| | ild nics. Yes 🗌 No 🗸 | Have you excluded from this report any other assets, "unearned" Income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. | ssets, "unearned" Inc เวิ Do not answer "yes | Exemptions Have you excluded from this report any other a because they meet all three tests for exemption | 맛 |
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| | Yes No 🗸 | se on Ethics and certain other "excepted trusts" need not be | oved by the Committe details of such a trus | Trusts- Details regarding "Qualified Bilnd Trusts" approved by the Committee on Ethics and certain other disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse | Tr |
| | STIONS | TION ANSWER EACH OF THESE QUESTIONS | UST INFORMA | EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION ANSWER E | EXCL |
| | | schedule attached for each "Yes" response. | | If yes, complete and attach Schedule V. | If y |
| | and the appropriate | Each question in this part must be answered and the appropriate | Yes V No | Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? | V. (mo |
| | | If yes, complete and attach Schedule IX. | | if yes, complete and attach Schedule IV. | ify |
| | vitside Yes No | Did you have any reportable agreement or arrangement with an outside IX. entity? | Yes W | Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? | IV. rep |
| | The street of th | If yes, complete and attach Schedule VIII. | | If yes, complete and attach Schedule III. | If y |
| | ng in the Yes 🕢 No 🗌 | Did you hold any reportable positions on or before the date of filing in the VIII. current calendar year? | Yes 🖳 No 🗌 | Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? | iii. moi mo |
| | ************************************** | If yes, complete and attach Schedule VII. | | If yes, complete and attach Schedule II. | lf y |
| | e travel or an \$350 Yes No 🔽 | Did you, your spouse, or a dependent child receive any reportable travel or VII. relimbursements for travel in the reporting period (worth more than \$350 from one source)? | Yes □ No ☑ | Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? | II. you |
| | Market and the first of the fir | If yes, complete and attach Schedule VI. | | If yes, complete and attach Schedule I. | If y |
| | egift in herwise Yes 🗌 No 🗸 | Did you, your spouse, or a dependent child receive any reportable gift in VI. the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? | Yes ☑ No 🗌 | Did you or your spouse have "earmed" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? | l. DE |
| | | UESTIONS | H OF THESE Q | PRELIMINARY INFORMATION ANSWER EACH OF THESE QUESTIONS | PREL |
| | more than 30 days late. | Termination Date: ion | ☐ Termination | Report Type Annual (May 15) Amendment | Rep Ty |
| | hall Inst | Officer Or Employing Office: Employee | | Filer Member of the U.S. State: ME House of Representative District 01 | Fil |
| | (Office Use Only) | (Daytime Telephone) | | (Full Name) | |
| # 3 | MC 2812 NUS 13 PM 4: 43 | 207-774-5019 | | Chellie Pingree | ! |
| うつか つきなる・ | TO A TURN DURING DEPARTURE OF THE PROPERTY OF | For use by Members, officers, and employees | EMENT | CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT | CALL |
| スポリ | Page 1 of 6 TABLE DELL VEXT | | JTATIVES | UNITED STATES HOUSE OF REPRESENTATIVES | <u>N</u> |

SCHEDULE I - EARNED INCOME

Trust Asset Management LLP

Spouse Earned Income

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Amount

Type

Source

Name Chellie Pingree

Page 2 of 6

| \$1,000. | during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the so | List the source, type, and amount of earned income from any source (other than the filer's current employment | |
|----------|-----------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------|--|
| | a; list only the source for other spouse earned income exceeding | rent employment by the U.S. Government) totaling \$200 or more | |

