UNITED STATES HOUSE OF REPRESENTATIVES

CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

FORM A

For use by Members, officers, and empl[oyees]

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2013 MAY 15 PM 2:38
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Susan W. Brooks
(Full Name)

(Daytime Telephone)

(Office Use Only)

Filer Status: ☑ Member of the U.S. House of Representatives — State: IN — District: 05 ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $350 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

IPO--	Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Yes ☐ No ☑
Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes ☐ No ☑

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Susan W. Brooks

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than $200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds. For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling $5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left. For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.	BLOCK B **Year-End Value of Asset** Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold during the reporting year and is included only because it generated income, the value should be "None." * This column is for assets held solely by your spouse or dependent child.	BLOCK C **Type of Income** Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	BLOCK D **Amount of Income** For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated. * This column is for income generated by assets held solely by your spouse or dependent child.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
IRA - AQR FDS MANAGED FUTURES STRATEGY	$1,001 - $15,000	TAX-DEFERRED	NONE	
IRA - ARTIO GLOBAL INVT FDS TOTAL RETURN BD FD	$15,001 - $50,000	TAX-DEFERRED	NONE	
IRA - ARTISAN FUNDS INC MID CAP VALUE	$1,001 - $15,000	TAX-DEFERRED	NONE	
IRA - COHEN & STEERS RLTY SHARES INC	$1,001 - $15,000	TAX-DEFERRED	NONE	
IRA - DIAMOND HILL LONG-SHORT FUND	None	TAX-DEFERRED	NONE	
IRA - EATON VANCE GLOBAL MACRO ABOSLUTE RETRUN FUND	$1,001 - $15,000	TAX-DEFERRED	NONE	

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IRA - FIDELITY ADV EMERG MRKTS	None	TAX-DEFERRED	NONE
IRA - FMI FDS INC FOCUS FUND	$1,001 - $15,000	TAX-DEFERRED	NONE
IRA - GABELLI EQUITY SMALL CAP	None	TAX-DEFERRED	NONE
IRA - GOLDMAN SACHS TR FINL SQUARE TREA INSTRS FD	$1,001 - $15,000	TAX-DEFERRED	NONE
IRA - HARBOR FD HIGH YIELD BD FD	None	TAX-DEFERRED	NONE
IRA - HARBOR FUND CAP APPRECIATION FD	$15,001 - $50,000	TAX-DEFERRED	NONE
IRA - HEARTLAND VALUE PLUS FD CL INSTITUTIONAL	$1,001 - $15,000	TAX-DEFERRED	NONE
IRA - INVESCO MID CAP CORE EQUITY	None	TAX-DEFERRED	NONE
IRA - JOHN HANCOCK FDS II GLOBAL ABSOLUTE RETURN STRATEGIES FD	$1,001 - $15,000	TAX-DEFERRED	NONE
IRA - JP MORGAN TR I INTREPID VALUE FD	$15,001 - $50,000	TAX-DEFERRED	NONE
IRA - MAINSTAY FD HIGH YIELD CORPORATE BD FD	$1,001 - $15,000	TAX-DEFERRED	NONE
IRA - MANAGERS FUNDS BOND FUND	$1,001 - $15,000	TAX-DEFERRED	NONE
IRA - METROPOLITAN WEST FDS TOTAL RETURN BD FD	$1,001 - $15,000	TAX-DEFERRED	NONE
IRA - MFS SER TR X EMERGING MKTS DEBT FD	$1,001 - $15,000	TAX-DEFERRED	NONE

	IRA - OPPENHEIMER DEV MKTS	$1,001 - $15,000	TAX-DEFERRED	NONE
	IRA - OPPENHEIMER INTL BD FD	$1,001 - $15,000	TAX-DEFERRED	NONE
	IRA - PIMCO FDS PAC INVT	None	TAX-DEFERRED	NONE
	IRA - PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD	$1,001 - $15,000	TAX-DEFERRED	NONE
	IRA - PIMCO FDS PAC INVT MGMT SER-COMMODITY REAL RETRUN STRAT FD	$1,001 - $15,000	TAX-DEFERRED	NONE
	IRA - PIONEER SER TR I PIONEER OAK RIDGE SMALL CAP GROWTH FUND	$1,001 - $15,000	TAX-DEFERRED	NONE
	IRA - PYXIS LONG/SHORT EQUITY FUND	$1,001 - $15,000	TAX-DEFERRED	NONE
	IRA - RUSSELL 1000 GROWTH INDEX	None	TAX-DEFERRED	NONE
	IRA - RUSSELL 1000 VALUE INDEX	None	TAX-DEFERRED	NONE
	IRA - THORNBURG INTL VALUE FUND	$1,001 - $15,000	TAX-DEFERRED	NONE
	IRA - THORNBURG INVT INCOME BUILDER FD	$1,001 - $15,000	TAX-DEFERRED	NONE
	IRA - TURNER FDS SPECTRUM FD INSTL	$1,001 - $15,000	TAX-DEFERRED	NONE
	MET LIFE - UNIVERSAL LIFE INSURANCE POLICY	$15,001 - $50,000	None	NONE

