UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT

Form A
For use by Members, officers, and employees

HAND DELIVERED

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2012 MAY 15 PM 4:32
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

MC

Name: Carolyn McCarthy **Daytime Telephone:** 516-873-9087

Filer Status	[X] Member of the U.S. House of Representatives — State: New York, District: 4th	[ ] Officer or Employee	Employing Office:	*A $200 penalty shall be assessed against anyone who files more than 30 days late.*
Report Type	[X] Annual (May 15, 2012)	[ ] Amendment	[ ] Termination — Termination Date:	

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

	Yes	No
I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	[ ]	[X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	[ ]	[X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	[X]	[ ]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	[X]	[ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	[X]	[ ]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $350 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	[ ]	[X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $350 from one source)? **If yes, complete and attach Schedule VII.**	[ ]	[X]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	[X]	[ ]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	[ ]	[X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

	Yes	No
TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	[ ]	[X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	[ ]	[X]

Name

CHEDULE I — EARNED INCOME

ist the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or nore during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	NONE		

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II—PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics. A green envelope for transmitting the list is included in each Member's filing package.

Source		Activity	Date	Amount
Examples:	Association of American Associations, Washington, DC	Speech	Feb. 2, 2011	$2,000
	XYZ Magazine	Article	Aug. 13, 2011	$500
NONE				

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than $200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use ticker symbols.)

For **all IRAs** and other retirement plans (such as 401(k) plans) that are self-directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.

For rental or other real property held for investment, provide a complete address.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (*unless* there was rental income during the reporting period); any deposits totaling $5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.

For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement accounts that do not allow you to choose specific investments <u>or</u> that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "Tax-Deferred" column. **Dividends, interest, and capital gains, even if reinvested, must be disclosed as income.** Check "None" if the asset generated no income during the reporting period.

BLOCK D — Amount of Income

For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. **Dividends, interest, and capital gains, even if reinvested, must be disclosed as income.** Check "None" if no income was earned or generated.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

SP, DC, JT	Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, Examples: SP	Mega Corp. Stock					X									X			X							X								S (partial)
DC, Examples:	Simon & Schuster		Indefinite																		Royalties									X			
JT	1st Bank of Paducah, KY Accounts						X									X											X						
	Congressional Federal Credit Union					X											X							X									
	See Attached Schedule																																

CAROLYN MCCARTHY
2011

SECURITY	SHARES	Market Value at 12/31/2011	Dividends 2011	Interest 2011	Tax Deferred	Capital Gains
Stocks						
AT&T INC	74	2,238	127			
CISCO SYS INC	109	1,971	20			
CONS STAPLES SEL	90	2,924	80			
EATON VANCE TAX-MNGD GLBL	400	4,112	78			
ENERGY SEL SECT SPDR	100	6,913	106			
EXXON MOBIL CORP	200	16,952	188			
GLAXOSMITHKLINE PLC ADS	128	5,841	278			
ISHARES BARCLAYS AGG.BD FD	200	22,050	698			
ISHARES BARCLAYS TIPS BD FD	100	11,669	478			
ISHARES DJ US BASIC MTLS SCTR	100	6,430	166			
ISHARES DJ US TECH INDEX FUND	100	6,390	39			
ISHARES FTSE/CHINA 25 INDEX FD	100	3,487	88			
ISHARES IBOXX $ H/Y CORP BND	140	12,520	941			
ISHARES IBOXX INVEST GR COR FD	105	11,945	524			
ISHARES MSCI JAPAN INDEX FUND	300	2,733	64			
ISHARES S&P MID CAP 400 VALUE	160	12,157	216			
ISHARES S&P SMALL CAP 600 VAL	185	12,906	171			
ISHARES TR S&P NATL MUN BD FD	98	10,609		351		
LOCKHEED MARTIN CORP	25	2,023	81			
MARKET VECTORS HIGH YIELD MUNI	745	22,201	36	869		
PFIZER INC	205	4,436	164			
POWERSHARES DB COMM TRK INC	100	2,684				
PWRSHARES INSURED NATL MUNI BD	425	10,391	4	263		
PWRSHARES INSURED NY MUNI BD	954	22,803	32	742		
REVENUESHARES LARGE CAP ETF	330	7,679	142			
REVENUESHARES MID CAP ETF	260	7,322	227			
REVENUESHARES SMALL CAP ETF	240	7,697	28			
ROYAL DUTCH SHELL PLC	57	4,166	192			
S&P NORTH AMER NAT RES SECTOR	100	3,800	45			
SPDR DJ WILSHIRE INTL REAL EST	300	9,549	419			
STAPLES INC	90	1,250	35			
TOTAL FINA	30	1,533	71			
VALERO ENERGY CP DELA NEW	72	1,516	22			
VANGUARD MEGA CAP 300 VALUE	150	5,726	157			
VANGUARD TOTAL BOND MARKET	150	12,531	448			
WAL MART STORES INC	44	2,629	61			
WINDSTREAM CORP	241	2,829	241			
MORGAN STANLEY BANK		28,428		13		
MORGAN STANLEY BANK		16,877		7		
BLACKROCK NY MUNI INC TRUST	900	13,788		891		
COHEN&STEERS INFRASTRUCTURE FD	220	3,476	223			
EATON VANCE TAX-MNGD GLBL	500	5,140	97			
JOHN HANCOCK PFD INCM FD	500	8,535	729			
MARKET VECT.ENV SERV FD	125	5,824	78			
MARKET VECTORS RUSSIA ETF RUSS	160	4,264	126			
NEUBERGER BERMAN REAL EST	422	1,583	101			
NFJ DIVIDEND INT & PRE STRGY	500	8,010	593			
NUVEEN EQTY PREMIUM OPPTY FD	500	5,710	518			
PIONEER MUNI HI INCM ADV TR	900	12,960	154	1008		
PWRSHARES INSURED NY MUNI BND	80	1,912	3	78		
SOURCE CAPITAL INC	200	9,398	600			
VAN ECK GLBL ALTERNATIVE ENGY	150	1,637	50			
ALTRIA GROUP INC		no value	76			1,086

