UNITED STATES HOUSE OF REPRESENTATIVES
**CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT**

**FORM A** 
For use by Members, officers, and employees

**HAND DELIVERED**

LEGISLATIVE RESOURCE CENTER
2012 MAY 15 PM 5:47 MC
(Office Use Only)
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Mary Bono Mack (Full Name)
202-225-5330 (Daytime Telephone)

| | | | |
|---|---|---|---|
| **Filer Status** | ☑ Member of the U.S. House of Representatives — State: CA District: 45 | ☐ Officer Or Employee | Employing Office: |
| **Report Type** | ☑ Annual (May 15) | ☐ Amendment | ☐ Termination — Termination Date: |

**A $200 penalty shall be assessed against anyone who files more than 30 days late.**

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | | Yes | No |
|---|---|---|---|
| I. | Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** | ☑ | ☐ |
| II. | Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** | ☐ | ☑ |
| III. | Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** | ☑ | ☐ |
| IV. | Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** | ☑ | ☐ |
| V. | Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** | ☑ | ☐ |
| VI. | Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $350 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** | ☐ | ☑ |
| VII. | Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $350 from one source)? **If yes, complete and attach Schedule VII.** | ☐ | ☑ |
| VIII. | Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** | ☑ | ☐ |
| IX. | Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** | ☐ | ☑ |

**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | | Yes | No |
|---|---|---|---|
| **Trusts-** | Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? | ☐ | ☑ |
| **Exemptions--** | Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. | ☐ | ☑ |

## SCHEDULE I - EARNED INCOME

Name Mary Bono Mack



**List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.**

| Source | Type | Amount |
|---|---|---|
| JP MORGAN CHASE BANK NA TEFRA ACCOUNT | PENSION | $6,024 |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Mary Bono Mack



| | BLOCK A<br>**Asset and/or Income Source**<br>Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than $200 in "unearned" income during the year.<br><br>Provide complete names of stocks and mutual funds (do not use ticker symbols.)<br><br>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e.,plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.<br><br>For rental or other real property held for investment, provide a complete address.<br><br>For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A.<br><br>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling $5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.<br><br>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left. | BLOCK B<br>**Year-End Value of Asset**<br>At close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None." | BLOCK C<br>**Type of Income**<br>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period. | BLOCK D<br>**Amount of Income**<br>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated. | BLOCK E<br>**Transaction**<br>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year. |
|---|---|---|---|---|---|
| | BONO COLLECTION TRUST | $250,001 - $500,000 | ROYALTIES/INTEREST | $50,001 - $100,000 | |
| | SOUNDEXCHANGE, INC | INDEFINITE | ROYALTIES | $2,501 - $5,000 | |
| | WARNER MUSIC, INC | INDEFINITE | ROYALTIES | $5,001 - $15,000 | |
| | WIXEN MUSIC PUBLISHING, INC | INDEFINITE | ROYALTIES | $15,001 - $50,000 | |
| SP | WESTERFIELD SCOTCH, LLC<br>RENTAL PROPERTY IN COLORADO<br>PARTNER SHARE 16.67% | $1 - $1,000 | None | NONE | |