	RET - AMERCENT SMCAP VAL INV	$1,001 - $15,000	TAX-DEFERRED	NONE
	RET - AMERCENT STRAT ALLOC AGRSV INV	$1,001 - $15,000	TAX-DEFERRED	NONE
	RET - AMERCENT STRAT ALLOC MOD INV	$15,001 - $50,000	TAX-DEFERRED	NONE
	RET - AMERCENT VP CAPITAL APP INST	$1,001 - $15,000	TAX-DEFERRED	NONE
	RET - AMERFDS EUROPAC GRTH	$15,001 - $50,000	TAX-DEFERRED	NONE
	RET - AMERFDS FDAMENTAL INVS	$1,001 - $15,000	TAX-DEFERRED	NONE
	RET - MFS INTL NEW DISCOVERY A	$15,001 - $50,000	TAX-DEFERRED	NONE
	RET - ONE AMERICA MONEY MKT	$15,001 - $50,000	TAX-DEFERRED	NONE
	RET - VANGUARD ST FEDERAL INV	$15,001 - $50,000	TAX-DEFERRED	NONE
SP	ARLINGTON WAREHOUSE INVESTORS II, LTD - ARLINGTON, TX	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500
SP	BROOKS KOCH & SORG, LLC REAL ESTATE - OFFICE BUILDING - INDIANAPOLIS, IN	$250,001 - $500,000	DIVIDENDS	$15,001 - $50,000
SP	CASTLE CREEK INVESTORS, LLC - BUILDING ONE - INDIANAPOLIS, IN	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500
SP	CASTLE CREEK INVESTORS, LLC - BUILDING TWO - INDIANAPOLIS, IN	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	JP MORGAN BANK ACCOUNT	$1,001 - $15,000	INTEREST	$1 - $200
	JP MORGAN BANK ACCOUNT	$1 - $1,000	None	NONE
SP	MANSFIELD WAREHOUSE INVESTORS I, LTD - MANSFIELD, TX	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500
SP	O'DAY FARMBROOK, INC - WAREHOUSING FACILITY - ARLINGTON, TX	$1,000,001 - $5,000,000	INTEREST/RENT	$50,001 - $100,000
SP	O'DAY LANDMAN LLC - MINERAL RIGHTS - ARLINGTON, TX	$100,001 - $250,000	Other: ROYALTIES	$15,001 - $50,000
SP	T.O. HARRIS INVESTORS, LLC	$1,001 - $15,000	INTEREST	$1 - $200
SP	WELLS FARGO MONEY MARKET BANK DEPOSIT SWEEP	$15,001 - $50,000	INTEREST	$1 - $200

SCHEDULE VIII - POSITIONS

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
BOARD MEMBER	INDIANA UNIVERSITY SCHOOL OF LAW - INDIANAPOLIS BOARD OF VISITORS
DISTINGUISHED ADVISOR	CHILDREN'S MUSEUM OF INDIANAPOLIS
EMERITUS BOARD MEMBER	WOMEN'S FUND OF CENTRAL INDIANA
ADVISORY COUNCIL MEMBER	IUPUI PUBLIC POLICY INSTITUTE

FOOTNOTES

Name Susan W. Brooks

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	The trust listed in the previous Candidate Financial Disclosure was listed by mistake. This item has been removed since it was not previously nor is currently an asset of the Member of Congress, her spouse, or children.	
2	Schedule III	Vanguard LT Bond, Vanguard ST Bond, Goldman Sachs Comm Fund, Hussman Strategic Growth FD, and Templeton Dev Markets were assets previously held in the Candidate Financial Disclosure and have been sold and are no longer listed as assets.	
3	Schedule III	Please note: There are no hard assets for this entity at this time.	T.O. HARRIS INVESTORS, LLC
4	Schedule III	Please note: This entity pays a dividend to the partners.	BROOKS KOCH & SORG, LLC
5	Schedule III	Please note that this policy was inadvertently omitted from the previous Candidate Financial Disclosure.	MET LIFE - UNIVERSAL LIFE INSURANCE POLICY