Filing ID #10001482

Financial Disclosure Report

Clerk of the House of Representatives • Legislative Resource Center • B-106 Cannon Building • Washington, DC 20515

Filer Information

Name: Hon. Edward R. Royce
Status: Member
State/District: CA39

Filing Information

Filing Type: Annual Report
Filing Year: 2013
Filing Date: 05/15/2014

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - Bond Fund Description: Spouse 401K	SP	None	None		☑
Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - Equity Index Fund Description: Spouse 401K	SP	None	None		☑
Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - International Equity Description: Spouse 401K	SP	None	None		☑
Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - Stable Value Bond Fund Description: Spouse 401K	SP	None	None		☐
Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - US Large Cap Growth Equity Description: Spouse 401K	SP	None	None		☑
Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - US Large Cap Value Equity	SP	None	None		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Description: Spouse 401K					
Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - US MidCap Core Description: Spouse 401K	SP	None	None		☐
Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - US Small Cap Fund Description: Spouse 401K	SP	None	None		☐
Congressional Federal Credit Union (checking/savings account)	JT	$15,001 - $50,000	None		☐
Fidelity Rollover IRA ⇒ Fidelity Advisor Corp. Bond Fund Description: Spouse IRA	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
Fidelity Rollover IRA ⇒ Fidelity Advisor Emerging Markets Income Fund Description: Spouse IRA	SP	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	☐
Fidelity Rollover IRA ⇒ Fidelity Advisor Global Fund Description: Spouse IRA	SP	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☐
Fidelity Rollover IRA ⇒ Fidelity Advisor High Income Advantage Fund Description: Spouse IRA	SP	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	☐
Fidelity Rollover IRA ⇒ Fidelity Advisor Large Cap Fund Description: Spouse IRA	SP	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☐
Fidelity Rollover IRA ⇒ Fidelity Advisor Midcap Fund Description: Spouse IRA	SP	$15,001 - $50,000	Capital Gains, Dividends	$5,001 - $15,000	☑
Fidelity Rollover IRA ⇒ Fidelity Advisor Real Estate Income Fund Description: Spouse IRA	SP	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	☐
Fidelity Rollover IRA ⇒ Fidelity Advisor Small Cap Fund Description: Spouse IRA	SP	$15,001 - $50,000	Capital Gains, Dividends	$1,001 - $2,500	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Fidelity Rollover IRA ⇒ Fidelity Advisor Value Strategy Fund DESCRIPTION: Spouse IRA	SP	$15,001 - $50,000	Dividends	$1 - $200	☐
Fidelity Rollover IRA ⇒ Fidelity Advisors Europe Capital Enterprises Fund DESCRIPTION: Spouse IRA	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
Fidelity Rollover IRA ⇒ Fidelity Advisory Stock SELECTOR Fund DESCRIPTION: Spouse IRA	SP	$15,001 - $50,000	Capital Gains, Dividends	$1,001 - $2,500	☑
Fidelity Rollover IRA ⇒ Fidelity Government Money Market DESCRIPTION: Spouse IRA	SP	$1,001 - $15,000	None		☐
UBS Traditional IRA ⇒ Franklin India Growth Fund DESCRIPTION: Spouse IRA	SP	None	None		☑
UBS Traditional IRA ⇒ Pace Money Market Fund DESCRIPTION: Spouse IRA	SP	None	None		☑