## SCHEDULE III - ASSETS AND "UNEARNED" INCOME



| | | | | | |
|---|---|---|---|---|---|
| | WESTERFIELD SCOTCH, LLC RENTAL PROPERTY IN COLORADO PARTNER SHARE 16.67% | $50,001 - $100,000 | None | NONE | |
| | IRA - AMEX TECHNLGY SELCT SPDR | $15,001 - $50,000 | TAX DEFERRED | NONE | P |
| | IRA - CONSUMER DISCRETIONARY SPDR | $15,001 - $50,000 | TAX DEFERRED | NONE | P |
| | IRA - FEDERAL FARM CREDIT BANK | $15,001 - $50,000 | TAX DEFERRED | NONE | |
| | IRA - FEDERAL FARM CREDIT BANK NOTES 04.875% DEC 16 2015 | $15,001 - $50,000 | TAX DEFERRED | NONE | |
| | IRA - FEDERAL HOME LOAN BANK BONDS 04.625% OCT 10 2012 | $15,001 - $50,000 | TAX DEFERRED | NONE | |
| | IRA - HEALTH CARE SELECT SPDR | $15,001 - $50,000 | TAX DEFERRED | NONE | P |
| | IRA - JP MORGAN CHASE & CO NOTES SER 2 01.650% SEP 30 2013 (Formerly listed as JPMORGAN CHASE & CO) | $15,001 - $50,000 | TAX DEFERRED | NONE | |
| | IRA - JP MORGAN CHASE & CO SUBORDINATED GLB 05.750% JAN02 2013 | $1,001 - $15,000 | TAX DEFERRED | NONE | P |
| | IRA - MATERIALS SELECT SECTOR SPDR FUND | $1,001 - $15,000 | TAX DEFERRED | NONE | P |
| | IRA - MERRILL LYNCH - CMA ACCOUNT | $1,001 - $15,000 | Tax Deferred | NONE | |

| | | | | | |
|---|---|---|---|---|---|
| | IRA - SECTOR SPDR CONSMRS STPL | $15,001 - $50,000 | TAX DEFERRED | NONE | P |
| | IRA - SECTOR SPDR ENERGY | $15,001 - $50,000 | TAX DEFERRED | NONE | P |
| | IRA - SECTOR SPDR FINANCIAL | $15,001 - $50,000 | TAX DEFERRED | NONE | P |
| | IRA - SECTOR SPDR INDUSTRIAL | $15,001 - $50,000 | TAX DEFERRED | NONE | P |
| | AFLAC INC | None | DIVIDENDS/CAPITAL GAINS | $2,501 - $5,000 | S |
| | AMEX TECHNLGY SELCT SPDR | $15,001 - $50,000 | DIVIDENDS/CAPITAL GAINS | $201 - $1,000 | PS(part) |
| | APPLE INC | None | CAPITAL GAINS | $5,001 - $15,000 | S |
| | BANK OF AMERICA | $1 - $1,000 | INTEREST | $201 - $1,000 | |
| SP | BANK OF AMERICA | $1,001 - $15,000 | INTEREST | $1 - $200 | |
| | BECTON DICKINSON CO | None | DIVIDENDS/CAPITAL GAINS | $201 - $1,000 | S |
| | CALIFORNIA ST FEB07 05.000% DEC01 17 | $1,001 - $15,000 | INTEREST | $1 - $200 | P |
| | CALIFORNIA ST JUL08 03.500% AUG01 13 | $15,001 - $50,000 | INTEREST | $201 - $1,000 | |
| | CALIFORNIA ST NOV 03 05.25% NOV01 19 | $15,001 - $50,000 | INTEREST | $201 - $1,000 | |
| | CALIFORNIA ST NOV07 03.800% NOV01 14 | $15,001 - $50,000 | INTEREST | $201 - $1,000 | |

## SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Mary Bono Mack



| | | | | | |
|---|---|---|---|---|---|
| | CALIFORNIA ST SEP05 05.000% AUG01 20 | None | INTEREST/CAPITAL GAINS | $1,001 - $2,500 | S |
| | CALIFORNIA ST VAR PURP APR09 05.000% APR01 15 | $1,001 - $15,000 | INTEREST/CAPITAL GAINS | $2,501 - $5,000 | S(part) |
| | CATERPILLAR INC DEL | None | DIVIDENDS/CAPITAL GAINS | $5,001 - $15,000 | S |
| | CLIFFS NATURAL RESOURCES INC | None | DIVIDENDS/CAPITAL GAINS | $1,001 - $2,500 | S |
| | CONCOPHILLIPS | None | DIVIDENDS/CAPITAL GAINS | $1,001 - $2,500 | S |
| | CONSUMER DISCRETIONARY SPDR | $15,001 - $50,000 | DIVIDENDS/CAPITAL GAINS | $1 - $200 | PS(part) |
| | COSTCO WHOLESALE CRP DEL | None | DIVIDENDS/CAPITAL GAINS | $1,001 - $2,500 | S |
| | DISNEY (WALT) CO COM STK | None | DIVIDENDS/CAPITAL GAINS | $1,001 - $2,500 | S |
| | EMERSON ELEC CO | None | DIVIDENDS/CAPITAL GAINS | $1,001 - $2,500 | S |
| | GOOGLE INC CL A | None | CAPITAL GAINS | $201 - $1,000 | S |
| | HCP INC | None | DIVIDENDS/CAPITAL GAINS | $1,001 - $2,500 | S |
| | HEALTH CARE SELECT SPDR | $15,001 - $50,000 | DIVIDENDS | $201 - $1,000 | PS(part) |
| | JOHNSON AND JOHNSON COM | None | DIVIDENDS/CAPITAL GAINS | $201 - $1,000 | S |
| | JPMORGAN CHASE & CO | None | DIVIDENDS/CAPITAL GAINS | $201 - $1,000 | S |

| | | | | | |
|---|---|---|---|---|---|
| | LOS ANGELES CALIF UNI SCH DIST SER A AGM PRF 13 MAR03 0.5000% JUL01 22 | $1,001 - $15,000 | INTEREST | $201 - $1,000 | P |
| | MATERIALS SELECT SECTOR | $1,001 - $15,000 | DIVIDENDS | $201 - $1,000 | PS(part) |
| | MCDONALDS CORP COM | None | DIVIDENDS/CAPITAL GAINS | $1,001 - $2,500 | S |
| | MERRILL LYNCH - CMA ACCOUNT | $1,001 - $15,000 | INTEREST | $1 - $200 | |
| | MERRILL LYNCH - CMA MONEY FUND | $1,001 - $15,000 | INTEREST | $1 - $200 | |
| | ORACLE CORP $0.01 DEL | None | DIVIDENDS/CAPITAL GAINS | $1,001 - $2,500 | S |
| | PEABODY ENERGY CORP COM | None | DIVIDENDS/CAPITAL GAINS | $2,501 - $5,000 | S |
| | PRAXAIR INC | None | DIVIDENDS/CAPITAL GAINS | $1,001 - $2,500 | S |
| | SCHLUMBERGER LTD | None | DIVIDENDS/CAPITAL GAINS | $1,001 - $2,500 | S |
| | SECTOR SPDR CONSMRS STPL | $1,001 - $15,000 | DIVIDENDS/CAPITAL GAINS | $201 - $1,000 | PS(part) |
| | SECTOR SPDR ENERGY | $15,001 - $50,000 | DIVIDENDS | $201 - $1,000 | PS(part) |
| | SECTOR SPDR FINANCIAL | $15,001 - $50,000 | DIVIDENDS | $201 - $1,000 | PS(part) |
| | SECTOR SPDR INDUSTRIAL | $15,001 - $50,000 | DIVIDENDS | $201 - $1,000 | PS(part) |
| | UNION BANK OF CALIFORNIA | $1,001 - $15,000 | INTEREST | $1 - $200 | |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Mary Bono Mack



| | | | | | |
|---|---|---|---|---|---|
| | UNITED PARCEL SVC CL B | None | DIVIDENDS/CAPITAL GAINS | $201 - $1,000 | S |