CAROLYN MCCARTHY
2011

SECURITY	SHARES	Market Value at 12/31/2011	Dividends 2011	Interest 2011	Tax Deferred	Capital Gains
Stocks						
COCA COLA CO		no value	18			277
CONOCOPHILLIPS		no value	100			279
FIRST TR ISE REVERE NAT GAS		no value				740
ISHARES DJ US BASIC MTLS SCTR		no value				1,094
ISHARES MSCI AUST INDEX FUND		no value	132			352
ISHARES S&P 500 VALUE INDEX		no value	55			3,541
POWERSHARES WATER RES PTF		no value	11			494
QUALCOMM INC		no value	14			279
BLDRS EMERGING MCTS 50 ADR		no value	3			325
ISHARES MSCI BRAZIL(FREE) INDEX		no value	129			386
PIMCO CORPORATE OPPTY FD		no value	288			2,156
Bonds						
MONROE CNTY NY PUB IMPT		31,573		1275		
NEW YORK NY CITY GENL OBLIG		11,225		500		
NEW YORK ST DORM AUTH SCH DIST		21,537		480		
METROPOLITAN TRANSN AUTH NY TRANS		20,997		900		
METROPOLITAN TRANSN AUTH NY REV SER-A		5,180		119		
NEW YORK ST TWY AUTH GEN REV SER G		52,136		2375		
NEW YORK NY CITY INDL DEV AGY REV		20,539		500		
UNITED STATES TREASURY NOTE-INFL INDEX		24,444		1519		
UNITED STATES TREASURY BOND		24,515		1913		
UNITED STATES TREASURY BOND		10,586		630		
MORGAN STANLEY BANK		46,858		2	X	
Stocks						
BLACKROCK INTL GRWTH & INC TR	285	2,041	388		X	
EATON VANCE TAX MGD DIV EQU FD	280	2,310	318		X	
EATON VANCE TAX-MNGD GLBL	300	3,084	363		X	
ENERGY SEL SECT SPDR FD	70	4,839	38		X	
FORD MOTOR CO NEW	500	5,380			X	
GENERAL ELECTRIC	200	3,582	116		X	
GREAT PLAINS ENERGY INC	300	6,534	251		X	
ISHARES IBOXX $H/Y CORP BND	50	4,472	338		X	
ISHARES S&P GROW ALLOCATION	150	4,671	113		X	
JP MORGAN ALERIAN MLP INDEX ETN	250	9,743	365		X	
MOTOROLA SOLUTIONS INC	28	1,296	6		X	
NFJ DIVIDEND INT & PRE STRGY	505	8,090	909		X	
PROCTER & GAMBLE	200	13,342	411		X	
RYDEX ETF TRUST UTILITIES ETF	90	4,998	92		X	
U S BANCORP COM NEW	200	5,410	85		X	
WISDOM TREE TOT DIV	105	5,150	76		X	
PIONEER HIGH YIELD B DIV PAYMENT		no value	214		X	
FIDELITY ADV HEALTH CARE B		no value	1129		X	
FIDELITY ADV LATIN AMERICA B		no value			X	214

SCHEDULE III—ASSETS AND "UNEARNED" INCOME
Continuation Sheet (if needed)

Name Carolyn McCarthy

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	House - 11A Bushy Neck Lane, Westhampton NY 11977									X						X													X				
	Vacant Land LT 7 Golf Terrace Village Old Pointe, NC					X								X								X											
	Vacant Land 20% Interest 138 Silver Springs Shores FL- Unit 17 Blk 263 Lot 12		X											X								X											

This page may be copied if more space is required.

