Filing ID #10004693

Financial Disclosure Report

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Filer Information

Name: Hon. Leonard Lance

Status: Member

State/District: NJ07

Filing Information

Filing Type: Amendment Report

Filing Year: 2013

Filing Date: 12/27/2014

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Bank of America	JT	$1 - $1,000	Interest	$1 - $200	☐
Bank Of America Checking		$1,001 - $15,000	None		☐
Bank of America Corporation (BAC)		$15,001 - $50,000	Dividends	$1 - $200	☐
BANK OF AMERICA MMA		$1,001 - $15,000	Interest	$1 - $200	☐
Candidate IRA ⇒ JPMC EXPLORER FUND INVESTMENT Description: PURCHASED 2013		$1,001 - $15,000	Dividends	$1 - $200	☑
Candidate IRA ⇒ VANGUARD CAPITAL OPP GROWTH INV		$50,001 - $100,000	Dividends	$201 - $1,000	☐
Candidate IRA ⇒ VANGUARD EMERGING MKTS STOCK INDEX		$15,001 - $50,000	Dividends	$201 - $1,000	☐
Candidate IRA ⇒ VANGUARD ENERGY FUND ADMIRAL		$100,001 - $250,000	Dividends	$1,001 - $2,500	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Candidate IRA ⇒ VANGUARD PRECIOUS METALS & MINING		$15,001 - $50,000	Dividends	$201 - $1,000	☐
Candidate IRA ⇒ VANGUARD PRIMECAP INVESTOR FUND		$1,001 - $15,000	Dividends	$1 - $200	☐
Candidate IRA ⇒ VANGUARD WINDSOR II FUND INVESTMENT		$1,001 - $15,000	Dividends	$1 - $200	☐
Estate of Adele Rohrbach, 50% Interest DESCRIPTION: Ownership of General Mills Stock transferred from Estate to Spouse in 2013. John Hancock Annuity transferred to spouse in 2013.	SP	$50,001 - $100,000	None		☑
Estate of Adele Rohrbach ⇒ Property at 905 Mill Rd East Aurora NY LOCATION: East Aurora, NY, US	SP	$50,001 - $100,000	None		☐
Estate of Mae Anderson ⇒ AT&T Inc. (T)		$15,001 - $50,000	Dividends	$2,501 - $5,000	☐
Estate of Mae Anderson ⇒ Comcast Corporation - Class A (CMCSA)		$1,001 - $15,000	Dividends	$1 - $200	☐
Estate of Mae Anderson ⇒ Dreyfus Mututal Fund		$15,001 - $50,000	Dividends	$201 - $1,000	☐
Estate of Mae Anderson ⇒ DWS Mutual Fund		$15,001 - $50,000	Dividends	$201 - $1,000	☐
Estate of Mae Anderson ⇒ Excel Corp (EXCC)		$1,001 - $15,000	Dividends	$1 - $200	☐
Estate of Mae Anderson ⇒ Frontier Communications Corporation (FTR)		$1 - $1,000	Dividends	$1 - $200	☐
Estate of Mae Anderson ⇒ Nuveen Mutual Fund		$1,001 - $15,000	Dividends	$201 - $1,000	☐
Estate of Mae Anderson ⇒ Putnam Mutual Fund		$50,001 - $100,000	Dividends	$201 - $1,000	☐
Estate of Mae Anderson ⇒ Verizon Communications Inc. (VZ)		$15,001 - $50,000	Dividends	$201 - $1,000	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Estate of Mae Anderson ⇒ Vodafone Group Plc - American Depositary Shares each representing ten Ordinary Shares (VOD)		$1,001 - $15,000	Dividends	$201 - $1,000	
Estate of Wesley Lance ⇒ Bank of America Corporation (BAC) Description: 50% ownership		$15,001 - $50,000	Dividends	$1 - $200	
Estate of Wesley Lance ⇒ MetLife, Inc. (MET) Description: 50% ownership		$1 - $1,000	Dividends	$1 - $200	
General Mills, Inc. (GIS) Description: Stock was transferred from Estate of Adele Rohrbach.	SP	$15,001 - $50,000	Dividends	$201 - $1,000	✓
JOHN HANCOCK VENTURE ANNUITY Description: SEE EARNED INCOME SCHEDULE UNDERLYING ASSET: MFC GLOBAL INVESTMENT MGMT LIFESTYLE BALANCE	SP	$50,001 - $100,000	Dividends	$5,001 - $15,000	✓
JP MORGAN RETIREMENT PLAN	SP	$250,001 - $500,000	Interest	$5,001 - $15,000	
JP MORGANCHASE 401k ⇒ JP Morgan Chase & Co. (JPM)	SP	$100,001 - $250,000	Dividends	$5,001 - $15,000	
JP MORGANCHASE 401k ⇒ JPMC GROWTH & INCOME FUND Description: TRFRD TO JPMC LARGE CAP VALUE FUND	SP	None	Dividends	None	✓
JP MORGANCHASE 401k ⇒ JPMC INTL LARGE CAP CORE FUND	SP	$15,001 - $50,000	Dividends	None	
JP MORGANCHASE 401k ⇒ JPMC LARGE CAP VALUE FUND	SP	$100,001 - $250,000	Dividends	$5,001 - $15,000	✓
JP MORGANCHASE 401k ⇒ JPMC S&P 500 INDEX FUND	SP	$50,001 - $100,000	Dividends	$1,001 - $2,500	
JP MORGANCHASE 401k ⇒ JPMC SMALL CAP CORE FUND	SP	$50,001 - $100,000	Dividends	None	