# SCHEDULE IV - TRANSACTIONS

Name Mary Bono Mack



**Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.**

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of $200? | Date | Amount of Transaction |
|---|---|---|---|---|---|
| | IRA - AFLAC INC COM | S | No | 1/7/11<br>3/21/11 | $1,001 - $15,000 |
| | IRA - AMAZON COM INC COM | S | No | 1/7/11<br>3/23/11 | $1,001 - $15,000 |
| | IRA - AMEX TECHNLGY SELCT SPDR | P | N/A | 3/23/11<br>8/16/11 | $15,001 - $50,000 |
| | IRA - APPLE INC | S | No | 1/7/11<br>3/23/11 | $1,001 - $15,000 |
| | IRA - BECTON DICKINSON CO | S | No | 1/7/11<br>3/23/11 | $1,001 - $15,000 |
| | IRA - CATERPILLAR INC DEL | S | No | 1/7/11<br>3/23/11 | $1,001 - $15,000 |
| | IRA - CISCO SYSTEMS INC COM | S | No | 1/7/11<br>2/16/11 | $1,001 - $15,000 |
| | IRA - CLIFFS NATURAL RESOURCES | S | No | 1/7/11<br>3/23/11 | $1,001 - $15,000 |
| | IRA - CONOCOPHILLIPS | S | No | 1/7/11<br>3/23/11 | $1,001 - $15,000 |
| | IRA - CONSUMER DISCRETIONARY SPDR | P | N/A | 3/23/11<br>8/16/11 | $15,001 - $50,000 |
| | IRA - COSTCO WHOLESALE CRP DEL | S | No | 1/7/11<br>3/23/11 | $1,001 - $15,000 |

# SCHEDULE IV - TRANSACTIONS

Name Mary Bono Mack



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| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of $200? | Date | Amount of Transaction |
|---|---|---|---|---|---|
| | IRA - DISNEY (WALT) CO COM STK | S | No | 1/7/11<br>3/23/11 | $1,001 - $15,000 |
| | IRA - EMERSON ELEC CO | S | No | 1/7/11<br>3/23/11 | $1,001 - $15,000 |
| | IRA - EXPRESS SCRIPTS INC COM | P | N/A | 3/8/11 | $1,001 - $15,000 |
| | IRA - EXPRESS SCRIPTS INC COM | S | No | 3/23/11 | $1,001 - $15,000 |
| | IRA - FIRST SOLAR INC | S | No | 1/7/11<br>3/8/11 | $1,001 - $15,000 |
| | IRA - GOOGLE INC CL A | S | No | 3/23/11 | $1,001 - $15,000 |
| | IRA - HCP INC | S | No | 1/7/11<br>3/23/11 | $1,001 - $15,000 |
| | IRA - HEALTH CARE SELECT SPDR | P | N/A | 3/23/11<br>8/16/11 | $15,001 - $50,000 |
| | IRA - INTL BUSINESS MACHINES CORP | S | No | 3/23/11 | $1,001 - $15,000 |
| | IRA - INTL BUSINESS MACHINES CORP | P | N/A | 2/17/11 | $1,001 - $15,000 |
| | IRA - JOHNSON AND JOHNSON COM | S | No | 1/7/11<br>3/23/11 | $1,001 - $15,000 |

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Name Mary Bono Mack



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| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of $200? | Date | Amount of Transaction |
|---|---|---|---|---|---|
| | IRA - JP MORGAN CHASE & CO SUBORDINATED GLB 05.750% JAN02 2013 | P | N/A | 1/7/11 | $1,001 - $15,000 |
| | IRA - JPMORGAN CHASE & CO | S | No | 1/7/11 3/23/11 | $1,001 - $15,000 |
| | IRA - MATERIALS SELECT SECTOR | P | N/A | 3/23/11 8/16/11 | $1,001 - $15,000 |
| | IRA - MCDONALDS CORP COM | S | No | 1/7/11 3/23/11 | $1,001 - $15,000 |
| | IRA - MICROSOFT CORP | S | No | 1/7/11 3/23/11 | $1,001 - $15,000 |
| | IRA - ORACLE CORP $0.01 DEL | S | No | 1/7/11 3/23/11 | $1,001 - $15,000 |
| | IRA - PEABODY ENERGY CORP COM | S | No | 1/7/11 3/23/11 | $1,001 - $15,000 |
| | IRA - PFIZER INC | S | No | 3/23/11 | $1,001 - $15,000 |
| | IRA - PFIZER INC | P | N/A | 3/21/11 | $1,001 - $15,000 |
| | IRA - PRAXAIR INC | S | No | 1/4/11 3/23/11 | $1,001 - $15,000 |
| | IRA - PRICE T ROWE GROUP INC | S | No | 3/23/11 | $1,001 - $15,000 |

