37.8600	[illegible]89.3[illegible]	[illegible]0.0289	2[illegible]0.14	10[illegible]4	3.86	1.92%
74.000	**Total**		**$2,41[illegible].63**		**$2,9[illegible]**	**$544.[illegible]1**	**$57.12**	

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Saint Louis, MO 63105 314-726-1600

[redacted]

Statement Period: 10/01/2012 - 10/31/2012

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
SELECT SECTOR SPDR TR CONSUMER STAPLES		*Security Identifier: XLP*						
CUSIP: 81369Y308								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Noncovered 28.000	Multiple*,Y	29.0280	812.78	35.3900	990.92	178.14	26.71	2.69%
Total Covered 12.000	07/05/12	34.9490	419.39	35.3900	424.68	5.29	11.45	2.69%
40.000	**Total**		**$1,232.17**		**$1,415.60**	**$183.43**	**$38.16**	
SELECT SECTOR SPDR TR CONSUMER DISCRETIONARY TRANSN TO 06/24/02		*Security Identifier: XLY*						
CUSIP: 81369Y407								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Noncovered 35.000	Multiple*,Y	35.9660	[1]1,258.80	46.0717	1,612.52	353.72	23.60	1.46%
Total Covered 9.000	Multiple Y	44.4500	400.05	46.0717	414.64	14.59	6.06	1.46%
44.000	**Total**		**$1,658.85**		**$2,027.16**	**$368.31**	**$29.66**	
SELECT SECTOR SPDR TR ENERGY		*Security Identifier: XLE*						
CUSIP: 81369Y506								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Noncovered 39.000	Multiple*,Y	61.1340	[1]2,384.23	71.9401	2,805.66	421.43	47.06	1.67%
Total Covered 2.000	10/02/12	73.8450	147.69	71.9401	143.88	-3.81	2.41	1.67%
41.000	**Total**		**$2,531.92**		**$2,949.54**	**$417.62**	**$49.47**	
SELECT SECTOR SPDR TR INDL		*Security Identifier: XLI*						
CUSIP: 81369Y704								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Noncovered 43.000	Multiple*,Y	29.0960	[1]1,25[illegible].13	[illegible]5.4894	[illegible]1,5[illegible]9.05	317.[illegible]2	34.30	2.18%

ATTACHMENT 4

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products (continued)								
SELECT SECTOR SPDR TR INDL *(continued)*								
Total Covered 33.000	Multiple[3]	35.9530	1,186.46	36.4894	1,204.14	17.68	26.32	2.18%
76.000	Total		$2,437.59		$2,773.19	$335.60	$60.62	
VANGUARD INTL EQUITY INDEX FDS MSCI EMERGING MKTS ETF CUSIP: 922042858 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* VWO						
Total Covered 33.000	Multiple[3]	43.2880	1,428.49	41.4950	1,369.34	-59.15	47.22	3.44%
VANGUARD INDEX FDS VANGUARD REIT ETF CUSIP: 922908553 Dividend Option: Cash; Capital Gains Option: Cash		*Security Identifier:* VNQ						
Total Noncovered 84.000	Multiple*,3	52.2750	4,391.10	64.3800	5,407.92	1,016.82	183.79	3.39%
Total Covered 75.000	Multiple[3]	58.3540	4,376.55	64.3800	4,828.50	451.95	164.10	3.39%
159.000	Total		$8,767.65		$10,236.42	$1,468.77	$347.89	
Total Exchange-Traded Products			$61,198.12		$66,778.47	$5,580.35	$2,311.49	
Total Exchange-Traded Products			$61,198.12		$66,778.47	$5,580.35	$2,311.49	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$62,293.91	$67,874.26	$5,580.35	$0.00	$2,312.56

* Noncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L.110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered " noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011.
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012.
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

ATTACHMENT 4

[3] Either all or a portion of the cost basis of this security has been provided to us by you or your introducing firm and Pershing makes no representation as to the accuracy of this information.

[13] Either all or a portion of the cost basis of this security has been provided to us by the delivering firm or transferring agent and Pershing makes no representation as to the accuracy of this information.

[redacted]

Schedule of Realized Gains and Losses Current Period

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term								
10/02/12	01/04/12	SELL First In First Out	ISHARES TR DOW JONES U S TECHNOLOGY	IYW	11.000	715.55	831.71	116.16
Total Short Term						**$715.55**	**$831.71**	**$116.16**
Long Term								
10/02/12	09/29/11 *	SELL First In First Out	BARCLAYS BK PLC IPATH INDEX LKD TO	DJP	3.000	129.33	132.96	3.63
10/02/12	09/29/11 *	SELL First In First Out	ISHARES COMEX GOLD TR ISHARES	IAU	7.000	111.02	120.82	9.80
10/02/12	09/29/11 *	SELL First In First Out	ISHARES TR IBOXX USD INVT GRADE CORP BD	LQD	1.000	112.10	121.63	9.53
10/02/12	07/01/11 *	SELL First In First Out	SELECT SECTOR SPDR TR HEALTH CARE	XLV	2.000	71.57	81.00	9.43
10/02/12	09/29/11 *	SELL First In First Out	SELECT SECTOR SPDR TR HEALTH CARE	XLV	1.000	32.41	40.50	8.09
10/02/12	01/05/09 *	SELL First In First Out	[13]SELECT SECTOR SPDR TR CONSUMER	XLY	3.000	67.38	139.89	72.51
Total Long Term						**$523.81**	**$636.80**	**$112.99**
Total Short Term and Long Term						**$1,239.36**	**$1,468.51**	**$229.15**

* Noncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L.110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later as determined by the Secretary of the Treasury.

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INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Schedule of Realized Gains and Losses Current Period *(continued)*

This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for noncovered securities. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. In addition, corporate action events may require adjustments to your original cost basis. Return of capital information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable.

Adjustments to cost basis can be made after year-end, in particular, for return of capital adjustments, but may also include adjustments for corporate action events. *Therefore there may be differences in cost* basis reflected on your monthly client brokerage statement at year end versus any subsequent reports, including your 1099-B or online displays you may have available to you.

When you report your cost basis on your tax return, it should be verified using all of your own records. In particular, there may be other adjustments which you need to make, but are not required to be made by Pershing as it relates to H.R. 1424. You should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

[13] The cost basis of this security has been provided to us by the delivering firm or transferring agent and Pershing makes no representation as to the accuracy of this information.

Please refer to the Client Service Information Section in your brokerage account statement for your account's existing tax-lot disposition method. The disposition method is the method which you have selected to use in the disposal of each tax lot of the securities held in your account. If you do not select a method, your account will be defaulted to First In, First Out (FIFO). *Your account's selected tax lot method will be* used to determine the cost basis for calculating gain and/or loss, unless another method was selected at the time of the security disposal, and this may be reported on the IRS Form 1099-B.

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Securities		
Securities Sold	1,468.51	34,705.00
Total Securities	**$1,468.51**	**$34,705.00**
Additional Transactions		
Fees	0.00	0.00
Distributions	0.00	52.55
Dividends and Interest	206.94	1,845.24
FDIC Insured Bank Deposits	533.50	1,867.21
Total Additional Transactions	**$740.44**	**$3,765.00**
Total Credits	**$2,208.95**	**$38,470.00**

Debits	This Period	Year-to-Date
Securities		
Securities Bought	-1,747.87	-35,214.93
Total Securities	**-$1,747.87**	**-$35,214.93**
Additional Transactions		
Fees	-386.70	-1,493.02
Distributions	0.00	0.00
Dividends and Interest	0.00	0.00
FDIC Insured Bank Deposits	-184.10	-1,823.04
Total Additional Transactions	**-$570.80**	**-$3,316.06**
Total Debits	**-$2,318.67**	**-$38,530.99**

ATTACHMENT 4

[redacted]

Transactions in Date Sequence

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
10/01/12		FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-109.72	USD
10/01/12		CASH DIVIDEND RECEIVED IDU	8 SHRS ISHARES TR DOW JONES U S UTILS SECTOR INDEX FD RD 09/27 PD 10/01/12				6.34	USD
10/01/12		CASH DIVIDEND RECEIVED IYW	52 SHRS ISHARES TR DOW JONES U S TECHNOLOGY SECTOR RD 09/27 PD 10/01/12				8.77	USD
10/01/12		CASH DIVIDEND RECEIVED IYF	34 SHRS ISHARES TR DOW JONES U S FINL SECTOR INDEX FD RD 09/27 PD 10/01/12				8.14	USD
10/02/12		FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-23.25	USD
10/03/12		CASH DIVIDEND RECEIVED XLB	18 SHRS SELECT SECTOR SPDR TR MATLS RD 09/25 PD 10/03/12				3.55	USD
10/03/12		CASH DIVIDEND RECEIVED XLV	77 SHRS SELECT SECTOR SPDR TR HEALTH CARE RD 09/25 PD 10/03/12				15.28	USD
10/03/12		CASH DIVIDEND RECEIVED XLP	40 SHRS SELECT SECTOR SPDR TR CONSUMER STAPLES RD 09/25 PD 10/03/12				10.20	USD
10/03/12		CASH DIVIDEND RECEIVED XLY	47 SHRS SELECT SECTOR SPDR TR CONSUMER DISCRETIONARY TRANSN TO 06/24/02 RD 09/25 PD 10/03/12				7.79	USD
10/03/12		CASH DIVIDEND RECEIVED XLE	39 SHRS SELECT SECTOR SPDR TR ENERGY RD 09/25 PD 10/03/12				13.01	USD
10/03/12		CASH DIVIDEND RECEIVED XLI	73 SHRS SELECT SECTOR SPDR TR INDL RD 09/25 PD 10/03/12				17.49	USD
10/05/12	10/02/12	SOLD DJP	BARCLAYS BK PLC IPATH INDEX LKD TO DOW JONES UBS COMMODITY INDEX TOTAL RETURN AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-3.000	44.3200		132.96	USD
10/05/12	10/02/12	SOLD IAU	ISHARES COMEX GOLD TR ISHARES AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-7.000	17.2600		120.82	USD
10/05/12	10/02/12	SOLD LQD	ISHARES TR IBOXX USD INVT GRADE CORP BD FD AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-1.000	121.6300		121.63	USD
10/05/12	10/02/12	PURCHASED IWO	ISHARES TR RUSSELL 2000 GROWTH INDEX FD AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	1.000	95.7486		-95.75	USD
10/05/12	10/02/12	SOLD IYW	ISHARES TR DOW JONES U S TECHNOLOGY SECTOR AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-11.000	75.6100		831.71	USD
10/05/12	10/02/12	PURCHASED IYF	ISHARES TR DOW JONES US FINL SECTOR INDEX FD AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	9.000	58.6200		-527.58	USD

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INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Statement Period: 10/01/2012 - 10/31/2012

Transactions in Date Sequence *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
10/05/12	10/02/12	PURCHASED HYG	ISHARES TR IBOXX $ HIGH YIELD CORP BD FD AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	2.000	91.8800		-183.76	USD
10/05/12	10/02/12	PURCHASED MBB	ISHARES TR BARCLAYS MBS BD FD AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	1.000	108.9800		-108.98	USD
10/05/12	10/02/12	PURCHASED JNK	SPDR SER TR BARCLAYS CAP HIGH YIELD BD ETF AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	3.000	39.9993		-120.00	USD
10/05/12	10/02/12	SOLD XLV	SELECT SECTOR SPDR TR HEALTH CARE AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-3.000	40.5000		121.50	USD
10/05/12	10/02/12	SOLD XLY	SELECT SECTOR SPDR TR CONSUMER DISCRETIONARY TRANSN TO 06/24/02 AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-3.000	46.6300		139.89	USD
10/05/12	10/02/12	PURCHASED XLE	SELECT SECTOR SPDR TR ENERGY AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	2.000	73.8454		-147.69	USD
10/05/12	10/02/12	PURCHASED XLI	SELECT SECTOR SPDR TR INDL AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	3.000	36.6300		-109.89	USD
10/05/12	10/02/12	PURCHASED VNQ	VANGUARD INDEX FDS VANGUARD REIT ETF AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	7.000	64.8892		-454.22	USD
10/05/12		FDIC INSURED BANK WITHDRAWAL USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				533.50	USD
10/05/12		ASSET BASED FEE USD999997	QUARTERLY FEE 10/1-1 2/31				-386.70	USD
10/05/12		CASH DIVIDEND RECEIVED LQD	26 SHRS ISHARES TR IBOXX USD INVT GRADE CORP BD FD RD 10/03 PD 10/05/12				9.98	USD
10/05/12		CASH DIVIDEND RECEIVED IEF	6 SHRS ISHARES TR BARCLAYS 7-10 YR TREAS BD FD RD 10/03 PD 10/05/12				0.85	USD
10/05/12		CASH DIVIDEND RECEIVED HYG	100 SHRS ISHARES TR IBOXX $ HIGH YIELD CORP BD FD RD 10/03 PD 10/05/12				48.51	USD
10/05/12		CASH DIVIDEND RECEIVED MBB	12 SHRS ISHARES TR BARCLAYS MBS BD FD RD 10/03 PD 10/05/12				1.53	USD

ATTACHMENT 4

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Transactions in Date Sequence *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
10/05/12		CASH DIVIDEND RECEIVED CIU	6 SHRS ISHARES TR BARCLAYS INTER CR BD FD RD 10/03 PD 10/05/12				1.69	USD
10/05/12		CASH DIVIDEND RECEIVED AMPS	20 SHRS ISHARES TR UTILITIES SECTOR BD FD RD 10/03 PD 10/05/12				2.68	USD
10/09/12		CASH DIVIDEND RECEIVED JNK	230 SHRS SPDR SER TR BARCLAYS CAP HIGH YIELD BD ETF RD 10/03 PD 10/09/12				51.03	USD
10/10/12		FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-51.03	USD
10/15/12		FDIC INSURED BANK DEPOSITS INTEREST REINVESTED 250990041	DEUTSCHE BANK INS INTEREST REINVESTED				-0.10	USD
10/15/12		FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED				0.10	USD
Total Value of all Transactions						$0.00	-$109.72	

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period Taxable	Current Period Non Taxable	Year-to-Date Taxable	Year-to-Date Non Taxable
Dividend Income				
Equities	206.84	0.00	1,844.17	0.00
Interest Income				
FDIC Insured Bank Deposits	0.10	0.00	1.07	0.00
Total Dividends, Interest, Income and Expenses	$206.94	$0.00	$1,845.24	$0.00
Distributions				
Long - Term Capital Gain Distributions	0.00	0.00	52.55	0.00
Total Distributions	$0.00	$0.00	$52.55	$0.00

Messages

Although a money market mutual fund seeks to preserve the value of your investment at $1 per share, it is possible to lose money by investing in a money market mutual fund. Please see the money market mutual fund's prospectus or contact your investment professional for additional information.

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC). YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET FUND AND FDIC INSURED BANK RATES AND BANK LISTS." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEBSITE.

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Benjamin F. Edwards & Co. is updating its client profile information to better serve your needs and to comply with new regulatory requirements (FINRA Rule 2111). As a result, your financial consultant may request up-to-date information regarding your goals, objectives and financial information.

[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

CHARLES J FLEISCHMANN
[redacted]

Your Financial Consultant:
WARD PETTY AND JOE PETTY
(423) 668-5411

Account Number: [redacted]
Statement Period: 11/01/2012 - 11/30/2012

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	$67,874.26	$63,216.92
Dividends/Interest	120.82	2,018.61
Fees	0.00	-1,493.02
Change in Account Value	196.18	4,448.75
Ending Account Value	$68,191.26	$68,191.26
Estimated Annual Income	$2,280.14	

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds, and Bank Deposits[1]	1,200.95	1,095.79	1,216.61	2%
Exchange-Traded Products	62,015.97	66,778.47	66,974.65	98%
Account Total	$63,216.92	$67,874.26	$68,191.26	100%

Asset Allocation percentages are rounded to the nearest whole percentage.

1 The Bank Deposits in your account are FDIC insured bank deposits. FDIC insured bank deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). These bank deposits are covered by the Federal Deposit Insurance Corporation (FDIC), up to allowable limits.

ATTACHMENT 4

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Additional Information

	This Period	Year-to-Date
Securities Bought and Sold	$0.00	-$509.93

Summary of Gains and Losses

	Realized This Period	Realized Year-to-Date	Unrealized
Short-Term Gain/Loss	0.00	1,678.85	218.91
Long-Term Gain/Loss	0.00	1,757.62	5,557.62
Net Gain/Loss	**0.00**	**3,436.47**	**5,776.53**

This summary excludes transactions where cost basis information is not available.

Client Service Information

Your Financial Consultant: S38

WARD PETTY AND JOE PETTY
345 FRAZIER
SUITE 205
CHATTANOOGA TN 37405-4121

Contact Information
Telephone Number: (423) 668-5411
Fax Number: (423) 668-5412

Investment Objective: MODERATE GROWTH
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

FDIC Insured Bank Deposits

Sweep FDIC Insured Bank Deposits

DEUTSCHE BANK INS DEPOSIT E
Account Number [redacted] Activity Ending: 11/30/12

Date	Activity Type	Description	Amount	Balance
11/01/12	Opening Balance		1,095.79	1,095.79
11/08/12	Deposit	DEPOSIT	68.37	1,164.16
11/13/12	Deposit	DEPOSIT	52.36	1,216.52
11/15/12	Deposit	INTEREST CREDITED APY 0.1%	0.09	1,216.61
11/16/12		Citizens Bank A/O 11/16 $1216.61		1,216.61
11/30/12	**Closing Balance**			**$1,216.61**

Total FDIC Insured Bank Deposits **$1,216.61**

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Note: For the period from December 31, 2010 through December 31, 2012 the FDIC provides unlimited insurance coverage of certain non-interest bearing bank accounts. If your account is in such a program it will be stated in your Message section.

ATTACHMENT 4

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Statement Period: 11/01/2012 - 11/30/2012

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and Bank Deposits 2.00% of Portfolio									
FDIC Insured Bank Deposits									
DEUTSCHE BANK INS DEPOSIT E									
1,216.610	11/01/12	ETF005005	11/30/12	1,095.79	1,216.61	0.05	1.16	N/A	N/A
Total FDIC Insured Bank Deposits				$1,095.79	$1,216.61	$0.05	$1.16		
Total Cash, Money Funds, and Bank Deposits				$1,095.79	$1,216.61	$0.05	$1.16		

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products 98.00% of Portfolio								
Exchange-Traded Products								
BARCLAYS BK PLC IPATH INDEX LKD TO DOW JONES UBS COMMODITY INDEX TOTAL RETURN CUSIP: 06738C778 Dividend Option: Cash; Capital Gains Option: Cash		Security Identifier: DJP						
Total Noncovered 59.000	09/29/11 *	43.1100	2,543.49	42.4800	2,506.32	-37.17		
Total Covered 15.000	Multiple Y	41.6830	625.24	42.4800	637.20	11.96		
74.000	Total		$3,168.73		$3,143.52	-$25.21	$0.00	
ISHARES COMEX GOLD TR ISHARES CUSIP: 464285105 Dividend Option: Cash; Capital Gains Option: Cash		Security Identifier: IAU						
Total Noncovered 51.000	Multiple *, Y	15.8120	806.43	16.6800	850.68	44.25		
Total Covered 77.000	Multiple Y	15.9190	1,225.76	16.6800	1,284.36	58.60		
128.000	Total		$2,032.19		$2,135.04	$102.85	$0.00	

ATTACHMENT 4

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
ISHARES INC MSCI BRAZIL FREE INDEX FD CUSIP: 464286400 Dividend Option: Cash; Capital Gains Option: Cash			Security Identifier: EWZ					
Total Covered 12.000	Multiple[Y]	62.5000	750.00	51.5800	618.96	-131.04	17.75	2.86%
ISHARES TR IBOXX USD INVT GRADE CORP BD FD CUSIP: 464287242 Dividend Option: Cash; Capital Gains Option: Cash			Security Identifier: LQD					
Total Noncovered 10.000	09/29/11 *	112.1000	1,121.00	122.1300	1,221.30	100.30	46.74	3.82%
Total Covered 15.000	01/04/12	113.5900	1,703.85	122.1300	1,831.95	128.10	70.11	3.82%
25.000	Total		$2,824.85		$3,053.25	$228.40	$116.85	
ISHARES TR BARCLAYS 7-10 YR TREAS BD FD CUSIP: 464287440 Dividend Option: Cash; Capital Gains Option: Cash			Security Identifier: IEF					
6.000	09/29/11 *	104.2300	625.38	108.8000	652.80	27.42	12.15	1.86%
ISHARES TR RUSSELL 2000 VALUE INDEX FD CUSIP: 464287630 Dividend Option: Cash; Capital Gains Option: Cash			Security Identifier: IWN					
Total Covered 23.000	Multiple[Y]	72.8750	1,676.13	73.2000	1,683.60	7.47	35.52	2.11%
ISHARES TR RUSSELL 2000 GROWTH INDEX FD CUSIP: 464287648 Dividend Option: Cash; Capital Gains Option: Cash			Security Identifier: IWO					
Total Covered 18.000	Multiple[Y]	95.2750	1,714.95	93.5100	1,683.18	-31.77	15.02	0.89%
ISHARES TR DOW JONES U S UTILS SECTOR INDEX FD CUSIP: 464287697 Dividend Option: Cash; Capital Gains Option: Cash			Security Identifier: IDU					
8.000	10/04/11 *	77.8750	623.00	87.0100	696.08	73.08	24.13	3.46%
ISHARES TR DOW JONES U S TECHNOLOGY SECTOR CUSIP: 464287721 Dividend Option: Cash; Capital Gains Option: Cash			Security Identifier: IYW					

ATTACHMENT 4

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Statement Period: 11/01/2012 - 11/30/2012

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
ISHARES TR DOW JONES U S TECHNOLOGY *(continued)*								
Total Covered 41.000	Multiple^Y	67.9140	2,784.46	70.8600	2,905.26	120.80	20.62	0.70%
ISHARES TR DOW JONES U S FINL SECTOR INDEX FD			Security Identifier: IYF					
CUSIP: 464287788								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Covered 43.000	Multiple^Y	55.1440	2,371.20	58.8900	2,532.27	161.07	41.25	1.62%
ISHARES TR IBOXX $ HIGH YIELD CORP BD FD			Security Identifier: HYG					
CUSIP: 464288513								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Noncovered 76.000	Multiple*,Y	82.3800	6,260.88	93.0500	7,071.80	810.92	474.98	6.71%
Total Covered 26.000	Multiple^Y	89.8120	2,335.10	93.0500	2,419.30	84.20	162.50	6.71%
102.000	Total		$8,595.98		$9,491.10	$895.12	$637.48	
ISHARES TR BARCLAYS MBS BD FD			Security Identifier: MBB					
CUSIP: 464288588								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Noncovered 9.000	09/29/11*	108.1100	972.99	108.3400	975.06	2.07	21.75	2.23%
Total Covered 4.000	Multiple^Y	108.2750	433.10	108.3400	433.36	0.26	9.66	2.23%
13.000	Total		$1,406.09		$1,408.42	$2.33	$31.41	
ISHARES TR BARCLAYS INTER CR BD FD			Security Identifier: CIU					
CUSIP: 464288638								
Dividend Option: Cash; Capital Gains Option: Cash								
6.000	04/03/12	108.4380	650.63	111.6600	669.96	19.33	21.27	3.17%