SCHEDULE IV— TRANSACTIONS

Name Carolyn McCarthy

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded $1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. **If only a portion of an asset is sold, please so indicate (*i.e.,* "partial sale").** See example below.

Capital Gains — if a sales transaction resulted in a capital gain in excess of $200, check the "capital gains" box and disclose this income on Schedule III.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Check Box if Capital Gain Exceeded $200	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: A $1,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000
SP	*Example:* Mega Corporation Common Stock (partial sale)		X			10–12–11		X								
	See Attached Schedule															

Carolyn McCarthy
2011

Sales of Securities	Date	Proceeds	Gain Exceeds $200
Jeffries TR/J CRB Glbl Ind	8/12/2011	3,816	
Abbott Laboratories	2/25/2011	2,099	
Allstate Corp	2/25/2011	1,761	
Altria Group Inc	6/2/2011	2,762	X
Ameren Corp (Hldg Co)	2/25/2011	1,377	
AXA ADS	2/25/2011	1,241	
Cenovus Energy Inc Com	2/25/2011	1,339	
Coca Cola Co	6/2/2011	2,513	X
Conocophillips	10/26/2011	2,712	X
Diamond Offshore Drilling Inc	2/25/2011	2,096	
Encana Corp	7/11/2011	1,050	
First Tr ISE Revere Nat Gas	6/2/2011	4,386	X
Gilead Science	2/25/2011	1,640	
Google Inc-CL A	8/12/2011	2,261	
H J Heinz	6/2/2011	1,180	
Ishares DJ US Basic MTLS Sctr	8/12/2011	3,079	X
Ishares MSCI Aust Index Fund	7/11/2011	7,564	X
Ishares MSCI Spain Index Fund	7/11/2011	1,898	
Ishares S&P 500 Value Index	6/2/2011	12,121	X
Kraft Foods Inc CL A	6/2/2011	2,169	
Marathon Oil Co	10/26/2011	1,620	
* Marathon Petroleum Corp	8/12/2011	1,161	
Microsoft Corp	10/26/2011	6,375	
Mitsui & Co Ltd ADR	6/2/2011	2,013	
Powershares Water Res PTF	7/11/2011	2,927	X
Qualcomm Inc	6/2/2011	2,033	X
Statoil ASA ADR	2/25/2011	1,253	
Van Eck Glbl Alternative Engy	7/11/2011	1,777	
Bldrs Emerging Mkts 50 ADR	6/2/2011	6,417	X

* (Marathon Petroleum was a spinoff from Marathon Oil, effective 7/27/11.)

Carolyn McCarthy
2011

Sales of Securities	Date	Proceeds	Gain Exceeds $200
ING Groep NV 6.1250% Ser	6/2/2011	5,160	
Ishares MSCI Brazil (Free) Index	8/12/2011	6,423	X
Pimco Corporate Oppty FD	6/2/2011	10,076	X
*Wisdomtree Comm Cntry Eqty IDX	6/2/2011	5,791	
Fidelity Adv Real Estate B	10/26/2011	2,391	
Pioneer High Yield B	10/26/2011	3,413	
Fidelity Adv Emerg Asia B	10/26/2011	2,737	
Fidelity Adv Small Cap B	10/26/2011	4,305	
Fidelity Adv Lvgd Cmpny Stk B	10/26/2011	3,456	
Fidelity Adv Health Care B	10/26/2011	9,397	
Fidelity Adv Strat Div & Inc B	10/26/2011	3,613	
Fidelity Adv Energy B	10/26/2011	2,231	
*Pioneer Equity Inc A	10/26/2011	8,439	
Fidelity Adv Latin America B	10/26/2011	2,590	X
Pioneer High Yield A	8/12/2011	5,202	
Pioneer Classic Balanced A	8/12/2011	8,951	
Purchases			
Exxon Mobil Corp	7/11/2011	16,375	
Market Vectors High Yield Muni	6/2/2011	9,977	
Pwrshares Insured NY Muni Bd	6/2/2011	9,978	
Pwrshares Insured Natl Muni Bd	6/2/2011	9,894	
Ishares MSCI Japan Index Fund	3/28/2011	3,101	
Market Vectors High Yield Muni	3/1/2011	11,326	
SPDR DJ Wilshire Intl Real Est	3/1/2011	11,781	
New York St Dorm Auth Sch Dist Rev	6/2/2011	21,012	
Metropolitan Transn Ath NY Rev Ser-A	6/2/2011	5,148	
New York NY City Indl Dev Agy Rev	2/24/2011	18,177	
Metropolitan Transn Auth NY Trans Rev-B	2/24/2011	18,942	
Rydex ETF Trust Utilities	8/19/2011	4,615	
Wisdom Tree Tot Div Preferential Rate	8/19/2011	4,610	
Energy Sel Sect Spdr FD	8/19/2011	4,454	
JP Morgan Alerian MLP Index ETN	3/28/2011	9,557	
Fidelity Adv Health Care B	9/2/2011	1,196	