| SCHEDULE III - | SCHEDULE III - ASSETS AND "UNEARNED" INCOME | E Name Chellie Pingree | ngree | | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | BLOCK A | вгоск в | вгоск с | BLOCK D | BLOCK E |
| Asset and/or incodentity (a) each asset held for investmented value exceeding \$1,000 at the reportable asset or sources of inconvuncement income during the year. | Asset and/or income Source identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year. | Year-End Value of Asset At close of reporting year. If you use a valuation | Type of Income Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that | Amount of Income For retirement accounts that do not allow you to choose specific investments or that generate tax- deferred income (such as 401(t) | Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) |
| Provide complete names | Provide complete names of stocks and mutual funds (do not use ticker symbols.) | method other than fair market value, please | generate tax-outer red income (such as 401(k) plans or IRAs), you may check the "None" | "None" column. For all other assets, indicate the category of | in reporting year. |
| For all IRAs and other rei (i.e.,plans in which you h investments), provide th reporting thresholds. Fo only the name of the inst reporting period. | For all IPAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e.,plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period. | If an asset was sold and is included only because it is generated income, the value should be "None." | column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting | income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned | |
| For rental or other real p | For rental or other real property held for investment, provide a complete address. | | | L | |
| For an ownership interestate the name of the businession in Block A. | For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A. | | | | |
| Exclude: Your personal (unless there was rental \$5,000 or less in a perso in, or income derived fro Savings Plan. | Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposite totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan. | | | | |
| If you so choose, you may indi spouse (SP) or dependent chile optional column on the far left. | If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left. | | | | |
| ۷dſ | JP Morgan Chase Bank | \$1,000,001 - \$5,000,000 | INTEREST | \$201 - \$1,000 | and the state of t |
| Nebo resta Norti | Nebo Lodge, Inc. an inn and restaurant, 11 Mullins Lane, North Haven, ME | \$500,001 - \$1,000,000 | None | NONE | |
| See | See Attached | | | | |

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| Fish Creek LLC - real estate - Deer SP Isle, ME | ninsure | eoprob | Delphi Energy Corp - stock | Vinda International Holdings Ltd. stock | Centron Telecom International Holdings Ltd stock | Cathay Investment Fund Limited- stock | Catalyst LLC - database provder Washington, DC | Atlantic Street LLC - real estate portland, ME | Cen | Aca | | Greenwich, CT: | Futures Fund I I C - investments - | Acada Partners LLC - Astenbeck commodities Fund II LP | China Aoyuan Property Group Limited - Stock | - so | or or | Sun | Grey | GreenFutures Capital LLC:Investments, DE: | Franklin Realty Company - Investment Management, DE | Atla | EJS Investments LLC (via Caremi Investments LLC): | ୍ଡ କୁ | Arno co - | Commercial Street Capital LLC: SP Investments - DE | Asset &/or Income Source | + | SCHEDULE III - ASSETS AND "UNEARNED" INCOME |
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| SPJP Morgan Chase Bank | 밁 | Caremi Investments LLC)-Augusta, ME | Loan CMCC, LP (through Caremi SP Investments LLC)-Augusta, ME | Loan Paloma Partners Management SP Co - Greenwhich, CT | Trust Asset Management LLP & Capital Asset Management Inc | ΓZ | Į¥ X | SP Sundown Corporation - investment - Greenwich | Paloma Partners Management Co - investment management ∞. | 9 L C | Paloma Strategic Advisors LLC - investment partnership, greenwich, CT: | No Small Potatoes Investment Club LLC - investment club - ME | New China Management Corp - Spinvestment manager - Stamford, CT | New China Investment Management Inc - investment manager - Stamford CT | Mingo LLC -Mingo Management Ltd.(managing member) - real estate VI | Magic Carpet Enterprises LLC/Magic Carpet Management Ltd -personal aircraft | | - 0 | | SP Goose Cove LLC: | Asset &/or Income Source | 4 | 4 | SCHEDULE III - ASSETS AND "UNEARNED" INCOME |
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| wdwhol C | Ц | | ļ | <u> </u> | × | | Ļ | | | | | | | | | ļ . | | | | $\perp \mid$ | & Over | + | | \perp |
| 4 | | | | | | 1 | | | יד | | | | | | | | | | | | Action | Trans- | | |