ATTACHMENT 4

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
ISHARES TR UTILITIES SECTOR BD FD CUSIP: 46429B184 Dividend Option: Cash; Capital Gains Option: Cash		Security Identifier: AMPS						
20.000	04/03/12	49.6110	992.22	52.5500	1,051.00	58.78	33.13	3.15%
POWERSHARES EXCHANGE-TRADED FD TR II S&P SMALLCAP ENERGY PORT CUSIP: 73937B704 Dividend Option: Cash; Capital Gains Option: Cash		Security Identifier: PSCE						
Total Covered 20.000	Multiple[Y]	36.6130	732.25	33.1900	663.80	-68.45	0.44	0.06%
POWERSHARES EXCHANGE-TRADED FD TR II S&P SMALLCAP HEALTH CARE PORT CUSIP: 73937B886 Dividend Option: Cash; Capital Gains Option: Cash		Security Identifier: PSCH						
18.000	04/03/12	35.5630	640.14	35.1900	633.42	-6.72	5.74	0.90%
SPDR SER TR BARCLAYS HIGH YIELD BD ETF CUSIP: 78464A417 Dividend Option: Cash; Capital Gains Option: Cash		Security Identifier: JNK						
Total Noncovered 172.000	Multiple*,Y	36.1080	6,210.61	40.5600	6,976.32	765.71	477.42	6.84%
Total Covered 61.000	Multiple[Y]	38.7530	2,363.93	40.5600	2,474.16	110.23	169.31	6.84%
233.000	Total		$8,574.54		$9,450.48	$875.94	$646.73	
SELECT SECTOR SPDR TR MATLS CUSIP: 81369Y100 Dividend Option: Cash; Capital Gains Option: Cash		Security Identifier: XLB						
Total Noncovered 18.000	Multiple*,Y	31.1710	561.08	36.7000	660.60	99.52	13.47	2.04%
		733 day(s) added to your holding period as a result of a wash sale.						
SELECT SECTOR SPDR TR HEALTH CARE CUSIP: 81369Y209 Dividend Option: Cash; Capital Gains Option: Cash		Security Identifier: XLV						
Total Noncovered 69.000	Multiple*,Y	32.2950	2,228.33	40.2400	2,776.56	548.23	53.26	1.91%
Total Covered 5.000	07/05/12	37.8600	189.30	40.2400	201.20	11.90	3.86	1.91%
74.000	Total		$2,417.63		$2,977.76	$560.13	$57.12	

ATTACHMENT 4

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Statement Period: 11/01/2012 - 11/30/2012

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
SELECT SECTOR SPDR TR CONSUMER STAPLES			**Security Identifier: XLP**					
CUSIP: 81369Y308								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Noncovered 28.000	Multiple*,Y	29.0280	812.78	36.0400	1,009.12	196.34	26.71	2.64%
Total Covered 12.000	07/05/12	34.9490	419.39	36.0400	432.48	13.09	11.45	2.64%
40.000	**Total**		**$1,232.17**		**$1,441.60**	**$209.43**	**$38.16**	
SELECT SECTOR SPDR TR CONSUMER DISCRETIONARY TRANSN TO 06/24/02			**Security Identifier: XLY**					
CUSIP: 81369Y407								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Noncovered 35.000	Multiple*,Y	35.9660	[13]1,258.80	47.5300	1,663.55	404.75	23.60	1.41%
Total Covered 9.000	MultipleY	44.4500	400.05	47.5300	427.77	27.72	6.06	1.41%
44.000	**Total**		**$1,658.85**		**$2,091.32**	**$432.47**	**$29.66**	
SELECT SECTOR SPDR TR ENERGY			**Security Identifier: XLE**					
CUSIP: 81369Y506								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Noncovered 39.000	Multiple*,Y	61.1340	[13]2,384.23	71.0600	2,771.34	387.11	47.06	1.69%
Total Covered 2.000	10/02/12	73.8450	147.69	71.0600	142.12	-5.57	2.41	1.69%
41.000	**Total**		**$2,531.92**		**$2,913.46**	**$381.54**	**$49.47**	
SELECT SECTOR SPDR TR INDL			**Security Identifier: XLI**					
CUSIP: 81369Y704								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Noncovered 43.000	Multiple*,Y	29.0960	[13]1,25[illegible]13	[illegible]7.1300	[illegible],5[illegible]6.59	345.[illegible]6	34.30	2.14%

ATTACHMENT 4

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
SELECT SECTOR SPDR TR INDL *(continued)*								
Total Covered 33.000	Multiple[Y]	35.9530	1,186.46	37.1300	1,225.29	38.83	26.32	2.14%
76.000	Total		$2,437.59		$2,821.88	$384.29	$60.62	
VANGUARD INTL EQUITY INDEX FDS MSCI EMERGING MKTS ETF CUSIP: 922042858 Dividend Option: Cash; Capital Gains Option: Cash		Security Identifier: VWO						
Total Covered 33.000	Multiple[Y]	43.2880	1,428.49	42.0150	1,386.50	-41.99	23.10	1.66%
VANGUARD INDEX FDS VANGUARD REIT ETF CUSIP: 922908553 Dividend Option: Cash; Capital Gains Option: Cash		Security Identifier: VNQ						
Total Noncovered 84.000	Multiple*,Y	52.2750	4,391.10	64.2100	5,393.64	1,002.54	183.79	3.40%
Total Covered 75.000	Multiple[Y]	58.3540	4,376.55	64.2100	4,815.75	439.20	164.10	3.40%
159.000	Total		$8,767.65		$10,209.39	$1,441.74	$347.89	
Total Exchange-Traded Products			$61,198.12		$66,974.65	$5,776.53	$2,278.98	
Total Exchange-Traded Products			$61,198.12		$66,974.65	$5,776.53	$2,278.98	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$62,414.73	$68,191.26	$5,776.53	$0.00	$2,280.14

* Noncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L.110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered " noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

ATTACHMENT 4

[3] Either all or a portion of the cost basis of this security has been provided to us by you or your introducing firm and Pershing makes no representation as to the accuracy of this information.

[13] Either all or a portion of the cost basis of this security has been provided to us by the delivering firm or transferring agent and Pershing makes no representation as to the accuracy of this information.

[redacted]

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Securities		
Securities Sold	0.00	34,705.00
Total Securities	**$0.00**	**$34,705.00**
Additional Transactions		
Dividends and Interest	120.82	1,966.06
Distributions	0.00	52.55
Fees	0.00	0.00
Total Additional Transactions	**$120.82**	**$2,018.61**
Money Market and Bank Deposits		
FDIC Insured Bank Deposits	0.00	1,867.21
Total Money Market and Bank Deposits	**$0.00**	**$1,867.21**
Total Credits	**$120.82**	**$38,590.82**

Debits	This Period	Year-to-Date
Securities		
Securities Bought	0.00	-35,214.93
Total Securities	**$0.00**	**-$35,214.93**
Additional Transactions		
Dividends and Interest	0.00	0.00
Distributions	0.00	0.00
Fees	0.00	-1,493.02
Total Additional Transactions	**$0.00**	**-$1,493.02**
Money Market and Bank Deposits		
FDIC Insured Bank Deposits	-120.82	-1,943.86
Total Money Market and Bank Deposits	**-$120.82**	**-$1,943.86**
Total Debits	**-$120.82**	**-$38,651.81**

Transactions in Date Sequence

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
11/07/12		CASH DIVIDEND RECEIVED LQD	25 SHRS ISHARES TR IBOXX USD INVT GRADE CORP BD FD RD 11/05 PD 11/07/12				9.46	USD
11/07/12		CASH DIVIDEND RECEIVED IEF	6 SHRS ISHARES TR BARCLAYS 7-10 YR TREAS BD FD RD 11/05 PD 11/07/12				0.87	USD
11/07/12		CASH DIVIDEND RECEIVED HYG	102 SHRS ISHARES TR IBOXX $ HIGH YIELD CORP BD FD RD 11/05 PD 11/07/12				52.07	USD
11/07/12		CASH DIVIDEND RECEIVED MBB	13 SHRS ISHARES TR BARCLAYS MBS BD FD RD 11/05 PD 11/07/12				1.67	USD
11/07/12		CASH DIVIDEND RECEIVED CIU	6 SHRS ISHARES TR BARCLAYS INTER CR BD FD RD 11/05 PD 11/07/12				1.66	USD
11/07/12		CASH DIVIDEND RECEIVED AMPS	20 SHRS ISHARES TR UTILITIES SECTOR BD FD RD 11/05 PD 11/07/12				2.64	USD
11/08/12		FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-68.37	USD
11/09/12		CASH DIVIDEND RECEIVED JNK	233 SHRS SPDR SER TR BARCLAYS HIGH YIELD BD ETF RD 11/05 PD 11/09/12				52.36	USD
11/13/12		FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-52.36	USD
11/16/12	11/15/12	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED 250990041	DEUTSCHE BANK INS INTEREST REINVESTED				-0.09	USD

ATTACHMENT 4

[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS *for* GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

Statement Period: 11/01/2012 - 11/30/2012

Transactions in Date Sequence *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
11/16/12	11/15/12	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED				0.09	USD
Total Value of Transactions						$0.00	$0.00	

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period		Year-to-Date	
	Taxable	Non Taxable	Taxable	Non Taxable
Dividend Income				
Equities	120.73	0.00	1,964.90	0.00
Interest Income				
FDIC Insured Bank Deposits	0.09	0.00	1.16	0.00
Total Dividends, Interest, Income and Expenses	**$120.82**	**$0.00**	**$1,966.06**	**$0.00**
Distributions				
Long - Term Capital Gain Distributions	0.00	0.00	52.55	0.00
Total Distributions	**$0.00**	**$0.00**	**$52.55**	**$0.00**

Messages

Please note the following information for Pershing's 2012 mailing of Internal Revenue Service (IRS) Forms 1099 (B, DIV, INT, OID and MISC). Your Form 1099(s) will be mailed by February 28, 2013. Pershing has decided to delay this mailing to reduce the need for 1099 revisions, due to late information received from issuers regarding income reclassifications and cost basis-related adjustments.

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).
YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET FUND AND FDIC INSURED BANK RATES AND BANK LISTS." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEBSITE.

Although a money market mutual fund seeks to preserve the value of your investment at $1 per share, it is possible to lose money by investing in a money market mutual fund. Please see the money market mutual fund's prospectus or contact your investment professional for additional information.

Benjamin F. Edwards & Co. is updating its client profile information to better serve your needs and to comply with new regulatory requirements (FINRA Rule 2111). As a result, your financial consultant may request up-to-date information regarding your goals, objectives and financial information.

Go Paperless!

ATTACHMENT 4

[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS *for* GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

Account Number: [redacted]
Statement Period: 12/01/2012 - 12/31/2012

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	$68,191.26	$63,216.92
Dividends/Interest	337.88	2,356.49
Fees	0.00	-1,493.02
Change in Account Value	433.12	4,881.87
Ending Account Value	$68,962.26	$68,962.26
Estimated Annual Income	$2,341.38	

CHARLES J FLEISCHMANN
[redacted]

Your Financial Consultant:
WARD PETTY AND JOE PETTY
(423) 668-5411

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds, and Bank Deposits[1]	1,200.95	1,216.61	1,554.49	2%
Exchange-Traded Products	62,015.97	66,974.65	67,407.77	98%
Account Total	$63,216.92	$68,191.26	$68,962.26	100%

Asset Allocation percentages are rounded to the nearest whole percentage.

1 The Bank Deposits in your account are FDIC insured bank deposits. FDIC insured bank deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). These bank deposits are covered by the Federal Deposit Insurance Corporation (FDIC), up to allowable limits.

ATTACHMENT 4

[redacted]

Additional Information

	This Period	Year-to-Date
Securities Bought and Sold	$0.00	-$509.93

Summary of Gains and Losses

	Realized		
	This Period	Year-to-Date	Unrealized
Short-Term Gain/Loss	0.00	1,678.85	168.71
Long-Term Gain/Loss	0.00	1,757.62	6,040.94
Net Gain/Loss	**0.00**	**3,436.47**	**6,209.65**

This summary excludes transactions where cost basis information is not available.

Client Service Information

Your Financial Consultant: S38	Contact Information
WARD PETTY AND JOE PETTY 345 FRAZIER SUITE 205 CHATTANOOGA TN 37405-4121	**Telephone Number:** (423) 668-5411 **Fax Number:** (423) 668-5412

Investment Objective: MODERATE GROWTH
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

FDIC Insured Bank Deposits

Sweep FDIC Insured Bank Deposits

DEUTSCHE BANK INS DEPOSIT E
Account Number: [redacted] Activity Ending: 12/31/12

Date	Activity Type	Description	Amount	Balance
12/01/12	Opening Balance		1,216.61	1,216.61
12/10/12	Deposit	DEPOSIT	68.68	1,285.29
12/12/12	Deposit	DEPOSIT	51.86	1,337.15
12/14/12	Deposit	INTEREST CREDITED APY 0.1%	0.10	1,337.25
12/17/12		Citizens Bank A/O 12/17 $1337.25		1,337.25
12/27/12	Deposit	DEPOSIT	63.66	1,400.91
12/28/12	Deposit	DEPOSIT	19.43	1,420.34
12/31/12	**Closing Balance**			**$1,420.34**

Total FDIC Insured Bank Deposits **$1,420.34**

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Note: For the period from December 31, 2010 through December 31, 2012 the FDIC provides unlimited insurance coverage on certain non interest bearing bank accounts. If your account is in such a program it will be stated in your Message section.

ATTACHMENT 4

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Statement Period: 12/01/2012 - 12/31/2012

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and Bank Deposits 2.00% of Portfolio									
Cash Balance				0.00	134.15				
FDIC Insured Bank Deposits									
DEUTSCHE BANK INS DEPOSIT E									
1,420.340	12/01/12	ETF005005	12/31/12	1,216.61	1,420.34	0.06	1.26	N/A	N/A
Total FDIC Insured Bank Deposits				$1,216.61	$1,420.34	$0.06	$1.26		
Total Cash, Money Funds, and Bank Deposits				$1,216.61	$1,554.49	$0.06	$1.26		

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products 98.00% of Portfolio								
Exchange-Traded Products								
BARCLAYS BK PLC IPATH INDEX LKD TO DOW JONES UBS COMMODITY INDEX TOTAL RETURN CUSIP: 06738C778 Dividend Option: Cash; Capital Gains Option: Cash	Security Identifier: DJP							
Total Noncovered 59.000	09/29/11 *	43.1100	2,543.49	41.3500	2,439.65	-103.84		
Total Covered 15.000	Multiple Y	41.6830	625.24	41.3500	620.25	-4.99		
74.000	Total		$3,168.73		$3,059.90	-$108.83	$0.00	
ISHARES COMEX GOLD TR ISHARES CUSIP: 464285105 Dividend Option: Cash; Capital Gains Option: Cash	Security Identifier: IAU							
Total Noncovered 51.000	Multiple *, Y	15.8120	806.43	16.2792	830.24	23.81		
Total Covered 77.000	Multiple Y	15.9190	1,225.76	16.2792	1,253.50	27.74		
128.000	Total		$2,032.19		$2,083.74	$51.55	$0.00	

ATTACHMENT 4

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
ISHARES INC MSCI BRAZIL FREE INDEX FD CUSIP: 464286400 Dividend Option: Cash; Capital Gains Option: Cash			Security Identifier: EWZ					
Total Covered 12.000	Multiple[Y]	62.5000	750.00	55.9400	671.28	-78.72	18.82	2.80%
ISHARES TR IBOXX USD INVT GRADE CORP BD FD CUSIP: 464287242 Dividend Option: Cash; Capital Gains Option: Cash			Security Identifier: LQD					
Total Noncovered 10.000	09/29/11 *	112.1000	1,121.00	120.9900	1,209.90	88.90	46.29	3.82%
Total Covered 15.000	01/04/12	113.5900	1,703.85	120.9900	1,814.85	111.00	69.43	3.82%
25.000	Total		$2,824.85		$3,024.75	$199.90	$115.72	
ISHARES TR BARCLAYS 7-10 YR TREAS BD FD CUSIP: 464287440 Dividend Option: Cash; Capital Gains Option: Cash			Security Identifier: IEF					
6.000	09/29/11 *	104.2300	625.38	107.4900	644.94	19.56	11.54	1.78%
ISHARES TR RUSSELL 2000 VALUE INDEX FD CUSIP: 464287630 Dividend Option: Cash; Capital Gains Option: Cash			Security Identifier: IWN					
Total Covered 23.000	Multiple[Y]	72.8750	1,676.13	75.5100	1,736.73	60.60	43.48	2.50%
ISHARES TR RUSSELL 2000 GROWTH INDEX FD CUSIP: 464287648 Dividend Option: Cash; Capital Gains Option: Cash			Security Identifier: IWO					
Total Covered 18.000	Multiple[Y]	95.2750	1,714.95	95.3100	1,715.58	0.63	25.10	1.46%
ISHARES TR DOW JONES U S UTILS SECTOR INDEX FD CUSIP: 464287697 Dividend Option: Cash; Capital Gains Option: Cash			Security Identifier: IDU					
8.000	10/04/11 *	77.8750	623.00	86.3600	690.88	67.88	23.99	3.47%
ISHARES TR DOW JONES U S TECHNOLOGY SECTOR CUSIP: 464287721 Dividend Option: Cash; Capital Gains Option: Cash			Security Identifier: IYW					

ATTACHMENT 4

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Statement Period: 12/01/2012 - 12/31/2012

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
ISHARES TR DOW JONES U S TECHNOLOGY *(continued)*								
Total Covered 41.000	Multiple[Y]	67.9140	2,784.46	70.7200	2,899.52	115.06	27.33	0.94%
ISHARES TR DOW JONES U S FINL SECTOR INDEX FD CUSIP: 464287788 Dividend Option: Cash; Capital Gains Option: Cash		Security Identifier: IYF						
Total Covered 43.000	Multiple[Y]	55.1440	2,371.20	60.7000	2,610.10	238.90	43.56	1.66%
ISHARES TR IBOXX $ HIGH YIELD CORP BD FD CUSIP: 464288513 Dividend Option: Cash; Capital Gains Option: Cash		Security Identifier: HYG						
Total Noncovered 76.000	Multiple*,Y	82.3800	6,260.88	93.3500	7,094.60	833.72	468.16	6.59%
Total Covered 26.000	Multiple[Y]	89.8120	2,335.10	93.3500	2,427.10	92.00	160.17	6.59%
102.000	Total		$8,595.98		$9,521.70	$925.72	$628.33	
ISHARES TR BARCLAYS MBS BD FD CUSIP: 464288588 Dividend Option: Cash; Capital Gains Option: Cash		Security Identifier: MBB						
Total Noncovered 9.000	09/29/11 *	108.1100	972.99	107.9900	971.91	-1.08	17.78	1.82%
Total Covered 4.000	Multiple[Y]	108.2750	433.10	107.9900	431.96	-1.14	7.90	1.82%
13.000	Total		$1,406.09		$1,403.87	-$2.22	$25.68	
ISHARES TR BARCLAYS INTER CR BD FD CUSIP: 464288638 Dividend Option: Cash; Capital Gains Option: Cash		Security Identifier: CIU						
6.000	04/03/12	108.4380	650.63	111.2900	667.74	17.11	21.04	3.15%

ATTACHMENT 4

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
ISHARES TR UTILITIES SECTOR BD FD CUSIP: 464298184 Dividend Option: Cash; Capital Gains Option: Cash		Security Identifier: AMPS						
20.000	04/03/12	49.6110	992.22	51.6000	1,032.00	39.78	32.96	3.19%
POWERSHARES EXCHANGE-TRADED FD TR II S&P SMALLCAP ENERGY PORT CUSIP: 73937B704 Dividend Option: Cash; Capital Gains Option: Cash		Security Identifier: PSCE						
Total Covered 20.000	Multiple[Y]	36.6130	732.25	33.6400	672.80	-59.45	4.98	0.74%
POWERSHARES EXCHANGE-TRADED FD TR II S&P SMALLCAP HEALTH CARE PORT CUSIP: 73937B886 Dividend Option: Cash; Capital Gains Option: Cash		Security Identifier: PSCH						
18.000	04/03/12	35.5630	640.14	35.3500	636.30	-3.84	3.03	0.47%
SPDR SER TR BARCLAYS HIGH YIELD BD ETF CUSIP: 78464A417 Dividend Option: Cash; Capital Gains Option: Cash		Security Identifier: JNK						
Total Noncovered 172.000	Multiple*,Y	36.1080	6,210.61	40.7100	7,002.12	791.51	472.72	6.75%
Total Covered 61.000	Multiple[Y]	38.7530	2,363.93	40.7100	2,483.31	119.38	167.64	6.75%
233.000	**Total**		**$8,574.54**		**$9,485.43**	**$910.89**	**$640.36**	
SELECT SECTOR SPDR TR MATLS CUSIP: 81369Y100 Dividend Option: Cash; Capital Gains Option: Cash		Security Identifier: XLB						
Total Noncovered 18.000	Multiple*,Y	31.1710	561.08	37.5400	675.72	114.64	15.35	2.27%
		733 day(s) added to your holding period as a result of a wash sale.						
SELECT SECTOR SPDR TR HEALTH CARE CUSIP: 81369Y209 Dividend Option: Cash; Capital Gains Option: Cash		Security Identifier: XLV						
Total Noncovered 69.000	Multiple*,Y	32.2950	2,228.33	39.8805	2,751.76	523.43	55.37	2.01%
Total Covered 5.000	07/05/12	37.8600	189.30	39.8805	199.40	10.10	4.01	2.01%
74.000	**Total**		**$2,417.63**		**$2,951.16**	**$533.53**	**$59.38**	

ATTACHMENT 4

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
SELECT SECTOR SPDR TR CONSUMER STAPLES		**Security Identifier: XLP**						
CUSIP: 81369Y308								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Noncovered 28.000	Multiple*,Y	29.0280	812.78	34.9000	977.20	164.42	29.86	3.05%
Total Covered 12.000	07/05/12	34.9490	419.39	34.9000	418.80	-0.59	12.79	3.05%
40.000	**Total**		**$1,232.17**		**$1,396.00**	**$163.83**	**$42.65**	
SELECT SECTOR SPDR TR CONSUMER DISCRETIONARY TRANSN TO 06/24/02		**Security Identifier: XLY**						
CUSIP: 81369Y407								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Noncovered 35.000	Multiple*,Y	35.9660	[13]1,258.80	47.4391	1,660.37	401.57	26.60	1.60%
Total Covered 9.000	MultipleY	44.4500	400.05	47.4391	426.95	26.90	6.84	1.60%
44.000	**Total**		**$1,658.85**		**$2,087.32**	**$428.47**	**$33.44**	
SELECT SECTOR SPDR TR ENERGY		**Security Identifier: XLE**						
CUSIP: 81369Y506								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Noncovered 39.000	Multiple*,Y	61.1340	[13]2,384.23	71.4200	2,785.38	401.15	50.71	1.82%
Total Covered 2.000	10/02/12	73.8450	147.69	71.4200	142.84	-4.85	2.60	1.82%
41.000	**Total**		**$2,531.92**		**$2,928.22**	**$396.30**	**$53.31**	
SELECT SECTOR SPDR TR INDL		**Security Identifier: XLI**						
CUSIP: 81369Y704								
Dividend Option: Cash; Capital Gains Option: Cash								
Total Noncovered 43.000	Multiple*,Y	29.0960	[13]1,251.13	37.9000	1,629.70	378.57	36.98	2.26%

ATTACHMENT 4

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
SELECT SECTOR SPDR TR INDL *(continued)*								
Total Covered 33.000	Multiple[Y]	35.9530	1,186.46	37.9000	1,250.70	64.24	28.39	2.26%
76.000	**Total**		**$2,437.59**		**$2,880.40**	**$442.81**	**$65.37**	
VANGUARD INTL EQUITY INDEX FDS MSCI EMERGING MKTS ETF CUSIP: 922042858 Dividend Option: Cash; Capital Gains Option: Cash		Security Identifier: VWO						
Total Covered 33.000	Multiple[Y]	43.2880	1,428.49	44.5300	1,469.49	41.00	32.17	2.18%
VANGUARD INDEX FDS VANGUARD REIT ETF CUSIP: 922908553 Dividend Option: Cash; Capital Gains Option: Cash		Security Identifier: VNQ						
Total Noncovered 84.000	Multiple*,Y	52.2750	4,391.10	65.8000	5,527.20	1,136.10	196.81	3.56%
Total Covered 75.000	Multiple[Y]	58.3540	4,376.55	65.8000	4,935.00	558.45	175.72	3.56%
159.000	**Total**		**$8,767.65**		**$10,462.20**	**$1,694.55**	**$372.53**	
Total Exchange-Traded Products			**$61,198.12**		**$67,407.77**	**$6,209.65**	**$2,340.12**	
Total Exchange-Traded Products			**$61,198.12**		**$67,407.77**	**$6,209.65**	**$2,340.12**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	**$62,752.61**	**$68,962.26**	**$6,209.65**	**$0.00**	**$2,341.38**

* Noncovered under the cost basis rules as defined below.
Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L.110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered " noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

ATTACHMENT 4

[Y] Either all or a portion of the cost basis of this security has been provided to us by you or your introducing firm and Pershing makes no representation as to the accuracy of this information.