*(Prior to June 17, 2011, this fund was named Wisdomtree International Basic Materials Sector Fund)

:hapman, Stuart

rom: Laura Policano [laura@rjecpa.com]
ent: Monday, May 14, 2012 5:58 PM
o: Chapman, Stuart
ubject: FW: Mutual Fund Classes
ttachments: Mutual Fund Classes.htm

.s requested.

rom: Meklaus, Tracey [mailto:Tracey.Meklaus1@morganstanleysmithbarney.com]
ent: Monday, May 14, 2012 11:09 AM
o: Laura Policano
ubject: Mutual Fund Classes

.aura,

'his bulletin is from the SEC. I hope it helps to explain the B shares from Carolyn's account.

'hanks!

Tracey Meklaus
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U.S. Securities and Exchange Commission

Mutual Fund Classes

Known as "multi-class funds," some mutual funds offer investors different types of shares, known as "classes." Each class will invest in the same "pool" (or investment portfolio) of securities and will have the same investment objectives and policies. But each class will have different shareholder services and/or distribution arrangements with different fees and expenses and, therefore, different performance results. A multi-class structure offers investors the ability to select a fee and expense structure that is most appropriate for their investment goals (including the time that they expect to remain invested in the fund).

For example, you might find a multi-class fund with three classes of shares that are sold to the general public—Class A, Class B, and Class C—and a class that is sold only to institutional investors—Class I.

- Class A shares might have a front-end sales load (a type of fee that investors pay when they purchase fund shares).
- Class B shares might not have any front-end sales load, but might have a contingent deferred sales load (CDSL) (a type of fee that investors pay only when they redeem fund shares, and that typically decreases to zero if the investors hold their shares long enough) and a 12b-1 fee (an annual fee paid by the fund for distribution and/or shareholder services). Class B shares also might convert automatically to a class of shares with a lower 12b-1 fee if held by investors long enough.
- Class C shares might have a 12b-1 fee and a CDSL or front-end sales load, but the CDSL or sales load would be lower than Class B's CDSL or Class A's front-end sales load, and the Class would not convert to another class.
- Class I would be sold only to institutional investors and might have different fees and expenses.

If a fund offers multiple classes, it may describe them all in a single prospectus, or it may describe them separately in separate prospectuses. The decision as to which class best suits an investor's investment goals should be made after careful consideration of the information disclosed in the prospectus (or prospectuses). To figure out how the costs of a mutual fund add up over time and to compare the costs of different mutual funds, you should use a mutual fund cost calculator.

Mutual fund classes are regulated primarily under the Investment Company Act of 1940 and the rules and registration forms adopted under that Act, in particular Rule 18f-3.

For more information on this topic, please read FINRA's "investor alert" concerning Class B shares.

http://www.sec.gov/answers/mfclass.htm

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000. **NOTE:** Pending legislation may require Members to report mortgages on personal residences.

SP, DC, JT	Creditor	Date Liability Incurred Mo/Year	Type of Liability	Amount of Liability A $10,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000
	Example: First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main St., Dover, DE				X						
	Bridgehampton National Bank		Mortgage of Westhampton			X							
			Rental House										
	Wells Fargo Bank		Mortgage of Personal				X						
			Residence										

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $350 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $140 or less need not be added towards the $350 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Ethics)	$375
NONE		

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.
Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	NONE						

Name Carolyn McCarthy

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Honorary Board Member	New Yorkers Against Gun Violence
Honorary Board Member	Long Island Blood Service

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement