HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT

FORM A — For use by Members, officers, and employees

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U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

A $200 penalty shall be assessed against anyone who files more than 30 days late.

Zoe Lofgren (Full Name) — (202) 225-3072 (Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representative — State: CA, District: 16	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15) ☐ Amendment	☐ Termination	Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $350 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☑ No ☐

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name Zoe Lofgren

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
John Marshall Collins PC	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Zoe Lofgren

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than $200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e.,plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period. For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling $5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** At close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	BLOCK D **Amount of Income** For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	California Public Employee Retirement System	$100,001 - $250,000	INTEREST	$5,001 - $15,000	
	ICMARC - Am Funds Inv Co of America	$15,001 - $50,000	CAPITAL GAINS/DIVIDENDS	$1,001 - $2,500	
	ICMARC - ASTON/Fairpointe Mid Cap I	$15,001 - $50,000	CAPITAL GAINS/DIVIDENDS	$1,001 - $2,500	
	ICMARC - Fidelity Contrafund	$15,001 - $50,000	CAPITAL GAINS/DIVIDENDS	$2,501 - $5,000	
	ICMARC - Fidelity Diversified Int'l	$15,001 - $50,000	CAPITAL GAINS/DIVIDENDS	$1,001 - $2,500	

	ICMARC - Perkins Mid Cap Value I	$1,001 - $15,000	CAPITAL GAINS/DIVIDENDS	$201 - $1,000	
	ICMARC - T Rowe Price Sm-Cp Value	$1,001 - $15,000	CAPITAL GAINS/DIVIDENDS	$201 - $1,000	
	ICMARC - T Rowe Price Small-Cp Stk	$1,001 - $15,000	CAPITAL GAINS/DIVIDENDS	$1,001 - $2,500	
	ICMARC - VantageTrust PLUS Fund	$100,001 - $250,000	CAPITAL GAINS/DIVIDENDS	$1,001 - $2,500	
SP	John Marshall Collins PC Retirement Plan - Delaware Pooled Trust Diversified Income Fund Class A	$50,001 - $100,000	INTEREST	$2,501 - $5,000	S(part)
SP	John Marshall Collins PC Retirement Plan - Dreyfus Basic Money Market	$15,001 - $50,000	NONE	NONE	
SP	John Marshall Collins PC Retirement Plan - Hartford Floating Rate Fund	$50,001 - $100,000	INTEREST	$2,501 - $5,000	P
SP	John Marshall Collins PC Retirement Plan - Oppenheimer Developing Markets Fund Class A	$15,001 - $50,000	INTEREST	$201 - $1,000	P, S(part)
SP	John Marshall Collins PC Retirement Plan - PowerShares DB Commodity Index Tracking Fund ETF	$15,001 - $50,000	NONE	NONE	P
SP	John Marshall Collins PC Retirement Plan - Templeton Global Bond Fund Class A	$100,001 - $250,000	INTEREST	$2,501 - $5,000	S(part)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

SP	John Marshall Collins PC Retirement Plan - Vanguard Short Term Bond Index Fund Investor Shares	$50,001 - $100,000	INTEREST	$1,001 - $2,500	
SP	John Marshall Collins PC Retirement Plan - Wells Fargo Funds Trust Advantage Ultra Short Term Income Fund Class A	$50,001 - $100,000	INTEREST	$1,001 - $2,500	
SP	John Marshall Collins Retirement Account - Artisan Fund International Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
SP	John Marshall Collins Retirement Account - Dodge & Cox Funds International Stock Fund	$1,001 - $15,000	NONE	NONE	
SP	John Marshall Collins Retirement Account - Dodge & Cox Income Fund	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	John Marshall Collins Retirement Account - Dreyfus Basic Money Market	$1,001 - $15,000	NONE	NONE	
SP	John Marshall Collins Retirement Account - Fleming Cap Mutual Fund Group JP Morgan Mid Cap Value Fund Class I	$1,001 - $15,000	DIVIDENDS	$1,001- $2,500	
SP	John Marshall Collins Retirement Account - Goldman Sachs Trust Strategic Growth Opportunities Fund Institutional	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	S(part)

SP	John Marshall Collins Retirement Account - Harbor Fund Cap Appreciation Fund Institutional Class	$1,001 - $15,000	CAPITAL GAINS/DIVIDENDS	$2,501 - $5,000	S(part)
SP	John Marshall Collins Retirement Account - Hartford Mutual Funds Cap Appreciation Fund Class A	$15,001 - $50,000	DIVIDENDS	$1 - $200	S(part)
SP	John Marshall Collins Retirement Account - Hussman Investment Trust Strategic Growth Fund	$1,001 - $15,000	NONE	NONE	
SP	John Marshall Collins Retirement Account - Oppenheimer Developing Markets Fund Class A	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
SP	John Marshall Collins Retirement Account - PIMCO Funds Total Return Fund Class P	$15,001 - $50,000	DIVIDENDS	$1 - $200	
SP	John Marshall Collins Retirement Account - PowerShares DB Commodity Index Tracking Fund ETF	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	
SP	John Marshall Collins Retirement Account - Principal Fund High Yield Fund Class A	$15,001 - $50,000	NONE	NONE	S(part)
SP	John Marshall Collins Retirement Account - Royce Fund Pennsylvania Mutual Fund Investment Class	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	S(part)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