[13] Either all or a portion of the cost basis of this security has been provided to us by the delivering firm or transferring agent and Pershing makes no representation as to the accuracy of this information.

Cash Not Yet Received

Security	Record Date	Payable Date	Quantity Held	Rate	Amount of Payment	Dividend Option
Dividends						
ISHARES TR IBOXX USD INVT GRADE CORP BD FD	12/28/12	01/02/13	25.000	0.362035	9.05	Cash
ISHARES TR BARCLAYS 7-10 YR TREAS BD FD	12/28/12	01/02/13	6.000	0.150589	0.90	Cash
ISHARES TR IBOXX $ HIGH YIELD CORP BD FD	12/28/12	01/02/13	102.000	0.505021	51.51	Cash
ISHARES TR BARCLAYS INTER CR BD FD	12/28/12	01/02/13	6.000	0.285526	1.71	Cash
ISHARES TR UTILITIES SECTOR BD FD	12/28/12	01/02/13	20.000	0.135365	2.71	Cash
SELECT SECTOR SPDR TR MATLS	12/26/12	01/03/13	18.000	0.329520	5.93	Cash
SELECT SECTOR SPDR TR HEALTH CARE	12/26/12	01/03/13	74.000	0.218890	16.20	Cash
SELECT SECTOR SPDR TR CONSUMER STAPLES	12/26/12	01/03/13	40.000	0.397740	15.91	Cash
SELECT SECTOR SPDR TR CONSUMER DISCRETIONARY	12/26/12	01/03/13	44.000	0.305510	13.44	Cash
SELECT SECTOR SPDR TR ENERGY	12/26/12	01/03/13	41.000	0.370950	15.21	Cash
SELECT SECTOR SPDR TR INDL	12/26/12	01/03/13	76.000	0.280030	21.28	Cash
Total Cash Not Yet Received					**$153.85**	

Assets shown here are not reflected in your account. This information has been received from sources we believe to be reliable. Pershing does not guarantee the accuracy of the information.

Schedule of Realized Gains and Losses Year-to-Date

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term								
01/04/12	09/29/11 *	SELL First In First Out	BARCLAYS BK PLC IPATH INDEX LKD TO	DJP	45.000	1,939.95	1,943.55	3.60
01/04/12	10/04/11 *	SELL First In First Out	BARCLAYS BK PLC IPATH DOW JONES UBS	JJG	3.000	127.42	136.34	8.92
01/04/12	09/29/11 *	SELL First In First Out	ISHARES COMEX GOLD TR ISHARES	IAU	26.000	412.36	409.21	-3.15
01/04/12	09/29/11 *	SELL First In First Out	ISHARES TR BARCLAYS 7-10 YR TREAS BD FD	IEF	7.000	729.61	731.66	2.05
01/04/12	09/29/11 *	SELL First In First Out	ISHARES TR RUSSELL 2000 VALUE INDEX FD	IWN	12.000	705.72	799.56	93.84
01/04/12	09/29/11 *	SELL First In First Out	ISHARES TR RUSSELL 2000 GROWTH INDEX FD	IWO	38.000	2,923.34	3,228.20	304.86
01/04/12	10/04/11 *	SELL First In First Out	ISHARES TR RUSSELL 2000 GROWTH INDEXED	IWO	2.000	140.88	169.91	29.03

ATTACHMENT 4

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BENJAMIN F. EDWARDS & CO.
INVESTMENTS *for* GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

Statement Period: 12/01/2012 - 12/31/2012

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term *(continued)*								
01/04/12	09/29/11 *	SELL First In First Out	ISHARES TR DOW JONES U S UTILS SECTOR	IDU	2.000	165.26	173.52	8.26
01/04/12	09/29/11 *	SELL First In First Out	SELECT SECTOR SPDR TR FINANCIAL	XLF	62.000	757.64	823.98	66.34
01/04/12	04/01/11 *	SELL First In First Out	SELECT SECTOR SPDR TR TECHNOLOGY	XLK	13.000	338.94	336.00	-2.94
01/04/12	09/29/11 *	SELL First In First Out	SELECT SECTOR SPDR TR TECHNOLOGY	XLK	81.000	1,993.41	2,093.56	100.15
01/04/12	10/04/11 *	SELL First In First Out	SELECT SECTOR SPDR TR TECHNOLOGY	XLK	21.000	484.29	542.78	58.49
04/03/12	10/04/11 *	SELL First In First Out	BARCLAYS BK PLC IPATH DOW JONES UBS	JJG	20.000	849.49	956.68	107.19
04/03/12	09/29/11 *	SELL First In First Out	ISHARES TR IBOXX USD INVT GRADE CORP BD	LQD	3.000	336.30	344.86	8.56
04/03/12	01/04/12	SELL First In First Out	ISHARES TR BARCLAYS 20+ TREAS BD FD	TLT	19.000	2,245.61	2,125.25	-120.36
04/03/12	09/29/11 *	SELL First In First Out	ISHARES TR BARCLAYS 7-10 YR TREAS BD FD	IEF	3.000	312.69	309.09	-3.60
04/03/12	09/29/11 *	SELL First In First Out	ISHARES TR DOW JONES U S UTILS SECTOR	IDU	7.000	578.41	602.74	24.33
04/03/12	10/04/11 *	SELL First In First Out	ISHARES TR DOW JONES U S UTILS SECTOR	IDU	3.000	233.63	258.31	24.68

ATTACHMENT 4

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Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term *(continued)*								
04/03/12	01/04/12	SELL First In First Out	ISHARES TR DOW JONES U S TECHNOLOGY	IYW	23.000	1,496.15	1,798.21	302.06
04/03/12	09/29/11 *	SELL First In First Out	ISHARES TR BARCLAYS MBS BD FD	MBB	4.000	432.44	430.72	-1.72
04/03/12	07/01/11 *	SELL First In First Out	SELECT SECTOR SPDR TR HEALTH CARE	XLV	6.000	214.73	225.48	10.75
04/03/12	07/01/11 *	SELL First In First Out	SELECT SECTOR SPDR TR CONSUMER STAPLES	XLP	10.000	313.79	340.51	26.72
04/03/12	09/29/11 *	SELL First In First Out	SELECT SECTOR SPDR TR CONSUMER STAPLES	XLP	34.000	1,021.70	1,157.74	136.04
04/03/12	09/29/11 *	SELL First In First Out	VANGUARD INDEX FDS VANGUARD REIT ETF	VNQ	5.000	262.20	318.46	56.26
07/05/12	09/29/11 *	SELL First In First Out	ISHARES TR IBOXX USD INVT GRADE CORP BD	LQD	1.000	112.10	118.20	6.10
07/05/12	09/29/11 *	SELL First In First Out	ISHARES TR BARCLAYS 7-10 YR TREAS BD FD	IEF	1.000	104.23	108.34	4.11
07/05/12	01/04/12	SELL First In First Out	ISHARES TR DOW JONES U S FINL SECTOR	IYF	19.000	948.65	1,052.74	104.09
07/05/12	09/29/11 *	SELL First In First Out	ISHARES TR IBOXX $ HIGH YIELD CORP BD	HYG	11.000	935.00	998.03	63.03
07/05/12	09/29/11 *	SELL First In First Out	ISHARES TR BARCLAYS MBS BD FD	MBB	1.000	108.11	108.44	0.33
07/05/12	04/03/12	SELL First In First Out	POWERSHARES EXCHANGE-TRADED FD	PSCF	22.000	662.08	666.41	4.33
07/05/12	09/29/11 *	SELL First In First Out	SPDR SER TR BARCLAYS HIGH YIELD BD ETF	JNK	27.000	1,002.24	1,060.67	58.43
07/05/12	09/29/11 *	SELL First In First Out	VANGUARD INDEX FDS VANGUARD REIT ETF	VNQ	6.000	314.64	396.55	81.91

ATTACHMENT 4

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BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

Statement Period: 12/01/2012 - 12/31/2012

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term *(continued)*								
10/02/12	01/04/12	SELL First In First Out	ISHARES TR DOW JONES U S TECHNOLOGY	IYW	11.000	715.55	831.71	116.16
Total Short Term						$23,918.56	$25,597.41	$1,678.85
Long Term								
01/04/12	10/01/09 *	SELL First In First Out	13SELECT SECTOR SPDR TR MATLS	XLB	3.000	90.68	103.95	13.27
01/04/12	04/03/09 *	SELL First In First Out	13SELECT SECTOR SPDR TR HEALTH CARE	XLV	15.000	358.62	524.10	165.48
01/04/12	10/01/10 *	SELL First In First Out	13SELECT SECTOR SPDR TR HEALTH CARE	XLV	7.000	213.29	244.58	31.29
01/04/12	07/02/07 *	SELL First In First Out	13SELECT SECTOR SPDR TR CONSUMER STAPLES	XLP	11.000	299.90	355.52	55.62
01/04/12	01/05/09 *	SELL First In First Out	13SELECT SECTOR SPDR TR CONSUMER STAPLES	XLP	3.000	72.58	96.96	24.38
01/04/12	01/05/09 *	SELL First In First Out	13SELECT SECTOR SPDR TR CONSUMER	XLY	13.000	291.97	515.97	224.00
01/04/12	01/05/09 *	SELL First In First Out	13SELECT SECTOR SPDR TR ENERGY	XLE	6.000	308.26	426.66	118.40
01/04/12	04/03/09 *	SELL First In First Out	13SELECT SECTOR SPDR TR ENERGY	XLE	4.000	182.56	284.44	101.88
01/04/12	10/01/10 *	SELL First In First Out	13SELECT SECTOR SPDR TR ENERGY	XLE	1.000	56.72	71.11	14.39

ATTACHMENT 4

[redacted]

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Long Term *(continued)*								
01/04/12	01/05/09 *	SELL First In First Out	[13]SELECT SECTOR SPDR TR FINANCIAL	XLF	26.000	327.48	345.54	18.06
01/04/12	10/01/10 *	SELL First In First Out	[13]SELECT SECTOR SPDR TR FINANCIAL	XLF	41.000	593.03	544.89	-48.14
01/04/12	09/29/11 *	SELL First In First Out	SELECT SECTOR SPDR TR FINANCIAL 1002 day(s) added to your holding period as a result of a wash sale.	XLF	48.000	656.91	637.92	-18.99
01/04/12	04/03/09 *	SELL First In First Out	[13]SELECT SECTOR SPDR TR INDL	XLI	12.000	237.57	415.20	177.63
01/04/12	01/05/09 *	SELL First In First Out	[13]SELECT SECTOR SPDR TR TECHNOLOGY	XLK	28.000	445.90	723.70	277.80
01/04/12	04/03/09 *	SELL First In First Out	[13]SELECT SECTOR SPDR TR TECHNOLOGY	XLK	11.000	183.27	284.31	101.04
01/04/12	10/01/10 *	SELL First In First Out	[13]SELECT SECTOR SPDR TR TECHNOLOGY	XLK	26.000	599.67	672.01	72.34
04/03/12	04/01/11 *	SELL First In First Out	ISHARES TR IBOXX USD INVT GRADE CORP BD	LQD	8.000	864.79	919.63	54.84
04/03/12	10/01/10 *	SELL First In First Out	[13]SELECT SECTOR SPDR TR HEALTH CARE	XLV	8.000	243.77	300.64	56.87
04/03/12	01/05/09 *	SELL First In First Out	[13]SELECT SECTOR SPDR TR CONSUMER STAPLES	XLP	4.000	96.78	136.20	39.42
04/03/12	10/01/10 *	SELL First In First Out	[13]SELECT SECTOR SPDR TR CONSUMER STAPLES	XLP	15.000	418.79	510.76	91.97
04/03/12	10/01/10 *	SELL First In First Out	[13]SELECT SECTOR SPDR TR ENERGY	XLE	5.000	283.62	356.70	73.08
10/02/12	09/29/11 *	SELL First In First Out	BARCLAYS BK PLC IPATH INDEX LKD TO	DJP	3.000	129.33	132.96	3.63
10/02/12	09/29/11 *	SELL First In First Out	ISHARES COMEX GOLD TR ISHARES	IAU	7.000	111.02	120.82	9.80

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BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Statement Period: 12/01/2012 - 12/31/2012

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Long Term *(continued)*								
10/02/12	09/29/11 *	SELL First In First Out	ISHARES TR IBOXX USD INVT GRADE CORP BD	LQD	1.000	112.10	121.63	9.53
10/02/12	07/01/11 *	SELL First In First Out	SELECT SECTOR SPDR TR HEALTH CARE	XLV	2.000	71.57	81.00	9.43
10/02/12	09/29/11 *	SELL First In First Out	SELECT SECTOR SPDR TR HEALTH CARE	XLV	1.000	32.41	40.50	8.09
10/02/12	01/05/09 *	SELL First In First Out	[13]SELECT SECTOR SPDR TR CONSUMER	XLY	3.000	67.38	139.89	72.51
Total Long Term						$7,349.97	$9,107.59	$1,757.62
Total Short Term and Long Term						$31,268.53	$34,705.00	$3,436.47

* Noncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L.110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for noncovered securities. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. In addition, corporate action events may require adjustments to your original cost basis. Return of capital information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable.

Adjustments to cost basis can be made after year end. In particular, for return of capital adjustments, but may also include adjustments for corporate action events. Therefore there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports, including your 1099-B or online display you may have available to you.

ATTACHMENT 4

[redacted]

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

When you report your cost basis on your tax return, it should be verified using all of your own records. In particular, there may be other adjustments which you need to make, but are not required to be made by Pershing as it relates to H.R. 1424. You should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

13 The cost basis of this security has been provided to us by the delivering firm or transferring agent and Pershing makes no representation as to the accuracy of this information.

Please refer to the Client Service Information Section in your brokerage account statement for your account's existing tax-lot disposition method. The disposition method is the method which you have selected to use in the disposal of each tax lot of the securities held in your account. If you do not select a method, your account will be defaulted to First In, First Out (FIFO). Your account's selected tax lot method will be used to determine the cost basis for calculating gain and/or loss, unless another method was selected at the time of the security disposal, and this may be reported on the IRS Form 1099-B.

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Securities		
Securities Sold	0.00	34,705.00
Total Securities	**$0.00**	**$34,705.00**
Additional Transactions		
Dividends and Interest	334.69	2,300.75
Distributions	3.19	55.74
Fees	0.00	0.00
Total Additional Transactions	**$337.88**	**$2,356.49**
Money Market and Bank Deposits		
FDIC Insured Bank Deposits	0.00	1,867.21
Total Money Market and Bank Deposits	**$0.00**	**$1,867.21**
Total Credits	**$337.88**	**$38,928.70**

Debits	This Period	Year-to-Date
Securities		
Securities Bought	0.00	-35,214.93
Total Securities	**$0.00**	**-$35,214.93**
Additional Transactions		
Dividends and Interest	0.00	0.00
Distributions	0.00	0.00
Fees	0.00	-1,493.02
Total Additional Transactions	**$0.00**	**-$1,493.02**
Money Market and Bank Deposits		
FDIC Insured Bank Deposits	-203.73	-2,147.59
Total Money Market and Bank Deposits	**-$203.73**	**-$2,147.59**
Total Debits	**-$203.73**	**-$38,855.54**

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
12/07/12	LONG TERM CAPITAL GAIN DISTRIBUTION LQD	25 SHRS ISHARES TR IBOXX USD INVT GRADE CORP BD FD RD 12/05 PD 12/07/12				0.23	USD
12/07/12	CASH DIVIDEND RECEIVED LQD	25 SHRS ISHARES TR IBOXX USD INVT GRADE CORP BD FD RD 12/05 PD 12/07/12				9.15	USD
12/07/12	CASH DIVIDEND RECEIVED IEF	6 SHRS ISHARES TR BARCLAYS 7-10 YR TREAS BD FD RD 12/05 PD 12/07/12				0.82	USD
12/07/12	CASH DIVIDEND RECEIVED HYG	102 SHRS ISHARES TR IBOXX $ HIGH YIELD CORP BD FD RD 12/05 PD 12/07/12				49.88	USD
12/07/12	CASH DIVIDEND RECEIVED MBB	13 SHRS ISHARES TR BARCLAYS MBS BD FD RD 12/05 PD 12/07/12				1.32	USD

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INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

Statement Period: 12/01/2012 - 12/31/2012

Transactions in Date Sequence *(continued)*

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
12/07/12	SHORT TERM CAPITAL GAIN DISTRIBUTION MBB	13 SHRS ISHARES TR BARCLAYS MBS BD FD RD 12/05 PD 12/07/12				2.96	USD
12/07/12	CASH DIVIDEND RECEIVED CIU	6 SHRS ISHARES TR BARCLAYS INTER CR BD FD RD 12/05 PD 12/07/12				1.65	USD
12/07/12	CASH DIVIDEND RECEIVED AMPS	20 SHRS ISHARES TR UTILITIES SECTOR BD FD RD 12/05 PD 12/07/12				2.67	USD
12/10/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-68.68	USD
12/11/12	CASH DIVIDEND RECEIVED JNK	233 SHRS SPDR SER TR BARCLAYS HIGH YIELD BD ETF RD 12/05 PD 12/11/12				51.86	USD
12/12/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-51.86	USD
12/14/12	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED 250990041	DEUTSCHE BANK INS INTEREST REINVESTED				-0.10	USD
12/14/12	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED				0.10	USD
12/26/12	CASH DIVIDEND RECEIVED IWN	23 SHRS ISHARES TR RUSSELL 2000 VALUE INDEX FD RD 12/21 PD 12/26/12				17.83	USD
12/26/12	CASH DIVIDEND RECEIVED IWO	18 SHRS ISHARES TR RUSSELL 2000 GROWTH INDEX FD RD 12/21 PD 12/26/12				14.13	USD
12/26/12	CASH DIVIDEND RECEIVED IDU	8 SHRS ISHARES TR DOW JONES U S UTILS SECTOR INDEX FD RD 12/21 PD 12/26/12				5.26	USD
12/26/12	CASH DIVIDEND RECEIVED IYW	41 SHRS ISHARES TR DOW JONES U S TECHNOLOGY SECTOR RD 12/21 PD 12/26/12				11.23	USD
12/26/12	CASH DIVIDEND RECEIVED IYF	43 SHRS ISHARES TR DOW JONES U S FINL SECTOR INDEX FD RD 12/21 PD 12/26/12				15.21	USD
12/27/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-63.66	USD
12/27/12	CASH DIVIDEND RECEIVED EWZ	12 SHRS ISHARES INC MSCI BRAZIL FREE INDEX FD RD 12/20 PD 12/27/12				4.58	USD

ATTACHMENT 4

Transactions in Date Sequence *(continued)*

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
12/27/12	CASH DIVIDEND RECEIVED VWO	33 SHRS VANGUARD INTL EQUITY INDEX FDS MSCI EMERGING MKTS ETF RD 12/24 PD 12/27/12				14.85	USD
12/28/12	FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-19.43	USD
12/31/12	CASH DIVIDEND RECEIVED PSCE	20 SHRS POWERSHARES EXCHANGE-TRADED FD TR II S&P SMALLCAP ENERGY PORT RD 12/26 PD 12/31/12				4.98	USD
12/31/12	CASH DIVIDEND RECEIVED PSCH	18 SHRS POWERSHARES EXCHANGE-TRADED FD TR II S&P SMALLCAP HEALTH CARE PORT RD 12/26 PD 12/31/12				2.45	USD
12/31/12	CASH DIVIDEND RECEIVED VNQ	159 SHRS VANGUARD INDEX FDS VANGUARD REIT ETF RD 12/27 PD 12/31/12				126.72	USD
Total Value of Transactions					**$0.00**	**$134.15**	

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period		Year-to-Date	
	Taxable	Non Taxable	Taxable	Non Taxable
Dividend Income				
Equities	334.59	0.00	2,299.49	0.00
Interest Income				
FDIC Insured Bank Deposits	0.10	0.00	1.26	0.00
Total Dividends, Interest, Income and Expenses	**$334.69**	**$0.00**	**$2,300.75**	**$0.00**
Distributions				
Long - Term Capital Gain Distributions	0.23	0.00	52.78	0.00
Short - Term Capital Gain Distributions	2.96	0.00	2.96	0.00
Total Distributions	**$3.19**	**$0.00**	**$55.74**	**$0.00**

Messages

Although a money market mutual fund seeks to preserve the value of your investment at $1 per share, it is possible to lose money by investing in a money market mutual fund. Please see the money market mutual fund's prospectus or contact your investment professional for additional information.