| SCHEDULE III - ASSETS AND "UNEARNED" INCOME | | | ^c | , ICO№ | Set | Asset Value* | | 1 | 1 | | |] [[| ₩ | ⅃옥∐ | IJġ∏Ţ | Income | ype of Income | Income | Income | | | | | | | | | Amount of Income* |
|---------------------------------------------|------|------------|--------------|-----------|---------|-------------------------------------------------------------|-----------|----------|--------|---|------|------|------|-----|---------|--------------------------|---------------|---------------------|------------------|----------------------|----------------------------|-----------------------------------|------------------------------------------|--------------------------------------------------|------------------------------------------|----------------------------------------------------------|------------------------------------------------------------------|----------------------------------------------------------|
| | | † . | 1,8 1- | 15,001- | 50,001- | 1 .001- 15,001- 50,001- 100,001- 250,001- 501,001- 1mm | 1- 250,00 | 1- 501,0 | 01- 1m | 7 | | | | | g | Cap Ex | Cap Ex Blind | Cap Ex Blind | Cap Ex Blind | d 1. | d 1- 201- | d 1- 201- | d 1- 201- | d 1- 201- | d 1- 201- | d 1- 201- | d 1- 201- | d 1. |
| Asset &/or income Source | none | 1,000 | 15,000 | 50,000 | 100,000 | none 1,000 15,000 50,000 100,000 250,000 500,000 1mm & Over | 0 500,00 | 1mr | n & 0 | П | None | Dίν | tent | | nt G | None Div Rent Int Gain T | Trust | nt Gain Trust Other | Trust Other None | Trust Other None 200 | Trust Other None 200 1,000 | Trust Other None 200 1,000 15,000 | Trust Other None 200 1,000 15,000 59,000 | Trust Other None 200 1,000 15,000 50,000 100,000 | Trust Other None 200 1,000 15,000 59,000 | Trust Other None 200 1,000 15,000 50,000 100,000 250,000 | Trust Other None 200 1,000 15,000 50,000 100,000 250,000 500,000 | Trust Other None 200 1,000 15,000 50,000 100,000 250,000 |
| SP Morgan Stanley Smith Barney | | | | × | | | | | | | | × | Н | | × | × | × | × | × | × | * | * | × × | | | | | |
| SP Merrill Lynch - cash | | | | | | × | | F | | L | | | L | 1 | × | × | × | × | × | × | × | × | | | | | | |
| JT JP Morgan Chase Bank | | | | × | | | - | | | | × | | ┞ | | ┝ | | | | × | × | × | × | × | × | × | × | × | × |
| JT Camden National Bank | | | | × | | | - | | | L | | | H | | × | × | × | × | × | × | | | | | | | | |
| SP Camden National Bank | | | | | × | | | l | | L | | | H | | × | × | _ | _ | × | × | | | | | | | | |
| SPICIBC Wood Gundy | | | × | | | | | | | L | | _ | | | × | × | × | × | × | × | × | | | | | | | |
| SP First Bank of Puerto Rico | | | | | | × | | | | L | × | | L | | ╁ | | | | × | × | × | × | × | × | × | × | × | × |
| SP Key Bank | | | Κ | | | - | | | | _ | | | _ | ¢ | - | _ | _ | _ | | | | | | | | | | |

SCHEDULE IV - TRANSACTIONS

Name Chellie Pingree

Page 4 of 6

| out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below. | transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of yo | or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Pro | Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real prop |
|-----------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------|
| | purchase or sale of your personal residence, unless it is rented | resulted in a loss. Provide a brief description of any exchange | ng year of any real property, stocks, bonds, commodities futures, |

| , | SP, DC, JT |
|--------------|-------------------------------------------|
| See attached | Asset |
| | Type of Transaction |
| | Capital Gain in Excess of \$200? |
| | Date |
| | Amount of Transaction |