SP	John Marshall Collins Retirement Account - Rydex Series Funds Managed Futures Strategy Class A	$1,001 - $15,000	NONE	NONE	
SP	John Marshall Collins Retirement Account - SPDR Index Shares Fund Dow Jones International Real Estate ETF	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	John Marshall Collins Retirement Account - T Rowe Price Short-Term Bond Fund	$15,001 - $50,000	NONE	NONE	
SP	John Marshall Collins Retirement Account - Templeton Global Investment Trust Global Bond Fund Advisor Class	$15,001 - $50,000	NONE	NONE	
SP	John Marshall Collins Retirement Account - Vanguard REIT ETF	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	
SP	John Marshall Collins Retirement Account - Vanguard Short-Term Bond Index Fund	$15,001 - $50,000	NONE	NONE	P
JT	Wells Fargo Accounts	$250,001 - $500,000	INTEREST	$201 - $1,000	

SCHEDULE IV - TRANSACTIONS

Name Zoe Lofgren

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of $200?	Date	Amount of Transaction
SP	John Marshall Collins PC Retirement Plan - Delaware Pooled Trust Diversified Income Fund Class A	S(part)	No	3/4/2011	$50,001 - $100,000
SP	John Marshall Collins PC Retirement Plan - Hartford Floating Rate Fund	P	N/A	3/4/2011	$50,001 - $100,000
SP	John Marshall Collins PC Retirement Plan - Oppenheimer Developing Markets Fund Class A	S(part)	No	8/5/2011	$1,001 - $15,000
SP	John Marshall Collins PC Retirement Plan - Oppenheimer Developing Markets Fund Class A	P	N/A	3/4/2011	$15,001 - $50,000
SP	John Marshall Collins PC Retirement Plan - PowerShares DB Commodity Index Tracking Fund ETF	P	N/A	3/4/2011	$15,001 - $50,000
SP	John Marshall Collins PC Retirement Plan - Templeton Global Bond Fund Class A	S(part)	No	3/4/2011	$15,001 - $50,000
SP	John Marshall Collins Retirement Account - Goldman Sachs Trust Strategic Growth Opportunities Fund Institutional	S(part)	No	8/5/2011	$1,001 - $15,000
SP	John Marshall Collins Retirement Account - Harbor Fund Cap Appreciation Fund Institutional Class	S(part)	Yes	8/5/2011	$1,001 - $15,000
SP	John Marshall Collins Retirement Account - Hartford Mutual Funds Cap Appreciation Fund Class A	S(part)	No	8/5/2011	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Zoe Lofgren

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of $200?	Date	Amount of Transaction
SP	John Marshall Collins Retirement Account - Principal Fund High Yield Fund Class A	S(part)	No	8/5/2011	$1,001 - $15,000
SP	John Marshall Collins Retirement Account - Royce Fund Pennsylvania Mutual Fund Investment Class	S(part)	No	8/5/2011	$1,001 - $15,000
SP	John Marshall Collins Retirement Account - Vanguard Short-Term Bond Index Fund	P	N/A	8/5/2011	$15,001 - $50,000

SCHEDULE V - LIABILITIES

Name Zoe Lofgren

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000. NOTE: Pending legislation may require Members to report mortgages on personal residences.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
JT	Wells Fargo	November 2009	Mortgage on Primary Residence, San Jose, CA (Not Rented)	$500,001 - $1,000,000
JT	CHASE	October 2009	Mortgage on Residence, Washington, DC (Not Rented)	$250,001 - $500,000
JT	CHASE	(Est.) January 2005	Home Equity Line of Credit on Primary Residence, San Jose, CA (Not Rented)	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Zoe Lofgren

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Aspen Institute Congressional Program	Apr. 25 - May 1	SF-Vienna-SF	Y	Y	Y	None
Aspen Institute Congressional Program	Sep. 24-30	DC-Barcelona-DC	Y	Y	Y	None

SCHEDULE VIII - POSITIONS

Name Zoe Lofgren

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Santa Clara University School of Law Board of Visitors
Board Member	Santa Clara University School of Law Dean's High Tech Advisory Council
Advisory Board Member	Santa Clara Computer & High Technology Law Journal; Santa Clara University School of Law
Advisory Board Member	Pacific Community Ventures
Advisory Board Member	Silicon Valley Education Foundation (Formerly Known as San Jose Education Foundation)
Advisory Board Member	Santa Clara County Superior Court - Project Advisory Committee
Advisory Board Member	Campbell Veteran Memorial Foundation

SCHEDULE IX - AGREEMENTS

Name Zoe Lofgren

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
1981 to Present	California Public Employee Retirement System (through County of Santa Clara)	Continuing Membership (Vested); No Continuing Contribution by Employer or Employee
1981 to Present	ICMARC (through County of Santa Clara)	Savings Plan; No Contribution by Employer or Employee

FOOTNOTES

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	Change in Displayed Title of Fund: "Aston/Optimum Mid Cap Equity"	ICMARC - ASTON/Fairpointe Mid Cap I
2	Schedule III	Change in Displayed Title of Asset "John Marshall Collins PC - Stock"	John Marshall Collins PC Retirement Plan
3	Schedule IV	Change in Displayed Title of Asset "John Marshall Collins PC - Stock"	John Marshall Collins PC Retirement Plan