Please note the following information for Pershing's 2012 mailing of Internal Revenue Service (IRS) Forms 1099 (B, DIV, INT, OID and MISC). Your Form 1099(s) will be mailed by February 28, 2013. Pershing has decided to delay this mailing to reduce the need for 1099 revisions, due to late information received from issuers regarding income reclassifications and cost basis-related adjustments.

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).
YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET FUND AND FDIC INSURED BANK RATES AND BANK LISTS." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEBSITE.

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When you choose electronic delivery of brokerage account statements and trade confirmations, there is no more searching through a file cabinet or shoebox to find what you're looking for as everything you need is located in one secure, online location. Switching your document delivery preferences takes just a moment and provides a level of convenience you're sure to appreciate.

[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

Account Number: [redacted]
Statement Period: 01/01/2012 - 01/31/2012

CHARLES J FLEISCHMANN
[redacted]

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	$205,199.62	$205,199.62
Dividends/Interest	17.09	17.09
Change in Account Value	0.00	0.00
Ending Account Value	$205,216.71	$205,216.71
Estimated Annual Income	$17.09	

Your Financial Consultant:
WPETTY / JPETTY / SSHOLL SPLIT
(423) 668-5411

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds, and FDIC Deposits[1]	205,199.62	205,199.62	205,216.71	100%
Account Total	$205,199.62	$205,199.62	$205,216.71	100%

Your Account is 100% Invested in Cash, Money Funds, and FDIC Deposits.

1 **Cash in your account includes FDIC insured bank deposits.**
FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation, up to allowable limits

ATTACHMENT 5

[redacted]

Client Service Information

Your Financial Consultant: S26	Contact Information
WPETTY / JPETTY / SSHOLL SPLIT 345 FRAZIER SUITE 205 CHATTANOOGA TN 37405-4121	Telephone Number: (423) 668-5411 Fax Number: (423) 668-5412

Investment Objective: CONSERVATIVE INCOME
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

FDIC Insured Bank Deposits

Sweep FDIC Insured Bank Deposits

DEUTSCHE BANK INS DEPOSIT E
Account Number: [redacted] Activity Ending: 01/31/12

Date	Activity Type	Description	Amount	Balance
12/31/11	Opening Balance		205,199.62	205,199.62
01/13/12	Deposit	INTEREST CREDITED	17.09	205,216.71
01/13/12		APY 0.1%		205,216.71
01/17/12		Citizens Bank		205,216.71
01/17/12		A/O 01/17 $205216.71		205,216.71
01/31/12	**Closing Balance**			**$205,216.71**

Total FDIC Insured Bank Deposits **$205,216.71**

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Note: For the period from December 31, 2010 through December 31, 2012 the FDIC provides unlimited insurance coverage on certain non interest bearing bank accounts. If your account is in such a program it will be stated in your Message section.

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BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

Statement Period: 01/01/2012 - 01/31/2012

Portfolio Holdings

Quantity	Description	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-day Yield
Cash, Money Funds, and FDIC Deposits 100.00% of Portfolio						
FDIC Insured Bank Deposits						
205,216.710	DEUTSCHE BANK INS DEPOSIT E	205,199.62	205,216.71	8.01	17.09	N/A
Total FDIC Insured Bank Deposits		$205,199.62	$205,216.71	$8.01	$17.09	
Total Cash, Money Funds, and FDIC Deposits		$205,199.62	$205,216.71	$8.01	$17.09	

Description	Market Value	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$205,216.71	$0.00	$17.09

Disclosures and Other Information

Pricing - This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices have been obtained from quotation services, which we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

ATTACHMENT 5

[redacted]

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Additional Transactions		
Dividends and Interest	17.09	17.09
FDIC Insured Bank Deposits	0.00	0.00
Total Additional Transactions	**$17.09**	**$17.09**
Total Credits	**$17.09**	**$17.09**

Debits	This Period	Year-to-Date
Additional Transactions		
Dividends and Interest	0.00	0.00
FDIC Insured Bank Deposits	-17.09	-17.09
Total Additional Transactions	**-$17.09**	**-$17.09**
Total Debits	**-$17.09**	**-$17.09**

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
01/13/12	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED 250990041	DEUTSCHE BANK INS INTEREST REINVESTED				-17.09	USD
01/13/12	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED				17.09	USD
Total Value of all Transactions					**$0.00**	**$0.00**	

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period		Year-to-Date	
	Taxable	Non Taxable	Taxable	Non Taxable
Interest Income				
FDIC Insured Bank Deposits	17.09	0.00	17.09	0.00
Total Dividends, Interest, Income and Expenses	**$17.09**	**$0.00**	**$17.09**	**$0.00**

Messages

Please note the following information for Pershing's 2011 mailing of Internal Revenue Service (IRS) Forms 1099 (B, DIV, INT, OID and MISC). Your Form 1099(s) will be mailed by February 15, 2012, as a result of recent legislative changes. Pershing will not be producing tax information statements by January 31, 2012.

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).
YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET FUND AND FDIC INSURED BANK RATES AND BANK LISTS." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEBSITE.

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If you currently have online access to your accounts through NetExchange Client, you can sign up for e-delivery of your statements and trade confirmations, as well as Mutual Fund, ETF and UIT prospectus, by

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Statement Period: 01/01/2012 - 01/31/2012

Messages *(continued)*

clicking on the Go Paperless button when you log in. If you do not have on-line access, please contact your financial consultant and the process can be expedited for you.

If you don't desire on-line access to your accounts, you can still receive your confirmations and statements via email by logging on to www.myedocumentsuite.com to register and choose electronic delivery, or contact your financial consultant for assistance.

Make the move to paperless today!

Important Arbitration Agreement and Important Arbitration Disclosures

Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until: (I) the class certification is denied; (II) the class is decertified; or (III) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

Pershing's contact information is as follows: Pershing LLC; Legal Department; One Pershing Plaza; Jersey City, New Jersey 07399; (201) 413-3330.

ATTACHMENT 5

[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

Account Number: [redacted]
Statement Period: 02/01/2012 - 02/29/2012

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	$205,216.71	$205,199.62
Dividends/Interest	16.02	33.11
Change in Account Value	0.00	0.00
Ending Account Value	$205,232.73	$205,232.73
Estimated Annual Income	$33.11	

CHARLES J FLEISCHMANN
[redacted]

Your Financial Consultant:
WPETTY / JPETTY / SSHOLL SPLIT
(423) 668-5411

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds, and FDIC Deposits[1]	205,199.62	205,216.71	205,232.73	100%
Account Total	$205,199.62	$205,216.71	$205,232.73	100%

Your Account is 100% invested in Cash, Money Funds, and FDIC Deposits.

1 Cash in your account includes FDIC insured bank deposits.
FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation, up to allowable limits

ATTACHMENT 5

[redacted]

Client Service Information

Your Financial Consultant: S26	Contact Information
WPETTY / JPETTY / SSHOLL SPLIT 345 FRAZIER SUITE 205 CHATTANOOGA TN 37405-4121	Telephone Number: (423) 668-5411 Fax Number: (423) 668-5412

Investment Objective: CONSERVATIVE INCOME
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

FDIC Insured Bank Deposits

Sweep FDIC Insured Bank Deposits

DEUTSCHE BANK INS DEPOSIT E
Account Number [redacted] Activity Ending: 02/29/12

Date	Activity Type	Description	Amount	Balance
02/01/12	Opening Balance		205,216.71	205,216.71
02/15/12	Deposit	INTEREST CREDITED	16.02	205,232.73
02/15/12		APY 0.1%		205,232.73
02/16/12		Citizens Bank		205,232.73
02/16/12		A/O 02/16 $205232.73		205,232.73
02/29/12	Closing Balance			$205,232.73

Total FDIC Insured Bank Deposits $205,232.73

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Note: For the period from December 31, 2010 through December 31, 2012 the FDIC provides unlimited insurance coverage on certain non interest bearing bank accounts. If your account is in such a program it will be stated in your Message section.

ATTACHMENT 5

[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

Statement Period: 02/01/2012 - 02/29/2012

Portfolio Holdings

Quantity	Description	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-day Yield
Cash, Money Funds, and FDIC Deposits 100.00% of Portfolio						
FDIC Insured Bank Deposits						
205,232.730	DEUTSCHE BANK INS DEPOSIT E	205,216.71	205,232.73	7.48	33.11	N/A
Total FDIC Insured Bank Deposits		$205,216.71	$205,232.73	$7.48	$33.11	
Total Cash, Money Funds, and FDIC Deposits		$205,216.71	$205,232.73	$7.48	$33.11	

Description	Market Value	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$205,232.73	$0.00	$33.11

Disclosures and Other Information

Pricing - This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices have been obtained from quotation services, which we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

ATTACHMENT 5

[redacted]

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Additional Transactions		
Dividends and Interest	16.02	33.11
FDIC Insured Bank Deposits	0.00	0.00
Total Additional Transactions	**$16.02**	**$33.11**
Total Credits	**$16.02**	**$33.11**

Debits	This Period	Year-to-Date
Additional Transactions		
Dividends and Interest	0.00	0.00
FDIC Insured Bank Deposits	-16.02	-33.11
Total Additional Transactions	**-$16.02**	**-$33.11**
Total Debits	**-$16.02**	**-$33.11**

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
02/15/12	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED 250990041	DEUTSCHE BANK INS INTEREST REINVESTED				-16.02	USD
02/15/12	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED				16.02	USD
Total Value of all Transactions					**$0.00**	**$0.00**	

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period		Year-to-Date	
	Taxable	Non Taxable	Taxable	Non Taxable
Interest Income				
FDIC Insured Bank Deposits	16.02	0.00	33.11	0.00
Total Dividends, Interest, Income and Expenses	**$16.02**	**$0.00**	**$33.11**	**$0.00**

Messages

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).
YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET FUND AND FDIC INSURED BANK RATES AND BANK LISTS." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEBSITE.

Go Paperless!

When you choose electronic delivery of brokerage account statements and trade confirmations, there is no more searching through a file cabinet or shoebox to find what you're looking for as everything you need is located in one secure, online location. Switching your document delivery preferences takes just a moment and provides a level of convenience you're sure to appreciate.

If you currently have online access to your accounts through NetExchange Client, you can sign up for e-delivery of your statements and trade confirmations, as well as Mutual Fund, ETF and UIT prospectus, by clicking on the Go Paperless button when you log in. If you do not have on-line access, please contact your financial consultant and the process can be expedited for you.

ATTACHMENT 5

[redacted]

Statement Period: 02/01/2012 - 02/29/2012

Messages *(continued)*

If you don't desire on-line access to your accounts, you can still receive your confirmations and statements via email by logging on to www.myedocumentsuite.com to register and choose electronic delivery, or contact your financial consultant for assistance.

Make the move to paperless today!

Important Arbitration Disclosures and Important Arbitration Agreement

Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until; (I) the class certification is denied; (II) the class is decertified; or (III) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Pershing's contact information is as follows: Pershing LLC; Legal Department; One Pershing Plaza; Jersey City, New Jersey 07399; (201) 413-3330.

ATTACHMENT 5

[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

CHARLES J FLEISCHMANN
[redacted]

Your Financial Consultant:
WARD PETTY AND JOE PETTY
(423) 668-5411

Account Number: [redacted]
Statement Period: 03/01/2012 - 03/31/2012

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	$205,232.73	$205,199.62
Dividends/Interest	15.49	48.60
Change in Account Value	0.00	0.00
Ending Account Value	$205,248.22	$205,248.22
Estimated Annual Income	$48.60	

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds, and FDIC Deposits1	205,199.62	205,232.73	205,248.22	100%
Account Total	$205,199.62	$205,232.73	$205,248.22	100%

Your Account is 100% invested in Cash, Money Funds, and FDIC Deposits.

1 Cash in your account includes FDIC insured bank deposits.
FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation, up to allowable limits

ATTACHMENT 5

[redacted]

Client Service Information

Your Financial Consultant: S38	Contact Information
WARD PETTY AND JOE PETTY 345 FRAZIER SUITE 205 CHATTANOOGA TN 37405-4121	Telephone Number: (423) 668-5411 Fax Number: (423) 668-5412

Investment Objective: CONSERVATIVE INCOME
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

FDIC Insured Bank Deposits

Sweep FDIC Insured Bank Deposits

DEUTSCHE BANK INS DEPOSIT E
Account Number: [redacted] Activity Ending: 03/30/12

Date	Activity Type	Description	Amount	Balance
03/01/12	Opening Balance		205,232.73	205,232.73
03/15/12	Deposit	INTEREST CREDITED	15.49	205,248.22
03/15/12		APY 0.1%		205,248.22
03/16/12		Citizens Bank		205,248.22
03/16/12		A/O 03/16 $205248.22		205,248.22
03/30/12	**Closing Balance**			**$205,248.22**

Total FDIC Insured Bank Deposits **$205,248.22**

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Note: For the period from December 31, 2010 through December 31, 2012 the FDIC provides unlimited insurance coverage on certain non interest bearing bank accounts. If your account is in such a program it will be stated in your Message section.

ATTACHMENT 5

[redacted]

Statement Period: 03/01/2012 - 03/31/2012

Portfolio Holdings

Quantity	Description	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-day Yield
Cash, Money Funds, and FDIC Deposits 100.00% of Portfolio						
FDIC Insured Bank Deposits						
205,248.220	DEUTSCHE BANK INS DEPOSIT E	205,232.73	205,248.22	9.08	48.60	N/A
Total FDIC Insured Bank Deposits		$205,232.73	$205,248.22	$9.08	$48.60	
Total Cash, Money Funds, and FDIC Deposits		$205,232.73	$205,248.22	$9.08	$48.60	

Description	Market Value	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$205,248.22	$0.00	$48.60

Disclosures and Other Information

Pricing - This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices have been obtained from quotation services, which we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

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Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

ATTACHMENT 5

[redacted]

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Additional Transactions		
Dividends and Interest	15.49	48.60
FDIC Insured Bank Deposits	0.00	0.00
Total Additional Transactions	**$15.49**	**$48.60**
Total Credits	**$15.49**	**$48.60**

Debits	This Period	Year-to-Date
Additional Transactions		
Dividends and Interest	0.00	0.00
FDIC Insured Bank Deposits	-15.49	-48.60
Total Additional Transactions	**-$15.49**	**-$48.60**
Total Debits	**-$15.49**	**-$48.60**

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
03/15/12	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED 250990041	DEUTSCHE BANK INS INTEREST REINVESTED				-15.49	USD
03/15/12	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED				15.49	USD
Total Value of all Transactions					**$0.00**	**$0.00**	

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period		Year-to-Date	
	Taxable	Non Taxable	Taxable	Non Taxable
Interest Income				
FDIC Insured Bank Deposits	15.49	0.00	48.60	0.00
Total Dividends, Interest, Income and Expenses	**$15.49**	**$0.00**	**$48.60**	**$0.00**

Messages

Pershing has been advised by your financial organization that it does not receive payment for directing order flow. See the Terms and Conditions section of your brokerage account statement for a description of Pershing's payment for order flow practices.

For additional information regarding order-routing practices and the venues to which your financial organization's orders are routed, you may visit www.orderroutingdisclosure.com.

Upon written request to your financial organization, you may obtain the identity of the venue to which your orders were routed for the six months prior to your request and the time of transactions that may have resulted from such orders.

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC). YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET FUND AND FDIC INSURED BANK RATES AND BANK LISTS." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEBSITE.

Go Paperless!

ATTACHMENT 5

Account Number [redacted]
CHARLES J FLEISCHMANN

Messages *(continued)*

When you choose electronic delivery of brokerage account statements and trade confirmations, there is no more searching through a file cabinet or shoebox to find what you're looking for as everything you need is located in one secure, online location. Switching your document delivery preferences takes just a moment and provides a level of convenience you're sure to appreciate.

If you currently have online access to your accounts through NetExchange Client, you can sign up for e-delivery of your statements and trade confirmations, as well as Mutual Fund, ETF and UIT prospectus, by clicking on the Go Paperless button when you log in. If you do not have on-line access, please contact your financial consultant and the process can be expedited for you.

If you don't desire on-line access to your accounts, you can still receive your confirmations and statements via email by logging on to www.myedocumentsuite.com to register and choose electronic delivery, or contact your financial consultant for assistance.

Make the move to paperless today!

Important Arbitration Disclosures and Important Arbitration Agreement

Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until; (I) the class certification is denied; (II) the class is decertified; or (III) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Pershing's contact information is as follows: Pershing LLC; Legal Department; One Pershing Plaza; Jersey City, New Jersey 07399; (201) 413-3330.

ATTACHMENT 5

[redacted]

TERMS AND CONDITIONS

GENERAL INFORMATION

1. ANY FREE CREDIT BALANCE CARRIED FOR YOUR ACCOUNT REPRESENTS FUNDS PAYABLE UPON DEMAND WHICH, ALTHOUGH PROPERLY ACCOUNTED FOR ON PERSHING'S BOOKS OF RECORD, ARE NOT SEGREGATED AND MAY BE USED IN THE CONDUCT OF ITS BUSINESS.
2. ALL ORDERS AND TRANSACTIONS SHALL BE SOLELY FOR YOUR ACCOUNT AND RISK SHALL BE SUBJECT TO THE CONSTITUTION, RULES, REGULATIONS, CUSTOMS, USAGES, RULINGS AND INTERPRETATIONS OF THE EXCHANGE OR MARKET AND THE CLEARING FACILITY, IF ANY, WHERE THE TRANSACTIONS ARE EXECUTED AND/OR SETTLED, OR IF APPLICABLE, OF THE FINANCIAL INDUSTRY REGULATORY AUTHORITY AND TO ALL APPLICABLE LAWS AND REGULATIONS.
3. WHENEVER YOU ARE INDEBTED TO PERSHING LLC ("PERSHING") FOR ANY AMOUNT, ALL SECURITIES HELD BY IT FOR YOU IN ANY ACCOUNT IN WHICH YOU HAVE ANY INTEREST SHALL SECURE ALL YOUR LIABILITIES TO PERSHING, AND PERSHING MAY IN ITS DISCRETION AT ANY TIME, WITHOUT TENDER, DEMAND OR NOTICE TO YOU, CLOSE OR REDUCE ANY OR ALL OF YOUR ACCOUNTS BY PUBLIC OR PRIVATE SALE OR PURCHASE OR BOTH OF ALL OR ANY SECURITIES CARRIED IN SUCH ACCOUNTS; ANY BALANCE REMAINING DUE PERSHING TO BE PROMPTLY PAID BY YOU.
4. WHENEVER YOU ARE INDEBTED TO PERSHING FOR ANY AMOUNT, ALL SECURITIES CARRIED FOR YOUR ACCOUNT ARE OR MAY BE, WITHOUT FURTHER NOTICE TO YOU, LOANED OR PLEDGED BY PERSHING, EITHER SEPARATELY OR UNDER CIRCUMSTANCES WHICH WILL PERMIT THE COMMINGLING THEREOF, WITH OTHER SECURITIES FOR ANY AMOUNT LESS THAN, EQUAL TO OR GREATER THAN YOUR LIABILITIES TO PERSHING, BUT NOT UNDER CIRCUMSTANCES FOR AN AMOUNT PROHIBITED BY LAW.
5. TITLE TO SECURITIES SOLD TO YOU, WHERE PERSHING HAS ACTED AS PRINCIPAL, SHALL REMAIN WITH PERSHING UNTIL THE ENTIRE PURCHASE PRICE IS RECEIVED OR UNTIL THE SETTLEMENT DATE, WHICHEVER IS LATER.
6. YOU MAY HAVE RECEIVED CONFIRMATIONS FOR TRANSACTIONS WHICH DO NOT APPEAR ON YOUR STATEMENT. IF SO, THE TRANSACTIONS WILL APPEAR ON YOUR NEXT PERIODIC STATEMENT. SUCH TRANSACTIONS MUST BE CONSIDERED BY YOU WHEN COMPUTING THE VALUE OF YOUR ACCOUNT. THIS IS ESPECIALLY TRUE IF YOU HAVE WRITTEN OPTIONS WHICH HAVE BEEN EXERCISED.
7. IF YOU MAINTAIN A MARGIN ACCOUNT, THIS IS A COMBINED STATEMENT OF YOUR GENERAL ACCOUNT AND A SPECIAL MEMORANDUM ACCOUNT MAINTAINED FOR YOU UNDER REGULATION T OF THE BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM. THE PERMANENT RECORD OF THE SEPARATE ACCOUNT AS REQUIRED BY REGULATION T IS AVAILABLE FOR YOUR INSPECTION UPON REQUEST.
8. INTEREST CHARGED ON DEBIT BALANCES IN YOUR ACCOUNT APPEARS ON THE STATEMENT. THE RATE OF INTEREST AND PERIOD COVERED ARE INDICATED. THE RATE MAY CHANGE FROM TIME TO TIME DUE TO FLUCTUATIONS IN MONEY RATES OR OTHER REASONS. INTEREST IS COMPUTED AS DESCRIBED IN MATERIAL PREVIOUSLY FURNISHED TO YOU. PLEASE CONTACT YOUR FINANCIAL INSTITUTION IF YOU DESIRE ADDITIONAL COPIES.
9. DIVIDENDS, INTEREST AND OTHER DISTRIBUTIONS SHOWN ON THIS STATEMENT WERE CLASSIFIED AS TAXABLE OR NONTAXABLE BASED ON CERTAIN INFORMATION KNOWN AS OF THE DISTRIBUTION DATE. THIS CLASSIFICATION IS SUBJECT TO CHANGE AND IS SOLELY INTENDED FOR USE AS GENERAL INFORMATION. AFTER YEAR END, PERSHING IS REQUIRED TO PROVIDE TAX INFORMATION TO THE INTERNAL REVENUE SERVICE AND OTHER GOVERNMENTAL AUTHORITIES. AT THAT TIME PERSHING WILL PROVIDE THAT INFORMATION ON THE ANNUAL TAX INFORMATION STATEMENT TO YOU; USE THAT STATEMENT TO PREPARE YOUR TAX FILINGS. THE TAX STATEMENT ALSO INCLUDES OTHER USEFUL INFORMATION TO ASSIST IN ACCUMULATING THE DATA TO PREPARE YOUR TAX RETURNS.
10. PERSHING DOES NOT PROVIDE TAX, INVESTMENT OR LEGAL ADVISORY SERVICES AND NO ONE ASSOCIATED WITH PERSHING IS AUTHORIZED TO RENDER SUCH ADVICE. DO NOT RELY UPON ANY SUCH ADVICE, IF GIVEN. INVESTORS ARE ENCOURAGED TO CONSULT THEIR TAX ADVISORS TO DETERMINE THE APPROPRIATE TAX TREATMENT OF THEIR BUSINESS.
11. PERSHING MAY TRADE FOR ITS OWN ACCOUNT AS A MARKET MAKER, SPECIALIST, ODD LOT DEALER, BLOCK POSITIONER, ARBITRAGEUR OR INVESTOR. CONSEQUENTLY, AT THE TIME OF ANY TRANSACTION
YOU MAY MAKE, PERSHING MAY HAVE A POSITION IN SUCH SECURITIES, WHICH POSITION MAY BE PARTIALLY OR COMPLETELY HEDGED.
12. IF AVERAGE PRICE TRANSACTION IS INDICATED ON THE FRONT OF THIS STATEMENT YOUR FINANCIAL INSTITUTION OR PERSHING MAY HAVE ACTED AS PRINCIPAL, AGENT OR BOTH. DETAILS AVAILABLE UPON REQUEST.
13. A FINANCIAL STATEMENT OF PERSHING IS AVAILABLE FOR YOUR PERSONAL INSPECTION AT PERSHING'S OFFICES. A COPY OF IT WILL BE MAILED UPON YOUR WRITTEN REQUEST OR YOU CAN VIEW IT ONLINE AT WWW.PERSHING.COM.
14. THIS STATEMENT SHOULD BE RETAINED FOR YOUR RECORDS.