# SCHEDULE IV - TRANSACTIONS

Name Mary Bono Mack



**Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.**

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of $200? | Date | Amount of Transaction |
|---|---|---|---|---|---|
| | IRA - PRICE T ROWE GROUP INC | P | N/A | 2/10/11 | $1,001 - $15,000 |
| | IRA - PROCTER & GAMBLE CO | S | No | 1/7/11<br>3/23/11 | $1,001 - $15,000 |
| | IRA - SANDISK CORP INC | S | No | 1/7/11<br>3/23/11 | $1,001 - $15,000 |
| | IRA - SCHLUMBERGER LTD | S | No | 1/7/11<br>3/23/11 | $1,001 - $15,000 |
| | IRA - SECTOR SPDR CONSMRS STPL | P | N/A | 3/23/11<br>8/16/11 | $1,001 - $15,000 |
| | IRA - SECTOR SPDR ENERGY | P | N/A | 3/23/11<br>8/16/11 | $15,001 - $50,000 |
| | IRA - SECTOR SPDR FINANCIAL | P | N/A | 3/23/11<br>8/16/11 | $15,001 - $50,000 |
| | IRA - SECTOR SPDR INDUSTRIAL | P | N/A | 3/23/11<br>8/16/11 | $15,001 - $50,000 |
| | IRA - TARGET CORP COM | S | No | 1/7/11<br>2/10/11 | $1,001 - $15,000 |
| | IRA - UNITED PARCEL SVC CL B | S | No | 1/7/11<br>3/23/11 | $1,001 - $15,000 |
| | IRA - UNITED TECHS CORP COM | S | No | 1/7/11<br>3/23/11 | $1,001 - $15,000 |

# SCHEDULE IV - TRANSACTIONS

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**Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.**

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of $200? | Date | Amount of Transaction |
|---|---|---|---|---|---|
| | IRA - US BANCORP (NEW) | S | No | 1/7/11<br>3/23/11 | $1,001 - $15,000 |
| | AFLAC INC COM | S | Yes | 1/7/11<br>3/2/11<br>3/21/11 | $1,001 - $15,000 |
| | AMAZON COM INC | S | No | 1/7/11<br>3/2/11<br>3/23/11 | $1,001 - $15,000 |
| | AMEX TECHNLGY SELCT SPDR | S(part) | No | 11/16/11 | $1,001 - $15,000 |
| | AMEX TECHNLGY SELCT SPDR | P | N/A | 3/23/11<br>8/16/11 | $15,001 - $50,000 |
| | APPLE INC | S | Yes | 1/7/11<br>3/2/11<br>3/23/11 | $1,001 - $15,000 |
| | BECTON DICKINSON CO | S | Yes | 1/7/11<br>3/2/11<br>3/23/11 | $1,001 - $15,000 |
| | CALIFORNIA ST FEB07 05.000% DEC01 17 | P | N/A | 12/27/11 | $1,001 - $15,000 |
| | CALIFORNIA ST SEP05 05.000% AUG01 20 | S | Yes | 8/16/11 | $15,001 - $50,000 |
| | CALIFORNIA ST VAR PURP APR09 05.000% APR01 15 | S(part) | Yes | 9/15/11 | $15,001 - $50,000 |