* Asset class details available at the bottom of this form.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - Bond Fund DESCRIPTION: Spouse 401K	SP	07/30/2013	P	$1,001 - $15,000	
Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - Bond Fund DESCRIPTION: Spouse 401K	SP	04/9/2013	P	$1,001 - $15,000	
Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - Bond Fund DESCRIPTION: Spouse 401K	SP	02/26/2013	S (partial)	$1,001 - $15,000	☐
Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - Bond Fund	SP	12/31/2013	S	$50,001 - $100,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
DESCRIPTION: Spouse 401K					
Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - Equity Index Fund DESCRIPTION: Spouse 401K	SP	07/30/2013	S (partial)	$1,001 - $15,000	
Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - Equity Index Fund DESCRIPTION: Spouse 401K	SP	04/9/2013	S (partial)	$1,001 - $15,000	
Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - Equity Index Fund DESCRIPTION: Spouse 401K	SP	02/26/2013	P	$1,001 - $15,000	
Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - Equity Index Fund DESCRIPTION: Spouse 401K	SP	12/31/2013	S	$100,001 - $250,000	
Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - International Equity DESCRIPTION: Spouse 401K	SP	07/30/2013	P	$1,001 - $15,000	
Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - International Equity DESCRIPTION: Spouse 401K	SP	04/9/2013	P	$1,001 - $15,000	
Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - International Equity DESCRIPTION: Spouse 401K	SP	02/26/2013	P	$1,001 - $15,000	
Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - International Equity DESCRIPTION: Spouse 401K	SP	12/31/2013	S	$50,001 - $100,000	
Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - Stable Value Bond Fund DESCRIPTION: Spouse 401K	SP	01/1/2013	S	$15,001 - $50,000	
Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - US Large Cap Growth Equity DESCRIPTION: Spouse 401K	SP	07/30/2013	P	$1,001 - $15,000	
Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - US Large Cap Growth Equity DESCRIPTION: Spouse 401K	SP	04/9/2013	S (partial)	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - US Large Cap Growth Equity DESCRIPTION: Spouse 401K	SP	12/31/2013	S	$15,001 - $50,000	☐
Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - US Large Cap Value Equity DESCRIPTION: Spouse 401K	SP	07/30/2013	S (partial)	$1,001 - $15,000	☐
Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - US Large Cap Value Equity DESCRIPTION: Spouse 401K	SP	04/9/2013	P	$1,001 - $15,000	
Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - US Large Cap Value Equity DESCRIPTION: Spouse 401K	SP	02/26/2013	S (partial)	$1,001 - $15,000	☐
Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - US Large Cap Value Equity DESCRIPTION: Spouse 401K	SP	12/31/2013	S	$15,001 - $50,000	☐
Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - US MidCap Core DESCRIPTION: Spouse 401K	SP	01/1/2013	S	$15,001 - $50,000	☐
Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Fund - US Small Cap Fund DESCRIPTION: Spouse 401K	SP	01/1/2013	S	$50,001 - $100,000	☐
Alcatel-Lucent Savings Plan ⇒ Fidelity Mutual Large Cap Value Equity Fund DESCRIPTION: Spouse 401K	SP	07/30/2013	S (partial)	$1,001 - $15,000	☐
Fidelity Rollover IRA ⇒ Fideilty Government Money Market DESCRIPTION: Spouse IRA	SP	04/30/2013	P	$1,001 - $15,000	
Fidelity Rollover IRA ⇒ Fidelity Advisor Midcap Fund DESCRIPTION: Spouse IRA	SP	03/14/2013	P	$1,001 - $15,000	
Fidelity Rollover IRA ⇒ Fidelity Advisor Midcap Fund DESCRIPTION: Spouse IRA	SP	12/16/2013	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Fidelity Rollover IRA ⇒ Fidelity Advisor Small Cap fund DESCRIPTION: Spouse IRA	SP	12/16/2013	P	$1,001 - $15,000	
Fidelity Rollover IRA ⇒ Fidelity Advisor Stock SELECTOR Fund DESCRIPTION: Spouse IRA	SP	12/16/2013	P	$1,001 - $15,000	
Fidelity Rollover IRA ⇒ Fidelity Government Money Market DESCRIPTION: Spouse IRA	SP	01/1/2013	P	$1,001 - $15,000	
UBS Traditional IRA ⇒ Franklin India Growth Fund DESCRIPTION: Spouse IRA	SP	05/17/2013	S	$1,001 - $15,000	☐
UBS Traditional IRA ⇒ Pace Money Market Fund DESCRIPTION: Spouse IRA	SP	04/30/2013	S	$1,001 - $15,000	☐

* Asset class details available at the bottom of this form.

Schedule C: Earned Income

Source	Type	Amount
Alcatel Lucent	Spouse Salary	N/A

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Conngressional Federal Credit Union	April 2009	Mortgage on residence in Fullerton, CA	$500,001 - $1,000,000
JT	Congressional Federal Credit Union	April 2009	Mortgage on residence in Alexandria, VA	$250,001 - $500,000

Schedule E: Positions

None disclosed.

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

Trip Details				Inclusions		
Source	**Start Date**	**End Date**	**Itinerary**	**Lodging?**	**Food?**	**Family?**
American Israel Education Foundation (AIEF)	04/29/2013	05/5/2013	Washington DC - Israel - Washington DC	☑	☑	☐

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- Alcatel-Lucent Savings Plan (Owner: SP)
  Description: Spouse 401K
- Fidelity Rollover IRA (Owner: SP)
  Description: Spouse IRA
- UBS Traditional IRA (Owner: SP)
  Description: Spouse IRA

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?
○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?
○ Yes ◉ No

Comments

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Edward R. Royce , 05/15/2014