THE ROLE OF PERSHING

PERSHING CARRIES YOUR ACCOUNT AS CLEARING BROKER PURSUANT TO A CLEARING AGREEMENT WITH YOUR FINANCIAL INSTITUTION. PERSHING MAY ACCEPT FROM YOUR FINANCIAL INSTITUTION WITHOUT INQUIRY OR INVESTIGATION (I) ORDERS FOR THE PURCHASE AND SALE OF SECURITIES AND OTHER PROPERTY AND (II) ANY OTHER INSTRUCTIONS CONCERNING YOUR ACCOUNT. PERSHING IS NOT RESPONSIBLE OR LIABLE FOR ANY ACTS OR OMISSIONS OF YOUR FINANCIAL INSTITUTION OR ITS EMPLOYEES AND IT DOES NOT SUPERVISE THEM. PERSHING PROVIDES NO INVESTMENT ADVICE NOR DOES IT ASSESS THE SUITABILITY OF ANY TRANSACTION OR ORDER. PERSHING ACTS AS THE AGENT OF YOUR FINANCIAL INSTITUTION AND YOU AGREE THAT YOU WILL NOT HOLD PERSHING OR ANY PERSON CONTROLLING OR UNDER COMMON CONTROL WITH IT LIABLE FOR ANY INVESTMENT LOSSES INCURRED BY YOU.

PERSHING PERFORMS SEVERAL KEY FUNCTIONS AT THE DIRECTION OF YOUR FINANCIAL INSTITUTION. IT ACTS AS CUSTODIAN FOR FUNDS AND SECURITIES YOU MAY DEPOSIT WITH IT DIRECTLY OR THROUGH YOUR FINANCIAL INSTITUTION OR THAT IT RECEIVES AS THE RESULT OF SECURITIES TRANSACTIONS IT PROCESSES.

YOUR FINANCIAL INSTITUTION IS RESPONSIBLE FOR ADHERENCE TO THE SECURITIES LAWS, REGULATIONS AND RULES WHICH APPLY TO IT REGARDING ITS OWN OPERATIONS AND THE SUPERVISION OF YOUR ACCOUNT, ITS SALES REPRESENTATIVES AND OTHER PERSONNEL. YOUR FINANCIAL INSTITUTION IS ALSO RESPONSIBLE FOR APPROVING THE OPENING OF ACCOUNTS AND OBTAINING ACCOUNT DOCUMENTS; THE ACCEPTANCE AND, IN CERTAIN INSTANCES, EXECUTION OF SECURITIES ORDERS; THE ASSESSMENT OF THE SUITABILITY OF THOSE TRANSACTIONS, WHERE APPLICABLE; THE RENDERING OF INVESTMENT ADVICE, IF ANY, TO YOU AND IN GENERAL, FOR THE ONGOING RELATIONSHIP THAT IT HAS WITH YOU.

INQUIRIES CONCERNING THE POSITIONS AND BALANCES IN YOUR ACCOUNT MAY BE DIRECTED TO THE PERSHING CUSTOMER SERVICE DEPARTMENT AT (201) 413-3333. ALL OTHER INQUIRIES REGARDING YOUR ACCOUNT OR ACTIVITY SHOULD BE DIRECTED TO YOUR FINANCIAL INSTITUTION. YOUR FINANCIAL ORGANIZATION'S CONTACT INFORMATION CAN BE FOUND ON THE FIRST PAGE OF THIS STATEMENT.

FOR A DESCRIPTION OF OTHER FUNCTIONS PERFORMED BY PERSHING PLEASE CONSULT THE DISCLOSURE STATEMENT PROVIDED TO YOU UPON THE OPENING OF YOUR ACCOUNT. THIS NOTICE IS NOT MEANT AS A DEFINITIVE ENUMERATION OF EVERY POSSIBLE CIRCUMSTANCE, BUT AS A GENERAL DISCLOSURE. IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE OR IF YOU WOULD LIKE ADDITIONAL COPIES OF THE DISCLOSURE STATEMENT, PLEASE CONTACT YOUR FINANCIAL INSTITUTION.

THIS STATEMENT WILL BE DEEMED CONCLUSIVE. YOU ARE ADVISED TO REPORT ANY INACCURACY OR DISCREPANCY (INCLUDING UNAUTHORIZED TRADING), TO YOUR FINANCIAL ORGANIZATION AND PERSHING WITHIN TEN DAYS [illegible] OF THIS STATEMENT. PLEASE BE ADVISED THAT ANY ORAL COMMUNICATION SHOULD BE RE-CONFIRMED IN WRITING TO FURTHER PROTECT YOUR RIGHTS, INCLUDING YOUR RIGHTS UNDER THE SECURITIES INVESTOR PROTECTION ACT.

YOUR FINANCIAL ORGANIZATION'S CONTACT INFORMATION CAN BE FOUND ON THE FIRST PAGE OF THIS STATEMENT. PERSHING'S CONTACT INFORMATION IS AS FOLLOWS: PERSHING LLC; LEGAL DEPARTMENT; ONE PERSHING PLAZA; JERSEY CITY, NEW JERSEY 07399; (201) 413-3330. ERRORS AND OMISSIONS EXCEPTED.

PAYMENT FOR ORDER FLOW PRACTICES

THE FOLLOWING STATEMENT IS PROVIDED TO YOU AS REQUIRED BY RULE 11AC1-3 OF THE SECURITIES EXCHANGE ACT OF 1934.:

PERSHING SENDS CERTAIN EQUITY ORDERS TO EXCHANGES, ELECTRONIC COMMUNICATION NETWORKS, OR BROKER-DEALERS DURING NORMAL BUSINESS HOURS AND DURING EXTENDED TRADING SESSIONS. CERTAIN OF THESE VENUES PROVIDE PAYMENTS TO PERSHING OR CHARGE ACCESS FEES TO PERSHING DEPENDING UPON THE CHARACTERISTICS OF THE ORDER AND ANY SUBSEQUENT EXECUTION. IN ADDITION, PERSHING MAY EXECUTE CERTAIN EQUITY ORDERS AS PRINCIPAL. THE DETAILS OF THESE PAYMENTS AND FEES ARE AVAILABLE UPON WRITTEN REQUEST. PERSHING RECEIVES PAYMENTS FOR DIRECTING LISTED OPTIONS ORDER FLOW TO CERTAIN OPTION EXCHANGES. COMPENSATION IS GENERALLY IN THE FORM OF A PER OPTION CONTRACT CASH PAYMENT. FOR A LISTING OF ORGANIZATIONS THAT PAY PERSHING FOR ORDER FLOW, PLEASE REFER TO WWW.ORDERROUTINGDISCLOSURE.COM

BEST EXECUTION: NOTWITHSTANDING THE PREVIOUS PARAGRAPH REGARDING PAYMENT FOR ORDER FLOW, PERSHING SELECTS CERTAIN MARKET CENTERS TO PROVIDE EXECUTION OF OVER-THE-COUNTER AND EXCHANGE-LISTED SECURITIES TRANSACTIONS WHICH AGREE TO ACCEPT ORDERS, TRANSMITTED ELECTRONICALLY UP TO A SPECIFIED SIZE, AND TO EXECUTE THEM AT OR BETTER THAN THE NATIONAL BEST BID OR OFFER (NBBO). ON CERTAIN LARGER ORDERS, OR IF THE DESIGNATED MARKET CENTERS DO NOT MAKE A MARKET IN THE SUBJECT SECURITY, PERSHING DIRECTLY CONTACTS MARKET CENTERS TO OBTAIN AN EXECUTION. THE DESIGNATED MARKET CENTERS TO WHICH ORDERS ARE AUTOMATICALLY ROUTED ARE SELECTED BASED ON THE CONSISTENT HIGH QUALITY OF THEIR EXECUTIONS IN ONE OR MORE MARKET SEGMENTS AND THEIR ABILITY TO PROVIDE OPPORTUNITIES FOR EXECUTIONS AT PRICES SUPERIOR TO THE NBBO.

IF AN ORDER FOR AN EXCHANGE-LISTED SECURITY IS NOT IMMEDIATELY EXECUTABLE ON THE EXCHANGE TO WHICH IT IS ROUTED, SUCH ORDER MAY BE REPRESENTED IN THE NATIONAL MARKETPLACE USING THE VARIOUS MEANS AVAILABLE FOR PRICE DISCOVERY. PERSHING ALSO REGULARLY REVIEWS REPORTS FOR QUALITY OF EXECUTION PURPOSES.

ATTACHMENT 5

IF ANY OF THE ABOVE TERMS AND CONDITIONS ARE UNACCEPTABLE TO YOU, PLEASE NOTIFY PERSHING IMMEDIATELY IN WRITING BY CERTIFIED MAIL TO ONE PERSHING PLAZA, JERSEY CITY, NJ 07399, ATTN: LEGAL DEPT

[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

CHARLES J FLEISCHMANN
[redacted]

Your Financial Consultant:
WARD PETTY AND JOE PETTY
(423) 668-5411

Account Number: [redacted]
Statement Period: 04/01/2012 - 04/30/2012

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	$205,248.22	$205,199.62
Dividends/Interest	16.56	65.16
Change in Account Value	0.00	0.00
Ending Account Value	$205,264.78	$205,264.78
Estimated Annual Income	$65.16	

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds, and FDIC Deposits[1]	205,199.62	205,248.22	205,264.78	100%
Account Total	$205,199.62	$205,248.22	$205,264.78	100%

Your Account is 100% invested in Cash, Money Funds, and FDIC Deposits.

1 Cash in your account includes FDIC insured bank deposits.
FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation, up to allowable limits

ATTACHMENT 5

[redacted]

Client Service Information

Your Financial Consultant: S38	Contact Information
WARD PETTY AND JOE PETTY 345 FRAZIER SUITE 205 CHATTANOOGA TN 37405-4121	Telephone Number: (423) 668-5411 Fax Number: (423) 668-5412

Investment Objective: CONSERVATIVE INCOME
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

FIDIC Insured Bank Deposits

Sweep FDIC Insured Bank Deposits

DEUTSCHE BANK INS DEPOSIT E
Account Number [redacted] Activity Ending: 04/30/12

Date	Activity Type	Description	Amount	Balance
03/31/12	Opening Balance		205,248.22	205,248.22
04/13/12	Deposit	INTEREST CREDITED	16.56	205,264.78
04/13/12		APY 0.1%		205,264.78
04/16/12		Citizens Bank		205,264.78
04/16/12		A/O 04/16 $205264.78		205,264.78
04/30/12	Closing Balance			$205,264.78

Total FDIC Insured Bank Deposits $205,264.78

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Note: For the period from December 31, 2010 through December 31, 2012 the FDIC provides unlimited insurance coverage on certain non interest bearing bank accounts. If your account is in such a program it will be stated in your Message section.

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BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Statement Period: 04/01/2012 - 04/30/2012

Portfolio Holdings

Quantity	Description	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-day Yield
Cash, Money Funds, and FDIC Deposits 100.00% of Portfolio						
FDIC Insured Bank Deposits						
205,264.780	DEUTSCHE BANK INS DEPOSIT E	205,248.22	205,264.78	8.01	65.16	N/A
Total FDIC Insured Bank Deposits		$205,248.22	$205,264.78	$8.01	$65.16	
Total Cash, Money Funds, and FDIC Deposits		$205,248.22	$205,264.78	$8.01	$65.16	

Description	Market Value	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$205,264.78	$0.00	$65.16

Disclosures and Other Information

Pricing - This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices have been obtained from quotation services, which we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

ATTACHMENT 5

[redacted]

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Additional Transactions		
Dividends and Interest	16.56	65.16
FDIC Insured Bank Deposits	0.00	0.00
Total Additional Transactions	**$16.56**	**$65.16**
Total Credits	**$16.56**	**$65.16**

Debits	This Period	Year-to-Date
Additional Transactions		
Dividends and Interest	0.00	0.00
FDIC Insured Bank Deposits	-16.56	-65.16
Total Additional Transactions	**-$16.56**	**-$65.16**
Total Debits	**-$16.56**	**-$65.16**

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
04/13/12	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED 250990041	DEUTSCHE BANK INS INTEREST REINVESTED				-16.56	USD
04/13/12	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED				16.56	USD
Total Value of all Transactions					**$0.00**	**$0.00**	

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period Taxable	Current Period Non Taxable	Year-to-Date Taxable	Year-to-Date Non Taxable
Interest Income				
FDIC Insured Bank Deposits	16.56	0.00	65.16	0.00
Total Dividends, Interest, Income and Expenses	**$16.56**	**$0.00**	**$65.16**	**$0.00**

Messages

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).
YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET FUND AND FDIC INSURED BANK RATES AND BANK LISTS." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEBSITE.

Go Paperless!

When you choose electronic delivery of brokerage account statements and trade confirmations, there is no more searching through a file cabinet or shoebox to find what you're looking for as everything you need is located in one secure, online location. Switching your document delivery preferences takes just a moment and provides a level of convenience you're sure to appreciate.

ATTACHMENT 5

If you currently have online access to your accounts through NetExchange Client, you can sign up for e-delivery of your statements and trade confirmations, as well as Mutual Fund, ETF and UIT prospectus, by clicking on the Go Paperless button when you log in. If you do not have on-line access, please contact your financial consultant and the process can be expedited for you.

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Statement Period: 04/01/2012 - 04/30/2012

Messages *(continued)*

If you don't desire on-line access to your accounts, you can still receive your confirmations and statements via email by logging on to www.myedocumentsuite.com to register and choose electronic delivery, or contact your financial consultant for assistance.

Make the move to paperless today!

MESSAGE REGARDING ROLLED-UP TAX LOTS

IN RESPONSE TO CLIENT REQUESTS TO SHORTEN STATEMENTS, YOUR TAX LOTS ARE NOW ROLLED UP INTO ONE TO FOUR LINES FOR EACH SECURITY. ONE LINE WILL INCLUDE "NONCOVERED SHARES", ANOTHER LINE "COVERED SHARES", A THIRD LINE FOR "UNALLOCATED SHARES" (SHARES WHERE COST HAS NOT BEEN PROVIDED), AND A FOURTH LINE FOR SHARES THAT WERE GIFTED. NONCOVERED SHARE AND COVERED SHARE DEFINITIONS CAN BE FOUND IN THE FOOTNOTES UNDER HOLDINGS. YOU COULD HAVE ONE LINE OR TWO OR MORE LINES FOR EACH SECURITY.

YOUR TAX LOTS ARE STILL MAINTAINED AND YOU MAY STILL RECEIVE STATEMENTS THAT REFLECT THE LOTS IF YOU SO CHOOSE. IF YOU WOULD LIKE TO RECEIVE THE DETAILS OF YOUR TAX LOTS, CONTACT YOUR FINANCIAL CONSULTANT.

Important Arbitration Disclosures and Important Arbitration Agreement

Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until; (I) the class certification is denied; (II) the class is decertified; or (III) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Pershing's contact information is as follows: Pershing LLC; Legal Department; One Pershing Plaza; Jersey City, New Jersey 07399; (201) 413-3330.

ATTACHMENT 5

[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

CHARLES J FLEISCHMANN
[redacted]N

Your Financial Consultant:
WARD PETTY AND JOE PETTY
(423) 668-5411

Account Number: [redacted]
Statement Period: 05/01/2012 - 05/31/2012

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	$205,264.78	$205,199.62
Dividends/Interest	16.03	81.19
Change in Account Value	0.00	0.00
Ending Account Value	$205,280.81	$205,280.81
Estimated Annual Income	$81.19	

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds, and FDIC Deposits[1]	205,199.62	205,264.78	205,280.81	100%
Account Total	$205,199.62	$205,264.78	$205,280.81	100%

Your Account is 100% invested in Cash, Money Funds, and FDIC Deposits.

1 Cash in your account includes FDIC insured bank deposits.
FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation, up to allowable limits

ATTACHMENT 5

[redacted]

Client Service Information

Your Financial Consultant: S38	Contact Information
WARD PETTY AND JOE PETTY 345 FRAZIER SUITE 205 CHATTANOOGA TN 37405-4121	Telephone Number: (423) 668-5411 Fax Number: (423) 668-5412

Investment Objective: CONSERVATIVE INCOME
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

FDIC Insured Bank Deposits

Sweep FDIC Insured Bank Deposits

DEUTSCHE BANK INS DEPOSIT E
Account Number: [redacted] Activity Ending: 05/31/12

Date	Activity Type	Description	Amount	Balance
05/01/12	Opening Balance		205,264.78	205,264.78
05/15/12	Deposit	INTEREST CREDITED	16.03	205,280.81
05/15/12		APY 0.1%		205,280.81
05/16/12		Citizens Bank		205,280.81
05/16/12		A/O 05/16 $205280.81		205,280.81
05/31/12	**Closing Balance**			**$205,280.81**

Total FDIC Insured Bank Deposits **$205,280.81**

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Note: For the period from December 31, 2010 through December 31, 2012 the FDIC provides unlimited insurance coverage on certain non interest bearing bank accounts. If your account is in such a program it will be stated in your Message section.

ATTACHMENT 5

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Statement Period: 05/01/2012 - 05/31/2012

Portfolio Holdings

Quantity	Description	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-day Yield
Cash, Money Funds, and FDIC Deposits 100.00% of Portfolio						
FDIC Insured Bank Deposits						
205,280.810	DEUTSCHE BANK INS DEPOSIT E	205,264.78	205,280.81	8.55	81.19	N/A
Total FDIC Insured Bank Deposits		$205,264.78	$205,280.81	$8.55	$81.19	
Total Cash, Money Funds, and FDIC Deposits		$205,264.78	$205,280.81	$8.55	$81.19	

Description	Market Value	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$205,280.81	$0.00	$81.19

Disclosures and Other Information

Pricing - This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices have been obtained from quotation services, which we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

ATTACHMENT 5

[redacted]

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Additional Transactions		
Dividends and Interest	16.03	81.19
FDIC Insured Bank Deposits	0.00	0.00
Total Additional Transactions	**$16.03**	**$81.19**
Total Credits	**$16.03**	**$81.19**

Debits	This Period	Year-to-Date
Additional Transactions		
Dividends and Interest	0.00	0.00
FDIC Insured Bank Deposits	-16.03	-81.19
Total Additional Transactions	**-$16.03**	**-$81.19**
Total Debits	**-$16.03**	**-$81.19**

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
05/15/12	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED 250990041	DEUTSCHE BANK INS INTEREST REINVESTED				-16.03	USD
05/15/12	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED				16.03	USD
Total Value of all Transactions					**$0.00**	**$0.00**	

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period		Year-to-Date	
	Taxable	Non Taxable	Taxable	Non Taxable
Interest Income				
FDIC Insured Bank Deposits	16.03	0.00	81.19	0.00
Total Dividends, Interest, Income and Expenses	**$16.03**	**$0.00**	**$81.19**	**$0.00**

Messages

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).
YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET FUND AND FDIC INSURED BANK RATES AND BANK LISTS." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEBSITE.

Benjamin F Edwards & Co. is updating its client profile information to better serve your needs and to comply with new regulatory requirements (FINRA Rule 2111). As a result, your financial consultant may request up-to-date information regarding your goals, objectives, and financial information.

Go Paperless!

ATTACHMENT 5

When you choose electronic delivery of brokerage account statements and trade confirmations, there's no more searching through a file cabinet or shoebox to find what you're looking for as everything you need is located in one secure, online location. Switching your document delivery preferences takes just a moment and provides a level of convenience you're sure to appreciate.

If you currently have online access to your accounts through NetExchange Client, you can sign up for e-delivery of your statements and trade confirmations, as well as Mutual Fund, ETF and UIT prospectus, by

[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

Statement Period: 05/01/2012 - 05/31/2012

Messages *(continued)*

clicking on the Go Paperless button when you log in. If you do not have on-line access, please contact your financial consultant and the process can be expedited for you.

If you don't desire on-line access to your accounts, you can still receive your confirmations and statements via email by logging on to www.myedocumentsuite.com to register and choose electronic delivery, or contact your financial consultant for assistance.

Make the move to paperless today!