# SCHEDULE IV - TRANSACTIONS

Name Mary Bono Mack



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| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of $200? | Date | Amount of Transaction |
|---|---|---|---|---|---|
| | CATERPILLAR INC DEL | S | Yes | 1/7/11<br>3/2/11<br>3/23/11 | $1,001 - $15,000 |
| | CISCO SYSTEMS INC COM | S | No | 1/7/11<br>2/16/11 | $1,001 - $15,000 |
| | CLIFFS NATURAL RESOURCES INC | S | Yes | 1/7/11<br>3/2/11<br>3/23/11 | $1,001 - $15,000 |
| | CONOCOPHILLIPS | S | Yes | 1/7/11<br>3/2/11<br>3/23/11 | $1,001 - $15,000 |
| | CONSUMER DISCRETIONARY SPDR | P | N/A | 3/23/11<br>8/16/11 | $15,001 - $50,000 |
| | CONSUMER DISCRETIONARY SPDR | S(part) | No | 11/16/11 | $1,001 - $15,000 |
| | COSTCO WHOLESALE CRP DEL | S | Yes | 1/7/11<br>3/2/11<br>3/23/11 | $1,001 - $15,000 |
| | DISNEY (WALT) CO COM STK | S | Yes | 1/7/11<br>3/2/11<br>3/23/11 | $1,001 - $15,000 |
| | EMERSON ELEC CO | S | Yes | 1/7/11<br>3/2/11<br>3/23/11 | $1,001 - $15,000 |
| | EXPRESS SCRIPTS INC | P | N/A | 3/8/11 | $1,001 - $15,000 |

## SCHEDULE IV - TRANSACTIONS

Name Mary Bono Mack



**Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.**

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of $200? | Date | Amount of Transaction |
|---|---|---|---|---|---|
| | EXPRESS SCRIPTS INC | S | No | 3/23/11 | $1,001 - $15,000 |
| | FIRST SOLAR INC | S | No | 1/7/11<br>3/2/11<br>3/8/11 | $1,001 - $15,000 |
| | GOOGLE INC CL A | S | Yes | 3/2/11<br>3/23/11 | $1,001 - $15,000 |
| | HCP INC | S | Yes | 1/7/11<br>3/2/11<br>3/23/11 | $1,001 - $15,000 |
| | HEALTH CARE SELECT SPDR | P | N/A | 3/23/11<br>8/16/11 | $15,001 - $50,000 |
| | HEALTH CARE SELECT SPDR | S(part) | No | 11/16/11 | $1,001 - $15,000 |
| | INTL BUSINESS MACHINES CORP | S | No | 3/2/11<br>3/23/11 | $1,001 - $15,000 |
| | INTL BUSINESS MACHINES CORP | P | N/A | 2/17/11 | $1,001 - $15,000 |
| | JOHNSON AND JOHNSON COM | S | Yes | 1/7/11<br>3/2/11<br>3/23/11 | $1,001 - $15,000 |
| | JP MORGAN CHASE & CO | S | Yes | 1/7/11<br>3/2/11<br>3/23/11 | $1,001 - $15,000 |

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| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of $200? | Date | Amount of Transaction |
|---|---|---|---|---|---|
| | LOS ANGELES CALIF UNI SCH DIST SER A AGM PRF 13 MAR03 0.5000% JUL01 22 | P | N/A | 1/6/11 | $1,001 - $15,000 |
| | MATERIALS SELECT SECTOR | S(part) | No | 11/16/11 | $1,001 - $15,000 |
| | MATERIALS SELECT SECTOR | P | N/A | 3/23/11<br>8/16/11 | $1,001 - $15,000 |
| | MCDONALDS CORP COM | S | Yes | 1/7/11<br>3/2/11<br>3/18/11 | $1,001 - $15,000 |
| | MICROSOFT CORP | S | No | 1/7/11<br>3/2/11<br>3/23/11 | $1,001 - $15,000 |
| | ORACLE CORP $0.01 DEL | S | Yes | 1/7/11<br>3/2/11<br>3/23/11 | $1,001 - $15,000 |
| | PEABODY ENERGY CORP COM | S | Yes | 1/7/11<br>3/2/11<br>3/23/11 | $1,001 - $15,000 |
| | PFIZER INC | S | No | 3/23/11 | $1,001 - $15,000 |
| | PFIZER INC | P | N/A | 3/21/11 | $1,001 - $15,000 |
| | PRAXAIR INC | S | Yes | 1/7/11<br>3/2/11<br>3/23/11 | $1,001 - $15,000 |

