Filing ID #10001523

Financial Disclosure Report

Clerk of the House of Representatives • Legislative Resource Center • B-106 Cannon Building • Washington, DC 20515

Filer Information

Name: Ms. Barbara Lee

Status: Member

State/District: CA13

Filing Information

Filing Type: Annual Report

Filing Year: 2013

Filing Date: 05/15/2014

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
AIG Polaris Choice IV Variable Annuity (formerly SunAmerica) ⇒ SunAmerica Dynamic Allocation Portfolio		$100,001 - $250,000	None		☑
AIG Polaris Choice IV Variable Annuity (formerly SunAmerica) ⇒ SunAmerica Dynamic Strategy Portfolio		$100,001 - $250,000	None		☑
ARC Healthcare Trust, Inc.		$15,001 - $50,000	Dividends	$1,001 - $2,500	☐
Bank of America Checking Account		$1,001 - $15,000	None		☐
Book contract with Rowman & Littlefild Publishers, Inc. (Approved by Committee) Description: Book contract		Undetermined	None		☐
Charles Schwab Money Market Account		$1,001 - $15,000	Interest	$201 - $1,000	☐
East West Bank Accounts, Oakland, CA		$15,001 - $50,000	Interest	$1 - $200	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Federated Capital Reserves Money Market Account		$1,001 - $15,000	Interest	$1 - $200	☐
Fidelity SEP IRA ⇒ Delaware SMID Cap Growth Class C Fund		$1 - $1,000	Dividends	$1 - $200	☐
Fidelity SEP IRA ⇒ Fidelity Freedom 2020 Fund		$1 - $1,000	Dividends	$1 - $200	☐
Fidelity SEP IRA ⇒ Mass Investors Growth Fund		$1 - $1,000	Dividends	$1 - $200	☐
Franklin California Tax-Free Income Fund		None	Capital Gains	$201 - $1,000	☑
Franklin Templeton Franklin Money Fund Class A		$1,001 - $15,000	None		☑
Met Life Variable Annuity Fund ⇒ Met Life Growth Strategy Portfolio		$15,001 - $50,000	None		☐
Met Life Variable Annuity Fund ⇒ Met/Franklin Low Duration Total Return Fund		$50,001 - $100,000	None		☑
Met Life Variable Annuity Fund ⇒ PIMCO Total Return Fund (Class B)		None	None		☑
Met Life Variable Annuity Fund ⇒ RCM Technology Portfolio Fund		None	None		☑
Met Life Variable Annuity Fund ⇒ T. Rowe Price Large Cap Growth Portfolio		$15,001 - $50,000	None		☑
Met Life Variable Annuity Fund ⇒ Vanguard Inflation Protected Securities Fund		None	Capital Gains, Dividends	$1 - $200	☑

* Asset class details available at the bottom of this form.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
AIG Polaris Choice IV Variable Annuity (formerly SunAmerica) ⇒ SunAmerica Dynamic Allocation Portfolio		08/1/2013	P	$100,001 - $250,000	
AIG Polaris Choice IV Variable Annuity (formerly SunAmerica) ⇒ SunAmerica Dynamic Strategy Portfolio		08/1/2013	P	$100,001 - $250,000	
AIG Polaris Choice IV Variable Annuity (formerly SunAmerica) ⇒ SunAmerica Secure Value Account		08/1/2013	P	$50,001 - $100,000	
Franklin California Tax-Free Income Fund DESCRIPTION: Sold off monthly through 6/2013.		Monthly	S	$1,001 - $15,000	☑
Franklin Templeton Franklin Money Fund Class A		07/2/2013	P	$250,001 - $500,000	
Franklin Templeton Franklin Money Fund Class A		08/1/2013	E	$250,001 - $500,000	
Met Life Variable Annuity Fund ⇒ Met/Franklin Low Duration Total Return Fund		06/17/2013	P	$50,001 - $100,000	
Met Life Variable Annuity Fund ⇒ PIMCO Total Return Portfolio Fund (Class B)		06/17/2013	S	$50,001 - $100,000	☐
Met Life Variable Annuity Fund ⇒ RCM Technology Portfolio Fund		04/26/2013	S	$15,001 - $50,000	☐
Met Life Variable Annuity Fund ⇒ T. Rowe Price Large Cap Growth Portfolio		04/26/2013	P	$15,001 - $50,000	
Met Life Variable Annuity Fund ⇒ Vanguard Inflation Protected Securities Fund DESCRIPTION: Sold off monthly through 6/2013.		Monthly	S	$1,001 - $15,000	☐

* Asset class details available at the bottom of this form.

Schedule C: Earned Income

None disclosed.

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Credit Union Mortgage Association, Fairfax, VA	January 2011	Mortgage on DC Condo Residence	$250,001 - $500,000
	American Express	December 2013	Credit card	$10,000 - $15,000
	Wright-Patman Congressional Federal Credit Union, DC	June 2012	Loan and line of credit	$15,001 - $50,000
	Wells Fargo Bank	July 2012	Mortgage on Palm Desert, CA Condo Residence	$100,001 - $250,000

Schedule E: Positions

Position	Name of Organization
Member, Congressional Advisory Council	The Faith and Politics Institute (Nonprofit Public Benefit Corporation)
Board Member	WILL/WAND (Nonprofit Public Benefit Corporation)
Board Member	Project Vote Smart (Nonprofit Public Benefit Corporation)
Trustee	Ghana Children's Fund (Nonprofit Public Benefit Corporation, a Project of the East Bay Community Fou
Board Member	ProgressiveCaucus.org (Nonprofit Public Benefit Corporation)
Member, Honorary Board of Advisors	National Student Leadership Foundation (Nonprofit Public Benefit Corporation)

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

Trip Details				Inclusions		
Source	Start Date	End Date	Itinerary	Lodging?	Food?	Family?
Kingdom of Morocco	12/28/2013	01/4/2014	San Francisco, CA - Rabat, Morocco - Marrakesh, Morocco - Casablanca, Morocco - San Frnacisco, CA	☑	☑	☐

Comments: MECEA trip

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- AIG Polaris Choice IV Variable Annuity (formerly SunAmerica)
- Fidelity SEP IRA
  Location: US
- Met Life Variable Annuity Fund

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?
Yes ☐ No ☒

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
Yes ☐ No ☒

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?
Yes ☐ No ☒

Comments

Certification and Signature

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Ms. Barbara Lee , 05/15/2014