MESSAGE REGARDING ROLLED-UP TAX LOTS

IN RESPONSE TO CLIENT REQUESTS TO SHORTEN STATEMENTS, YOUR TAX LOTS ARE NOW ROLLED UP INTO ONE TO FOUR LINES FOR EACH SECURITY. ONE LINE WILL INCLUDE "NONCOVERED SHARES", ANOTHER LINE "COVERED SHARES", A THIRD LINE FOR "UNALLOCATED SHARES" (SHARES WHERE COST HAS NOT BEEN PROVIDED), AND A FOURTH LINE FOR SHARES THAT WERE GIFTED. NONCOVERED SHARE AND COVERED SHARE DEFINITIONS CAN BE FOUND IN THE FOOTNOTES UNDER HOLDINGS. YOU COULD HAVE ONE LINE OR TWO OR MORE LINES FOR EACH SECURITY.

YOUR TAX LOTS ARE STILL MAINTAINED AND YOU MAY STILL RECEIVE STATEMENTS THAT REFLECT THE LOTS IF YOU SO CHOOSE. IF YOU WOULD LIKE TO RECEIVE THE DETAILS OF YOUR TAX LOTS, CONTACT YOUR FINANCIAL CONSULTANT.

Important Arbitration Disclosures and Important Arbitration Agreement

Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until; (I) the class certification is denied; (II) the class is decertified; or (III) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Pershing's contact information is as follows: Pershing LLC; Legal Department; One Pershing Plaza; Jersey City, New Jersey 07399; (201) 413-3330.

ATTACHMENT 5

[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

Account Number: [redacted]
Statement Period: 06/01/2012 - 06/30/2012

CHARLES J FLEISCHMANN
[redacted]

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	$205,280.81	$205,199.62
Dividends/Interest	18.36	99.55
Change in Account Value	0.00	0.00
Ending Account Value	$205,299.17	$205,299.17
Estimated Annual Income	$99.55	

Your Financial Consultant:
WARD PETTY AND JOE PETTY
(423) 668-5411

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds, and FDIC Deposits[1]	205,199.62	205,280.81	205,299.17	100%
Account Total	$205,199.62	$205,280.81	$205,299.17	100%

Your Account is 100% invested in Cash, Money Funds, and FDIC Deposits.

1 Cash in your account includes FDIC insured bank deposits.
FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation, up to allowable limits

ATTACHMENT 5

[redacted]

Client Service Information

Your Financial Consultant: S38	Contact Information	
WARD PETTY AND JOE PETTY 345 FRAZIER SUITE 205 CHATTANOOGA TN 37405-4121	Telephone Number: (423) 668-5411 Fax Number: (423) 668-5412	
Investment Objective: CONSERVATIVE INCOME Risk Exposure: NONE SPECIFIED		If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

FDIC Insured Bank Deposits

Sweep FDIC Insured Bank Deposits

DEUTSCHE BANK INS DEPOSIT E
Account Number: [redacted] Activity Ending: 06/29/12

Date	Activity Type	Description	Amount	Balance
06/01/12	Opening Balance		205,280.81	205,280.81
06/15/12	Deposit	INTEREST CREDITED	18.36	205,299.17
06/15/12		APY 0.11%		205,299.17
06/18/12		Citizens Bank		205,299.17
06/18/12		A/O 06/18 $205299.17		205,299.17
06/29/12	Closing Balance			$205,299.17

Total FDIC Insured Bank Deposits $205,299.17

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Note: For the period from December 31, 2010 through December 31, 2012 the FDIC provides unlimited insurance coverage on certain non interest bearing bank accounts. If your account is in such a program it will be stated in your Message section.

ATTACHMENT 5

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

Statement Period: 06/01/2012 - 06/30/2012

Portfolio Holdings

Quantity	Description	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-day Yield
Cash, Money Funds, and FDIC Deposits 100.00% of Portfolio						
FDIC Insured Bank Deposits						
205,299.170	DEUTSCHE BANK INS DEPOSIT E	205,280.81	205,299.17	8.23	99.55	N/A
Total FDIC Insured Bank Deposits		$205,280.81	$205,299.17	$8.23	$99.55	
Total Cash, Money Funds, and FDIC Deposits		$205,280.81	$205,299.17	$8.23	$99.55	

Description	Market Value	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$205,299.17	$0.00	$99.55

Disclosures and Other Information

Pricing - This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices have been obtained from quotation services, which we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

ATTACHMENT 5

[redacted]

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Additional Transactions		
Dividends and Interest	18.36	99.55
FDIC Insured Bank Deposits	0.00	0.00
Total Additional Transactions	**$18.36**	**$99.55**
Total Credits	**$18.36**	**$99.55**

Debits	This Period	Year-to-Date
Additional Transactions		
Dividends and Interest	0.00	0.00
FDIC Insured Bank Deposits	-18.36	-99.55
Total Additional Transactions	**-$18.36**	**-$99.55**
Total Debits	**-$18.36**	**-$99.55**

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
06/15/12	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED 250990041	DEUTSCHE BANK INS INTEREST REINVESTED				-18.36	USD
06/15/12	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED				18.36	USD
Total Value of all Transactions					**$0.00**	**$0.00**	

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period		Year-to-Date	
	Taxable	Non Taxable	Taxable	Non Taxable
Interest Income				
FDIC Insured Bank Deposits	18.36	0.00	99.55	0.00
Total Dividends, Interest, Income and Expenses	**$18.36**	**$0.00**	**$99.55**	**$0.00**

Messages

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).
YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET FUND AND FDIC INSURED BANK RATES AND BANK LISTS." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEBSITE.

Although a money market mutual fund seeks to preserve the value of your investment at $1 per share, it is possible to lose money by investing in a money market mutual fund. Please see the money market mutual fund's prospectus or contact your investment professional for additional information.

Benjamin F Edwards & Co. is updating its client profile information to better serve your needs and to comply with new regulatory requirements (FINRA Rule 2111). As a result, your financial consultant may request up-to-date information regarding your goals, objectives, and financial information.

Go Paperless!

ATTACHMENT 5

When you choose electronic delivery of brokerage account statements and trade confirmations, there is no more searching through a file cabinet or shoebox to find what you're looking for as everything you need is located in one secure, online location. Switching your document delivery preferences takes just a moment and provides a level of convenience you're sure to appreciate.

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Statement Period: 06/01/2012 - 06/30/2012

Messages *(continued)*

If you currently have online access to your accounts through NetExchange Client, you can sign up for e-delivery of your statements and trade confirmations, as well as Mutual Fund, ETF and UIT prospectus, by clicking on the Go Paperless button when you log in. If you do not have on-line access, please contact your financial consultant and the process can be expedited for you.

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ATTACHMENT 5

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[redacted]

TERMS AND CONDITIONS

GENERAL INFORMATION

1. ANY FREE CREDIT BALANCE CARRIED FOR YOUR ACCOUNT REPRESENTS FUNDS PAYABLE UPON DEMAND WHICH, ALTHOUGH PROPERLY ACCOUNTED FOR ON PERSHING'S BOOKS OF RECORD, ARE NOT SEGREGATED AND MAY BE USED IN THE CONDUCT OF ITS BUSINESS.
2. ALL ORDERS AND TRANSACTIONS SHALL BE SOLELY FOR YOUR ACCOUNT AND RISK SHALL BE SUBJECT TO THE CONSTITUTION, RULES, REGULATIONS, CUSTOMS, USAGES, RULINGS AND INTERPRETATIONS OF THE EXCHANGE OR MARKET AND THE CLEARING FACILITY, IF ANY, WHERE THE TRANSACTIONS ARE EXECUTED AND/OR SETTLED, OR IF APPLICABLE, OF THE FINANCIAL INDUSTRY REGULATORY AUTHORITY AND TO ALL APPLICABLE LAWS AND REGULATIONS.
3. WHENEVER YOU ARE INDEBTED TO PERSHING LLC ("PERSHING") FOR ANY AMOUNT, ALL SECURITIES HELD BY IT FOR YOU IN ANY ACCOUNT IN WHICH YOU HAVE ANY INTEREST SHALL SECURE ALL YOUR LIABILITIES TO PERSHING, AND PERSHING MAY IN ITS DISCRETION AT ANY TIME, WITHOUT TENDER, DEMAND OR NOTICE TO YOU, CLOSE OR REDUCE ANY OR ALL OF YOUR ACCOUNTS BY PUBLIC OR PRIVATE SALE OR PURCHASE OR BOTH OF ALL OR ANY SECURITIES CARRIED IN SUCH ACCOUNTS; ANY BALANCE REMAINING DUE PERSHING TO BE PROMPTLY PAID BY YOU.
4. WHENEVER YOU ARE INDEBTED TO PERSHING FOR ANY AMOUNT, ALL SECURITIES CARRIED FOR YOUR ACCOUNT ARE OR MAY BE, WITHOUT FURTHER NOTICE TO YOU, LOANED OR PLEDGED BY PERSHING, EITHER SEPARATELY OR UNDER CIRCUMSTANCES WHICH WILL PERMIT THE COMMINGLING THEREOF, WITH OTHER SECURITIES FOR ANY AMOUNT LESS THAN, EQUAL TO OR GREATER THAN YOUR LIABILITIES TO PERSHING, BUT NOT UNDER CIRCUMSTANCES FOR AN AMOUNT PROHIBITED BY LAW.
5. TITLE TO SECURITIES SOLD TO YOU, WHERE PERSHING HAS ACTED AS PRINCIPAL, SHALL REMAIN WITH PERSHING UNTIL THE ENTIRE PURCHASE PRICE IS RECEIVED OR UNTIL THE SETTLEMENT DATE, WHICHEVER IS LATER.
6. YOU MAY HAVE RECEIVED CONFIRMATIONS FOR TRANSACTIONS WHICH DO NOT APPEAR ON YOUR STATEMENT. IF SO, THE TRANSACTIONS WILL APPEAR ON YOUR NEXT PERIODIC STATEMENT. SUCH TRANSACTIONS MUST BE CONSIDERED BY YOU WHEN COMPUTING THE VALUE OF YOUR ACCOUNT. THIS IS ESPECIALLY TRUE IF YOU HAVE WRITTEN OPTIONS WHICH HAVE BEEN EXERCISED.
7. IF YOU MAINTAIN A MARGIN ACCOUNT, THIS IS A COMBINED STATEMENT OF YOUR GENERAL ACCOUNT AND A SPECIAL MEMORANDUM ACCOUNT MAINTAINED FOR YOU UNDER REGULATION T OF THE BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM. THE PERMANENT RECORD OF THE SEPARATE ACCOUNT AS REQUIRED BY REGULATION T IS AVAILABLE FOR YOUR INSPECTION UPON REQUEST.
8. INTEREST CHARGED ON DEBIT BALANCES IN YOUR ACCOUNT APPEARS ON THE STATEMENT. THE RATE OF INTEREST AND PERIOD COVERED ARE INDICATED. THE RATE MAY CHANGE FROM TIME TO TIME DUE TO FLUCTUATIONS IN MONEY RATES OR OTHER REASONS. INTEREST IS COMPUTED AS DESCRIBED IN MATERIAL PREVIOUSLY FURNISHED TO YOU. PLEASE CONTACT YOUR FINANCIAL INSTITUTION IF YOU DESIRE ADDITIONAL COPIES.
9. DIVIDENDS, INTEREST AND OTHER DISTRIBUTIONS SHOWN ON THIS STATEMENT WERE CLASSIFIED AS TAXABLE OR NONTAXABLE BASED ON CERTAIN INFORMATION KNOWN AS OF THE DISTRIBUTION DATE. THIS CLASSIFICATION IS SUBJECT TO CHANGE AND IS SOLELY INTENDED FOR USE AS GENERAL INFORMATION. AFTER YEAR END, PERSHING IS REQUIRED TO PROVIDE TAX INFORMATION TO THE INTERNAL REVENUE SERVICE AND OTHER GOVERNMENTAL AUTHORITIES. AT THAT TIME PERSHING WILL PROVIDE THAT INFORMATION ON THE ANNUAL TAX INFORMATION STATEMENT TO YOU; USE THAT STATEMENT TO PREPARE YOUR TAX FILINGS. THE TAX STATEMENT ALSO INCLUDES OTHER USEFUL INFORMATION TO ASSIST [illegible] ACCUMULATING THE DATA TO PREPARE YOUR TAX RETURNS.
10. PERSHING DOES NOT PROVIDE TAX, INVESTMENT OR LEGAL ADVISORY SERVICES AND NO ONE ASSOCIATED WITH PERSHING IS AUTHORIZED TO RENDER SUCH ADVICE. DO NOT RELY UPON ANY SUCH ADVICE, IF GIVEN. INVESTORS ARE ENCOURAGED TO CONSULT THEIR TAX ADVISORS TO DETERMINE THE APPROPRIATE TAX TREATMENT OF THEIR BUSINESS.
11. PERSHING MAY TRADE FOR ITS OWN ACCOUNT AS A MARKET MAKER, SPECIALIST, ODD LOT DEALER, BLOCK POSITIONER, ARBITRAGEUR OR INVESTOR. CONSEQUENTLY, AT THE TIME OF ANY TRANSACTION
YOU MAY MAKE, PERSHING MAY HAVE A POSITION IN SUCH SECURITIES, WHICH POSITION MAY BE PARTIALLY OR COMPLETELY HEDGED.
12. IF AVERAGE PRICE TRANSACTION IS INDICATED ON THE FRONT OF THIS STATEMENT YOUR FINANCIAL INSTITUTION OR PERSHING MAY HAVE ACTED AS PRINCIPAL, AGENT OR BOTH. DETAILS AVAILABLE UPON REQUEST.
13. A FINANCIAL STATEMENT OF PERSHING IS AVAILABLE FOR YOUR PERSONAL INSPECTION AT PERSHING'S OFFICES. A COPY OF IT WILL BE MAILED UPON YOUR WRITTEN REQUEST OR YOU CAN VIEW IT ONLINE AT WWW.PERSHING.COM.
14. THIS STATEMENT SHOULD BE RETAINED FOR YOUR RECORDS.

THE ROLE OF PERSHING

PERSHING CARRIES YOUR ACCOUNT AS CLEARING BROKER PURSUANT TO A CLEARING AGREEMENT WITH YOUR FINANCIAL INSTITUTION. PERSHING MAY ACCEPT FROM YOUR FINANCIAL INSTITUTION WITHOUT INQUIRY OR INVESTIGATION (I) ORDERS FOR THE PURCHASE AND SALE OF SECURITIES AND OTHER PROPERTY AND (II) ANY OTHER INSTRUCTIONS CONCERNING YOUR ACCOUNT. PERSHING IS NOT RESPONSIBLE OR LIABLE FOR ANY ACTS OR OMISSIONS OF YOUR FINANCIAL INSTITUTION OR ITS EMPLOYEES AND IT DOES NOT SUPERVISE THEM. PERSHING PROVIDES NO INVESTMENT ADVICE NOR DOES IT ASSESS THE SUITABILITY OF ANY TRANSACTION OR ORDER. PERSHING ACTS AS THE AGENT OF YOUR FINANCIAL INSTITUTION AND YOU AGREE THAT YOU WILL NOT HOLD PERSHING OR ANY PERSON CONTROLLING OR UNDER COMMON CONTROL WITH IT LIABLE FOR ANY INVESTMENT LOSSES INCURRED BY YOU.

PERSHING PERFORMS SEVERAL KEY FUNCTIONS AT THE DIRECTION OF YOUR FINANCIAL INSTITUTION. IT ACTS AS CUSTODIAN FOR FUNDS AND SECURITIES YOU MAY DEPOSIT WITH IT DIRECTLY OR THROUGH YOUR FINANCIAL INSTITUTION OR THAT IT RECEIVES AS THE RESULT OF SECURITIES TRANSACTIONS IT PROCESSES.

YOUR FINANCIAL INSTITUTION IS RESPONSIBLE FOR ADHERENCE TO THE SECURITIES LAWS, REGULATIONS AND RULES WHICH APPLY TO IT REGARDING ITS OWN OPERATIONS AND THE SUPERVISION OF YOUR ACCOUNT, ITS SALES REPRESENTATIVES AND OTHER PERSONNEL. YOUR FINANCIAL INSTITUTION IS ALSO RESPONSIBLE FOR APPROVING THE OPENING OF ACCOUNTS AND OBTAINING ACCOUNT DOCUMENTS; THE ACCEPTANCE AND, IN CERTAIN INSTANCES, EXECUTION OF SECURITIES ORDERS; THE ASSESSMENT OF THE SUITABILITY OF THOSE TRANSACTIONS, WHERE APPLICABLE; THE RENDERING OF INVESTMENT ADVICE, IF ANY, TO YOU AND IN GENERAL, FOR THE ONGOING RELATIONSHIP THAT IT HAS WITH YOU.

INQUIRIES CONCERNING THE POSITIONS AND BALANCES IN YOUR ACCOUNT MAY BE DIRECTED TO THE PERSHING CUSTOMER SERVICE DEPARTMENT AT (201) 413-3333. ALL OTHER INQUIRIES REGARDING YOUR ACCOUNT OR ACTIVITY SHOULD BE DIRECTED TO YOUR FINANCIAL INSTITUTION. YOUR FINANCIAL ORGANIZATION'S CONTACT INFORMATION CAN BE FOUND ON THE FIRST PAGE OF THIS STATEMENT.

FOR A DESCRIPTION OF OTHER FUNCTIONS PERFORMED BY PERSHING PLEASE CONSULT THE DISCLOSURE STATEMENT PROVIDED TO YOU UPON THE OPENING OF YOUR ACCOUNT. THIS NOTICE IS NOT MEANT AS A DEFINITIVE ENUMERATION OF EVERY POSSIBLE CIRCUMSTANCE, BUT AS A GENERAL DISCLOSURE. IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE OR IF YOU WOULD LIKE ADDITIONAL COPIES OF THE DISCLOSURE STATEMENT, PLEASE CONTACT YOUR FINANCIAL INSTITUTION.

PERSHING IS A MEMBER OF THE SECURITIES INVESTOR PROTECTION CORPORATION ("SIPC"). PLEASE NOTE THAT SIPC DOES NOT PROTECT AGAINST LOSS DUE TO MARKET FLUCTUATION. IN ADDITION TO SIPC PROTECTION, PERSHING PROVIDES COVERAGE IN EXCESS OF SIPC LIMITS. FOR MORE DETAILED INFORMATION PLEASE VISIT: WWW.PERSHING.COM/STRENGTHSTABILITY.HTML.

THIS STATEMENT WILL BE DEEMED CONCLUSIVE. YOU ARE ADVISED TO REPORT ANY INACCURACY OR DISCREPANCY (INCLUDING UNAUTHORIZED TRADING), TO YOUR FINANCIAL ORGANIZATION AND PERSHING, WITHIN TEN DAYS AFTER RECEIPT OF THIS STATEMENT. PLEASE BE ADVISED THAT ANY ORAL COMMUNICATION SHOULD BE RE-CONFIRMED IN WRITING TO FURTHER PROTECT YOUR RIGHTS, INCLUDING YOUR RIGHTS UNDER THE SECURITIES INVESTOR PROTECTION ACT.

YOUR FINANCIAL ORGANIZATION'S CONTACT INFORMATION CAN BE FOUND ON THE FIRST PAGE OF THIS STATEMENT. PERSHING'S CONTACT INFORMATION IS AS FOLLOWS: PERSHING LLC; LEGAL DEPARTMENT; ONE PERSHING PLAZA; JERSEY CITY, NEW JERSEY 07399; (201) 413-3330. ERRORS AND OMISSIONS EXCEPTED.

PAYMENT FOR ORDER FLOW AND ORDER ROUTING POLICIES DISCLOSURES [REGULATION NMS—RULE 607(A)(1)-(2)]

PERSHING SENDS CERTAIN EQUITY ORDERS TO EXCHANGES, ELECTRONIC COMMUNICATION NETWORKS, OR BROKER-DEALERS DURING NORMAL BUSINESS HOURS AND DURING EXTENDED TRADING SESSIONS. CERTAIN OF THESE VENUES PROVIDE PAYMENTS TO PERSHING OR CHARGE ACCESS FEES TO PERSHING DEPENDING UPON THE CHARACTERISTICS OF THE ORDER AND ANY SUBSEQUENT EXECUTION. IN ADDITION PERSHING MAY EXECUTE CERTAIN EQUITY ORDERS AS PRINCIPAL. THE DETAILS OF THESE PAYMENTS AND FEES ARE AVAILABLE UPON WRITTEN REQUEST. PERSHING RECEIVES PAYMENTS FOR DIRECTING LISTED OPTIONS ORDER FLOW TO CERTAIN OPTION EXCHANGES. COMPENSATION IS GENERALLY IN THE FORM OF A PER OPTION CONTRACT CASH PAYMENT.

BEST EXECUTION: NOTWITHSTANDING THE PREVIOUS PARAGRAPH REGARDING PAYMENT FOR ORDER FLOW, PERSHING SELECTS CERTAIN MARKET CENTERS TO PROVIDE EXECUTION OF OVER-THE-COUNTER AND EXCHANGE-LISTED SECURITIES TRANSACTIONS WHICH AGREE TO ACCEPT ORDERS, TRANSMITTED ELECTRONICALLY UP TO A SPECIFIED SIZE, AND TO EXECUTE THEM AT OR BETTER THAN THE NATIONAL BEST BID OR OFFER (NBBO). ON CERTAIN LARGER ORDERS, OR IF THE DESIGNATED MARKET CENTERS DO NOT MAKE A MARKET IN THE SUBJECT SECURITY, PERSHING DIRECTLY CONTACTS MARKET CENTERS TO OBTAIN AN EXECUTION. THE DESIGNATED MARKET CENTERS TO WHICH ORDERS ARE AUTOMATICALLY ROUTED ARE SELECTED BASED ON THE CONSISTENT HIGH QUALITY OF THEIR EXECUTIONS IN ONE OR MORE MARKET SEGMENTS AND THEIR ABILITY TO PROVIDE OPPORTUNITIES FOR EXECUTIONS AT PRICES SUPERIOR TO THE NBBO. PERSHING ALSO REGULARLY REVIEWS REPORTS FOR QUALITY OF EXECUTION PURPOSES.