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| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of $200? | Date | Amount of Transaction |
|---|---|---|---|---|---|
| | PRICE T ROWE GROUP INC | S | No | 3/2/11<br>3/23/11 | $1,001 - $15,000 |
| | PRICE T ROWE GROUP INC | P | N/A | 2/10/11 | $1,001 - $15,000 |
| | PROCTER & GAMBLE CO | S | No | 1/7/11<br>3/2/11<br>3/23/11 | $1,001 - $15,000 |
| | SANDISK CORP INC | S | No | 1/7/11<br>3/2/11<br>3/23/11 | $1,001 - $15,000 |
| | SCHLUMBERGER LTD | S | Yes | 1-7-11<br>3-2-11<br>3-23-11 | $1,001 - $15,000 |
| | SECTOR SPDR CONSMRS STPL | S(part) | No | 11/16/11 | $1,001 - $15,000 |
| | SECTOR SPDR CONSMRS STPL | P | N/A | 3/23/11<br>8/16/11 | $1,001 - $15,000 |
| | SECTOR SPDR ENERGY | S(part) | No | 11/16/11 | $1,001 - $15,000 |
| | SECTOR SPDR ENERGY | P | N/A | 3/23/11<br>8/16/11 | $15,001 - $50,000 |
| | SECTOR SPDR FINANCIAL | S(part) | No | 11/16/11 | $1,001 - $15,000 |

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| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of $200? | Date | Amount of Transaction |
|---|---|---|---|---|---|
| | SECTOR SPDR FINANCIAL | P | N/A | 3/23/11<br>8/16/11 | $15,001 - $50,000 |
| | SECTOR SPDR INDUSTRIAL | S(part) | No | 11/16/11 | $1,001 - $15,000 |
| | SECTOR SPDR INDUSTRIAL | P | N/A | 3/23/11<br>8/16/11 | $15,001 - $50,000 |
| | TARGET CORP COM | S | No | 1/7/11<br>2/10/11 | $1,001 - $15,000 |
| | UNITED PARCEL SVC CL B | S | Yes | 1/7/11<br>3/2/11<br>3/23/11 | $1,001 - $15,000 |
| | UNITED TECHS CORP COM | S | No | 1/7/11<br>3/2/11<br>3/23/11 | $1,001 - $15,000 |
| | US BANCORP (NEW) | S | No | 1/7/11<br>3/2/11<br>3/23/11 | $1,001 - $15,000 |

# SCHEDULE V - LIABILITIES

Name Mary Bono Mack



**Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000. NOTE: Pending legislation may require Members to report mortgates on personal residences.**

| SP, DC, JT | Creditor | Date Liability Incurred | Type of Liability | Amount of Liability |
|---|---|---|---|---|
| | WELLS FARGO BANK NA | OCT 2010 | MORTGAGE ON RENTAL PROPERTY DURANGO, CO (WESTERFIELD SCOTCH, LLC) | $250,001 - $500,000 |
| | JP MORGAN CHASE BANK NA | JAN 2003 | MORTGAGE ON PRINCIPAL RESIDENCE | $500,001 - $1,000,000 |
| | JP MORGAN CHASE BANK NA | 2009 | MORTGAGE ON ADDITIONAL PROPERTY | $250,001 - $500,000 |
| SP | IBERIA BANK | 2005 | MORTGAGE ON PRINCIPAL RESIDENCE | $100,001 - $250,000 |

# SCHEDULE VIII - POSITIONS

Name Mary Bono Mack



**Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.**

| Position | Name of Organization |
|---|---|
| LLC MANAGER | WESTERFIELD SCOTCH, LLC |

# FOOTNOTES

Name Mary Bono Mack



| Number | Section / Schedule | Footnote | This note refers to the following item |
|---|---|---|---|
| 2 | Schedule III | No Royalties received from Film Payment Services, Inc. in 2011. | Film Payment Services, Inc. |