JP MORGANCHASE 401k ⇒ JPMC ST FIXED INCOME FUND	SP	$100,001 - $250,000	Dividends	$201 - $1,000	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
JP MORGANCHASE 401k ⇒ JPMC STABLE VALUE FUNDS	SP	$50,001 - $100,000	Dividends	$1,001 - $2,500	☐
Office Building 36 Center ST LOCATION: Clinton, NJ, US DESCRIPTION: 50% Ownership		$100,001 - $250,000	None		☐
SCOTTRADE MMA		$15,001 - $50,000	Interest	$1 - $200	☐
Spouse IRA ⇒ AMERICAN FUND CAP WORLD GROWTH & INCOME	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Spouse IRA ⇒ AMERICAN FUND INCOME FUND OF AMERICA A	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Spouse IRA ⇒ AMERICAN FUND INVESTMENT A	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Spouse IRA ⇒ AMERICAN FUNDS BOND FUND OF AMERICA A	SP	$50,001 - $100,000	Dividends	$1,001 - $2,500	☐
Spouse IRA ⇒ AMERICAN FUNDS CAP INCOME BUILDER A	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Spouse IRA ⇒ PRUDENTIAL IRA MMA DESCRIPTION: OPENED 2013	SP	$1 - $1,000	Interest	$1 - $200	☐
Spouse IRA ⇒ VANGUARD IRA DESCRIPTION: UNDERLYING ASSET: ADMIRAL ENERGY FUND	SP	$50,001 - $100,000	Dividends	$2,501 - $5,000	☐
UBS Financial Services- Member ⇒ ALLIANZGI INTL VALUE FUND DESCRIPTION: BOUGHT 2013		$15,001 - $50,000	Dividends	$1 - $200	☐
UBS Financial Services- Member ⇒ AMERICAN FUNDS EURO PACIFIC GROWTH DESCRIPTION: SOLD IN 2013		None	Capital Gains	$15,001 - $50,000	☑
UBS Financial Services- Member ⇒ AMERICAN FUNDS FUNDAMENTAL INVESTORS		$15,001 - $50,000	Dividends	$201 - $1,000	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
UBS Financial Services- Member ⇒ AMERICAN FUNDS GROWTH FUND OF AMERICA		$50,001 - $100,000	Dividends	$1 - $200	☐
UBS Financial Services- Member ⇒ AMERICAN FUNDS WASHINGTON MUTUAL INVESTORS		$15,001 - $50,000	Dividends	$201 - $1,000	☐
UBS Financial Services- Member ⇒ EATON VANCE LARGE CAP VALUE FUND CLASS A		$15,001 - $50,000	Dividends	$201 - $1,000	☐
UBS Financial Services- Member ⇒ FIRST EAGLE GLOBAL FUND CLASS A DESCRIPTION: BOUGHT 2013		$15,001 - $50,000	Dividends	$201 - $1,000	☐
UBS Financial Services- Member ⇒ FT GLOBAL BOND FUND		$50,001 - $100,000	Dividends	$1,001 - $2,500	☐
UBS Financial Services- Member ⇒ FT TEMPLETON FOREIGN SMALL CO A DESCRIPTION: SOLD 2013		None	Capital Gains	$15,001 - $50,000	☑
UBS Financial Services- Member ⇒ INVESCO VAN KEMPEN MID GROWTH FUND CL A		$50,001 - $100,000	Dividends	None	☐
UBS Financial Services- Member ⇒ IVY GLOBAL NATURAL RESOURCES FUND DESCRIPTION: BOUGHT 2013		$15,001 - $50,000	Dividends	$1 - $200	☑
UBS Financial Services- Member ⇒ JP MORGAN MID CAP VALUE DESCRIPTION: BOUGHT 2013		$15,001 - $50,000	Dividends	$201 - $1,000	☑
UBS Financial Services- Member ⇒ KEELEY SMALL CAP VALUE FUND DESCRIPTION: BOUGHT 2013		$15,001 - $50,000	Dividends	$1 - $200	☐
UBS Financial Services- Member ⇒ MFS BOND FUND A DESCRIPTION: BOUGHT 2013		$15,001 - $50,000	Dividends	$201 - $1,000	☐
UBS Financial Services- Member ⇒ MFS INTL GROWTH DESCRIPTION: BOUGHT 2013		$15,001 - $50,000	Dividends	$201 - $1,000	☐
UBS Financial Services- Member ⇒ OPPENHEIMER DEVELOPING MARKETS		$15,001 - $50,000	Dividends	$1 - $200	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
DESCRIPTION: BOUGHT 2013					
UBS Financial Services- Member ⇒ OPPENHEIMER SR FLOATING RATE FUND DESCRIPTION: BOUGHT 2013		$15,001 - $50,000	Dividends	$1,001 - $2,500	☐
UBS Financial Services- Member ⇒ PACE MONEY MARKET INVESTMENT FUND CLASS P DESCRIPTION: BOUGHT 2013		$1,001 - $15,000	None		☐
UBS Financial Services- Member ⇒ PRINCIPAL HIGH YIELD FUND CLASS A DESCRIPTION: BOUGHT 2013		$100,001 - $250,000	Dividends	$1,001 - $2,500	☐
UBS Financial Services- Member ⇒ PRINCIPAL INVESTORS PREF SECURITIES FUND DESCRIPTION: BOUGHT 2013		$1,001 - $15,000	Dividends	$201 - $1,000	☐
UBS Financial Services- Member ⇒ TETON WESTWOOD MIGHTY MITES FUND CLASS AAA DESCRIPTION: BOUGHT 2013		$15,001 - $50,000	Dividends	$1 - $200	☐
UBS Financial Services- Member ⇒ WELLS FARGO ADVANTAGE GROWTH A DESCRIPTION: BOUGHT 2013		$100,001 - $250,000	Dividends	$201 - $1,000	☐