ATTACHMENT 5

IF ANY OF THE ABOVE TERMS AND CONDITIONS ARE UNACCEPTABLE TO YOU, PLEASE NOTIFY PERSHING IMMEDIATELY IN WRITING BY CERTIFIED MAIL TO ONE PERSHING PLAZA, JERSEY CITY, NJ 07399, ATTN: LEGAL DEPT

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Account Number: [redacted]
Statement Period: 07/01/2012 - 07/31/2012

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	$205,299.17	$205,199.62
Dividends/Interest	16.46	116.01
Change in Account Value	0.00	0.00
Ending Account Value	$205,315.63	$205,315.63
Estimated Annual Income	$116.01	

CHARLES J FLEISCHMANN
[redacted]

Your Financial Consultant:
WARD PETTY AND JOE PETTY
(423) 668-5411

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	Prior Year-End	Last Period	This Period	% Allocation
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ATTACHMENT 5

[redacted]

Client Service Information

Your Financial Consultant: S38	Contact Information
WARD PETTY AND JOE PETTY 345 FRAZIER SUITE 205 CHATTANOOGA TN 37405-4121	Telephone Number: (423) 668-5411 Fax Number: (423) 668-5412

Investment Objective: CONSERVATIVE INCOME
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

FDIC Insured Bank Deposits

Sweep FDIC Insured Bank Deposits

DEUTSCHE BANK INS DEPOSIT E
Account Number: [redacted] Activity Ending: 07/31/12

Date	Activity Type	Description	Amount	Balance
06/30/12	Opening Balance		205,299.17	205,299.17
07/13/12	Deposit	INTEREST CREDITED	16.46	205,315.63
07/13/12		APY 0.1%		205,315.63
07/16/12		Citizens Bank		205,315.63
07/16/12		A/O 07/16 $205315.63		205,315.63
07/31/12	**Closing Balance**			**$205,315.63**

Total FDIC Insured Bank Deposits $205,315.63

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Note: For the period from December 31, 2010 through December 31, 2012 the FDIC provides unlimited insurance coverage on certain non interest bearing bank accounts. If your account is in such a program it will be stated in your Message section.

ATTACHMENT 5

[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

Statement Period: 07/01/2012 - 07/31/2012

Portfolio Holdings

Quantity	Description	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-day Yield
Cash, Money Funds, and FDIC Deposits 100.00% of Portfolio						
FDIC Insured Bank Deposits						
205,315.630	DEUTSCHE BANK INS DEPOSIT E	205,299.17	205,315.63	9.41	116.01	N/A
Total FDIC Insured Bank Deposits		$205,299.17	$205,315.63	$9.41	$116.01	
Total Cash, Money Funds, and FDIC Deposits		$205,299.17	$205,315.63	$9.41	$116.01	

Description	Market Value	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$205,315.63	$0.00	$116.01

Disclosures and Other Information

Pricing - This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices have been obtained from quotation services, which we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

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[redacted]

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Additional Transactions		
Dividends and Interest	16.46	116.01
FDIC Insured Bank Deposits	0.00	0.00
Total Additional Transactions	**$16.46**	**$116.01**
Total Credits	**$16.46**	**$116.01**

Debits	This Period	Year-to-Date
Additional Transactions		
Dividends and Interest	0.00	0.00
FDIC Insured Bank Deposits	-16.46	-116.01
Total Additional Transactions	**-$16.46**	**-$116.01**
Total Debits	**-$16.46**	**-$116.01**

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
07/13/12	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED 250990041	DEUTSCHE BANK INS INTEREST REINVESTED				-16.46	USD
07/13/12	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED				16.46	USD
Total Value of all Transactions					**$0.00**	**$0.00**	

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period		Year-to-Date	
	Taxable	Non Taxable	Taxable	Non Taxable
Interest Income				
FDIC Insured Bank Deposits	16.46	0.00	116.01	0.00
Total Dividends, Interest, Income and Expenses	**$16.46**	**$0.00**	**$116.01**	**$0.00**

Messages

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).
YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET FUND AND FDIC INSURED BANK RATES AND BANK LISTS." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEBSITE.

Although a money market mutual fund seeks to preserve the value of your investment at $1 per share, it is possible to lose money by investing in a money market mutual fund. Please see the money market mutual fund's prospectus or contact your investment professional for additional information.

Go Paperless!

When you choose electronic delivery of brokerage account statements and trade confirmations, there's no more searching through a file cabinet or shoebox to find what you're looking for as everything you need is located in one secure, online location. Switching your document delivery preferences takes just a moment and provides a level of convenience you're sure to appreciate.

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If you currently have online access to your accounts through NetExchange Client, you can sign up for e-delivery of your statements and trade confirmations, as well as Mutual Fund, ETF and UIT prospectus, by

BENJAMIN F. EDWARDS & CO.
INVESTMENTS *for* GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Statement Period: 07/01/2012 - 07/31/2012

Messages *(continued)*

clicking on the Go Paperless button when you log in. If you do not have on-line access, please contact your financial consultant and the process can be expedited for you.

If you don't desire on-line access to your accounts, you can still receive your confirmations and statements via email by logging on to www.myedocumentsuite.com to register and choose electronic delivery, or contact your financial consultant for assistance.

Make the move to paperless today!

Benjamin F Edwards & Co. is updating its client profile information to better serve your needs and to comply with new regulatory requirements (FINRA Rule 2111). As a result, your financial consultant may request up-to-date information regarding your goals, objectives, and financial information.

MESSAGE REGARDING ROLLED-UP TAX LOTS

IN RESPONSE TO CLIENT REQUESTS TO SHORTEN STATEMENTS, YOUR TAX LOTS ARE NOW ROLLED UP INTO ONE TO FOUR LINES FOR EACH SECURITY. ONE LINE WILL INCLUDE "NONCOVERED SHARES", ANOTHER LINE "COVERED SHARES", A THIRD LINE FOR "UNALLOCATED SHARES" (SHARES WHERE COST HAS NOT BEEN PROVIDED), AND A FOURTH LINE FOR SHARES THAT WERE GIFTED. NONCOVERED SHARE AND COVERED SHARE DEFINITIONS CAN BE FOUND IN THE FOOTNOTES UNDER HOLDINGS. YOU COULD HAVE ONE LINE OR TWO OR MORE LINES FOR EACH SECURITY.

YOUR TAX LOTS ARE STILL MAINTAINED AND YOU MAY STILL RECEIVE STATEMENTS THAT REFLECT THE LOTS IF YOU SO CHOOSE. IF YOU WOULD LIKE TO RECEIVE THE DETAILS OF YOUR TAX LOTS, CONTACT YOUR FINANCIAL CONSULTANT.

Important Arbitration Disclosures and Important Arbitration Agreement

Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until (I) the class certification is denied; (II) the class is decertified; or (III) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

ATTACHMENT 5

Pershing's contact information is as follows: Pershing LLC; Legal Department; One Pershing Plaza; Jersey City, New Jersey 07399; (201) 413-3330.

[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

Account Number: [redacted]
Statement Period: 08/01/2012 - 08/31/2012

CHARLES J FLEISCHMANN
[redacted]

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	$205,315.63	$205,199.62
Dividends/Interest	18.22	134.23
Change in Account Value	0.00	0.00
Ending Account Value	$205,333.85	$205,333.85
Estimated Annual Income	$134.23	

Your Financial Consultant:
WARD PETTY AND JOE PETTY
(423) 668-5411

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds, and FDIC Deposits[1]	205,199.62	205,315.63	205,333.85	100%
Account Total	$205,199.62	$205,315.63	$205,333.85	100%

Your Account is 100% invested in Cash, Money Funds, and FDIC Deposits.

1 Cash in your account includes FDIC insured bank deposits.
FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation, up to allowable limits

ATTACHMENT 5

[redacted]

Client Service Information

Your Financial Consultant: S38	Contact Information
WARD PETTY AND JOE PETTY 345 FRAZIER SUITE 205 CHATTANOOGA TN 37405-4121	Telephone Number: (423) 668-5411 Fax Number: (423) 668-5412

Investment Objective: CONSERVATIVE INCOME
Risk Exposure: NONE SPECIFIED

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Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

FDIC Insured Bank Deposits

Sweep FDIC Insured Bank Deposits

DEUTSCHE BANK INS DEPOSIT E
Account Number: [redacted] Activity Ending: 08/31/12

Date	Activity Type	Description	Amount	Balance
08/01/12	Opening Balance		205,315.63	205,315.63
08/15/12	Deposit	INTEREST CREDITED	18.22	205,333.85
08/15/12		APY 0.1%		205,333.85
08/16/12		Citizens Bank		205,333.85
08/16/12		A/O 08/16 $205333.85		205,333.85
08/31/12	Closing Balance			$205,333.85

Total FDIC Insured Bank Deposits $205,333.85

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ATTACHMENT 5

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Statement Period: 08/01/2012 - 08/31/2012

Portfolio Holdings

Quantity	Description	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-day Yield
Cash, Money Funds, and FDIC Deposits 100.00% of Portfolio						
FDIC Insured Bank Deposits						
205,333.850	DEUTSCHE BANK INS DEPOSIT E	205,315.63	205,333.85	11.17	134.23	N/A
Total FDIC Insured Bank Deposits		$205,315.63	$205,333.85	$11.17	$134.23	
Total Cash, Money Funds, and FDIC Deposits		$205,315.63	$205,333.85	$11.17	$134.23	

Description	Market Value	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$205,333.85	$0.00	$134.23

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ATTACHMENT 5

Account Number: [redacted]
CHARLES J FLEISCHMANN

[redacted]

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Additional Transactions		
Dividends and Interest	18.22	134.23
FDIC Insured Bank Deposits	0.00	0.00
Total Additional Transactions	**$18.22**	**$134.23**
Total Credits	**$18.22**	**$134.23**

Debits	This Period	Year-to-Date
Additional Transactions		
Dividends and Interest	0.00	0.00
FDIC Insured Bank Deposits	-18.22	-134.23
Total Additional Transactions	**-$18.22**	**-$134.23**
Total Debits	**-$18.22**	**-$134.23**

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
08/15/12	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED 250990041	DEUTSCHE BANK INS INTEREST REINVESTED				-18.22	USD
08/15/12	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED				18.22	USD
Total Value of all Transactions					**$0.00**	**$0.00**	

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period		Year-to-Date	
	Taxable	Non Taxable	Taxable	Non Taxable
Interest Income				
FDIC Insured Bank Deposits	18.22	0.00	134.23	0.00
Total Dividends, Interest, Income and Expenses	**$18.22**	**$0.00**	**$134.23**	**$0.00**

Messages

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Go Paperless!

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When you choose electronic delivery of brokerage account statements and trade confirmations, there is no more searching through a file cabinet or shoebox to find what you're looking for as everything you need is located in one secure, online location. Switching your document delivery preferences takes just a moment and provides a level of convenience you're sure to appreciate.

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Messages *(continued)*

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Make the move to paperless today!

Benjamin F Edwards & Co. is updating its client profile information to better serve your needs and to comply with new regulatory requirements (FINRA Rule 2111). As a result, your financial consultant may request up-to-date information regarding your goals, objectives, and financial information.

MESSAGE REGARDING ROLLED-UP TAX LOTS

IN RESPONSE TO CLIENT REQUESTS TO SHORTEN STATEMENTS, **YOUR TAX LOTS ARE NOW ROLLED UP** INTO ONE TO FOUR LINES FOR EACH SECURITY. ONE LINE WILL INCLUDE "NONCOVERED SHARES", ANOTHER LINE "COVERED SHARES", A THIRD LINE FOR "UNALLOCATED SHARES" (SHARES WHERE COST HAS NOT BEEN PROVIDED), AND A FOURTH LINE FOR SHARES THAT WERE GIFTED. NONCOVERED SHARE AND COVERED SHARE DEFINITIONS CAN BE FOUND IN THE FOOTNOTES UNDER HOLDINGS. YOU COULD HAVE ONE LINE OR TWO OR MORE LINES FOR EACH SECURITY.

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Important Arbitration Disclosures and Important Arbitration Agreement

Important Arbitration Disclosures

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- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

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ATTACHMENT 5

Pershing's contact information is as follows: Pershing LLC; Legal Department; One Pershing Plaza; Jersey City, New Jersey 07399; (201) 413-3330.

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Account Number: [redacted]
Statement Period: 09/01/2012 - 09/30/2012

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	$205,333.85	$205,199.62
Dividends/Interest	18.22	152.45
Change in Account Value	0.00	0.00
Ending Account Value	$205,352.07	$205,352.07
Estimated Annual Income	$152.45	

CHARLES J FLEISCHMANN
[redacted]

Your Financial Consultant:
WARD PETTY AND JOE PETTY
(423) 668-5411

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds, and FDIC Deposits[1]	205,199.62	205,333.85	205,352.07	100%
Account Total	$205,199.62	$205,333.85	$205,352.07	100%

Your Account is 100% invested in Cash, Money Funds, and FDIC Deposits.

1 Cash in your account includes FDIC insured bank deposits.
FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation, up to allowable limits

ATTACHMENT 5

[redacted]

Client Service Information

Your Financial Consultant: S38	Contact Information
WARD PETTY AND JOE PETTY 345 FRAZIER SUITE 205 CHATTANOOGA TN 37405-4121	Telephone Number: (423) 668-5411 Fax Number: (423) 668-5412

Investment Objective: CONSERVATIVE INCOME
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

FDIC Insured Bank Deposits

Sweep FDIC Insured Bank Deposits

DEUTSCHE BANK INS DEPOSIT E
Account Number: [redacted] Activity Ending: 09/28/12

Date	Activity Type	Description	Amount	Balance
09/01/12	Opening Balance		205,333.85	205,333.85
09/14/12	Deposit	INTEREST CREDITED	18.22	205,352.07
09/14/12		APY 0.1%		205,352.07
09/17/12		Citizens Bank		205,352.07
09/17/12		A/O 09/17 $205352.07		205,352.07
09/28/12	**Closing Balance**			**$205,352.07**

Total FDIC Insured Bank Deposits **$205,352.07**

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Note: For the period from December 31, 2010 through December 31, 2012 the FDIC provides unlimited insurance coverage on certain non interest bearing bank accounts. If your account is in such a program it will be stated in your Message section.

ATTACHMENT 5

[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

Statement Period: 09/01/2012 - 09/30/2012

Portfolio Holdings

Quantity	Description	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-day Yield
Cash, Money Funds, and FDIC Deposits 100.00% of Portfolio						
FDIC Insured Bank Deposits						
205,352.070	DEUTSCHE BANK INS DEPOSIT E	205,333.85	205,352.07	7.48	152.45	N/A
Total FDIC Insured Bank Deposits		$205,333.85	$205,352.07	$7.48	$152.45	
Total Cash, Money Funds, and FDIC Deposits		$205,333.85	$205,352.07	$7.48	$152.45	

Description	Market Value	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$205,352.07	$0.00	$152.45

Disclosures and Other Information

Pricing - This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices have been obtained from quotation services, which we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

ATTACHMENT 5

[redacted]

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Additional Transactions		
Dividends and Interest	18.22	152.45
FDIC Insured Bank Deposits	0.00	0.00
Total Additional Transactions	**$18.22**	**$152.45**
Total Credits	**$18.22**	**$152.45**

Debits	This Period	Year-to-Date
Additional Transactions		
Dividends and Interest	0.00	0.00
FDIC Insured Bank Deposits	-18.22	-152.45
Total Additional Transactions	**-$18.22**	**-$152.45**
Total Debits	**-$18.22**	**-$152.45**

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
09/14/12	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED 250990041	DEUTSCHE BANK INS INTEREST REINVESTED				-18.22	USD
09/14/12	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED				18.22	USD
Total Value of all Transactions					**$0.00**	**$0.00**	

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period		Year-to-Date	
	Taxable	Non Taxable	Taxable	Non Taxable
Interest Income				
FDIC Insured Bank Deposits	18.22	0.00	152.45	0.00
Total Dividends, Interest, Income and Expenses	**$18.22**	**$0.00**	**$152.45**	**$0.00**

ATTACHMENT 5

[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

Statement Period: 09/01/2012 - 09/30/2012

Messages

Pursuant to The Securities Exchange Act of 1934, Pershing LLC (Pershing),a BNY Mellon company, provides individual investors with certain financial information on a semi-annual basis.

Pershing's June Statement of Financial Condition is now available. On June 30, 2012, Pershing's net capital of $1.5 billion was 12.9% of aggregate debit balances and exceeded the minimum requirements by $1.3 billion.

Pershing is also required to provide the most recent financial information as of this statement mailing. In accordance with this requirement, note that on July 31, 2012, Pershing's net capital of $1.5 billion was 13.83% of aggregate debit balances and exceeded the minimum requirement by $1.3 billion.

A copy of the June 30, 2012, Statement of Financial Condition is available at www.pershing.com/statement_of_financial_condition.html. You may also request a free, printed copy by calling (888) 860-8510 or (201) 413-4200, option #5.

If you have questions, contact your Account Manager or contact your Customer Service team and select option 5 for General Customer Service.

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).
YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET FUND AND FDIC INSURED BANK RATES AND BANK LISTS." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEBSITE.

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Benjamin F. Edwards & Co. is updating its client profile information to better serve your needs and to comply with new regulatory requirements (FINRA Rule 2111). As a result, your financial consultant may request up-to-date information regarding your goals, objectives and financial information.

Go Paperless!

When you choose electronic delivery of brokerage account statements and trade confirmations, there is no more searching through a file cabinet or shoebox to find what you're looking for as everything you need is located in one secure, online location. Switching your document delivery preferences takes just a moment and provides a level of convenience you're sure to appreciate.

If you currently have online access to your accounts through NetExchange Client, you can sign up for e-delivery of your statements and trade confirmations, as well as Mutual Fund, ETF and UIT prospectus, by clicking on the Go Paperless button when you log in. If you do not have on-line access, please contact your financial consultant and the process can be expedited for you.

If you don't desire on-line access to your accounts, you can still receive your confirmations and statements via email by logging on to www.myedocumentsuite.com to register and choose electronic delivery, or contact your financial consultant for assistance.

Make the move to paperless today!

ATTACHMENT 5

MESSAGE REGARDING ROLLED-UP TAX LOTS

IN RESPONSE TO CLIENT REQUESTS TO SHORTEN STATEMENTS, YOUR TAX LOTS ARE NOW ROLLED UP INTO ONE TO FOUR LINES FOR EACH SECURITY. ONE LINE WILL INCLUDE "NONCOVERED SHARES", ANOTHER LINE "COVERED SHARES", A THIRD LINE FOR "UNALLOCATED SHARES" (SHARES WHERE COST HAS NOT BEEN PROVIDED), AND A FOURTH LINE FOR

[redacted]

Messages *(continued)*

SHARES THAT WERE GIFTED. NONCOVERED SHARE AND COVERED SHARE DEFINITIONS CAN BE FOUND IN THE FOOTNOTES UNDER HOLDINGS. YOU COULD HAVE ONE LINE OR TWO OR MORE LINES FOR EACH SECURITY.

YOUR TAX LOTS ARE STILL MAINTAINED AND YOU MAY STILL RECEIVE STATEMENTS THAT REFLECT THE LOTS IF YOU SO CHOOSE. IF YOU WOULD LIKE TO RECEIVE THE DETAILS OF YOUR TAX LOTS, CONTACT YOUR FINANCIAL CONSULTANT.

Important Arbitration Disclosures and Important Arbitration Agreement

Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until: (I) the class certification is denied; (II) the class is decertified; or (III) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Pershing's contact information is as follows: Pershing LLC; Legal Department; One Pershing Plaza; Jersey City, New Jersey 07399; (201) 413-3330.

ATTACHMENT 5

[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

Account Number: [redacted]
Statement Period: 10/01/2012 - 10/31/2012

CHARLES L FLEISCHMANN
[redacted]

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	**$205,352.07**	**$205,199.62**
Dividends/Interest	15.50	167.95
Change in Account Value	0.00	0.00
Ending Account Value	$205,367.57	$205,367.57
Estimated Annual Income	$167.95	

Your Financial Consultant:
WARD PETTY AND JOE PETTY
(423) 668-5411

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds, and FDIC Deposits1	205,199.62	205,352.07	205,367.57	100%
Account Total	**$205,199.62**	**$205,352.07**	**$205,367.57**	**100%**

Your Account is 100% invested in Cash, Money Funds, and FDIC Deposits.

1 Cash in your account includes FDIC insured bank deposits.
FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation, up to allowable limits

ATTACHMENT 5

[redacted]

Client Service Information

Your Financial Consultant: S38

WARD PETTY AND JOE PETTY
345 FRAZIER
SUITE 205
CHATTANOOGA TN 37405-4121

Contact Information
Telephone Number: (423) 668-5411
Fax Number: (423) 668-5412

Investment Objective: CONSERVATIVE INCOME
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

FDIC Insured Bank Deposits

Sweep FDIC Insured Bank Deposits

DEUTSCHE BANK INS DEPOSIT E
Account Number [redacted] Activity Ending: 10/31/12

Date	Activity Type	Description	Amount	Balance
09/29/12	Opening Balance		205,352.07	205,352.07
10/15/12	Deposit	INTEREST CREDITED	15.50	205,367.57
10/15/12		APY 0.1%		205,367.57
10/16/12		Citizens Bank		205,367.57
10/16/12		A/O 10/16 $205367.57		205,367.57
10/31/12	**Closing Balance**			**$205,367.57**

Total FDIC Insured Bank Deposits **$205,367.57**

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Note: For the period from December 31, 2010 through December 31, 2012 the FDIC provides unlimited insurance coverage on certain non interest bearing bank accounts. If your account is in such a program it will be stated in your Message section.

ATTACHMENT 5

[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

Statement Period: 10/01/2012 - 10/31/2012

Portfolio Holdings

Quantity	Description	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-day Yield
Cash, Money Funds, and FDIC Deposits 100.00% of Portfolio						
FDIC Insured Bank Deposits						
205,367.570	DEUTSCHE BANK INS DEPOSIT E	205,352.07	205,367.57	8.55	167.95	N/A
Total FDIC Insured Bank Deposits		$205,352.07	$205,367.57	$8.55	$167.95	
Total Cash, Money Funds, and FDIC Deposits		$205,352.07	$205,367.57	$8.55	$167.95	

Description	Market Value	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$205,367.57	$0.00	$167.95

Disclosures and Other Information

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ATTACHMENT 5

[redacted]

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Additional Transactions		
Dividends and Interest	15.50	167.95
FDIC Insured Bank Deposits	*0.00*	*0.00*
Total Additional Transactions	**$15.50**	**$167.95**
Total Credits	**$15.50**	**$167.95**

Debits	This Period	Year-to-Date
Additional Transactions		
Dividends and Interest	0.00	0.00
FDIC Insured Bank Deposits	-15.50	-167.95
Total Additional Transactions	**-$15.50**	**-$167.95**
Total Debits	**-$15.50**	**-$167.95**

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
10/15/12	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED 250990041	DEUTSCHE BANK INS INTEREST REINVESTED				-15.50	USD
10/15/12	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED				15.50	USD
Total Value of all Transactions					$0.00	$0.00	

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period		Year-to-Date	
	Taxable	Non Taxable	Taxable	Non Taxable
Interest Income				
FDIC Insured Bank Deposits	15.50	0.00	167.95	0.00
Total Dividends, Interest, Income and Expenses	**$15.50**	**$0.00**	**$167.95**	**$0.00**

Messages

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[redacted]

BENJAMIN F. EDWARDS & CO.
INVESTMENTS *for* GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

CHARLES J FLEISCHMANN
[redacted]

Account Number: [redacted]
Statement Period: 11/01/2012 - 11/30/2012

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	**$205,367.57**	**$205,199.62**
Dividends/Interest	16.57	184.52
Change in Account Value	0.00	0.00
Ending Account Value	$205,384.14	$205,384.14
Estimated Annual Income	$184.52	

Your Financial Consultant:
WARD PETTY AND JOE PETTY
(423) 668-5411

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation	
Cash, Money Funds, and Bank Deposits[1]	205,199.62	205,367.57	205,384.14	100%	Your Account is 100% invested in Cash, Money Funds, and Bank Deposits.
Account Total	**$205,199.62**	**$205,367.57**	**$205,384.14**	**100%**	

1 **The Bank Deposits in your account are FDIC insured bank deposits.**
FDIC insured bank deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). These bank deposits are covered by the Federal Deposit Insurance Corporation (FDIC), up to allowable limits.