| SCHEDULE IV - TRANSACTIONS | | | | | | | | | | | | |
|----------------------------------------------------------------|--------|---------------------|-------|------------|----------|--------|---------|---------|-----------------------|----------------------------|------|----------|
| | | | | | | | | | | | | |
| | | | | | | | | Amou | Amount of Transaction | rsaction | | |
| | Туре о | Type of Transaction | ction | င်ရာ | | 1,000- | 15,001- | 50,001- | 100,001- | 100,001- 250,001- 501,001- | _ | 1,00,001 |
| Asset | Purch. | Sale | Exch. | Gain>\$200 | Date | 15,000 | 50,000 | 100,000 | 250,000 | 500,000 | 1mm | & Over |
| | | | | | | | | | | | | |
| WDE Partners, LP | × | | | | 11/29/11 | | | ` | | | | × |
| Dune Real Estate Fund II LP | × | | | | 3/4/11 | | | | | | | × |
| Dune Real Estate Fund II LP | × | | | | 6/2/11 | | | | | | | × |
| Dune Real Estate Fund II LP | × | | | | 10/11/11 | | | | | | | × |
| Maine's Own Organic Milk Co LC3 | × | | | | 2/4/11 | | × | | | | | |
| Ulysses Real Estate Partners, LP | × | | | | 7/25/11 | × | | | | | | |
| Energy Pioneer Solutions, Inc. | × | | | | 8/17/11 | | | | × | | | |
| Rebeco, LLC | × | | | | 2/23/11 | | | | × | | | |
| Kite Pharma Inc. | × | | | | 2/15/11 | | | | | | × | |
| Acada Partners LLC exchange for investment in Futures Fund LLC | | | × | | 3/30/11 | | | | | | | × |
| High Rise Partners II, LP | | × | | | 3/23/11 | | | | | | | × |
| Paloma Partners Management Co. | × | | | | 9/30/11 | × | | , | | | | |
| Evogene Ltd | | × | | × | 12/15/11 | | | | | | | × |
| National Field LLC | × | | | | 4/26/11 | | | | | | | |
| Neoprobe Corp | | × | | × | 1/13/11 | | | | × | | | |
| Neoprobe Corp | | × | | × | 1/21/11 | | | | × | | | |
| Neoprobe Corp | | × | | × | 1/24/11 | × | | | | | | |
| Neoprobe Corp | | × | | × | 1/27/11 | | | × | | | | |
| Neoprobe Corp | | × | | × | 2/9/11 | | | | × | | | |
| Neoprobe Corp | | × | | × | 2/11/11 | | | | × | | | |
| Neoprobe Corp | | × | | × | 4/14/11 | | | | × | | | |
| Neoprobe Corp | | × | | × | 4/15/11 | | | × | | | | |
| Neoprobe Corp | | × | | × | 4/18/11 | | × | | | | | |
| Neoprobe Corp | | × | | × | 4/21/11 | | | × | | | | |
| Vinda International Holdings | | × | | × | 6/30/11 | | | | | | | × |
| Delphi Energy Corp | | × | | | 10/31/11 | | | | | × | | |
| Cninsure Inc (partial sale) | | × | | × | 2/16/11 | × | | | | | | |
| Centron Telecom International Holdings Ltd (partial sale) | | × | | × | 6/30/11 | | × | | | | | |
| | | × | | | 1/13/11 | | | | | | | × |

SCHEDULE V - LIABILITIES

Name Chellie Pingree

Page 5 of 6

personal residences. cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. NOTE. Pending legislation may require Members to report mortgates on amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest

| | SP, DC, JT |
|--------------|-------------------------------|
| See attached | Creditor |
| | Date Liability Incurred |
| | Type of Liability |
| | Amount of Liability |

SCHEDULE V - LIABILITIES

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|---|--|---|------------------|----------------------|----------------------|--------------------------------------------------------------------------------------------------------|
| | | | American Express | JP Morgan Chase Bank | JP Morgan Chase Bank | Creditor |
| | | | December 2011 | April 2008 | April 2008 | Date Liability incurred |
| | | | Credit Card | Secured Loan | Line of Credit | Type of Liability |
| | | | | | | 10,000- 15,000 |
| | | | × | | | 10,000- 15,001- 15,000 50,000 |
| | | | | | | Amo 50,001- 100,000 |
| | | | | , | | Amount of Liability 0,001- 100,001- 250, 00,000 250,000 500 |
| | | | | | | bility* 250,001- 500,000 |
| | | | | | | Amount of Liability* 50,001- 100,001- 250,001- 501,001- 1,000,001 100,000 250,000 500,000 1mm & Over |
| | | | | × | × | 1,000,001 & Over |

SCHEDULE VIII - POSITIONS

Name Chellie Pingree

Page 6 of 6

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any honorary nature; and positions listed on Schedule I. educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an

| Officer Nebo Lodge, Inc. | Position Name of Organization |
|--------------------------|-------------------------------|
| | ion |