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Candidate IRA ⇒ JPMC EXPLORER FUND		01/4/2013	P	$1,001 - $15,000	
General Mills, Inc. (GIS) DESCRIPTION: TRFR FROM ESTATE OF ADELE ROHRBACH TO SPOUSE	SP	01/3/2013	E	$15,001 - $50,000	
JP MORGANCHASE 401k ⇒ JPMC LARGE CAP VALUE FUND	SP	01/4/2013	P	$100,001 - $250,000	
Spouse IRA ⇒ JOHN HANCOCK ANNUITY	SP	01/3/2013	E	$15,001 - $50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Description: TRFR FROM ESTATE OF ADELE ROHRBACH TO SPOUSE					
UBS Financial Services- Member ⇒ ALLIANZGI INTL VALUE FUND		12/23/2013	P	$15,001 - $50,000	
UBS Financial Services- Member ⇒ AMERICAN FUNDS EURO PACIFIC GROWTH		04/13/2013	S	$100,001 - $250,000	☑
UBS Financial Services- Member ⇒ FIRST EAGLE GLOBAL FUND A		12/23/2013	P	$15,001 - $50,000	
UBS Financial Services- Member ⇒ FT TEMPLETON FOREIGN SMALL CO		04/13/2013	S	$100,001 - $250,000	☑
UBS Financial Services- Member ⇒ IVY GLOBAL NATURAL RESOURCES FUND		04/13/2013	P	$15,001 - $50,000	
UBS Financial Services- Member ⇒ JP MORGAN MID CAP VALUE		12/23/2013	P	$15,001 - $50,000	
UBS Financial Services- Member ⇒ KEELEY SMALL CAP VALUE FUND		12/23/2013	P	$15,001 - $50,000	
UBS Financial Services- Member ⇒ MFS BOND FUND A		12/23/2013	P	$15,001 - $50,000	
UBS Financial Services- Member ⇒ MFS INTL GROWTH FUND		12/23/2013	P	$15,001 - $50,000	
UBS Financial Services- Member ⇒ OPPENHEIMER DEVELOPING MARKETS		12/23/2013	P	$15,001 - $50,000	
UBS Financial Services- Member ⇒ OPPENHEIMER SR FLOATING RATE FUND CLASS A		12/23/2013	P	$15,001 - $50,000	
UBS Financial Services- Member ⇒ PACE MONEY MARKET INVESTMENTS FUND		12/23/2013	P	$1,001 - $15,000	
UBS Financial Services- Member ⇒ PRINCIPAL HIGH YIELD FUND CLASS A		12/23/2013	P	$100,001 - $250,000	
UBS Financial Services- Member ⇒ PRINCIPAL INVESTORS PREF SEC FUND		12/23/2013	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
UBS Financial Services- Member ⇒ TETON WESTWOOD MIGHTY MITES FUND AAA		12/23/2013	P	$15,001 - $50,000	
UBS Financial Services- Member ⇒ WELLS FARGO ADVANTAGE GROWTH FUND		12/23/2013	P	$50,001 - $100,000	

* Asset class details available at the bottom of this form.

Schedule C: Earned Income

Source	Type	Amount
State of NJ	Pension	$
Comments: Member waived all NJ Pension payments in 2013.		
John Hancock Life Insurance	Spouse Retirement Payment	N/A
American Funds Retirement Funds	Spouse Retirement Payment	N/A

Schedule D: Liabilities

None disclosed.

Schedule E: Positions

None disclosed.

Schedule F: Agreements

Date	Parties To	Terms of Agreement
December 2009	State of NJ and Leonard Lance (Member)	Pension Annuity (in 2013 Member waived all payments to himself).

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

Trip Details				Inclusions		
Source	Start Date	End Date	Itinerary	Lodging?	Food?	Family?
Council of Turkish American Assoc	05/24/2013	06/13/2013	EWR - Ankara - EWR	☑	☑	☐

Trip Details				Inclusions		
Source	**Start Date**	**End Date**	**Itinerary**	**Lodging?**	**Food?**	**Family?**
National Committee on US-China Relations	01/24/2013	01/31/2013	EWR - Bejing - EWR	☑	☑	☐

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- Candidate IRA
- Estate of Adele Rohrbach (50% Interest) (Owner: SP)
  Location: US
  Description: SP 50% INTEREST IN THE ESTATE OF ADELE ROHRBACH
- Estate of Mae Anderson (50% Interest)
  Location: Clinton, NJ, US
  Description: 50% INTEREST IN THE ESTATE OF MAE ANDERSON
- Estate of Wesley Lance (50% Interest)
  Location: Clinton, NJ, US
  Description: 50% interest in Estate of Wesley Lance
- JP MORGANCHASE 401k (Owner: SP)
- Spouse IRA (Owner: SP)
- UBS Financial Services- Member (100% Interest)
  Location: Greenwich, CT, US
  Description: Mutual Fund Account

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ◉ No

Comments

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Leonard Lance , 12/27/2014