ATTACHMENT 5

[redacted]

Client Service Information

Your Financial Consultant: S38	Contact Information
WARD PETTY AND JOE PETTY 345 FRAZIER SUITE 205 CHATTANOOGA TN 37405-4121	Telephone Number: (423) 668-5411 Fax Number: (423) 668-5412

Investment Objective: CONSERVATIVE INCOME
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

FDIC Insured Bank Deposits

Sweep FDIC Insured Bank Deposits

DEUTSCHE BANK INS DEPOSIT E
Account Number: [redacted] Activity Ending: 11/30/12

Date	Activity Type	Description	Amount	Balance
11/01/12	Opening Balance		205,367.57	205,367.57
11/15/12	Deposit	INTEREST CREDITED APY 0.1%	16.57	205,384.14
11/16/12		Citizens Bank A/O 11/16 $205384.14		205,384.14
11/30/12	Closing Balance			$205,384.14

Total FDIC Insured Bank Deposits $205,384.14

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ATTACHMENT 5

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Statement Period: 11/01/2012 - 11/30/2012

Portfolio Holdings

Quantity	Description	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-day Yield
Cash, Money Funds, and Bank Deposits 100.00% of Portfolio						
FDIC Insured Bank Deposits						
205,384.140	DEUTSCHE BANK INS DEPOSIT E	205,367.57	205,384.14	9.09	184.52	N/A
Total FDIC Insured Bank Deposits		$205,367.57	$205,384.14	$9.09	$184.52	
Total Cash, Money Funds, and Bank Deposits		$205,367.57	$205,384.14	$9.09	$184.52	

Description	Market Value	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$205,384.14	$0.00	$184.52

Disclosures and Other Information

Pricing

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ATTACHMENT 5

[redacted]

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Additional Transactions		
Dividends and Interest	16.57	184.52
Total Additional Transactions	**$16.57**	**$184.52**
Money Market and Bank Deposits		
FDIC Insured Bank Deposits	0.00	0.00
Total Money Market and Bank Deposits	**$0.00**	**$0.00**
Total Credits	**$16.57**	**$184.52**

Debits	This Period	Year-to-Date
Additional Transactions		
Dividends and Interest	0.00	0.00
Total Additional Transactions	**$0.00**	**$0.00**
Money Market and Bank Deposits		
FDIC Insured Bank Deposits	-16.57	-184.52
Total Money Market and Bank Deposits	**-$16.57**	**-$184.52**
Total Debits	**-$16.57**	**-$184.52**

Transactions in Date Sequence

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
11/16/12	11/15/12	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED 250990041	DEUTSCHE BANK INS INTEREST REINVESTED				-16.57	USD
11/16/12	11/15/12	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED				16.57	USD
Total Value of Transactions						**$0.00**	**$0.00**	

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period		Year-to-Date	
	Taxable	Non Taxable	Taxable	Non Taxable
Interest Income				
FDIC Insured Bank Deposits	16.57	0.00	184.52	0.00
Total Dividends, Interest, Income and Expenses	**$16.57**	**$0.00**	**$184.52**	**$0.00**

Messages

Please note the following information for Pershing's 2012 mailing of Internal Revenue Service (IRS) Forms 1099 (B, DIV, INT, OID and MISC). Your Form 1099(s) will be mailed by February 28, 2013. Pershing has decided to delay this mailing to reduce the need for 1099 revisions, due to late information received from issuers regarding income reclassifications and cost basis-related adjustments.

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).
YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET FUND AND FDIC INSURED BANK RATES AND BANK LISTS." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEBSITE.

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Benjamin F. Edwards & Co. is updating its client profile information to better serve your needs and to comply with new regulatory requirements (FINRA Rule 2111). As a result, your financial consultant may

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Account Number: [redacted]
Statement Period: 12/01/2012 - 12/31/2012

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	$205,384.14	$205,199.62
Dividends/Interest	16.57	201.09
Change in Account Value	0.00	0.00
Ending Account Value	$205,400.71	$205,400.71
Estimated Annual Income	$201.09	

CHARLES J FLEISCHMANN
[redacted]

Your Financial Consultant:
WARD PETTY AND JOE PETTY
(423) 668-5411

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds, and Bank Deposits[1]	205,199.62	205,384.14	205,400.71	100%
Account Total	**$205,199.62**	**$205,384.14**	**$205,400.71**	**100%**

Your Account is 100% invested in Cash, Money Funds, and Bank Deposits.

1 **The Bank Deposits in your account are FDIC insured bank deposits.** FDIC insured bank deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). These bank deposits are covered by the Federal Deposit Insurance Corporation (FDIC), up to allowable limits.

ATTACHMENT 5

[redacted]

Client Service Information

Your Financial Consultant: S38	Contact Information
WARD PETTY AND JOE PETTY 345 FRAZIER SUITE 205 CHATTANOOGA TN 37405-4121	Telephone Number: (423) 668-5411 Fax Number: (423) 668-5412

Investment Objective: CONSERVATIVE INCOME
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

FDIC Insured Bank Deposits

Date	Activity Type	Description	Amount	Balance
Sweep FDIC Insured Bank Deposits				
DEUTSCHE BANK INS DEPOSIT E				
Account Number: [redacted]	Activity Ending: 12/31/12			
12/01/12	Opening Balance		205,384.14	205,384.14
12/14/12	Deposit	INTEREST CREDITED APY 0.1%	16.57	205,400.71
12/17/12		Citizens Bank A/O 12/17 $205400.71		205,400.71
12/31/12	**Closing Balance**			**$205,400.71**
Total FDIC Insured Bank Deposits				**$205,400.71**

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Note: For the period from December 31, 2010 through December 31, 2012 the FDIC provides unlimited insurance coverage on certain non interest bearing bank accounts. If your account is in such a program it will be stated in your Message section.

ATTACHMENT 5

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

Statement Period: 12/01/2012 - 12/31/2012

Portfolio Holdings

Quantity	Description	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-day Yield
Cash, Money Funds, and Bank Deposits 100.00% of Portfolio						
FDIC Insured Bank Deposits						
205,400.710	DEUTSCHE BANK INS DEPOSIT E	205,384.14	205,400.71	8.55	201.09	N/A
Total FDIC Insured Bank Deposits		$205,384.14	$205,400.71	$8.55	$201.09	
Total Cash, Money Funds, and Bank Deposits		$205,384.14	$205,400.71	$8.55	$201.09	

Description	Market Value	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$205,400.71	$0.00	$201.09

Disclosures and Other Information

Pricing

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices have been obtained from quotation services, which we believe to be reliable. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange.

Foreign Currency Transactions

Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote

Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

ATTACHMENT 5

[redacted]

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Additional Transactions		
Dividends and Interest	16.57	201.09
Total Additional Transactions	**$16.57**	**$201.09**
Money Market and Bank Deposits		
FDIC Insured Bank Deposits	0.00	0.00
Total Money Market and Bank Deposits	**$0.00**	**$0.00**
Total Credits	**$16.57**	**$201.09**

Debits	This Period	Year-to-Date
Additional Transactions		
Dividends and Interest	0.00	0.00
Total Additional Transactions	**$0.00**	**$0.00**
Money Market and Bank Deposits		
FDIC Insured Bank Deposits	-16.57	-201.09
Total Money Market and Bank Deposits	**-$16.57**	**-$201.09**
Total Debits	**-$16.57**	**-$201.09**

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
12/14/12	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED 250990041	DEUTSCHE BANK INS INTEREST REINVESTED				-16.57	USD
12/14/12	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED				16.57	USD
Total Value of Transactions					**$0.00**	**$0.00**	

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period		Year-to-Date	
	Taxable	Non Taxable	Taxable	Non Taxable
Interest Income				
FDIC Insured Bank Deposits	16.57	0.00	201.09	0.00
Total Dividends, Interest, Income and Expenses	**$16.57**	**$0.00**	**$201.09**	**$0.00**

Messages

Although a money market mutual fund seeks to preserve the value of your investment at $1 per share, it is possible to lose money by investing in a money market mutual fund. Please see the money market mutual fund's prospectus or contact your investment professional for additional information.

Please note the following information for Pershing's 2012 mailing of Internal Revenue Service (IRS) Forms 1099 (B, DIV, INT, OID and MISC). Your Form 1099(s) will be mailed by February 28, 2013. Pershing has decided to delay this mailing to reduce the need for 1099 revisions, due to late information received from issuers regarding income reclassifications and cost basis-related adjustments.

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC). YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET FUND AND FDIC INSURED BANK RATES AND BANK LISTS." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEBSITE.

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.JAMIN F. EDWARDS & CO.
.VESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

SEP Account Statement

SEP FBO CHARLES J FLEISCHMANN
PERSHING LLC AS CU ACCT CLOSED
[redacted]

Your Financial Consultant:
WPETTY / JPETTY / SSHOLL SPLIT
ACCOUNT CLOSED ON 01/24/2012

Account Number: [redacted]
Statement Period: 01/01/2012 - 01/31/2012

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	$275,982.92	$275,982.92
Cash Deposits	153,382.28	153,382.28
Cash Withdrawals	-436,004.81	-436,004.81
Dividends/Interest	51.93	51.93
Fees	-136.47	-136.47
Change in Account Value	6,724.15	6,724.15
Ending Account Value	$0.00	$0.00
Estimated Annual Income	$3.14	

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds, and FDIC Deposits[1]	11,873.92	11,873.92	0.00	0%
Mutual Funds	264,109.00	264,109.00	0.00	0%
Account Total	$275,982.92	$275,982.92	$0.00	0%

1 Cash in your account includes FDIC insured bank deposits.
FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation, up to allowable limits

ATTACHMENT 6

[redacted]

Additional Information

	This Period	Year-to-Date
Securities Bought and Sold	$270,833.15	$270,833.15

Client Service Information

Your Financial Consultant: S26
WPETTY / JPETTY / SSHOLL SPLIT
345 FRAZIER
SUITE 205
CHATTANOOGA TN 37405-4121

Contact Information
Telephone Number: (423) 668-5411
Fax Number: (423) 668-5412

Investment Objective: CONSERVATIVE GROWTH
Risk Exposure: NONE SPECIFIED

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Consultant.

Year-End Fair Market Value (12/31/2011): $275,982.92.
This information will be furnished to the Internal Revenue Service.
Your fair market value may change based on transaction or asset valuation adjustments made after 12/31/2011.

Default Tax Lot Disposition Method for Mutual Funds : FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

Client Account Beneficiary Information

Beneficiary Name	Primary /Contingent	Relationship to Account Holder(s)	Allocation Percent
B[redacted]	PRIMARY	SPOUSE	100.00

Disclosures and Other Information:

The beneficiary information displayed is a summary of information you have provided and should accurately reflect the most recent beneficiary information received. An agreement you executed to designate any beneficiaries displayed controls distribution to such parties. If differences exist between information here, and the signed beneficiary agreement, the agreement will control. Please contact your Financial Consultant to update your beneficiary information if necessary or to review more detailed information concerning your designations.

FDIC Insured Bank Deposits

Other FDIC Insured Bank Deposits

DEUTSCHE BANK INS DEPOSIT E
Account Number: [redacted] Activity Ending: 01/31/12

Date	Activity Type	Description	Amount	Balance
12/31/11	Opening Balance		10,927.34	10,927.34
01/03/12	Deposit	DEPOSIT	946.58	11,873.92
01/06/12	Withdrawal	WITHDRAWAL	-776.20	11,097.72
01/13/12	Deposit	INTEREST CREDITED	0.86	11,098.58
01/13/12		APY 0.1%		11,098.58
01/17/12		Citizens Bank		11,098.58
01/17/12		A/O 01/17 $11098.58		11,098.58
01/19/12	Deposit	DEPOSIT	15,869.02	26,967.60
01/23/12	Deposit	DEPOSIT	280,272.56	307,240.16

ATTACHMENT 6

FDIC Insured Bank Deposits *(continued)*

Date	Activity Type	Description	Amount	Balance
Other FDIC Insured Bank Deposits *(continued)*				
DEUTSCHE BANK INS DEPOSIT E *(continued)*				
01/24/12	Deposit	DEPOSIT	128,762.37	436,002.53
01/25/12	Withdrawal	WITHDRAWAL	-436,004.81	-2.28
01/25/12	Deposit	INTEREST POSTED	2.28	0.00
01/31/12	Closing Balance			$0.00
Total FDIC Insured Bank Deposits				$0.00

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Note: For the period from December 31, 2010 through December 31, 2012 the FDIC provides unlimited insurance coverage on certain non interest bearing bank accounts. If your account is in such a program it will be stated in your Message section.

Portfolio Holdings

Quantity	Description	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-day Yield
Cash, Money Funds, and FDIC Deposits 0.00% of Portfolio						
Cash Balance		946.58	0.00			
FDIC Insured Bank Deposits						
	DEUTSCHE BANK INS DEPOSIT E	10,927.34	0.00	0.00	3.14	N/A
Total FDIC Insured Bank Deposits		$10,927.34	$0.00	$0.00	$3.14	
Total Cash, Money Funds, and FDIC Deposits		$11,873.92	$0.00	$0.00	$3.14	

Description	Market Value	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	*$0.00*	*$0.00*	*$3.14*

Disclosures and Other Information

Pricing - This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices have been

ATTACHMENT 6

[redacted]

Portfolio Holdings *(continued)*

obtained from quotation services, which we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Activity Summary (All amounts shown are in base currency: USD)

Credits	This Period	Year-to-Date
Securities		
Securities Sold	270,833.15	270,833.15
Total Securities	**$270,833.15**	**$270,833.15**
Cash		
Deposits	153,382.28	153,382.28
Total Cash	**$153,382.28**	**$153,382.28**
Additional Transactions		
Fees	639.73	639.73
Dividends and Interest	51.93	51.93
FDIC Insured Bank Deposits	436,778.73	436,778.73
Total Additional Transactions	**$437,470.39**	**$437,470.39**
Total Credits	**$861,685.82**	**$861,685.82**

Debits	This Period	Year-to-Date
Securities		
Securities Bought	0.00	0.00
Total Securities	**$0.00**	**$0.00**
Cash		
Withdrawals	-436,004.81	-436,004.81
Total Cash	**-$436,004.81**	**-$436,004.81**
Additional Transactions		
Fees	-776.20	-776.20
Dividends and Interest	0.00	0.00
FDIC Insured Bank Deposits	-425,851.39	-425,851.39
Total Additional Transactions	**-$426,627.59**	**-$426,627.59**
Total Debits	**-$862,632.40**	**-$862,632.40**

Transactions in Date Sequence

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
01/03/12		FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-946.58	USD

ATTACHMENT 6

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

SEP
Account Statement

Statement Period: 01/01/2012 - 01/31/2012

Transactions in Date Sequence *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
01/06/12		FDIC INSURED BANK WITHDRAWAL USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				776.20	USD
01/06/12		ASSET BASED FEE USD999997	QUARTERLY FEE 1/1-3/ 31				-776.20	USD
01/13/12		FDIC INSURED BANK DEPOSITS INTEREST REINVESTED 250990041	DEUTSCHE BANK INS INTEREST REINVESTED				-0.86	USD
01/13/12		FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED				0.86	USD
01/18/12	01/17/12	SOLD NOSGX	NORTHERN SMALL CAP VALUE FUND 320170242259 UNSOLICITED ORDER	-986.992	15.4300		15,229.29	USD
01/18/12		ASSET BASED FEE USD999997	TERMINATION REBATE 1 /17-3/31				639.73	USD
01/19/12		FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-15,869.02	USD
01/20/12	01/17/12	SOLD ABNFX	THE BOND FUND OF AMERICA CLASS F-2 UNSOLICITED ORDER	-1,262.260	12.6000		15,904.48	USD
01/20/12	01/17/12	SOLD BFWFX	CAPITAL WORLD BOND FUND CLASS F-2 UNSOLICITED ORDER	-1,152.634	20.5800		23,721.21	USD
01/20/12	01/17/12	SOLD WGIFX	CAPITAL WORLD GROWTH & INCOME FUND CLASS F-2 UNSOLICITED ORDER	-422.770	32.9200		13,917.59	USD
01/20/12	01/17/12	SOLD AEPFX	EUROPACIFIC GROWTH FUND CLASS F-2 UNSOLICITED ORDER	-597.723	36.0700		21,559.87	USD
01/20/12	01/17/12	SOLD FVGRX	FRANKLIN GLOBAL REAL ESTATE FUND ADVISOR CLASS 200000185 UNSOLICITED ORDER	-1,312.667	6.4800		8,506.08	USD
01/20/12	01/17/12	SOLD FRAAX	FRANKLIN GROWTH OPPORTUNITIES FUND ADV CLASS 200002071 UNSOLICITED ORDER	-630.802	21.6100		13,631.63	USD
01/20/12	01/17/12	SOLD GFFFX	THE GROWTH FUND OF AMERICA CLASS F-2 UNSOLICITED ORDER	-959.225	29.8700		28,652.06	USD
01/20/12	01/17/12	SOLD IBAFX	INTERMEDIATE BOND FUND OF AMERICA CLASS F-2 UNSOLICITED ORDER	-1,158.309	13.6600		15,822.50	USD

ATTACHMENT 6

[redacted]

Transactions in Date Sequence *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	CCY
01/20/12	01/17/12	SOLD ICAFX	THE INVESTMENT COMPANY OF AMERICA CLASS F-2 UNSOLICITED ORDER	-518.470	27.8700		14,477.63	USD
01/20/12	01/17/12	SOLD ANWFX	NEW PERSPECTIVE FUND CLASS F-2 UNSOLICITED ORDER	-514.179	26.9300		13,846.84	USD
01/20/12	01/17/12	SOLD NFFFX	NEW WORLD FUND CLASS F-2 UNSOLICITED ORDER	-286.241	47.6900		13,650.83	USD
01/20/12	01/17/12	SOLD PCGRX	PIONEER MID-CAP VALUE FUND CLASS A 200005287 UNSOLICITED ORDER	-718.107	20.3400		14,606.30	USD
01/20/12	01/17/12	SOLD ORIGX	PIONEER OAK RIDGE SMALL CAP GROWTH FUND CLASS A 200008559 UNSOLICITED ORDER	-491.464	28.5700		14,041.13	USD
01/20/12	01/17/12	SOLD SMCFX	SMALL-CAP WORLD FUND CLASS F-2 UNSOLICITED ORDER	-325.744	34.6200		11,277.26	USD
01/20/12	01/17/12	SOLD WMFFX	WASHINGTON MUTUAL INVESTORS FUND CLASS F-2 UNSOLICITED ORDER	-1,102.290	29.0200		31,988.46	USD
01/23/12		FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-280,272.56	USD
01/23/12		YOUR ASSET TRANSFERRED USD999997	FROM A/C EPP-003890 BENJAMIN F EDWARDS &				24,668.70	USD
01/23/12		CASH DIVIDEND RECEIVED ABNFX	THE BOND FUND OF AMERICA CLASS F-2 FOR ACCRUAL PERIOD ENDING 01/20/12				28.71	USD
01/23/12		CASH DIVIDEND RECEIVED IBAFX	INTERMEDIATE BOND FUND OF AMERICA CLASS F-2 FOR ACCRUAL PERIOD ENDING 01/20/12				20.08	USD
01/24/12		FDIC INSURED BANK DEPOSIT USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E				-128,762.37	USD
01/24/12		YOUR ASSET TRANSFERRED USD999997	FROM A/C EPP-003890 BENJAMIN F EDWARDS &				128,713.58	USD
01/25/12		FDIC INSURED BANK WITHDRAWAL USD999997	DEUTSCHE BANK INS DEPOSIT PROGRAM E FULL PRINCIPAL REDEEMED				436,002.53	USD
01/25/12		YOUR ASSET TRANSFERRED USD999997	TO A/C EPP-003890 BENJAMIN F EDWARDS &				-436,003.67	USD
01/25/12		FDIC INSURED BANK DEPOSITS INTEREST RECEIVED 250990041	DEUTSCHE BANK INS INTEREST CREDITED INCOME REDEEMED				2.28	USD
01/26/12		YOUR ASSET TRANSFERRED USD999997	TO A/C EPP-003890 BENJAMIN F EDWARDS &				-1.14	USD
Total Value of all Transactions						$0.00	-$946.58	

The price and quantity displayed may have been rounded.

ATTACHMENT 6

BENJAMIN F. EDWARDS & CO.
INVESTMENTS for GENERATIONS
One North Brentwood Blvd., Suite 850
Saint Louis, MO 63105 314-726-1600

[redacted]

SEP
Account Statement

Statement Period: 01/01/2012 - 01/31/2012

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Dividend Income		
Equities	48.79	48.79
Interest Income		
FDIC Insured Bank Deposits	3.14	3.14
Total Dividends, Interest, Income and Expenses	$51.93	$51.93

Messages

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).
YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET FUND AND FDIC INSURED BANK RATES AND BANK LISTS." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEBSITE.

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If you currently have online access to your accounts through NetExchange Client, you can sign up for e-delivery of your statements and trade confirmations, as well as Mutual Fund, ETF and UIT prospectus, by clicking on the Go Paperless button when you log in. If you do not have on-line access, please contact your financial consultant and the process can be expedited for you.

If you don't desire on-line access to your accounts, you can still receive your confirmations and statements via email by logging on to www.myedocumentsuite.com to register and choose electronic delivery, or contact your financial consultant for assistance.

Make the move to paperless today!

For more information about the advisory programs offered by Benjamin F. Edwards & Co. and our role as an investment adviser, please see our Form ADV on our website : www.benjaminfedwardsco.com/adv, or call our Home Office at (314) 726-1600 and we will mail you a free copy.

Important Arbitration Agreement and Important Arbitration Disclosures

Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until; (I) the class certification is denied; (II) the class is decertified; or (III) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

ATTACHMENT 6